

## *Non-compact Simple Lie Group $F_{4,2}$ of Type $F_4$*

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It is known that there exist three simple Lie groups of type  $F_4$  up to local isomorphism, one of them is compact and the others are non-compact. The compact simple Lie group  $F_4 = F_{4(-52)}$  of type  $F_4$  is obtained as the automorphism group  $\text{Aut}(\mathfrak{S})$  of the exceptional Jordan algebra  $\mathfrak{S} = \mathfrak{S}(3, \mathbb{C})$  over the Cayley algebra  $\mathbb{C}$  and it is a connected, simply connected, simple (in the sense of the center  $z(F_4) = 1$ ) Lie group. One of the non-compact Lie groups of type  $F_4$  (which is named  $F_{4,1} = F_{4(-20)}$ ) is obtained as the non-Euclidean projective transformation group of the Cayley projective plane  $\mathbb{C}P_2(F_{4,1} = \{\alpha \in E_{6(-26)} \mid \langle \alpha X, \alpha Y \rangle = \langle X, Y \rangle\})$  and it is a connected, simply connected, simple (in the sense of the center  $z(F_{4,1}) = 1$ ) Lie group [5]. In this paper, we investigate the other non-compact simple Lie group  $F_{4,2}$  of type  $F_4$ . The results are as follows. The connected component group  $F_{4,2} = \text{Aut}^0(\mathfrak{S}')$  of the automorphism group  $\text{Aut}(\mathfrak{S}')$  of the exceptional Jordan algebra  $\mathfrak{S}' = \mathfrak{S}(3, \mathbb{C}')$  over the split Cayley algebra  $\mathbb{C}'$  is homeomorphic to  $(S^3 \times Sp(3))/\mathbb{Z}_2 \times \mathbb{R}^{28}$  and a simple (in the sense of the center  $z(F_{4,2}) = 1$ ) Lie group, and hence the center  $z(\tilde{F}_{4,2})$  of the non-compact simply connected simple Lie group  $\tilde{F}_{4,2} = F_{4(4)}$  of type  $F_4$  is  $\mathbb{Z}_2$ .

### 1. Split Cayley algebra $\mathbb{C}'$

Let  $\mathbb{C}'$  be the split Cayley algebra over the real numbers  $\mathbb{R}$  [6]. This algebra  $\mathbb{C}'$  is defined as follows. If in  $\mathbb{C}' = \mathbf{H} \oplus \mathbf{H}e$ , where  $\mathbf{H}$  is the field of quaternions, we define a multiplication by

$$(a + be)(c + de) = (ac + \overline{db}) + (\overline{bc} + da)e$$

then  $\mathbb{C}'$  becomes an 8-dim. (non-commutative non-associative) algebra over  $\mathbb{R}$  with the conjugation  $\overline{a + be} = \overline{a} - be$ . And the inner product  $(x, y)'$  in  $\mathbb{C}'$  is defined by

$$(a + be, c + de)' = (a, c) - (b, d)$$

### 2. Jordan algebra $\mathfrak{S}'$ and group $F_{4,2}$

Let  $\mathfrak{S}' = \mathfrak{S}(3, \mathbb{C}')$  be the Jordan algebra consisting of all  $3 \times 3$  Hermitian matrices  $X$  with components in  $\mathbb{C}'$

$$X = X(\xi, \mathbf{x}) = \begin{pmatrix} \xi_1 & x_3 & \bar{x}_2 \\ \bar{x}_3 & \xi_2 & x_1 \\ x_2 & \bar{x}_1 & \xi_3 \end{pmatrix}, \quad \xi_i \in \mathbf{R}, \quad x_i \in \mathbb{C}'$$

with respect to the composition

$$X \circ Y = \frac{1}{2}(XY + YX)$$

In  $\mathbb{C}'$  we adopt the following notations.

$$E_1 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad E_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad E_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

$$F_1(x) = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & x \\ 0 & \bar{x} & 0 \end{pmatrix}, \quad F_2(x) = \begin{pmatrix} 0 & 0 & \bar{x} \\ 0 & 0 & 0 \\ x & 0 & 0 \end{pmatrix}, \quad F_3(x) = \begin{pmatrix} 0 & x & 0 \\ \bar{x} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Then these elements generate  $\mathfrak{S}'$  additively and the multiplications among them are given as follows.

$$\begin{cases} E_i \circ E_i = E_i, & E_j \circ E_i = 0, \quad j \neq i \\ E_i \circ F_i(x) = 0, & 2E_j \circ F_i(x) = F_i(x), \quad j \neq i \\ F_i(x) \circ F_i(y) = (x, y)'(E_{i+1} + E_{i+2}), & 2F_i(x) \circ F_{i+1}(y) = F_{i+2}(\bar{xy}) \end{cases}$$

where the indexes are considered as mod 3.

In  $\mathfrak{S}'$  we define the inner product  $(X, Y)'$  and the trilinear inner product  $\text{tr}(X, Y, Z)'$  respectively by

$$(X, Y)' = \text{tr}(X \circ Y) = \sum_{i=1}^3 (\xi_i \eta_i + 2(x_i, y_i)')$$

$$\text{tr}(X, Y, Z)' = (X \circ Y, Z)' = (X, Y \circ Z)'$$

where  $X = X(\xi, \mathbf{x})$ ,  $Y = Y(\eta, \mathbf{y})$ .

