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Evaluation of deformation characteristics of brittle rocks beyond the limit of strength in the mode of uniaxial servohydraulic loading

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Abstract. One of the most reliable methods for assessing the physical and mechanical properties of rocks as a result of their destruction are laboratory tests using hard or servo-driven test presses. They allow to obtain reliable information about changes in these properties beyond the limit of compressive strength. The results of laboratory tests of rich sulfide ore samples are presented, which made it possible to obtain graphs of their extreme deformation. Both monolithic samples and samples with stress concentrators in the form of circular holes with a diameter of 3, 5 and 10 mm were tested. It was revealed that during the destruction of the samples, the modules of elasticity and deformation decrease by 1.5-2 times, and in the zone of residual strength – by 5-7 times.

Keywords: physical and mechanical properties; laboratory tests; extreme deformation; servohydraulic test presses; lateral deformations; modulus of elasticity; modulus of deformation

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Introduction. With an increase in the productivity of underground mining deposits development, in order to maintain the pace of production, it is necessary to open up new horizons, which are often deeper than existing ones. With an increase in the depth of development, the risks of complication of the geotechnical situation increase [1-3], which can manifest themselves in the form of increased rock pressure, including in a dynamic form [4-6]. For example, the depth of development of the Talnakh mines in some areas reaches more than 1000 m with a critical depth of rock-burst hazard of 700 m [7-9]. Accordingly, at such great depths and high stress values, the destruction of the marginal part is characteristic for the rock mass. It manifests itself potentially in a brittle form with the release of elastic energy in the form of a rock burst. Pillars become especially dangerous, since they take on an increased load from the overlying rock strata. In this case, shock-proof measures are used, the purpose of which is to form a local zone of compliance by inducing fracturing by a blasting method [10-12] or by gradual destruction of rocks caused by drilling a line of discharge wells [13, 14]. However, it is quite difficult to assess the change in the physical and mechanical properties of rocks in the resulting zones of compliance. Standard laboratory tests within the framework of GOST standards are aimed at studying the properties of only monolithic rocks, and the assessment of the rock mass disturbance by rating systems focuses more on natural fracturing [15-17]. One of the ways to estimate the change in the modulus of elasticity is to determine the velocity of propagation of longitudinal waves before/after the destruction of the rock mass [18, 19]. But the solution of such a problem may be complicated by the impossibility of elastic wave propagation through the destroyed areas of the rock mass.

With the widespread development of computer technologies, the use of mathematical modeling based on effective numerical methods of finite or discrete elements is prevalent [20-22]. The elastic-plastic models implemented in them make it possible to obtain information (with some assumptions) about the state of the rock mass (pillars) and the redistribution of stresses in it as a result of the destruction of the latter. However, reliable data on the properties of the material is needed to build adequate geome-chanical models. So, for ideal elastic-plastic models, it is necessary to know the following parameters: adhesion and the angle of internal friction (or the limits of tensile and compressive strength), modulus of elasticity, Poisson's ratio. For geomechanical models with residual strength, it is necessary to have an idea of the residual strength of rocks. For example, when using the RS2 (Rocscience) program, when developing an elastic-plastic model taking into account the Coulomb – Mohr criterion with residual strength, data from the passport of the residual strength of rocks are required [23, 24]. The frequently used Hook – Brown strength criterion additionally requires workings mapping data to assess the disturbance of the rock mass [25-27]. Therefore, the necessary initial data can be obtained only as a result of laboratory tests and field studies.

It is possible to evaluate the process of rock destruction only when modeling loading close to real conditions. For this purpose, it is possible to conduct sample preparation of cubic or cylindrical shape samples, to test under uniaxial compression conditions in accordance with GOST 21153.2 "Rocks. Methods for determining the ultimate strength in uniaxial compression". But in this case, the elastic energy accumulated by the press is released, which leads to the destruction of the sample with the fragments distribution. To avoid this, it is necessary to carry out tests on hard or servo-driven presses. In this case, it is possible to get a complete picture of the destruction of samples with the determination of the values of deformations beyond the strength limit of the rock. The methodological bases of such tests are presented in [28-30]. The presented methods have found their application for assessing the rock-burst hazard [31-33]. Such types of tests are very difficult to implement and require modern technological equipment.

