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**Effects of Structural Ordering on IR Active Vibrations Within**  
 **$\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$**

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## Abstract

We have performed a materials investigation into the properties of the THz conductivity spectra in the ternary alloy  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  as a function of selenium fraction,  $x$  and temperature. We find that the reduction in crystalline anharmonicity caused by the preferential ordering of the  $x = 1/3$  phase of  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  results in the prominent  $E_u^1$  phonon (occurring between 1.5 and 1.9 THz) red-shifting on cooling less than the binary  $\text{Bi}_2\text{Te}_3$  and  $\text{Bi}_2\text{Se}_3$  samples. We also find that the  $E_u^1$  phonon couples to an electronic continuum at low temperatures ( $T \leq 40 \text{ K}$ ), regardless of  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  stoichiometry or the Hall mobility of the topological insulator crystal. These results highlight the role that these optical modes play in the electronic and thermal transport within this ternary alloy, and pave the way for exploring the interesting phonon dynamics within these topological insulator and thermoelectric materials.

## I. INTRODUCTION

Topological insulators are a class of materials where the bulk band-gap is bridged by conducting, spin filtered surface states, which are protected from elastic backscattering by the symmetry of the electronic bands [1]. Bismuth selenide ( $\text{Bi}_2\text{Se}_3$ ), bismuth telluride ( $\text{Bi}_2\text{Te}_3$ ) and their ternary alloy ( $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$ ) are strong 3D topological insulators with large bulk bandgaps [2, 3], in addition to being efficient room temperature thermoelectrics [4, 5]. These topological insulators host a single set of metallic surface states at the  $\Gamma$  point, which makes exploration of the optical properties an attractive prospect, especially as plasmonic modes that carry pure spin currents have been predicted to arise within these topological insulators [6].

Topological protection of the surface states does not extend to inelastic scattering, such as electron-phonon interactions [7], this becomes especially important when we consider the  $E_u^1$  phonon occurring between 1.5 and 1.9 THz in  $\text{Bi}_2\text{Te}_3$  and  $\text{Bi}_2\text{Se}_3$ , respectively. The electron-phonon interaction between this optical mode and the surface states has been predicted to be particularly strong [8], which when combined with the Dresselhaus spin-orbit coupling arising from the crystal structure, can alter the spin texture of the surface states [7]. In addition, this phonon mode has a very pronounced effect on the dielectric function [9–11], leading to extreme anisotropy within the permittivity and an indefinite permittivity tensor, making both  $\text{Bi}_2\text{Te}_3$  and  $\text{Bi}_2\text{Se}_3$  hyperbolic media in the terahertz frequency regime

[12, 13].

The crystal structure of these topological insulators is composed of chemically bonded quintuple layers, bonded to each other by weak van der Waals forces. These quintuple layers order in the sequence;  $C_1$ -Bi- $C_2$ -Bi- $C_1$ , where  $C_n$  can be occupied by Te or Se in  $\text{Bi}_2\text{Te}_3$  and  $\text{Bi}_2\text{Se}_3$  respectively. However Se is more electronegative than Te, and therefore, as we incorporate Se into  $\text{Bi}_2\text{Te}_3$  to form the ternary alloy  $\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$ , Se preferentially lies on the  $C_2$  sites [14] as the Bi-Se bond is favoured over the Bi-Te bond [15], and the  $C_2$  sites form two Bi- $C_2$  bonds. This makes the  $\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$  system an extremely interesting topic of study, as this preferential order should minimise the formation of the vacancy defects common in  $\text{Bi}_2\text{Se}_3$  and the Te-Bi antisite defects common in  $\text{Bi}_2\text{Te}_3$  [16]. This becomes especially important when considering the thermoelectric properties of  $\text{Bi}_2\text{Te}_3$  and  $\text{Bi}_2\text{Se}_3$ , as manipulating the number of defects is essential to minimise the lattice thermal conductivity, increasing the thermoelectric figure of merit [5]. In addition to controlling the density of defects, since as-grown  $\text{Bi}_2\text{Se}_3$  is n-type, and  $\text{Bi}_2\text{Te}_3$  may be grown p-type under the correct conditions, tuning the stoichiometry of  $\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$  can be used in order to access a mid-gap, surface dominated state [2]. Previous studies have noted that the preferential ordering of the  $x = 1/3$  phase of  $\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$  has a pronounced effect on the Raman active vibrational modes of  $\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$  [9, 14]. However, the commonly observed Raman modes ( $E_g^1$ ,  $A_{1g}^1$ ,  $E_g^2$  and  $A_{1g}^2$ ) vibrate around the  $C_2$  sites as a centre of mass, and so are less sensitive to the occupation of that site, whereas the IR-active  $E_u^1$  mode does not share this centre of mass [9].

The temperature dependence of the Raman active modes within  $\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$  can be described by the anharmonic decay of optical phonons. In a general sense, this anharmonic process is characterised by the decay of one or more optical phonons into two or more acoustic phonons [17]. As optical phonons do not contribute significantly to the thermal conductivity of a bulk material due to their small mean free path, this anharmonic scattering is an important contributor to the lattice thermal conductivity [18]. However, optical phonons can make a significant contribution to the lattice thermal conductivity in nanostructures due to the boundary scattering of acoustic phonons [19]. This makes the study of the temperature dependent phonon dynamics within the  $\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$  material system an interesting topic of study, as the phonon lifetime as a function of  $x$  and its temperature evolution (and thus the degree of anharmonic scattering) can be used to select materials

and device dimensions for thermoelectric applications.

In this work, we present a detailed material study of  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  films with varying Se content, grown by molecular beam epitaxy. We explore the effect that changing the Se content has on the optical properties through temperature dependent THz time-domain spectroscopy (THz-TDS), and find that structural anharmonicity plays a key role in determining the temperature dependence of the  $E_u^1$  phonon, which we support with solid-state density functional theory calculations. We also observe that in all the samples studied here, the  $E_u^1$  phonon appears to couple to an electronic continuum at low temperatures, highlighting the electron-phonon coupling within this topological insulator system.

