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Formation and Reactivity of a Fleeting Ni^{III} Bisphenoxyl Diradical Species

Ayushi Awasthi, Isaac F. Leach, Silène Engbers, Rakesh Kumar, Raju Eerlapally, Sikha Gupta, Johannes E. M. N. Klein,* and Apparao Draksharapu*

Abstract: Cytochrome P450s and Galactose Oxidases exploit redox active ligands to form reactive high valent intermediates for oxidation reactions. This strategy works well for the late 3d metals where accessing high valent states is rather challenging. Herein, we report the oxidation of Ni^{II}(salen) (salen = N, N'-bis(3,5-di-tertbutyl-salicylidene)-1,2-cyclohexane-(1R,2R)-diamine) with mCPBA (meta-chloroperoxybenzoic acid) to form a fleeting Ni^{III} bisphenoxyl diradical species, in CH₃CN and CH₂Cl₂ at -40 °C. Electrochemical and spectroscopic analyses using UV/Vis, EPR, and resonance Raman spectroscopies revealed oxidation events both on the ligand and the metal centre to yield a Ni^{III} bisphenoxyl diradical species. DFT calculations found the electronic structure of the ligand and the dconfiguration of the metal center to be consistent with a Ni^{III} bisphenoxyl diradical species. This three electron oxidized species can perform hydrogen atom abstraction and oxygen atom transfer reactions.

Introduction

The efficacy of the various metalloenzymes as biological catalysts depends upon the interaction between the metal and its chemical environment.^[1] Traditionally, ligands play a spectator role, with the metal being the center of reactivity. However, advancements have established the use of redoxactive ligands that can participate in redox events to form radical species.^[2] Such systems where both ligand and metal

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◎ 2022 The Authors. Angewandte Chemie International Edition published by Wiley-VCH GmbH. This is an open access article under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited. centers contribute to the redox properties of the complex have attracted considerable interest over the past decade since they can facilitate vital multi-electron transformations that are otherwise difficult to achieve.^[3] For instance, Compound I in heme-containing cytochrome P450s (CYPs), Figure 1a, responsible for hydroxylation of C–H bonds, is a Fe^{IV}=O species with an additional oxidizing equivalent resonating over the porphyrin and thiolate ligands in the form of a radical.^[4] The copper containing enzyme galactose oxidase (GO), Figure 1b, catalyzes the two-electron oxidation of primary alcohols to aldehydes and subsequently reduces dioxygen to hydrogen peroxide. Both a single electron transition from the Cu¹/Cu^{II} redox couple and the tyrosine radical cross-linked to cysteine in its ligand framework provide the necessary two oxidizing equivalents.^[1-3,5]

More broadly, numerous bioinspired routes have been investigated to gain an in-depth understanding of the enzymatic systems. Such approaches are often used to generate high valent late 3d-metal species.^[6] High valent Ni species are often proposed to be key intermediates in oxidation^[7] and coupling^[8] reactions. For example, spectro-



Figure 1. Metalloenzymes with redox active ligands: Compound I in heme-containing a) cytochrome P450s and b) galactose oxidase (GO). c) Scheme depicting oxidation species known with 1 to date. E_{ox} — Electrochemical oxidation.

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scopic and reactivity studies indicate the involvement of the + III oxidation state of nickel in form of Ni^{III}-chloride,^[9] Ni^{III}-oxide/hydroxide,^[10] and Ni^{III}-bicarbonate.^[11] The formation of a high valent Ni^{III}-oxyl radical species via reaction of *m*CPBA (*m*-chloroperbenzoic acid) with a Ni^{II} complex bearing a macrocyclic dianionic bisamidate ligand has been reported for C–H oxidation.^[12] Hence, accessing oxidation states beyond Ni^{III} may be considered challenging.^[13] We thus envisioned that using redox-active ligands, analogous to metalloenzymes in nature, could allow us to generate Ni complexes bearing more oxidative equivalents than the + III oxidation state permits. Pairing a Ni^{III} species with one- and two-electron oxidized ligands reflects oxidative equivalents of formal Ni^{IV} and formal Ni^V species, respectively.

