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The Similarity of Class II HLA Genotypes Defines Patterns of Autoreactivity in Idiopathic Bone Marrow Failure Disorders

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Abstract:

Idiopathic aplastic anemia (IAA) is a rare autoimmune bone marrow failure disorder initiated by a human leukocyte antigen (HLA)-restricted T cell response to unknown antigens. As for other autoimmune disorders, the predilection for certain HLA profiles seems to represent an etiologic factor, however, the structure-function patterns involved in the self-presentation in this disease remain unclear. Herein we analyzed the molecular landscape of HLA complexes of a cohort of 300 IAA patients and almost 3000 healthy and disease controls, by deeply dissecting their genotypic configurations, functional divergence, self-antigen binding capabilities and T cell receptor (TCR) repertoire specificities. Specifically, analysis of the evolutionary divergence of HLA genotypes (HED) showed that IAA patients carried class II HLA molecules whose antigen binding sites were characterized by a high level of structural homology, only partially explained by specific risk allele profiles. This pattern implies reduced HLA binding capabilities, confirmed by binding analysis of hematopoietic stem cell derived selfpeptides. IAA phenotype was associated with the enrichment in a few amino acids at specific positions within the peptide binding groove of DRB1 molecules, affecting the interface HLA-antigen-TCR $\boldsymbol{\beta}$ and potentially constituting the basis of T-cell dysfunction and autoreactivity. When analyzing associations with clinical outcomes, low HED was associated with risk of malignant progression and worse survival, underlying reduced tumor surveillance in clearing potential neoantigens derived from mechanisms of clonal hematopoiesis. Our data shed light on the immunogenetic risk associated with IAA etiology and clonal evolution, and on general pathophysiological mechanisms potentially involved also in other autoimmune disorders.

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Agreement to Share Publication-Related Data and Data Sharing Statement: All the data that support the findings of this study are available within the Article and Supplementary Files. Genotyping and clinical data of IAA and HC cohorts have been deposited in the following repository:

https://github.com/SMNPAG/HED-IAA. Access can be requested to maciejj@ccf.org and smnpag@gmail.com. TCR sequencing data of the IAA cohort are available through the ImmuneACCESS platform:

https://doi.org/10.21417/SP2021B. TCR sequencing data of the HC cohort are provided with the original study and through the ImmuneACCESS platform (https://doi.org/10.21417/B7001Z). Genotypic raw data of the disease-control cohorts can be requested to the authors (MN cohort) and to the National Institute of Diabetes and Digestive and Kidney diseases (T1D cohort).

Clinical trial registration information (if any):

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48 Abstract

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50 Idiopathic aplastic anemia (IAA) is a rare autoimmune bone marrow failure disorder initiated by 51 a human leukocyte antigen (HLA)-restricted T cell response to unknown antigens. As for other 52 autoimmune disorders, the predilection for certain HLA profiles seems to represent an etiologic 53 factor, however, the structure-function patterns involved in the self-presentation in this disease 54 remain unclear. Herein we analyzed the molecular landscape of HLA complexes of a cohort of 55 300 IAA patients and almost 3000 healthy and disease controls, by deeply dissecting their 56 genotypic configurations, functional divergence, self-antigen binding capabilities and T cell 57 receptor (TCR) repertoire specificities. Specifically, analysis of the evolutionary divergence of 58 HLA genotypes (HED) showed that IAA patients carried class II HLA molecules whose antigen 59 binding sites were characterized by a high level of structural homology, only partially explained 60 by specific risk allele profiles. This pattern implies reduced HLA binding capabilities, confirmed 61 by binding analysis of hematopoietic stem cell derived self-peptides. IAA phenotype was 62 associated with the enrichment in a few amino acids at specific positions within the peptide 63 binding groove of DRB1 molecules, affecting the interface HLA-antigen-TCR β and potentially constituting the basis of T-cell dysfunction and autoreactivity. When analyzing associations with 64 65 clinical outcomes, low HED was associated with risk of malignant progression and worse 66 survival, underlying reduced tumor surveillance in clearing potential neoantigens derived from 67 mechanisms of clonal hematopoiesis. Our data shed light on the immunogenetic risk associated 68 with IAA etiology and clonal evolution, and on general pathophysiological mechanisms 69 potentially involved also in other autoimmune disorders.

70 Introduction

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Among bone marrow failure syndromes (BMF), idiopathic aplastic anemia (IAA) is a hematopoietic stem cell (HSC) disorder mediated by autoimmune T cells. Despite the progress in our understanding of basic disease mechanisms, the strongest evidence for the immune pathogenesis of this disease stems from the successes associated with immune suppressive therapies (IST).¹ In addition, clinical observations such as evolution of paroxysmal nocturnal hemoglobinuria (PNH) clones escaping from autoimmune selection pressures, as well as somatic loss of human leukocyte antigen (HLA) alleles due to deletion or mutations, further
 support the immune nature of this disorder.^{2,3,4,5,6}

80 From a pathophysiological point of view, the primum movens of bone marrow destruction is 81 thought to be a class I HLA-restricted process, characterized by cytotoxic T lymphocytes (CTLs) recognition of still unknown HSCs antigens.^{7,8, 9,10} Experimental data demonstrating activation 82 83 of CTLs with oligoclonal expansion and skewing of CD8⁺ T-cell receptor (TCR) repertoire 84 together with an interferon gamma (IFN-y)-driven FAS-mediated apoptosis of HSCs support this hypothesis.^{8,11,12,13,14,15} Furthermore, the imbalance of CD4⁺ subsets with dysfunctional T helper 85 86 (Th) 1, Th2 and Th17 responses and consequential impairment of regulatory T cells activities 87 have been demonstrated as additional factors contributing to the aberrant autoreactivity.^{16,17,18,19} However, because the identity of the eliciting antigens has not been 88 89 ascertained, the laboratory evidence for T cell-mediated pathogenesis, albeit compelling, 90 remains only indirect.

91 In the context of cellular autoimmune reactions, the pivotal role of HLA molecules in mediating 92 the CD8⁺ and CD4⁺ processes is generally established. Specifically, in IAA a mechanistic 93 involvement of HLA is supported by HLA allele predilection and the modes of immune escape 94 *via* somatic reshuffles of HLA locus (e.g., loss or uniparental disomy of chromosome 6p or 95 somatic mutations in class I alleles). ^{2, 3,5,20,21, 22,23,24}

96 While external triggers seem essential, genetic disease susceptibility factors (*e.g.*, 97 immunogenetic polymorphisms) appear to be operative. Unlike in other autoimmune disorders, 98 the enrichment of some class I alleles in IAA remains limited to ethnicity-restricted series,² 99 whereas the impact of class II loci on disease susceptibility (*e.g.*, DRB1*15:01) has been 100 historically well documented in multiple populations.^{25,26,27,28,29,30,31,32,33,34,35,36,37,38}

101 Conceptually, the predilection of certain HLA alleles could be explained by their structural 102 "suitability" to present specific immunodominant peptides. However, the relatively small 103 contribution of individual risk alleles in defining the etiologic fraction of IAA, scarcely adapts the 104 structure/function relationships into a disease-specific autoantigenic profile.

