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Abstract Analyzing video data from an uncrewed aerial vehicle (UAV) of two short-lived dome building events at Anak Krakatau volcano (Indonesia), we determine vertical and horizontal movements of the dome surface prior to explosions, as well as initial eruption velocities and mass eruption rates via automated feature tracking and other photogrammetric methods. Initial eruption velocities and mass eruption rates are estimated as a proxy for eruptive strength. Eruptive strength is found to correlate with deformation magnitude, i.e., larger pre-explosion surface displacements are followed by both higher initial eruption velocities and mass fluxes. In accord with other studies, our observations can be explained by an overpressure underneath the dome's surface. We assume that the dome seals the underlying vent efficiently, meaning that pre-explosion pressure build-up controls both deformation magnitude and eruptive strength. We support this assumption by a simple numerical model indicating that pre-explosion pressure increases between 8 and 16 MPa. The model further reveals that the two events vary significantly with respect to the importance of lateral visco-elastic flow for pressurization and deformation. The video sequences also show considerable variations in the gas release and associated deformation characteristics. Both constant and accelerating deformation is observed. Our case study demonstrates that photogrammetric methods are suitable to provide quantitative constraints on both effusive and explosive activity. Future work can build on our or similar approaches to develop automated monitoring strategies that would enable the observation and analysis of volcanic activity in near real time during a volcanic crisis.

Keywords (separated by '-') Photogrammetry - Uncrewed aerial vehicle (UAV) - Deformation - Initial eruption velocity - Mass eruption rate - Anak Krakatau volcano

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2 Eruption dynamics of Anak Krakatau volcano (Indonesia) estimated 3 using photogrammetric methods

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7 Abstract

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9 tau volcano (Indonesia), we determine vertical and horizontal movements of the dome surface prior to explosions, as
10 well as initial eruption velocities and mass eruption rates via automated feature tracking and other photogrammetric
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21 constraints on both effusive and explosive activity. Future work can build on our or similar approaches to develop
22 automated monitoring strategies that would enable the observation and analysis of volcanic activity in near real
23 time during a volcanic crisis.

24 **Keywords** Photogrammetry · Uncrewed aerial vehicle (UAV) · Deformation · Initial eruption velocity · Mass eruption rate ·
25 Anak Krakatau volcano

26 Introduction

AO1

27 Over 750 million people live in close proximity to active vol-
28 canoes (within 100 km), endangering their homes and lives
29 (Cottrell, 2015). In the last 400 years, almost 260,000 lives
30 were claimed by volcanic activity, partly due to the excep-
31 tionally high and constantly increasing population density in
32 volcanic regions. To some extent, the latter results from the
33 outstanding fertility of volcanic soils, which are thus often
34 used as agricultural land. This leads to an increased risk for
35 future volcano-related human casualties (Schmincke 2004).

36 To be able to evacuate endangered regions quickly and
37 to save lives, the prediction of volcanic eruptions is crucial.
38 However, this requires extensive monitoring of active and
39 potentially dangerous volcanoes. Traditional monitoring
40 techniques such as measuring seismicity (McNutt 2005),
41 acoustic emissions (Johnson and Ripepe 2011; Fee and

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42 Matoza 2013), degassing (Burton et al. 2015), and geod- 95
43 esy (Lisowski et al. 2008) need the installation of expensive 96
44 equipment such as seismometers, gas detectors, and tiltm- 97
45 eters close to often inaccessible active craters. The associated 98
46 high costs, the considerable danger to personnel, and chal- 99
47 lenging logistics have prevented the development of exten- 100
48 sive monitoring networks on many active volcanoes so far. 101
49 This might change in the future due to innovative low-cost 102
50 remote-sensing measurement techniques such as photogram- 103
51 metry, enabling the safe and rapid acquisition of data even 104
52 in inaccessible areas (Wakeford et al. 2019). 105

53 For over two decades, photogrammetric methods have 106
54 been used to study a large variety of volcano-related phe- 107
55 nomena. Pioneer work was even carried out much earlier 108
56 by Chouet et al. (1974) who analyzed analogue movies to 109
57 estimate the bulk and time-dependent properties of two 110
58 eruptions at Stromboli volcano (Italy), including particle 111
59 and gas velocities. More than 20 years later, Yamashina 112
60 et al. (1999) measured the deformation at Unzen volcano 113
61 (Japan) by visually comparing pairs of sequential pho- 114
62 tographs. Watts et al. (2002) combined photographs and 115
63 video recordings with theodolite and electronic distance 116
64 measurements to describe the chronological evolution of 117
65 the lava dome at Soufrière Hills volcano (Montserrat) 118
66 throughout the first episode of dome growth (November 119
67 1995 to March 1998). More recent studies have used pho- 120
68 togrammetry to constrain the geometry of an active vent 121
69 (Dürig et al. 2015a) or to investigate lava flow extrusion 122
70 (Carr et al. 2019), flank deformation and stability (Baldi 123
71 et al. 2008; Saito et al. 2018), morphological changes in 124
72 the crater area (Civico et al. 2021; Schmid et al. 2021), 125
73 as well as lava dome growth (Johnson et al. 2008; Major 126
74 et al. 2008; Schilling et al. 2008; Diefenbach et al. 2012, 127
75 2013; Walter et al. 2013; Salzer et al. 2016; Zorn et al. 128
76 2020).

77 Lava dome growth has been examined by comparing 129
78 sequential digital elevation models (DEMs) (Schilling 130
79 et al. 2008; Diefenbach et al. 2012, 2013) or by track- 131
80 ing features in the imagery (Johnson et al. 2008; Major 132
81 et al. 2008; Walter et al. 2013; Salzer et al. 2016; Zorn 133
82 et al. 2020). For instance, Major et al. (2008) manually 134
83 tracked features of the lava dome at Mount St. Helens 135
84 and showed an almost logarithmic decrease in the extru- 136
85 sion rate over 14 months, which correlated with trends 137
86 in seismicity and geodetic deformation. Combining fea- 138
87 ture tracking with seismic data, Johnson et al. (2008) 139
88 were able to attribute episodic long-period earthquakes 140
89 at Santiaguito volcano (Guatemala) to abrupt sub-ver- 141
90 tical surface displacements of the crater-filling dome. 142
91 A similar study by Salzer et al. (2016) revealed a cor- 143
92 relation between short-term meter-scale downward dis- 144
93 placements of the dome at Mount St. Helens and seis- 145
94 mic events, in both timing and amplitude. Walter et al.

(2013) tracked temperature features in time-lapse infra- 95
red images and found a temporal correlation between 96
dome-deformation episodes and explosive activity at 97
Volcán de Colima (Mexico). By combining optical fea- 98
ture tracking with thermal imagery, Zorn et al. (2020) 99
were able to distinguish between the slow radial growth 100
of the Caliente lava dome (Guatemala) and a narrow 101
rapid lava flow extruded from the dome's summit. 102
Instead of using ground-based data like the previous 103
feature-tracking studies, Zorn et al. (2020) evaluated 104
imagery recorded by an uncrewed aerial vehicle (UAV). 105

106 Traditionally, photogrammetric volcano monitoring 106
107 has been based on imagery recorded by satellite-, crewed 107
108 aircraft-, or ground-based instruments. Satellite data, 108
109 for example, provide long-term synoptic observation 109
110 of the investigated area usually at a comparatively low 110
111 temporal resolution (James et al. 2020). The advantage 111
112 of a more frequent data acquisition provided by crewed 112
113 aircraft surveys is limited by significantly higher costs 113
114 along with risk to the flight crew from geo-hazards (e.g., 114
115 volcanic plumes; Melita et al. 2015). Ground-based data 115
116 are characterized by both a high temporal and a high spa- 116
117 tial resolution (Johnson et al. 2008; Carr et al. 2019). 117
118 However, fixed measurement stations are likely to be 118
119 destroyed by volcano-related phenomena, while hand- 119
120 held image recording depends on the accessibility of the 120
121 study area and can pose a significant risk to the operat- 121
122 ing scientist. UAVs offer a safe alternative by allowing 122
123 the rapid acquisition of imagery at a spatial and temporal 123
124 resolution comparable to ground-based data (Saito et al. 124
125 2018; Wakeford et al. 2019; Schmid et al. 2021). Since 125
126 2010, UAVs can operate autonomously using a general- 126
127 ized GNSS-driven (Global Navigation Satellite System) 127
128 autopilot system (Meier et al. 2011). 128

129 This study presents photogrammetric analyses of 129
130 UAV-based video data recorded at Anak Krakatau vol- 130
131 cano (Indonesia) in October 2018. The video sequences 131
132 are used to examine both effusive and explosive activity, 132
133 while the focus is on the quantitative analysis of pre- 133
134 explosion dome deformation within the crater area. The 134
135 study's main objective is to analyze the effusive phase 135
136 with regard to both a spatial and a temporal deforma- 136
137 tion pattern. For this purpose, a 2D deformation vector 137
138 field is derived from the imagery by applying the free 138
139 research software *Environmental Motion Tracking* (EMT, 139
140 Schwalbe and Maas 2017; <https://tu-dresden.de/geo/emt>). 140
141 Additionally, the explosive phase is investigated by esti- 141
142 mating both initial eruption velocity and mass eruption 142
143 rate as a measure of eruptive strength. Finally, we dis- 143
144 cuss the strengths and limitations of the physical models 144
145 tested and suggest potential improvements for the future 145
146 under the light of our findings. 146

