

Refined Spatial Response Functions for OCO-3

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Jet Propulsion Laboratory, California Institute of Technology

31st CALCON Technical Meeting

Calibration Methods for Climate Change Measurement and Modeling Session

Monday September 12, 2022 1:30 PM MDT

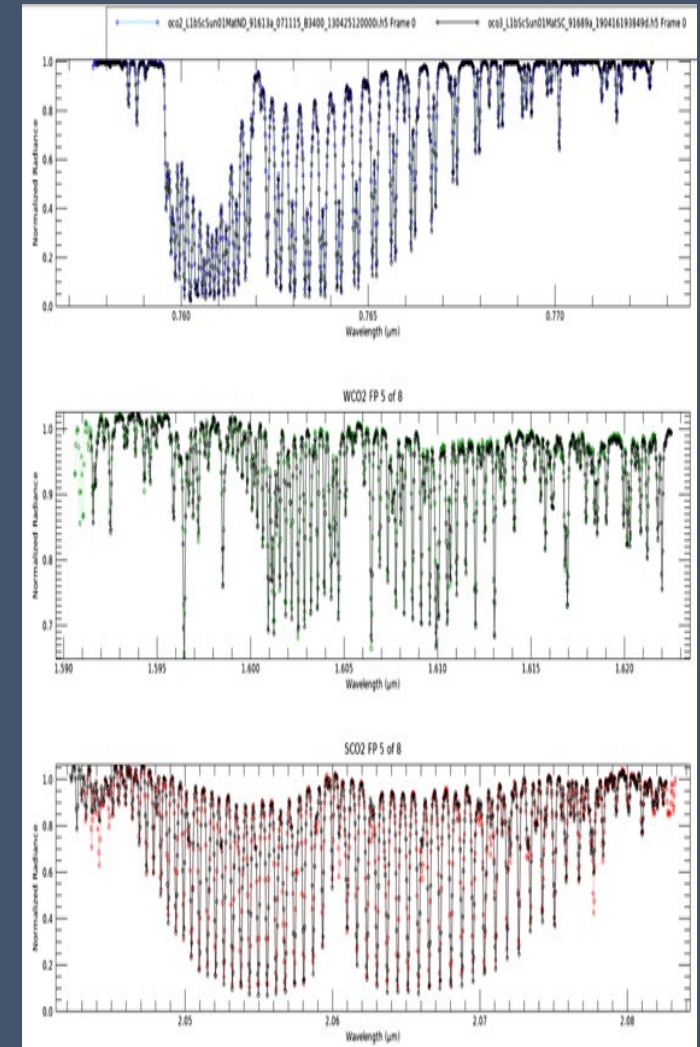


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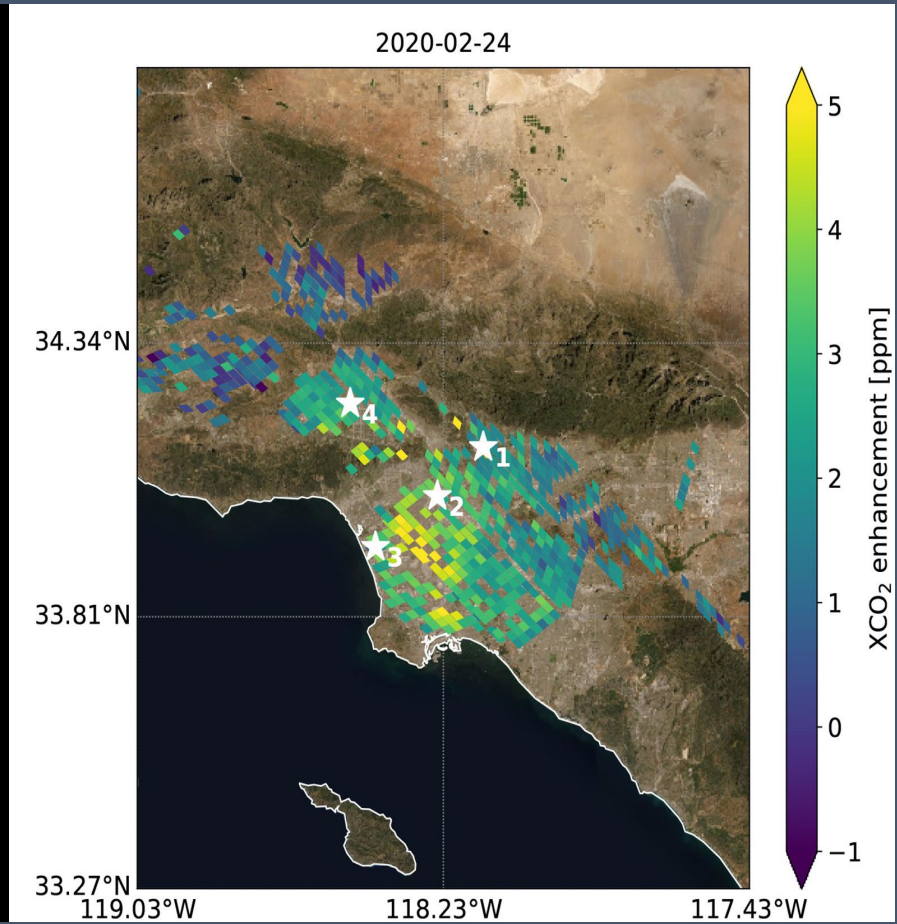
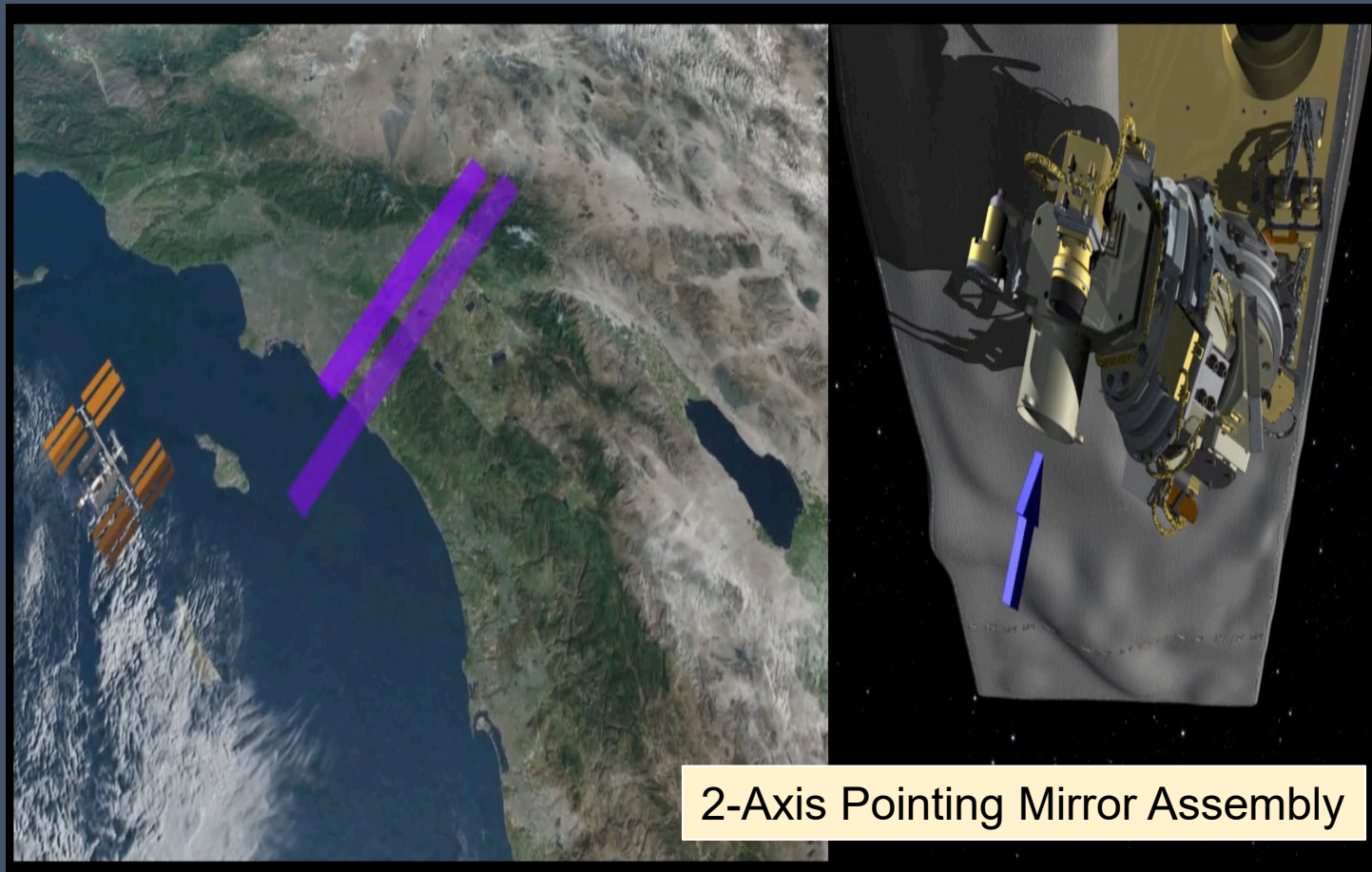
Introduction

- OCO-2 & OCO-3 have been measuring CO₂ and O₂ (inferred from absorption of reflected IR sunlight) since 2014 & 2019 respectively, at a rate of over 100K soundings/day each
- Both feature 3-channel long slit imaging grating spectrometers with common telescope, using OCO design (launch failed 2009)
- OCO-3 field of view 2.2x larger than OCO-2 due to lower orbit
- ISS altitude has increased from 350 to 410 km since OCO-3 telescope design, so OCO-3 swath is unintentionally wider
- Ancillary Geometric Product used to project footprint vertices onto Earth's surface, only updated between major versions
- Was only computed for science "superpixels" (20-row sums)
- All wavelengths assumed to have the same spatial response