105 Recent studies have shown that HLA evolutionary divergence (HED), a metric capturing the 106 pairwise Grantham distance³⁹ between the peptide binding sites of two homologous HLA 107 molecules encoded by an individual's genotype, correlates with the size of the 108 immunopeptidomic spectrum.^{40,41,42} In this virtue, more structurally divergent alleles may 109 confer a proclivity for more efficient T-cell responses. However, such a postulate, albeit well documented in the context of anti-tumor and anti-infectious immune surveillance,^{40,41,43,44} to 110 111 date has not been explored in the context of an autoimmune disorder such as IAA. A perhaps 112 simplistic assumption would be that higher allelic divergence may increase the probability of 113 self-antigenic presentation, eliciting autoreactive T-cell responses and explaining the 114 association with autoimmune disease phenotypes. Nevertheless, other hypotheses can be as 115 well contemplated: for instance, the enrichment in less divergent HLA structures may instead 116 contribute to the presentation of a less extended immune-peptidomes accounting for a 117 decreased TCR diversity potentially at basis of cross-reactivity and autoimmune phenomena.

118 Herein, we sought to understand the role of HLA functional variability in defining the 119 predisposition and the phenotypic traits of IAA and related disorders. To that end, we 120 performed a large case-control study, in which we analyzed the structural divergence of HLA molecules, its association with risk allele profiles, and the presentation of HSC-related 121 122 immunopeptidomic specificities. We first performed an allele frequency estimation that helped 123 in the identification and confirmation of the alleles more likely associated with the disease. We then examined the impact of the global genotypic and molecular HLA diversity for both class I 124 125 and II loci on either disease predisposition or characteristics at diagnosis and clinical outcomes. 126 Finally, we studied how risk and divergent allelic profiles influenced the presentation of HSC 127 immunopeptidome and the TCR repertoire characteristics.

128 Methods

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130 Study population

We used for this study genotyping information from 263 IAA/PNH patients, 960 healthy subjects 510 patients with myeloid neoplasia, and 1340 subjects with type 1 diabetes. An additional dataset of HLA genotypes for 37 IAA/PNH patients and 128 healthy controls from a Finnish (University of Helsinki) population was built for validation purposes. All patients gave their informed consent to participate to translational research protocols according to local institutional review boards. All procedures were carried out in accordance with guidelines set forth by the Declaration of Helsinki (See supplementary appendix for details of patient cohorts).

140 HED computation

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142 High quality 4-digit HLA data, in patient and control cohorts were used for the phenotypic 143 association study and the risk allele analysis (see supplementary appendix).

144 HED scores were computed for all the subjects and all the genotypes in study, using the published by Pierini and Lenz, applying a customized 145 algorithm perl script 146 (https://sourceforge.net/projects/granthamdist/) for the calculation of the amino acid sequence divergence.^{41,7} Briefly, starting from a dictionary including all the protein sequences 147 of exons 2 and 3 for class I alleles and exon 2 for class II alleles, assembled from the IPD-148 IMGT/HLA database v.3.40,45 we calculated HED for 6 class I (A, B, C) and II HLA loci (DRB1, 149 DQB1, DPB1). 150

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Immunopeptidomic analysis 152

A HSC specific proteomic reference, as published by Henrich et al,⁴⁶ was used to predict 154 peptides binding to alleles enriched in the BMF cohort. Amino acid sequences based on this 155 reference were selected from the human peptidome reference, downloaded from Ensembl⁴⁷ 156 157 (ftp://ftp.ensembl.org/pub/grch37/update/fasta/homo sapiens/pep//Homo sapiens.GRCh37. pep.all.fa). The resulting FASTA file was submitted to NetMHCIIpan 4.0⁴⁸ within a high 158 performant computational environment. All peptides with a percentile rank of eluted ligand 159 160 prediction score <2% for strong binders and <5% for weak binders were retained for the 161 downstream analyses.

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163 Crystallographic structures and molecular dynamics

165 Crystallographic structures of DR molecules were prepared with the PyMOL (www.pymol.org) program. Homology models of DRB1 molecules were constructed using the software I-166 TASSER.^{49,50} The protocol of molecular dynamic simulations was conducted according to a 167 previous study.⁵¹ Details of modeling, simulation procedures and structural motifs used in this 168 169 study are reported as supplementary materials.

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TCR^β chain sequencing and analysis 171

Immunosequencing of the CDR3 regions of human TCRβ chains was performed using the ImmunoSEQ Assay (Adaptive Biotechnologies, Seattle, WA), as previously described. ^{52,53,54} ImmunoSeq Analizer 3.0 suite was used for sample export and preliminary statistics and quality control steps while R bioconductor⁵⁵ environment and Immunarch R⁵⁶ suite were used for all the downstream analyses. The Immune Epitope Database (IEDB) analysis resources were used to analyze the patterns of cross-reactivity and epitope similarities (see supplementary appendix).⁵⁷

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181 Statistical analysis

182 The statistical framework used for the HLA association study and the clinical correlations is

described in the supplementary appendix. All of the analyses and data visualization were

- 184 performed using the statistical computing environment R (4.0.0 R Core Team, R Foundation for
- 185 Statistical Computing, Vienna, Austria) and excel Microsoft 365.

186 Data Sharing

187 188 All the data that support the findings of this study are available within the Article and 189 Supplementary Files. Genotyping and clinical data of IAA and HC cohorts have been deposited in 190 the following repository: https://github.com/SMNPAG/HED-IAA. Access can be requested 191 to maciejj@ccf.org and smnpag@gmail.com. TCR sequencing data of the IAA cohort are available 192 through the ImmuneACCESS platform: https://doi.org/10.21417/SP2021B. TCR sequencing data 193 of the HC cohort are provided with the original study and through the ImmuneACCESS platform 194 (https://doi.org/10.21417/B7001Z). 195 Genotypic raw data of the disease-control cohorts can be requested to the authors (MN cohort) 196 and to the National Institute of Diabetes and Digestive and Kidney diseases (T1D cohort).