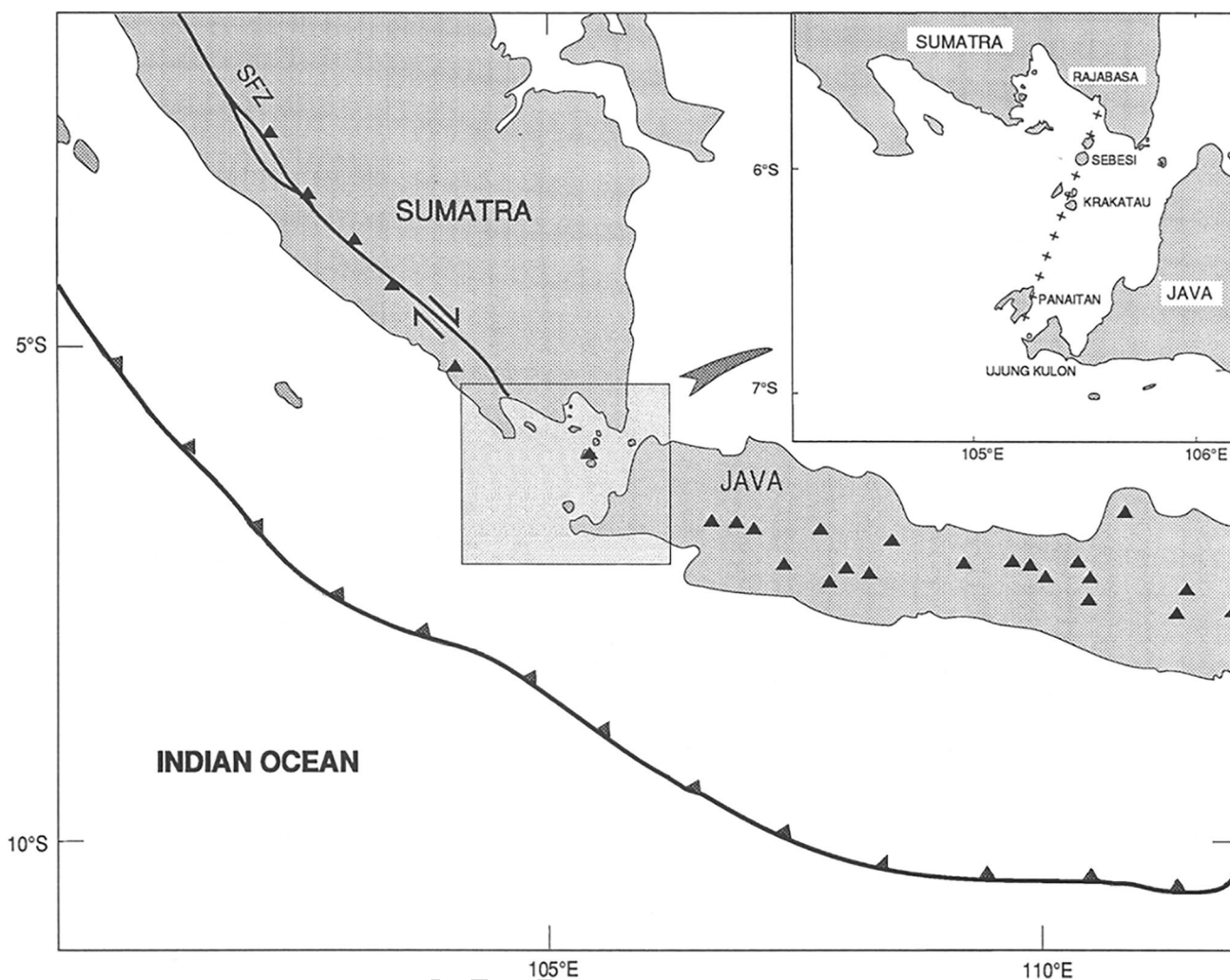


Fig. 1 Geotectonic setting of the Krakatau volcanic complex. The heavy solid line with black triangles indicates the trench axis of the subduction of the Indo-Australian plate beneath the Sunda plate. Volcanoes are visualized by black triangles; SFZ, Sumatra Fault Zone.

The detailed view of the Sunda strait emphasizes the location of the Krakatau volcanic complex on a N20° volcanic line extending from Mt. Rajabasa to Panaitan island. The figure is modified from, Depluis et al. (1995)

147 **Study area**

148 The Krakatau complex is located in the Sunda strait between
 149 Java and Sumatra, Indonesia (Fig. 1), and consists of four
 150 islands: Sertung, Panjang, Rakata, and Anak Krakatau
 151 (“child of Krakatau” in Indonesian). The latter represents the
 152 only currently active volcanic cone of the complex, which
 153 reached sea level in August 1927 (Harjono et al. 1989).
 154 Apart from Anak’s recent emergence, at least two destruc-
 155 tive historical eruptions contributed to the present island
 156 configuration (Effendi et al. 1986).

157 Anak Krakatau’s frequent activity poses a significant
 158 threat to the coastal population of Java and Sumatra. Thus, a
 159 permanent multi-parameter monitoring system was installed
 160 (Hoffman-Rothe et al. 2006), consisting of five stations in
 161 the Krakatau archipelago and a volcano observatory on the

western coast of Java. The monitoring network provides
 geophysical, environmental, and geochemical data including
 seismicity, GPS-based deformation, electromagnetics, soil
 temperature, weather, sea level, video, as well as measure-
 ments on fumarolic gas emission.

About 2 months following the acquisition of the video
 data used in this study, a major collapse event took place
 at Anak Krakatau volcano. On December 22, 2018, at
 13:55:49 UTC (Walter et al. 2019), Anak’s western flank
 collapsed into the sea, causing a tsunami with maximum run
 up heights of more than 14 m above mean sea level (update
 from January 2, 2019, Tsunami and Disaster Mitigation
 Research Center (TDMRC), <http://tdmrc.unsyiah.ac.id/>). As
 a result, 431 people were killed, 7200 injured, and 46,646
 displaced, while the coastal infrastructure was significantly
 damaged (press release dated December 30, 2018, 12:48

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178 UTC, Indonesian National Disaster Management Authority
 179 (BNPB), <https://bnpb.go.id/>.

180 The instability of the volcanic edifice and thus the poten-
 181 tial danger of a landslide-induced tsunami were already
 182 noted in numerous studies several years prior to the sector
 183 collapse event (Camus et al. 1987; Deplus et al. 1995). In
 184 hindsight, month-long precursors were identified by Walter
 185 et al. (2019), who evaluated multi-parametric ground-based
 186 and space-borne data recorded between January 2018 and
 187 January 2019. The authors identified an elevated stage of
 188 activity throughout 2018, while infrared data indicated the
 189 onset of a new intense eruptive phase on June 30, lasting
 190 until the December 22 sector collapse.

191 The video sequences analyzed in this study were recorded
 192 during the new intense eruptive phase and show persistent
 193 Strombolian to Vulcanian activity, which was characteristic
 194 for this period. The persistent explosive activity resulted in
 195 the deposition of eruptive products on the summit as well
 196 as on the western and southern flanks of the volcanic edi-
 197 fice. As a consequence, the island’s surface area and the
 198 load acting on its summit (especially on its southern flanks)
 199 increased progressively. InSAR time series revealed that the
 200 southwestern and southern flanks were already slowly sub-
 201 siding and moving westward in January 2018 (see Walter
 202 et al. 2019). Over one third of the island was affected by pre-
 203 collapse deformation with a total displacement of 3.36 m.
 204 Considering satellite-borne and UAV-based imagery, Walter
 205 et al. (2019) conclude that only 45–60% of the previously
 206 deforming subaerial flank actually failed during the collapse
 207 event, reducing the island’s height from about 320 to 120 m.
 208 The result was a steep amphitheater morphology enclosing a
 209 deep valley on the southwestern sector of the edifice, along
 210 with shifted coastlines due to the deposition of new volcanic
 211 material.

212 **Data acquisition**

213 **Field campaign**

214 The video sequences analyzed in this work were recorded
 215 by Martin Rietze in October 2018, showing the active cone
 216 of the Krakatau complex prior to the December 22 flank
 217 collapse. Seven eruptions were filmed, the first six of them
 218 occurred between 08:28 and 09:17 a.m. on October 24,
 219 while the last one took place 1 day later at 11:45 a.m. local
 220 time (Rietze 2019, pers. comm.). Excerpts of the October 24
 221 video sequences are available at [http://mrietze.com/web16/](http://mrietze.com/web16/Krakatau18air_yout.htm)
 222 [Krakatau18air_yout.htm](http://mrietze.com/web16/Krakatau18air_yout.htm).

223 A Mavic Pro drone with a sensor size of 6.3×4.7 mm was
 224 used for data acquisition. When utilized in video mode, the
 225 4:3 format is cropped to 16:9, keeping the entire width and

reducing the height of the image (Rietze 2019, pers. comm.). 226
 This results in a used sensor size of 6.3×3.5 mm and an 227
 effective pixel size of 1.64 μm. Twenty-five frames were 228
 recorded per second with an image resolution of 3840×2160 229
 pixels. 230

To provide usable data for photogrammetric analysis, 231
 the individual video frames of an eruptive event must be 232
 as comparable as possible. For instance, camera perspec- 233
 tive and position are preferably kept stable throughout 234
 the sequence, and it is crucial to keep the scale of frames 235
 and pixels constant. The former requires that each video 236
 is captured during a stationary hover of the UAV, which 237
 was not always the case (we note that none of the videos 238
 were recorded with a scientific goal in mind). Thus, only 239
 the videos that fulfill this requirement were considered 240
 for analysis. During recording, the drone-internal gim- 241
 bal system was used for camera stabilization, providing 242
 a motor-based in situ compensation of small movements. 243
 Therefore, no remapping or post-processing was required 244
 to stabilize the data, and all frames maintained their size in 245
 terms of pixel quantity. Additionally, no changes in camera 246
 focus were carried out, neither manually nor automatically 247
 (Rietze 2019, pers. comm.). This allowed us to assume a 248
 constant focal distance value within our analyses. 249

Recorded data sets 250

Videos: deformation measurement 251

Due to position changes of the UAV during recording, 252
 adverse perspectives, and strong pre-explosion degas- 253
 sing, the photogrammetric evaluation for five of the seven 254
 recordings was found impossible. Both remaining vid- 255
 eos show the first and the third eruption in the recording 256
 sequence, including their effusive and explosive stages. 257
 In the following, the effusive part of both recordings is 258
 described; the full videos are provided in the supplemen- 259
 tary material (Online Resources 1 and 2). 260

Eruption 1 The effusive stage of the first eruption was 261
 recorded over a duration of almost 23 s from a distance 262
 of ~483 m, giving a spatial resolution of ~0.17 m per pixel. 263
 Since the video was recorded during a stationary hover of 264
 the UAV, it provides invariant object sizes along with a 265
 nearly constant image plane. The minor wind-related cam- 266
 era movements can be measured precisely in the imagery, 267
 allowing to remove their effect from the measured deforma- 268
 tion. Due to the only slightly elevated, almost horizontal 269
 view of the crater area (12.30° deviation from horizontal), 270
 the image plane approximately equals a vertical plane in 271
 3D space. This perspective is favorable since it allows us to 272
 project the measurements onto a vertical motion plane and 273

274 translate pixel sizes into lengths of SI units. Additionally,
 275 low to moderate degassing allows for a clear view on the vis-
 276 ibly deforming lava dome, reducing feature-tracking errors
 277 caused by rising volcanic gas.