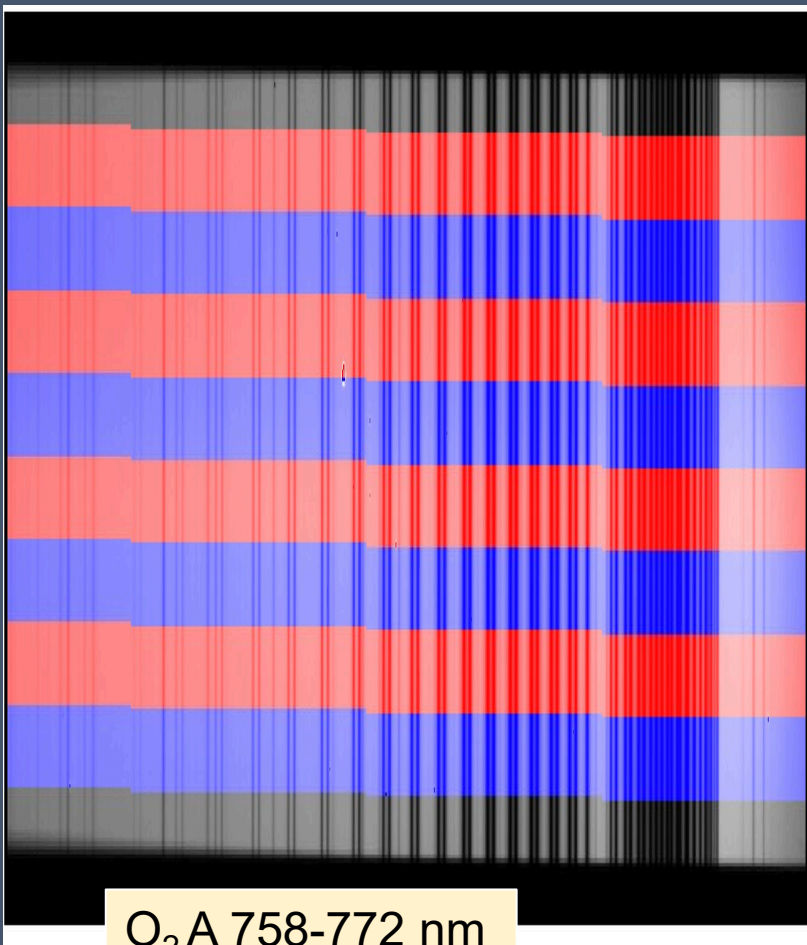
Prelaunch Uplooking Spectra



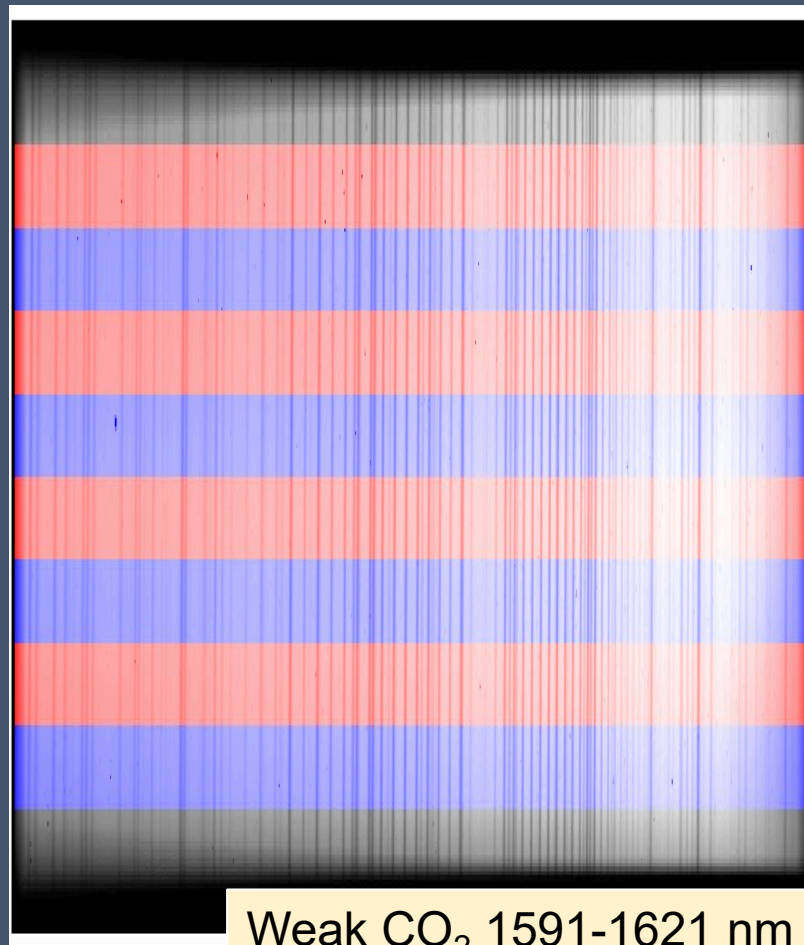
Los Angeles Snapshot Area Map Example



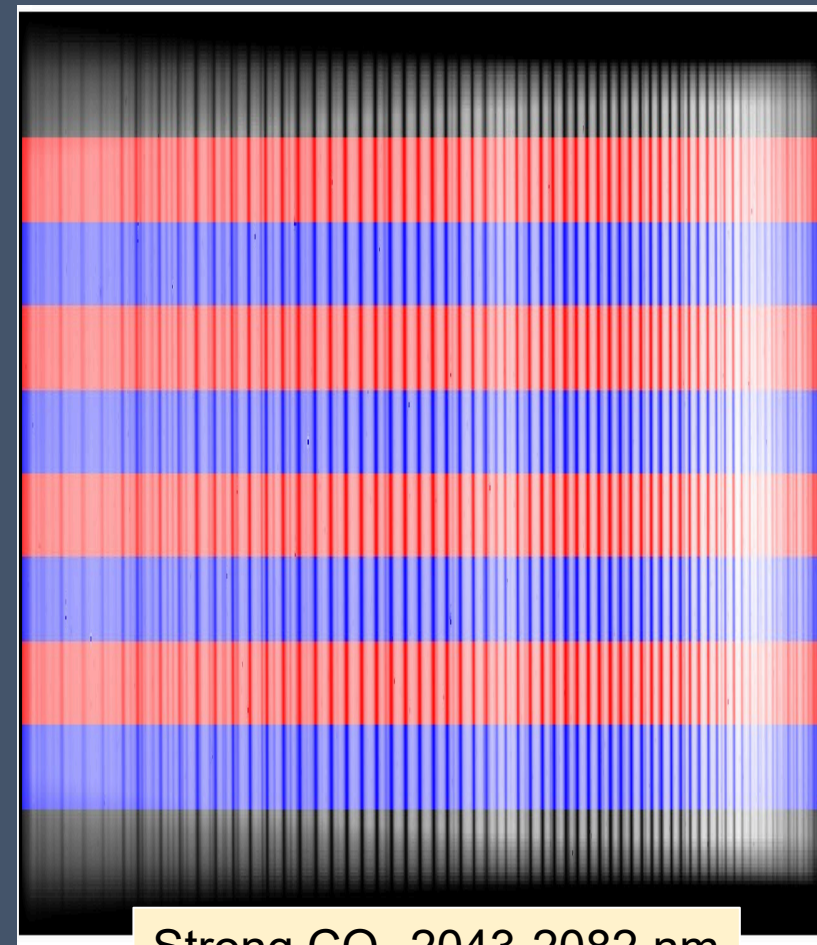
Rows & Footprints (Preflight Uplooking)