We therefore sought to explore the oxidation chemistry of a Ni^{II} complex bearing the dianionic, redox active, Jacobsen salen ligand, Ni^{II}(salen) (salen = N,N'-bis(3,5-di*tert*-butyl-salicylidene)-1,2-cyclohexane-(1R,2R)-diamine),

 $[1^{II}-L]$ or simply 1 (Figure 1c). While the electrochemical oxidation of square planar Ni^{II}(salen) to Ni^{III}(salen) has been reported,^[14,15] it was later found that the center of oxidation could be shifted from the metal to the ligand by minimal changes in the experimental conditions. Specifically, in non-coordinating (CH₂Cl₂) and weakly coordinating solvents (CH₃CN), ligand oxidation is preferred, whereas, with coordinating solvents (DMF, Pyridine, DMSO), the oxidation takes place at the metal center.^[16] The behaviour of 1 was investigated by spectroelectrochemical studies in CH₂Cl₂, demonstrating the formation of a Ni^{III} phenolate species, [1^{III}-L]. The EPR study of this electrochemically generated species showed a temperature-dependent equilibrium between [1^{III}-L] and a Ni^{II} phenoxyl radical species, [1^{II}-L[•]].^[17] [1^{II}-L[•]] was later isolated and characterized crystallographically. EPR analysis of [1^{II}-L[•]] in CH₂Cl₂ showed an isotropic signal with $g \approx 2.0$, typical for a Ni^{II} phenoxyl radical species at all temperatures.^[18] However, these changes were ascribable to the use of a different oxidative procedure. Interestingly the formation of a Ni^{II} bisphenoxyl diradical species, [1^{II}-L^{••}], was reported by treating 1 with 2 eq. aminium radical cation $[N(C_6H_3Br_2)_3]^+$ in CH_2Cl_2 (Figure 1c).^[22] Since the precursor ([1^{II}-L], or simply 1 as in Figure 1c) is a neutral complex, the charge of $[1^{II}-L^{\bullet}]$ and $[1^{II}-L^{\bullet\bullet}]$ is +1 and +2, respectively. Please note that in our compact notation, charges are omitted for brevity.

Herein, we used *m*CPBA to oxidize 1 at -40° C. Electrochemical studies, corroborated with UV/Vis, EPR, and resonance Raman spectroscopy, led us to propose the formation of an intriguing Ni^{III} bisphenoxyl diradical species, [1^{III}-L^{••}]. A detailed Intrinsic Bonding Orbital (IBO) analysis based on DFT calculations supports the picture of two-electron oxidation of the ligand and one-electron oxidation of the nickel center. [1^{III}-L^{••}] was investigated towards hydrogen atom abstraction (HAA) and oxygen atom transfer (OAT) reactivity.

Results and Discussion

The electrochemical properties of **1** were investigated in CH₃CN at different scan rates (Figures 2a and S1). **1** exhibits two reversible redox waves at $E^{1}_{1/2}=0.82$ and $E^{2}_{1/2}=1.12$ V vs. Ag/AgCl. Shimazaki and co-workers report that the two redox reversible waves can be assigned to Ni^{•,II/II} and Ni^{••,II/•,II} redox events, respectively.^[22] However, in addition to two reversible redox waves, we also observed an irreversible oxidation wave at $E_{\rm p,a}=1.52$ V vs. Ag/AgCl in the cyclic voltammogram and differential pulse voltammetry (DPV) studies (Figure 2b). Since both the phenolate rings and the metal center in **1** are susceptible to oxidation, this preliminary information points towards the formation of a Ni^{III}-diradical species.

As indicated in previous studies, the use of Ce^{IV} or AgSbF₆, and $[N(C_6H_3Br_2)_3^{\bullet}]^+$ (magic blue), as one-electron oxidants with **1**, made the one and two-electron oxidized complexes accessible, respectively.^[17,22] However, the additional redox event we observed in the cyclic voltammetry (Figure 2a) steered us towards employing a different approach. Specifically, we followed the oxidation of **1** by *m*CPBA in CH₃CN and CH₂Cl₂ at $-40^{\circ}C$ via UV/Vis absorption spectroscopy.