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198 Results

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- 200 Association analysis and risk allele imputation
- 201 Out of a large cohort of adult patients with IAA and hemolytic PNH followed at our institution,
- 202 263 patients with completed outcomes and precisely asserted diagnosis had DNA for next
- 203 generation sequencing (NGS)-based HLA typing (**Table 1, Table S1, Fig.1**). For comparison, we
- 204 built two different control cohorts: i) 960 healthy subjects (HC) from a prevalently Caucasian
- 205 North-American population, and ii) 510 patients with myeloid neoplasms (MN), with known
- 206 HLA genotypes (see methods and supplementary appendix). For the analysis of HLA

207 associations, the frequency of each allele was evaluated according to a dominant genetic model 208 (see box of definitions in supplementary appendix), assessing the association strength with class assignment.⁵⁸ Among 8 loci, 9 class I and II alleles were identified as differentially 209 210 distributed between HC and IAA/PNH cohort (Fig. 2A, Table S2), with 4 alleles significantly 211 enriched in IAA/PNH patients, according to a dominant genetic model: DRB1*15:01 DQB1*06:02, B*07:02 and DQA1*01:02. Analysis of the additive effect confirmed a strong 212 213 association with the disease phenotype (Fig.2B, Table S3). The distribution of the significant 4 214 risk alleles did not differ among IAA and hemolytic PNH groups (Fig.S2A). To determine whether 215 the presence of each IAA risk allele may influence the course of the disease, we performed a 216 logistic regression univariate analysis, which did not reveal any association with malignant 217 progression to myeloid neoplasia (MN) and/or PNH evolution (Fig.S2B-D). However, the 218 presence of DQB1*06:02 showed lower odds in terms of response to IST (OR: 0.48 [95%CI 0.26-219 0.90], p=0.028, Fig. S2B-D). By comparison, none of the risk alleles in study were enriched in 220 patients with MN (Table S4). Of note is that those 4 alleles together with A*03:01 and C*07:02 belonged to the ancestral haplotype 7.1,⁵⁹ which was equally distributed across patient and 221 222 control groups (4% vs. 5%, respectively, p=0.753). Carriers of at least one risk allele were 223 enriched in the IAA vs. HC with 55% of the cases harboring >1 risk allele (vs. 39% in HC, OR: 1.94 224 [95%CI 1.47-2.55], p<0.0001, Fig.2B). Furthermore, DRB1*15:01 was associated with 225 DQB1*06:02 in 84% of cases (patients and HC), as a result of the strong linkage disequilibrium. 226 The frequency of homozygous for class II risk alleles in IAA group was higher than in HC, 227 underscoring the role of the "allelic dose" in disease predisposition (Fig.2C). Risk allele 228 associations, assessed with binomial regression models, were stronger when considering 229 subgroups of patients with increased autoimmune propensity including i) responders to 230 immunosuppression, ii) age >20yrs.; iii) IAA with PNH clone; iv) all of the above characteristics 231 (Fig. 2D, Table S5).

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Low class II HLA divergence as an immunogenetic determinant in IAA patients

235 We applied HED concept to explore the immunogenetic configuration of IAA and PNH patients, 236 taking into account the risk allele background and computing HED metrics for all classical I and 237 II loci (A, B, C, DRB1, DQB1, DPB1). Based on the previously validated locus-specific HED 238 metrics, for class II divergence computation, we accounted for β -chains only, because of the 239 greater variability of α -chains in terms of peptide binding sites.⁴¹ Genotypic differences did not

240 impact the class-related homozygosity configurations of the three cohorts, with subjects with at 241 least one homozygous locus per class being equally distributed in the groups (Fig.S2A,B). When 242 we investigated the organization of global class I and II HED (mean of HED scores for each class) 243 in patients and control groups, no differences were observed for class I (IAA vs. HC: p=0.411 or 244 IAA vs MN p=0.189, Fig.S2C). Conversely, a lower mean class II HED was found in IAA/PNH 245 cohort vs. HC (p=0.033; Fig.S2D), involving specifically DRB1 (adj. p=0.028) and DQB1 (adj. 246 p=0.028) loci (Fig.S2E,F). Associations with lower class II and locus-specific divergence were 247 more evident when we applied generalized linear regression models to predict the risk of 248 immune-enriched disease phenotypes (Fig.3A, Table S6). This pattern was confirmed also when 249 considering only Caucasian subjects (see supplementary appendix and Table S7). No differences 250 compared to controls instead were found when analyzing mean class I and class II HED metrics 251 in MN cohort (Fig. 2A-B, of note is that MN were characterized by a higher HED in locus B 252 compared to HC).

253 When the additive effect of the presence of risk alleles on class II HED configurations was 254 analyzed, we observed that lower locus specific HED was independently associated with 255 IAA/PNH phenotype (Fig.3B). Interestingly, HC carrying DRB1*15:01 had lower class II and 256 locus-specific HED compared to non-carriers (Fig.S3A, B), whereas in IAA both class II and DRB1 257 divergences were globally reduced either in presence or in absence of this risk allele 258 (Fig.S3C,D). Nevertheless, patients without DRB1*15:01 had a significantly lower mean class II 259 (p=0.0005) and DRB1 HED (p<0.0001) compared to HC (Fig.3C,D). A similar pattern was seen in 260 DQB1 HED among non-carriers of DQB1*06:02 (Fig. 3E,F). When for comparison purposes we 261 examined another autoimmune disease cohort (type 1 diabetes [T1D]), we also found a lower 262 divergence in class II loci (p= 0.00058) and in DRB1 locus compared to HC (p= 0.00104; Table S7; 263 Fig.S4 A, B, C). Binomial regression analysis confirmed lower HED in DRB1 locus as a predictor of T1D (OR: 0.97 [95%CI 0.95-0.99], p= 0.00235, Fig S4D). Indeed, it is noteworthy the 264 265 established role of DRB1*15:01 as a protective allele in T1D (Fig. S4D), as well as the different risk allele profile dominated by DRB1*03:01 and DRB1*04:01.⁶⁰,⁶¹,⁶² 266

To identify alleles structurally similar to those identified as risk alleles in class II, we simulated the range of HED scores between DRB1*15:01/DQB1*06:02 and the pool of alleles present in DRB1 and DQB1 loci in IAA/PNH patients and HC (**Fig.4A-C**). In DRB1 locus, a lower divergence with DRB1*15:01 was obtained for alleles within DRB1*15, DRB1*16, DRB1*04 and DRB1*01 supertypes (**Fig.4A; Table S8**). Combined genotypic frequencies of those DRB1*15:01-like alleles were higher in IAA/PNH population (OR: 1.89 [95%CI: 1.38-2.61], p=7.22e-05) and in cases with immune-related phenotypes compared to HC (**Fig.4B**). Analogous results were observed for DQB1 locus and DQB1*06 supertype (**Fig.4C, D; Table S9**).