278 **Eruption 3** The effusive stage of the third eruption was
 279 recorded over almost 34 s from a distance of ~304 m,
 280 resulting in a spatial resolution of ~0.13 m per pixel. Sim-
 281 ilar to eruption 1, the UAV maintained a stationary posi-
 282 tion during recording and the camera was only affected by
 283 minor wind-induced movements. The camera perspective
 284 is also defined by a marginally elevated, nearly horizon-
 285 tal view of the crater area, deviating only 15.72° from
 286 the horizontal. As a consequence, visible deformation
 287 is restricted to an approximately vertical motion plane,
 288 allowing us to scale the measured trajectories. Degassing
 289 is slightly stronger than in case of eruption 1, increasing
 290 the probability of tracking errors due to rising volcanic
 291 gas. Yet, except for a few more distant sections, the de-
 292 formation of the lava dome is visible. Since this data set
 293 was acquired from a shorter distance than the recording
 294 of eruption 1, the dome appears larger in size within the
 295 imagery. Therefore, deformation could be investigated at
 296 greater detail.

297 **Set of photographs: DEM generation**

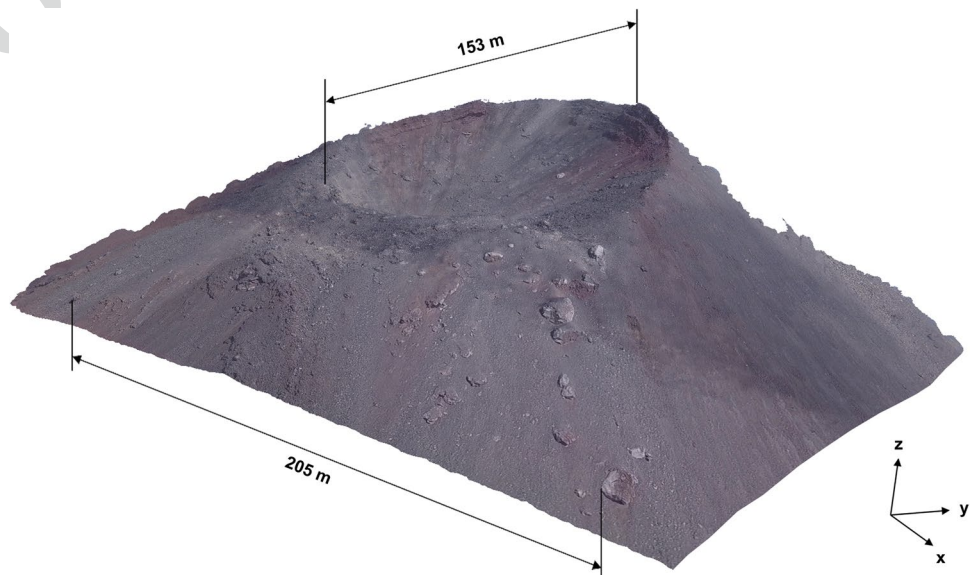
298 In addition to the video sequences, a set of 27 individual
 299 oblique photographs was recorded during the October 2018
 300 field campaign. From a subset of this imagery, we created
 301 the digital elevation model (DEM) of the volcanic edifice.
 302 DEM generation was performed using structure from motion
 303 (SfM) photogrammetry in the software *Agisoft Metashape*®.
 304 SfM represents a photogrammetric method based on the

simultaneous estimation of camera position and orientation
 as well as object geometry (structure) from a wide range of
 perspectives (Ullman 1979).

Initially, the resulting 3D model was not scaled or
 georeferenced. Therefore, it had to be transformed from
 its local coordinate system into the world coordinate
 system. This georeferencing was conducted by assign-
 ing three 3D control points that were derived from
 satellite images. To achieve a horizontalization of the
 3D model, we selected points as control points that are
 located on the coastline at sea level. This way, we could
 assume an identical height level for the control points,
 which we set to zero. The three control points, which
 are widely distributed around the volcano, allowed us
 to fix the position and orientation of the DEM in the
 world coordinate system. The distances between these
 control points were used to scale the whole DEM to
 metric units. Since the three control points delivered
 three scales (although a single one would already have
 been sufficient), there was a redundancy allowing us to
 estimate a scaling error. This scaling error is a relative
 error that affects distances measured from the DEM,
 and was estimated to be 0.1%.

The DEM (Fig. 2) was derived from a set of five oblique
 images plus the first frame of each video sequence, allow-
 ing us to determine the exterior camera orientation (i.e., camera
 position and orientation) during data acquisition. Combining
 exterior camera orientation and DEM, a so-called depth map
 was generated, which assigns the distance between camera
 and imaged object to each pixel. Together with the camera
 file (camera-specific information), the depth map serves
 as input for the scaling and georeferencing of deformation
 trajectories.

Fig. 2 Digital elevation model (DEM) of the Anak Krakatau volcano summit region, derived from oblique imagery via SfM photogrammetry



Data processing

Three main steps were followed to extract a scaled and geo-referenced 2D displacement vector field from the previously described video sequences: preprocessing, processing, and postprocessing.

Preprocessing

The preprocessing workflow consists of four individual tasks:

(I) Preparation of image data

Frames must be extracted from the pre-explosion part of the video recording as a preparatory step to be processed by the Environmental Motion Tracking software package (EMT; Schwalbe and Maas 2017).

(II) Definition of fix points and object points

The determination of motion curves (trajectories) from image sequences is based on the assignment of identical points in successive frames. Since the imagery examined here shows a superposition of pre-explosion dome deformation and camera motion, the latter must be measured individually in order to be removed from the measured displacements. For this purpose, two sets of point clouds are defined, each within a specifically selected frame of the sequence. One point cloud must include stationary attributes (fix points) to determine camera motion (Fig. 3), while the

other (object points) defines a point grid on the surface of the investigated object (Fig. 4a, b). The quantification of valid camera motion parameters requires a sufficient number of fix points framing the investigated object. For our image analysis, we assigned 3749 (eruption 1) and 4274 (eruption 3) fix points.

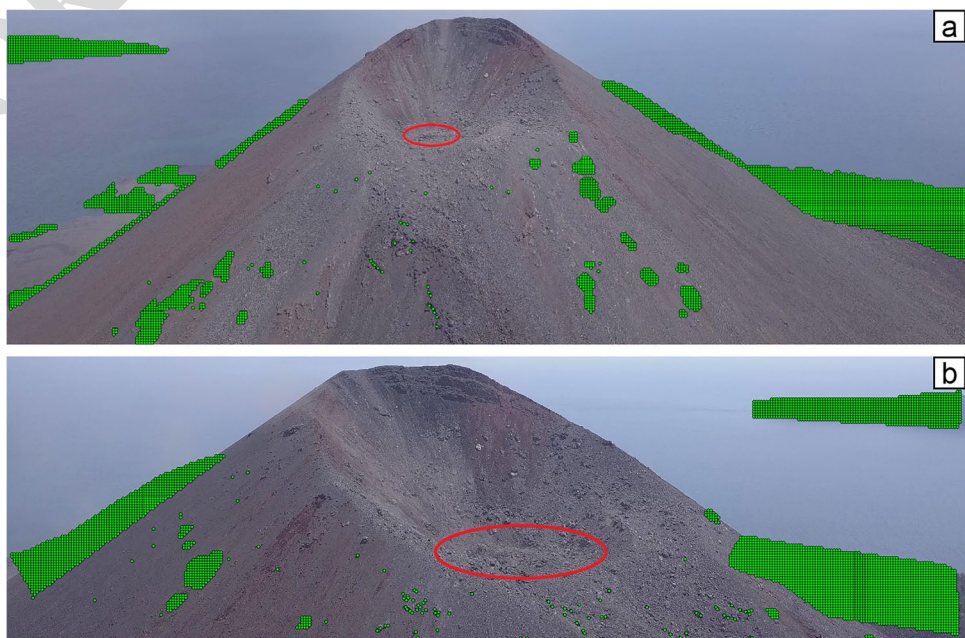
In contrast to fix points, object points are specified within the dynamic image section that is investigated regarding motion and deformation. The extent of this dynamic area is only accessible in the last frame of the pre-explosion image sequence, immediately prior to the explosion when deformation is strongest. Hence, the last frame is used for object point definition, allowing us to specify an object point grid entirely enclosing the fully developed lava dome (see Fig. 4a, b).

(III) Selection of relevant object points

The object point cloud is further classified into relevant and irrelevant points. Only relevant points (i.e., points inside the dome boundary; see Fig. 4c, d) are used in the subsequent processing steps for two reasons:

- (1) Reducing the number of points to process decreases computational effort.
- (2) Rather than the point itself, it is the area around each point (i.e., a patch) that is tracked throughout the image sequence. Since the measured displacement is interpolated over this patch, notable deformation can be incorrectly suggested for points located outside the dome area. This interpolation artifact is avoided by exclusively evaluating relevant object points.

Fig. 3 Fix points (green) as specified within the first frame of the pre-explosion recording; **a** eruption 1; **b** eruption 3. Note that only the image area covered with points is shown. In both cases, the lava dome (enclosed by the red ellipse, see Fig. 4a, b for a more detailed view) is framed by fix points from three sides, as pre-explosion degassing prevents point definition above the crater area



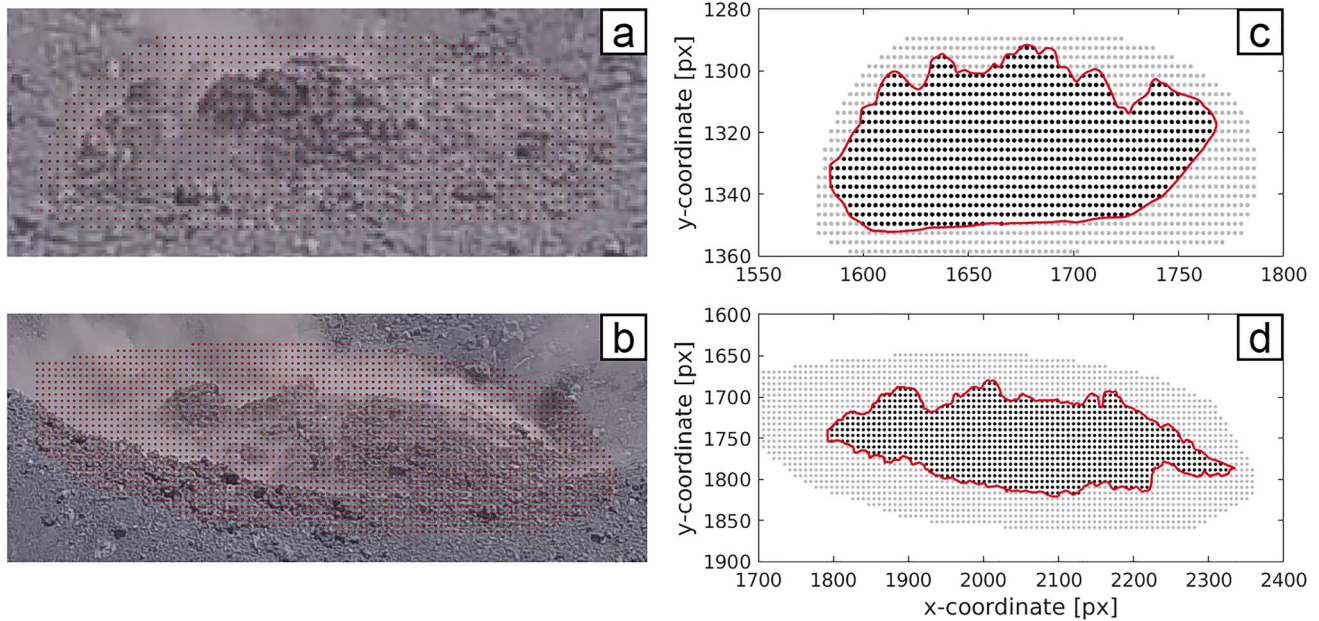


Fig. 4 **a, b** Object points (red) as defined within the last frame prior to the onset of explosions; **a** eruption 1; **b** eruption 3. Note that the object point polygon covers domains beyond the actual area of interest. Following the strategy described in (III), these unnecessary

object points are discarded. **c, d** Dome boundary (red) interpolated from manually picked coordinates along with selected (black) and discarded (gray) object points; **c** eruption 1; **d** eruption 3