The group  $F_{4,2}$  is defined by

$$\begin{aligned} F_{4,2} &= \{ \alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid \alpha(X \circ Y) = \alpha X \circ \alpha Y, \text{tr}(\alpha X) = \text{tr}(X) \} \\ &= \{ \alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid \alpha(X \circ Y) = \alpha X \circ \alpha Y, (\alpha X, \alpha Y)' = (X, Y)' \} \\ &= \{ \alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid (\alpha x, \alpha y)' = (x, y)', \text{tr}(\alpha X, \alpha Y, \alpha Z)' = \text{tr}(X, Y, Z)' \} \end{aligned}$$

**Remark.** The author does not know if the condition  $\alpha(X \circ Y) = \alpha X \circ \alpha Y$  implies the condition  $\text{tr}(\alpha X) = \text{tr}(X)$  and if  $\text{Aut}(\mathfrak{S}') = \{ \alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid \alpha(X \circ Y) = \alpha X \circ \alpha Y \}$

is connected. However it is true that the connected component group  $\text{Aut}^0(\mathfrak{S}')$  of  $\text{Aut}(\mathfrak{S}')$  is  $F_{4,2}$ . In the case of the compact group  $F_4 = \text{Aut}(\mathfrak{S})$ , the condition  $\alpha(X \circ Y) = \alpha X \circ \alpha Y$  implies the condition  $\text{tr}(\alpha X) = \text{tr}(X)$  [4].

Since the field  $\mathbf{H}$  of quaternions is a subfield of  $\mathfrak{S}'$  regarding  $a \in \mathbf{H}$  as  $a + 0e \in \mathfrak{S}'$ ,  $\mathfrak{S}_{\mathbf{H}} = \mathfrak{S}(3, \mathbf{H})$  (consisting of all  $3 \times 3$  Hermitian matrices  $X_{\mathbf{H}}$  with components in  $\mathbf{H}$ ) is a subalgebra of  $\mathfrak{S}'$ , and any element  $X \in \mathfrak{S}'$  can be described as

$$X = \begin{pmatrix} \xi_1 & a_3 & \bar{a}_2 \\ \bar{a}_3 & \xi_2 & a_1 \\ a_2 & \bar{a}_1 & \xi_3 \end{pmatrix} + \begin{pmatrix} 0 & b_3 & -b_2 \\ -b_3 & 0 & b_1 \\ b_2 & -b_1 & 0 \end{pmatrix} e, \quad \xi_i \in \mathbf{R}, a_i, b_i \in \mathbf{H}$$

We denote this element  $X$  by

$$X = X_{\mathbf{H}} + F(\mathbf{b}e)$$

where  $X_{\mathbf{H}} \in \mathfrak{S}_{\mathbf{H}}$ ,  $\mathbf{b} = (b_1, b_2, b_3) \in \mathbf{H}^3$ .

### 3. Automorphism group $\text{Aut}(\mathfrak{S}_{\mathbf{H}})$

Before we consider the group  $F_{4,2}$ , we shall investigate the relation between the automorphism group  $\text{Aut}(\mathfrak{S}_{\mathbf{H}})$  of  $\mathfrak{S}_{\mathbf{H}}$ :

$$\begin{aligned} \text{Aut}(\mathfrak{S}_{\mathbf{H}}) &= \{\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}_{\mathbf{H}}, \mathfrak{S}_{\mathbf{H}}) \mid \alpha(X \circ Y) = \alpha X \circ \alpha Y\} \\ &= \{\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}_{\mathbf{H}}, \mathfrak{S}_{\mathbf{H}}) \mid \alpha(X \circ Y) = \alpha X \circ \alpha Y, \text{tr}(\alpha X) = \text{tr}(X)\} \end{aligned}$$

(cf. Remark of § 2) and the symplectic group

$$Sp(3) = \{A \in M(3, \mathbf{H}) \mid AA^* = E\}$$

**Proposition 1.** *The group  $\text{Aut}(\mathfrak{S}_{\mathbf{H}})$  is isomorphic to the group  $Sp(3)/\mathbf{Z}_2$ , where  $\mathbf{Z}_2 = \{E, -E\}$ .*

**Proof** We define a mapping  $f : Sp(3) \rightarrow \text{Aut}(\mathfrak{S}_{\mathbf{H}})$  by

$$f(A)X = AXA^*, \quad X \in \mathfrak{S}_{\mathbf{H}}$$

Obviously  $f$  is well-defined and homomorphic. We shall show  $f$  is onto. For a given  $\alpha \in \text{Aut}(\mathfrak{S}_{\mathbf{H}})$ , consider  $\alpha E_i$ ,  $i = 1, 2, 3$ . Since  $\alpha E_i$  satisfies the conditions  $(\alpha E_i)^* = \alpha E_i$ ,  $(\alpha E_i)^2 = \alpha E_i$ ,  $\text{tr}(\alpha E_i) = 1$ , we can choose a vector  $\mathbf{b}_i =$

$$\begin{pmatrix} b_1 \\ b_2 \\ b_3 \end{pmatrix} \in \mathbf{H}^3, \|\mathbf{b}_i\| = 1 \text{ such that } \alpha E_i = \begin{pmatrix} b_1 \bar{b}_1 & b_1 \bar{b}_2 & b_1 \bar{b}_3 \\ b_2 \bar{b}_1 & b_2 \bar{b}_2 & b_2 \bar{b}_3 \\ b_3 \bar{b}_1 & b_3 \bar{b}_2 & b_3 \bar{b}_3 \end{pmatrix} \text{ (Remember tha } \alpha E_i \text{ is}$$

an element of the quaternionic projective plane  $HP_2$ ). If we construct a matrix  $B = (\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3)$ , then  $B \in Sp(3)$  because  $\alpha E_i \circ \alpha E_j = 0$ ,  $i \neq j$ , and  $B$  satisfies

$$BE_iB^* = \alpha E_i, \quad i = 1, 2, 3$$

Therefore  $\beta = f(B)^{-1}\alpha$  satisfies

$$\beta E_i = E_i, \quad i = 1, 2, 3$$

If we operate  $\beta$  on  $E_i \circ F_i(a) = 0$ ,  $2E_j \circ F_i(a) = F_i(a) (j \neq i)$ , then we see that  $\beta$  induces linear transformations  $\beta_i$  of  $\mathbf{H}$  such that

$$\beta F_i(a) = F_i(\beta_i(a)), \quad i = 1, 2, 3$$

and  $\beta_i$  is orthogonal :

$$(\beta_i(a), \beta_i(b)) = (a, b), \quad a, b \in \mathbf{H}$$

from  $F_i(a) \circ F_i(b) = (a, b)(E_{i+1} + E_{i+2})$ . Furthermore  $\beta_1, \beta_2, \beta_3$  are combined with the relation

