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2022-08

Ollila, T, Silvennoinen, J, Joshi, A, Liu, J, Kulathinal, S & Immonen, I 2022, 'Analysing Subgroups and Treatment Discontinuation in a Finnish Cohort of Patients with Neovascular AMD', *Ophthalmologica: the journal of the European Society of retina specialists*, vol. 245, no. 4, pp. 358-367. <https://doi.org/10.1159/000524848>

<http://hdl.handle.net/10138/351495>

<https://doi.org/10.1159/000524848>

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Analyzing subgroups and treatment discontinuation in a Finnish cohort of patients with neovascular AMD

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28

29 **Abstract**

30

31 Purpose

32 To study the regional detailed visual outcome and treatment discontinuation of neovascular age-
33 related macular degeneration (nAMD).

34 Methods

35 Clinical records of 110 patients treated for nAMD at the sole referral center in the Helsinki region
36 were analysed retrospectively. The follow-up was up to the fourth year.

37 Results

38 The mean visual acuity (VA) at baseline was 56.3 (SD 16.2) letters. The mean last VA at the first year
39 was 59.7 (20.2), and the corresponding values for the second, third and fourth years were 60.8
40 (20.6), 60.0 (19.0) and 59.7 (19.3). The mean difference from baseline was +3.39 (SD 14.6), +3.59
41 (17.6), +0.08 (18.9) and +3.08 (14.3). The number of patients declined each year, with only 51 % of
42 the patients being in treatment until the fourth year. The patients with shorter duration of follow-
43 up tended to have a lower baseline VA, lesser gains and an earlier decline in VA. The VA levels at the
44 last visit were poorer in the shorter follow group. The initial VA response predicted later VA, whereas
45 VA at baseline, age or sex had no effect. However, the effect vanished with a longer time in
46 treatment.

47 Conclusions

48 Long-term VA stabilization was obtained in a regional material. Patients with neovascular AMD
49 consists of cohorts with varying visual outcome and treatment time. Many of the patients benefit
50 from the treatment for some time, however. When comparing real-world results, the outcome of
51 the different follow-up time cohorts should be considered. This calls for new methods for analysing
52 real world nAMD treatment results.

53

54 Key words: neovascular age-related macular degeneration, anti-VEGF treatment, visual acuity, real-
55 world studies

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59 INTRODUCTION

60

61 The use of anti-VEGF agents has revolutionized the visual prognosis of neovascular age-related
62 macular degeneration (nAMD). The efficacy of anti-VEGF agents was initially proven in registration
63 studies with strict inclusion criteria, and a rigid protocol of frequent intravitreal injections and
64 follow-up visits [1, 2]. These studies generally lasted for one to two years, after which the
65 continuation studies were generally performed according to a more flexible regimen. In these
66 continuation studies the visual results tended to deteriorate from those obtained in the original
67 studies [3]. Similarly, data from the results of real-world treatments for nAMD generally show visual
68 results that are inferior to those of the registration studies [4], albeit clearly better than the natural
69 history of nAMD [5]. The reason for this difference has been suggested to be due to a larger
70 spectrum of AMD lesion types and sizes included and undertreatment [6].

71 Observational studies are especially important for the comparison of different treatment protocols
72 and organizations, and for resource allocation. However, the evaluation of real world studies is
73 made complicated by several issues. These include the amount of data recorded, the validity of the
74 data, the representativeness of the study sample and the impact and statistical handling of patients
75 lost to follow-up [7].

76 In this manuscript, we describe the outcome of a cohort of patients with the first anti-VEGF injection
77 given in the year 2012, with a follow-up for up to 4 years. Since our hospital is the only organization
78 giving public treatments for nAMD and long-term private treatments are rare in our region, we feel
79 that this report reasonably reflects the regional outcome of nAMD treatment.

80 In this report we paid special attention to the course of treatment outcome in the patients dropping
81 out before the end of the follow-up.