391 (IV) Object point transformation

392 Unlike fix points, object points are specified within the
 393 last frame prior to the onset of explosive activity. However,
 394 tracking starts with the first image of the recording, mean-
 395 ing that object point coordinates must be transformed into
 396 the coordinate system of the first frame of the sequence.
 397 For this purpose, the camera motion between both frames
 398 is corrected using a transformation tool provided in EMT.

399 **Processing**

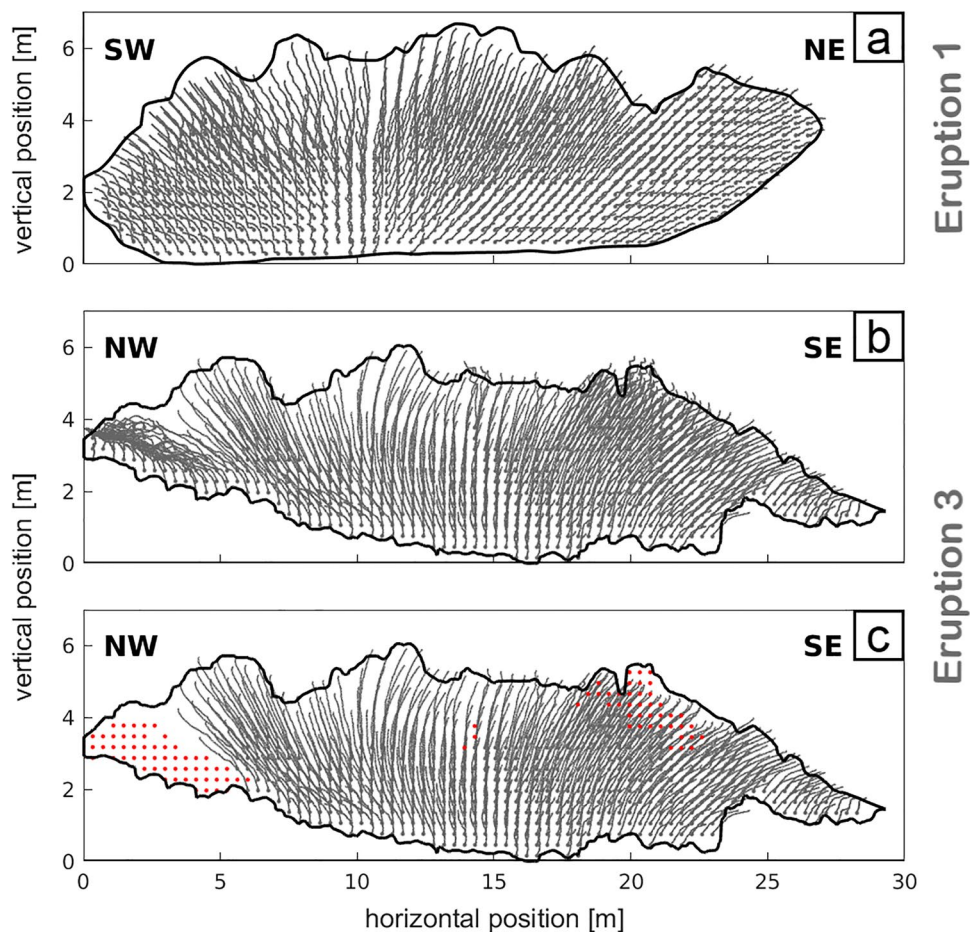
400 Deformation measurement is performed using EMT, a
 401 stand-alone photogrammetric evaluation software primar-
 402 ily designed to examine glacier flow. The program provides
 403 a workflow to analyze monoscopic time-lapse imagery, i.e.,
 404 image sequences recorded from a single and stationary posi-
 405 tion in defined time intervals (Schwalbe and Maas 2017).
 406 The first step of the workflow comprises the quantification of
 407 camera motion by fix point tracking and the subsequent deri-
 408 vation of camera motion parameters. The resulting param-
 409 eters are applied during object point measurement to remove
 410 the effect of camera motion from object point trajectories.
 411 Following their transformation from 2D image space into 3D
 412 object space, the scaled and georeferenced trajectories are
 413 exported for further processing (for a detailed description of
 414 the processing workflow, see Online Resource 3).

Postprocessing

415
 416 Examination of the measured point trajectories along with
 417 the manually picked dome boundary in Fig. 5 reveals the
 418 requirement for two postprocessing steps. The first of both
 419 operations, however, only has to be applied to eruption 3.

- (I) In case of eruption 3, a notable number of tra-
 420 jectories is considerably affected by pre-explosion
 421 degassing (especially in the NW dome area).
 422 Since these trajectories represent a superposi-
 423 tion of deformation and gas emission, they must
 424 be excluded from the evaluation process. For this
 425 purpose, every distorted trajectory is identified
 426 and deleted manually from the data set. The result
 427 of this manual data classification is indicated in
 428 Fig. 5c.
 429
- (II) Due to tracking inaccuracies, the trajectories for
 430 both events are characterized by short-period oscil-
 431 lations partly culminating in noise-like behavior. In
 432 order to smooth the data without altering their start
 433 points, a trailing moving average filter is employed.
 434 The filter window duration is defined to decrease
 435 near the endpoints of the input array, so that only
 436 existing elements contribute to the average calcula-
 437 tion. To ensure comparability of results, all win-
 438 dow lengths (durations) are initially determined for
 439 eruption 1 and subsequently adapted to eruption 3.
 440

Fig. 5 Unfiltered trajectories; **a** eruption 1; **b** eruption 3. The black line marks the manually picked dome boundary, while the acronyms of the cardinal points specify the orientation of the image plane. Note the noisy oscillation of trajectories due to tracking inaccuracies. In case of eruption 3, strong degassing-related distortion is observable especially within the NW dome area. The affected trajectories are deleted manually, resulting in the data set shown in **c**. Start points of discarded trajectories are marked by red points



441 This is done by tripling the number of frames from
 442 each window used for eruption 1 when analyzing
 443 eruption 3, because the analyzed frames of erup-
 444 tion 3 have a temporal resolution three times finer
 445 than those of eruption 1 (see section *Object motion*
 446 *tracking* in Online Resource 3).

447 **Results**

448 **Pre-explosion deformation**

449 The object point trajectories and the manually picked dome
 450 boundary visualized in Fig. 5 suggest that both lava domes
 451 are comparable in size prior to the onset of explosive activ-
 452 ity. Concerning eruption 1, the dome attains a horizontal
 453 extension of about 27.0 m, while it achieves roughly 6.7 m in
 454 vertical direction. Similarly, a horizontal dimension of more
 455 than 29.3 m is reached in case of eruption 3 with a maximum
 456 vertical extent of approximately 6.1 m.

457 Considering the maximum object point displacements
 458 during both effusive stages, though, significant differences
 459 in deformation magnitude are exposed. In case of eruption

460 1, a maximum total displacement of 4.07 m is observed,
 461 while eruption 3 exhibits a notably higher maximum value
 462 of 7.20 m (displacement error is of order centimeters, see
 463 Online Resource 3). Similar distinctions in magnitude are
 464 found for both the horizontal and the vertical displacement
 465 component (see Table 1).

466 In order to investigate whether the dome margins
 467 behave differently to the central area, we divide each lava
 468 dome into three different parts with equal horizontal width
 469 for individual evaluation. We decided to group trajectories
 470 that way since we only measured the 2D displacement in
 471 the image plane, meaning that the horizontal displace-
 472 ment directed towards or away from the camera remains
 473 unknown. Hence, statements we can make about the spa-
 474 tial dome deformation are limited to the two dimensions
 475 of the image plane and only represent tendencies. Still, we
 476 can use these tendencies to estimate a simplified spatial
 477 deformation pattern.

478 Grouping of object points is carried out prior to deforma-
 479 tion, i.e., using the trajectory start point coordinates (Fig. 6).
 480 In case of eruption 1, the dome is divided into a south-
 481 western (SW), a central, and a northeastern (NE) area, each
 482 containing a similar number of trajectories (~200). However, for

Table 1 Comparison of the object point displacements measured for eruption 1 and eruption 3. Mean values and the corresponding standard deviations (STDs) are given for each deformation component and dome area. Since the averages and standard deviations are derived from uncertainty-affected displacements, they are weighted by the individual variances (Finch 2009; see Online Resource 3 for estimation of variances). Negative horizontal translations indicate a movement to the west, while positive values describe a motion towards the east. The maximum values for each deformation component are given for the entire lava dome. The corresponding error bars are estimated via error propagation in Online Resource 3

	Component	Dome area	Eruption 1 [m]	Eruption 3 [m]
Average values and STDs	Horizontal	West	-0.84 ± 0.30	-2.67 ± 0.90
		Central	0.90 ± 0.39	0.60 ± 1.27
		East	0.88 ± 0.16	2.55 ± 0.75
		Entire	0.36 ± 0.85	0.84 ± 1.93
	Vertical	West	1.54 ± 0.82	4.29 ± 1.18
		Central	2.00 ± 0.93	3.04 ± 1.02
		East	0.69 ± 0.33	1.64 ± 0.53
		Entire	1.41 ± 0.92	2.73 ± 1.26
	Total	West	1.79 ± 0.81	5.09 ± 1.33
		Central	2.25 ± 0.86	3.33 ± 1.07
		East	1.14 ± 0.31	3.07 ± 0.78
		Entire	1.73 ± 0.84	3.47 ± 1.20
Maxima	East	Entire	1.31 ± 0.08	3.55 ± 0.03
	West	Entire	1.36 ± 0.08	4.80 ± 0.04
	Vertical	Entire	4.03 ± 0.08	6.20 ± 0.04
	Total	Entire	4.07 ± 0.08	7.20 ± 0.04

483 eruption 3, the trajectories are distributed more irregularly
 484 to the three distinct dome regions. This results from strong
 485 pre-explosion degassing mainly restricted to the marginal
 486 dome areas, especially the northwestern one. Most trajec-
 487 tories from these areas have been removed since they were
 488 significantly distorted (Fig. 5c). For both events, the average
 489 and maximum displacements are computed for each dome
 490 region.