$$\beta_1(a)\beta_2(b) = \overline{\beta_3(\overline{ab})}, \quad a, b \in \mathbf{H}$$

from  $2F_1(a) \circ F_2(b) = F_3(\overline{ab})$ . Put  $p = \beta_1(1)$ ,  $q = \beta_2(1)$ , then  $|p| = 1$ ,  $|q| = 1$  and  $\beta_2(a) = \overline{p}\beta_1(a)q$ ,  $\beta_3(a) = \overline{\beta_1(\overline{a})}q$ . Furthermore put  $\beta_1(a) = p\sigma(a)$ , then  $\sigma$  satisfies  $\sigma(ab) = \sigma(a)\sigma(b)$ , i. e.  $\sigma$  is an automorphism of  $\mathbf{H}$ . Therefore there exists  $r \in \mathbf{H}$ ,  $|r| = 1$  such that  $\sigma(a) = rar\overline{r}$ . Hence

$$\beta_1(a) = prar\overline{r}, \quad \beta_2(a) = rar\overline{r}q, \quad \beta_3(a) = \overline{q}rar\overline{p}, \quad a \in \mathbf{H}$$

Now, construct a matrix  $C = \begin{pmatrix} \overline{q}r & 0 & 0 \\ 0 & pr & 0 \\ 0 & 0 & r \end{pmatrix}$ , then  $C \in Sp(3)$  and

$$CXC^* = \beta X, \quad X \in \mathfrak{S}\mathbf{H}$$

Therefore  $\alpha X = B(CXC^*)B^* = f(BC)X$ , hence  $f$  is onto. Finally  $\text{Ker} f = \{E, -E\}$  is easily obtained. Thus the proof is completed.

#### 4. Compact subgroup $(F_{4,2})_K$ of $F_{4,2}$

We shall consider the following subgroup  $(F_{4,2})_K$  of  $F_{4,2}$

$$(F_{4,2})_K = \{\alpha \in F_{4,2} \mid \alpha(\mathfrak{S}\mathbf{H}) = \mathfrak{S}\mathbf{H}\}$$

Since  $\mathfrak{S}\mathbf{H}$  and  $\mathfrak{S}'\mathbf{H}_e = \{F(\mathbf{a}e) \mid \mathbf{a} \in \mathbf{H}^3\}$  are orthogonal with respect to the inner product  $(X, Y)$ ,  $\alpha \in (F_{4,2})_K$  also satisfies  $\alpha(\mathfrak{S}'\mathbf{H}_e) = \mathfrak{S}'\mathbf{H}_e$ .

**Proposition 2.** *The group  $(F_{4,2})_K$  is isomorphic to the group  $(S^3 \times Sp(3))/Z_2$ , where  $Z_2 = \{(1, E), (-1, -E)\}$ .*

**Proof** Let  $S^3 = \{p \in \mathbf{H} \mid |p| = 1\}$  and define a mapping  $\varphi : S^3 \times Sp(3) \rightarrow (F_{4,2})_K$  by

$$\varphi(p, A)(X_{\mathbf{H}} + F(\mathbf{a}e)) = AX_{\mathbf{H}}A^* + F((p\mathbf{a}A^*)e)$$

In order to show  $\alpha = \varphi(p, A) \in (F_{4,2})_K$ , since the conditions  $\alpha(\mathfrak{S}_{\mathbf{H}}) = \mathfrak{S}_{\mathbf{H}}$ ,  $\text{tr}(\alpha X) = \text{tr}(X)$  are obviously satisfied, we must prove

$$\alpha(X \circ Y) = \alpha X \circ \alpha Y, \quad X, Y \in \mathfrak{S}'$$

To do this, it is sufficient to show that

- (1)  $\alpha E_i \circ \alpha F_i(\mathbf{a}e) = 0$
- (2)  $2\alpha E_j \circ \alpha F_i(\mathbf{a}e) = \alpha F_i(\mathbf{a}e), \quad i \neq j$
- (3)  $\alpha F_i(\mathbf{a}e) \circ \alpha F_i(\mathbf{b}e) = (\mathbf{a}e, \mathbf{b}e)'(\alpha E_{i+1} + \alpha E_{i+2})$
- (3)'  $\alpha F_i(\mathbf{a}e) \circ \alpha F_i(\mathbf{b}) = 0$
- (4)  $2\alpha F_i(\mathbf{a}e) \circ \alpha F_{i+1}(\mathbf{b}e) = \alpha F_{i+2}(\overline{(\mathbf{a}e)(\mathbf{b}e)})$
- (4)'  $2\alpha F_i(\mathbf{a}e) \circ \alpha F_{i+1}(\mathbf{b}) = \alpha F_{i+2}(\overline{(\mathbf{a}e)\mathbf{b}})$

where  $\mathbf{a}, \mathbf{b} \in \mathbf{H}$ . Put  $A = (a_{ij})_{i,j=1,2,3}$ .

$$\begin{aligned} \text{Proof of (1)} \quad & 2\alpha E_1 \circ \alpha F_1(\mathbf{a}e) \\ &= 2(|a_{11}|^2 E_1 + |a_{21}|^2 E_2 + |a_{31}|^2 E_3 + F_1(a_{21}\overline{a_{31}}) + F_2(a_{31}\overline{a_{11}}) + F_3(a_{11}\overline{a_{21}})) \\ & \quad \circ (F_1((p\overline{a_{11}})\mathbf{a}e) + F_2((p\overline{a_{21}})\mathbf{a}e) + F_3((p\overline{a_{31}})\mathbf{a}e)) \\ &= F_1((|a_{21}|^2 + |a_{31}|^2)(p\overline{a_{11}})\mathbf{a}e + ((p\overline{a_{21}})\mathbf{a}e)(a_{11}\overline{a_{21}}) + (a_{31}\overline{a_{11}})((p\overline{a_{31}})\mathbf{a}e)) \\ & \quad + F_2(*) + F_3(*) \\ &= F_1((|a_{21}|^2 + |a_{31}|^2)(p\overline{a_{11}})\mathbf{a}e - (p\overline{a_{21}}a_{21}\overline{a_{11}})\mathbf{a}e - (p\overline{a_{31}}a_{31}\overline{a_{11}})\mathbf{a}e) \\ & \quad + F_2(*) + F_3(*) \\ &= F_1((|a_{21}|^2 + |a_{31}|^2 - |a_{21}|^2 - |a_{31}|^2)(p\overline{a_{11}})\mathbf{a}e) + F_2(*) + F_3(*) \\ &= F_1(0) + F_2(0) + F_3(0) = 0 \end{aligned}$$