## II. EXPERIMENTAL SECTION

The samples were prepared within a solid-source MBE system, with a base pressure of  $\approx 1 \times 10^{-10}$  Torr. The samples were grown on [0001] oriented  $\text{Al}_2\text{O}_3$  substrates by co-deposition of evaporated bismuth, selenium and tellurium. The bismuth and selenium were evaporated from dual-filament Knudsen cells, whereas the tellurium was evaporated from a valved cracker source. The samples were grown at substrate temperatures of 230 – 240 °C, measured by a thermocouple attached to the sample manipulator.

All the samples were grown under chalcogenide rich conditions, such that the total chalcogenide flux is at least 20 times that of the bismuth flux. This is essential in order to minimise the formation of chalcogenide vacancies [20], ensure the correct phase of topological insulator is grown [21], and to ensure that the growth rate is determined solely by the bismuth flux [14]. The ratio of tellurium and selenium fluxes was then tuned in order to select the desired stoichiometry of  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$ . The crystallographic properties of  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  samples were subsequently analysed by x-ray reflectivity and x-ray diffraction, using  $\text{Cu } K_\alpha$  radiation, before being diced for DC transport measurements and substrate-referenced THz-TDS. For transport measurements the samples were contacted using silver paint, in a van der Pauw configuration, before being loaded into a continuous flow He cryostat with a base temperature of 1.6 K and an 8 T superconducting magnet. Transverse and Hall conductivities were then measured using standard lockin techniques with a source-drain bias current of 1  $\mu\text{A}$  at a frequency of 119.77 Hz.

The THz-TDS measurements were undertaken between 3 and 300 K in a continuous flow

He cryostat. Both quartz and transparent polymethylepentene (TPX) windows were used in this study, with negligible effects on the observed spectra, aside from a weak resonance at  $\approx 4$  THz from the quartz windows. The TPX windows do not show this resonance. The sample to be measured, along with a bare sapphire reference, were then mounted co-axially on the cold finger of the cryostat, which was subsequently evacuated and cooled to the required temperature. The time-domain signal was then acquired using 20 fs pulses from a Ti-Sapphire laser, a delay stage and two LT-GaAs-on quartz photo-conductive antennas, with a spectral range of 0.5 – 4.5 THz. Further details can be found in Ref. [22]. The transmission through the sample was measured at normal incidence; a typical set of electric-field pulses are shown in figure 1.

The time-domain data is then trimmed (with a boxcar window) to remove unwanted Fabry-Perot reflections and zero-padded by 5 ps in either direction before a Fourier transform was taken. The complex transmittance of the topological insulator is then defined as  $T(\omega) = \frac{E_{\text{sample}}(\omega)}{E_{\text{reference}}(\omega)}$  where  $E(\omega)$  is the complex result of the Fourier transform. From the complex transmittance, the optical conductivity can then be determined via [23];

$$\tilde{\sigma}(\omega) = \left( \frac{1}{T(\omega)} - 1 \right) \frac{1 + n_{\text{reference}}}{Z_0 d} \quad (1)$$

where  $Z_0$  is the impedance of free space,  $d$  is the thickness of the topological insulator film and  $n_{\text{reference}}$  is the refractive index of the substrate, as determined from the sapphire reference measurement.

### III. RESULTS

The  $(\omega - 2\theta)$  XRD scans for the  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  composition range studied here is shown in figure 2a. All samples show well ordered  $[000l]$  peaks, showing that the c-axis of the TI is oriented parallel to the growth direction. As the Se flux is increased, the  $[000, 15]$  peak shifts to higher diffraction angles, indicating a smaller c-axis lattice constant, as shown in figure 2b. Vegard's law is then used to determine the nominal selenium content of the topological insulator alloy (shown in the inset of 2b) [16, 24]. We find that, even with a very large Se/Te flux ratio, that the  $x = 0.33$  phase is favoured, and we were unable to produce  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  with  $0 < x \leq 0.33$ .

The behaviour of the  $[000,12]$  peak is somewhat more interesting. In  $\text{Bi}_2\text{Te}_3$ , this peak is

extremely weak, to the point that it is indistinguishable from the background. However, as more Se is incorporated into the alloy, the intensity of this peak rises, reaching a maximum when  $x = 0.35$ , as shown in figure 2c, where the amplitude of a gaussian fitted to the [000, 12] peak is plotted as a function of nominal selenium content. The intensity then decreases, until the peak vanishes at  $x = 0.84$ . Finally, as  $x$  approaches 1, the peak reappears. This is evidence of the preferential ordering of the topological insulator alloy, where the Se occupies the central plane of the quintuple layer [14]. We note that the FWHM of the [000, 15] peak (shown in the inset of figure 2c), associated with crystalline disorder, is significantly higher for the  $x = 0.48, 0.52, 57$  and  $0.84$  samples. This may be indicative of the random location of Se atoms on the  $C_1$  sites on the outside of the quintuple layer.

The low-frequency electrical properties of the films were subsequently analysed through electrical transport measurements. On cooling, all  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  samples show sheet resistances that decrease with decreasing temperature before saturating at a low temperature, as shown in figure 3a. This metallic behaviour implies that the conduction through these topological insulator samples is dominated by the trivial bulk carriers, regardless of the Se content. This observation is confirmed when we consider the Hall resistance of these samples at low temperature (1.8 K). All samples show n-type, electron-dominated transport, including the  $x = 0$   $\text{Bi}_2\text{Te}_3$  sample, which does prevent us from using the stoichiometry of  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  to probe the mid-gap, surface dominated state.  $\text{Bi}_2\text{Te}_3$  grown by MBE is often n-type, possibly due to the large excess of Te used to prevent the formation of vacancy defects [25, 26]. Most samples show a strong non-linearity in the Hall resistance (shown for  $\text{Bi}_2\text{Se}_3$  in figure 3b) at 1.8 K. This is indicative of the presence of two different carrier species with different mobilities [27, 28], possibly indicating the co-existence of bulk and surface states. We therefore fit the Hall trace to a two-band model [28], the results of which are shown in table I. It is worth noting that the  $x = 0.48$  and  $x = 0.52$  samples, with the lowest overall mobility, do not show two-carrier transport. It is likely that a large number of intrinsic defects results in Fermi-level pinning within the bulk bands, which obscures the surface state transport.

