

1 **Berberine and palmatine inhibit the growth of human rhabdomyosarcoma**
2 **cells**

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21

22 *Abbreviations:* ARMS, alveolar rhabdomyosarcoma; ERMS, embryonal
23 rhabdomyosarcoma; RMS, rhabdomyosarcoma

24

25 **Abstract**

26

27 A natural isoquinoline alkaloid, berberine, has been known to exhibit
28 anti-tumor activity in various cancer cells *via* inducing cell cycle arrest.
29 However, it has not been investigated whether berberine and its analogs
30 inhibit the growth of rhabdomyosarcoma (RMS), which is the most frequent
31 soft tissue tumor in children. The present study examined the anti-tumor
32 effects of berberine and palmatine on expansions of three human embryonal
33 RMS cell lines; ERMS1, KYM1, and RD. Intracellular incorporation of
34 berberine was relatively higher than that of palmatine in every RMS cell line.
35 Berberine significantly inhibited the cell cycle of all RMS cells at G₁ phase.
36 On the other hand, palmatine only suppressed the growth of RD cells. Both
37 of berberine and palmatine strongly inhibited the growth of tumorsphere of
38 RD cells in three-dimensional culture. These results indicate that berberine
39 derivatives have the potential of anti-tumor drugs for RMS therapy.

40

41 *Keywords:* berberine; palmatine; rhabdomyosarcoma; three-dimensional
42 culture; tumorsphere

43

44 Introduction

45

46 An isoquinoline alkaloid, berberine, is abundantly involved in several
47 medicinal plants, such as *Phellodendron amurense*, which has been used as
48 a traditional Chinese herb. Besides berberine, these plants synthesize a
49 series of protoberberine-type alkaloids, such as palmatine, coptisine, and
50 jatrorrhizine (Figure 1(a)). Numerous studies have reported that berberine
51 derivatives have a wide range of bioactivities including anti-bacterial,
52 anti-diabetic, anti-inflammatory, anti-oxidative, cardiovascular protective,
53 and neuroprotective effects [1]. Especially, the anti-tumor activities of
54 berberine have been shown because it potentially overcomes drug resistance
55 in combination with clinical chemotherapy [2]. Berberine induces cell cycle
56 arrests or apoptosis of prostate carcinoma [3], bladder cancer [4], lung tumor
57 [5], colon cancer [6], and hepatoma [7]. Berberine has been reported to arrest
58 cell cycle not only in cancers but also in sarcomas, such as osteosarcoma [8]
59 and chondrosarcoma [9]. However, the effects of berberine and its analogs on
60 rhabdomyosarcoma (RMS) have not been studied yet.

61 RMS is the most frequent soft tissue tumor in children but rarely
62 develops in adults. RMS is formed in soft tissues including striated muscles,
63 and is considered to be derived from several muscular lineages, such as
64 mesenchymal stem cells, myogenic precursor cells, or myoblasts [10]. RMS is
65 classified into two subtypes, alveolar RMS (ARMS) and embryonal RMS
66 (ERMS). ARMS is malignant and mostly occurs in the extremities of
67 adolescents and young adults. 80% of ARMS has the chromosomal

68 translocation $t(2;13)(q35;q14)$ or $t(1;13)(p36;q14)$, which generates chimeric
69 gene *PAX3-FOXO1* or *PAX7-FOXO1*, respectively [10-12]. Therefore, gene
70 therapy is considered to be a relevant strategy for ARMS. However, around
71 70% of childhood RMS is ERMS, which has a variety of chromosomal
72 abnormalities and can arise from every stage of muscle development [13]. At
73 present, a combination of chemotherapy, surgery, and/or radiation has
74 become the standard treatment for RMS. Although the 5-year survival rate
75 of RMS has increased up to 60% in the 2000s [14], the oncological outcome of
76 RMS patients has not been conspicuously improved in recent years because
77 of drug resistance and metastatic diseases [10]. Development of effective and
78 safe agents for RMS therapy is thus urgently required.

79 The present study investigated the inhibitory actions of berberine
80 and palmatine on the growth of three ERMS cell lines, ERMS1, KYM1, and
81 RD (Figure 1(b)). We further tested berberine and palmatine for RMS
82 tumorspheres in three-dimensional (3D) culture to evaluate their activities
83 in a condition similar to tumorigenesis.

84

85 **Materials and methods**

86

87 ***Compounds***

88 Berberine hydrochloride (Nacalai, Osaka, Japan) and palmatine chloride
89 hydrate (Nacalai) were dissolved in sterile water. In experiments of
90 berberine or palmatine, an equal volume of sterile water instead of the test
91 sample served as a negative control.

92

93 ***RMS cells***

94 Human RMS cells were provided by JCRB Cell Bank (National Institutes of
95 Biomedical Innovation, Health and Nutrition, Osaka, Japan). ERMS1 cells
96 (JCRB1648) were derived from an anaplastic pelvic ERMS of a 5-year-old
97 female [15]. KYM1 cell strain (JCRB0627) was established from a neck
98 tumor in a 9-month-old infant [16]. RD cells (JCRB9072) were directly
99 derived from the biopsy specimens of a malignant pelvic ERMS of a
100 7-year-old female patient [17]. All RMS cells were cultured in RPMI1640
101 (Nacalai) with 10% fetal bovine serum (HyClone; GE Healthcare, UT, USA),
102 100 units/ml penicillin, and 100 µg/ml streptomycin at 37°C with 5% CO₂.

103

104 ***Cell counting***

105 5.0×10^4 RMS cells/well were seeded on 12-well (ERMS1 and RD) or 24-well
106 (KYM1) plates. On the next day, the cells were treated by replacing the
107 medium with a brand-new medium containing berberine or palmatine. The
108 cells were continuously cultured until their numbers were counted. For cell

109 counting, the cells were completely dissociated by treating with 0.25%
110 trypsin with 1 mM EDTA (Wako, Osaka, Japan) for 5 min at 37°C. The
111 number of cells was counted using a hemocytometer.

112

113 *Cell cycle assay*

114 2.0×10^5 (ERMS1 and RD) or 4.0×10^5 (KYM1) cells/well were seeded on
115 12-well plate. On the next day, the cells were treated by replacing the
116 medium with a brand-new medium containing 10 μ M of berberine or
117 palmatine. After 24 h, the cell cycle phases were visualized using Cell-Clock
118 Cell Cycle Assay Kit (Biocolor Life Science Assays, County Antrim, UK). The
119 ratio of the cells at each phase was counted using ImageJ software (National
120 Institute of Health, USA).

121

122 *Fluorescent microscopy*

123 Intracellular incorporation of berberine or palmatine was detected as green
124 fluorescence because berberine and palmatine have fluorescence emissions
125 at 530 nm upon excitations [18,19]. Phase-contrast and fluorescent images
126 were taken and layered using EVOS FL Auto microscope with an emission
127 bandpass filter of 510-542 nm (AMAFD1000; Thermo Fisher Scientific, MA,
128 USA).