275 When we studied whether HLA functional divergence may influence characteristics and 276 outcomes of IAA/PNH patients, we found that class II HED scores correlated directly with the size of PNH clone at diagnosis (Fig.S5A-B; p= 0.00286, r²=0.036) and indirectly with age at 277 disease onset (Fig.S5C-D; p=0.0013, r²=0.021). Univariable cox regression models (based on 278 binomial categorization of mean class I and II HED according to the 50th percentile in HC, 279 280 Fig.S5E-K), demonstrated lower probability of survival (p=0.011), higher risk of progression to 281 MDS/AML (p=0.043) and a lower probability of PNH evolution (p=0.0004, Fig.S5J,L) in patients 282 with lower mean class II HED, while no impact was seen for class I HED (Fig.S5G,I,J).

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284 Recursive analysis of the antigen binding site in DRB1 and DQB1 loci

286 To investigate whether low divergent patterns could rely on a specific amino acid composition 287 of the peptide binding site of class II HLA molecules, we analyzed the amino acid structure in 288 the antigen binding site (encoded by exon 2) of DRB1 and DQB1 loci. In DRB1 peptide binding 289 groove, 30 out of 89 amino acid positions were variable. By applying a recursive approach we 290 found strongly correlated with the IAA/PNH phenotype 7 amino acids (Fig. 5A,B; Table S10), 291 enriched in DRB1*15 group and in all the alleles structurally similar to this supertype. Of note is 292 that most of those residues were non-polar, possibly affecting the physicochemical 293 configuration of the antigen-binding site of DRB1*15-like complexes. When the same analysis 294 was performed on DQB1 locus (Fig.S6A,B; Table S11), the majority of variable amino acids in 295 exon 2 were enriched in HC, with the exception of 2 residues of phenylalanine (Phe/F), both 296 belonging to the DQB1*06 supertype and significantly overrepresented in IAA (Fig.S6A,B). 297 Interestingly, none of the antigen binding sites extrapolated from classical class I loci presented specific amino acid signatures enriched in IAA/PNH cohort (Tables S12). 298

Because of this lower contribution of DQB1 **and class I** binding sites variability in conferring disease phenotype, our next analysis was focused on modeling antigen interactions within DRB1 locus. When we analyzed the crystallographic structure of the complex DRB1*15:01/DRA*01:01 (PDB: 1BX2), the identified amino acids clustered within the right part of the antigen binding pocket (**Fig.5C**). Only two of 7 amino acids were different in DRB1*16:01

304 (indicating higher structural similarity to DRB1*15:01; Fig.5D), while 5/7 residues differed in 305 DRB1*12:01 (more structurally divergent from DRB1*15:01; Fig.5E). We also aligned the crystal 306 structures of DRB1*15:01/DRA*01:01 with three peptides known to have affinity for DRB1 307 molecules (EBV DNA polymerase, vimentin and myelin binding protein [MBP], see methods) 308 and found two different patterns of interaction within the binding groove: while the peptide 309 portions binding in the left part of the groove tended to assume the same backbone 310 conformation (underlying conservation of the physicochemical characteristics among the 311 structures at this interface), peptide portions allocated within the right side of HLA groove 312 assumed more variable conformations (Fig.6A). To investigate how this structural configuration 313 could affect the TCR binding, we then constructed a model structure of TCR α and β chains, 314 DRA*01:01-DRB1*15:01 and CD4 on the reported ternary crystal structure of HLA-peptide-TCR-CD4 (PDB: 3T0E).⁶³ Based on the alignment of these three antigenic models, we found that the 315 variable peptide segments (right portion) interacted mainly with the TCR V β chain (Fig.6B,C). 316 317 These findings provided a proof-of-concept for the importance of this consensus structure in 318 accommodating the interactions with antigen and T-cell specificities within DRB1 locus.

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320 Quantitative thresholds for self-antigenic presentation

322 To explore the binding capacity of self-generated peptides possibly involved in IAA 323 pathogenesis, we built an in silico HSC-specific proteomic reference and we analyzed all the 324 different DRB1 and DQB1 molecules present in IAA and HC cohorts. We generated 15-mer peptides from 40,614 transcripts assembled from 7724 previously identified HSC proteins.⁴⁶ For 325 326 each sequence, the mean number of strong and weak binders (see methods) was determined 327 across all the DRB1 alleles, along with their gene source (Table S13). Overall, about 10% of this 328 proteomic reference was found capable of generating self-peptides suitable for the binding of 329 DRB1 or DQB1 molecules (all peptides with a percentile rank of eluted ligand prediction score 330 <5% -corresponding to strong and weak binders- were considered for this analysis, Fig.S7A,B). 331 HLA molecules belonging to the same locus had similar origin of derived binders. However, 332 quantitative differences in the number of predicted binders were observed across all the alleles 333 (Table S13 & S14). In particular DRB1*15:01 along with other alleles was identified as 334 structurally similar to the DRB1*15 supertype and was characterized by lower binding 335 capacities compared to other alleles (Fig.S7B, C). Analysis of the distribution of the number of 336 binders in HC and IAA/PNH groups showed significantly lower binding capacities in DRB1 locus in patients vs. controls (**Fig.S7D**). This pattern was observed also in the subgroup of homozygous individuals (HED score 0; **Fig.S7E**). For DQB1 locus immune-peptidomic analysis we accounted for the genotypic associations with DQA1 locus and thus we considered only individuals with known DQA1 allele. DQB1*0602-DQA1*01:02 was predicted as one of the haplotypes with the lowest binding capacities (**Fig. S8A-D**) and its propensity to bind HSC selfpeptides was decreased compared to other DQB1*06:02/DQA1 combinations (**Fig.S8B**).

343 To determine whether the findings described above were reproducible across different ethnic 344 groups and populations with unique HLA distributions, we investigated the genotypic and the 345 structural patterns of the DRB1 locus in of IAA patients (N=37, among which N=30 genotyped at 346 4-digit level) and healthy controls (N=128) from a Finnish cohort. Consistently with our results, 347 DRB1*15:01 was significantly associated with BMF phenotype (Fig.S9A,B; OR:5.18; p=0.0001) 348 and divergence in DRB1 locus was lower for IAA patients compared to controls (this 349 configuration was found both for the whole cohort and for non-carriers of DRB1*15:01, 350 Fig.S9C,D). Importantly, as for the main cohort, also in the Finnish one, DRB1 allele distribution 351 was characterized by lower binding capacities in patients vs. corresponding HC.