$$\begin{aligned} \text{Proof of (4)} \quad & 2\alpha F_1(\mathbf{a}e) \circ \alpha F_2(\mathbf{b}e) \\ &= 2(F_1((p\overline{a_{11}})\mathbf{a}e) + F_2((p\overline{a_{21}})\mathbf{a}e) + F_3((p\overline{a_{31}})\mathbf{a}e)) \\ & \quad \circ (F_1((p\overline{b_{12}})\mathbf{b}e) + F_2((p\overline{b_{22}})\mathbf{b}e) + F_3((p\overline{b_{32}})\mathbf{b}e)) \end{aligned}$$

$$\begin{aligned}
&= 2((\overline{paa_{11}})e, (\overline{pba_{12}})e)'(E_2 + E_3) + 2((\overline{paa_{21}})e, (\overline{pba_{22}})e)'(E_3 + E_1) \\
&\quad + 2((\overline{paa_{31}})e, (\overline{pba_{32}})e)'(E_1 + E_2) \\
&\quad + F_1((\overline{paa_{21}})e)(\overline{pba_{32}})e + ((\overline{pba_{22}})e)(\overline{paa_{31}})e + F_2(*) + F_3(*) \\
&= (-2(\overline{aa_{21}}, \overline{ba_{22}}) - 2(\overline{aa_{31}}, \overline{ba_{32}}))E_1 + *E_2 + *E_3 \\
&\quad + F_1((a_{21}\overline{a\bar{p}})(\overline{pba_{32}} + (a_{22}\overline{b\bar{p}})(\overline{paa_{31}})) + F_2(*) + F_3(*) \\
&= (-2(\overline{ba}, \overline{a_{22}a_{21}} + \overline{a_{32}a_{31}}))E_1 + *E_2 + *E_3 \\
&\quad + F_1(a_{21}\overline{aba_{32}} + a_{22}\overline{baa_{31}}) + F_2(*) + F_3(*) \\
&= 2(\overline{ba}, \overline{a_{12}a_{11}})E_1 + *E_2 + *E_3 \\
&\quad + F_1(a_{21}\overline{aba_{32}} + a_{22}\overline{baa_{31}}) + F_2(*) + F_3(*) \\
&= 2(\overline{(ae)(be)}, \overline{a_{11}a_{12}})E_1 + *E_2 + *E_3 \\
&\quad + F_1(a_{21}\overline{(ae)(be)}\overline{a_{32}} + a_{22}\overline{(ae)(be)}\overline{a_{31}}) + F_2(*) + F_3(*) \\
&= \alpha F_3(\overline{(ae)(be)})
\end{aligned}$$

The other formulae are also proved by calculations similar to the above. Obviously  $\varphi$  is a homomorphism. Next we shall prove  $\varphi$  is onto.

For a given  $\alpha \in (F_{4,2})_K$ , consider the restriction  $\alpha|_{\mathfrak{S}_H}$  of  $\alpha$  to  $\mathfrak{S}_H$ . Since  $\alpha|_{\mathfrak{S}_H}$  is an automorphism of  $\mathfrak{S}_H$ , there exists an element  $A \in Sp(3)$  such that

$$\alpha X_H = AX_HA^*, \quad X_H \in \mathfrak{S}_H$$

by Proposition 1. Put  $\beta = \varphi(1, A)^{-1}\alpha$ , then  $\beta|_{\mathfrak{S}_H} = 1$ . In particular  $\beta$  satisfies  $\beta E_i = E_i$ ,  $i = 1, 2, 3$ , hence  $\beta$  induces linear transformations  $\beta_i$  of  $\mathfrak{S}^t$  such that

$$\beta F_i(x) = F_i(\beta_i(x)), \quad i = 1, 2, 3$$

(the proof is the same as Proposition 1). Furthermore  $\beta_i$  satisfies

$$(\beta_i(u), a)' = 0, \quad (\beta_i(u), \beta_i(v))' = (u, v)', \quad a \in \mathbf{H}, \quad u, v \in \mathbf{H}e$$

from  $F_i(u) \circ F_i(a) = 0$ ,  $F_i(u) \circ F_i(v) = (u, v)'(E_{i+1} + E_{i+2})$  respectively. Hence  $\beta_i$  induces an orthogonal transformation of  $\mathbf{H}e$ . And from  $2F_3(a) \circ F_1(u) = -F_2(au)$  we get

$$a\beta_1(u) = \beta_2(au), \quad a \in \mathbf{H}, \quad u \in \mathbf{H}e$$

Put  $a = 1$ , then we have  $\beta_1 = \beta_2$ , similarly  $\beta_1 = \beta_3 (= \beta')$ . Therefore  $\beta'$  satisfies

$$\beta'(au) = a\beta'(u), \quad a \in \mathbf{H}, \quad u \in \mathbf{H}e$$

Set  $\beta'(e) = pe$ ,  $p \in \mathbf{H}$ , then  $|p| = 1$  and

$$\beta'(ae) = a\beta'(e) = a(pe) = (pa)e, \quad a \in \mathbf{H}$$

Therefore

$$\beta X = \beta(X_{\mathbf{H}} + F(ae)) = X_{\mathbf{H}} + F((pa)e) = \varphi(p, E)X, \quad X \in \mathfrak{S}'$$

Hence  $\alpha = \varphi(1, A)\varphi(p, E) = \varphi(p, A)$ , i. e.  $\varphi$  is onto. Finally  $\text{Ker } \varphi = \{(1, E), (-1, -E)\}$  is easily obtained. Thus the proof of Proposition 2 is completed.