For the samples which do show two-carrier transport, band 1 shows a large number ( $\approx \times 10^{14} \text{ cm}^{-2}$ ) of low mobility carriers, whereas band 2 shows a much smaller number of higher mobility carriers. In fact, band 2 consistently shows carrier densities between  $3 - 30 \times 10^{12} \text{ cm}^{-2}$ , which is similar to the carrier densities observed for topological surface

states in other studies [20, 29].

We move on to consider the optical properties of these topological insulators. The THz conductivity spectra (shown for  $\text{Bi}_2\text{Te}_3$  and  $\text{Bi}_2\text{Se}_3$  in figure 4) are largely dominated by the prominent  $E_u^1$  phonon [9, 10] that occurs close to 1.5 and 2 THz in  $\text{Bi}_2\text{Te}_3$  and  $\text{Bi}_2\text{Se}_3$ , respectively. It is worth noting that we do not observe the  $E_u^2$  phonon between  $\approx 3\text{--}4$  THz [9–11] in any of our samples at any temperature. As the  $E_u^2$  phonon polariton is extremely weak when compared to the  $E_u^1$  polariton [9, 30], we reason that the large conductivity response, combined with the lower signal to noise at this higher frequency mask this vibrational mode.

The spectra can be described by a modified Drude-Lorentz fit to the conductivity including a Drude term (described by  $\sigma_{DC}$ , the DC conductivity, and  $\tau$ , the Drude scattering time), a single Lorentzian oscillator for the  $E_u^1$  phonon (described by  $A_{E_u^1}$ , the amplitude of the  $E_u^1$  phonon;  $\Omega_{E_u^1}$  the frequency of the  $E_u^1$  phonon; and  $\gamma_{E_u^1}$  the scattering frequency of the  $E_u^1$  phonon, proportional to the phonon linewidth), and a high frequency term,  $\epsilon_\infty$ , to account for higher-energy excitations on the THz conductivity spectrum [31, 32].

$$\tilde{\sigma}(\omega) = \frac{-\sigma_{DC}}{i\omega\tau - 1} - \frac{i\epsilon_0\omega A_{E_u^1}^2}{\Omega_{E_u^1}^2 - \omega^2 - (i\omega\gamma_{E_u^1})} - (\epsilon_\infty - 1)i\omega\epsilon_0 \quad (2)$$

THz scans at a range of temperatures were then performed for all samples shown in figure 2, with the results summarised in figure 5, which we will now go on to discuss in detail. There is a continuous variation in the  $E_u^1$  phonon frequency as the Se content is increased, as shown in figure 5a, however, unlike the commonly observed  $A_g^1$  Raman active mode [9, 14, 33], the largest frequency change occurs between  $0 \leq x \leq 1/3$ . This is a consequence of the preferential ordering within the  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  alloys. The  $E_u^1$  phonon, shown schematically in the inset of 4c, involves a vibration of the central atom within the quintuple layer ( $C_2$  in the inset of 4c), unlike the Raman active modes, which all vibrate around this site [9, 34]. As such, the IR active  $E_u^1$  mode is far more sensitive to the occupation of that  $C_2$  site, which we have already established is preferentially occupied by Se in the  $0 \leq x \leq 1/3$  regime.

On cooling, the conductivity of the  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  samples, obtained from fitting the complex conductivity spectra, increases (as shown in figure 5b). This agrees with figure 3a, implying that the bulk carriers make a significant contribution to both the Drude background seen in the THz conductivity spectra and the DC transport [30]. It is worth noting that



the conductivities fitted from the THz spectra are consistently lower than the conductivities extracted from the transport measurements. Such a trend is consistently observed in THz and mid-IR measurements of highly conductive samples [35, 36], and could arise due to the DC conductivity being weighted towards high-angle scattering events, whereas the THz transmission is sensitive to all scattering events [37].

As shown in figure 4, as the topological insulator sample is cooled, the  $E_u^1$  mode gets significantly sharper, and the phonon frequency red-shifts. The trend in phonon frequency, for the  $\text{Bi}_2\text{Se}_3$  sample, is outlined in the inset of figure 5a whereas the trend in the phonon scattering frequency is shown for all samples in figure 5c. We note that in both the phonon frequency and the scattering parameter, there seems to be two distinct regimes; a high temperature regime where the phonon red-shifts and narrows in an almost linear fashion, and a low temperature regime ( $T \leq 50$  K) dominated by a sharp increase and gradual decay of the phonon width and frequency.

The red-shift on cooling observed in the phonon frequency is a commonly observed feature of this  $E_u^1$  mode [9–11], in contrast with the  $E_u^2$  mode and every commonly observed Raman mode, which all blue-shift on cooling at a rate similar to that we observe for the  $E_u^1$  phonon [9, 38, 39]. We summarise the temperature dependence of the  $E_u^1$  phonon mode in the high temperature regime in figure 5d by plotting the rate of change of  $\Omega_{E_u^1}$  and  $\gamma_{E_u^1}$  with temperature. In these materials, within this high temperature regime, the phonon dynamics are influenced by anharmonic phonon scattering [40]. Both the third order (cubic) and fourth order (quartic) anharmonic terms contribute to the phonon dynamics, but crucially the quartic anharmonicity term, to first order in the perturbation theory, only contributes to the phonon frequency shift. By contrast, the cubic term (to second order in the perturbation theory) contributes to both the thermal broadening of the phonon mode and the temperature dependent shift in the phonon frequency. We can gain some insight into the relative contribution of each by noting that the temperature dependent shift in the phonon frequency induced by the cubic and quartic anharmonicity terms are of opposite signs, with a cubic anharmonicity inducing a blue-shift on cooling and a quartic anharmonicity inducing a red shift on cooling [41–43].