A feature of testing on servo-driven presses is also the control of the growth rate of transverse deformations values, and, consequently, obtaining "loops" of decline and loading when leveling the deformation rate of the sample. However, this type of testing is laborious and time-consuming. Thus, in [30] it is indicated that when 70 % of the limit of strength is reached, it is necessary to control the loading by the values of transverse deformations, and the speed of loading by the press should ensure their growth rate of no more than 0.0001 mm/mm/s. A relatively easy-to-implement approach to assessing residual strength is presented in [34], which is more suitable for evaluating the rock mass of sides of open-pits than for underground mining conditions. This paper presents the results of tests for extreme deformation of rich sulfide ores of the Norilsk Industrial district to determine changes in deformation characteristics in the process of destruction. The absence of significant fracturing in the ore rock mass (Fig.1), combined with high hardness and a high brittleness coefficient (the ratio of the compressive



Fig. 1. Core of rich sulfide ore in contact with gabbro-dolerites 1 – mechanical damage to the core; 2 – natural cracks



strength to the tensile strength), ranging from 9-12 with low values of the compressive strength in the image, make this type of ore rock-burst hazardous.

Methodology. Samples from a core of rich sulfide ore were prepared for testing, the diameter of which was 45 ± 1 mm, the ratio of the sample height to diameter was 2:1. The samples were weighed, and non-destructive tests were carried out on them (determination of the propagation velocities of longitudinal and transverse waves and deformation characteristics). Deformation characteristics were determined using GOST 28985 "Rocks. Method for determining deformation characteristics under uni-axial compression" on the H100KU press, using LVDT sensors with an accuracy of 0.5 microns to assess changes in the measurement base during loading/unloading of the sample. In some samples, stress concentrators were created in the form of holes in the center of the longitudinal section of the sample. Samples were considered: standard cylindrical (without holes); with a hole Ø3; 5; 10 mm; with two holes Ø5 mm. Additionally, samples were made with two holes of Ø5 mm and a transverse crack simulating the unloading zone passing through these holes. The distance between the holes was assumed to be three of their diameters. After drilling, the samples with holes were repeatedly tested to determine the deformation characteristics (Young's modules and deformations).

For samples with a single hole of Ø3 and 5 mm, the results of repeated tests did not have significant discrepancies with the initial tests, which is explained by the different measurement base and the installation of sensors at different points. The initial data were accepted. The results of non-destructive testing of samples are presented in Table 1.

Table 1

Diameter, mm	Height, mm	Modulus of deformation, MPa	Modulus of elasticity, MPa	Modulus of deformation (holes), MPa	Modulus of elasticity (holes), MPa	Coefficient of transverse deformation	Poisson's ratio	Hole
44.62 44.54 44.63 44.58 44.80 44.96 44.94	90.65 87.67 87.99 91.92 89.26 89.53 89.80	48400 65300 60500 40300 38500 34100 52200	56700 68600 64000 45300 44400 37700 52300	48400 65300 60500 40300 38500 34100 52200	56700 68600 64000 45300 44400 37700 52300	0.148 0.218 0.249 0.198 0.127 0.186 0.201	0.143 0.187 0.246 0.158 0.117 0.140 0.201	Without holes
44.47 44.63 44.62 44.34 44.25 44.74	89.12 86.48 89.12 90.68 88.79 89.55	59200 31900 66100 64100 30300 66700	61300 36700 72100 64800 36000 71200	59200 31900 66100 64100 30300 66700	61300 36700 72100 64800 36000 71200	0.202 0.151 0.203 0.229 0.154 0.224	0.202 0.151 0.194 0.221 0.166 0.219	One hole Ø3 mm
44.61 44.67	87.53 89.72	58400 79000	62700 80800	58400 79000	62700 80800	0.205 0.155	0.201 0.140	One hole Ø5 mm
44.58 44.74 44.66 44.95 44.75 44.64	87.45 88.58 88.88 90.5 89.17 88.27	65000 81900 50800 43600 50100 63400	66600 82200 54100 49300 58000 74800	61000 78000 42700 36600 42200 59400	62500 78300 45500 41400 47500 69500	0.206 0.201 0.122 0.149 0.173 0.185	0.192 0.198 0.119 0.172 0.170 0.177	One hole Ø10 mm
44.61 44.69 44.65 44.94 44.54 44.62	87.71 87.99 88.71 91.24 89.70 88.76	48600 76300 40900 53900 72900 57300	52200 76900 44100 59200 76000 65000	44200 63100 24900 49700 61100 45900	47500 63600 32800 54300 67400 52700	0.172 0.203 0.141 0.200 0.151 0.171	0.172 0.194 0.148 0.206 0.138 0.136	Two holes Ø5 mm
44.65 45.00 44.92 44.93 44.77 44.71	89.35 89.18 89.81 90.2 89.55 86.65	56200 38100 37300 39400 44600 31000*	64300 45000 40800 46600 56900 37400*	32200 32100 31800 32900 35800 10200	43600 38300 31400 40100 43100	0.118 0.14 0.172 0.176 0.171	0.102 0.136 0.172 0.178 0.181	Two holes Ø5 mm + transverse crack