The initial Ni^{II} complex exhibits a band at 416 nm along with a shoulder at 460 nm in CH₃CN, typical for Ni^{II} salen complexes (Figure 3a). The addition of mCPBA to 1 resulted in an increase in the unique absorption bands at 440 nm to form an orange-colored species (Figure 3a). In addition to the 440 nm band, a low energy Near IR transition at 1000 nm suggests the presence of a phenoxyl radical in the system in accordance with the literature.^[17,22] The yield of this orange-colored species was observed to be dependent on the concentration of mCPBA, saturating at 10 eq. (Figures 3b and S3) with $\epsilon_{440nm} \approx 18\,000 \text{ M}^{-1} \text{ cm}^{-1}$. However, for the present study, 7 eq. mCPBA was chosen to be the optimized condition (Figure S4). The 440 nm species is metastable at -40 °C having a $t_{1/2}$ value of 50 min (Figure S5), which decays with increasing temperatures. It is also notable that an intense band with a molar absorptivity 18000 M⁻¹ cm⁻¹ is different from the reported molar absorptivity values of the mono- and bis-phenoxyl radical species, i.e., [1^{II}-L[•]] and [1^{II}-L^{••}].^[17,22] Based on the electrochemical



Figure 2. a) Cyclic voltammogram at a scan rate of 100 mV s⁻¹ and b) DPV of **1** in CH₃CN at room temperature. Potentials are referenced vs. Ag/AgCl. *Conditions used*: 0.4 mM **1** in CH₃CN and 100 mM of tetran-butylammonium perchlorate (TBAClO₄) as the supporting electrolyte.

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Figure 3. a) Absorption spectra of 0.05 mM 1 on the addition of 7 eq. *m*CPBA turning to 440 nm species in CH₃CN at -40° C; 0 s (black, 1), 20 s (green), 30 s (purple), 42 s (violet), 65 s (pink) and 170 s (orange). b) Absorption changes followed at 440 nm upon adding various equivalents of *m*CPBA to 1 (0.05 mM in CH₃CN) as indicated in the legend.

analysis (see above) and the high molar absorptivity, we hypothesize that this absorption band originates from a species containing more than two oxidizing equivalents. The same reaction was performed in CH_2Cl_2 and observations were indistinguishable from those of CH_3CN (Figures S6 and S7). However, in DMF, the addition of *m*CPBA did not show any change in the spectrum, indicating the unreactive nature of **1** in DMF (Figure S8).

To estimate the number of oxidizing equivalents present in the 440 nm absorbing species, we titrated it with ferrocene (Fc) and acetyl ferrocene (AcFc). Our electrochemical analysis of 1 in CH₃CN revealed three oxidation events occurring at a potential higher than that of Fc (0.36 V vs. Ag/AgCl). In principle, the ferrocenium equivalents generated upon the reaction of the 440 nm species with ferrocenes allow us to estimate the number of oxidizing equivalents incorporated. When 3 eq. Fc were added to the 440 nm absorbing species, 2.4 eq. ferrocenium ions were observed (Figures S9 and S10). The addition of similar equivalents of AcFc to 440 nm species resulted in 2.4 eq. acetyl ferrocenium (Figure S11). These observations, along with the concomitant regeneration of $\approx 80\%$ concentration of the starting Ni^{II} complex 1, suggest that 1 has gained three oxidizing equivalents upon reaction with 7 eq. mCPBA (see below).

In both cases, the number of ferrocenium equivalents corresponds to three oxidative equivalents per complex, in line with an assignment of [1^{III}-L^{••}], [1^{IV}-L[•]], or [1^V-L]. None of the available studies on Ni as the central metal ion have outlined the appearance of a Ni^V species thus far. In the present study, the redox-activity of the salen ligand makes a Ni^V assignment even less likely. Hence, assigning the 440 nm absorbing species as [1^V-L] can be excluded. Formation of [1^{III}-L^{••}], via oxidation with 2 eq. magic blue, revealed that the salen ligand is readily oxidized.^[22] As a result, the assignment of the 440 nm species as [1^{IV}-L[•]] can also be excluded. Based on the above observations, we propose that two of the oxidizing equivalents reside on the phenolate rings of the ligand. Since the formed 440 nm absorption

band with high molar absorptivity is unique, we believe that the Ni^{II} center is oxidized to Ni^{III}, leading to a species possessing a total of three oxidizing equivalents.