491 **Eruption 1**

492 The dome’s final horizontal dimension is approximately four
 493 times its height. Still, the observed deformation mostly con-
 494 sists of vertical displacement, while the horizontal compo-
 495 nent only contributes marginally (Fig. 7; Table 1). The maxi-
 496 mum change in elevation measured for a single object point
 497 is 4.03 m, while maximum values of 1.31 m and 1.36 m are
 498 found for the eastern and western directions, respectively.

499 The temporal development of all deformation compo-
 500 nents (Fig. 7a–c) indicates an acceleration of dome defor-
 501 mation, which is confirmed by the increasing total expansion
 502 velocity shown in the inset of Fig. 7c. Moreover, a general

503 spatial deformation pattern is discernible (Fig. 7d–f): Verti-
 504 cal deformation is exclusively positive (upward), with the
 505 maximum average uplift of 2.00 m occurring in the central
 506 dome region. Towards the dome margins, uplift decreases to
 507 mean values of 1.54 m (west) and 0.69 m (east), respectively
 508 (Fig. 7e). The horizontal displacement component, though,
 509 shows similar deformation magnitudes between 0.84 and
 510 0.90 m for all dome areas, but in different directions. Both
 511 the eastern and central parts move to the east, whereas the
 512 western dome region moves in western direction. Overall,
 513 horizontal displacement is thus directed away from the dome
 514 center (Fig. 7d). Considering the amplitude ratio of vertical
 515 and horizontal deformation, we find that the horizontal pro-
 516 portion of total displacement is higher for the dome margins
 517 than for the central area. This must be the case since the hor-
 518 izontal motion of the dome center is restricted more than that
 519 of the dome margins. Hence, the dome center is dominated
 520 by uplift, and the outward-directed horizontal component
 521 increases towards the dome’s marginal parts (Fig. 7f).

522 **Eruption 3**

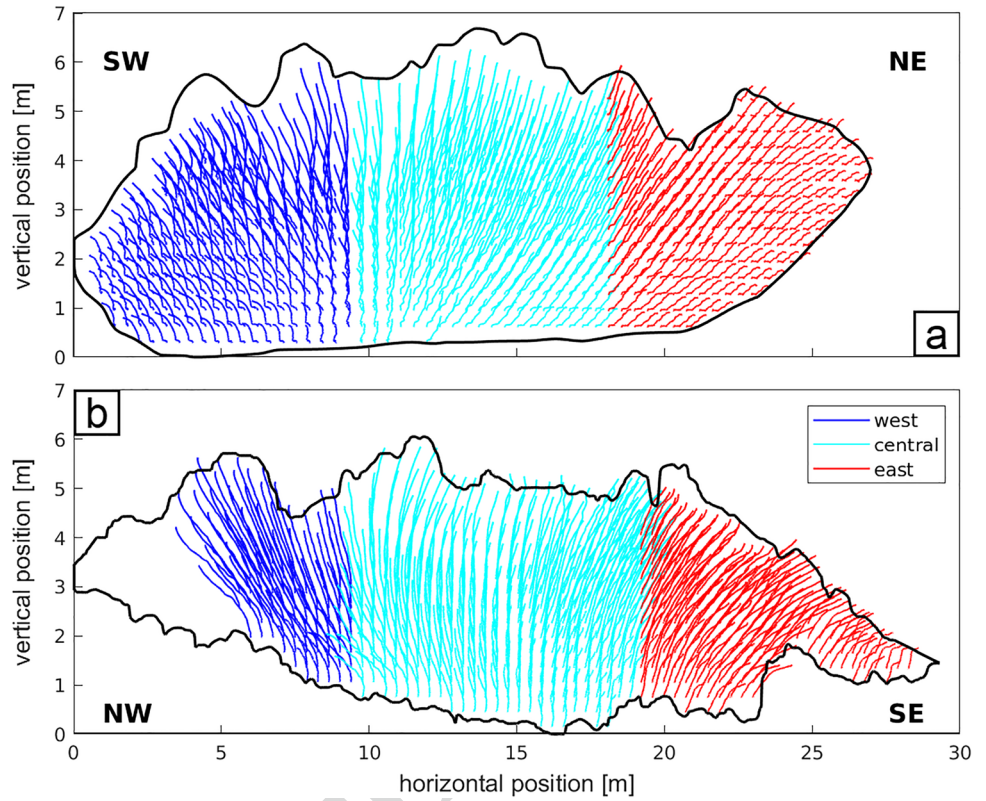
523 The deformation measured during eruption 3 exhib-
 524 its a notably higher magnitude than the deformation
 525 observed for eruption 1 (Fig. 8; Table 1). Neverthe-
 526 less, the deformation process is similarly dominated
 527 by vertical displacement as reflected by a maximum
 528 object point translation of 6.20 m in vertical direc-
 529 tion. In comparison, the maximum values obtained for
 530 the eastern and western components yield 3.55 m and
 531 4.80 m, respectively.

532 In contrast to eruption 1, Fig. 8a–c suggest that the
 533 deformation process is not dominated by a continuous
 534 acceleration but shows two distinct phases. Velocities (see
 535 inset of Fig. 8c for total velocity) and accelerations (not
 536 shown here) show that the first ~ 6 s of the observation
 537 period are defined by accelerating deformation, while
 538 the remaining time span exhibits a constant deformation
 539 rate. The spatial deformation pattern, though, is similar
 540 to eruption 1 with the central uplift transforming into
 541 outward-moving dome margins (Fig. 8d–f). However,
 542 the dome’s central area shows a weaker and less defi-
 543 nite trend to the east, along with a comparatively smaller
 544 uplift amplitude.

545 **Explosive activity**

546 Analyzing the explosion part of each video, we assess initial
 547 eruption velocities as well as mass eruption rates to identify
 548 a possible relation between pre-explosion deformation and
 549 explosive activity.

Fig. 6 Filtered deformation trajectories with the different colors indicating the individually evaluated dome parts; **a** eruption 1; **b** eruption 3. The manually picked dome boundary is shown by the black line, while the acronyms of the cardinal points specify the orientation of the image plane. In case of eruption 3, note the absence of trajectories in the northwestern dome region. These trajectories have been removed since they were strongly affected by degassing



550 **Initial eruption velocity**

551 The estimation of initial eruption velocities is based on
 552 manual pulse evolution tracking. An automated approach is
 553 inapplicable mainly due to the insufficient temporal resolution
 554 of the video sequences. For the recording interval of
 555 0.04 s between successive frames, most pulses are strongly
 556 de-correlated, meaning that the probability for incorrect
 557 point-matching is high. This error accumulates with each
 558 subsequent measurement and is further increased by ambigu-
 559 ous textures of the eruption cloud.

560 Manual pulse evolution tracking is executed using EMT.
 561 Beginning with the frame where a pulse first appears, we
 562 trace the pulse tip throughout three consecutive images
 563 (Fig. 9). For this purpose, we place an object point on the
 564 pulse tip in each frame, giving two inter-frame velocity val-
 565 ues v_1 and v_2 . While v_1 corresponds to the initial velocity of
 566 the pulse, v_2 is only determined to verify the reliability of
 567 v_1 . Since the pulse tips rise above the volcanic edifice, their
 568 3D coordinates cannot be determined by ray intersection
 569 with the DEM surface. Thus, we measure the metric size of
 570 a pixel on the DEM and apply the result to scale the pulse
 571 tip distances between consecutive frames. Dividing these
 572 distances by the recording interval of 0.04 s, velocities are
 573 obtained. However, similar to deformation measurement, the
 574 horizontal velocity component perpendicular to the image
 575 plane remains unknown.

Analyzing nine pulses of eruption 1 as well as five pulses
 of eruption 3, we find that eruption 3 exhibits slightly higher
 velocities, with averages of 118 m/s (eruption 3) and 107 m/s
 (eruption 1; see Table 2). Moreover, as indicated by the
 larger maxima and lower minima, the velocities measured
 for eruption 3 are distributed over a wider range.

582 **Mass eruption rate**

The *mass eruption rate* (MER) is defined as the amount
 of volcanic material (i.e., tephra and gas) released into the
 atmosphere per unit time. It is considered to be one of the
 most important parameters for hazard assessment of explo-
 sive volcanic eruptions (Woods 1988; Glaze and Baloga
 1996; Mastin 2014). In order to estimate the MER of indi-
 vidual eruption pulses from video sequences, Dürig et al.
 (2015b) developed a photogrammetry-based method termed
 the *pulse velocity-derived model* (PVDM).