O₂ A 758-772 nm



Weak CO₂ 1591-1621 nm

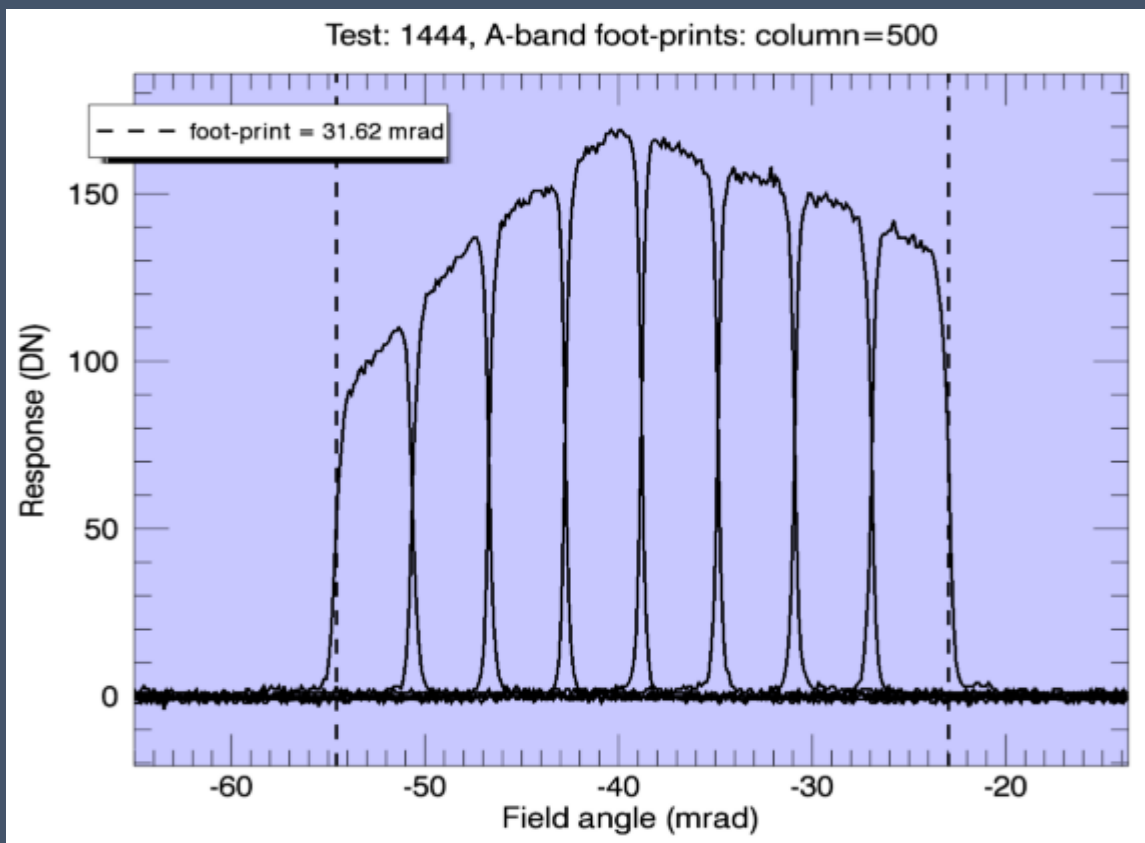


Strong CO₂ 2043-2082 nm

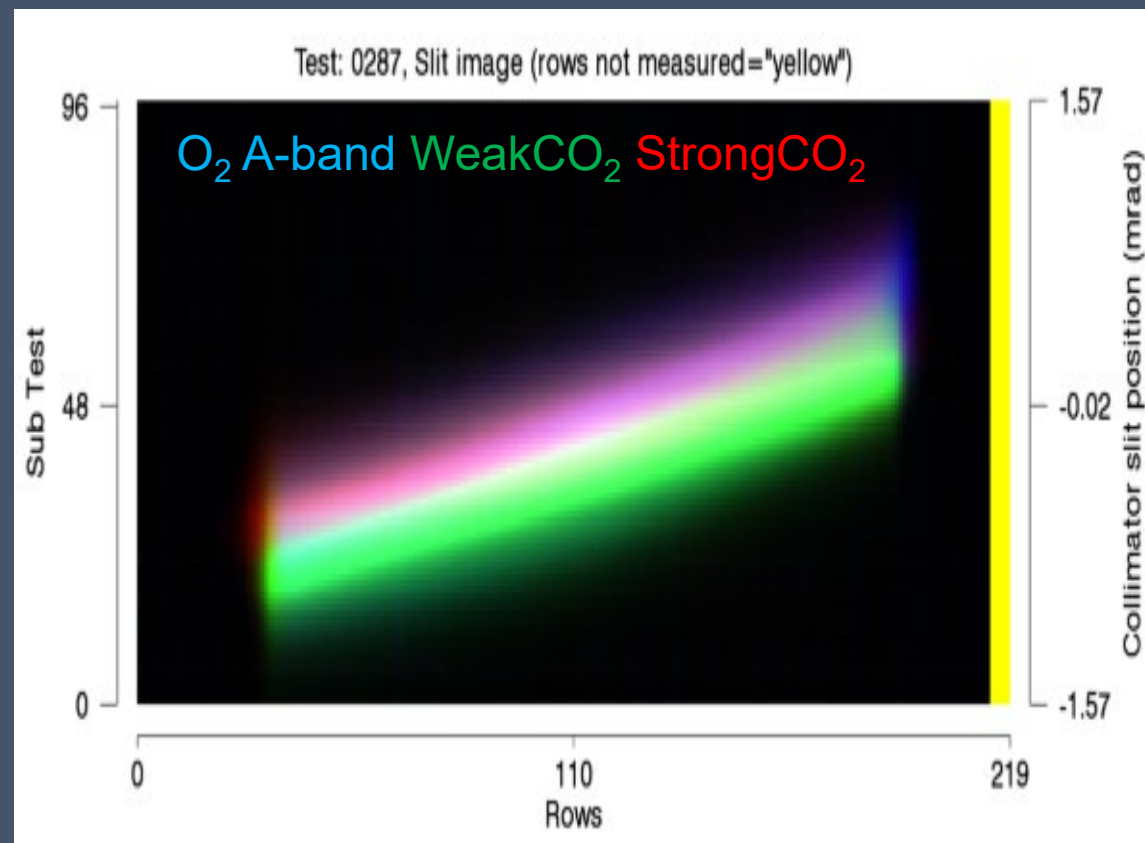


One-Dimensional Spatial Response Tests

