

# Mitochondrial DNA heteroplasmy distinguishes disease manifestation in *PINK1/PRKN*-linked Parkinson's disease

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## Abstract

Biallelic mutations in *PINK1/PRKN* cause recessive Parkinson's disease. Given the established role of PINK1/Parkin in regulating mitochondrial dynamics, we explored mitochondrial DNA (mtDNA) integrity and inflammation as disease modifiers in carriers of mutations in these genes. MtDNA integrity was investigated in a large collection of biallelic ( $n=84$ ) and monoallelic ( $n=170$ ) carriers of *PINK1/PRKN* mutations, idiopathic Parkinson's disease patients ( $n=67$ ) and controls ( $n=90$ ). In addition, we studied global gene expression and serum cytokine levels in a subset. Affected and unaffected *PINK1/PRKN* monoallelic mutation carriers can be distinguished by heteroplasmic mtDNA variant load (AUC=0.83, CI:0.74-0.93). Biallelic *PINK1/PRKN* mutation carriers harbor more heteroplasmic mtDNA variants in blood ( $p=0.0006$ ,  $Z=3.63$ ) compared to monoallelic mutation carriers. This enrichment was confirmed in iPSC-derived (controls,  $n=3$ ; biallelic PRKN mutation carriers,  $n=4$ ) and *postmortem* (control,  $n=1$ ; biallelic PRKN mutation carrier,  $n=1$ ) midbrain neurons. Lastly, the heteroplasmic mtDNA variant load correlated with IL6 levels in *PINK1/PRKN* mutation carriers ( $r=0.57$ ,  $p=0.0074$ ). *PINK1/PRKN* mutations predispose individuals to mtDNA variant accumulation in a dose- and disease-dependent manner.

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20 **Running title:** MtDNA heteroplasmy in PRKN/PINK1 parkinsonism

21

22 **Keywords:** mtDNA heteroplasmy; penetrance; modifiers; PINK1; PRKN

23 **Abbreviations:** CD38 = ADP-ribosyl cyclase/cyclic ADP-ribose hydrolase; DAMPs = damage-  
24 associated molecular patterns; DEGs = differentially expressed genes; GBA =  
25 glucocerebrosidase; GSDMA = Gasdermin A; HF = heteroplasmy frequency; IL6 = interleukin  
26 6; L2F = Log2fold; LCM = laser capture microdissection; MDSGene = Movement Disorder

1 Society Genetic mutation database; MLPA = multiplex ligation probe-dependent amplification;  
2 mtDNA = mitochondrial DNA; ND4:ND1 = NADH:ubiquinone oxidoreductase core subunit 4  
3 and NADH-dehydrogenase 1; NUMTs = Nuclear Mitochondrial DNA segments; OPTN =  
4 optineurin; PINK1/PRKN/all = biallelic and monoallelic PINK1/ PRKN mutation carriers  
5 regardless of affection status; PINK1+/PRKN+/PD- = asymptomatic carriers of PINK1 and  
6 PRKN monoallelic mutation carriers; PINK1+/PRKN+/PD+ = symptomatic carriers of PINK1  
7 and PRKN monoallelic mutation carriers; PINK1++/PRKN++/PD+ = Patients with biallelic  
8 PINK1 or PRKN mutations; POLG = mitochondrial polymerase gamma; PRKN/all = PRKN  
9 biallelic and monoallelic mutation carriers; q-score = quality scores; RNASE1 = Ribonuclease A  
10 Family Member 1; ROC = receiving operating characteristic; smNPCs = small molecule neural  
11 precursor cells; VUS = variants of uncertain significance

12

## 13 **Introduction**

14 Parkinson's disease is a neurodegenerative disorder affecting over seven million patients world-  
15 wide,<sup>1</sup> of which ~10% can be genetically explained.<sup>1,2</sup> Multiple genes have been found to be  
16 implicated in familial and sporadic Parkinson's disease, including pathogenic mutations and  
17 strong risk factors. Biallelic *PINK1* or *PRKN* mutations are a well-known cause of early-onset  
18 Parkinson's disease.<sup>3,4</sup> Interestingly, monoallelic *PINK1/PRKN* mutations have been considered  
19 a Parkinson's disease risk factor.<sup>5</sup> Based on a conservative estimate of a frequency of 2% of  
20 monoallelic *PINK1* and *PRKN* mutations among all Parkinson's disease patients, one can expect  
21 ~140,000 carriers worldwide, this warrants a deeper understanding of the possible functional  
22 consequence of *PINK1* or *PRKN* monoallelic mutations. Affected monoallelic *PINK1* mutation  
23 carriers have a ~10 year later age at onset (AAO of 43.2 years) than carriers of biallelic  
24 mutations (AAO 32.6 years), but were on average still younger than idiopathic Parkinson's  
25 disease patients (AAO 70 years) used for comparison in the meta-analysis.<sup>6</sup> Furthermore,  
26 neuroimaging studies have demonstrated Parkinson's disease-like changes in humans with  
27 monoallelic *PINK1/PRKN* mutations.<sup>7,8</sup> However, the possible role of monoallelic pathogenic  
28 *PINK1* and *PRKN* mutations in Parkinson's disease remains a matter of vivid debate: a recent  
29 study found 0.089% of monoallelic *PINK1* mutation carriers in cases compared to 0.071% in  
30 controls in a large population screen ( $n=376,558$ ).<sup>9</sup> Another study did not find any associations

1 between *PRKN* variants and risk of Parkinson's disease. Pathogenic and likely-pathogenic  
2 heterozygous single nucleotide variants and copy-number variations were less frequent in  
3 Parkinson's disease patients (1.52%) compared to controls (1.8%).<sup>10</sup> The frequency of *PRKN*  
4 variants in 500,000 individuals was evaluated and there were no differences in Parkinson's  
5 disease risk for carriers of heterozygous variants.<sup>11</sup> These studies suggest that *PINK1/PRKN*  
6 monoallelic mutations may not contribute to risk, albeit with limited power and no possibility to  
7 assess (subtle) signs of parkinsonism in the seemingly healthy controls. Still, when deep clinical  
8 phenotyping was applied, patients with Parkinson's disease harboring *PINK1* or *PRKN*  
9 monoallelic pathogenic variants were at a significantly higher rate than healthy controls.<sup>5</sup> There  
10 are further examples of recessive neurodegenerative diseases where carriers of pathogenic  
11 variants have low disease risk such as in the optineurin (*OPTN*) gene in ALS/FTD.<sup>12</sup> Even within  
12 Parkinson's disease, while biallelic mutations in glucocerebrosidase (*GBA*) cause Gaucher's  
13 disease, heterozygous variants in *GBA* lead to a higher Parkinson's disease risk in later life.<sup>13</sup>

14 Biologically, *PINK1* and *Parkin* are important in regulating mitochondrial quality control.  
15 Activation of the *PINK1/Parkin* mitophagy pathway has been shown to result in the selective  
16 removal of dysfunctional mitochondria and their damaged DNA (mtDNA) molecules.<sup>14-16</sup> In a  
17 recent study, *Parkin*-deficient mice were crossed with "mutator mice", which harbor a proof-  
18 reading defective mitochondrial polymerase gamma. In the resulting animals, mtDNA  
19 disintegration and impaired mitophagy led to the release of mtDNA molecules into the  
20 extracellular space, where they act as damage-associated molecular patterns (DAMPs) triggering  
21 inflammatory pathways.<sup>17</sup> However, whether accumulation of mtDNA damage has an influence  
22 on the disease manifestation of *PINK1* and *PRKN*-associated Parkinson's disease still needs to be  
23 elucidated.

24 Herein, we present an in-depth characterization of the mitochondrial genome in blood cells as a  
25 potential disease marker for genetic Parkinson's disease. We investigated the largest collection  
26 of *PINK1/PRKN* mutation carriers studied thus far, including blood from two homogeneous  
27 founder populations (South Tyrolean and Tunisian Arab Berber), neuronal models and  
28 postmortem brain tissue to assess mitochondrial dynamics as a marker of disease manifestation.