Within the PVDM, the volumetric flow rate of a pulse is
 approximated as that of an ash package through a cylindri-
 cal cross section. In addition, minimum (Q_{tot_min}) and maxi-
 mum (Q_{tot_max}) estimates of MER are obtained by assum-
 ing an exponential decay or a step function for the peak
 mass flux ($Q(t_1)$), respectively (for more details, see Dürig
 et al. (2015b)). To convert the volumetric flow rate into the
 mass eruption rate, information about the pulse density is
 required. Hence, each pulse is analyzed at the so-called

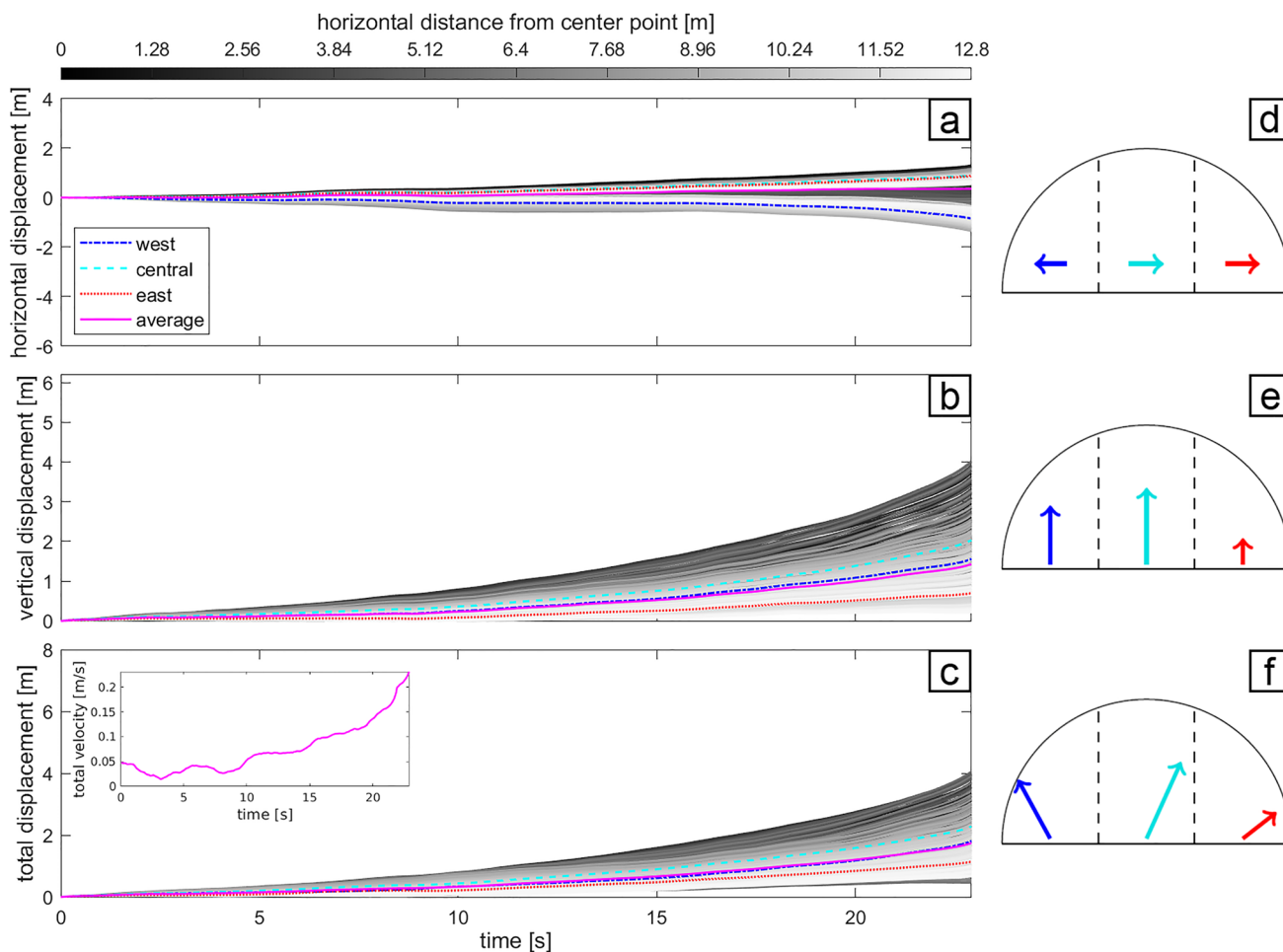


Fig. 7 Results for eruption 1. **a–c** Filtered displacement time series; **a** horizontal displacement; **b** vertical displacement; **c** total displacement. All measured trajectories are displayed, with their gray value scaling with the horizontal distance between the corresponding start point and the dome center. Shades close to black indicate trajectory start points near the dome’s horizontal center point, whereas trajectories visualized in light shades originate close to its margins. Addi-

tionally, mean values calculated for the three different dome areas and for the entire lava dome are shown. The inset of **c** shows the average total expansion velocity of the dome. Please note that the axes in this figure are scaled such that they can be easily compared to the results of eruption 3 shown in Fig. 8. **d–f** Average displacement for all dome parts and deformation components. Please note that amplitudes are only comparable within each deformation component

601 transitional level, where a negatively buoyant jet transforms into a convective buoyant plume. At this pulse-specific
 602 height, pulse density approximates the density of ambient
 603 air, allowing all parameters necessary for MER estimation
 604 to be derived.
 605

606 The application of the pulse velocity-derived model to
 607 our eruption sequences requires the assessment of several
 608 parameters mostly based on distances picked in the images.
 609 Similar to velocity estimation, these picks cannot be georeferenced using the DEM. Therefore, we again use the metric
 610 size of a pixel to scale our picked distances.
 611

612 Both analyzed eruptions exhibit a high pulsation frequency with most of the pulses being obscured by subsequent ones. As a result, only a few individual pulses allow the estimation of all required PVDM parameters. In case
 613 of eruption 1, only two pulses prove suitable for MER
 614
 615
 616

assessment, while the PVDM can be applied to four pulses of eruption 3. We note that the two pulses in eruption 1 differ significantly in strength, whereas for eruption 3, all distinguishable pulses are approximately comparable.

Deploying the PVDM to our video sequences, mass eruption rates of order 10^3 – 10^4 kg/s are obtained (see Table 3). The minimum and maximum estimates (i.e., Q_{tot_min} and Q_{tot_max}) for both eruptions give a range from 0.7×10^4 to 3.6×10^4 kg/s for the total mass flux. However, the individual values for Q_{tot_min} and Q_{tot_max} differ significantly between both events. For eruption 3, both Q_{tot_min} and Q_{tot_max} exceed the corresponding values for eruption 1 by more than a factor of three. Similarly, our analyses reveal stronger pre-explosion deformation as well as higher initial eruption velocities for eruption 3.

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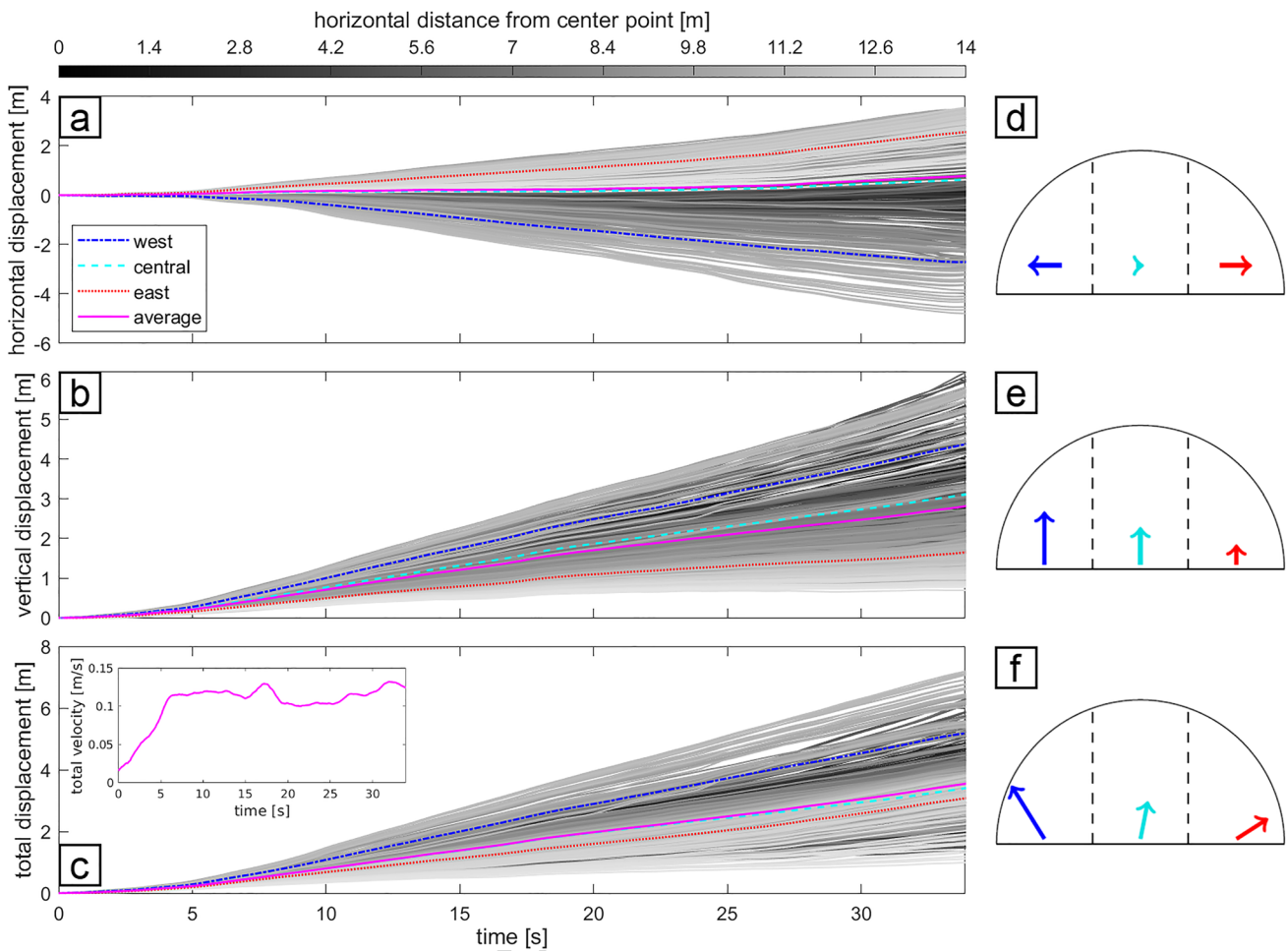


Fig. 8 Results for eruption 3. **a–c** Filtered displacement time series; **a** horizontal displacement; **b** vertical displacement; **c** total displacement. All measured trajectories are displayed, with their gray value scaling with the horizontal distance between the corresponding start point and the dome center. Shades close to black indicate trajectory start points near the dome’s horizontal center point, whereas trajecto-

ries visualized in light shades originate close to its margins. Additionally, mean values calculated for the three different dome areas and for the entire lava dome are shown. The inset of **c** shows the average total expansion velocity of the dome. **d–f** Average displacement for all dome parts and deformation components. Please note that amplitudes are only comparable within each deformation component

632 **Discussion**

633 **Pre-explosion deformation**

634 **Comparison to previous studies**

635 Watts et al. (2002) report the rapid extrusion of a pan-
 636 cake-shaped lobe at Soufrière Hills volcano, Montserrat,
 637 on December 25, 1996. The lobe was defined by a circu-
 638 lar plan-form along with a slightly raised central summit.
 639 Both lava domes examined in this study exhibit a similar,
 640 although more irregular profile. We note that since they
 641 were only recorded from a single perspective, no statement
 642 can be made about the shape of both domes in respect
 643 of the horizontal plane. Still, the similarity identified in
 644 two dimensions can be investigated in further detail by

comparing all three structures regarding their width-to-
 height-ratios (WH ratios). On December 28, 1996, the lobe
 at Soufrière Hills attained a horizontal extension of 155
 to 169 m, while its height ranged from 21 to 33 m, giving
 an average WH ratio of 6.0. In case of both lava domes
 analyzed at Anak Krakatau, evaluation of the manually
 picked dome boundary yields smaller WH ratios of 4.0
 (eruption 1) as well as 4.8 (eruption 3). Thus, the lateral
 flow of lava appears to have been lower at Anak Kraka-
 tau, suggesting that the magma viscosity was higher than
 the one at Montserrat during the observations described
 above. However, considering the different time scales of
 the two extrusion events, they may certainly also have
 been of similar magnitude.