Perpendicular

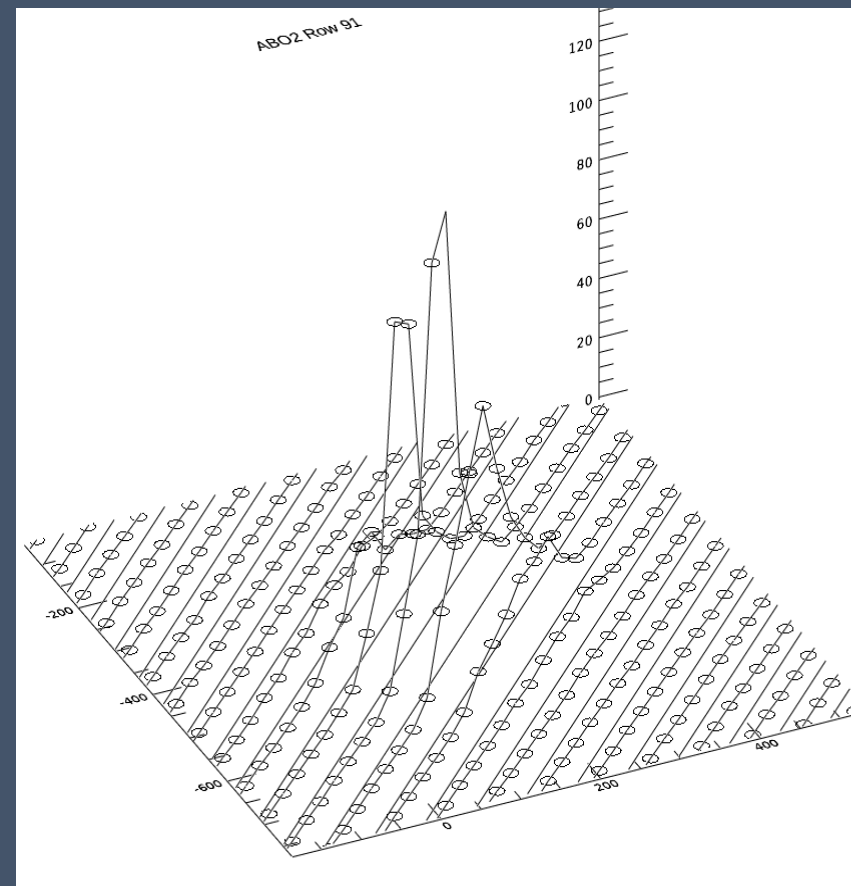


Parallel



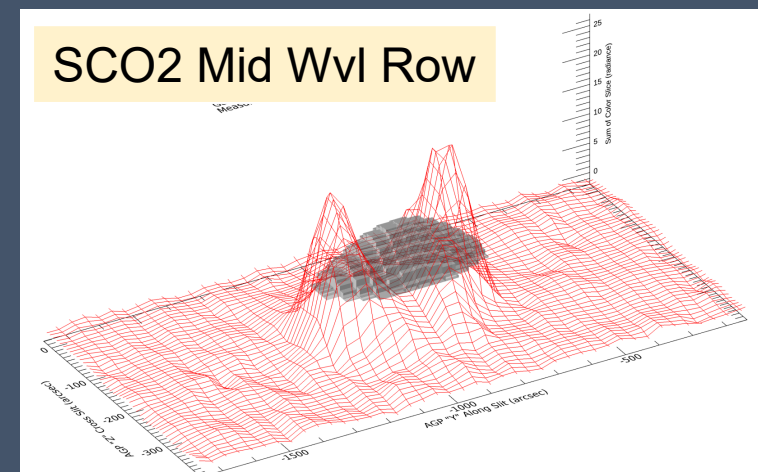
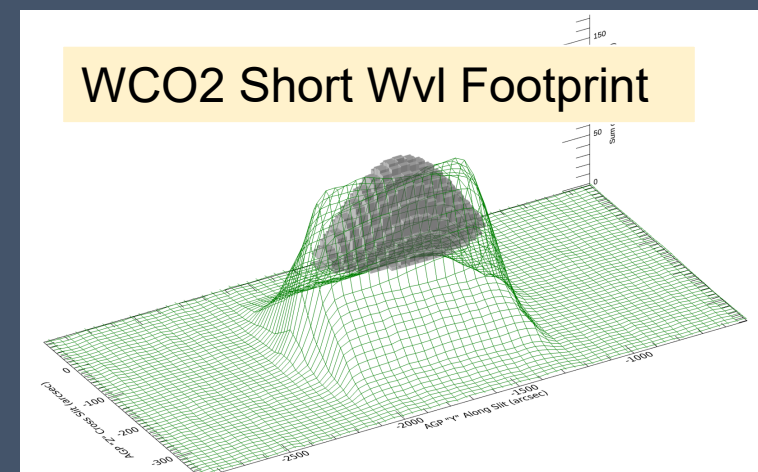
Two-Dimensional Spatial Response Test

