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## MOSAIC-ACA and AFLUX - Arctic airborne campaigns characterizing the exit area of MOSAiC

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#### Abstract:

Two airborne field campaigns focusing on observations of Arctic mixed-phase clouds and boundary layer processes and their role with respect to Arctic amplification have been carried out in spring 2019 and late summer 2020 over the Fram Strait northwest of Svalbard. The latter campaign was closely connected to the Multidisciplinary drifting Observatory for the Study of Arctic Climate (MOSAiC) expedition. Comprehensive data sets of the cloudy Arctic atmosphere have been collected by operating remote sensing instruments, insitu probes, instruments for the measurement of turbulent fluxes of energy and momentum, and dropsondes on board the AWI research aircraft Polar 5. In total, 24 flights with 111 flight hours have been performed over open ocean, the marginal sea ice zone, and sea ice. The data sets follow documented methods and quality assurance and are suited for studies on Arctic mixed-phase clouds and their transformation processes, for studies with a focus on Arctic boundary layer processes, and for satellite validation applications. All data sets are freely available via the world data center PANGAEA.

#### Datasets:

Repository Name	Dataset Title	Dataset Accession Number	URL	Reviewer Passcode
DataCite	Collection of data sets for the MOSAiC Airborne observations in the Central Arctic (MOSAiC-ACA) campaign, carried out in late summer 2020 northwest of Svalbard.	10.1594/PANGAEA.932295	https://doi.pangaea.de/10.1594/PANGAEA.932295	
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# MOSAiC-ACA and AFLUX - Arctic airborne campaigns characterizing the exit area of MOSAiC

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# 19 ABSTRACT

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Two airborne field campaigns focusing on observations of Arctic mixed-phase clouds and boundary layer processes and their role with respect to Arctic amplification have been carried out in spring 2019 and late summer 2020 over the Fram Strait northwest of Svalbard. The latter campaign was closely connected to the Multidisciplinary drifting Observatory for the Study of Arctic Climate (MOSAiC) expedition. Comprehensive data sets of the cloudy Arctic atmosphere have been collected by operating remote sensing instruments, in-situ probes, instruments for the measurement of turbulent fluxes of energy and momentum, and dropsondes on board the AWI research aircraft Polar 5. In total, 24 flights with 111 flight hours have been performed over open ocean, the marginal sea ice zone, and sea ice. The data sets follow documented methods and quality assurance and are suited for studies on Arctic mixed-phase clouds and their transformation processes, for studies with a focus on Arctic boundary layer processes, and for satellite validation applications. All data sets are freely available via the world data center PANGAEA.

# Background & Summary

During the last decade, an unprecedented change of climate has been observed especially in the Arctic regions and is seen in many climate variables. Most obvious is the strong decrease in sea ice extent and thickness<sup>1–3</sup>, precipitation is observed more frequently as rain<sup>4</sup>, and the lower tropospheric temperature is rising much faster in the Arctic than in all other regions of the world<sup>5</sup>, a phenomenon called the Arctic amplification<sup>6</sup>. Key processes for the enhanced warming have been investigated<sup>7–12</sup> showing a clear need to better understand the governing feedback mechanisms related to changes in surface albedo, water vapor, clouds, and lapse rate. Together with these local processes, also the role of meridional transport into and out of the Arctic needs to be investigated in more detail.

The German DFG project - TRR 172, "ArctiC Amplification: Climate Relevant Atmospheric and SurfaCe Processes, and Feedback Mechanisms (AC)<sup>3</sup>"<sup>13,14</sup>, a joint research initiative of the Universities Leipzig, Cologne, and Bremen and of the research institutes TROPOS (Leipzig) and Alfred Wegener Institute (AWI Bremerhaven and Potsdam), is investigating the processes and feedback mechanisms related to Arctic amplification by model studies and observations. To bridge the gap between localized ground based observations with a high temporal resolution and satellite borne observations providing a good areal coverage, but poor resolution in time and space, airborne measurements are well suited to study atmospheric processes especially close to the sea ice edge, where surface conditions change on small scales. Therefore, several airborne campaigns over the Arctic ocean have been conducted as part of (AC)<sup>3</sup> with either one or both of the AWI polar research aircraft Polar 5

and 6<sup>15</sup>. The focus of these campaigns was on the observation of Arctic mixed-phase clouds and of the polar boundary layer in different seasons: the Arctic CLoud Observations Using airborne measurements during polar Day (ACLOUD<sup>16–18</sup>) in late spring and early summer 2017 based in Svalbard and the Polar Airborne Measurements and Arctic Regional Climate Model Simulation Project (PAMARCMiP) in spring 2018 out of Villum research station (Greenland). In this study we introduce and describe two follow-up campaigns that aim to extend the data set, namely the Airborne measurements of radiative and turbulent FLUXes of energy and momentum in the Arctic boundary layer (AFLUX<sup>19</sup>) in early spring 2019 and the MOSAiC Airborne observations in the Central Arctic (MOSAiC-ACA<sup>20,21</sup>) campaign in late summer 2020 which was the airborne component of the Multidisciplinary drifting Observatory for the Study of Arctic Climate (MOSAiC<sup>22</sup>) project.