At Santiaguito volcano (Guatemala), Johnson et al.
 (2008) measured dome uplift magnitudes between 20 and

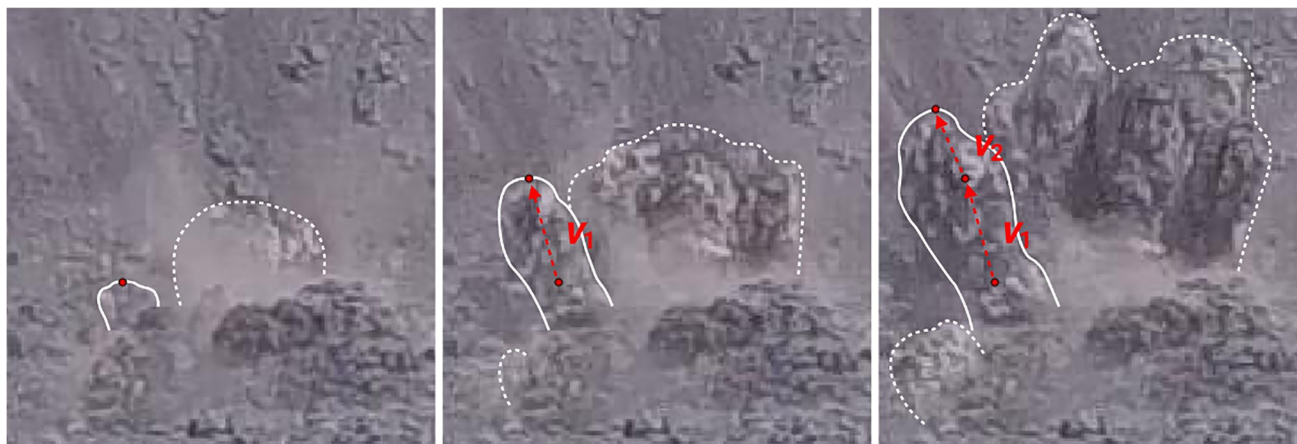


Fig. 9 Manual pulse evolution tracking for an exemplary pulse of eruption 1 (pulse front indicated by solid line). The pulse fronts of other pulses for which the picking is not shown here are indicated by dashed lines. The position of the pulse tip is picked in three consecutive frames using object points. The first pick is set in the frame

where the pulse first appears. From the resulting velocity values, v_1 represents the initial velocity of the pulse, which we need for our analysis. The second velocity value v_2 is only determined to verify the reliability of v_1

Table 2 Initial eruption velocities estimated for both events. Given are the minimum, maximum, and average values regarding the horizontal and vertical components v_{hor} and v_{vert} as well as the total

velocity v_{tot} . Due to the small number of evaluated pulses, standard deviations are only shown for the total averages calculated over both eruptions

	Pulses		Min	Max	Average	Total average	Total STD
v_{hor} [m/s]	9	Eruption 1	5.4	80.8	42.4	46.1	30.4
	5	Eruption 3	8.9	107.4	52.7		
v_{vert} [m/s]	9	Eruption 1	58.0	152.8	95.2	97.4	33.7
	5	Eruption 3	52.3	154.4	101.3		
v_{tot} [m/s]	9	Eruption 1	76.3	163.2	107.2	111.2	35.3
	5	Eruption 3	68.7	188.1	118.4		

Table 3 Results obtained by applying the PVDM (Dürig et al. 2015b) to both Anak Krakatau eruptions. Displayed are the peak mass flux $Q(t_1)$ as well as the lower and upper limits of the total mass flux Q_{tot_min} and Q_{tot_max} . Due to the low number of evaluated pulses, no standard deviations are given

	Pulses		Average [10 ⁴ kg/s]	Total average [10 ⁴ kg/s]
$Q(t_1)$	2	Eruption 1	1.8	1.5
	4	Eruption 3	1.3	
Q_{tot_min}	2	Eruption 1	0.7	1.8
	4	Eruption 3	2.3	
Q_{tot_max}	2	Eruption 1	1.0	2.8
	4	Eruption 3	3.6	

661 50 cm occurring within a few seconds and coinciding with
 662 the onset of lateral flow. The latter was directed from the
 663 dome center towards the crater periphery, which is similar to
 664 the motion pattern identified in this study. Since dome uplift

was accompanied by frequent explosive eruptions emerging
 once or twice per hour, Johnson et al. (2008) suggest a close
 relation between surface deformation and explosive activity.
 In the current study, we find an equivalent connection for
 both Anak Krakatau events expressed by dome deformation
 prior to explosions.

In order to explain their observations, Johnson et al. (2008) assume that each upheaval event is preceded and consequently triggered by the steady accumulation of gas beneath the viscoelastic lava dome. The dome itself has become impermeable during the 20–40-min inter-eruption interval, allowing gas to accumulate in fissures and/or voids beneath the dome’s edifice and in the magma conduit periphery. At critical pressurization, the dome starts to detach and accelerate upward. Within a few seconds, its 20–80-m-thick edifice inflates by several tens of centimeters, causing high strain rates and thus brittle failure of segments of the lava carapace. This leads to explosive gas emission. Furthermore, Johnson et al. (2008) suggest that the lateral dome flow concomitant with uplift is gravity-driven and facilitated by a

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685 temporary decoupling of the dome from the underlying sur- 735
 686 face. Scharff et al. (2014) showed that the dome exhibits a 736
 687 damped oscillatory motion with opening and closing paths 737
 688 for gas to escape. 738

689 A comparable but slightly different scenario is suggested 739
 690 by Maeno et al. (2013) to explain a Vulcanian explosion of 740
 691 Shinmoedake volcano (Japan) following a dome-building 741
 692 stage. Similar to Johnson et al. (2008), the dome is assumed 742
 693 to seal the underlying vent efficiently, preventing the emis- 743
 694 sion of magmatic gas for the most part. As a consequence, 744
 695 gas accumulates inside the dome or near its surface. In this 745
 696 case, however, an isolated gas pocket or a magma-gas mix- 746
 697 ture is suggested instead of gas-filled fissures and/or voids. 747
 698 By the time the accumulated pressure exceeds the bulk ten- 748
 699 sile strength of the lava dome, an explosion occurs. 749

700 The imagery of Anak Krakatau examined here reveals a 750
 701 major role of gas-induced pressurization and is consistent 751
 702 with the model concepts provided by Johnson et al. (2008) 752
 703 and Maeno et al. (2013). In the next section, both scenarios 753
 704 are combined to evaluate our findings. 754

705 **Dome deformation model** 755

706 For each video recording available, we only have a short 756
 707 pre-explosion sequence as well as the explosive event itself, 757
 708 with the footage ending at some time into the eruption. We 758
 709 cannot assess how much of the dome disintegrated through- 759
 710 out the explosive phase. Most probably, some of the mate-
 711 rial released during the eruption fell back into the vent area,
 712 but its thickness is most likely insignificant as the video
 713 sequences suggest trajectories that carried the ejecta away
 714 from the vent.

715 The deformation pattern identified, comprising a transi-
 716 tion from centered upward to lateral outward displacement,
 717 suggests that the dome’s core consists of hot magma, which
 718 is deformed in a ductile way. The dome largely prevents the
 719 emission of exsolved magmatic gas by acting as an efficient
 720 seal for the underlying conduit material. Consequently, sig-
 721 nificant pressure can build up underneath the dome’s sur-
 722 face. The combined effects of pressure accumulation due to
 723 magmatic gas exsolution and magma inflow into the core of
 724 the dome result in the observed surface deformation. The
 725 point in time when the accumulated pressure exceeds the
 726 bulk tensile strength of the dome-forming material eventu-
 727 ally marks the onset of explosive activity.

728 However, since we found considerable differences
 729 between the pre-explosion gas emission and deformation
 730 characteristics of the two eruptions, we need to refine
 731 the above deformation model. The average eastern and
 732 western displacements for eruption 3 are about three
 733 times as large as the ones for eruption 1 (see Table 1).
 734 The vertical deformation measured in the central dome

part, however, is only 1.5 times larger for eruption 3. 735
 Figures 7 and 8 further reveal that eruption 1 shows sig- 736
 nificant acceleration in all directions during the last 5 s 737
 prior to the onset of explosive activity, which is lacking 738
 in case of eruption 3 (compare insets of Figs. 7c and 739
 8c). We also note that during eruption 3, notable gas 740
 emission was observed in the western part of the dome, 741
 whereas degassing from the dome was nearly absent dur- 742
 ing eruption 1. All these differences indicate that for the 743
 two eruptions, deformation was not dominated by the 744
 same mechanism. 745

In the following, it is assumed that the pressure needed 746
 to exceed the bulk tensile strength of the dome forming 747
 material is similar for both events. The strong accelera- 748
 tion phase observed prior to the explosive onset of erup- 749
 tion 1 can be attributed to an effectively sealed dome. In 750
 contrast, the non-accelerated deformation during eruption 751
 3 indicates that pressure did not increase as fast as dur- 752
 ing eruption 1 but over a longer time span. We therefore 753
 infer that for eruption 1, the deformation of the dome was 754
 mainly the result of pressurized gas, while for eruption 3, 755
 dome deformation was dominantly controlled by the lat- 756
 eral visco-elastic flow underneath. This interpretation is 757
 supported by some straightforward numerical simulations 758
 discussed below. 759

Numerical model verification 760

The numerical model simulates dome deformation due to 761
 an overpressure at some depth below the dome surface by 762
 solving for nonlinear elasticity in three dimensions. Using 763
 a deformable mesh, we approximate the plug inside the 764
 volcanic conduit by a truncated and upturned cone with 765
 a thickness of 14 m (static pressure at the bottom of this 766
 sheet ~0.25 MPa) as well as upper and lower radii of 28 767
 and 24 m, respectively (Fig. 10). The plug material is 768
 defined by a Young’s modulus of 100 MPa (Chadwick et al. 769
 1988) and a Poisson ratio of 0.25 (Bonaccorso and Davis 770
 1999). For the boundary between the plug and the con- 771
 duct wall, all displacement components are set to zero (no 772
 slip boundary condition). On the lower edge of the plug, 773
 a pressure is applied and altered until the EMT-derived 774
 maximum vertical surface deformation is obtained. Model 775
 calculations are performed with a FEM-based software 776
 called Elmer (CSC – IT Center for Science, <https://www.csc.fi/web/elmer>). 777