Physical and mechanical properties of rocks before testing

* The initial deformation characteristics of the sample were determined taking into account the transverse crack obtained as a result of sample preparation.



Fig.2. Testing: a – stress – strain graph with "loops" of unloading and loading; b – Epsilon longitudinal and transverse strain extensioneters; c – test installation; d – loading graph with "loops" formed by software "Horizont" 1 – "loops"; 2 – movements of the radial extensioneter; 3 – movements of the longitudinal extensioneter

The methodological basis for determining the modulus of elasticity of a weakened sample was section VI "Assessment of the rock-burst hazard on the brittleness of rocks by means of extreme deformation" of the Methodological Recommendations for assessing the propensity of ore and non-ore deposits to rock bursts. For the tests, a test servo-controlled press TO Super L60 with a maximum load of 300 kN was used. The servo drive allows the testing machine to equalize the load in accordance with a constant deformation rate, which is analogous to the loading mode on hard test presses. A feature of loading with the help of a servo drive is the construction of characteristic "loops" of sharp decline and loading to equalize the rate of deformation with smooth destruction of the sample (Fig.2, a).

In order to obtain a clear decline curve (extreme deformation), the control of maintaining a given deformation rate was carried out by transverse deformations, which made it possible at an early stage to fix the growth of cracks and an increase in cross-section due to dilatancy and prevent the destruction of the sample by elastic energy. Deformations were measured by strain gauges extensometers specialized for testing rocks: transverse – Epsilon 3544-100M-060M-HT2, longitudinal – Epsilon 3542RA2-100M-600M-HT2 (Fig.2, b).

The measurement base of the longitudinal sensors is constant and was equal to 100 mm. Longitudinal deformation was controlled by steel punches, between which a sample was installed (Fig.2, c). When the sample is destroyed, the individual parts formed during the formation of new surfaces experience movements in unpredictable directions and can move relative to each other without reflecting the



Fig.3. Determination of the calibration function: a – initial test (LVDT) on the loading curve; b – test for extreme deformation (elastic section); c – finding the approximation dependence; d – comparison of the experimental data and the obtained approximation

1 – loading curve (Epsilon); 2 – loading curve (approximation); 3 – polynomial loading curve (Epsilon); 4 – experimental curve (30-90 MPa); 5 – approximation; 6 – experimental curve (0-90 MPa); 7 – power experimental curve (30-90 MPa);
8 – deformations (LVDT); 9 – deformations (Epsilon); 10 – deformation difference (experimental curve); 11 – deformation difference (approximation)

general direction of deformation. The resulting type of destruction is compressive deformation. For its reliable registration, it is necessary to install a longitudinal extensometer on load plates (punches). This approach reduces the distortion of the measurement results when the sample is destroyed, since it eliminates the loss of contact of the extensometer with the surface. In this case, additional deformations occurring at the contact of the end surface of the sample and the punch are recorded. When interpreting the measurement results, this effect must be taken into account, especially in the area of elastic deformations, where the movements are relatively small.

The creation of the test methodology and process control took place through the shell of the specialized software "Horizon" (Fig.2, d), supplied together with the presses TO Super L60. The first stage of testing: compression of the sample at a constant rate of transverse deformations change (changes in the circumference of the sample) 0.02-0.04 mm/min. The calculation of the rate of change in the values of transverse deformations was carried out by recalculation from the loading rate of the sample in 0.1 mm/min. This loading rate is typical for testing rock-burst hazard rocks [35, 36].