129

130 *Quantitative real-time RT-PCR (qPCR)*

131 For 2D culture, 1.5×10^5 (ERMS1 and RD) or 2.0×10^5 (KYM1) cells were
132 seeded on 30-mm dishes. On the next day, the cells were treated by replacing

133 the medium with a brand-new medium containing 10 μ M of berberine or
134 palmatine. After 48 h (KYM1) or 72 h (ERMS1 and RD), the total RNA from
135 the RMS cells was isolated using TRIzol Reagent (Thermo Fisher Scientific)
136 and reverse transcribed using ReverTra Ace qPCR RT Master Mix (TOYOBO,
137 Osaka, Japan). For 3D culture, the RD tumorspheres at day 6 formed as
138 described below were subjected to RNA preparation. qPCR was performed
139 using GoTaq qPCR Master Mix (Promega, WI, USA) with StepOne
140 Real-Time PCR System (Thermo Fisher Scientific). The amount of each
141 transcript was normalized to that of *GAPDH*. The results are presented as
142 fold-change. Primer sequences are described in Table 1.

143

144 ***Western blotting***

145 8.0×10^5 ERMS1 cells were seeded on 100-mm dishes. The cells were treated
146 with 10 μ M of berberine or palmatine for 72 h. The whole cell lysate from the
147 cells was harvested using lysis buffer (100 mM Tris-HCl, 75 mM NaCl, and
148 1% Triton-X100) with protease inhibitors (1 mM
149 4-(2-aminoethyl)benzenesulfonyl fluoride hydrochloride, 0.8 μ M aprotinin,
150 15 μ M E-64, 20 μ M leupeptin hemisulfate monohydrate, 50 μ M bestatin, and
151 10 μ M pepstatin A) (Nacalai). Then the lysates were denatured with 50 mM
152 Tris-HCl, 10% glycerol, and 2% sodium dodecyl sulfate (SDS) at 95°C for 5
153 min. 20 μ g of protein samples were subjected to SDS-polyacrylamide gel
154 electrophoresis and following Western blotting using iBlot 2 Dry Blotting
155 System (Thermo Fisher Scientific). Rabbit polyclonal anti-p57^{Kip2} (Cell
156 Signaling Technology) (1:1000) and mouse monoclonal anti-GAPDH (5A12;

157 Wako) (1:1000) antibodies were used as primary antibodies. 0.1 ng/ml of
158 horseradish peroxidase (HRP)-conjugated goat anti-rabbit and anti-mouse
159 IgG antibodies (Jackson ImmunoResearch, PA, USA) were used as secondary
160 antibodies, respectively. HRP signal was detected using ECL Prime reagents
161 and ImageQuant LAS 500 (GE Healthcare). The amounts of p57^{Kip2} was
162 normalized to those of GAPDH using ImageJ software.

163

164 *3D culture of RD tumorspheres*

165 The RD cells were dissociated and suspended in 3D Tumorsphere Medium
166 XF (PromoCell, Heidelberg, Germany). 300 cells/30 μ l of drops were placed
167 on 24-well floating-culture plates (Sumitomo Bakelite, Tokyo, Japan).
168 Subsequently, the plates were turned over for hanging-drop culture. After 3
169 days, the plates were turned over again, then 300 μ l/well of 3D Tumorsphere
170 Medium XF with 10 μ M of berberine or palmatine was added to RD
171 tumorspheres (defined as day 0). RD tumorspheres were maintained without
172 medium exchange. Phase-contrast images of the tumorspheres were taken
173 using EVOS FL Auto microscope. The projected areas of the tumorspheres
174 were quantified using ImageJ software.

175

176 *Molecular docking simulation*

177 Molecular models of berberine and palmatine were built by gaussian 09
178 (Gaussian, CT, USA) and antechamber [20]. The force fields except for the
179 partial charges were taken from a general amber force field (GAFF) [21]. The
180 partial charges on the molecules were assigned by a restrained electrostatic

181 potential (RESP) method based on the conformations after
182 quantum-mechanics structural optimization with B3LYP/6-31G*. These
183 molecular models of berberine and palmatine were used for the following
184 docking simulation. The docking simulation was conducted for berberine or
185 palmatine onto calmodulin. The structures of calmodulin and receptor
186 retinoid X receptor α ligand-binding domain (RXR α -LBD) were taken from
187 the D chain of PDB ID 1K90 and 3OAP, respectively. The amber force field,
188 ff99 [22], was used for the protein model. We used the docking software
189 SievGene [23]. The docking pose with the lowest docking score was stored.
190 Finally, each complex structure of berberine and palmatine docking upon
191 calmodulin was obtained.

192

193 *Statistical analysis*

194 The results are presented as the mean \pm standard error. Statistical
195 comparisons were performed using multiple comparison test with Williams'
196 test or Scheffe's F test, where appropriate following one-way analysis of
197 variance (ANOVA). Statistical significance was set at a p value < 0.05 .

198

199 Results

200

201 *Berberine but not palmatine inhibits the growth of ERMS1 cells*

202 ERMS1 is an ERMS cell line having c.743G>T mutation on *TP53* gene [15].

203 The ERMS1 cells showed spindle or polygonal shape in the two-dimensional

204 (2D) monolayer culture (Figure 1(b)). First, apoptotic effects of berberine and

205 palmatine on ERMS1 cells were examined. qPCR results indicated that

206 mRNA levels of a apoptosis-inducing gene, Bax (*BAX*), and an anti-apoptotic

207 factor, Bcl-xL (*BCL2L1*), were not altered within 24 h even by 100 μ M of

208 berberine or palmatine (Figure 1(c)). Then, the effects of berberine and

209 palmatine at lower concentrations on cell cycle were investigated. The

210 number of ERMS1 cells treated with 1, 3, or 10 μ M of berberine was counted

211 every 24 h as an index of cell growth (Figure 2(a)). Berberine suppressed

212 ERMS1 cell growth in a dose-dependent manner. Berberine at concentration

213 of 3 and 10 μ M significantly reduced the number of ERMS1 cells at 72 h of

214 treatment. Even 1 μ M of berberine suppressed the growth by 96 h. However,

215 10 μ M of palmatine did not show any growth inhibition on ERMS1 cells

216 (Figure 2(b)). Microscopic observation also displayed a berberine-dependent

217 reduction of the ERMS1 cell number. There was no other obvious alteration,

218 such as morphological change or cell death by berberine or palmatine (Figure

219 2(c)). Cell cycle phases of the live ERMS1 cells treated by 10 μ M of berberine

220 or palmatine were monitored using the redox dye which stains mitotic cells

221 at M phase in dark blue, pre-mitotic cells at S/G₂ phase in green, and

222 non-mitotic cells G₁ phase in pale yellow. After 24 h of treatment, berberine

223 but not palmatine dramatically increased the cells at G₁ phase and inhibited
224 to enter into S/G₂ phase (Figure 2(d)). It clearly indicated that berberine
225 induces G₁ cell cycle arrest in ERMS1 cells. The uptake of berberine or
226 palmatine into ERMS1 cells was detected by the green fluorescence
227 generated by berberine or palmatine (Figure 2(e)). Berberine was
228 incorporated into the ERMS1 cells within 24 h. Palmatine incorporation was
229 similarly detected, but the intensity of the fluorescence was lower than that
230 of berberine. It may be one of the reasons why the growth inhibitory effect of
231 palmatine was relatively weaker than that of berberine.