Given the remoteness and difficult logistics, only very few measurement sites provide detailed and continuous insights into the Arctic climate system. The Ny-Ålesund Research Station in Svalbard is one of the few examples, with e.g., the atmospheric observations at the German-French AWIPEV research base that is operated jointly by the Alfred Wegener Institute Helmholtz Centre for Polar and Marine Research (AWI) and the French Polar Institute Paul Emile Victor (IPEV). However, for a full understanding, detailed information on the atmospheric state and its interaction with the surface is needed across the full Arctic, which can not be provided by ground based observations at a fixed location. The complex transition between open ocean and sea ice with the highly heterogeneous marginal ice zone is also challenging for the interpretation of satellite measurements. Therefore, airborne measurements can fill an important gap to sense boundary layer processes and cloud development in this critical region. Thus, the general goal of the AFLUX and MOSAiC-ACA campaigns was to obtain a comprehensive data set of atmospheric parameters in the polar cloud-covered and cloud-free atmospheric boundary layer (ABL) and lower troposphere over compact sea ice, the marginal sea ice zone, and open ocean. Research flights were planned in conjunction with atmospheric modeling such that they targeted specific conditions as, e.g., cold air outbreaks. Specific flight patterns aimed to assess radiative and turbulent fluxes, thermodynamic profiles, and cloud macro- and microphysical properties. The combined analysis of the measurement data and modeling efforts set up for the observed cases can be used to estimate the role of Arctic clouds and surface heterogeneities for the amplified climate change in polar regions. Furthermore, to get a grasp on the seasonal variability, a comparison of the observations from all campaigns that were carried out as part of (AC)<sup>3</sup> during episodes of several weeks in different seasons, is highly valuable. In this way a comprehensive dataset has been collected, which helps to understand atmospheric processes, develop and improve model parameterizations and which provides critical test data to assess the performance of atmospheric models. Moreover, due to collocation with satellite overpasses the dataset and derived parameters can be used for validation purposes.

## Methods

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This section provides an overview of the platform operated during the campaigns, the campaigns itself, and the design of the research flights, followed by a more in-depth description of the aircraft scientific payload. For each instrument the corresponding data acquisition, the processing steps performed to created the published final data sets, and the data contained in the published data sets are described.

## Platform and Campaign set up

The data presented are based on measurements conducted with the AWI research aircraft Polar 5<sup>15</sup>, a former Douglas DC-3 specifically modified by Basler Turbo Conversions for flying under extreme polar conditions. In the following, it is referred to as Basler Turbo-67 (BT-67). Together with its sister aircraft Polar 6, it belongs to AWI and is operated by Kenn Borek Air Ltd. Canada. The aircraft is unpressurized, has an endurance of 5 to 6 h, and is able to fly at low levels down to 200 ft and at low speed (60 m s<sup>-1</sup>) for in-situ measurements, e.g., of meteorological parameters.

During the two campaigns, Polar 5 was based in Longyearbyen (N78°13′, E15°38′, Svalbard, Norway) and most flights were performed northwest of Svalbard over the Fram strait covering both sea ice free ocean and the marginal sea ice zone. Thereby, AFLUX took place in spring 2019 (19 March - 11 April) and MOSAiC-ACA in late summer 2020 (30 August - 13 September) during the MOSAiC drift experiment. Details on the flights (dates, take-off, landing, flight hours) are summarized in Table 1. The corresponding flight tracks are given in Figure 1 a) and b). All flights were performed during day light hours, with a typical flight duration between 4 and 6 hours. During AFLUX, 14 research flights with in total 67 flight hours have been performed, whereas during MOSAiC-ACA the counts are 10 and 44, respectively.

The two different seasons exhibited different environmental conditions with much less sea ice during MOSAiC-ACA (Figure 1) and, as could be derived from dropsonde launches during the campaigns, warmer near-surface temperatures in the measurement region over sea ice and ocean (between -5 and +15 °C) in contrast to AFLUX (-27 and -2 °C). Therefore, the sea ice edge during MOSAiC-ACA was very far from Longyearbyen, at about 82° N north of Svalbard and 2° W west of Svalbard resulting only in very few flight hours over sea ice as shown in Figure 2 by the distribution of flight hours according to flight altitude and different surface conditions. Low-pressure systems arriving at the Svalbard Archipelago sometimes lead to low clouds and precipitation, strong winds and heavy turbulence over Svalbard mountains that did not allow take-off at

Longyearbyen. On several days heavy snowfall developed during AFLUX in Svalbard, whereas during MOSAiC-ACA many days with rain occurred. Flights were planned according to the weather situation aiming to assess the cloudy boundary layer over sea ice and ocean and in particular its development during cold air outbreaks. The scientific targets of each flight are listed in Table 1.