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86 MATERIALS AND METHODS

87 **Study design**

88 We analysed patients with nAMD with a treatment naïve eye given the first injection at the Helsinki
89 University Central Hospital between 1.1.2012 – 31.12.2012. One hundred ninety-six eyes of 196
90 patients were identified from the electronic medical records using the ICD10-code H35.31 for
91 wAMD. Altogether 86 eyes were excluded. The main reasons for exclusion were the anti-VEGF
92 injection given elsewhere (41 eyes), unconfirmed diagnosis (11 eyes) and prior vitreoretinal surgery
93 (9 eyes) (**Table 1**). In patients, who had nAMD diagnosis of both eyes, the first treated eye was
94 included, or if the treatment was initiated in both eyes at the same time, the right eye was chosen.

95

96 **Data collection**

97 Treatment decisions had been made by clinicians in routine practice, mostly using a PRN type of
98 protocol, in which an injection was given only when signs of neovascular activity (intra- or subretinal
99 fluid or bleeding) were present at a follow-up visit. Typically, the visit interval was 4 to 5 weeks.
100 Patients received either bevacizumab (Avastin, F Hoffmann-La Roche Ltd Switzerland), ranibizumab
101 (Lucentis, Novartis Pharma GmbH Nürnberg Germany) or aflibercept (Eylea, Bayer AG, Berlin,
102 Germany). Baseline data included date, patient age, sex, visual acuity, ETDRS score and AMD status
103 of the fellow eye. Fluorescein and indocyanine green angiographies were taken at baseline at
104 discretion of the treating ophthalmologist in 59% of the cases especially if the diagnosis of wAMD
105 was to be confirmed. Since almost half of the patients had not angiographies taken, and the
106 selection for angiography was not random, we did not analyse the outcomes in relation to
107 angiography results. At follow-up visits, we recorded the date, visual acuity, the drug injected,
108 possible complication of intravitreal injection, and the cause for ending the follow-up at the last
109 visit. Part of follow-up visits were conducted in an outsourced unit using Snellen tables, converted
110 to ETDRS scores for analysis. Data were collected until March 2016.

111 The study was approved by the local ethics committee and adhered to the tenets of the Helsinki
112 declaration.

113

114 **Outcome measures**

115 The main outcome measure was the change of visual acuity from baseline. Other measures included
116 the number of injections with respect to completed years of follow-up, stability of visual acuity with
117 respect to baseline visual acuity, proportion of patients with different visual thresholds at the end
118 of follow-up in different follow-up groups; timing and severity of permanent visual loss below
119 baseline level; and timing and causes of treatment drop-out. VA at the different corresponding time
120 points were analysed in groups, based on the eventual total treatment time of a patient, grouped
121 as follows: G1: dropout during the first year, G2: dropout during the second year, G3: dropout
122 during the third year and G4: dropout or study end during the fourth year. We also measured
123 annually the change in central foveal thickness from baseline and the presence of subretinal fluid
124 (SRF), intraretinal fluid (IRF) and pigment epithelial detachment (PED) at baseline and at last follow-
125 up.

126

127 **Statistical methods**

128 Visual acuity between groups were analysed using ANOVA with post hoc tests.

129 For the analysis of the visual outcome and the role of the initial VA response, all patients at a time
130 point were analysed, or patients were divided into the 4 groups (G1 to G4) based on their follow-up
131 periods for analysis. The initial VA response was taken from the closest VA value available within 6
132 months from the initial injection. The actual median interval from the first injection to the initial VA
133 response time point was 22.7 weeks (5.8months), range 10.0 to 25.9 weeks. The median number
134 of injections before the timepoint was 5 (range 2 to 7). The early and late VA responses were saved
135 as a binary variable with 1 indicating improvement over the baseline measurement and 0 indicating
136 VA value of equal to or worse than baseline VA. The late VA response was computed similarly at the
137 end of each year for patients in each follow-up group. Logistic regression was performed with late
138 VA response as dependent variable and baseline age, gender, baseline VA measurement and early
139 VA response as covariates. Point estimates and confidence intervals of all covariates were reported
140 for each follow-up group for every year.

141 Since the sample size is small with 110 patients divided into 4 groups and 4 years, there was a
142 possibility of small sample bias while performing logistic regression. In case of small-sample bias,
143 log-likelihood may become infinite, and estimate may not exist. Various penalties or corrections to

144 likelihood and score functions are suggested in literature to handle small sample bias. We used
145 maximum penalized likelihood with powers of the Jeffreys prior as penalty to overcome the problem
146 of infinite log-likelihood [9]. R function 'glm' was used for performing logistic regression and the R
147 package 'brglm2' was used for applying maximum penalized likelihood when 'glm' leads to infinite
148 loglikelihood due to small sample bias.