232

233 ***Berberine but not palmatine inhibits the growth of KYM1 cells***

234 The KYM1 cells displayed small lymphocyte-like round shape with mild
235 adhesion to the culture plates in 2D culture (Figure 1(b)). Treatment of 100
236 μ M of berberine or palmatine for 24 h did not alter the expression levels of
237 *BAX* and *BCL2L1* in KYM1 cells (Figure 1(c)). However, berberine
238 suppressed the growth of KYM1 cells in a dose-dependent manner (Figure
239 3(a)). KYM1 cells were more sensitive to berberine as compared to the
240 ERMS1 cells. The number of KYM1 cells was significantly reduced 48 h after
241 berberine treatment for every dose. In particular, 10 μ M of berberine
242 completely arrested KYM1 cell growth. However, 10 μ M of palmatine did not
243 inhibit the expansion of KYM1 cells (Figure 3(b)). In microscopic observation,
244 the confluent KYM1 cells were attached to the culture plates tightly, but the
245 berberine-treated KYM1 cells maintained the globular morphology probably
246 because of the low-density of the cells (Figure 3(c)). Cell cycle assays clearly

247 showed that KYM1 cells were significantly arrested at G₁ phase by berberine
248 but not by palmatine (Figure 3(d)). Berberine was incorporated into the
249 KYM1 cells within 24 h, whereas palmatine was scarcely detected inside the
250 cells (Figure 3(e)).

251

252 *Berberine and palmatine inhibit the growth of RD cells*

253 RD is a malignant ERMS cell strain having amplification of the *MYC* gene,
254 p.Gln61His mutation on the *NRAS* gene, and c.248C>T homozygous
255 mutation on the *TP53* gene [24-26] (Figure 1(b)). Although berberine and
256 palmatine did not affect apoptotic gene expression (Figure 1(c)), berberine
257 inhibited the outgrowth of RD cells in a dose-dependent manner (Figure 4(a)).
258 The sensitivity of the RD cells to berberine was moderate; it significantly
259 reduced the number of RD cells at 72 h after treatment in every dose.
260 Intriguingly, 10 μ M of palmatine markedly suppressed the RD cell growth
261 (Figure 4(b)). Although the inhibitory effect of palmatine was milder than
262 that of berberine at the same dose, a reduced number of palmatine-treated
263 cells was also observed by microscopy (Figure 4(c)). In the berberine-treated
264 RD cells, atrophic morphology was observed in addition to growth inhibition.
265 10 μ M of berberine but not of palmatine rapidly induced G₁ cell cycle arrest
266 in RD cells within 24 h (Figure 4(d)). Green fluorescent images indicated
267 that both berberine and palmatine were incorporated into the RD cells
268 within 24 h (Figure 4(e)). As observed in the ERMS1 and KYM1 cells, the
269 uptake of palmatine into the RD cells was fewer than that of berberine.

270 These differences between berberine and palmatine at early stage of the
271 treatment would be involved in their disparities of growth inhibitory effects.

272

273 *Berberine modulates cyclin-related gene expression in the RMS cells*

274 qPCR quantified the expression of proliferation marker Ki-67 (*MKI67*) and
275 G₁ phase-involved genes, cyclin D1 (*CCND1*) and cyclin-dependent kinase
276 inhibitor 1C (p57^{Kip2}) (*CDKN1C*), in the berberine- or palmatine-treated
277 RMS cells (Figure 5(a)). As well as the results of cell cycle assays, *MKI67*
278 levels were significantly decreased by berberine in KYM1 and RD cells.
279 Berberine did not alter *CCND1* levels in any RMS cells. While, by berberine
280 treatment, *CDKN1C* levels were significantly upregulated in ERMS1 cells
281 and tended to be induced in RD cells. Accordingly, protein level of p57^{Kip2} was
282 markedly increased in the berberine-treated ERMS1 cells (Figure 5(b)). On
283 the other hand, expression levels of *MKI67*, *CCND1*, and *CDKN1C* were not
284 altered at all by palmatine in any RMS cells. Both berberine and palmatine
285 did not affect mRNA levels of *BAX* and *BCL2L1*. These data demonstrate
286 that berberine inhibits RMS cell growth, in part, by modulating cell
287 cycle-related gene expression.

288

289 *Berberine and palmatine inhibit the growth of 3D-cultured RD* 290 *tumorspheres*

291 To examine the effects of berberine analogs on the growth of tumorspheres in
292 a xeno-free condition, we tried to establish a novel 3D culture method for
293 RMS cells. To form initial aggregation, the drops containing 300 RD cells

294 were subjected to hanging-drop culture for 3 days. The spheres formed in the
295 drops (defined as day 0) were subsequently maintained in floating culture.
296 These RD tumorspheres continued to grow at least for 12 days without
297 medium exchange, and finally their diameters reached 0.5-1.0 mm (Figure
298 6(a)). Although both berberine and palmatine were uptaken into RD
299 tumorspheres within 24 h (Figure 6(b)), incorporation of palmatine was
300 fewer than that of berberine as observed in 2D culture (Figure 4(e)). It
301 demonstrates that this 3D culture system is applicable to investigate the
302 effects of anti-tumor drugs. The growth ratio of the RD tumorspheres treated
303 with 10 μ M of berberine or palmatine was quantified as to their projected
304 areas (Figure 6(c,d)). Both berberine and palmatine completely inhibited the
305 growth of the spheres for 10 days without medium exchange. The projected
306 areas were not different between berberine- and palmatine-treated
307 tumorspheres. It is not corresponded to the results of 2D culture indicating
308 that the inhibitory effect of palmatine was weaker than that of berberine
309 (Figure 4(b)). As shown in Figure 6(e), *MKI67* expression in RD
310 tumorspheres was significantly reduced by berberine but not by palmatine.
311 Confusingly, palmatine treatment decreased the mRNA level of *CDKN1C*.
312 Expression levels of *BAX* and *BCL2L1* were not altered by berberine nor
313 palmatine. These data suggests that palmatine did not arrest cell cycle and
314 not induce apoptosis in 3D-cultured RD tumorsphere as well in 2D-cultured
315 RD cells. These results demonstrate that berberine and palmatine were able
316 to serve as growth inhibitors for RMS tumors but their mechanism of actions
317 will be different.

318

319 Discussion

320

321 This is the first study to report that berberine inhibits the growth of
322 multiple ERMS cell lines; ERMS1, KYM1, and RD. Palmatine also
323 suppressed the expansion of RD cells but not that of ERMS1 and KYM1 cells.
324 Distinct effects between berberine and palmatine suggest that it is possible
325 to develop more effective anti-tumor molecules through modifications of
326 berberine. It has been reported that protoberberine-type alkaloids, such as
327 palmatine, coptisine, and jatrorrhizine, exhibit similar bioactivities to
328 berberine [1]. Previous studies have reported the growth inhibitory effect of
329 palmatine on prostate cancer [27], that of coptisine on lung cancer [28], and
330 that of jatrorrhizine on melanoma cells [29]. However, these actions of
331 berberine analogs have not been directly compared. Precise differences of the
332 anti-tumor effects among the berberine derivatives should be evaluated in
333 further studies which will contribute to identifying the most effective
334 molecule for each tumor.

335 Berberine analogs are water-soluble and cell-permeable small
336 alkaloids (molecular weights; ~350). According to the results of absorption,
337 distribution, metabolism, and excretion (ADME) parameter prediction [30],
338 there is not much difference between the consensus values of *n*-octanol/water
339 partition coefficient (Consensus Log $P_{o/w}$) of berberine (2.55) and palmatine
340 (2.64). It suggests that berberine and palmatine have the same cell
341 membrane permeability. However, this study showed that intracellular
342 incorporation of palmatine was lower than that of berberine in every RMS

343 cell line. Correspondingly, it has been reported that intracellular
344 concentrations of palmatine is around one-sixth compared to that of
345 berberine in the colon cancer Caco2 cells treated with 10 μ M of berberine or
346 palmatine for 1 h. Their intracellular amounts were significantly increased
347 by the inhibitors of P-glycoprotein (P-gp) which is a member of ATP-binding
348 cassette (ABC) transporter family [31]. These data demonstrates that
349 berberine analogs are P-gp substrates, and their different affinities to P-gp
350 may affect ABC transporter-mediated uptake.