We note that the red-shift on cooling and the thermal narrowing of the  $E_u^1$  mode is smallest for the  $x = 0.33$  and  $0.35$  samples. Additionally, at 290 K, the  $x = 0.33$  sample has the smallest phonon scattering parameter, as shown in figure 5c. Finally we note that

the trend in phonon properties tracks with the trend in the amplitude of the [000, 12] peak, shown in figure 2c. In order to determine the origin of this trend, we used density functional theory to understand the phonon properties of materials. Calculations were performed using VASP [44] the Perdew-Burke-Ernzerhof (PBE) functional [45], with the D3-BJ [46, 47] dispersion correction and the Projector Augmented Wave (PAW) pseudo-potentials [48] distributed with VASP 5.4.1. A plane-wave cutoff of 520 eV was used and the wavefunction was converged so that the energy changed by less than  $1 \times 10^{-4}$  eV with both the atom positions and unit cell dimensions optimised. Calculations were performed using a  $1 \times 1 \times 1$  conventional cell with a Monkhorst-Pack [49] grid of  $9 \times 9 \times 1$ . Initial structures of  $\text{Bi}_2\text{Se}_3$ ,  $\text{Bi}_2\text{Te}_3$  and  $\text{Bi}_2\text{Te}_2\text{Se}$  (where all  $C_2$  sites are occupied with Se and all  $C_1$  sites are occupied with Te) with  $R\bar{3}m$  space group symmetry were taken from the Materials Project [50]. After structural optimisation, harmonic phonon frequencies were calculated using the Phonopy [51] python code with VASP as the force calculator. Phonon frequencies of other compositions of  $\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$  were not calculated as both the preferential ordering and non-integer fractioning lead to a reduction in crystal symmetry and ambiguity in the actual structure being measured, requiring the study of a large number of possible supercells which is beyond the scope of this work.

To understand the anharmonic temperature shifts of the  $E_u^1$  and  $A_{1g}^1$  phonons using these calculations we then followed the approach used by Skelton et.al. [52]. Here we begin by mapping out the 1D potential-energy surface of the phonon mode eigenvector in each of the three materials. The potential energy along the mode as a function of the normal-mode coordinate,  $Q$ , can then be fitted to a 6-power polynomial with the potential energy surfaces of these three structures for the  $E_u^1$  phonon shown in figure 6a. 1D Schrödinger equations for each of these potentials can then be solved with the eigenvalues generated used to determine an anharmonic vibrational partition function. This, in turn, allows an effective renormalized harmonic frequency for the  $E_u^1$  mode at a specific temperature to be determined. It is worth noting that this model does not account for electron-phonon coupling, spin-orbit coupling or thermal expansion effects, which play an important role in the temperature dependent phonon dynamics of these materials [17, 42].

When we perform these calculations on the  $A_{1g}^1$  mode, we find that the phonon frequency does not change significantly as a function of temperature. It is important to note that the  $A_{1g}^1$  mode vibrates around the  $C_2$  sites as a centre of mass. This, combined with the

fact that our calculations do not account for thermal expansion effects may explain the discrepancy between our calculations and the observed blue-shift on cooling [9, 17]. When we consider the IR-active  $E_u^1$  phonon, we find a significant red-shift on cooling, that is significantly smaller in  $\text{Bi}_2\text{Te}_2\text{Se}$  when compared to  $\text{Bi}_2\text{Te}_3$  and  $\text{Bi}_2\text{Se}_3$  (shown in figure 6b), which agrees with our experimental observations. This leads us to two conclusions; firstly, the observed red shift of the phonon frequency on cooling implies that the quartic anharmonicity plays a larger role in the temperature dependent dynamics of the  $E_u^1$  mode for all  $x$  in  $\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$  when compared to the Raman active modes. Secondly, the fact that the properties of the  $E_u^1$  phonon within the  $x = 1/3$  phase of  $\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$  show the smallest response to changes in temperature arises from the interaction between the preferential ordering of that phase and the anharmonic phonon scattering. This may arise due to the preferential ordering disrupting the long range interactions that can propagate through the crystal structures of binary  $\text{Bi}_2\text{Te}_3$  and  $\text{Bi}_2\text{Se}_3$ [18].

At the molecular level, these long-range interactions can be understood by considering the  $p$ -orbitals, which play a dominant role in the bonding within  $\text{Bi}_2\text{Te}_3$  and  $\text{Bi}_2\text{Se}_3$ . Due to the shape of these  $p$ -orbitals, any perturbation of an atom by a phonon will result in electrons on an adjacent atom moving to the opposite side of their host atom. As the  $p$ -orbitals within these materials form long chains of co-linear bonds [53] within a rock-salt like structure, this perturbation can propagate for large distances (up to 0.9 nm [18]), resulting in an anharmonic crystalline potential. Due to Se preferentially lying on the  $C_2$  sites within the quintuple layer, as  $x$  in  $\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$  approaches 1/3, the crystal structure will be warped as the Bi–Se bonds are shorter than similar Bi–Te bonds [54, 55]. This distortion away from the rock salt-like structure will disrupt the long-range interactions that exists within  $\text{Bi}_2\text{Te}_3$ , as the bonds within the quintuple layer will no longer be equivalent, inhibiting the propagation of the electronic perturbation, resulting in a smaller anharmonic temperature-dependent frequency shift [18]. As  $x$  in  $\text{Bi}_2(\text{Te}_{(1-x)}\text{Se}_x)_3$  increases past 1/3, and the  $C_1$  sites start being populated with Se, the chains of equivalent  $p$ -orbitals start being re-formed (now with Se–Bi–Se bonds, rather than Te–Bi–Te bonds) and the anharmonic frequency shift rises.

It is important to note that the experimental rate at which the phonon red-shifts on cooling never returns to the value seen in pure  $\text{Bi}_2\text{Te}_3$ , which runs counter to our simulations, which predict that  $\text{Bi}_2\text{Se}_3$  should have the largest anharmonic temperature dependent frequency shift, as shown in figure 6b. This may be due to the interplay of the electron-

phonon coupling of this  $E_u^1$  mode and the defects within the  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  sample. The electron-phonon interaction opens new decay paths for optical phonons [56] however, those decay mechanisms and their temperature dependence can be influenced by the scattering rate of electrons, and therefore the density of defects [57]. It is possible that the variation in the strength of the electron-phonon coupling with  $x$  in  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  [2, 8] could account for this discrepancy. Further *ab initio* calculations, accounting for electron-phonon and spin-orbit interactions, should shed light on how the temperature dependent phonon dynamics evolve over a wider range of alloy compositions, and could reveal how the phonon dynamics studied here impact the thermoelectric figure of merit.