# 1 **Materials and methods**

## 2 **Study individuals and genetic analysis**

3 Deep mitochondrial sequencing and real-time PCR assays using TaqMan technology were  
4 performed on a total of 411 individuals (**Table 1, 2 and S1**). Patients were recruited from  
5 Germany, Italy, Tunisia and Serbia fulfilling the UK Brain Bank Criteria for Parkinson's disease.  
6 Demographic and basic clinical data from *PINK1* and *PRKN* mutation carriers (biallelic and  
7 monoallelic,  $n=254$ ) are shown in **Tables 1 and 2**. The AAO of disease for individuals with  
8 *PINK1* mutations, *PRKN* mutations, and idiopathic Parkinson's disease is reported in **Tables 1, 2**  
9 **and S1**. MtDNA was extracted from whole blood using standard protocols for extraction of  
10 genomic DNA. *PINK1* and *PRKN* genetic screening was performed with Sanger sequencing and  
11 MLPA (multiplex ligation probe-dependent amplification) as previously described.<sup>18</sup> All  
12 individuals with Parkinson's disease were negatively screened for other pathogenic variants in  
13 known Parkinson's disease genes (*SNCA*, *LRRK2*, *VPS35*, and *DJI*) with gene panel sequencing  
14 using either the Illumina NextSeq500 or HiSeq4000 sequencers and MLPA analysis. Genes were  
15 selected based on typical Parkinson's disease forms in MDSGene. *PINK1* and *PRKN* mutations  
16 refer to 'possibly, probably or definitely' pathogenic variants and variants of uncertain  
17 significance (VUS, e.g. *PINK1* p.G411S, p.N451T) were not included based on the Movement  
18 Disorder Society Genetic mutation database (MDSGene).<sup>19</sup> *PINK1* and *PRKN* variants were  
19 labeled as "pathogenic mutations" based on the criteria of 'possibly, probably or definitely'  
20 pathogenic with clear guidelines from the MDSGene consortium.<sup>19,20</sup> All individuals provided  
21 informed consent prior to their participation. Specific approvals were obtained from the local  
22 ethics committees at the Research Ethics Boards of the Universities of Lübeck and Luxembourg  
23 and the respective local ethics committees from the cohort centers. Clinical assessment of all  
24 patients was performed by movement disorders specialists. Population-matched healthy controls  
25 were used in the study. The AAO was self-reported by patients and based on the occurrence of  
26 the first motor symptoms. Familial relationships were defined based on self-report. An overview  
27 of individuals in the study is depicted in **Figure 1**.

## 1 **Deep mitochondrial sequencing**

### 2 **Illumina NextSeq short-read sequencing**

3 Deep mitochondrial sequencing was performed with bait enrichment with IDT lockdown probes  
4 specific for the entire mitochondrial genome to generate libraries of 6-plex or long-range PCR.  
5 The two primer sets used for the long-range PCR are described in **Table S2**. Subsequent next-  
6 generation sequencing was performed on Illumina, using the NextSeq500 (Illumina, Inc.) to  
7 produce  $2 \times 150$ bp reads. Raw sequencing reads were converted to standard FASTQ format  
8 using bcl2fastq software 2.17.1.14 (Illumina, Inc.). Raw FASTQ files were analyzed with  
9 FastQC (v011.8) and aligned with BWA MEM<sup>21</sup> (v07.17) to the mitochondrial reference genome  
10 (rCRS).<sup>22</sup> The resulting SAM files were processed with SAMtools (v1.3.1) to sorted BAM  
11 files.<sup>23</sup> Subsequently, the BAM files were processed with GATK<sup>24</sup> (v3.8) and duplicates  
12 removed with MarkDuplicates. Additional quality control was performed with QualiMap  
13 v2.2.2d,<sup>25</sup> and subsequently MultiQC<sup>26</sup> (v1.6) was applied on the resulting reports, including the  
14 FastQC reports. The mtDNA-Server<sup>27</sup> successor Mutserve v2.0.0<sup>28</sup> was used for variant calling  
15 on the mitochondrial genome to detect homoplasmic and heteroplasmic variants in the sequence  
16 data. After additional quality control with Haplocheck<sup>28</sup> (v1.2.1), we set the minimum  
17 heteroplasmy frequency (HF) level to 0.05<sup>27</sup> and kept all other parameters with the default  
18 parameters (per base quality 20, mapping quality 30, alignment score 30), as some samples  
19 showed contamination either from different samples or from Nuclear Mitochondrial DNA  
20 segments (NUMTs) below that threshold. Mitochondrial genomes with a mean depth of 5,538X  
21 (SD:4,919X) coverage were obtained. A cut-off of >1,000X coverage was applied and samples  
22 that were contaminated or had an unusually high number of heteroplasmic variants that may be  
23 related to NUMTs (>40) were removed ( $n=86$ ). We defined variants as homoplasmic (>95%) or  
24 heteroplasmic (<95% and >5%) based on allele frequency.

### 25 **Oxford Nanopore long-read single-molecule sequencing**

26 To obtain more even coverage across the mtDNA genome and eliminate NUMTs, a long-read  
27 sequencing approach was additionally used to detect mtDNA heteroplasmy in blood-derived  
28 ( $n=16$ ) and neuronal-derived DNA ( $n=10$ ) of *PRKN* mutation carriers and controls. The same  
29 two primer sets from Illumina sequencing were used for the long-range PCR (**Table S2** and

1 **Figure S1**). Samples were barcoded and multiplexed, and subsequent sequencing was performed  
2 on an R9 flow cell. Mitochondrial genomes with a mean depth of 3433.2X (SD:±2299.9X)  
3 coverage were obtained. Base-calling was performed with Guppy v5.0.11 with the super-  
4 accurate model (<https://community.nanoporetech.com/>). The base-called reads were filtered with  
5 Filtlong (v0.2.0) to only include the best 50% of the reads, based on Phred quality scores (q-  
6 score), with a minimum read length of 9kb (<https://github.com/rrwick/Filtlong>). Then, the reads  
7 were trimmed with NanoFilt.<sup>29</sup> Subsequently, the Nanopore reads were aligned against the  
8 mitochondrial genome reference sequence using Minimap2 (v2.17).<sup>30</sup> The alignments were  
9 sorted and indexed with SAMtools<sup>23</sup> (v1.3.1). Mutserve2<sup>27</sup> (v2.0.0) and Haplocheck (v1.2.1)<sup>28</sup>  
10 was used to call variants and the same default parameters were applied in the same fashion as  
11 with our short-read sequencing. However, different thresholds for HF levels were set at 0.05,  
12 0.02 and down to 0.01. The per base quality scores allowed for more accurate identification of  
13 heteroplasmic variants. All variants from third and second generation sequencing were annotated  
14 with a predefined file provided by Mutserve2, with allele frequencies from the 1000 Genomes  
15 Project<sup>31</sup>, HelixMtDB<sup>32</sup>, NUMTs defining variants<sup>33</sup> as well as pathogenicity scores.<sup>34,35</sup>

## 16 **MtDNA deletion and 7S DNA analysis**

17 Real-time PCR assays were performed using TaqMan technology. MtDNA deletion rates were  
18 derived from the ratio of the mtDNA-encoded genes *NADH:ubiquinone oxidoreductase core*  
19 *subunit 4* and *NADH-dehydrogenase 1 (ND4:ND1)*. *ND1* is a gene located in the minor arc of the  
20 mitochondrial genome, in an area spared from deletions. *ND4* is located in the major arc, in a  
21 region commonly affected by somatic deletions. The deletion assay has been established  
22 previously and has been used quite frequently.<sup>36-39</sup> For instance, in a study where a specific  
23 accumulation of mtDNA major arc deletions in neuromelanin-containing dopaminergic neurons  
24 isolated from postmortem nigral tissue of Parkinson's disease patients was detected.<sup>36</sup> In  
25 addition, they characterized the size of the deletions by long-range PCR, providing evidence that  
26 the real-time PCR assay is in fact specific. MtDNA transcription initiation was assessed by  
27 calculating the 7S DNA:*ND1* ratio, as the relative abundance of 7S DNA in the D-Loop region  
28 allows for an estimation of the mitochondrial transcription initiation rate.<sup>40</sup> Forward and reverse  
29 primers as well as probes coupled with a non-fluorescent quencher described in **Table S2** were  
30 used to quantify the concentrations of *ND1*, *ND4*, and D-loop, where 7S DNA is integrated

1 during the initial phase of mtDNA transcription. The reactions were performed in 384-well plates  
2 in a final reaction volume of 5  $\mu$ L using a LightCycler480 (Roche) as previously described.<sup>41</sup> To  
3 minimize inter-experimental variability, reagents for each real-time PCR analysis were pipetted  
4 using an automated liquid handler (BioMek FX). A serial dilution of the plasmid p7D1 encoding  
5 the target sequence of *ND1*, *ND4* and the D-Loop region was used to quantify the samples. All  
6 samples were measured in triplicates. A mixture of all samples was used to normalize across  
7 plates. The average and standard deviation of the normalized samples were used for analysis.

## 8 **Generation of iPSC-derived neurons from PRKN-mutant** 9 **Parkinson's disease patients and controls**

10 iPSCs were generated from fibroblasts of four Parkinson's disease patients (mean age $\pm$ SD:  
11 57.75 $\pm$ 12.03 years; three females and one male) with biallelic *PRKN* mutations (homozygous  
12 c.1072Tdel; compound-heterozygous c.1072Tdel+delEx7; compound-heterozygous  
13 delEx4+c.924C>T; compound-heterozygous delEx1+c.924C>T) and three age-matched controls  
14 (mean age $\pm$ SD: 45.33 $\pm$ 9.74 years, one female and two male) as previously described<sup>42</sup> and  
15 cultured in mTeSR1<sup>TM</sup> complete medium (StemCell Technologies). First, iPSCs  
16 were differentiated into small molecule neural precursor cells (smNPCs) using an  
17 established protocol.<sup>43</sup> In short, smNPCs were cultured in N2B27 medium: Neurobasal  
18 (Gibco)/DMEM-F12 (Gibco) 50:50 and supplemented with 1% B27 without vitamin A  
19 (ThermoFisher), 0.5% N2 (Life Technologies), 1% penicillin-streptomycin (ThermoFisher) and  
20 1% 200 mM glutamine (Westburg). During smNPC cultivation, N2/B27 medium was  
21 additionally supplemented with 3  $\mu$ M CHIR 99021 (Sigma), 150  $\mu$ M ascorbic acid (Sigma) and  
22 0.5  $\mu$ M purmorphamine (PMA) (Sigma). The medium was changed every other day.