**Remark.** The compact Lie group  $F_4 = \text{Aut}(\mathfrak{S})$  also contains a subgroup  $(F_4)_K$  which is isomorphic to  $(S^3 \times Sp(3))/\mathbf{Z}_2$  by a mapping  $\varphi : S^3 \times Sp(3) \rightarrow F_4$ ,

$$\varphi(p, A)(X_{\mathbf{H}} + F(ae)) = AX_{\mathbf{H}}A^* + F((paA^*)e)$$

### 5. Lie algebra $\mathfrak{f}_{4,2}$ of $F_{4,2}$

We consider the Lie algebra  $\mathfrak{f}_{4,2}$  of  $F_{4,2}$ :

$$\begin{aligned} \mathfrak{f}_{4,2} &= \{s \in \text{Hom}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid s(X \circ Y) = sX \circ Y + X \circ sY, \text{tr}(sX) = 0\} \\ &= \left\{ s \in \text{Hom}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid \begin{array}{l} (sX, Y)' + (X, sY)' = 0 \\ \text{tr}(sX, Y, Z)' + \text{tr}(X, sY, Z)' + \text{tr}(X, Y, sZ)' = 0 \end{array} \right\} \\ &= \{s \in \text{Hom}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid s(X \circ Y) = sX \circ Y + X \circ sY\} \end{aligned}$$

(the last equality is proved in Remark of Proposition 6). The structure of the Lie algebra  $\mathfrak{f}_{4,2}$  is analogous to the Lie algebra  $\mathfrak{f}_4$  of the compact Lie group  $F_4 = \text{Aut}(\mathfrak{S})$  [1], [4]. However we give an outline of the proof of Proposition 6.

Let  $M'$ - be the vector space over  $\mathbf{R}$  consisting of all  $3 \times 3$  skew-Hermitian matrices  $A; A^* = -A$  with components in  $\mathfrak{S}$ . Any element  $A \in M'$ - induces a linear transformation  $\tilde{A}$  of  $\mathfrak{S}'$  by

$$\tilde{A}X = AX - XA, \quad X \in \mathfrak{S}'$$

**Lemma 3** ([1]). *If  $A \in M'$ -,  $\text{tr}(A) = 0$ , then  $\tilde{A} \in \mathfrak{f}_{4,2}$ , i. e.  $\tilde{A}$  satisfies*

- (1)  $(\tilde{A}X, Y)' + (X, \tilde{A}Y) = 0$
- (2)  $\text{tr}(\tilde{A}X, Y, Z)' + \text{tr}(X, \tilde{A}Y, Z)' + \text{tr}(X, Y, \tilde{A}Z)' = 0$

We adopt the following notations in  $M'$ -.

$$A_1(r) = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & r \\ 0 & -\bar{r} & 0 \end{pmatrix}, \quad A_2(r) = \begin{pmatrix} 0 & 0 & -\bar{r} \\ 0 & 0 & 0 \\ r & 0 & 0 \end{pmatrix}, \quad A_3(r) = \begin{pmatrix} 0 & r & 0 \\ -\bar{r} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Then  $\tilde{A}_i(r) \in \mathfrak{f}_{4,2}$  and the following formulae are hold.

$$\begin{cases} \tilde{A}_i(r)E_i = 0, & \tilde{A}_i(r)F_i(x) = 2(r, x)'(E_{i+1} - E_{i+2}), \\ \tilde{A}_i(r)E_{i+1} = -F_i(r), & \tilde{A}_i(r)F_{i+1}(x) = F_{i+2}(\bar{r}x), \\ \tilde{A}_i(r)E_{i+2} = F_i(r), & \tilde{A}_i(r)F_{i+2}(x) = -F_{i+1}(\bar{x}r) \end{cases}$$

where the indexes are considered mod 3.

Let  $\mathfrak{v}'(\mathfrak{G}')$  be the Lie algebra

$$\mathfrak{o}(4, 4) = \mathfrak{v}'(\mathfrak{G}') = \{D \in \text{Hom}_{\mathbf{R}}(\mathfrak{G}', \mathfrak{G}') \mid (Dx, y)' + (x, Dy)' = 0\}$$

of the Lorentz group  $O(4, 4) = O'(\mathfrak{G}') = \{\sigma \in \text{Iso}_{\mathbf{R}}(\mathfrak{G}', \mathfrak{G}') \mid (\sigma x, \sigma y)' = (x, y)'\}$ .

**Lemma 4** (Principle of the infinitesimal triality in  $\mathfrak{v}'(\mathfrak{G}')$  [1], [3]). *For any element  $D_1 \in \mathfrak{v}'(\mathfrak{G}')$ , there exist  $D_2, D_3 \in \mathfrak{v}'(\mathfrak{G}')$  uniquely such that*

$$D_1(x)y + xD_2(y) = \overline{D_3(xy)}, \quad x, y \in \mathfrak{G}'$$

**Lemma 5** ([1]). *The Lie algebra  $\mathfrak{v}'_{\mathfrak{G}'} = \{\delta \in \mathfrak{f}_{4,2} \mid \delta E_i = 0, i = 1, 2, 3\}$  is isomorphic to the Lie algebra  $\mathfrak{v}'(\mathfrak{G}')$  by the correspondence*

$$D_1 \in \mathfrak{v}'(\mathfrak{G}') \rightarrow \delta = \delta(D_1, D_2, D_3) \in \mathfrak{v}'_{\mathfrak{G}'}$$

$$\delta \begin{pmatrix} \xi_1 & x_3 & \bar{x}_2 \\ \bar{x}_3 & \xi_2 & x_1 \\ x_2 & \bar{x}_1 & \xi_3 \end{pmatrix} = \begin{pmatrix} 0 & D_3x_3 & \overline{D_2x_2} \\ \overline{D_3x_3} & 0 & D_1x_1 \\ D_2x_2 & \overline{D_1x_1} & 0 \end{pmatrix}$$

where  $D_2, D_3$  are elements of  $\mathfrak{v}'(\mathfrak{G}')$  determined by Principle of the infinitesimal triality in  $\mathfrak{v}'(\mathfrak{G}')$ .