- Moved a pinhole in front of collimator white light source on a stage in a raster scan across FOV
 - 35 [cross slit] x 173 [along slit] grid
 - procedure duration ~18 hours
- Rotated stage coordinates to AGP coordinate system using Internal Context Camera measurements



Spatial Response Shape & Defocus

- OCO-2 & OCO-3 vEarly/v10 determined footprint centroids and widths by fitting 2D Gaussians to dark-subtracted pinhole data
- In focus: rows gaussian, footprints “flat top”
- Defocused: rows double-peaked, FPs wide
- Defocus varies by wavelength within each band, SCO2 long wavelengths worst by far



Lunar Images: Feb 15 2022 (Orbit 15752)

758 nm



1591 nm



2043 nm



765 nm



1606 nm



2063 nm



772 nm



1621 nm



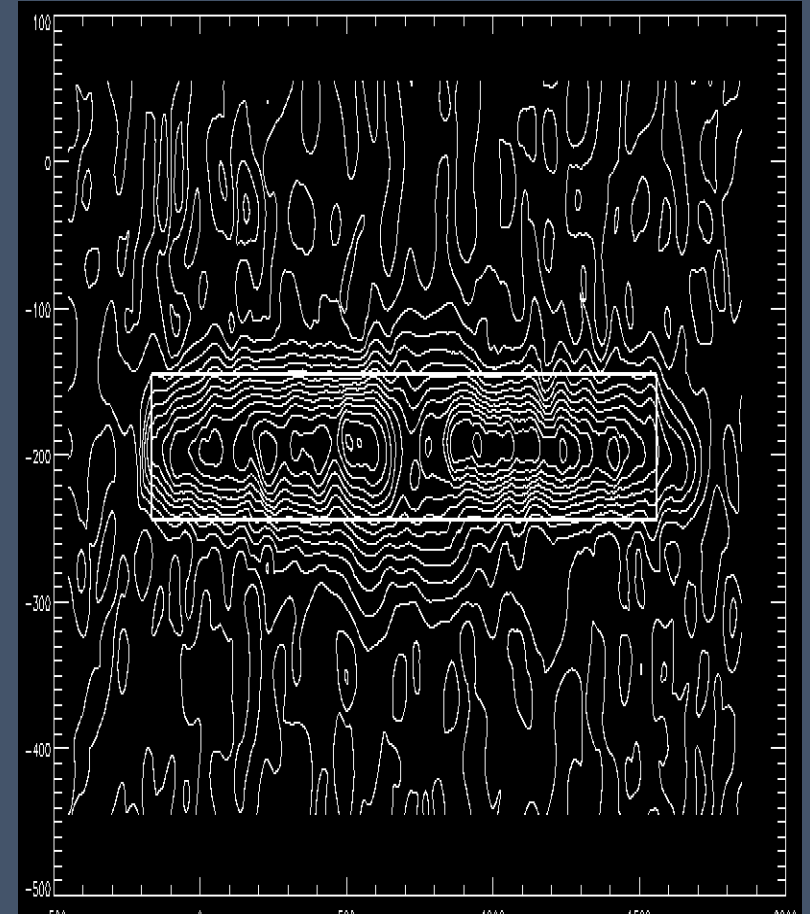
2082 nm



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New Approach: Rectangles around SRF

- Optimal “rectangle” with sides parallel to ABO2 slit minimizes “area” (solid angle) while enclosing a pre-specified fraction of spatial response
 - Compute centroids in separate earlier step
 - Remove outliers: along-slit uses linear fit, cross-slit quadratic because slit curved
 - Had to account for time-dependent drift to perform an accurate background subtraction
 - Slight improvement from applying radiometric gain before fitting (telescope throughput)

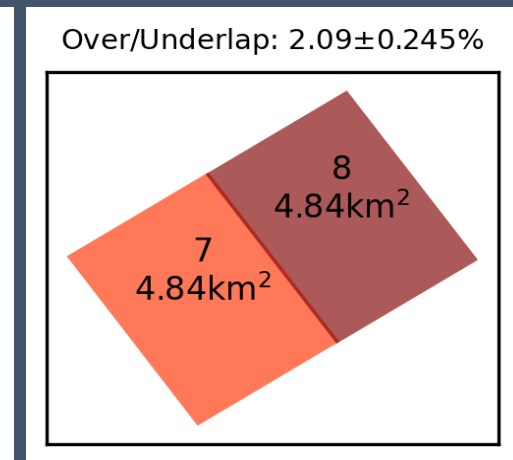
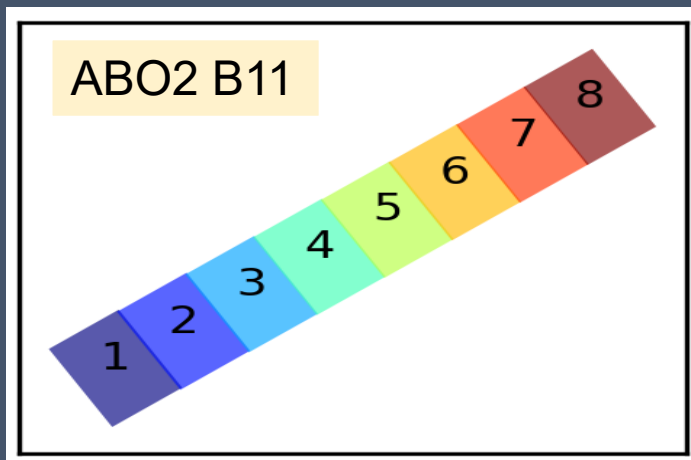
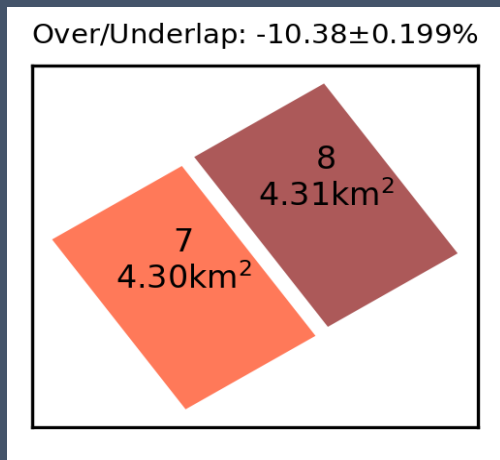
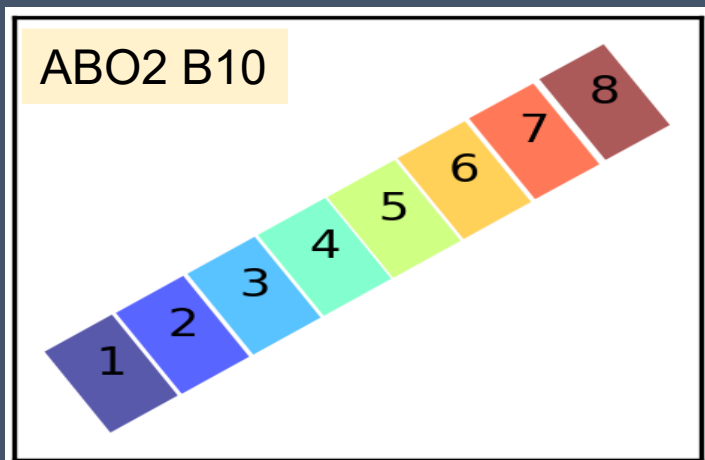