23 The induction of midbrain neurons began after smNPCs reached the 15<sup>th</sup> passage. Once ~75%  
24 confluent, cells were detached by subjection to Accutase (Merck Millipore) for 5 min at 37°C  
25 and then centrifuged for 3 min at 300 x g at room temperature. Cells were then resuspended and  
26 counted via the Countess II FL Automated Cell Counter (ThermoFisher). 750,000 smNPCs per  
27 well were seeded onto Matrigel-coated 6-well plates in N2/B27 medium with 1  $\mu$ M PMA, 200  
28  $\mu$ M ascorbic acid and 100 ng FGF8 (PeproTech) for 8 days. Next, cells were cultured in N2/B27  
29 medium with 0.5  $\mu$ M PMA and 200  $\mu$ M ascorbic acid for two days. For the next 22 days, cells  
30 were cultured in N2/B27 medium with 200  $\mu$ M ascorbic acid, 500  $\mu$ M dibutyryl-cAMP



1 (Applichem), 1 ng/mL TGF- $\beta$ 3 (PeproTech), 10 ng/mL GDNF (PeproTech) and 20 ng/mL BDNF  
2 (PeproTech). The medium was changed every second day. Finally, DNA was prepared from  
3 previously characterized iPSC-derived neurons ( $n=5$  million)<sup>42</sup> with the QIAAmp Minikit  
4 (Qiagen) and was quantified using standard methods.

## 5 **Laser-microdissected midbrain tissue from PRKN-mutant** 6 **Parkinson's disease patients and controls**

7 Frozen human *postmortem* midbrain tissue sections and associated clinical and neuropathological  
8 data were supplied by Juntendo University and the Parkinson's UK brain bank at Imperial  
9 College London. One Parkinson's disease patient (age at death: 71 years old, male, postmortem  
10 interval: 14h, compound-heterozygous *PRKN* delEx2 + delEx3) and one control (age at death: 81  
11 years old, male, postmortem interval: 34h) was used for this analysis. Frozen midbrain blocks  
12 were cryosectioned at  $\sim 15$   $\mu\text{m}$  thickness in the transverse plane. For the isolation of  
13 neuromelanin-positive neurons from the *substantia nigra*, sections were gradually thawed and  
14 fixed with 4% paraformaldehyde for 10 min. After three brief washes in TBST buffer, sections  
15 were left to dry on room temperature. Laser capture microdissection (LCM) was performed with  
16 the PALM MicroBeam (Zeiss) and isolated neurons were lysed in a buffer (50 mM Tris-HCl, pH  
17 8.5, 1 mM EDTA, 0.5% Tween-20, 200ng/mL proteinase K) for 3hrs at 55°C and 10min at  
18 90°C. For each person, we cut out 200 individual neurons, which were identified by the presence  
19 of neuromelanin deposits, from the *substantia nigra*. The total area of these separately laser-  
20 microdissected 200 cells was for the control: 445470  $\mu\text{m}^2$  and the PRKN-Parkinson's disease  
21 patient: 249728  $\mu\text{m}^2$ , respectively. In addition, we isolated a region each from the center of the  
22 crus cerebri (without visible neuromelanin deposits) of the control (total area: 510609  $\mu\text{m}^2$ ) and  
23 the PRKN-Parkinson's disease (total area: 466368  $\mu\text{m}^2$ ) tissue. These dissected tissue areas were  
24 lysed in the same manner as the individual neurons. The resulting DNA lysates were used for  
25 Nanopore sequencing without further purification. The resulting DNA lysates were used in a  
26 long-range PCR (prior to Nanopore sequencing) without further purification. With our approach,  
27 we aimed to compare a dopaminergic neuron-rich region with a midbrain region that is free of  
28 dopaminergic neurons

## 1 **RNA Ampliseq Transcriptome**

2 RNA was extracted from blood of *PRKN* biallelic and monoallelic mutation carriers (*PRKN/all*)  
3 ( $n=23$ , mean age $\pm$ SD: 56.2 $\pm$ 13.2, mean AAO $\pm$ SD: 38.8 $\pm$ 18.2) and controls ( $n=6$ , mean age $\pm$ SD:  
4 71.7 $\pm$ 9.1) with RNeasy Qiagen Minikit and DNase I digested prior to assessing the  
5 concentration, quality and RNA integrity with Agilent 2100 bioanalyzer, RNA LabChip kit and  
6 associated software (Agilent). Ampliseq<sup>TM</sup> whole transcriptome analysis was performed with the  
7 Ion Proton (Life Technologies, Inc) with average of 9.96M $\pm$ 1.14M reads. Transcriptome reads  
8 were aligned to the GRCh37/hg19 reference genome and analyzed by RNAseq Analysis plugin  
9 (V5.0.0.2) using default analysis settings. DESeq2 R<sup>44</sup> package (V1.18.1) within Bioconductor  
10 was used to test for differential expression by use of negative binomial generalized linear  
11 models; the estimates of dispersion and logarithmic fold changes incorporate data-driven prior  
12 distributions. The non-normalized counts of sequencing reads/fragments were used in DESeq2's  
13 statistical model that accounts for library size differences internally. Differentially expressed  
14 genes (DEGs) with nominally-significant p-values ( $<0.05$ ) and Log2fold (L2F) changes ( $>|0.2|$ )  
15 were mapped on KEGG pathways with pathview.<sup>44</sup>

## 16 **IL6 measurements in serum**

17 To investigate interleukin 6 (IL6) levels in *PINK1/PRKN* biallelic and monoallelic mutation  
18 carriers ( $n=20$ , mean age $\pm$ SD: 52.9 years  $\pm$ 14.3 years, 9 females), venous blood was collected in  
19 serum tubes and processed within one hour. Serum samples were centrifuged at 4°C for 10 min  
20 at 3000g, immediately frozen at -80°C and transferred to a certified diagnostic laboratory, where  
21 IL6 levels were measured using the Elecsys IL6 assay (Cobax).

## 22 **Statistical Analyses**

23 The affection status (whether the individual was diagnosed with Parkinson's disease),  
24 *PINK1/PRKN* mutational dosage or heteroplasmic variant load were used as outcome variables  
25 in this study. JMP software, Version 10 (SAS Institute Inc, Cary, NC USA) and R,<sup>45</sup> Version 4.1  
26 were used to compare the clinical observations across different groups (i.e. Parkinson's disease  
27 vs asymptomatic; AAO; genotypes) and number of heteroplasmic mtDNA variants. For analyses  
28 in the blood-derived samples from the cohorts, Mann-Whitney U-tests were applied to compare  
29 two groups and Kruskal-Wallis tests and subsequent post-hoc pairwise comparisons were used

1 for more than two groups if  $p < 0.05$ . With this approach, we corrected for multiple comparisons  
2 within each data set for a given research question. More specifically, six comparisons were  
3 performed post-hoc when investigating heteroplasmic mtDNA variants in control subjects,  
4 idiopathic Parkinson's disease, monoallelic and biallelic *PINK1/PRKN* mutation carriers. When  
5 looking at ND4:ND1 ratios across idiopathic Parkinson's disease, and patients with monoallelic  
6 and biallelic *PINK1/PRKN* mutations, we performed three post-hoc tests. Lastly, when  
7 comparing control subjects, idiopathic Parkinson's disease, monoallelic and biallelic  
8 *PINK1/PRKN* mutation carriers for ND4:ND1 and 7SDNA:ND1 ratios we performed six post-  
9 hoc tests. By contrast, we did not account for multiplicity between different data sets (e.g.  
10 mtDNA deletions vs mtDNA somatic point mutational load). To distinguish affection status with  
11 heteroplasmic mtDNA variants in monoallelic *PINK1/PRKN* mutation carriers, multivariable  
12 logistic regression models were used to predict disease status by mtDNA variants and age at  
13 examination. Then, the corresponding receiving operating characteristic (ROC) curves were  
14 reported. DESeq2 R package within Bioconductor was used to describe differences in gene  
15 expression using negative binomial distribution based on estimate variance-mean dependence in  
16 count data from high-throughput sequencing assays. The p-values were attained by the Wald test  
17 for the gene expression differences. Pearson correlation coefficient was reported for the  
18 correlation of IL6 and heteroplasmic variants. For analyses in iPSC-derived neurons, t-tests were  
19 performed.

## 20 **Graphical output**

21 All graphics and figures were created with GraphPad Prism and BioRender.com.

## 22 **Data availability**

23 The data that support the findings of this study are available from the corresponding author, upon  
24 reasonable request.

## 25 **Results**

### 26 **Deep mitochondrial DNA sequencing with Illumina technology**

27 After stringent filtering for uncontaminated samples,  $>1,000\times$  coverage,  $<40$  heteroplasmic  
28 mtDNA variants,  $n=325$  individuals were included for further analyses. We identified a total

1 9,799 variants in 325 individuals. The mean number of overall homoplasmic variants was 28.15  
2 (SD:  $\pm 15.1$ ) per person and 2.3 (SD:  $\pm 2.67$ ) per person for overall heteroplasmic variants.