To further support our assignment, X-band EPR spectra were recorded at -150 °C for the 440 nm species in CH₂Cl₂ and CH₃CN (Figures 4a and S12). A rhombic signal with g =2.29, 2.23, 2.02 and another isotropic signal with $g_{iso} = 2.005$ were found. The previously reported [Ni(3,5-Cl₂saloph)]⁺ $(3,5-Cl_2 saloph = bis(3,5-dichlorosalicylaldehyde)-o-phenyle$ nediimine) exhibits a rhombic signal with $g_r = 2.238$, $g_v =$ 2.204, and $g_z = 2.023$ in DMF, but $g_x = 2.234$, $g_y = 2.204$, and $g_z = 2.020$ in DMSO, consistent with a Ni^{III} species.^[23] A study on 1 reported $g_{avg} = 2.17$ for [1^{III}-L], of an S=1/2 species at temperatures below -120 °C in CH₂Cl₂, and at all temperatures in DMF.^[17] However, reported EPR spectra of $[1^{II}-L^{\bullet}]$ in CH₂Cl₂ at temperatures above -100 °C show an isotropic signal at $g_{iso} = 2.04$.^[17] After isolation of the species, the same isotropic signal was found. $^{[18]}$ Furthermore, $[1^{II}\text{-}L^{\bullet \bullet}]$ is reported to be EPR silent due to two antiferromagnetically coupled electrons.^[22] The existing literature hence includes both a metal-centered oxidized species, [1^{III}-L], and the ligand oxidized species, [1^{II}-L[•]], which can be interconverted by minor changes in either the temperature or solvent. Our EPR spectrum of the three electron oxidized species (Figure 4a) shows an isotropic signal at $g_{iso} = 2.005$, which is expected for [1^{II}-L[•]] at the first instance. However, the different UV/Vis absorption bands, with \approx 3 eq. ferroceniums and the concomitant regeneration of 80 % 1 suggest the formation of a three electron oxidized species. Therefore, the isotropic signal in the present case can be assigned to [1^{III}-L^{••}], where three unpaired electrons are antiferromagnetically coupled, resulting in an effective S = 1/2electron localized on one of the phenolate rings of the ligand. In addition, the appearance of a rhombic signal bearing a $g_{avg} = 2.19$, which is slightly different from the reported [1^{III}-L], can also be assigned to a [1^{III}-L^{••}] species,



Figure 4. a) X-band EPR of $[1^{III}-L^{\bullet *}]$ at -150 °C. Condition to generate 440 nm species: 2 mM 1 + 7 eq. mCPBA in CH₂Cl₂ at -40 °C. Modulation amplitude 1.98 G; Modulation frequency 100 KHz, and Attenuation 20 dB. Simulation details: Red: $g_x = 2.29$, $g_y = 2.23$ and $g_x = 2.02$, $g_{avg} = 2.19$ and Blue: $g_{iso} = 2.005$. b) Resonance Raman spectrum of $[1^{III}-L^{\bullet *}]$ at λ_{exc} 473 nm in CH₂Cl₂, at -80 °C.

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with the effective S = 1/2 electron residing on the metal center. Time-resolved EPR spectroscopy clearly shows the formation and decay of these species in the same timescale as observed by UV/Vis spectroscopy (Figure S12b). Hence, EPR suggests the coexistence of a species with an unpaired electron localized on the Ni and a species with a ligand localized radical. The presence of a ferromagnetically coupled system can be excluded since it would give an S = 3/2 species with a higher g value than what we observed in the current case. DFT studies further supported this analysis (see below), where configurations with Ni-centered and ligand-centered effective spin were observed to be close in energy.

Resonance Raman (rR) spectroscopy was employed to probe the nature of the 440 nm electronic transition and the existence of a diradical species. The rR spectrum of [1^{III}-L^{••}] at λ_{exc} 473 nm revealed several resonantly enhanced bands (1640-800 cm⁻¹) that originate from the salen ligand (Figures 4b and S13).^[24] Notably, the bands at 1524 & 1551 cm⁻¹ and 1596 & 1636 cm⁻¹ fall in the range of C-O and C=C stretches of the ligand, respectively. These are indicative of the existence of a phenoxyl radical. It was reported that oxidation of the ligand results in a decrease of the Raman shift of the C=C stretch from 1627 cm^{-1} to 1579 cm^{-1} , upon oxidation of $[1^{II}-L]$ to $[1^{II}-L^{\bullet\bullet}]$.^[17,22] In the current case, the band at 1596 cm⁻¹ was found to match this scenario. However, the band at 1636 cm⁻¹ is blue-shifted compared to the parent Ni^{II} complex 1 (1622 cm⁻¹). The two sets of signals were tentatively assigned to the two species (species 1: effective spin localized on ligand and species 2: effective spin localized on the metal) that exist in equilibrium, as suggested by our EPR and DFT studies (see below). Hence, rR indicates the existence of nickel-bound phenoxyl radicals in [1^{III}-L^{••}]. Moreover, the selective enhancement of the ligand vibrational modes suggests that the 440 nm band is due to salen ligand-to-metal charge transfer.