Nonlinear Least Squares Optimization

- Interpolate SRF to a fine, regular grid to compute "volume" using a continuous 2D Riemann summation
- Cost function to be minimized is weighted sum of "area" & difference between "volume" and desired volume
 - Uses a version of Levenberg-Marquardt algorithm developed at JPL
 - Need continuous, differentiable, continuous partial derivatives
 - When it diverges, perform SVD on interpolated SRF, smooth by eliminating high frequency variations associated with small singular values

Footprint Overlap & Ensquared Energy %

- Detector is continuous, so "underlap" was clearly unphysical
- 80% rectangles yield favorable overlap in ABO2 (best focused)
 - This may not be ideal for "solid angle of pixel" calculations for Lunar!
- Ensquared energy of 2D Gaussian within $\pm \sigma_x$ & $\pm \sigma_y = 57.9\%$



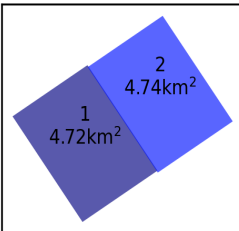
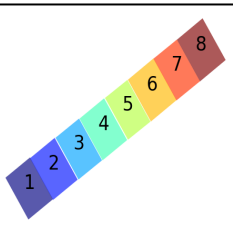
SCO2 Overlap Comparison

OCO-3 Footprint Over/Underlap, Band 3, SolarDay 11246a, B103

all footprints

Over/Underlap: 0.87±0.126%

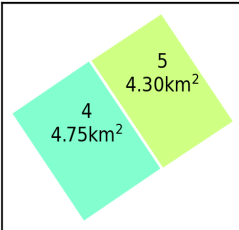
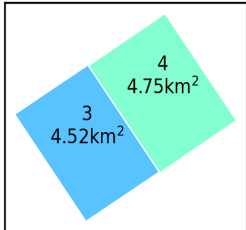
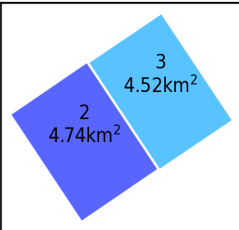
B10:
Gaussian
Wvl Avg



Over/Underlap: -2.77±0.058%

Over/Underlap: -1.53±0.576%

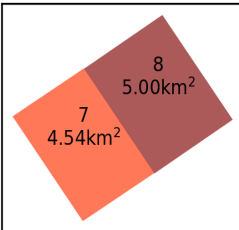
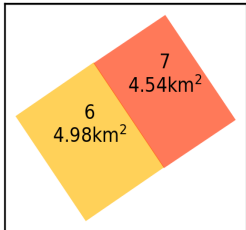
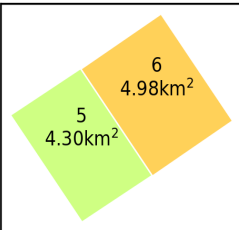
Over/Underlap: -3.14±0.151%



Over/Underlap: -1.70±0.182%

Over/Underlap: 0.71±0.254%

Over/Underlap: 0.23±0.201%

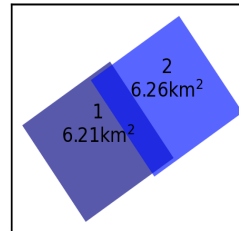
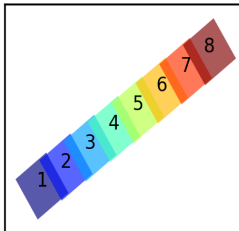


OCO-3 Footprint Over/Underlap, Band 3, SolarDay 11246a, Mdww

all footprints

Over/Underlap: 24.19±0.062%

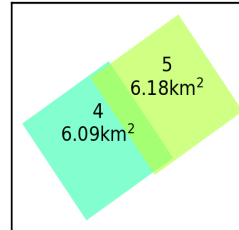
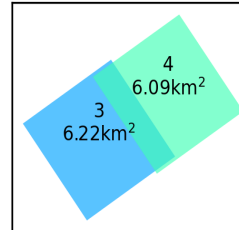
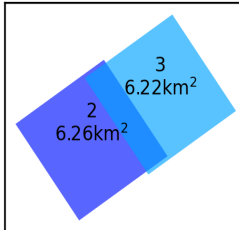
B11:
80% Rect
Mid-Wvl