351 Cell cycle assays and *MKI67* expression analyses clearly indicated
352 that berberine treatment induces cell cycle arrest at G₁ phase in every RMS
353 cell line as observed in the other types of cells [32]. Previous studies reported
354 that berberine suppresses *CCND1* in cholangiocarcinoma [33] and hepatoma
355 [34], or induces *CDKN1C* in human mesenchymal stem cells [35]. Berberine
356 did not decrease *CCND1* mRNA in any RMS cells but actually upregulated
357 *CDKN1C* mRNA and p57^{Kip2} protein in ERMS1 cells. These results
358 demonstrate that berberine inhibits RMS cell growth, in part, by inducing G₁
359 cell cycle arrest.

360 Some molecules have been reported as the direct intracellular targets
361 of berberine. A previous study performed computational screening and
362 identified calmodulin, a Ca²⁺-binding protein, as a putative target of
363 berberine [7]. It has been reported that inhibition of calmodulin induces G₁
364 cell cycle arrest in cancer cells [36]. Indeed, berberine-induced G₁ arrest in
365 hepatoma cells was enhanced by cotreatment of calmodulin inhibitors [7].
366 Our docking simulation also illustrated that berberine can fit into the pocket

367 of the calmodulin structure (Figure 7(a)). Similarly, palmatine fits within the
368 same pocket of calmodulin with a binding score equal to that of berberine
369 ($\Delta G = -6.7$ kcal/mol) (Figure 7(b)). However, the interactive positions relative
370 to calmodulin are different between berberine and palmatine.

371 Other study reported that berberine directly targets nuclear RXR α to
372 promote interaction with β -catenin, which finally leads cell cycle arrest of
373 colon cancer cells [37]. Our docking simulation predicted that both berberine
374 and palmatine can bind to RXR α -LBD with high affinities; the binding scores
375 of berberine and palmatine are -9.6 and -8.0 kcal/mol, respectively. However,
376 their binding poses on RXR α -LBD are different (Figure 7(c,d)). Their
377 structural properties of binding poses affect the conformation of RXR α ,
378 which may be involved in RXR α activation toward β -catenin degradation.

379 These findings provide the viewpoint that structural and binding
380 differences of berberine derivatives on their target proteins are closely
381 related to their incorporations and anti-tumor effects. Thus, screening of
382 natural or synthesized berberine derivatives will be a powerful strategy to
383 develop novel RMS inhibitors for tailored chemotherapy. For this purpose,
384 we tried to establish a xeno-free 3D culture method for RMS cells to evaluate
385 the growth inhibitory effects of the drugs. Two recent studies have described
386 the 3D culture systems for RMS cells based on cell sheet or collagen disk
387 technology [38,39]. However, it has not been reported the formation of RMS
388 tumorspheres in floating culture. It is generally considered that sphere
389 culture selectively exploits inherent characters of stem cells including cancer
390 stem cells [40]. The present study successfully generated tumorspheres of

391 RD cells by a hanging drop-based floating culture, which is a convenient and
392 reproducible system to continuously evaluate the growth of RMS cells and
393 the effects of their inhibitors in 3D condition for more than ten days. Using
394 this system, we confirmed that both berberine and palmatine intensively
395 inhibited the expansion of RD tumorspheres. It proves that our 3D culture is
396 conceptually valid for drug screening. Incorporation of palmatine into RD
397 tumorspheres was relatively lower than that of berberine as into the
398 2D-cultured RD cells. Although palmatine did not arrest cell cycle or not
399 induce apoptosis, palmatine completely inhibited the growth of RD
400 tumorspheres as berberine did. A recent study reported that palmatine
401 inhibits reciprocal interaction between pancreatic stellate cells and cancer
402 cells through suppressing activation of type 1 collagen, which is one of the
403 components of tumor microenvironment [41]. Dense intercellular interaction
404 within the tumor microenvironment is essential for tumor cell survival and
405 growth. As in pancreatic cells, palmatine might decrease collagen
406 accumulation, interfere extracellular matrix formation, and finally inhibit
407 growth of RD tumorspheres. It will be a possible mechanism that palmatine
408 showed graded growth inhibitory effects among RMS cell lines or between
409 2D and 3D culture systems.

410 Growing evidences have been showing that the 2D-cultured cells
411 deviate from physiological responses under some circumstances by its own
412 cell bioactivities. 3D culture systems are considered to be better to mimic *in*
413 *vivo* condition [40]. In our study, sensitivities of palmatine to RD cells were
414 actually differ between 2D and 3D culture systems. Establishing robust 3D

415 culture methods for screening will contribute to explore the molecules which
416 have appropriate drug efficacies *in vivo*. Unfortunately, tumorspheres of
417 ERMS1 and KYM1 cells have not been formed yet. The culture system
418 should be optimized and improved to apply the method to various sarcoma
419 cells. Reconstruction of the tumor microenvironment will be essentially
420 important to recapitulate the actions of anti-tumor molecules, such as
421 berberine analogs *in vitro*.

422

423 **Author contributions**

424 TT designed the study. TT and KU wrote the manuscript. SS, SN, and YN
425 performed the experiments. KU performed the docking simulation. All
426 authors have read and approved the final manuscript.

427

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433

434 **Disclosure statement**

435 No potential conflict of interests was reported by the authors.

436

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438

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573 transcriptomes of human rhabdomyosarcoma and mouse C2C12 cells.
574 PLoS One. 2013; 8: e64691.
575

576 **Table 1. Primer sequences for qPCR.**

Gene	Sequence (5'- 3')	Reference
<i>BAX</i>	GCTGGACATTGGACTTCCTC CTCAGCCCATCTTCTTCCAG	[42]
<i>BCL2L1</i>	GGCCACTTACCTGAATGACC AAGAGTGAGCCCAGCAGAAC	[43]
<i>CCND1</i>	CCTCGGTGTCCTACTTCAAA GGGATGGTCTCCTTCATCTT	[44]
<i>CDKN1C</i>	GGCCTCTGATCTCCGATTTCTTC GGGTCTGCTCCACCGAG	[45]
<i>GAPDH</i>	TGTCAAGCTCATTTCTGGTA GTGAGGGTCTCTCTTCCTCTTGT	[46]
<i>MKI67</i>	AAGAGGTGTGCAGAAAATCCAAAG CTTCACTGTCCCTATGACTTCTGGTT	[45]

577

578 **Figure legends**

579

580 **Figure 1. Berberine derivatives and human RMS cells.**

581 (a) The structural formula of berberine derivatives. (b) 2D-cultured human
582 RMS cells. Scale bar, 100 μm . (c) qPCR results of gene expression in RMS
583 cells treated with 10 or 100 μM of berberine or palmatine for 24 h. The mean
584 value in control RMS cells was set at 1.0 for each gene. There was no
585 significant difference among samples in any RMS cells (Scheffe's F test). $n =$
586 3.