We computationally investigated the three-fold oxidized Ni species via DFT calculations combined with an Intrinsic Bonding Orbital (IBO)^[25] analysis. The structural parameters were optimized at the M06-L^[26]/def2-SVP^[27] level of theory, and the electronic structure was subsequently analyzed with the larger def2-TZVPP basis set. Assuming no axial ligands, our triply oxidized species has an intrinsic d^8 Ni^{II} configuration implying a three-fold ligand oxidation (Figure S14 for IBO analysis). This represents an apparent inconsistency with the measured EPR and rR spectra of the triply oxidized species, which indicated metal-based oxidation and an overall S = 1/2 spin state.

In order to address this, we also considered five- and sixcoordinate structures featuring hydroxide and acetonitrile ligands, which may be bound under the reaction conditions. Given the large positive charge of the triply oxidized complex, the anionic hydroxide is a likely candidate for the axial ligand, which we also probe through HAA reactivity, see below. With a hydroxide bound, we found an intrinsic d^7 Ni^{III} configuration (Figure 5), where two-electron oxidation of the ligand occurred together with one-electron oxidation of the metal center. The low spin (LS) state was found to be lower in energy than the intermediate spin (IS) by



Figure 5. IBO analysis of [1^{III}-L^{••}] bearing a hydroxide axial ligand in its lowest energy state (low spin). The metal-ligand σ-bonding orbitals are shown in the α-space (a), and the β-space (c). The intrinsic d⁷ configuration (b), comprised of $3 \times \alpha (\delta-IBO)$ (left) and $4 \times \beta (\delta-IBO)$ (right). Calculated with M06-L/def2-TZVPP/cPCM//M06-L/def2-SVP/ PCM, isosurfaces rendered in IboView v2021^[29] to enclose 80% of each orbital's electron density. Hydrogen atoms are omitted for clarity.

 $4.8 \text{ kcal mol}^{-1}$ (see Table S2 for the energetics of all species). Therefore, the calculated electronic structure is consistent with the S = 1/2 species measured spectroscopically, which we assign as [1^{III}-L^{••}]. The same conclusion for ligand and metal oxidation can be drawn when considering a neutral axial ligand, as in CH₃CN (Figures S16 and S17). Two energetically near-degenerate electronic solutions were found at the optimized LS geometry (Figure 6, for corresponding orbitals see Figures S22 and S23),^[28] both of which still correspond to intrinsic d^7 Ni^{III} configurations and differ only in spin coupling patterns (consistent with the EPR and rR data). Hexa-coordinated complexes featuring two axial ligands (hydroxide or CH₃CN) equally show electronic structures consistent with mixed metal and ligand oxidation (Figures S18–20)^[29] We note that computationally predicting a spin ground state can be challenging and, in several instances, can exhibit high sensitivity to the chosen func-





Figure 6. Spin density plots of the energetically near-degenerate solutions of five-coordinate [1^{III}-L^{••}] bearing an acetonitrile axial ligand: LS_A (A) and LS_B (B), calculated with M06-L/def2-TZVPP/cPCM//M06-L/def2-SVP/PCM in ORCA 5.0.1^[30,31]//Gaussian 16^[32] Positive spin density is depicted in green and negative spin density in purple (isosurface 0.004). Hydrogen atoms are omitted for clarity.

tional (see section 4.2.1 in the Supporting Information for more details). Nevertheless, these calculations are informative as they allow the implications of oxidation on the electronic structure to be probed. Specifically, these results show that no axial ligand binding in the triply oxidized species is inconsistent with the spectroscopic observations, implying that an axial ligand must be present.