Finally, we consider the low temperature regime where both the phonon frequency and the phonon scattering parameter increases below 50 K, accompanied by a drop in the fitted conductivity (as shown in 5b). Importantly, the temperature at which the sudden rise of the phonon parameters occurs seems to be largely independent of  $x$ , with the maximum phonon linewidth occurring between 20 – 30 K. This implies that this feature is not due to phonon freeze-out, which should be strongly dependent on the Se content [58, 59]. A change in the phonon frequency, along with an increase in the phonon linewidth is a possible indicator of coupling between the phonon mode and some external continuum [17, 60]. Due to the concurrent drop in the fitted THz conductivity and the lack of isoenergetic vibrational modes at these temperatures [61] (the Raman active  $A_g^1$  mode is similar in energy at room temperature, but blue-shifts on cooling [8, 9, 17]) we infer that the  $E_u^1$  phonon is coupling to an electronic continuum, rather than a vibrational one.

While the  $E_u^1$  mode has been predicted to couple strongly to the surface states within  $\text{Bi}_2\text{Se}_3$  and  $\text{Bi}_2\text{Te}_3$  [8], it is unclear if the coupling we observe here is due to electron phonon coupling to surface states. We note that only one carrier species is observed in transport measurements of the  $x = 0.48$  and  $x = 0.52$  samples (as shown in table I), and yet these samples also show the increase in phonon linewidth. This implies that, while the  $E_u^1$  phonon may couple to the surface states, it may also couple with bulk or impurity bands.

#### IV. CONCLUSIONS

We have grown a series of  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  samples with varying Te/Se flux ratios by MBE. The crystal quality was analysed by X-ray diffraction measurements and the selenium

content of the alloy samples was extracted via Vegard’s law. The properties of the  $E_u^1$  mode and the optical conductivities were then analysed as a function of temperature by THz-TDS. We find that the trend in the Drude conductivity agrees with the trends in resistance observed from DC transport measurements, implying that the bulk carriers dominate the both DC transport and the optical conductivity.

We find that the frequency of the  $E_u^1$  phonon changes dramatically between  $0 \leq x \leq 1/3$  in our  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  samples (as shown in figure 5a), in contrast to the  $A_g^1$  Raman active mode, where the frequency does not significantly vary over this range of alloy fractions [9, 14, 16]. This highlights the key role that the  $C_2$  site (in the centre of the quintuple layer structure) plays in the  $E_u^1$  mode, and the preferential ordering of the  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  alloy.

We note that the change in crystalline anharmonicity, caused by this preferential order is key to understanding the temperature dependence of the vibrational properties of  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$ ; as the Se fraction,  $x$ , approaches  $1/3$ , we find that the red shift of the  $E_u^1$  phonon is smallest because the preferential ordering will warp the quintuple layer away from a rock salt-like structure, disrupting the long-range crystalline interactions that are displayed in  $\text{Bi}_2\text{Te}_3$  [18]. However, as  $x$  increases past  $1/3$ ,  $C_1$  sites on the outside of the quintuple layer start being partially occupied with Se, re-forming the rock salt-like crystal structure, and re-establishing these long-range interactions, thus increasing the rate at which the phonon red-shifts on cooling.

We also find that the  $E_u^1$  phonon appears to couple to an electronic continuum at low temperature, indicated by an increase in the phonon linewidth and a change in the resonant frequency of the phonon mode. Similar effects have been seen in the Raman active modes of  $\text{Bi}_2\text{Se}_3$  and  $\text{Bi}_2\text{Te}_3$  [17], which have been attributed to the coupling between the phonon mode and the surface states. However, in our samples we observe this coupling in samples where the transport, and by extension the optical conductivity, is dominated by bulk carriers. These results support the prediction that the  $E_u^1$  mode should couple strongly to the surface states within these topological insulators [8]. However it is important to note that coupling to bulk states, such as impurity bands, cannot be ruled out without further measurements.