587

588 **Figure 2. Effects of berberine and palmatine on ERMS1 cell growth.**

589 (a) The number of ERMS1 cells treated with 1, 3, or 10 μM of berberine. * $p <$
590 0.05, ** $p < 0.01$ vs 0 μM at each time point (Williams' test). $n = 3$. (b) The
591 number of ERMS1 cells treated with 10 μM of berberine or palmatine. ** $p <$
592 0.01 vs control at each time point (Scheffe's F test). $n = 5$. (c) Representative
593 images of ERMS1 cells treated with 10 μM of berberine or palmatine for 96 h.
594 Scale bar, 250 μm . (d) Representative images and the ratio of cell cycle
595 phases of ERMS1 cells treated with 10 μM of berberine or palmatine for 24 h.
596 Scale bar, 100 μm . ** $p < 0.01$ vs control, †† $p < 0.01$ vs berberine (Scheffe's F
597 test). $n = 4$. (e) Incorporation of berberine or palmatine into ERMS1 cells as
598 530 nm emission at 24 h after treatment at a concentration of 10 μM . Scale
599 bar, 100 μm .

600

601 **Figure 3. Effects of berberine and palmatine on KYM1 cell growth.**

602 (a) The number of KYM1 cells treated with 1, 3, or 10 μM of berberine. * $p <$
603 0.05, ** $p < 0.01$ vs 0 μM at each time point (Williams' test). $n = 4$. (b) The
604 number of KYM1 cells treated with 10 μM of berberine or palmatine. ** $p <$
605 0.01 vs control at each time point (Scheffe's F test). $n = 4$. (c) Representative
606 images of KYM1 cells treated with 10 μM of berberine or palmatine for 72 h.
607 Scale bar, 250 μm . (d) Representative images and the ratio of cell cycle
608 phases of KYM1 cells treated with 10 μM of berberine or palmatine for 24 h.
609 Scale bar, 100 μm . ** $p < 0.01$ vs control, †† $p < 0.01$ vs berberine (Scheffe's F
610 test). $n = 4$. (e) Incorporation of berberine or palmatine into KYM1 cells as
611 530 nm emission at 24 h after treatment at a concentration of 10 μM . Scale
612 bar, 100 μm .

613

614 **Figure 4. Effects of berberine and palmatine on RD cell growth.**

615 (a) The number of RD cells treated with 1, 3, or 10 μM of berberine. ** $p <$
616 0.01 vs 0 μM at each time point (Williams' test). $n = 3$. (b) The number of RD
617 cells treated with 10 μM of berberine or palmatine. * $p < 0.05$ vs control, ** p
618 < 0.01 vs control, †† $p < 0.01$ vs berberine at each time point (Scheffe's F test).
619 $n = 4$. (c) Representative images of RD cells treated with 10 μM of berberine
620 or palmatine for 96 h. Scale bar, 250 μm . (d) Representative images and the
621 ratio of cell cycle phases of RD cells treated with 10 μM of berberine or
622 palmatine for 24 h. Scale bar, 100 μm . ** $p < 0.01$ vs control, †† $p < 0.01$ vs
623 berberine (Scheffe's F test). $n = 4$. (e) Incorporation of berberine or palmatine
624 into RD cells as 530 nm emission at 24 h after treatment at a concentration
625 of 10 μM . Scale bar, 100 μm .

626

627 Figure 5. Berberine inhibits cell cycle gene expression in RMS cells.

628 (a) qPCR results of gene expression in RMS cells treated with 10 μ M of
629 berberine or palmatine for 48 h (KYM1) or 72 h (ERMS1 and RD). The mean
630 value in control RMS cells was set at 1.0 for each gene. * $p < 0.05$ vs control,
631 ** $p < 0.01$ vs control, †† $p < 0.01$ vs berberine (Scheffe's F test). $n = 3-5$. (b)
632 Representative images of Western blotting and the quantified p57^{Kip2} protein
633 levels in the ERMS1 cells treated with 10 μ M of berberine or palmatine for
634 72 h. * $p < 0.05$ vs control (Scheffe's F test). $n = 3$.

635

636 Figure 6. Effects of berberine and palmatine on RD tumorspheres.

637 (a) The growth of 3D-cultured RD tumorsphere. Scale bar, 200 μ m. (b)
638 Incorporation of berberine or palmatine into RD tumorspheres as 530 nm
639 emission at 24 h after treatment at a concentration of 10 μ M. Scale bar, 80
640 μ m. (c) Projected areas of the RD tumorspheres treated with 10 μ M of
641 berberine or palmatine. The mean value of the control tumorspheres on day
642 0 was set at 1.0. ** $p < 0.01$ vs control (Scheffe's F test). $n = 4-8$. (d)
643 Representative images of the RD tumorspheres treated with 10 μ M of
644 berberine or palmatine for 8 days. Scale bar, 100 μ m. (e) qPCR results of
645 gene expression in RD tumorspheres treated with 10 μ M of berberine or
646 palmatine for 6 days. ** $p < 0.01$ vs control, †† $p < 0.01$ vs berberine (Scheffe's
647 F test). $n = 3$.

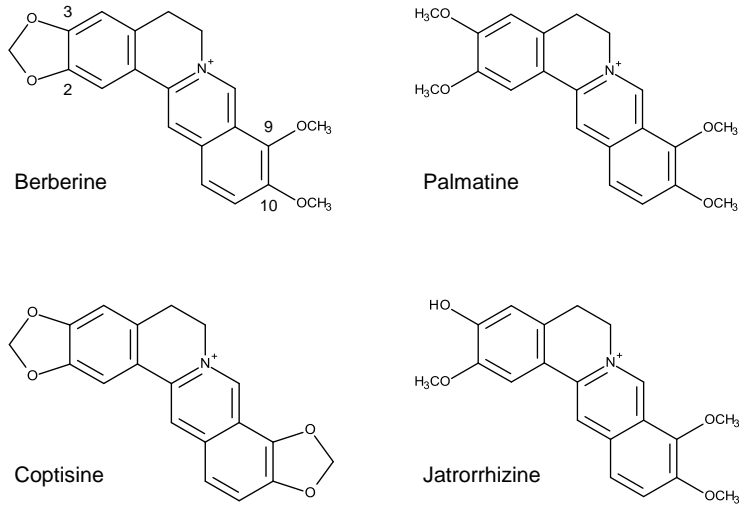
648

649 **Figure 7. The simulated binding poses of berberine and palmatine on their**
650 **target proteins.**

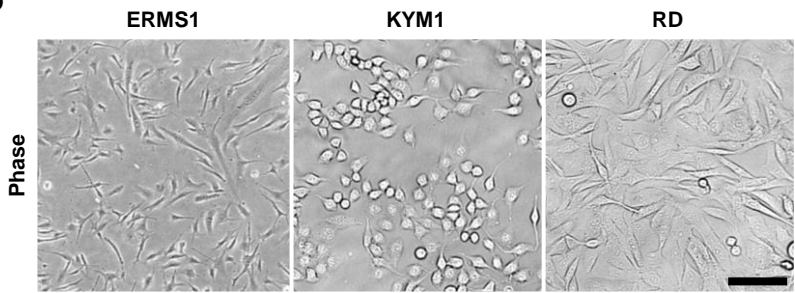
651 (a,b) Molecular interactions between calmodulin and berberine analogs. The
652 structures of calmodulin, berberine, and palmatine are colored in gray,
653 yellow, and orange, respectively. (a) The methylenedioxybenzene moiety of
654 berberine is buried in the pocket of calmodulin. (b) The dimethoxybenzene
655 moiety of palmatine interacts with the same pocket as that of berberine. The
656 isoquinoline moiety of palmatine is flipped relative to that of berberine in
657 their bound forms. (c,d) Molecular interactions between RXR α -LBD and
658 berberine analogs. The structures of RXR α -LBD, berberine, and palmatine
659 are colored in gray, yellow, and orange, respectively. (c) Berberine binds to a
660 pocket of RXR α in the vicinity of the other binding pocket for the genuine
661 RXR α ligand. (d) Palmatine attaches to the same pocket that berberine does.
662 The binding pose of palmatine is different from that of berberine.