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353 Insights in TCR repertoires

355 To investigate how risk allele profiles and HED configurations may dictate the patterns of T-cell 356 responses, we performed deep TCR Vβ complementary determining region (CDR3) sequencing 357 for 25 patients with IAA through a multiple sampling (Table S15a). Patients' Vβ CDR3 spectra 358 were compared to those of 122 HC included in our study (Table S15b). After a down-sampling 359 procedure (see Methods), TCR diversity metrics were calculated. As expected, TCR repertoires 360 were characterized by lower diversity compared to HC (IAA vs. HC: p=5.4e-08; number of 361 unique clonotypes: p=0.012; mean size clonal expansion: p=0.512; Fig.7A-C) in both 362 DRB1*15:01 carriers and non-carriers (Fig. 7D-E). No correlation was found between V_β TCR 363 diversity metrics and class I or II mean HED (Fig. 7F-J). To evaluate how HED could impact on 364 the autoreactive disease-associated spectra, we first built a comprehensive compendium of all 365 CDR3 sequences with known specificity identified in literature (see Methods) and blasted the 366 CDR3 sequences identified in our cohorts against this dictionary. Overall, within the identified 367 clonotypic portion of the repertoire (<2% of the total), the mean proportion of identifiable 368 autoreactive clonotypes was 14% in IAA vs. 6% in HC (Fig.7L), while their mean frequency was 369 respectively 0.016% and 0.001% (Fig.7M; p<2e-16). In IAA patients, but not in HC, the 370 productive frequency of those clones inversely correlated with mean class II HED (p=1.332E-19; r^2 = 0.041; Fig.7N), underscoring the clonal expansion of those specificities in patients with 371 372 lower class II HLA divergence. Almost all of the autoimmunity-associated clonotypic groups 373 were found hyperexpanded in IAA compared to HC (Fig.7 O). When analyzing the specificity of 374 potential autoreactive clonotypes towards known epitopes we were able to identify (at a 375 mean productive frequency comprised between 0.0005% and 0.09%) CDR3 sequences 376 reactive against autoantigens recognized in other autoimmune diseases (Tables S16-17). 377 These sequences were explored for their similarity with pathogen-associated TCRs and about 378 16% of them were predicted to be able to bind also infectious epitopes (Tables S16). This 379 feature emphasizes the idea that a background of cross-reactivity, potentially imprinted by 380 HLA, immunepeptidome and TCR interactions may exist in patients with IAA and potentially 381 explain a generalized mechanism of autoreactivity.

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383 Discussion

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In IAA, the autoimmune destruction of hematopoietic progenitor and stem cells is an HLA class I- and II-restricted T cell-mediated process. Here, with a comprehensive immunogenetic approach, encompassing deep NGS of HLA region, TCR sequencing and HSC specific immunopeptidome binding analysis, we intensively assessed HLA structures involved in disease susceptibility and potentially associated with autoimmune propensity. To that end, we used not only a comparative population of HC but also two large disease-control datasets.

391 The quantitative concept of HED relies on divergent allele advantage, stipulating that structural 392 heterogeneity of HLA alleles allows for a wider spectrum of peptides to be presented and thus a higher probability to mount efficient anti-tumor and anti-infectious responses. 64,65,66,40 393 394 Accordingly, a similar principle should apply to autoimmune diseases, with a higher HED 395 reflecting an increased propensity to T cell-mediated autoimmunity. However, we did not 396 found support for this hypothesis and instead observed that HLA molecules in IAA patients 397 were characterized by a high structural similarity, especially in class II, in part due to 398 enrichment for risk alleles and/or alleles structurally similar to risk alleles. These associations 399 were particularly strong for DRB1 and DQB1 loci. Consistently with previous studies, 400 DRB1*15:01 together with DQB1*06:02 were identified as alleles enriched in IAA and PNH.^{26,67,27} A special mention deserves the fact that HED metrics were found to be low, 401

402 independently of the presence of risk alleles in IAA/PNH setting. This pattern is explained by the 403 global low divergence of class II HLA molecules seen in BMF cohort. Indeed, in IAA patients the 404 non-risk alleles were more structurally similar to each other and to the risk alleles and thus may 405 have analogous (albeit not completely overlapping) peptide recognition spectra. This may 406 contribute to decrease the diversity and increase the clonal expansion of TCR specificities in IAA 407 repertoire. Further, a lower locus specific HED was independently associated with disease 408 phenotype also when performing generalized linear regression models tracking the additive 409 effect of class II HLA risk alleles. Reinforcing the idea that this pattern may be present across 410 different ethnicities and ancestry groups, we showed reproducibility of such findings in an 411 independent cohort of Finnish IAA/PNH patients. Also, we found the same low HLA divergence 412 pattern in an autoimmune disease characterized by different risk allele associations and in which, of note, DRB1*15:01 is a protective allele.⁶⁰ 413

414 Since our genetic models were built on a mixed population and did not allow to confirm the class I risk alleles previously identified in a prevalently pediatric Caucasian cohort,² we 415 416 performed also an ethnicity stratified subanalysis. Hence, among alleles formerly recognized, 417 we could show only a slightly significant enrichment in B*14:02 in Caucasian patients from the 418 North American cohort, and again confirmed the strong association with the class II alleles 419 identified in the main analysis, as well as the low divergence characterizing class II loci. It is possible that the above dissimilarities with the previously reported class I associations² rely on 420 the different age composition of our study cohort, prevalently composed by adult patients (see 421 422 Supplementary considerations).

423 In order to deeper analyze the superstructures involved in the decreased divergence within the 424 antigen binding sites, we studied the amino acid specificities found in the variable portion of 425 the antigenic groove of DRB1 and DQB1 molecules with a recursive approach. This analysis 426 enabled the identification of few residues significantly associated with IAA/PNH phenotype, 427 mainly located in pockets involved in antigen and TCR β interactions. This analysis, by modeling 428 analogous antigens known to be binders of DRB*15:01 and alleles with structural similarity, 429 allowed us to identify antigen components involved in the preferential self-presentation of BMF 430 patient, potentially associated with impaired T-cell activation.

431 Consistent with the lower divergence seen in IAA/PNH population, when we analyzed DRB1 and 432 DQB1 binding predictions covering the HSC specific immunopeptidome, we found that for both 433 loci, HLA genotypes had lower binding capacities in patients compared to healthy individuals,

434 both in homozygous and heterozygous settings. If, per divergent allele advantage, 435 immunocompetence is supposed to be enhanced in case of higher HLA divergence, it is 436 plausible that less divergent loci and, per extension, HLA molecules with lower binding 437 capacities (such as DRB1*15:01 and DQB1*06:02-DQA1*01:02) may increase the risk of 438 immunological cross-reactivity and molecular mimicry with possible pathogen-associated 439 antigens, by that triggering autoimmune diseases. As an example of autoimmune disorder with 440 known self-antigenic specificities, in multiple sclerosis the link among DRB1*15:01, EBV and 441 central nervous system antigens' molecular mimicry is well established, with indirect evidence 442 that impaired CD4+ presentation may elicit aberrant CD8+ responses and auto-antibody production.^{68,69,70,71} It is also noteworthy to mention recent data demonstrating in private 443 444 clonotypic responses of IAA patients the presence of a cluster of converging CDR3 sequences, which were potentially epitope-specific, but not predicted to enriched for viral specificities 445 responses.^{72,73} We attempted to predict cross-reactivity across the auto-immune repertoire of 446 the IAA samples using an approach of TCR matching based on homologies among CDR3 447 448 sequences. This particular analysis helped in identifying similarities between autoreactive 449 clonotypes and TCR known to recognize pathogen-associated epitopes, underscoring the 450 concrete possibility of cross-reactivity". The possible production of autoantibody seems also 451 to fit with the idea that certain self-epitopes of targeted gene-products may be presented by 452 DRB1*15:01 and DQB1*06:02 and associated structures.