149

150

151 RESULTS

152 **Baseline characteristics**

153 At baseline, 110 eyes of 110 patients were included in the study. 71 patients (65%) were female.
154 Median age at baseline was 80.4 years (SD 8.60). The proportion of right and left eyes as the study
155 eye was equal, 55/55. Thirty-five patients (32%) were non-smokers, 9 patients (8%) currently
156 smoked and 33 (30%) were ex-smokers. Data could not be obtained from 33 patients (30%).

157 Mean baseline ETDRS score was 56 (SD \pm 16). Baseline EDTRS level was <40, 40-54, 55-70 and >70
158 in 22 (20%), 26 (24%), 37 (34%) and 25 (23%) patients, respectively (Fig 1).

159

160 **The follow-up and injections**

161 Mean total follow-up time per patient was 32.1 months (SD 14.1). Mean visit interval was 40.0 days
162 (SD 12.4). Proportion of patients remaining was 91% (n=100) at 12 months, 69% (n=76) at 24 months
163 and 49% (n= 54) at 36 months. Eleven percent (n=12) of the patients were treated during the 4th
164 year. Thus, the cumulative percentages of patients lost to follow-up were 9% at 1 year, 31% at 2
165 years and 51% at 3 years.

166 Causes for discontinuing treatment were lack of response to treatment (n=18), inactive disease for
167 12 months (n=15), other serious medical condition (n=9), not appearing at follow-up visits (n=3),
168 death (n=7) or patient willing to give up treatment (n=4). In the patients discontinued for lack of
169 response, the median baseline VA was 39 letters (range 19 to 68), and the corresponding values at
170 the last follow-up were 21 (0 to 46) All of these patients were treated with bevacizumab. Aflibercept
171 was not widely available at that time.

172 The mean number of injections (corrected to a full year value for the last year of follow-up) given
173 was 7.7 (2.5 (SD)), 4.8 (3.8), 6.0 (3.4) and 7.5 (3.6) during the first, second, third and fourth years
174 (**Table 2**). The proportion of anti-VEGF agents injected were: 82.5% bevacizumab, 3.5% ranibizumab
175 and 14.0% aflibercept. The proportion of patients who had an injection with the respective anti-
176 VEGF agents at least once were 97.0 %, 5.5 % and 30.0% for bevacizumab, ranibizumab and
177 aflibercept. This distribution of anti-VEGF agents reflects the practice still used in Finland with
178 bevacizumab being the primary agent and aflibercept being used if a satisfactory response is not
179 obtained with bevacizumab. Ranibizumab is generally used in patients developing uveitis or
180 intolerance to the two other compounds [8]. There were no cases of endophthalmitis, traumatic
181 cataract or retinal detachment. In two injections, there was a temporary intraocular pressure
182 elevation above 40 mmHg, with pain or acute visual decline. In both cases, the intraocular pressure
183 elevation resolved with topical hypotensive medications.

184 The mean central foveal thickness (CFT) was 443 (SD 218) μ at baseline. During follow-up the
185 difference of CFT to baseline was -130 (174) μ at one year and -146 (188) μ , -138 (160) μ and -154
186 (180) μ at the second, third and fourth years, respectively. At baseline 82/107 (78%) patients had
187 subretinal fluid (SRF), 55/107 (51%) had intraretinal fluid (IRF) and 97/108 (86%) had a pigment
188 epithelial detachment (PED, including all elevations of the pigment epithelium, with or without
189 fluid). At the last follow-up the corresponding proportions were 25/107 (23%) for SRF, 42/107 (39%)
190 for IRF and 93/108 (86%) for PED.

191

192 **Visual outcome**

193 The mean visual acuity of all patients at baseline was 56.3 (SD 16.2, n=110) letters. The mean last
194 VA of the first year was 59.7 (20.2, 110). The corresponding values for the second, third and fourth
195 years were 60.8 (20.6, 99), 60.0 (19.0, 72) and 59.7 (19.3, 54).