## V. ACKNOWLEDGEMENTS

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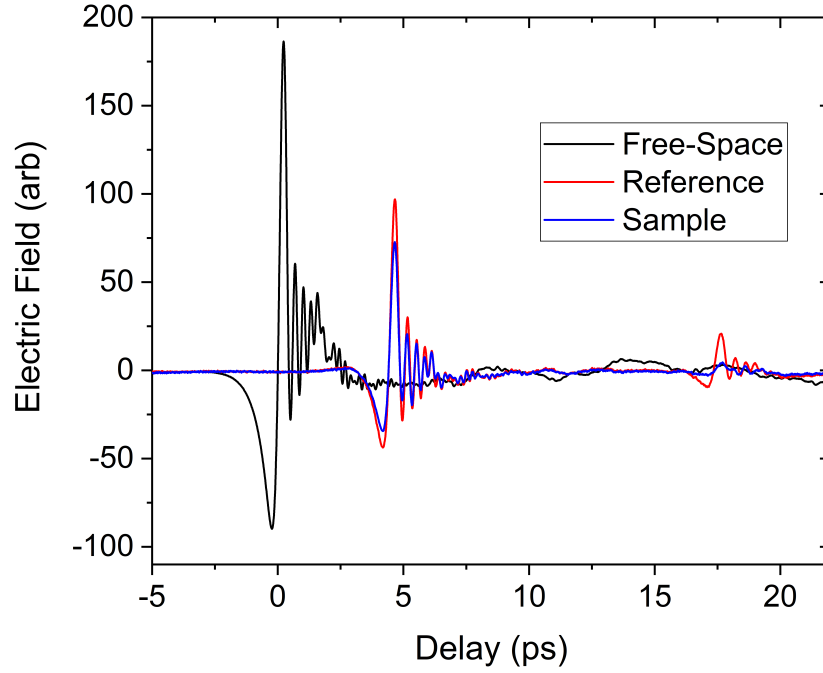
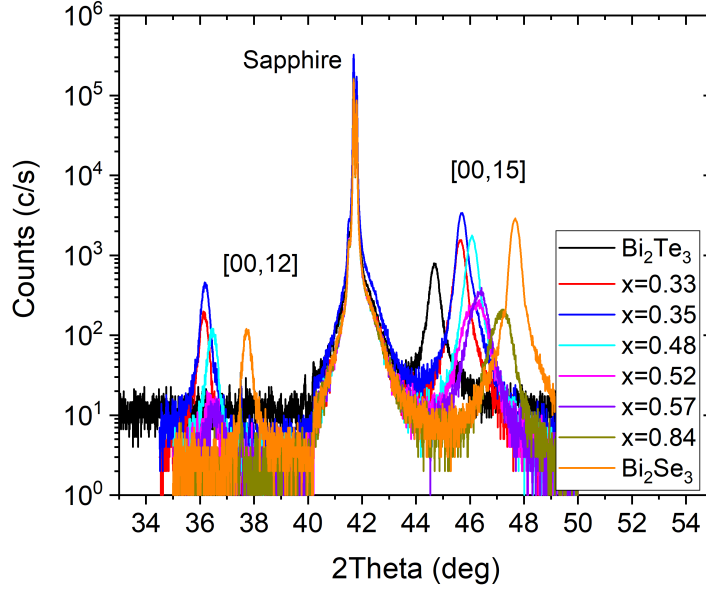
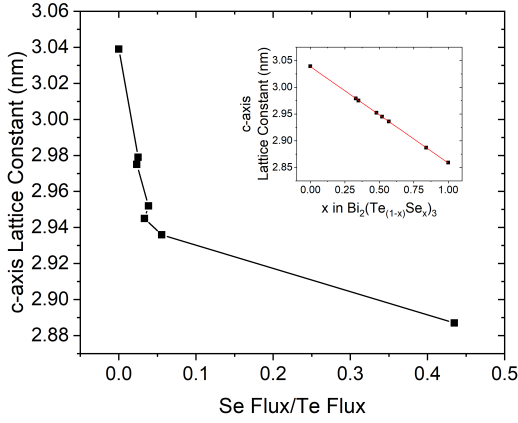


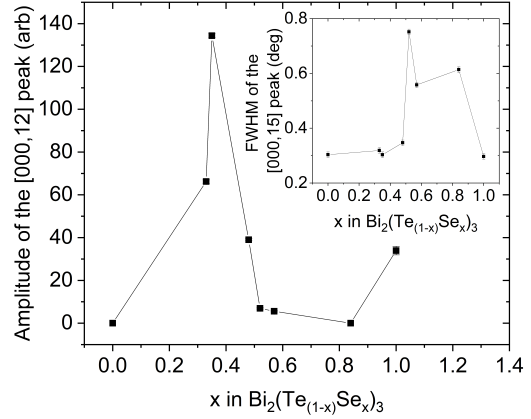
FIG. 1: Time-domain THz signal without a sample (black trace), the sapphire reference (red trace) and a 35 nm-thick  $\text{Bi}_2\text{Te}_3$  film on an identical sapphire substrate (blue trace) at 290 K. The additional peak at 17.6 ps arises from Fabry-Perot reflections within the sapphire substrate.



(a)

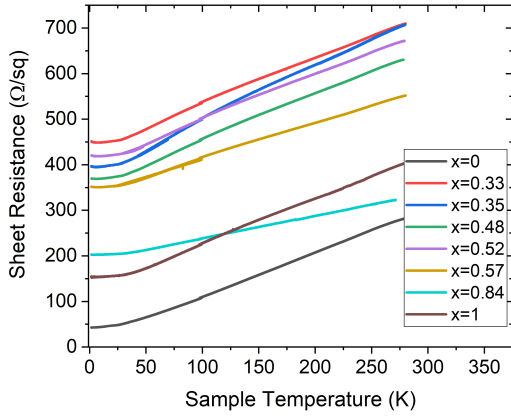


(b)

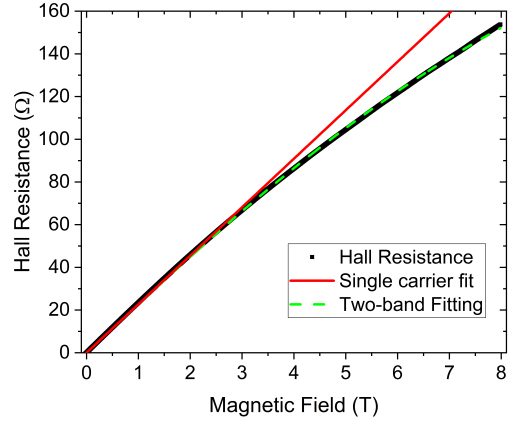


(c)

FIG. 2: Structural characterisation of the samples involved in this study. a depicts the X-ray diffraction spectrum of the  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  samples, where the positions of the known diffraction peaks are labelled with the relevant miller indices. b shows the variation of the c-axis lattice parameter, calculated from the  $[000, 15]$  peak, as the selenium flux is increased. The inset shows the Vegard's law analysis used to determine the nominal Se content. c shows the amplitude of the gaussian used to fit the  $[000,12]$  peak as a function of Se content. The inset shows the FWHM of the  $[000, 15]$  peak, which is an indication of the large-scale disorder within the crystal.



(a)



(b)

FIG. 3: Summary of transport measurements performed on  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  samples. a shows the variation in sheet resistance on cooling for the samples studied here. b shows the non-linearity in the Hall trace for the  $\text{Bi}_2\text{Se}_3$  sample, with a linear fit to the low field ( $B \leq 1$  T) shown in red, and a fit to the full two-band model shown in green.