If risk allele profiles did not impact on clinical outcomes, low divergence in class II was associated with an increased probability of malignant progression in IAA/PNH patients. This finding is in line with the idea that anti-tumor surveillance (previously shown as more efficient in highly divergent class I allele pairs)⁴⁰ could encompass also HLA class II-restricted T-cell responses.^{74,75,76} In this setting, less divergent class II β chains may reduce the neoantigen presentation capabilities configuring an immune escape scenario.

The *in silico* nature of some of our explorations may represent a drawback of our study. However immunopeptidomic studies for BMFs are far from being straightforward because of the limited number of residual HSCs precluding direct application of proteomic tools for the study of potential antigenic structures.

463 **Despite these limitations,** our data demonstrate that in BMFs and potentially in other 464 autoimmune disorders, HLA allele configurations with more specific structural patterns and 465 globally lower functional divergence may contribute to decrease the binding capabilities Immunogenetic basis of BMF

466 especially in class II alleles, potentially enhancing antigenic cross-reactivity and, hence,467 autoimmune propensity.

468 Analysis of HLA divergence and identification of DRB1 superstructure may be easily translated 469 in clinical practice for better diagnostic and prognostic orientations paving the way for new 470 therapeutical approaches potentially able to modulate the self-antigenic binding capabilities of 471 class II HLA molecules.

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3 AUTHORSHIP AND DISCLOSURES

475 Authorship contributions:

477 SP designed the study, collected, analyzed and interpreted the data, performed the 478 bioinformatic and statistical analyses and wrote the manuscript. CG performed NGS 479 experiments, clinical data collection and participated in the analysis interpretation. SK, LT, MZ, 480 AK, HA, YG, BJK helped in sample and data collection. TLa and VV edited the manuscript, helped 481 in data interpretation and gave helpful intellectual insights during the study. YS, BJP, MN, BH 482 actively participated in patient recruitment, management, and follow-up. SL and SM provided 483 genotypic data for the Finnish cohort and critically revised the manuscript. CY performed the 484 molecular modeling, analyzed the crystallographic structures helped in drafting the methods 485 inherent to this part. TC and TLe helped in data interpretation and analytical method 486 development. JM designed and conceptualized the study, interpreted the data analysis and 487 edited the manuscript. First and last authors took responsibility for the integrity and the 488 accuracy of the data presented. All authors reviewed and approved the final version of this 489 manuscript.

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491 Conflict-of-interest disclosure:

This research was conducted in absence of any commercial or financial relationships that could
be construed as a potential conflict of interest.

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496 Data sharing

498 All the data that support the findings of this study are available within the Article and 499 Supplementary Files. Genotypic raw data of the disease-control cohorts of patients with 500 myeloid neoplasia and T1D are not included in this manuscript but can be requested to the 501 authors (MN) and to the National Institute of Diabetes and Digestive and Kidney diseases 502 (T1DGC) respectively. TCR sequencing data are available through the ImmuneACCESS platform 503 (Adaptive Biotechnology).

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Figure 1





709 **Figure 1: Study design. Sc** describing the design and the principal steps of the study.

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Figure 2



Odd ratio enrichment in IAA/PNH patients

Figure 2: Risk allele profile analysis in idiopathic aplastic anemia and paroxysmal nocturnal hemoglobinuria patients

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714 Abbreviations: HED: HLA Evolutionary divergence; MN: myeloid neoplasia; IAA: Idiopathic 715 aplastic anemia; PNH: paroxysmal nocturnal hemoglobinuria; ns: non-significant.

- A) Scatterplot representing the negative logarithm base 10 of the adjusted p-values (q-value, Benjamini and Hochberg correction) resulting from the allele association analysis (see methods). Alleles with significantly different genotypic distributions are labeled according to a dominant genetic model.
- B) Forest plot reporting the odd ratios (OR) defining estimated effect size of alleles enriched in healthy controls (protective) or in patients (risk). Gray markers describe OR resulting from the analysis of genotypic frequencies (dominant model); red triangles depict the OR deriving from analysis of allelic frequencies (additive model).
 The pie charts illustrate the distributions of subjects with at least 1 risk allele (darkest colors) or without any risk allele (brighter colors).
- C) Barplot depicting the distribution of heterozygous (darkest) and homozygous (brightest) for the 4 risk alleles in controls and patients. Black numbers indicate the total of patients genotyped for the given locus. Colored numbers indicate the number of patients (blue) and controls (yellow) carriers of the risk alleles in heterozygous (darkest) or homozygous (brightest) configurations. Two-sided Fisher test is applied to test the significance of associations with phenotype.
 - D) Forest plots showing the results of the binomial logistic regression analysis predicting the likelihood of each risk allele association with an aplastic anemia "immune-enriched" phenotype. HC cohort (N=960) was used as comparator group. All (N=263); IAA Responders (N=141); IAA>20 y (N=216); IAA with PNH clones (N=135); IAA>20 y with PNH clone and Responders (N=59).
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Figure 3



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747	Figure 3: Binomial logistic regression analysis predicting the association between class II HED scores and						
748	aplastic anemia phenotypes						
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750	Abbrev	iations: HED: HLA Evolutionary divergence; IAA: Idiopathic aplastic anemia; PNH: paroxysmal					
751	nocturi	nal hemoglobinuria; HC: healthy controls					
753 754 755 756 757	A)	Forest plots showing the results of the binomial logistic regression analysis predicting the likelihood of class II and locus specific HED scores of being associated with an aplastic anemia "immune-enriched" phenotype. All (N=263); IAA Responders (N=141); IAA>20 y (N=216); IAA with PNH clones (N=135); IAA>20 y with PNH clone and Responders (N=59).					
758 759 760 761 762 763	B)	Multivariable logistic regression analysis testing the independent effect of HED and risk alleles on idiopathic bone marrow failure phenotype. The length of the bars indicates the odd ratio, the error bars show the 95% confident intervals, the numbers on the bars depict the p-values resulting from the likelihood ratio test. Two distinct models are built for DRB1 and DQB1 locus.					
764 765 766 767	C)	Violin plots representing the mean class II HED distribution across healthy controls and aplastic anemia patients not carrying DRB1*15:01. Wilcoxon signed rank test was used to calculate the p-value.					
768 769 770 771	D)	Violin plots representing the DRB1 HED distribution across healthy controls and aplastic anemia patients not carrying DRB1*15:01. Wilcoxon signed rank test was used to calculate the p-value.					
772 773 774 775	E)	Violin plots representing the mean class II HED distribution across healthy controls and aplastic anemia patients not carrying DQB1*06:02. Wilcoxon signed rank test was used to calculate the p-value.					
776 777 778 779	F)	Violin plots representing the DQB1 HED distribution across healthy controls and aplastic anemia patients not carrying DQB1*06:02. Wilcoxon signed rank test was used to calculate the p-value.					
780 781 782 783 784 785 786 787 788 788 789		Comment on Figure 3C-F : Here our intention was to clarify whether the pattern of lower class II HED seen in IAA/PNH patients was related only to the enrichment in certain risk alleles (such as DRB1*15:01 or DQB1*06:02) or could instead uncover a broader immunogenetic aspect encompassing risk allele profiles. We therefore analyzed HED class II configurations in patients vs controls non carrying those risk alleles and showed that their scores were lower than controls, as a reflex of higher structural similarity between two DRB1 and DQB1 alleles in patients, regardless of the presence of risk genotypes.					