196 The mean difference between the baseline VA and the last VA measurement of each year was +3.39
197 (SD 14.6) in the first year, +3.59 (17.6) in the second year, +0.08 (18.9) in the third year and +3.08
198 (14.3) in the fourth year.

199 We also calculated the difference to baseline VA from the individual mean of all VA measured during
200 each year. The letters gained were +4.14 (SD 11.0) the first year, +3.92 (15.1) the second year, +2.29
201 (15.7) the 3rd year and +3.01 (14.3) the fourth year.

202 The visual acuity changes at the different corresponding time points were also analysed in the
203 follow-up time cohorts G1 to G4. The difference (mean) of the last VA measurement compared to
204 baseline was -8.1 letters (SD 10.7) in G1, -1.22 letters (18.8) in G2, -7.2 letters (20.9) in G3 and +3.0
205 letters (14.3) in G4. Although the G4 tended to have a better VA value, the differences were not
206 statistically significant (ANOVA). It should be noted that all the values for G1 to G3 were those
207 obtained at dropout, whereas G4 included also values obtained at study end.

208 When the difference to baseline was calculated from the mean of all VA: s measured each year the
209 difference was -6.9 (SD=10.2) in G1, +0.18 (16.3) in G2 -4.85 (18.2) treated in G3 and +3.3 (14.4) in
210 G4.

211 The actual last VA: s and the change from baseline show a trend of VA values being lower in the
212 shorter follow-groups and tending to be worst at the time of the final VA assessment (**Figure 1**).

213 The last VA improved above baseline level in only 2.7% of patients with a baseline VA of <35 letters.
214 The corresponding percentages for patients with baseline visual acuity of <59, <70 and <80 were
215 17.3%, 22.7% and 10.9%, respectively. Most of the improvements occurred in the patients within
216 the longer follow-up groups (**Table 3**).

217 Length of follow-up seems to correlate with permanent visual acuity worsening. Patients with
218 shorter follow-up times had earlier drops under the thresholds (baseline, >5 letter loss and >15
219 letter loss). Vice versa, patients with >3 years' follow-up time had smallest proportions in each
220 category, as shown in **Figure 2**.

221 When all patients were analysed, the early VA response was associated with later VA outcome
222 during the first 3 years (**Table 4**). Baseline VA, sex or patient age did not significantly predict the
223 outcome. A similar pattern was observed when the different follow-up groups were analysed
224 separately, but the effect attenuated during a longer follow-up (**Figure 3**).

225

226 DISCUSSION

227

228 The Helsinki-Uusimaa hospital district is the sole payor of publicly funded nAMD treatments in the
229 region. Comprehensive private health insurance is uncommon, and practically all nAMD patients

230 ultimately enroll into our program. However, some patients are willing to take the first injection
231 privately in order to ensure an earlier start of the treatment regimen. Such patients contributed to
232 the 41 subjects excluded from the study due to having received anti-VEGF injections elsewhere. It
233 is possible that their treatment outcome was different to those of the included subjects. With this
234 reservation, we feel, however, that our material reasonably describes the nAMD treatment results
235 in our region.

236 The baseline mean visual acuity of 56.3 (16.2) letters was typical for real-world studies, although
237 also higher values have been described Gillies et al [10].

238 Our overall visual results of VA +3.39, +3.59, +0.08 and +3.08 letters above baseline at years 1, 2,3
239 and 4, respectively, are in the range reported earlier in studies on real-life AMD treatments. Kim et
240 al. [11] reported in a meta-analysis a mean improvement of +5.0, +3.0 and +1.1 letters above
241 baseline after years 1,2 and 3, respectively. The large UK EMR users group study [4] reported a
242 change from a baseline +2, +1 and -2 at the same time-points, starting from a baseline value of 55
243 letters, close to our baseline value of 56 letters. A recent large Danish study by Brynskov et al [12]
244 reported VA improvement of +0.7, -1.0, -1.8 and -2.4 letters, at year 1, 2, 3 and 4, respectively, after
245 PRN treatment with ranibizumab or aflibercept. However, in the recent Moorfields cohort study, VA
246 improvement of +5.5, and +4.9 letters above baseline after the first and second years were
247 reported [15]. These differences may reflect baseline lesion variations, the drugs used or injection
248 protocols. We do not have representative data on angiographic types of choroidal
249 neovascularisation (CNV) in our patients. However, a previous study on Finnish AMD patients
250 showed a lesion type distribution similar to other reports on caucasian subjects (Seitsonen et al [13],
251 Invernizzi et al[14]).