**Proposition 6.** *Any element  $s$  of the Lie algebra  $\mathfrak{f}_{4,2} = \{s \in \text{Hom}_{\mathbf{R}}(\mathfrak{G}', \mathfrak{G}') \mid s(X \circ Y) = sX \circ Y + X \circ sY\}$  is uniquely represented by the form*

$$s = \delta + \tilde{A}, \quad \delta \in \mathfrak{v}'_{\mathfrak{G}'}, \quad A \in M', \quad \text{diag } A = 0$$

where  $\text{diag } A = 0$  means that all diagonal elements of  $A$  are 0.

**Proof** From  $E_i \circ E_i = E_i$ ,  $E_i \circ E_j = 0$ ,  $j \neq i$ , we have  $2E_i \circ sE_i = sE_i$ ,  $sE_i \circ E_j + \circ E_i \circ sE_j = 0$ . Hence  $sE_i$ ,  $i = 1, 2, 3$  have the following form

$$sE_1 = \begin{pmatrix} 0 & -r_3 & \bar{r}_2 \\ -\bar{r}_3 & 0 & 0 \\ r_2 & 0 & 0 \end{pmatrix}, \quad sE_2 = \begin{pmatrix} 0 & r_3 & 0 \\ \bar{r}_3 & 0 & -r_1 \\ 0 & -\bar{r}_1 & 0 \end{pmatrix}, \quad sE_3 = \begin{pmatrix} 0 & 0 & -\bar{r}_2 \\ 0 & 0 & r_1 \\ -r_2 & \bar{r}_1 & 0 \end{pmatrix}$$



Construct a matrix  $A = \begin{pmatrix} 0 & r_3 & -\bar{r}_2 \\ -\bar{r}_3 & 0 & r_1 \\ r_2 & -\bar{r}_1 & 0 \end{pmatrix}$ , then  $A \in M'$ ,  $\text{diag } A = 0$  and  $\tilde{A}$  satisfies

$$\tilde{A}E_i = sE_i, \quad i = 1, 2, 3$$

so that  $\delta = s - \tilde{A} \in \mathfrak{v}'_{\mathfrak{G}'}$ . Hence  $s = \delta + \tilde{A}$ ,  $\delta \in \mathfrak{v}'_{\mathfrak{G}'}$ ,  $A \in M'$ ,  $\text{diag } A = 0$ . To prove the uniqueness, it sufficient to show

$$\delta + \tilde{A} = 0, \quad \delta \in \mathfrak{v}'_{\mathfrak{G}'}, \quad A \in M', \quad \text{diag } A = 0 \Rightarrow \delta = 0, \quad A = 0$$

However it is easily obtained if we operate  $\delta + \tilde{A}$  on  $E_i$ ,  $i = 1, 2, 3$ .

**Remark.** Any element  $s$  of the Lie algebra  $\mathfrak{f}_{4,2} = \{s \in \text{Hom}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') | s(X \circ Y) = sX \circ Y + X \circ sY\}$  satisfies the condition  $\text{tr}(sX) = 0$ . In fact, for  $s = \delta + \tilde{A} \in \mathfrak{f}_{4,2}$ ,  $\text{tr}(\delta X) = 0$  is satisfied trivially and  $\text{tr}(\tilde{A}X) = \text{Re}(\text{tr}(\tilde{A}X)) = \text{Re}(\text{tr}(AX - XA)) = \text{Re}(\sum_{i,j} a_{ij}x_{ji} - \sum_{i,j} x_{ij}a_{ji}) = \sum_{i,j} \text{Re}(a_{ij}x_{ji} - x_{ij}a_{ji}) = 0$  for  $A = (a_{ij})_{i,j=1,2,3}$ ,  $X = (x_{ij})_{i,j=1,2,3}$ .

### 6. Polar decomposition of $F_{4,2}$

To give a polar decomposition of  $F_{4,2}$  we use the following

**Lemma 7** ([2] p. 345). *Let  $G$  be a real algebraic subgroup of the general linear group  $GL(n, \mathbf{R})$  such that the condition  $A \in G$  implies  ${}^tA \in G$ . Then  $G$  is homeomorphic to the topological product of  $G \cap O(n)$  (which is a maximal compact subgroup of  $G$ ) and a Euclidean space  $\mathbf{R}^d$ :*

$$G \simeq (G \cap O(n)) \times \mathbf{R}^d, \quad d = \dim(\mathfrak{g} \cap \mathfrak{h}(n))$$

where  $O(n)$  is the orthogonal subgroup of  $GL(n, \mathbf{R})$ ,  $\mathfrak{g}$  the Lie algebra of  $G$  and  $\mathfrak{h}(n)$  the vector space of all real symmetric matrices of degree  $n$ .

To use the above lemma, we define the positive definite inner products  $(x, y)$  in  $\mathfrak{G}'$  and  $(X, Y)$  in  $\mathfrak{S}'$  respectively by

$$(x, y) = (a, c) + (b, d)$$

$$(X, Y) = \sum_{i=1}^3 (\xi_i \eta_i + 2(x_i, y_i))$$

for  $x = a + be$ ,  $y = c + de$  and  $X = X(\xi, \mathbf{x})$ ,  $Y = Y(\eta, \mathbf{y})$ . Two inner products  $(X, Y)$ ,  $(X, Y)'$  in  $\mathfrak{S}'$  are combined with the following relations

$$(X, Y) = (X, rY)', \quad (X, Y)' = (X, rY)$$

where  $r = \varphi(-1, E)$ . We denote by  ${}^t\alpha$  the transpose of  $\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}')$  with respect

to  $(X, Y) : (\alpha X, Y) = (X, {}^t\alpha Y)$ .