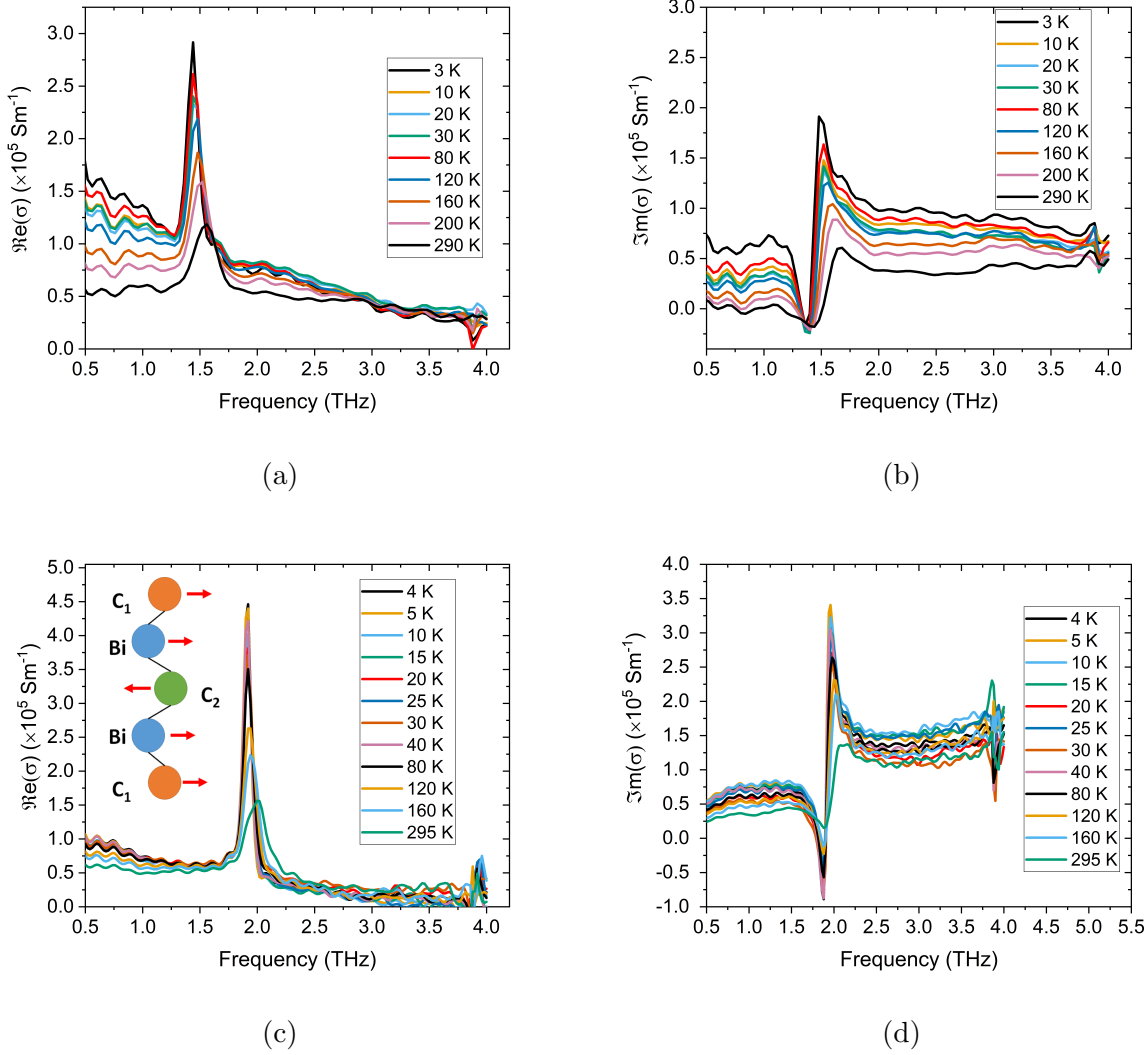
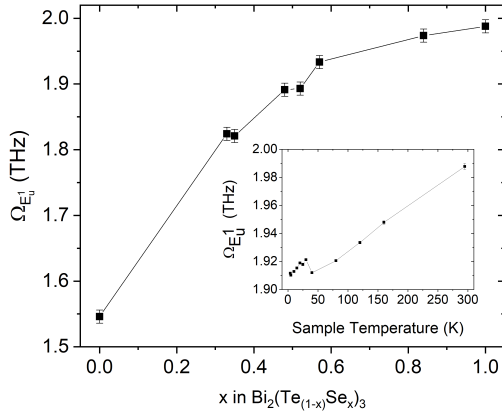
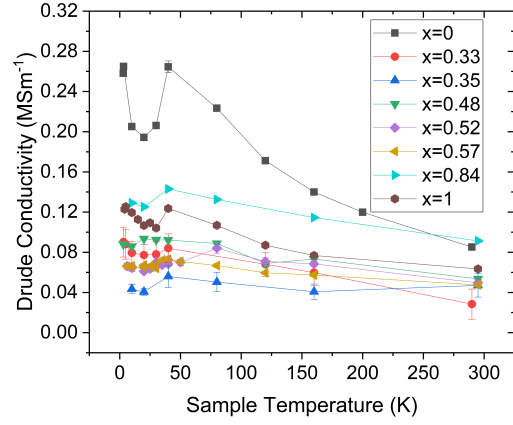


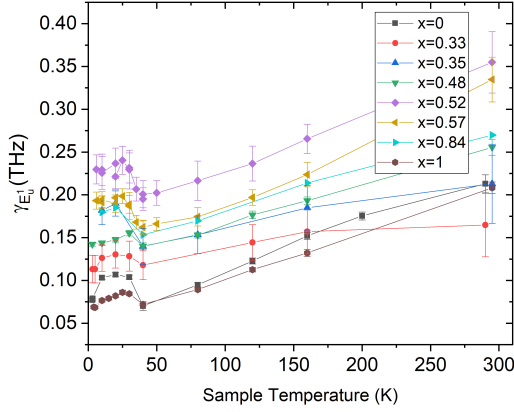
FIG. 4: Optical conductivities as a function of temperature of the binary topological insulator films,  $\text{Bi}_2\text{Te}_3$  (a and b) and  $\text{Bi}_2\text{Se}_3$  (c and d). a and c show the real part of the conductivities whereas b and d show the imaginary part of the conductivities. The resonance at  $\approx 4$  THz in all scans is an artefact of the quartz windows used here. The inset of c shows a schematic of the  $E_u^1$  phonon mode responsible for the large resonance in the conductivity, where the black lines show the covalent bonds between atoms and the red arrows show the movement of the atoms that contribute to this phonon mode. Here, C represents sites that can be occupied by chalcogenides, Te or Se.