252 We performed multiple logistic regressions to study the effect of initial VA response on later
253 response after 1, 2, 3 and 4 years.

254
255

256 We observed that patients with an early improvement of VA were more likely to retain a VA value
257 better than that before treatment, in agreement with earlier reports [16]. However, this effect
258 appeared to be attenuated during longer follow-up as shown by the coefficients of initial response
259 in the later logistic regressions. This fading of the initial response effect with the longer follow-up

260 time may be due to late recoverers [17] or due to tissue changes such as atrophy or fibrosis
261 developing in a longer follow-up, and not directly related to excess VEGF.

262 During the four year follow-up, a significant number of patients dropped out of treatment, with the
263 cumulative percentage of patients terminating follow-up and treatment being 9% at 1 year, 31% at
264 2 years and 51% after three years, findings in agreement with the report by Brynskov et al [12]. In
265 previous studies, dropout rates from 17% up to 34% have been reported after 1 year [4, 18, 19], 16
266 % up to 47 % after 2 years [6, 20, 21], up to 47% after 4 years [22] and up to 54% after 5 years [10].
267 Twelve of our patients (15%) were not followed further after having an inactive lesion for 12
268 months. This in agreement with Amarakoon et al [23], who reported 19% of lesions to be inactivated
269 with one-year PRN treatment to keep inactive for at least 12 months. The extended course of these
270 patients in our material is not known.

271 Anyhow, it can be questioned, whether it is meaningful to consider the longer term annual VA
272 reports as a treatment outcome, since the population being treated e.g. at the first year is quite
273 different to that treated during the fifth year.

274 We analyzed our patients as subcohorts based on the eventual follow-up. We found the early and
275 late treatment responses to be generally worse in those dropping out, compared to patients treated
276 for more than three years, findings in agreement with the one and two year follow-up results of
277 Fasler et al [15].

278 In observational study materials the recorded VA seems to fluctuate from one visit to another. This
279 may be due to the patients' state of alertness at the recording of the VA, and the time allowed for
280 the patient to recognize the letters. To evaluate the timing or significant changes in visual acuity we
281 also looked at the timing of permanent major changes in VA. The rate of permanent visual loss was
282 linear in all groups up to the last months before dropping out. The follow-ups seemed to terminate
283 in each group when 30 to 40 percent of subjects had reached a major visual acuity decline threshold.
284 We could also confirm the predictive value of early VA response to treatment on later course of
285 visual acuity, in accord with earlier studies [16].

286 Since the time-points of VA evaluation vary in real-life treatment, the time point chosen for analysis
287 also has significance. We chose the last value in the time interval to be reported, to get a value
288 closest to end of the period. Another possibility would have been to use annual means, which we
289 employed for comparison for some reporting, with possibly more stable values. It appeared

290 especially in the groups dropping out early that the last value was much worse than the annual
291 mean. This phenomenon makes the overall results look worse and affects the whole follow-up
292 especially if 'the last observation carried forward'-procedure is used in reporting.

293 The treatment protocol used in our patients was generally a modified PRN, widely used in our
294 country at the time of the study. The visit intervals were adjusted according to the previous interval
295 and response, in which an injection was given only when activity was present. It is conventional to
296 give loading dose of three monthly injections, but it is at the discretion of each clinician. Currently,
297 our center follows a modified treat-and extend strategy requiring somewhat less frequent patient
298 visits. It is difficult to tell whether the results would have been different had a more strict treat and
299 extend protocol been employed. Nevertheless, the injection protocol resembled those reported in
300 treat-and extend protocols.

301

302

303 CONCLUSIONS

304

305 Our results show that data from real world nAMD treatment consist of subpopulations with differing
306 visual courses and treatment times. This highlights the challenges in comparing different treatment
307 protocols, treatment centers and medications. The patients with shorter duration of follow-up had
308 lower baseline VA and earlier decline in VA. Final visual acuity scores were also lower in groups with
309 shorter follow-ups. The initial VA response predicted later VA. New methods, taking also into
310 account patients with interrupted treatment, need to be developed for these comparisons.