**Lemma 8.**  $F_{4,2}$  is a real algebraic subgroup of the general linear group  $GL(27, \mathbf{R}) = \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}')$  and satisfies the condition  $\alpha \in F_{4,2}$  implies  ${}^t\alpha \in F_{4,2}$ .

**Proof** Since  $(X, \gamma Y) = (X, Y)' = (\alpha X, \alpha Y)' = (\alpha X, \gamma \alpha Y) = (X, {}^t\alpha \gamma \alpha Y)$  for  $\alpha \in F_{4,2}$ , we have  $\gamma = {}^t\alpha \gamma \alpha$ . Hence  ${}^t\alpha = \gamma \alpha^{-1} \gamma \in F_{4,2}$ . It is trivial that  $F_{4,2}$  is real algebraic, because  $F_{4,2}$  is defined by the algebraic relations  $\alpha(X \circ Y) = \alpha X \circ \alpha Y$ ,  $\text{tr}(\alpha X) = \text{tr}(X)$ .

Let  $O(\mathfrak{S}')$  be the orthogonal subgroup of  $\text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}')$  :

$$O(27) = O(\mathfrak{S}') = \{\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid (\alpha X, \alpha Y) = (X, Y)\}$$

Then  $\alpha \in F_{4,2} \cap O(\mathfrak{S}')$  induces a linear transformation of  $\mathfrak{S}_H$ . In fact,  $(\alpha X_H, Y) = -(\alpha X_H, Y)' = -(X_H, \alpha^{-1} Y)' = -(X_H, \alpha^{-1} Y) = -(\alpha X_H, Y)$  for  $X_H \in \mathfrak{S}_H$ ,  $Y \in \mathfrak{S}'_{He}$ , therefore  $(\alpha X_H, Y) = 0$  and  $\alpha X_H \in \mathfrak{S}_H$ . Hence we have

$$F_{4,2} \cap O(\mathfrak{S}') = (F_{4,2})_K \cong (\mathbb{S}^3 \times Sp(3)) / \mathbb{Z}_2$$

by Proposition 2. Next we shall determine the Euclidean part  $\mathfrak{h}_{4,2} \cap \mathfrak{h}(\mathfrak{S}')$  of  $F_{4,2}$ , where

$$\mathfrak{h}(27) = \mathfrak{h}(\mathfrak{S}') = \{\mathfrak{s} \in \text{Hom}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid (\mathfrak{s}X, Y) = (X, \mathfrak{s}Y)\}$$

Let  $\mathfrak{s} \in \mathfrak{h}_{4,2} \cap \mathfrak{h}(\mathfrak{S}')$ . Represent  $\mathfrak{s}$  in the form

$$\mathfrak{s} = \delta + \tilde{A}_1(r_1) + \tilde{A}_2(r_2) + \tilde{A}_3(r_3)$$

where  $\delta = \delta(D_1, D_2, D_3) \in \mathfrak{v}'_{\mathbb{C}'}$ ,  $r_i \in \mathbb{C}'$ . Since  $\mathfrak{s}$  satisfies  $(\mathfrak{s}E_{i+1}, F_i(x)) = (E_{i+1}, \mathfrak{s}F_i(x))$ , we have

$$\begin{aligned} & ((\delta + \sum_{j=1}^3 \tilde{A}_j(r_j))E_{i+1}, F_i(x)) = (E_{i+1}, (\delta + \sum_{j=1}^3 \tilde{A}_j(r_j))F_i(x)) \\ & (-F_i(r_i) + F_{i+2}(r_{i+2}), F_i(x)) \\ & = (E_{i+1}, F_i(D_i x)) + 2(r_i, x)'(E_{i+1} - E_{i+2}) - F_{i+2}(\overline{xr_{i+1}}) + F_{i+1}(\overline{r_{i+2}x}) \end{aligned}$$

Hence we have

$$-(r_i, x) = (r_i, x)', \quad x \in \mathbb{C}'$$

From this we get  $r_i \in He$ ,  $i = 1, 2, 3$ . Next, from the condition  $(\zeta F_1(x), F_1(y)) = (F_1(x), \mathfrak{s}F_1(y))$ , we have

$$\begin{aligned} & (F_1(D_1 x) + 2(r_1, x)'(E_2 - E_3) - F_3(\overline{xr_2}) + F_2(\overline{r_3x}), F_1(y)) \\ & = (F_1(x), F_1(D_1 y) + 2(r_1, y)'(E_2 - E_3) - F_3(\overline{yr_2}) + F_2(\overline{r_3y})) \end{aligned}$$

Hence we have

$$(D_1x, y) = (x, D_1y), \quad x, y \in \mathfrak{G}'$$

Since  $D_1$  also satisfies  $(D_1x, y)' + (x, D_1y)' = 0$ ,  $D_1$  induces a linear transformation  $D_1|_{\mathbf{H}} : \mathbf{H} \rightarrow \mathbf{He}$ . Conversely

$$\delta(D_1, D_2, D_3) + \sum_{i=1}^3 \widetilde{A}_i(r_i), \quad D_1|_{\mathbf{H}} \in \text{Hom}_{\mathbf{R}}(\mathbf{H}, \mathbf{He}), \quad r_i \in \mathbf{He}$$

is an element of  $\mathfrak{f}_{4,2} \cap \mathfrak{h}(\mathfrak{S}')$ . Hence

$$\dim(\mathfrak{f}_{4,2} \cap \mathfrak{h}(\mathfrak{S}')) = 4 \times 4 + 4 \times 3 = 28$$

Thus we have the following

**Theorem 9.** *The group  $F_{4,2}$  is homeomorphic to the topological product of the group  $(S^3 \times Sp(3))/Z_2$  and a 28-dim. Euclidean space  $\mathbf{R}^{28}$  :*

$$F_{4,2} \simeq (S^3 \times Sp(3))/Z_2 \times \mathbf{R}^{28}$$

*In particular,  $F_{4,2}$  is a connected (but not simply connected) Lie group.*