### 3 **Heteroplasmic mtDNA variants are associated with disease manifestation in** 4 **PINK1/PRKN mutation carriers**

5 We explored whether an association between mtDNA variant burden and Parkinson's disease  
6 status exists by comparing the cumulative mtDNA variant load between symptomatic (PD+) and  
7 asymptomatic carriers (PD-) of *PINK1* and *PRKN* monoallelic mutation carriers.

8 This analysis revealed a higher number of heteroplasmic variants in symptomatic  
9 (PINK1+/PRKN+/PD+,  $n=29$ ), compared to asymptomatic monoallelic carriers  
10 (PINK1+/PRKN+/PD-,  $n=109$ ) (Mann-Whitney U-test,  $Z=2.93$ ,  $p=0.0033$ ,  $n=138$ ). The resulting  
11 area under the curve indicated good discrimination based on low-level mtDNA variants and age  
12 at blood drawn for comparison between symptomatic (PD+) and asymptomatic carriers (PD-) of  
13 *PINK1* and *PRKN* monoallelic mutation carriers (AUC=0.83, CI:0.74-0.93) (**Figure 2a and b**).

14 By contrast, a comparison of homoplasmic mtDNA variants did not show differences between  
15 symptomatic (PINK1+/PRKN+/PD+,  $n=29$ ) and asymptomatic *PINK1* or *PRKN* monoallelic  
16 mutation carriers (PINK1+/PRKN+/PD-,  $n=109$ ) (Mann-Whitney U-test,  $Z=0.43$ ,  $p=0.66$ , **Figure**  
17 **S2**).

### 18 **Heteroplasmic mtDNA variants increase with number of mutant** 19 **PINK1/PRKN alleles**

20 To assess whether the number of *PINK1* or *PRKN* mutations has an impact on the mtDNA  
21 variant burden, we compared the heteroplasmic variant load between affected and unaffected  
22 individuals with biallelic or monoallelic mutations, idiopathic Parkinson's disease patients and  
23 controls.

24 The number of heteroplasmic mtDNA variant load differed between patients with idiopathic  
25 Parkinson's disease ( $n=54$ ) and patients with *PINK1/PRKN* biallelic or monoallelic mutations  
26 (PINK1/PRKN/PD+,  $n=78$ ) (Mann-Whitney U-test,  $p=0.0441$ ) (**Figure 2c**). Separating patients  
27 with monoallelic (PINK1+/PRKN+/PD+,  $n=29$ ) and biallelic *PINK1/PRKN* mutations

1 (PINK1<sup>++</sup>/PRKN<sup>++</sup>/PD<sup>+</sup>,  $n=49$ ), no overall difference was seen to idiopathic Parkinson's  
2 disease patients (Kruskal-Wallis test,  $p=0.104$ ) (**Figure 2d**).

3 Heteroplasmic mtDNA variant load differed between biallelic and monoallelic *PINK1/ PRKN*  
4 mutation carriers regardless of affection status (PINK1/PRKN/all) and controls ( $n=67$ ), higher  
5 mtDNA variant load was observed for biallelic compared to monoallelic mutation carriers  
6 (Kruskal-Wallis test,  $p=0.0006$ ) (**Figure 2e**). Post-hoc pairwise analyses revealed higher  
7 heteroplasmic mtDNA variant load for biallelic compared to monoallelic *PINK1/ PRKN*  
8 mutation carriers ( $p=0.002$ ) and higher variant load for biallelic PINK1/PRKN/ mutation carriers  
9 compared to controls ( $p=0.001$ ).

10 The number of homoplasmic variants also increased with the number of mutant *PINK1/PRKN*  
11 alleles: mtDNA variants burden differed between patients with idiopathic Parkinson's disease  
12 ( $n=54$ ) and *PINK1* or *PRKN* carriers regardless of affection status ( $n=78$ ) (**Figure S3**, Kruskal-  
13 Wallis test,  $p<0.001$ ). As the homoplasmic mtDNA variants burden should not be influenced by  
14 age, we did not perform the age adjustment. These results suggest that heteroplasmic and  
15 homoplasmic mtDNA variant load are associated with *PINK1/PRKN* genotype. Of note, the  
16 samples with a higher number of heteroplasmic variants (**Figure 2e**) are not the same as those  
17 with a high number of homoplasmic variants (**Figure S3**).

18 MtDNA variants were also compared between idiopathic Parkinson's disease patients and  
19 controls in the same manner. The median values for the number of heteroplasmic variants and  
20 homoplasmic variants was not found to differ between idiopathic Parkinson's disease patients  
21 and controls (Mann-Whitney U-test  $p=0.0846$  and  $p=0.4668$ , respectively).

## 22 **Quantitative analyses of mtDNA-associated 7S DNA and major arc** 23 **deletions**

24 To extend our mtDNA variant burden analysis to include large-scale deletions, we investigated  
25 ND4:ND1 ratios. After filtering for quality control and removing outliers,  $n=302$  individuals  
26 were included for further analyses.

27 Patients with biallelic *PINK1* or *PRKN* mutations (PINK1<sup>++</sup>/PRKN<sup>++</sup>/PD<sup>+</sup>;  $n=57$ ) had more  
28 mtDNA deletions than patients with monoallelic *PINK1* or *PRKN* mutations  
29 (PINK1<sup>+</sup>/PRKN<sup>+</sup>/PD<sup>+</sup>;  $n=25$ ) or idiopathic Parkinson's disease cases ( $n=50$ ) (Kruskal-Wallis

1 test,  $p=0.009$ ) (**Figure 3a**). Disregarding the disease status, mtDNA major arc deletions were  
2 more abundant in biallelic mutation carriers ( $n=57$ ) than in monoallelic mutation carriers  
3 ( $n=130$ ) or controls ( $n=65$ ) (Kruskal-Wallis test,  $p=0.003$ ) (**Figure 3b**).

4 MtDNA maintenance impairments may also extend to changes in transcription and replication of  
5 the mitochondrial genome. Events of mtDNA transcription/replication initiation were measured  
6 by determining 7S DNA:ND1 ratios (**Figure 3c and 3d**). Biallelic mutation carriers  
7 ( $PINK1^{++}/PRKN^{++}/all$ ;  $n=57$ ) showed lower 7S DNA:ND1 ratios than controls ( $n=65$ ); a  
8 smaller reduction in 7S DNA:ND1 ratios was seen for monoallelic mutation carriers  
9 ( $PINK1^{+}/PRKN^{+}/all$ ;  $n=131$ ) compared to controls, and the 7S DNA:ND1 ratios were different  
10 between biallelic ( $PINK1^{++}/PRKN^{++}/all$ ) and monoallelic ( $PINK1^{+}/PRKN^{+}/all$ ) *PINK1* or  
11 *PRKN* mutation carriers; lastly, compared to controls, idiopathic Parkinson's disease patients  
12 ( $n=49$ ) also showed a similar reduction in 7S DNA:ND1 ratios (Kruskal-Wallis test  $p<0.001$ )  
13 like biallelic *PINK1* or *PRKN* mutation carriers ( $PINK1^{++}/PRKN^{++}/all$ ) (**Figure 3d**).

## 14 **MtDNA variants in iPSC-derived dopaminergic neurons and** 15 **midbrain tissue with Nanopore sequencing**

16 Next, we tested whether alterations in mtDNA variant load in *PINK1/PRKN*-linked Parkinson's  
17 disease are a phenomenon restricted to peripheral tissues or whether they can also be observed in  
18 patient-derived neurons and midbrain tissue. To assess whether heteroplasmic mtDNA variants  
19 are present in neurons, we generated iPSC-derived midbrain neurons from four Parkinson's  
20 disease patients with biallelic *PRKN* mutations and three age-matched controls. MtDNA Illumina  
21 short-read deep-sequencing of these neuronal cultures revealed an increase in heteroplasmic  
22 variants in patient cells ( $p=0.0389$ ) (**Figure 4a**). These findings suggest that somatic mtDNA  
23 mutation accumulation not only occurs in peripheral tissues but also in iPSC-derived midbrain  
24 neurons from Parkinson's disease patients with biallelic *PRKN* mutations.

25 Due to limitations in short-read sequencing, we utilized Nanopore long-read sequencing for a  
26 more even coverage within amplicons, better mapping quality, and single-molecule technology  
27 (**Figure S4**). We first tested the technology in blood-derived *PRKN* monoallelic mutation  
28 carriers ( $n=16$  select samples). Nanopore sequencing at  $>1,000X$  coverage confirmed 28 out of  
29 32 (87.5%) variants ( $HF>0.05$ ) in the Illumina short-read dataset. Besides data from our own

1 study, we followed the benchmarking protocol established for Nanopore long-read sequencing in  
2 low-frequency variants.<sup>46</sup> When applying 5%, 2% and 1% heteroplasmy thresholds on long-read  
3 sequencing data in the neurons, we found that the number of heteroplasmic variants was higher  
4 in Parkinson's disease patients with biallelic *PRKN* mutations compared to controls (HF>5%,  
5  $p=0.0633$ , HF>2%,  $p=0.0024$ , HF>1%,  $p=0.0466$ ) (**Figure 4b-d**).