[1^{III}-L^{••}] was tested for its efficiency towards HAA and OAT with different classes of substrates (Table 1). In the case of 2,4,6-tri-tert-butylphenol (2,4,6-TTBP), pseudo-firstorder rate constants were obtained (Figure S24). The reaction resulted in an exponential decay in the absorbance at 440 nm of [1^{III}-L^{••}] with an accompanied increase in the absorption bands at 385 nm, 403 nm, and 626 nm (Figure S26). The new absorption bands were found to be characteristic of the 2,4,6-TTBP radical species (2,4,6-TTBP^{•+}).^[34] The reaction of *m*CPBA alone with 2,4,6-TTBP did not proceed under the same conditions (Figure S27). The obtained value of k_{obs} varied proportionally with substrate concentration, leading to a second-order rate constant $(k_2/M^{-1}s^{-1})$ of 30 $M^{-1}s^{-1}$ (Figure S25). [1^{III}-L^{••}] is also capable of oxidizing thioanisole (Figures S28-S30) and PPh₃ (Table 1, Figures S31–S33), substrates typically used to probe OAT reactivity. The rate of PPh₃ oxidation is 215-fold higher than that of thioanisole. Under similar conditions, mCPBA alone gave only sulfoxide and Ph₃P=O (triphenyl phosphine oxide) with thioanisole and PPh₃ respectively, as the oxidized product leading to the background reactivity (Figure S30 and S33). However, the rate of consumption of [1^{III}-L^{••}] (monitored by UV/Vis spectroscopy) scales linearly with substrate concentration, indicating that although excess mCPBA is present, $[1^{III}-L^{\bullet\bullet}]$ does exhibit some oxidation reactivity with these substrates.

Table 1: Phenol O–H bond activation, HAA, and OAT reactivity of $[1^{III}-L^{\bullet\bullet}]$ in CH₃CN at -40 °C.

Class of reaction	Substrates	$k_2 [M^{-1} s^{-1}]$
O-H activation	2,4,6-TTBP	30
OAT reactivity	Thioanisole	0.88
	PPh₃	190
C–H activation	Xanthene	0.91

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The reaction of [1^{III}-L^{••}] with substrates bearing activated C-H bonds was also examined. The addition of different equivalents of xanthene (100-400 eq.) to the 440 nm species at -40° C resulted in the decay of its absorbance (Figures S34-S36), again obeying pseudo firstorder kinetics with k_2 of 0.91 M⁻¹s⁻¹. HPLC analysis showed the formation of xanthone as the oxidized product, which was seen to be absent in the blank reaction (Figure S36). The incorporation of oxygen in the oxidized product of xanthene indicates the presence of a oxygen derived axial ligand, most likely a hydroxide, in [1^{III}-L^{••}]. DFT calculations of such a species also provided an electronic structure description that is consistent with the experimental results (see above). To the best of our knowledge, no reactivity studies have been reported for the oxidized Ni salen species ([1^{II} - L^{\bullet}], [1^{II} - $L^{\bullet \bullet}$] or [1^{III} - $L^{\bullet \bullet}$]), which makes the present study crucial, since it shows that [1^{III}-L^{••}] could be used as the oxidant in reactions with substrates typically used for HAA and OAT reactions.

Conclusion

This study reports the accessibility of an unprecedented high valent Ni^{III} bisphenoxyl diradical species [1^{III}-L^{••}]. The presence of the dianionic and redox-active Jacobsen salen ligand enables the Ni^{II} precursor to incorporate three oxidative equivalents by acting as an electron reservoir. Electrochemical and spectroscopic studies (specifically: UV/ Vis, EPR, and rR), coupled with an IBO analysis based on DFT calculations, substantiate the formation of a fleeting Ni^{III} complex bearing a diradical salen ligand. [1^{III}-L^{••}] exhibits reactivity with ferrocenes, the trisubstituted phenol 2,4,6-TTBP, an activated C–H bond (in xanthene), and typical oxo transfer substrates (thioanisole, and PPh₃). We thus conclude that [1^{III}-L^{••}] has potential as an oxidant that incorporates multiple oxidative equivalents without the need to access a high valent state.

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Conflict of Interest

The authors declare no conflict of interest.

Data Availability Statement

The data that support the findings of this study are available in the Supporting Information of this article.

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