### 7. Simplicity of $F_{4,2}$

**Lemma 10.** *The Lie algebra  $\mathfrak{f}_{4,2}$  of  $F_{4,2}$  is simple.*

**Proof** The complexification  $\mathfrak{f}_{4,2}^{\mathbf{C}}$  of the Lie algebra  $\mathfrak{f}_{4,2}$  is isomorphic to the complexification  $\mathfrak{f}_4^{\mathbf{C}}$  of the Lie algebra  $\mathfrak{f}_4 = \{s \in \text{Hom}_{\mathbf{R}}(\mathfrak{S}, \mathfrak{S}) | s(X \circ Y) = sX \circ Y + X \circ sY\}$  of the compact Lie group  $F_4 = \text{Aut}(\mathfrak{S})$ , because the complexification  $\mathfrak{G}^{\mathbf{C}}$  of  $\mathfrak{G}'$  is isomorphic to the one  $\mathfrak{G}^{\mathbf{C}}$  of the Cayley algebra  $\mathfrak{C}$ . As is well known  $\mathfrak{f}_4^{\mathbf{C}}$  is simple, so that  $\mathfrak{f}_{4,2}^{\mathbf{C}}$  is so, hence  $\mathfrak{f}_{4,2}$  is also simple.

Since  $F_{4,2}$  is a connected group from Theorem 9 and a simple group as Lie group from Lemma 10, any normal subgroup of  $F_{4,2}$  is contained in the center  $z(F_{4,2})$  of  $F_{4,2}$ . We shall show  $z(F_{4,2}) = 1$ .

Let  $\alpha \in z(F_{4,2})$ . First we show that  $\alpha$  induces a linear transformation of  $\mathfrak{S}_{\mathbf{H}} : \alpha \in (F_{4,2})_K$ . In fact, put  $\alpha X_{\mathbf{H}} = Y_{\mathbf{H}} + F(\mathbf{ae})$  for  $X_{\mathbf{H}} \in \mathfrak{S}_{\mathbf{H}}$ , then the commutativity condition  $\varphi(-1, E)\alpha = \alpha\varphi(-1, E)$ , we have

$$\begin{aligned} Y_{\mathbf{H}} + F(-\mathbf{ae}) &= \varphi(-1, E)(Y_{\mathbf{H}} + F(\mathbf{ae})) = \varphi(-1, E)\alpha X_{\mathbf{H}} \\ &= \alpha\varphi(-1, E)X_{\mathbf{H}} = \alpha X_{\mathbf{H}} = Y_{\mathbf{H}} + F(\mathbf{ae}) \end{aligned}$$

Therefore  $F(\mathbf{ae}) = 0$  and  $\alpha X_{\mathbf{H}} = Y_{\mathbf{H}} \in \mathfrak{S}_{\mathbf{H}}$ . Hence there exists an element  $(p, A) \in S^3 \times Sp(3)$  such that  $\alpha = \varphi(p, A)$  by Proposition 2. Furthermore from the commutativity condition  $\alpha\varphi(q, E) = \varphi(q, E)\alpha$ ,  $\alpha\varphi(1, B) = \varphi(1, B)\alpha$ , we have

$$\begin{aligned} pq &= qp && \text{for all } q \in \mathfrak{S}^3 \\ AB &= BA && \text{for all } B \in Sp(3) \end{aligned}$$

so that  $p = \pm 1$ ,  $A = \pm E$ . Hence  $\alpha = \varphi(1, E)$  or  $\alpha = \varphi(-1, E)$ . We shall show that  $\varphi(-1, E)$  is not an element of the center  $z(F_{4,2})$  using the following

**Lemma 11.** *The following mapping  $\beta: \mathfrak{S}' \rightarrow \mathfrak{S}'$ ,  $\beta X = Y$ ,  $X = X(\xi, \mathbf{x})$ ,  $Y = Y(\eta, \mathbf{y})$ :*

$$\begin{cases} \eta_1 = \xi_1 \\ \eta_2 = (e, x_1)' \sinh 2 + \frac{\xi_2 - \xi_3}{2} \cosh 2 + \frac{\xi_2 + \xi_3}{2} \\ \eta_3 = -(e, x_1)' \sinh 2 - \frac{\xi_2 - \xi_3}{2} \cosh 2 + \frac{\xi_2 + \xi_3}{2} \end{cases}$$

$$\begin{cases} y_1 = x_1 - 2e(e, x_1)' \sinh^2 1 - \frac{e(\xi_2 - \xi_3)}{2} \sinh 2 \\ y_2 = x_2 \cosh 1 - \overline{x_3 e} \sinh 1 \\ y_3 = x_3 \cosh 1 + \overline{ex_2} \sinh 1 \end{cases}$$

is an element of  $F_{4,2}$ .

**Proof** This mapping  $\beta$  is  $\exp \tilde{A}_1(e)$ ,  $\tilde{A}_1(e) \in \mathfrak{f}_{4,2}$ , hence  $\beta \in F_{4,2}$ .

$\varphi(-1, E)$  does not commute with  $\beta$ , because  $\varphi(-1, E)\beta F_2(e) = \varphi(-1, E)(F_3(\sinh 1) + F_2(e \cosh 1)) = F_3(\sinh 1) - F_2(e \cosh 1)$  and  $\beta\varphi(-1, E)F_2(e) = \beta(F_2(e)) = -F_3(\sinh 1) - F_2(e \cosh 1)$ . Thus we have the following

**Theorem 12.** *The group  $F_{4,2}$  is a simple (in the algebraic sense) Lie group.*

Since the fundamental group of  $F_{4,2}$  is  $\mathbb{Z}_2$  from Theorem 9 and  $F_{4,2}$  is a simple group, we have the following

**Theorem 13.** *The center  $z(\tilde{F}_{4,2})$  of the non-compact simply connected Lie group  $\tilde{F}_{4,2} = F_{4(4)}$  of type  $F_4$  is  $\mathbb{Z}_2$ .*

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