6 Finally, in light of recently reported limitations of mtDNA studies in iPSC-derived models,<sup>47</sup> we  
7 compared mtDNA variants in laser-microdissected neuromelanin-positive neurons isolated from  
8 postmortem *substantia nigra* and non-nigral midbrain tissue of a Parkinson's disease patient with  
9 biallelic *PRKN* mutations and one control. As we investigated lower HF levels, the difference  
10 between the nigral neurons (HF>5%,  $n=4$ ; HF>2%,  $n=21$ ; HF>1%,  $n=33$ ) and the non-nigral  
11 midbrain tissue (HF>5%,  $n=5$ ; HF>2%,  $n=7$ ; HF>1%,  $n=13$ ) increases in the *PRKN* mutation  
12 carrier. This phenomenon was not as pronounced in nigral neurons (HF>5%,  $n=7$ ; HF>2%,  
13  $n=10$ ; HF>1%,  $n=18$ ) compared to non-nigral midbrain tissue (HF>5%,  $n=5$ ; HF>2%,  $n=6$ ;  
14 HF>1%,  $n=14$ ) in the control (**Figure 4e**).

## 15 **MtDNA variant load is associated with differentially-expressed** 16 **genes (DEGs) in PRKN Parkinson's disease**

17 Recently, mtDNA disintegration in *PRKN* KO mice and patient neurons with an error-prone  
18 mitochondrial polymerase gamma (POLG) has been linked to mtDNA release and the activation  
19 of pro-inflammatory pathways.<sup>17,42</sup> Thus, we first studied the global expression profile in *PRKN*  
20 mutation carriers (*PRKN/all*) and controls from the South Tyrolean cohort with RNA-seq data  
21 from available blood samples (**Table S3**). This analysis revealed 1115 genes with nominally-  
22 significant differential expression L2F change  $>|0.2|$  ( $p<0.05$ ). In this set, there was an  
23 enrichment for genes in the olfactory transduction pathway ( $n=22$  genes), cytokine-cytokine  
24 receptor interaction ( $n=17$  genes), and PI3K-Akt signaling pathway ( $n=14$  genes) (**Table S4**).

25 Second, to test whether mtDNA variant load is associated with changes in gene expression, we  
26 analyzed the RNA profiles in *PRKN* biallelic and monoallelic patients with more ( $n=5$ ,  
27  $\text{mean}\pm\text{SD}$ :  $41.1\pm 1.9$ ) or fewer ( $n=6$ ,  $\text{mean}\pm\text{SD}$ :  $13.3\pm 1.3$ ) homoplasmic mtDNA variants. Still,  
28 these individuals were all from the South Tyrolean cohort. This analysis revealed 936 genes with  
29 nominally significant differential expression between high and low mtDNA variant load L2F

1 change  $>|0.2|$  ( $p < 0.05$  before adjustment), 40 genes overlapped with 1115 DEGs comparing  
 2 *PRKN* mutation carriers to controls. In this set, there was also an enrichment for genes in the  
 3 olfactory transduction pathway ( $n=70$  genes), the PI3K-Akt signaling pathway ( $n=17$  genes) and  
 4 the cytokine-cytokine receptor interaction ( $n=15$  genes) (**Table S4b**). Three genes showed the  
 5 strongest effects: *CD38* (ADP-ribosyl cyclase/cyclic ADP-ribose hydrolase, L2F=-1.13,  
 6  $p=2.64 \times 10^{-6}$ ), *GSDMA* (Gasdermin A, L2F=-1.16,  $p=4.44 \times 10^{-6}$ ), and *RNASE1* (Ribonuclease A  
 7 Family Member 1, L2F=-1.10,  $p=2.31 \times 10^{-5}$ ) (**Figure S5a**).

## 8 **IL6 levels and mtDNA heteroplasmy**

9 Finally, prompted by our finding of altered gene expression in cytokine-cytokine receptor  
 10 interaction pathways and by the recent literature suggesting a role for proinflammatory signaling  
 11 in *PINK1*- and *PRKN*-associated Parkinson's disease,<sup>17,48</sup> we next assessed whether the  
 12 heteroplasmic mtDNA variant load correlated with serum IL6 levels in a subset of *PINK1* and  
 13 *PRKN* mutation carriers (*PINK1/PRKN/all*,  $n=20$ ), where serum was available. In these  
 14 individuals, heteroplasmic mtDNA variant load was found to correlate with serum IL6 levels  
 15 ( $r=0.57$ ,  $p=0.0074$ ) (**Figure 4f**). Similarly, the heteroplasmic mtDNA variant load correlated  
 16 with serum IL6 in *PINK1* and *PRKN* monoallelic mutation carriers (*PINK1+/PRKN+/all*,  $n=14$ )  
 17 ( $r=0.86$ ,  $p=8.1 \times 10^{-5}$ ) (**Figure S5b**). Homoplasmic mtDNA variant load did not correlate with  
 18 serum IL6 levels ( $p=0.1812$ ).

## 19 **Discussion**

20 In this study, we investigated the mtDNA variant burden as a marker of disease state in patients  
 21 with *PINK1* and *PRKN* mutations. Deep sequencing of blood-derived mtDNA revealed an  
 22 accumulation of heteroplasmic variants, which are likely to be of somatic origin<sup>49</sup> that could  
 23 influence the clinical manifestation of *PINK1* or *PRKN* pathogenic mutations. Although the  
 24 effect size of mtDNA heteroplasmy as a disease marker is relatively low, the ROC curve  
 25 analyses showed predictive potential after including age ( $AUC > 0.83$ ). Unfortunately, only for a  
 26 subset of individuals the exact age at blood-draw was available ( $n=231/325$  total individuals).  
 27 Moreover, the number of affected carriers was too sparse for a continuous AAO trait analysis,  
 28 and may have been further hampered by the linear association of mtDNA damage and age.<sup>50</sup>  
 29 However, being mindful of these limitations, the fact that mtDNA heteroplasmy could



1 distinguish between symptomatic and asymptomatic monoallelic carriers of *PINK1* or *PRKN*  
2 mutations but not between idiopathic Parkinson's disease and controls, still suggests that the  
3 effect is specific for individuals with *PINK1* or *PRKN* mutations. As we found that mtDNA  
4 heteroplasmy is associated with disease manifestation, we may extrapolate mtDNA  
5 heteroplasmic variant load as a penetrance modifier. Interestingly, the analysis of heteroplasmic  
6 mtDNA variant load revealed a dosage effect for patients with *PINK1* or *PRKN* mutations. A  
7 similar dosage effect was also observed for major arc deletions and 7S DNA. Together, these  
8 findings suggest a mechanistic link between impaired mtDNA maintenance and increased  
9 mtDNA variant load. Parkin has been shown to modulate mtDNA transcription by ubiquitination  
10 of PARIS, which represses the mitochondrial biogenesis master regulator PGC1-alpha.<sup>51</sup> In  
11 addition, our own recent work revealed that a metabolic shift in Parkin-deficient neurons is  
12 sensed by SIRT1, which in turn causes a depletion of PGC1-alpha and mtDNA  
13 dyshomeostasis.<sup>42</sup> Accordingly, Parkin protects mtDNA from damage and stimulates mtDNA  
14 repair<sup>52</sup> (see **Figure S6** for an overview scheme).

15 An increase in somatic mutational load likely indicates a development during an individual's  
16 lifetime that can influence phenoconversion. While low-frequency heteroplasmic mtDNA  
17 changes are possibly a direct result of a disturbance in mitochondrial quality control in carriers of  
18 *PINK1* or *PRKN* mutations, homoplasmic mtDNA variants are likely to be inherited. The  
19 accumulation of homoplasmic variants could be explained by transmission of mtDNA variants  
20 across multiple generations over decades in families, a generational conversion of heteroplasmic  
21 into homoplasmic variants in populations with *PINK1* or *PRKN* mutations, though this can be  
22 influenced by specific mtDNA haplotypes. Individuals with a higher heteroplasmic variant load  
23 ( $n > 14$  heteroplasmic variants) are detailed in **Table S5**. These individuals do not overlap with  
24 individuals who have higher homoplasmic load, ( $n > 78$  homoplasmic variants) which originate  
25 mainly from the Tunisian population. These samples were also assigned to the "L" haplogroup  
26 (i.e., L0a1a3, L0a1a2, L1b1a, L1b1a16, L2a1c3a, L2a1b+143, L2a1+143 or L3h1b2), which are  
27 of African origin (**Table S6**).