Figure 1

a



b



c

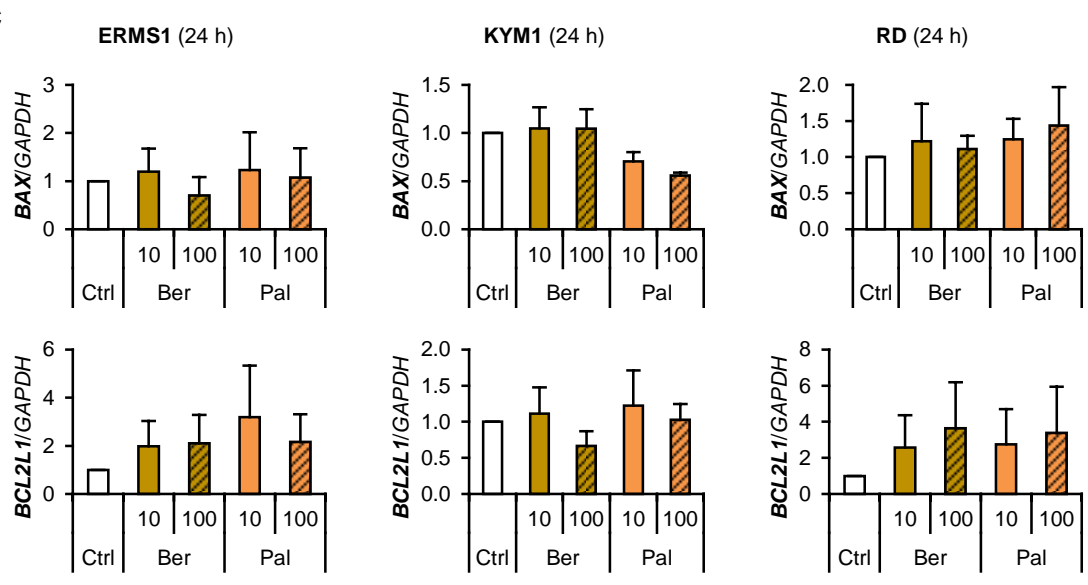


Figure 2

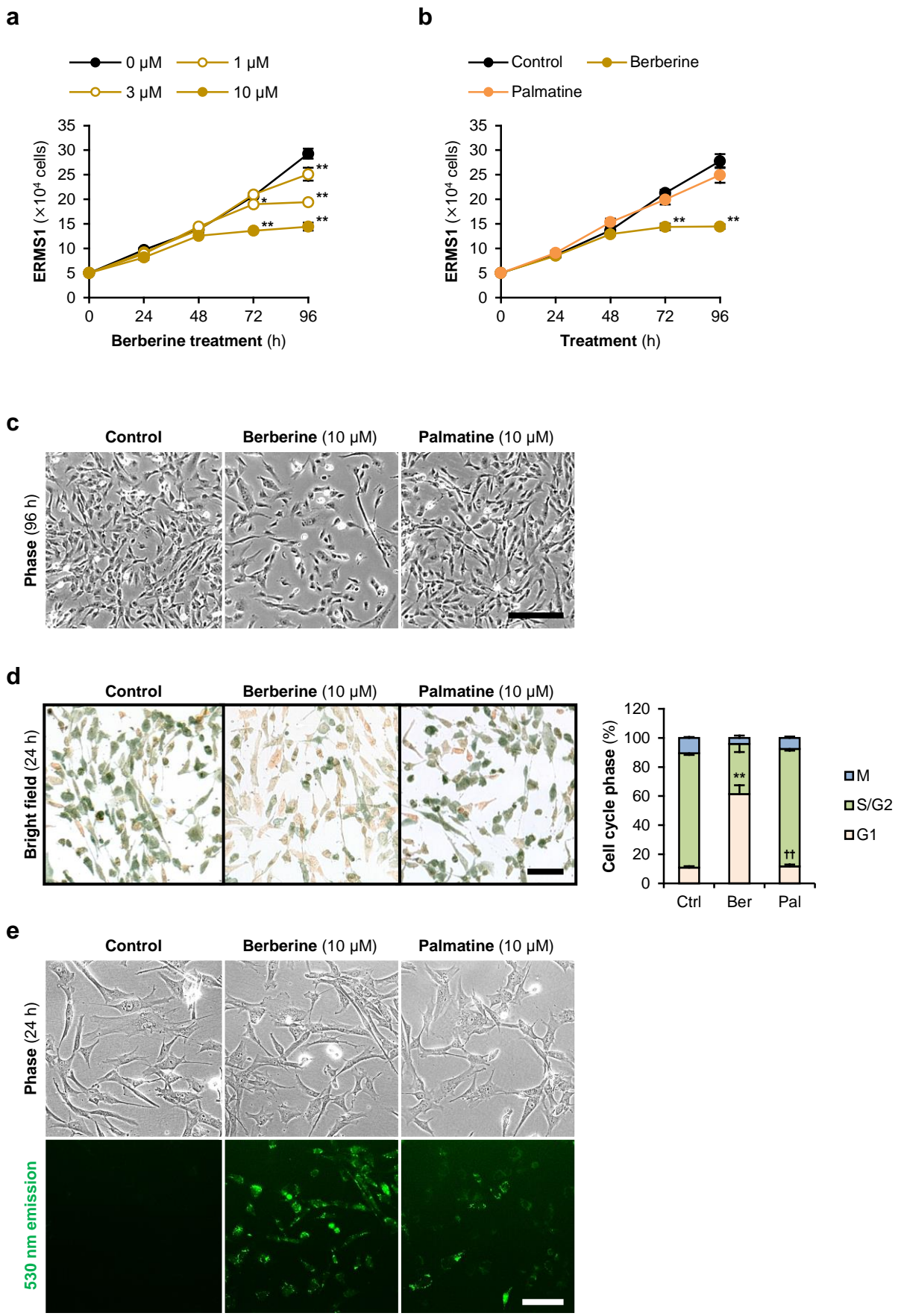


Figure 3