311

312 **Acknowledgement**

313 Dr Jia Liu contributed to the study while staying at The Department of Mathematics and Statistics,
314 University of Helsinki. We are grateful for advice and discussions with Docent Dario Gasparra, Ph.D,
315 The Department of Mathematics and Statistics, University of Helsinki.

316

317 **Statement of Ethics**

318 The study protocol was reviewed and approved by the Operative Ethics Committee of the Helsinki
319 University Hospital (approval number 6/13/03/02/2014). Consent to participate statement was not
320 required, because data were collected from patient record only. The study was conducted ethically
321 in accordance with the Declaration of Helsinki.

322

323 **Conflict of Interest Statement**

324 Terhi Ollila has given one presentation, which was paid by Bayer in 2017. All other authors have no
325 conflicts of interest to declare.

326

327 **Funding Sources**

328

329 Supported by grants from The Finnish Eye Foundation, Helsinki, Finland (Ollila); The Eye and Tissue
330 Bank Foundation, Helsinki, Finland (Ollila); Finnish Ophthalmological Society, Helsinki, Finland
331 (Ollila); The Evald and Hilda Nissi Foundation, Helsinki, Finland (Ollila, Silvennoinen, Immonen);
332 Helsinki University Hospital (HUS) research funds (Immonen, Liu, Silvennoinen); Academy of
333 Finland, Research mobility grant (Joshi) and Professor Sangita Kulathinal's start-up grant
334 (Silvennoinen).

335

336

337 **Author Contributions**

338 Terhi Ollila: data collection, interpretation of data, literature review, writing the article

339 Juuso Silvennoinen: analysis and interpretation of data, drafting of the article

340 Ashwini Joshi: analysis and interpretation of data, drafting of the article

341 Jia Liu: analysis and interpretation of data, drafting of the article

342 Sangita Kulathinal: analysis and interpretation of data, drafting of the article

343 Ilkka Immonen: design of the work, analysis and interpretation of data, drafting of the article

344

345 **Data Availability Statement**

346 Data are not available publicly to avoid compromising the privacy of the patients. Enquiries
347 regarding the data can be directed to the corresponding author.

348

349

350

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452 LEGENDS TO FIGURES

453

454 Legend to Figure 1:

455 Shorter follow-up time seems to be associated with lower visual acuity at baseline and at follow-up
456 visits. Mean visual acuity calculated from the last year's follow-up visits, appears lower than in the
457 preceding years.

458 ANOVA indicated a difference in year 1 ($p = 0,002$), year 2 ($p = 0.01$) and year 3 ($p = 0.03$) scores
459 compared to baseline. Post hoc analysis suggested a groupwise difference to baseline of the <1 year
460 and >3 years treated groups at the first year ($p 0.005$); and 2-3 years and >3 years treated groups at
461 the second ($p 0.03$) and third years ($p 0.03$).

462 Red asterisk represents mean, black transversal line median and grey dots outliers.

463

464 Legend to Figure 2:

465 Patients in the longest follow-up group tend to have less permanent visual acuity loss in all
466 categories (visual acuity worsening below baseline level, at least five ETDRS letter loss, or least 15
467 ETDRS loss). Up to 30 % of patients followed up for > 3 years had permanent loss of visual acuity
468 below baseline level. Up to 20 % experienced loss of >5 letters and 15 % > 15 letters. The
469 proportions are higher in other groups, especially within patients of <1 year follow-up. Permanent
470 visual acuity loss is defined as ETDRS score permanent decrease below a threshold, and not
471 improving above the threshold during follow-up.

472

473 Legend to Figure 3:

474 Initial response to treatment shows correlation with better outcome. Sex or patient age seem not
475 to have strong effect on good outcome, which was here defined as patients with VA above baseline
476 at last visit. Initial VA response was defined as improvement of VA above baseline at six months.
477 The graphs present annual results of different follow-up groups. Interpretation; to the right of 0:
478 association with better results for females (sex) and associated with a better final VA (other
479 parameters).

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482 Word count: 3520 words