28 To investigate whether the observed mtDNA phenotypes in carriers of *PINK1* or *PRKN*  
29 mutations are only a feature detectable in peripheral tissue or whether mtDNA integrity  
30 contributes to neurodegeneration in these individuals, we additionally studied neuronal samples.  
31 Comparing iPSC-derived midbrain neurons from four Parkinson's disease patients deficient of

1 Parkin and three controls, we confirmed an increase of somatic mtDNA mutations in the patient  
2 cells. Interestingly, our previous work in the *PRKN*-mutant neuronal cultures revealed  
3 impairments in the mtDNA maintenance and mitochondrial biogenesis pathways, which resulted  
4 in respiratory chain disturbance.<sup>42,53</sup> Together, these findings suggest a causal link between  
5 mtDNA dyshomeostasis, somatic mutation accumulation and mitochondrial dysfunction. By  
6 contrast, recent sequencing analyses in iPSC lines implicated a high mtDNA mutation rate  
7 during reprogramming.<sup>47</sup> While this technical limitation alone would not explain the genotype-  
8 specific differences in mtDNA mutational load observed here, it motivated us to additionally  
9 study *postmortem* nigral neurons from a biallelic *PRKN*- Parkinson's disease patient and a  
10 matched control. Indeed, in laser-microdissected dopaminergic neurons (which were identified  
11 based on their neuromelanin deposits) from the *PRKN*-mutant patient, we detected elevated  
12 numbers of somatic mutations when considering heteroplasmic frequencies >1% or >2%. Given  
13 that previous deep-sequencing analyses in single *postmortem* dopaminergic neurons from nigral  
14 tissue of idiopathic Parkinson's disease patients and controls did not reveal differences in terms  
15 of mtDNA somatic mutational load,<sup>54</sup> these findings further strengthen the role of Parkin in  
16 regulating mtDNA homeostasis. In addition, in line with previous results from idiopathic  
17 Parkinson's disease patient and control *postmortem* sections,<sup>36,54</sup> our comparison of the mtDNA  
18 status in neuromelanin-positive nigral neurons versus non-nigral midbrain tissue revealed that  
19 dopaminergic neurons are particularly vulnerable to this kind of damage. This phenomenon may  
20 be explained by the autoxidation properties of dopamine,<sup>55</sup> which facilitate the formation of free  
21 radicals. ROS, in turn, can cause single- and double-strand breaks, create abasic sites and oxidize  
22 purines and pyrimidines in the mitochondrial genome.<sup>56</sup> However, keeping in mind that due to  
23 the rarity of *PRKN*-Parkinson's disease brain tissue, we could only explore mtDNA somatic  
24 alterations in a single case, our *postmortem* results warrant further investigation in additional  
25 samples.

26 Apart from its role in mtDNA maintenance, Parkin deficiency has been shown to trigger mtDNA  
27 disintegration and dyshomeostasis as a result of impaired mitochondrial clearance.<sup>51</sup> Upon  
28 depolarization, oxidative stress or protein misfolding, PINK1 recruits Parkin to the mitochondria  
29 to initiate mitophagy.<sup>51,57</sup> If mitochondrial clearance fails in the absence of PINK1 or Parkin,  
30 pro-inflammatory signaling is induced. *PRKN* KO mice and iPSC-derived neurons with  
31 proofreading-deficient POLG as well as Parkinson's disease patients with *PINK1* or *PRKN*

1 mutations showed elevated circulating cell-free mtDNA levels,<sup>58</sup> which escapes from cells as  
2 consequence of impaired mitochondrial clearance and DNA maintenance. In the extracellular  
3 space, mtDNA can act as a damage-associated molecular pattern that is recognized by  
4 cGAS/STING and leads to inflammasome activation. Accordingly, *PRKN*-knockout mutator  
5 mice and *PINK1* or *PRKN*-mutant Parkinson's disease patients showed an upregulation of the  
6 cytokine IL6 in serum.<sup>17,58</sup> To further investigate the relationship between mtDNA integrity and  
7 inflammation, we quantified serum IL6 levels in a small subset of our *PINK1*- and *PRKN*  
8 mutation carriers. Remarkably, IL6 concentrations correlated with mtDNA heteroplasmic variant  
9 load in these samples. However, the sample size does not allow us to directly compare  
10 correlative differences between biallelic and monoallelic *PINK1/PRKN* mutation carriers.  
11 Whether IL6 levels differ between monoallelic and biallelic *PINK1/PRKN* mutation carriers and  
12 correlate with heteroplasmic mtDNA load needs to be further elucidated with larger sample  
13 sizes. In addition, we observed DEGs enriched in inflammatory pathways when comparing the  
14 gene expression profiles of *PRKN* mutation carriers with differential mtDNA variant load. These  
15 results concur with the above-mentioned findings in mice and human biosamples.<sup>17,58</sup> However,  
16 cellular studies will be needed to conclusively clarify if inflammation is a downstream effect  
17 triggered by accumulation of mtDNA variants and subsequent mtDNA release from damaged  
18 mitochondria.

19 The main strength lies in the in-depth analysis of mtDNA samples from the largest collection of  
20 *PINK1/PRKN* mutation carriers in one comprehensive investigation. Thus, while we would  
21 ideally aim to replicate our findings in an independent cohort, the overall rarity of individuals  
22 with *PINK1/PRKN* mutations currently precludes such a validation approach. The majority of  
23 samples included two founder populations: South Tyroleans and North Africa Arab Berbers,  
24 where the frequency of biallelic *PINK1* and *PRKN* variants are higher than in other ethnic  
25 groups. These populations provide genetic and environmental homogeneity to increase power for  
26 discovery and comprise the majority of our study cohort. Furthermore, our analysis compared  
27 specific controls from these founder populations, which also avoids genetic heterogeneity and  
28 background bias. However, the disease severity of patients and affection status of currently  
29 unaffected carriers may change and longitudinal follow up of our study cohort is warranted. We  
30 only had access to a small number of the corresponding mothers of investigated individuals to  
31 unequivocally exclude inherited mtDNA variants. In our study, heteroplasmic variants from deep

1 sequencing served as a surrogate marker for somatic mtDNA variation.<sup>49</sup> Using this approach,  
2 we provided functional evidence for a role of monoallelic mutations in *PINK1/PRKN* as  
3 Parkinson's disease risk factors or pathogenic variants of highly reduced penetrance. *PINK1* and  
4 *PRKN* variants were labelled as "pathogenic mutations" based on the MDSGene consortium  
5 guidelines criteria of 'possibly, probably or definitely' pathogenic. Although it is becoming  
6 common practice to use ACMG, there is much room for interpretation in the criteria, especially  
7 for age-related neurodegenerative diseases that have reduced penetrance. Instead MDSGene  
8 scoring of pathogenicity has been used as it has been reviewed thoroughly, published and used  
9 effectively in scoring pathogenicity.

10 In addition, we applied single-molecule sequencing to *postmortem* midbrain tissue and  
11 confirmed an increase of somatic mutations in nigral dopaminergic neurons from a *PRKN*  
12 Parkinson's disease case. Finally, our data provides further evidence for the recently discovered  
13 link between the PINK1/Parkin mitophagy pathway and inflammation in the context of increased  
14 mtDNA heteroplasmy in human samples. In the future, mitochondrial variant load should also be  
15 investigated in patients and control subjects with pathogenic variants in Parkinson's disease  
16 genes that play a role in mitochondrial metabolism (e.g. *VPS13C*, *DNAJC6*).

17 In summary, our findings strengthen the relevance of the PINK1/Parkin pathway in maintaining  
18 a healthy mitochondrial pool. In addition, our study highlights mtDNA heteroplasmy as a  
19 potential disease manifestation marker for *PINK1/PRKN* Parkinson's disease. Thus, patient  
20 blood-based deep mtDNA sequencing may be considered for genetic counseling and future  
21 clinical trials. This is important for monitoring disease onset in asymptomatic carriers, as  
22 mtDNA heteroplasmy is reliably measurable.

23

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## 12 13 **Competing interests**

14 Authors report no competing interests relevant to the manuscript.

## 15 16 **Supplementary material**

17 Supplementary material is available at *Brain* online.

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## 1 **Figure legends**

2 **Figure 1 Overview of study.** Flow chart showing individuals incorporated in this study,  
3 biospecimen, rationale and techniques. mtDNA: mitochondrial DNA, NGS: next-generation  
4 sequencing, NM: neuromelanin, TGS: third-generation sequencing.