The resulting pressures of 8.72 MPa (eruption 1) and 778
 15.10 MPa (eruption 3) correspond to the lower range 779
 attributed to Vulcanian-type activity (Ishihara 1985; Rob- 780
 ertson et al. 1996; Formenti et al. 2003), which seems 781
 plausible in view of the observed eruptive strength with 782
 MER ~ 10⁴ kg/s. Contrary to our previous assumption, 783
 784

Fig. 10 A truncated and upturned cone is simulated as a plug filling the conduit. Taking the final horizontal dimension of both lava domes into account, the cone's upper radius is estimated as 28 m, while its lower radius is set to 24 m. Based on assessments of the minimum erupted volume, a thickness of 14 m is assumed. The plug material exhibits a Young's modulus of $E=100$ MPa (Chadwick et al. 1988), while the Poisson ratio is set to $\mu=0.25$ (Bonaccorso and Davis 1999)

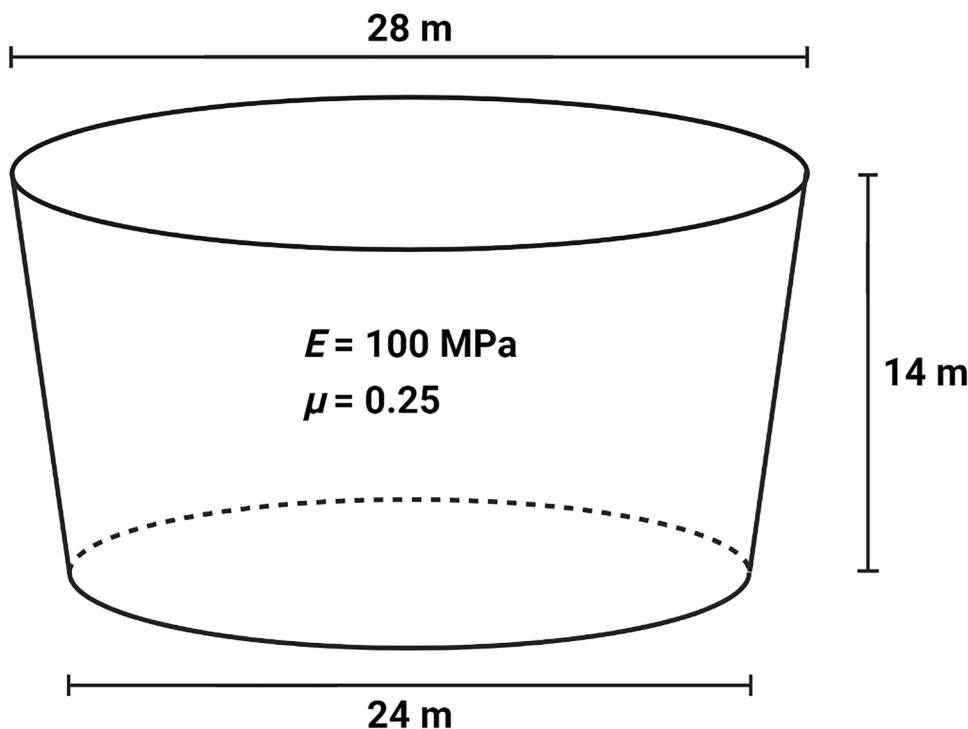


Table 4 Maximum horizontal (average over eastern and western components) and vertical surface displacements determined via EMT in comparison to the Elmer-based numerical modeling results. The model results are obtained by reproducing the EMT-derived maximum vertical surface deformation using Elmer's nonlinear elasticity solver

	Method	Horizontal [m]	Vertical [m]	Pressure [MPa]
Eruption 1	EMT	1.34	4.03	
	Elmer	1.08	4.03	8.72
Eruption 3	EMT	4.18	6.20	
	Elmer	1.71	6.20	15.10

785 however, these pressure values differ significantly, with
 786 the value for eruption 3 being nearly twice the value for
 787 eruption 1. Together with the fact that especially in case
 788 of eruption 3, the modeled horizontal displacements are
 789 significantly smaller than those from the photogrammet-
 790 ric measurements (see Table 4); this strongly supports
 791 our inference that lateral flow of dome material played a
 792 dominant role in pressurization, leading to the explosive
 793 phase of eruption 3. As the numerical model disregards
 794 flowing material, pressure is overestimated for eruption
 795 3, while horizontal deformation is underestimated. Thus,
 796 more complex modeling, that includes visco-elastic flow,
 797 is necessary to completely explain the measured displace-
 798 ment, but this is beyond the scope of this paper.

Explosive activity

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Initial eruption velocity

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Since the numerical model described above indicates pres- 801
 802 sure values in the lower range of Vulcanian-type activity,
 803 it seems plausible to compare our velocity assessments to
 804 results from other studies on Vulcanian-type activity. By
 805 applying a ballistic trajectory model along with a scaling law
 806 for impact crater formation, Maeno et al. (2013) analyzed
 807 the size of impact craters created by ballistic ejecta from a
 808 Vulcanian explosion of Shinmoedake volcano (Japan). As a
 809 result, they obtained initial velocities from 240 to 290 m/s,
 810 while other studies on Vulcanian-type activity present val-
 811 ues between 40 and 180 m/s (Ishihara 1985; Robertson
 812 et al. 1996; Formenti et al. 2003). Thus, the average initial
 813 velocities of 107 m/s (eruption 1) and 118 m/s (eruption 3)
 814 estimated for Anak Krakatau correspond to the lower range
 815 of measurements of Vulcanian activity at other volcanoes.
 816 Consequently, our velocity assessments are in good agree-
 817 ment with the numerically derived pressure values discussed
 818 above, which also correspond to the lower range attributed
 819 to Vulcanian activity.

Mass eruption rate

820

When implementing the PVDM, the main source of error 821
 822 is the manual parameter picking. Additionally, the limited

823 number of evaluable pulses restrains the statements we can
 824 make about MER variability between the pulses. It is there-
 825 fore noteworthy that the results for all four mass fluxes of
 826 eruption 3 are consistent. In contrast, the two MER esti-
 827 mates for eruption 1 differ by an order of magnitude from
 828 each other. To further evaluate the reliability of the PVDM-
 829 derived MER estimates, we compare them with findings of
 830 previous studies on the explosive activity of Anak Krakatau.

831 Applying remote sensing techniques, Gouhier and Paris
 832 (2019) investigated a phase of paroxysmal activity following
 833 the December 22, 2018, sector collapse of Anak Krakatau
 834 volcano. During the first 15 days after the collapse event,
 835 the authors identified three distinct main eruptive periods.
 836 While phase III was mostly defined by degassing, phases
 837 I and II formed a 7-day period of active tephra emission.
 838 For this period, the authors estimated an average mass flux
 839 of 1.2×10^5 kg/s. This value is considerably lower than the
 840 mass eruption rates they suggest for the first hours of the
 841 paroxysmal event, though; according to the authors, the
 842 eruption was initiated by the sector collapse and began with
 843 40 min of Vulcanian activity at a mass flux of 9×10^5 kg/s.
 844 At least the subsequent 10 h showed less intense Surtseyan
 845 activity, which resulted in a reduction of mass eruption rate
 846 to 5×10^5 kg/s. Based on these findings, the authors con-
 847 clude that MER peaked right after the collapse event and
 848 decreased drastically during the following days.

849 Consequently, the mass fluxes determined by Gouhier and
 850 Paris (2019) exceed those assessed in this study by more
 851 than an order of magnitude. However, the drastic decrease
 852 in MER suggests that the days following the collapse event
 853 were defined by mass eruption rates of the same order of
 854 magnitude as the PVDM results obtained here. However,
 855 since Gouhier and Paris (2019) cannot exclude phases of
 856 quiescence during the averaged 7-day period, it is difficult to
 857 draw a final conclusion. Moreover, Gouhier and Paris (2019)
 858 state that the paroxysmal nature of the post-collapse erup-
 859 tion contrasts with the moderate activity observed during
 860 the preceding six months. Thus, the October 2018 videos
 861 examined here show a lower degree of activity, for which
 862 mass fluxes of order 10^3 – 10^4 kg/s seem plausible. Inter-
 863 estingly, similar mass eruption rates were found by Dürig
 864 et al. (2015b) regarding the 2010 Eyjafjallajökull eruption.
 865 By applying the PVDM to 30 pulses, Dürig et al. (2015b)
 866 obtained a mean mass flux of $(2.9 \pm 0.9) \times 10^4$ kg/s, which is
 867 only slightly higher than the average value for Anak Kraka-
 868 tau derived in this study (2.3×10^4 kg/s).

869 **Conclusions and outlook**

870 Photogrammetric analyses revealed that both examined lava
 871 domes attained a comparable final extension of almost 30 m
 872 in width and more than 6 m in height. In both cases, dome

873 deformation over the ~30 s preceding explosive activity
 874 was dominated by uplift, which was strongest in the dome
 875 center and transformed into outward-moving dome margins.
 876 Using a simple numerical model, we confirmed that (I) the
 877 importance of lateral visco-elastic flow for dome deforma-
 878 tion and pressurization differed significantly for both events
 879 over this time scale, and (II) the correlation between pre-
 880 explosion surface displacement and subsequent eruptive
 881 strength (expressed by initial eruption velocity and MER)
 882 can be explained by an overpressure below the sealing dome.
 883 For a dome thickness of 14 m, the model suggested pressure
 884 values between 8 and 16 MPa.

885 In conclusion, this study has shown that photogrammetry
 886 is suitable to quantitatively analyze both effusive and explo-
 887 sive volcanic activity. Future work could further optimize
 888 the acquisition of photogrammetric data to enhance accuracy
 889 and automation. For example, a horizontally aligned camera
 890 would increase the precision of the scaling and georeferenc-
 891 ing process, while the application of at least two synchro-
 892 nized cameras would allow 3D trajectory measurements. In
 893 addition, by recording the imagery at a higher frame rate,
 894 pulse tips could be tracked automatically. This would not
 895 only improve the accuracy of the estimated initial eruption
 896 velocities but also further automate the workflow. By using
 897 a UAV with GNSS-driven autopilot, data acquisition itself
 898 could be automated as well. Our approaches can be used as
 899 a basis to develop automated monitoring strategies providing
 900 near real-time information on both effusive and explosive
 901 activity, which are crucial during a volcanic crisis.

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