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Figure 4: Simulated structural divergence between each class II risk allele and the pool of alleles present in
 DRB1 and DQB1 loci in patients and controls.

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Abbreviations: HED: HLA Evolutionary divergence; IAA: Idiopathic aplastic anemia; PNH: paroxysmal nocturnal hemoglobinuria; HC: healthy controls

A) Circle graph representing the simulated divergences between DRB1*15:01 and each allele
 present in DRB1 locus of IAA/PNH patients and HC. Red bars illustrate the alleles more similar to
 DRB1*15:01 (divergent pairs located under the 25th percentile cutoff of the simulated distribution).

801 B) Forest plots showing the results of the binomial logistic regression analysis predicting the 802 association between the presence of DRB1*15:01-like alleles and aplastic anemia "immune-enriched" 803 phenotypes. HCs (N=960) were used as comparator group. Pie charts describe the distribution of 804 alleles more similar and more divergent from DRB1*15:01 in patients and controls. All (N=263); IAA 805 Responders (N=140); IAA>20 y (N=216); IAA with PNH clones (N=135); IAA>20 y with PNH clone and 806 Responders (N=59).

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C) Circle graph representing the simulated divergences between DQB1*06:02 and each allele
 present in DQB1 locus of IAA/PNH patients and HC. Red bars illustrate the alleles more similar to
 DQB1*06:02 (divergent pairs located under the 25th percentile cutoff of the simulated distribution).
 IAA>20 y (N=216); IAA with PNH clones (N=135); IAA>20 y with PNH clone and Responders (N=59).

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D) Forest plots showing the results of the binomial logistic regression analysis predicting the
 association between the presence of DQB1*06:02-like alleles and an aplastic anemia "immune enriched" phenotype. HCs (N=960) were used as comparator group. Pie charts describe the
 distribution of alleles more similar and more divergent from DQB1*06:02 in patients and controls.

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Figure 5: Recursive analysis of the amino acid sequence within the peptide binding site of DRB1 locus

- Abbreviations: IAA: Idiopathic aplastic anemia; PNH: paroxysmal nocturnal hemoglobinuria; HC: healthy controls; aa:
 amino acid
 amino acid
- A) Lower panel: WebLogo visualization representing the contribution of single aminoacids within the
 variable portion of the peptide binding site of DRB1 locus. The x-axis indicates each variable position (as per
 IPD-IMGT-HLA reference). Letters represent each possible amino acid at each given position; Letters' height
 illustrates the frequency of each amino acid in healthy control population. Colors indicate the chemico physical properties as per legend in B.
- Upper panel: stylized visualization of amino acids differentially distributed between HC and IAA/PNH cohorts. Letters' height illustrates the frequency of each amino acid in disease population. Markers indicate the odd ratios resulting from the logistic regression analysis studying each aminoacidic contribution in determining the phenotype (see methods and Table S7).
- B) Scatter plot showing the significance level of each variable amino acid in the peptide binding site of DRB1 locus found enriched in IAA/PNH population compared to HC. Each dot represents the negative logarithm base 10 for the adjusted p-value (q-value) referring to each amino acid. The position on x-axis indicate the position within the peptide binding site according to IPD-IMGT-HLA reference). Only the amino acids presenting a q-value<10-e4 are considered significant for this analysis and labelled in the figure. Alleles presenting the indicated amino acid at the given position are indicated in the boxes. Colors represent the chemico-physical properties as per legend.
- C) Crystallographic structure showing the position of the 7 amino acids significantly enriched in the
 peptide binding groove of DR molecules of IAA/PNH patients. This 3D structure has been visualized with
 the PyMOL program based on the structure of DRB1*15:01-DRA*01:01-myelin binding protein (PDB:1BX2)
 and HLA sequences retrieved from IPD-IMGT/HLA database v. 3.40. Only the 7 amino acids identified in the
 previous analysis have been highlighted.
- Binding site of DRB1*16:01-DRA*01:01 based on the homology model of DRB1*16:01. The
 structure of DRB1*16:01 has been superimposed to DRB1*15:01. Residues differing from the risk pattern
 seen in DRB1*15:01 are colored in red.
- Binding site of DRB1*12:01-DRA*01:01 based on the homology model of DRB1*12:01. The
 structure of DRB1*12:01 has been superimposed to the structure of DRB1*15:01. Residues differing from
 the risk pattern seen in DRB1*15:01 are colored in red.
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Figure 6

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Conserved Variable CD4 DRA*01:01 TCR α Antigens α β MHC-II DRB1*15:01 Vimentin G V Y A T R S S A V R L R Myelin basic protein E N P V V H F F K N I V T P R EBV DNA Polymerase G G V Y H F V K K H V H E S С TCR-β TCR-a TCR-a TCR-β Antigens DRA*01:01 Antigens DRB1*15:01 DRA*01:01 DRB1*15:01 CD4

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874 Figure 6: Structural insight into DRB1-antigen-TCR interactions

Abbreviations: TCR: T-cell receptor; MHC: major histocompatibility complex, MBP: myelin binding
 protein; EBV: Epstein barr virus
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878 Peptides of vimentin (UniProt: P08670, VIME_HUMAN, 59-71), EBV DNA polymerase (UniProt: A) 879 P03198, DPOL_EBVB9, 628-641), MBP (UniProt: P02686, MBP_HUMAN, 217-231) at the HLA binding 880 site based on the alignment of crystal structures of DRB1*15:01-DRA*01:01/MBP (217-231) (PDB: 881 1BX2), DRB1*14:02-DRA*01:01/ vimentin (amino acid positions: 59-71, PDB:1H15) and DRB5*01:01-882 DRA*01:01/EBV DNA polymerase (628-641) (PDB:6ATF). The red squares indicate the peptide portions 883 presenting with more conformational variability (in interaction with the right site of the HLA binding 884 groove). This 3D structure has been prepared with PyMOL using the crystal structure of DRB1*15:01-885 DRA*01:01/MBP (PDB: 1BX2).