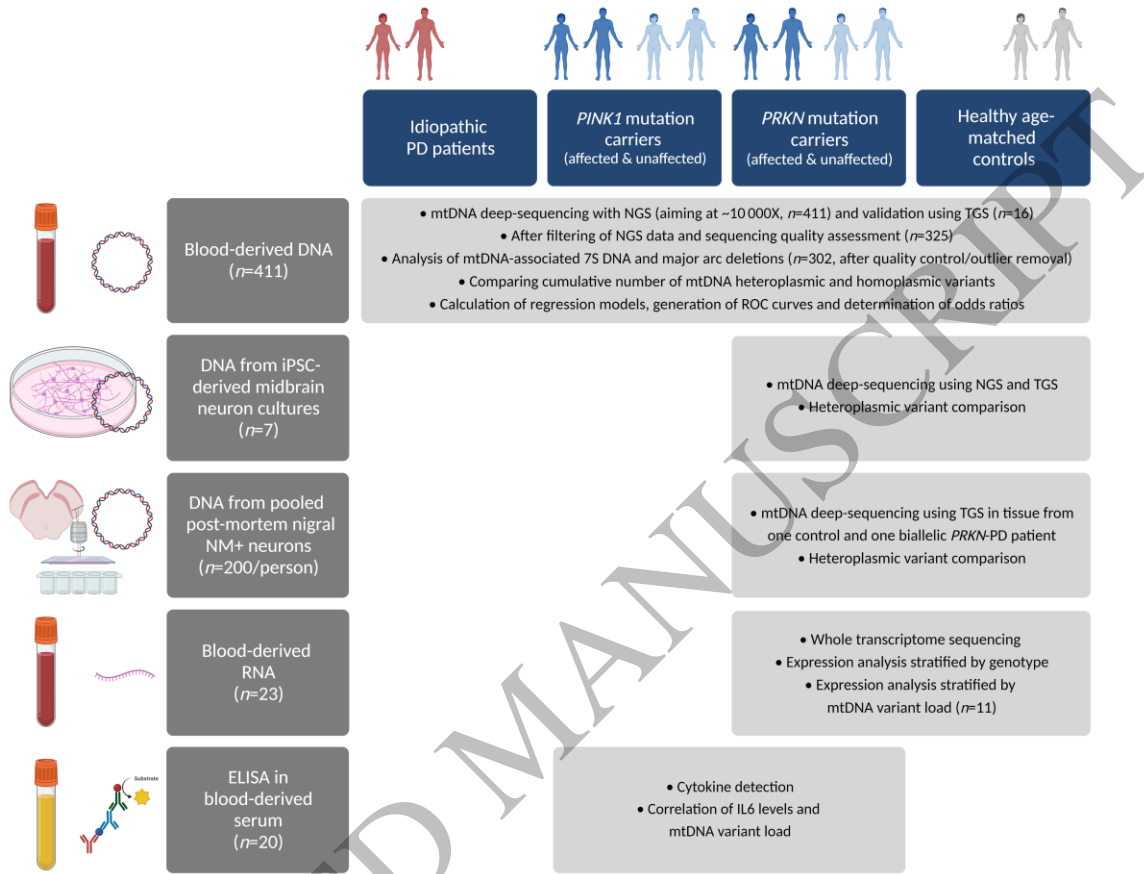
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6 **Figure 2 Disease manifestation and *PRKN/PINK1* status are associated with number of**  
7 **heteroplasmic mtDNA variants in blood-derived DNA.** (a) The sensitivity and specificity of  
8 the heteroplasmic mtDNA variants (HF>5%) as a biological marker plus age at examination  
9 were assessed with ROC analysis. The area under the curve (AUC) indicated good  
10 discrimination for affected (n=29) vs. unaffected (n=109) monoallelic *PRKN/PINK1* mutation  
11 carriers (AUC=0.83, CI:0.74-0.93). (b) Scatter plot showing the number of heteroplasmic  
12 mtDNA variants for affected (n=29) vs. unaffected (n=109) monoallelic *PRKN/PINK1* mutation  
13 carriers. Mann-Whitney U-test was performed. (c-d) Scatter plots showing number  
14 heteroplasmic mtDNA variants (HF>5%) for (c) idiopathic PD (iPD, n=54) vs  
15 PINK1/PRKN/PD+ (n=78); (d) iPD (n=54) vs PINK1+/PRKN+/PD+ (n=29) vs  
16 PINK1++/PRKN++/PD+ (n=49); (e) controls (n=67) vs iPD (n=54) vs all monoallelic mutation  
17 carriers (n=150) vs all biallelic mutation carriers (n=52). Mann-Whitney U-test was performed  
18 for (c) and Kruskal-Wallis tests for (d) and (e) shown in bold. Post-hoc analyses are not bolded.  
19 Bars indicate means and 95%CI. PINK1: monoallelic and biallelic *PINK1* mutations; PRKN:  
20 monoallelic and biallelic *PRKN* mutations; PINK1+:*PINK1* monoallelic, PINK1++: *PINK1*  
21 biallelic mutations; PRKN+=*PRKN* monoallelic, PRKN++:*PRKN* biallelic mutations;  
22 PD+:patient with Parkinson's disease; all=individuals in study with or without Parkinson's  
23 disease.

24  
25 **Figure 3 Analysis of mtDNA major arc deletions and mtDNA transcription-associated 7S**  
26 **DNA by real-time PCR in blood-derived DNA.** Simultaneous real-time PCR quantification of  
27 the mtDNA genes *ND4* relative to *ND1* or detection of 7S DNA relative to *ND1*. Scatter plots  
28 showing ND4:ND1 ratios for (a) patients with idiopathic PD (iPD, n=50) vs patients with PD  
29 due to monoallelic (n=25) or biallelic (n=57) mutations in *PINK1* or *PRKN*. (b) Controls (n=65)

1 vs iPD (n=50) vs all *PINK1* or *PRKN* monoallelic mutation carriers (n=130) vs all *PINK1* or  
2 *PRKN* biallelic mutation carriers (n=57). Scatter plot showing 7S DNA:ND1 ratios for (c)  
3 patients with iPD (n=49) vs patients with PD due to monoallelic (n=25) or biallelic (n=57)  
4 mutations in *PINK1* or *PRKN*. (d) Controls (n=65) vs iPD patients (n=49) vs all monoallelic  
5 *PINK1/PRKN* mutation carriers (n=131) vs all *PINK1* or *PRKN* biallelic mutation carriers  
6 (n=57). Kruskal-Wallis tests were performed and in bold. Post-hoc analyses are not bolded. Bars  
7 indicate means and 95%CI; PINK1+:*PINK1* monoallelic, PINK1++: *PINK1* biallelic mutations;  
8 PRKN+: *PRKN* monoallelic, PRKN++: *PRKN* biallelic mutations; PD+: patient with Parkinson's  
9 disease.

10  
11 **Figure 4 Heteroplasmic mtDNA variants are associated with *PRKN* mutation carriers in**  
12 **iPSC-derived and postmortem neurons and inflammation.** Scatter plot showing number of  
13 heteroplasmic mtDNA variants in iPSC-derived midbrain neurons from 3-4 differentiations of  
14 controls (n=4) and biallelic *PRKN*-PD patients (n=4) performed with (a) Illumina short-read  
15 sequencing at >5%, (b) Nanopore long-read sequencing at >5%, (c) Nanopore long-read  
16 sequencing at >2%, and (d) Nanopore long-read sequencing at >1%. T-tests were performed.  
17 PRKN++: *PRKN* biallelic mutations; PD+: patients with Parkinson's disease. (e) Line plot  
18 showing number heteroplasmic mtDNA variants for control- vs PRKN++/PD+-derived nigral  
19 laser-microdissected neuromelanin (NM)-positive neurons and non-nigral midbrain tissue at  
20 >5%, >2%, and >1%. (f) Correlation of serum IL6 and total mtDNA variant load in *PINK1* or  
21 *PRKN* monoallelic (n=14) and biallelic (n=6) mutation carriers. Bars indicate means and 95%  
22 CI.

23



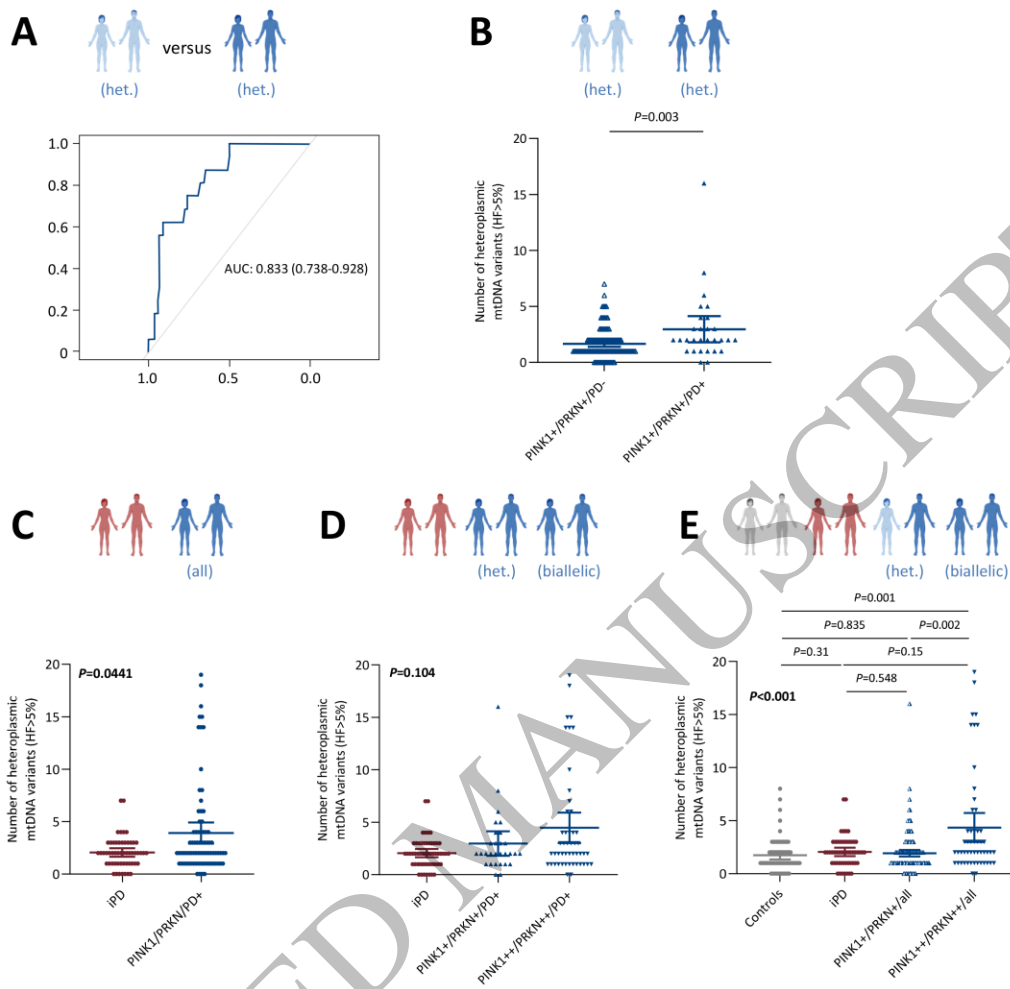
**Figure 1**  
**159x124 mm (3.8 x DPI)**