After significant destruction of the sample and failure to achieve residual strength, the second (third, if necessary) stage of testing was carried out with an increased loading speed by 2-3 times, since in this case brittle destruction is no longer possible, and an increase in the loading speed only reduces the time of testing.

The values of longitudinal movements were used to analyze the results obtained. The elastic and deformation modulus were determined at the deformation sites beyond the tensile strength at the moments when the servo drive of the press equalized the deformation rate of the sample and formed "loops" of sharp decline and loading on the graph.



Fig.4. Test graphs: a – initial (load – movement); b – stress – deformation before/after calibration 1 – initial graph; 2 – calibration

Calibration of test graphs. Since movements between loading punches were measured using longitudinal extensioneters, the results could be distorted due to the fixation of additional deformations at the ends of the samples, which led to an underestimation of the values of elastic and deformation modulus. Moreover, the difference in values logically increased with increasing hardness of the sample.

To cut off unnecessary deformations, the graphs were calibrated according to the elasticity zone (Fig.3). The calibration assumes that the deviation $\Delta \varepsilon$ is a function of f(P), where P is the load on the sample, MPa.

To identify this dependence, the approximating functions of the load branches were determined during the initial determination of deformation characteristics (in the case of samples with holes, the determination of deformation characteristics after drilling) using LVDT sensors (deformations (LVDT) and in the elastic zone during the test for extreme deformation (deformation (Epsilon)). The values of deformations on the approximated curves are revealed at the same stress values, the values of $\Delta \epsilon$ are calculated, which is the difference between Epsilon and LVDT deformations, "stress – deformation" graphs are constructed. The greatest convergence was achieved when approximated by a power function.

Calibration of the complete deformation graph was carried out individually for each sample in the required stress interval, therefore, the power approximation of the "stress – deformation" graph (Fig.3, c) is unique in each case. The recalculation of deformations was carried out according to the formula

$$\varepsilon_{\text{calibr}} = \varepsilon_{Eps} - \Delta \varepsilon(P), \qquad (1)$$

where ε_{Eps} – deformations (Epsilon), mm/mm; $\Delta \varepsilon(P)$ – a power function of the type $\Delta \varepsilon = AP^B$, mm/mm; P – the stress in the sample caused by the press load, MPa; A and B – empirical coefficients. An example of processing the test graph of one of the samples is shown in Fig.4.

After calibration of the graphs, the elastic and deformation modulus were estimated. In cases where the sample was tested in several stages, in the presence of "loops" of unloading and loading on the shelf of residual strength, the elastic and deformation modulus were determined in these areas.

Results discussion. The results of determining the values of elastic and deformation modulus after calibration are presented in Table 2. However, for some samples, it was not possible to identify the "loops" of unloading and loading.