Over/Underlap: 24.08±0.033%

Over/Underlap: 22.94±0.261%

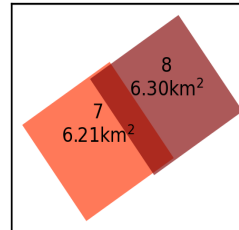
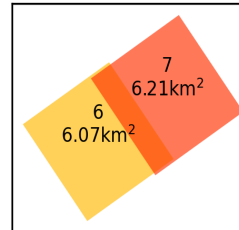
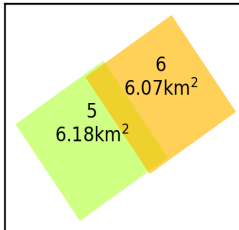
Over/Underlap: 22.69±0.054%



Over/Underlap: 22.48±0.142%

Over/Underlap: 22.49±0.142%

Over/Underlap: 23.76±0.207%

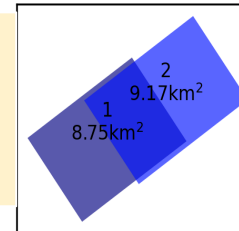
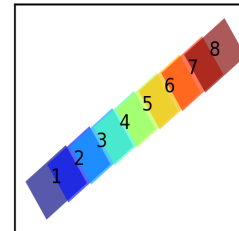


OCO-3 Footprint Over/Underlap, Band 3, SolarDay 11246a, Lgww

all footprints

Over/Underlap: 47.20±0.040%

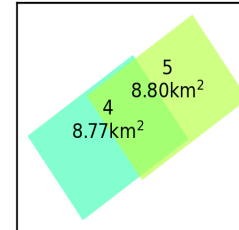
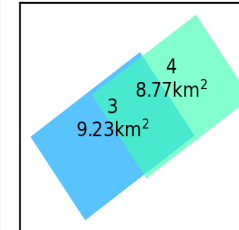
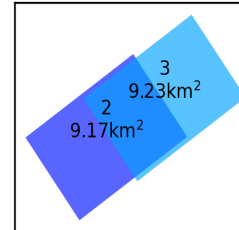
Also Tested
80% Rect
Long-Wvl



Over/Underlap: 48.48±0.029%

Over/Underlap: 47.30±0.230%

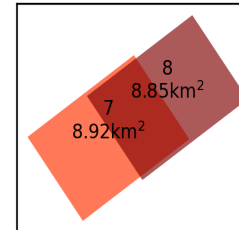
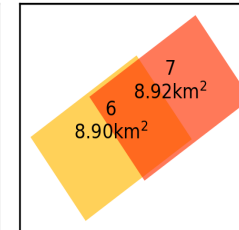
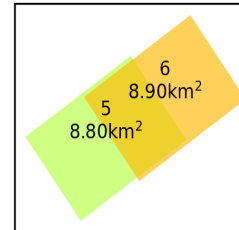
Over/Underlap: 45.98±0.030%



Over/Underlap: 46.29±0.055%

Over/Underlap: 46.57±0.080%

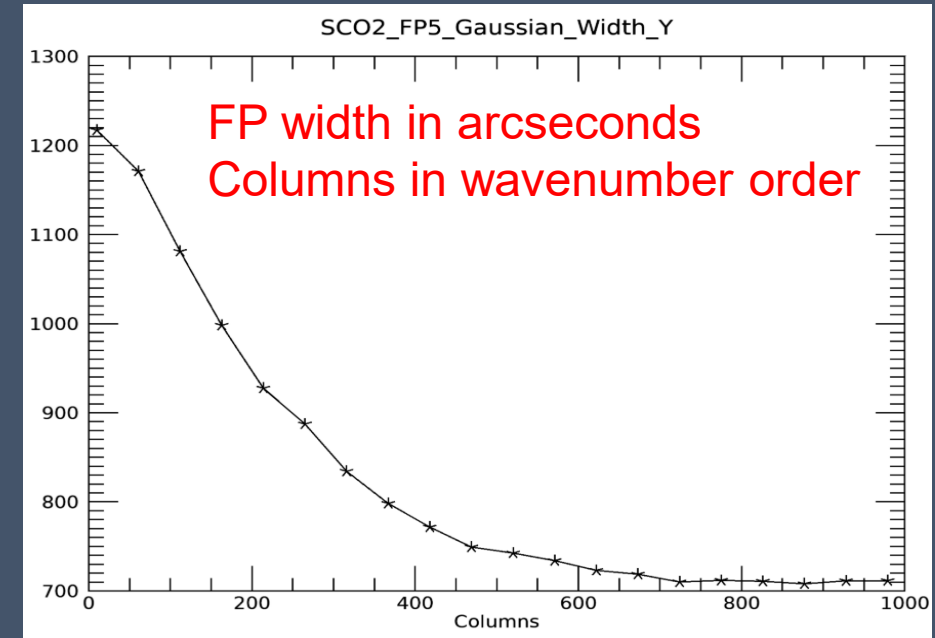
Over/Underlap: 46.30±0.096%



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Conclusion

- Upcoming B11 will have more accurate FP vertices due to improved approach for calculating spatial response
- NL least squares optimized 80% rectangle instead of 2D gaussian, computed separately for spectral columns
 - Also determined for spatial rows, important for Lunar Cal
- ACOS Level 2 retrieval cannot easily account for in-band variations in along-slit width, used median column
 - Effectively no variation in centroids or cross-slit widths
 - Minor change in sounding selection, negligible change to XCO₂



“You May Also Like”:

- Fu et al., “Vicarious Calibration of Orbiting Carbon Observatory 2 and 3” (Session 7, 5:25 pm tomorrow)
- Keller et al., Inflight Radiometric Calibration and Performance of the Orbiting Carbon Observatory 3 (OCO-3) for Version 10 Products, Manuscript in Review, IEEE Transactions on Geoscience and Remote Sensing