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**Figure 2**  
142x132 mm (3.8 x DPI)

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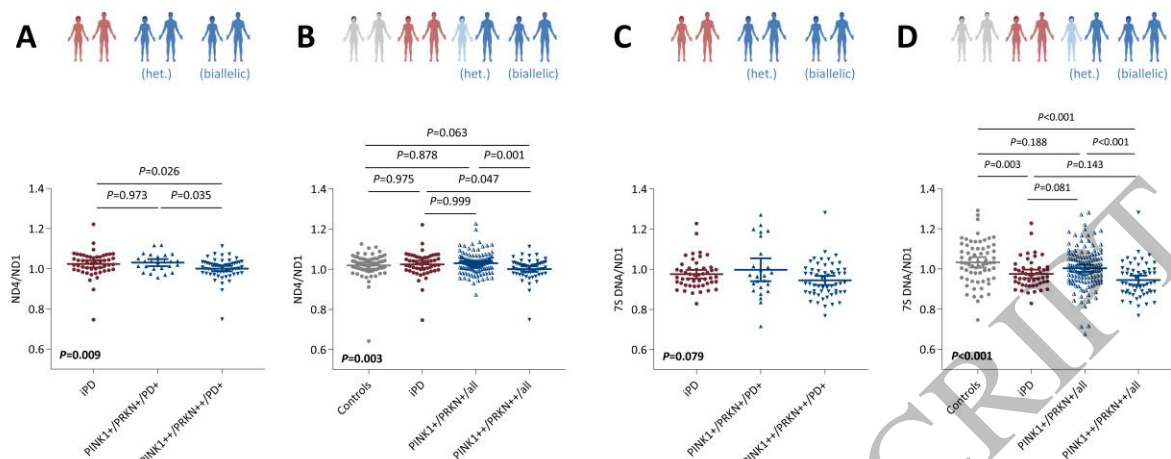


Figure 3  
159x63 mm (3.8 x DPI)

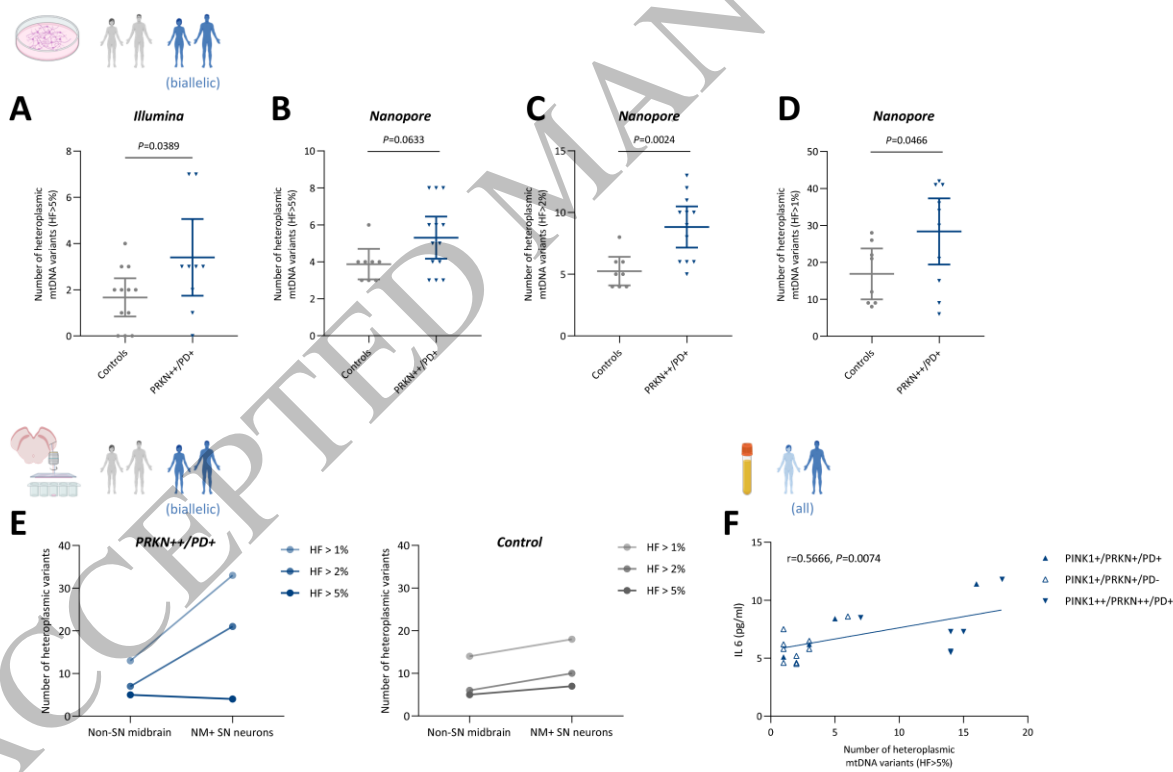


Figure 4  
159x104 mm (3.8 x DPI)

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1 **Table 1 Demographics of all cohorts: individuals with *PINK1*/*PRKN* mutations, idiopathic Parkinson's disease and controls**

	<i>PINK1</i> / <i>all</i>			<i>PRKN</i> / <i>all</i>			iPD	Control subjects
	Affected (PD+)	Unaffected (PD-)	NA	Affected (PD+)	Unaffected (PD-)	NA		
<b>N</b>	<b>59</b>	<b>47</b>	<b>6</b>	<b>54</b>	<b>79</b>	<b>9</b>	<b>67</b>	<b>90</b>
Number of men (%)	31 (52.5%)	25 (53%)	6 (100%)	25 (46%)	38 (48%)	1 (11%)	39 (60%)	38 (43%)
Mean age (SD) years	64.2 (15.2)	62.1 (11.6)	61.6 (17.7)	59.7 (16.9)	47.9 (16.9)	59.3(16.1)	74.3 (10.2)	61.7 (18.3)
Median age (IQR)	64.5 (50.75–79)	60(54–71)	66 (48–73)	59.5 (47.75–73)	51 (33–61)	57 (50–74.25)	77 (69–82)	64 (53.5–75.25)
Mean AAO (SD)	38.8 (14.2) (n = 53)	–	–	36.9 (16.4) (n = 50)	–	–	–	–
Median AAO (IQR)	36 (29.25–50) (n = 53)	–	–	34 (26–46) (n = 50)	–	–	–	–

2 *PINK1*/*all*: Monoallelic and biallelic *PINK1* mutation carriers regardless of affection status, *PRKN*/*all*: Monoallelic and biallelic *PRKN* mutation  
3 carriers regardless of affection status, iPD: idiopathic PD, SD: standard deviation, IQR: interquartile range, NA: not available, PD+: affected, PD-:  
4 : unaffected, AAO: age at onset.

6 **Table 2 Demographics of cohorts stratified by genotype**

	<i>PINK1</i> / <i>all</i>		<i>PRKN</i> / <i>all</i>	
	Biallelic ( <i>PINK1</i> +/+/ <i>all</i> )	Monoallelic ( <i>PINK1</i> +/-/ <i>all</i> )	Biallelic ( <i>PRKN</i> +/+/ <i>all</i> )	Monoallelic ( <i>PRKN</i> +/-/ <i>all</i> )
<b>N</b>	<b>57</b>	<b>55</b>	<b>27</b>	<b>115</b>
Number of men (%)	29 (51%)	32 (58%)	10 (37%)	54 (47%)
Mean age (SD) years	63.4 (14.6)	63 (13.1)	57.3 (17)	52 (17.9)
Median age (IQR)	63 (51–75)	61 (54–75)	58 (48–69)	54 (36–65.3)
Mean AAO (SD)	37.5 (12.9) (n = 51)	71 (1.4) (n = 2)	35.9 (16.9) (n = 28)	40.2 (15.6) (n = 22)
Median AAO (IQR)	36 (29–49.3) (n = 51)	71 (70–71) (n = 2)	32.5 (22.8–46) (n = 28)	39.5 (31.3–50) (n = 22)

7 *PINK1*/*all*: Monoallelic and biallelic *PINK1* mutation carriers regardless of affection status, *PRKN*/*all*: Monoallelic and biallelic *PRKN* mutation  
8 carriers regardless of affection status, *PINK1*+/+: *PINK1* biallelic, *PRKN*+/+: *PRKN* biallelic, *PINK1* +/-: *PINK1* Monoallelic, *PRKN* +/-: *PRKN* Monoallelic,  
9 SD: standard deviation, IQR: interquartile range, NA: not available, PD+: affected, PD-: unaffected, AAO: age at onset

10