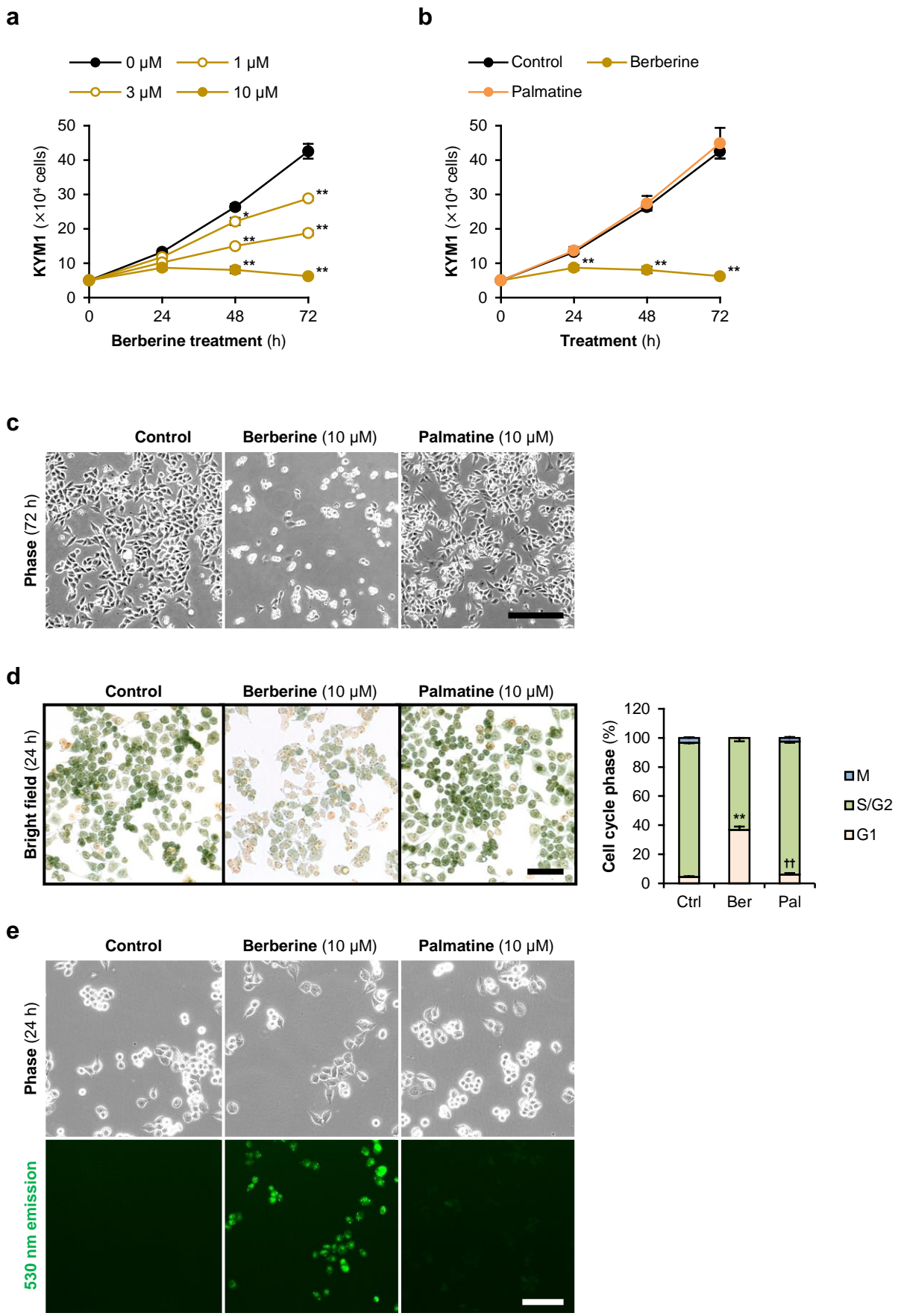


Figure 4

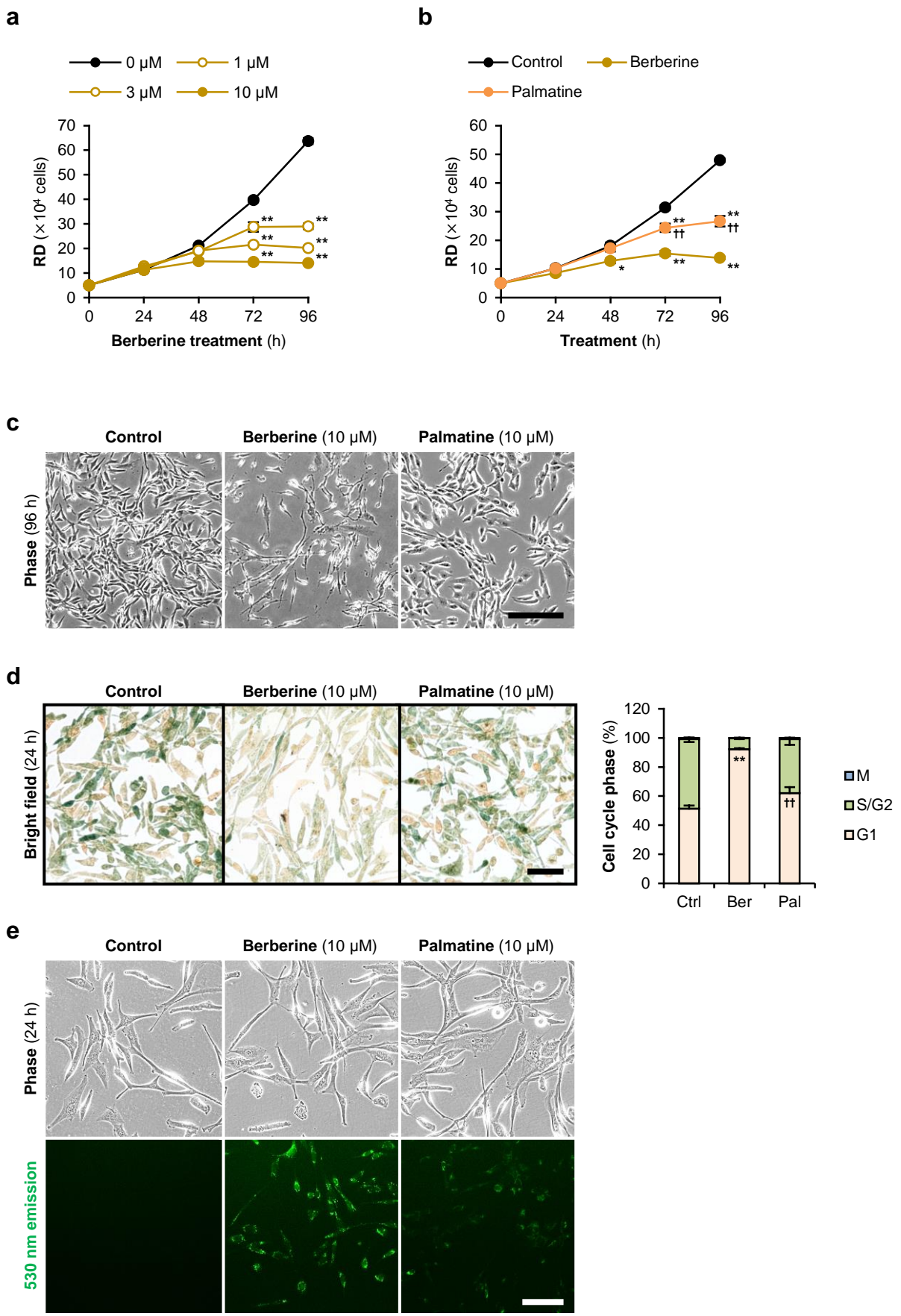


Figure 5