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Table 2

Holes	Modulus of deformation (with holes) E _{D1} , MPa	Modulus of elasticity (with holes) E _{EI} , MPa	Modulus of deformation (with holes) Epsilon E _{D2} , MPa	Modulus of deformation (with holes) calibrated E _{D3} , MPa	Modulus of deformation during weakening E _{D4} , MPa	Modulus of elasticity during weakening E _{E4} , MPa	E _{D1} /E _{D4}	$\mathrm{E}_{\mathrm{El}}/\mathrm{E}_{\mathrm{E4}}$	Uniaxial compressive strength, MPa	Residual strength, MPa
No	48400	56700	25200	46000	7109	11219	6.81	5.05	42.83	3.2
No	65300	68600	33800	65100	17264	27047	3.78	2.54	63.24	_
No	60500	64000	45800	65300	30397	46038	1.99	1.39	87.27	_
No	40300	45300	31700	37200	_	-	_	-	70.88	_
No	38500	44400	33700	38600	33598	38393	1.15	1.16	71.96	3.4
No	34100	37700	32000	35900	25136	25760	1.36	1.46	66.08	1.96
No	52200	52300	47400	54800	8388	12402	6.22	4.22	95.54	5.4
One (3 mm)	59200	61300	33400	47200	10349	11569	5.72	5.3	49.81	2.5
One (3 mm)	31900	36700	22300	33100	9173	8220	3.48	4.46	53.96	3.2
One (3 mm)	66100	72100	26400	63900	36444	21045	1.81	3.43	49.88	3.89
One (3 mm)	64100	64800	37500	62900	48613	53710	1.32	1.21	47.83	2.3
One (3 mm)	30300	36000	28200	30200	7984	7965	3.8	4.52	53.21	3.7
One (3 mm)	66700	71200	42833	58800	-	-	-	-	87.23	-
One (5 mm)	58400	62700	31800	52900	-	-	-	-	63.26	1.0
One (5 mm)	79000	80800	46100	76500	-	-	-	-	100.08	_
One (10 mm)	42200	47500	34600	37100	-	-	-	-	64.32	1.5
One (10 mm)	59400	69500	30100	52000	-	-	-	-	71.20	1.0
One (10 mm)	61000	62500	25100	58600	-	-	-	-	39.07	1.65
One (10 mm)	78000	78300	39100	74200	29259	24976	2.67	3.14	74.05	2.0
One (10 mm)	42700	45500	18600	43800	-	-	-	-	33.62	2.5
One (10 mm)	36600	41400	26400	34200	18617	32614	1.97	1.27	58.60	2.2
Two (5 mm)	44200	47500	24200	43700	15414	-	2.87	-	42.33	4.1
Two (5 mm)	63100	63600	43000	61800	25453	17735	2.48	3.59	65.17	5.4
Two (5 mm)	24900	32800	17800	21200	14547	12621	1.71	2.6	32.03	11.2
Two (5 mm)	49700	54300	36400	45200	42257	41618	1.18	1.3	98.95	2.2
Two (5 mm)	61100	67400	52500	61900	39301	39167	1.55	1.72	104.28	1.34
Two (5 mm)	45900	52700	34900	45500	25176	24965	1.82	2.11	77.03	_
Two (5 mm) + crack	32200	43600	27200	32200	20250	-	1.59	-	52.65	4.4
Two (5 mm) + crack	32100	38300	28400	32600	15908	15182	2.02	2.52	56.86	3.1
Two (5 mm) + crack	31800	31400	31200	32000	-	-	-	-	54.27	-
Two (5 mm) + crack	32900	40100	30700	33000	2572	5324	12.8	7.53	47.01	5.0
Two (5 mm) +	35800	43100	30000	37400	5875	-	6.09	-	38.72	6.9
Two (5 mm) + crack	10200	-	-	-	-	-	-	-	25.10	-

From the Table 2 it follows that the modulus of elasticity and deformation of the samples, determined to the limit of residual strength, decrease by 1.2-2 times compared to the initial characteristics, and when evaluating the "loops" on the "shelves" of residual strength, a decrease in modulus by 5-7 times is observed. Samples with two holes and a transverse crack were often brought to the shelf of residual strength during testing. However, the number of "loops" is smaller due to the uniform development of plastic deformations due to the presence of a crack, which did not allow to fully assess their deformation characteristics during the destruction process.





Regardless of the effect of the hole on the nature of the load drop, the presence of holes made it possible to keep the destroyed sample in a more stable state than samples without holes. So, out of seven monolithic samples, only three samples kept the shape after destruction, while all samples with holes retained their shape. Perhaps this is due to the fact that in monolithic samples, the destruction was evenly distributed throughout the sample, whereas in samples with holes, it was the holes that concentrated most of the destruction on themselves (Fig.5).

Conclusion. Despite the wide range of possibilities, to assess the destruction of rock under load, the best way is to conduct laboratory tests followed by the construction of graphs of extreme deformations. Extreme tests on servohydraulic test presses with the control of the growth rate of transverse deformations values, due to the construction of "loops" of unloading and loading, allow to estimate the elastic and deformation modules beyond the strength limit of the sample. The tests carried out on the example of samples of rich sulfide ore showed that in the process of destruction, the elastic and deformation modules decrease by about 1.5-2 times, and in the zone of residual strength by 5-7 times.

Stress concentrators (holes Ø3 and 5 mm) slightly affected the change in strength properties and almost did not affect the change in the initial value of the modulus of elasticity and deformation. However, holes of this size were enough to change the nature of the destruction of the samples – cracks developed near the holes. In the case of testing samples without holes, cracking occurred on the surface almost uniformly. The presence of stress concentrators such as two holes of Ø5 mm together with a transverse crack simulating the unloading area allows, due to a noticeable decrease

in strength, to conduct tests with a greater probability of achieving the shelf of the residual strength of the sample. However, they significantly reduce the number of "loops" of unloading and loading, which make it possible to accurately estimate the modules of elasticity and deformation.

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