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887 B) Modeled ternary structure of HLA-Antigen-TCR-CD4.

C) Detail of the interaction interface in HLA-antigen-TCR. The three antigenic structures are
 aligned as shown above. The risk amino acid pattern within the right side of the binding groove
 interacts with a more variable antigenic portion that contacts directly with the TCR beta chain
 (software PyMOL).

Figure 7



896 Figure 7: T cell receptor analysis in IAA patients

- 897 Abbreviations: IAA: Idiopathic aplastic anemia; HC: healthy controls; aa: amino acid; ISI: Inverse simpson index
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- A) ISI distribution in HC and IAA patients (downsampled dataset). Violin plots showing
 median and interquartile ranges. Wilcoxon signed rank test.
- B) Number of unique clonotypes in HC and IAA patients (downsampled dataset). Violin
 plots showing median and interquartile ranges. Wilcoxon signed rank test.
- 903 C) Mean size of expansion of each clonotype of size ≥2 templates in HC and IAA
 904 patients (down-sampled dataset). Violin plots showing median and interquartile
 905 ranges. Wilcoxon signed rank test.
- 906 D) ISI distribution in HC and IAA patients in DRB1*15:01 carriers (down-sampled dataset). Violin plots showing median and interquartile ranges. Wilcoxon signed rank test.
- B) ISI distribution in HC and IAA patients in non-DRB1*15:01 carriers (down-sampled dataset). Violin plots showing median and interquartile ranges. Wilcoxon signed rank test.
- 912 F) Linear regression analysis between ISI and mean class I HED.
- 913G) Linear regression analysis between number of unique clonotypes and mean class I914HED
- H) Linear regression analysis between mean size of clonotype expansion and mean class I HED.
- 917 I) Linear regression analysis between ISI and mean class II HED.
- 918J)Linear regression analysis between number of unique clonotypes and mean class II919HED.
- K) Linear regression analysis between mean size of clonotype expansion and mean class II HED. R-squared goodness-of-fit are reported along with the p-value in each box (from F to K).
- Proportion of known complementary determining region 3 (CDR3) specificities in
 IAA and HC groups (this distribution has been computed in the downsampled
 dataset).
- M) Negative logarithm of mean frequency of autoreactive clonotypes present in HC
 and IAA patients. Violin plots showing median and interquartile ranges. Each dot
 represent the mean frequency/per subject (All the values refer to the non downsampled dataset in order to capture all the possible recognizable CDR3
 sequences). Wilcoxon signed rank test
- N) Linear regression analysis between frequency of known autoreactive clonotypes in
 IAA patients and mean class II HED. Each dot represents one autoreactive
 clonotype. Clonotypes with overlapping frequencies are represented by darkest
 dots. (All the values refer to the non-downsampled dataset). Wilcoxon signed rank
 test. R-squared goodness-of-fit are reported along the p-value.
- 936 O) Bubble matrix showing the mean frequency of each autoimmune-disease
 937 associated clonotype present in the non-downsampled repertoires of IAA and HCs.
 938 Each bubble represents the number of clonotypes with known autoimmune
 939 specificity (X-axis). The size of each bubble indicates the mean frequency. Wilcoxon
 940 signed rank test is used to compare the mean frequencies of each specificity
 941 between IAA and HC groups.
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Table 1: Patient characteristics at diagnosis ^{\$}				
Category	Sub-category	N (%)/median (IQR)		
All		263		
Age (years)		44 (27-62)		
	Caucasian	214 (84%)		
	African American	22 (8%)		
Ethnicity	Asian	9 (4%)		
Linicity	Hispanic or latino	7 (3%)		
	Other	2 (2%)		
	Unknown	9 (3%)		
Gender	Female	132 (50.2%)		
	Male	131 (49.8%)		
	IAA +/- non-hemolytic PNH clone	216 (82%)		
Disease phenotype	IAA + hemolytic PNH clone	13 (5%)		
	Primary hemolytic PNH	34 (13%)		
PNH granulocytic clonal size		5.6 (1-37.2)		
	Severe	166 (71%)		
Seventy IAA	Moderate	66 (28%)		
Treatmer	nt characteristics			
Category	Sub-category	N (%)		
	ATG/CSA	129 (53%)		
	ATG/CSA/Eltrombopag	14 (6%)		
	CSA	22 (9%)		
	CSA/Eltrombopag	8 (3%)		
First Line Treatment (except allo-HCT)*	CSA/other	5 (2%)		
	Androgens	10 (4%)		
	Anti-complement	26 (11%)		
	Eltrombopag	3 (1%)		
	None	14 (6%)		
	Uther	11 (5%)		
Allo-HCI (all lines)		40 (1/%)		
	0	<u>۲</u> / (۵%)		
		90 (40%)		
N° Lines**				
N Elles	2	53 (25%) 26 (42%)		
	3	26 (13%)		

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946 **Abbreviations:** IQR: Interquartile range, IAA: Idiopathic aplastic anemia, PNH: Paroxysmal Nocturnal

947 Hemoglobinuria; IST: Immunosuppressive treatment, Allo-HCT: allogeneic hematopoietic stem cell transplant,

948 CSA: Cyclosporine, ATG: Anti-thymocyte globuline.

949 * Data on 242 patients. ** Data on 208 patients.

950 ^{\$}Data reported for the Cleveland Clinic cohort only. For patient characteristics of the Finnish cohort see Table S23.

Table 2: Outcome description ^{\$}						
Response to IST* (first line)	CR/PR	141 (69%)				
	NR	62 (30%)				
Secondary PNH from IAA	N (%)	28 (10%)				
Time to secondary PNH	median (IQR)	42.2 (23.4-80.4)				
CIF of secondary PNH at 10 years	Probability (95%CI)	12.3 (7.8 - 17.8)				
Progression to AML/MDS	N (%)	32 (12%)				
CIF of progression to AML/MDS at 10 years	Probability (95%CI)	14.7 (10.5 - 19.6)				
Time to progression AML/MDS (months)	median (IQR)	44.6 (24.3-77.8)				
Follow-up (months)	median (IQR)	85 (40-153)				

956 **Abbreviations:** IQR: Interquartile range, IAA: Idiopathic aplastic anemia, PNH: Paroxysmal Nocturnal

957 Hemoglobinuria; AML: Acute Myeloid Leukemia; MDS: Myelodysplastic syndrome; IST: Immunosuppressive

958 treatment, CIF: Cumulative incidence function, CI: confident interval * Data on 203 patients.

959 ^{\$}Data reported for the Cleveland Clinic cohort only.