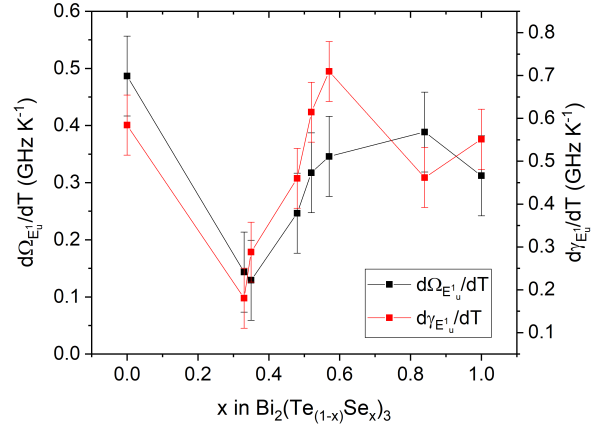
(a)



(b)



(c)



(d)

FIG. 5: Optical properties of the  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  samples. a shows the variation of the phonon frequency at 290 K with Se content. The inset shows how the phonon frequency varies with temperature for the  $\text{Bi}_2\text{Se}_3$  ( $x = 1$ ) sample. b shows the DC conductivity, fitted from equation 2 as a function of alloy fraction and temperature. c shows the variation of the phonon scattering parameter  $\gamma_{E_u^1}$  as a function of temperature for all the samples in this study, and d summarises the trends in the phonon frequency,  $\Omega_{E_u^1}$ , and phonon scattering parameter  $\gamma_{E_u^1}$  in the high temperature regime ( $295 \text{ K} \geq T \geq 50 \text{ K}$ ).



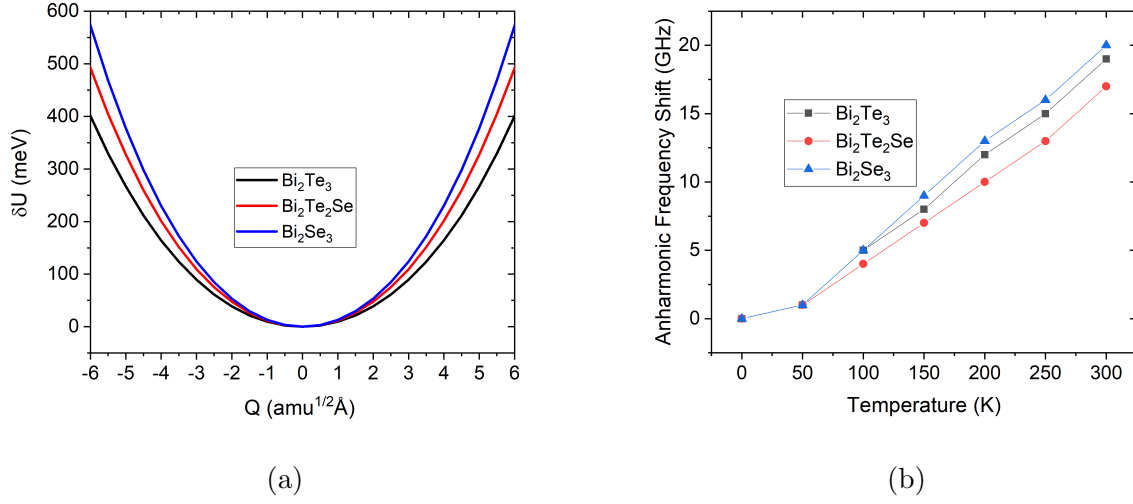


FIG. 6: Summary of the density functional theory calculations performed on the  $E_u^1$  modes within  $\text{Bi}_2\text{Te}_3$ ,  $\text{Bi}_2\text{Se}_3$  and  $\text{Bi}_2\text{Te}_2\text{Se}$ . a shows the potential energy surfaces for the phonon within  $\text{Bi}_2\text{Te}_3$ ,  $\text{Bi}_2\text{Se}_3$  and  $\text{Bi}_2\text{Te}_2\text{Se}$ , normalised around the phonon central frequency being at 0 energy. b shows the predicted anharmonic temperature dependent frequency shift for the  $\text{Bi}_2\text{Te}_3$ ,  $\text{Bi}_2\text{Se}_3$  and  $\text{Bi}_2\text{Te}_2\text{Se}$ .

TABLE I: Summary of the two-band Hall fitting for the  $\text{Bi}_2(\text{Te}_{1-x}\text{Se}_x)_3$  samples studied here. All carrier densities were electron-like. The  $x = 0.48$  and  $x = 0.52$  samples did not show the non-linear Hall traces characteristic of two-carrier transport and so did not fit to the two-band model accurately.

x	Band 1 Carrier Density ( $\times 10^{14}$ $\text{cm}^{-2}$ )	Band 1 Mobility ( $\text{cm}^2\text{V}^{-1}\text{s}^{-1}$ )	Band 2 Carrier Density ( $\times 10^{12}$ $\text{cm}^{-2}$ )	Band 2 Mobility ( $\text{cm}^2\text{V}^{-1}\text{s}^{-1}$ )
0	$1.2 \pm 0.1$	$1100 \pm 100$	$3.6 \pm 0.3$	$5200 \pm 500$
0.33	$0.75 \pm 0.08$	$75 \pm 7$	$26 \pm 2$	$310 \pm 30$
0.35	$1.8 \pm 0.1$	$77 \pm 7$	$15 \pm 1$	$430 \pm 40$
0.48	$0.79 \pm 0.08$	$220 \pm 20$	-	-
0.52	$0.83 \pm 0.08$	$180 \pm 20$	-	-
0.57	$1.3 \pm 0.1$	$84 \pm 8$	$17 \pm 2$	$420 \pm 40$
0.84	$1.3 \pm 0.1$	$86 \pm 8$	$29 \pm 3$	$660 \pm 70$
1	$0.65 \pm 0.07$	$180 \pm 20$	$14 \pm 1$	$2000 \pm 20$