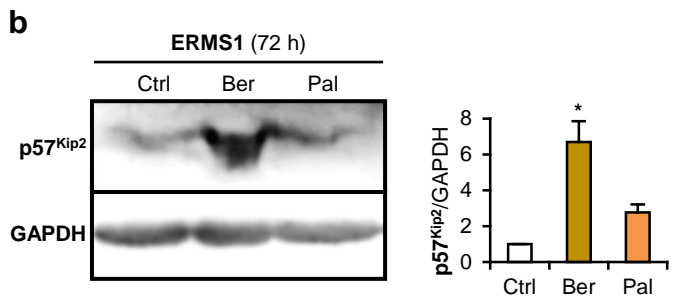
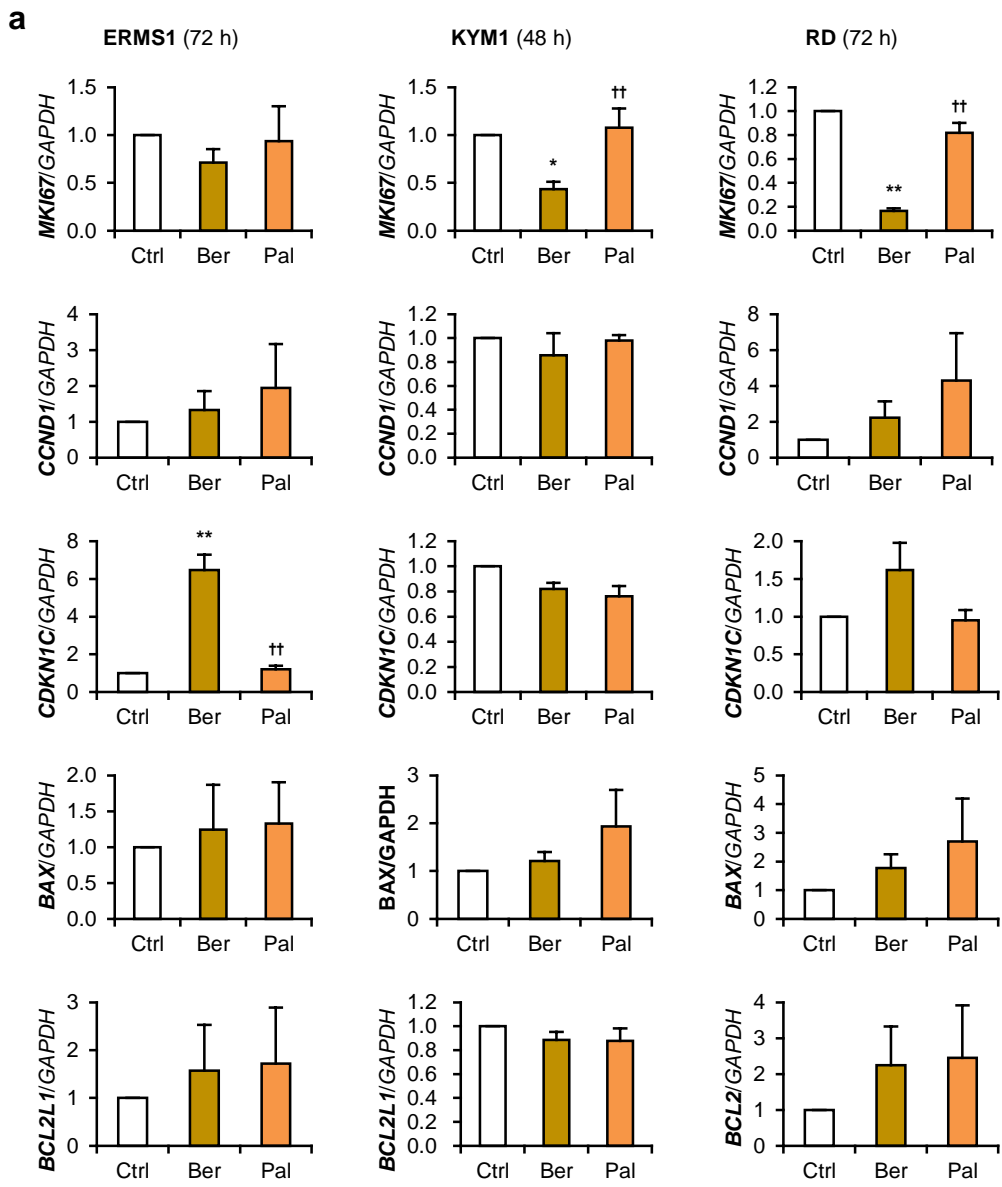


Figure 6

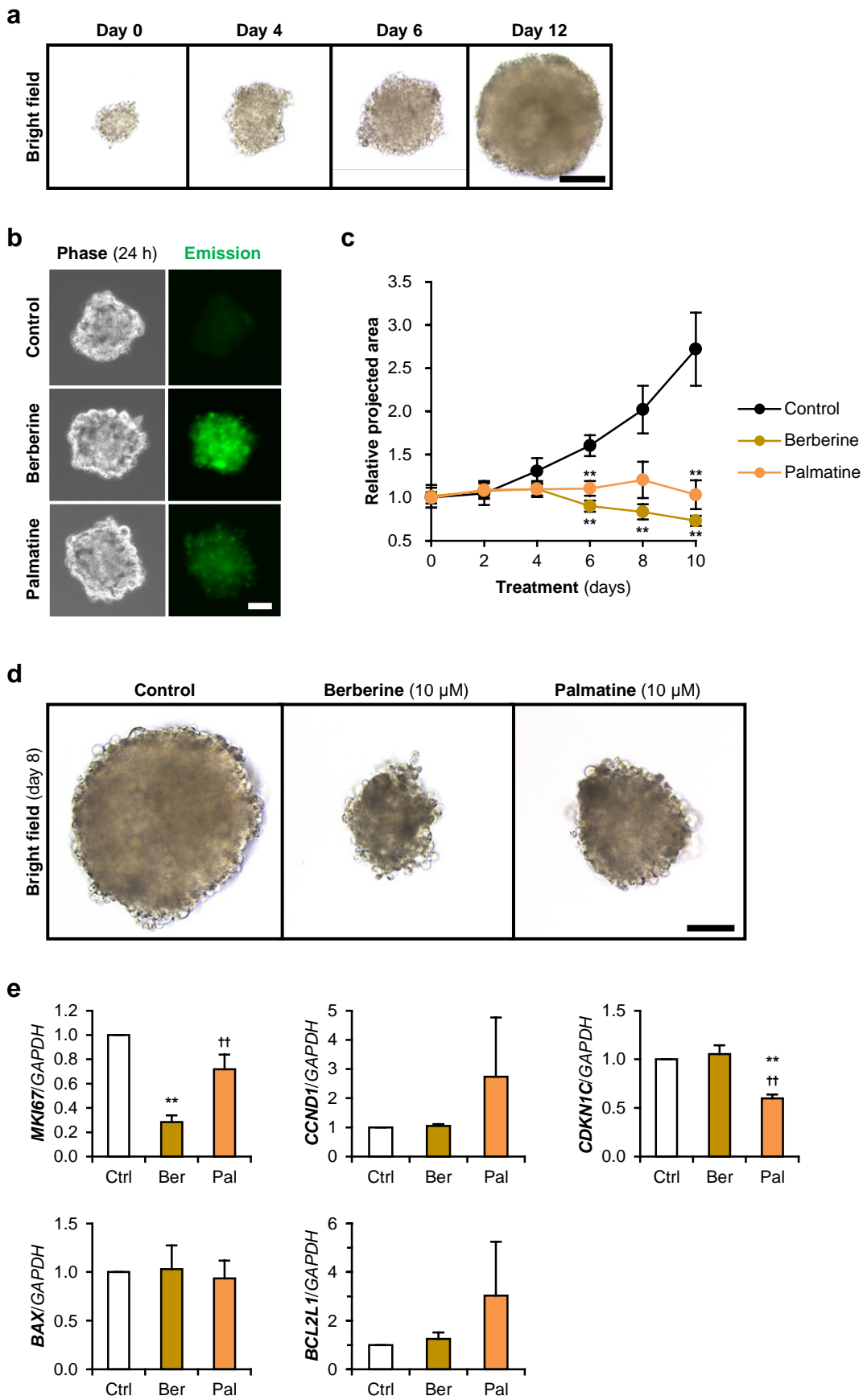


Figure 7