## 94 Flight Strategies

The flight strategies can be grouped into three different measurement approaches: 1) remote sensing of Arctic mixed-phase clouds and their transformation processes; 2) in-situ probing of Arctic mixed-phase clouds; 3) measurements of turbulent energy and momentum fluxes over the ocean and sea ice. The resulting flight patterns designed to achieve these targets are illustrated in Figure 3 and are described in the following.

- 1. Remote sensing measurements aimed at mimicking satellite measurements, however, with much finer resolution. Flights were typically performed along straight legs over long distances at altitudes above 3000 m as needed for downward looking lidar measurements for eye safety reasons (Figure 3a). The high flight altitude also ensured that observations are well above the top of typical low level clouds with a distance between aircraft and cloud top of at least 200 m, which is required to obtain an overlap of the sending and receiving antenna beam for radar and lidar.
  - Legs were chosen to cover different surface types, i.e., open ocean, the marginal sea ice zone, and closed sea ice, either one type after another during one leg or during different legs on the same flight. Depending on weather conditions the legs were mostly chosen to be either along or across the mean atmospheric flow.
  - Straight legs were included in almost all research flights on the transits to the target area often passing the AWIPEV station at Ny-Ålesund (N78° 55', E11° 56', Svalbard, Norway)<sup>23</sup> for measurement comparisons. Occasionally, underflights of the A-Train satellite constellation<sup>24</sup> have been included for similar purposes. During such under-flights, a high-level leg of approx. 20 min duration along the satellite track has been combined with a successive in-situ pattern (see below) along the same path in opposite direction. This pattern was either a staircase or sawtooth pattern or a combination of both. Typically, these high level legs have been supported by launching dropsondes to derive vertical profiles of the atmospheric state, i.e., pressure, temperature, humidity, and wind speed and direction.
- 2. In-situ probes measuring cloud and aerosol particles require sufficient exposure time for sampling a sufficient air volume, which is different for the various probes. Depending on the actual situation either racetrack, sawtooth, or staircase pattern were chosen to measure cloud microphysical properties. For safety reasons, each pattern started with a descend from above cloud top to below cloud base to estimate vertical extent, structure, and characteristics of the cloud layer to refine the strategy and to avoid icing conditions.
  - For racetracks (Figure 3b), horizontal legs along the same path, in alternating direction and stacked at different altitudes above, below, and within the clouds and precipitation have been performed. The duration of the legs was typically around 4 to 5 min, whereas the vertical spacing of the legs depended on the vertical extent of the cloud layers and was adjusted in flight. The lowest possible flight level was 200 ft (60 m) above ground. Sawtooth patterns (Figure 3c) were typically flown from below cloud base at 200 ft to above cloud top and vice versa with a typical climb or sink rate of 1000 ft min<sup>-1</sup> (300 m min<sup>-1</sup>) along a horizontally straight line. For the staircase pattern (Figure 3d), level legs in different altitudes are concatenated to each other. The vertical distribution of the legs is similar to the one for racetrack patterns, but they are not stacked above each other but flown along a straight line. This is usually done to sample clouds on a longer distance, assuming that the cloud structure does not significantly changes over the long horizontal extent. Most of the time when in-situ patterns have been conducted, they were combined with one or multiple remote sensing legs over the same area to bring together those two measurement types.
- 3. Turbulent energy and momentum fluxes over different surface types were derived from measurements during three main types of flight patterns. First, to obtain near-surface fluxes, long legs along a straight track were flown at about 200 ft (60-70 m) height. In convective conditions with a deep ABL this is sufficiently low for the detection of surface fluxes, while in very stable conditions with a shallow ABL this level can belong to the upper part of the ABL, so that in this case the fluxes measured in flight altitude can not be referred as surface fluxes. Second, vertical profiles of fluxes are derived from a series of several horizontal legs over each other in the same vertical plane. Thereby, if possible, the lowest leg has been flown also in an altitude of 200 ft. This pattern is similar to the before mentioned racetrack but did choose the flight levels with respect to the vertical extent and structure of the ABL. The selection of the levels was done in flight based on profile measurements to determine the structure of the the ABL and especially its height. Therefore, measurements started with a descend from higher altitudes to the lowest possible level before the flux measurement patterns. Third, continuous vertical profiles of turbulent fluxes were obtained also from flights with low descend rates (200 ft/min).

Finally, at least once per campaign various instruments required specific maneuvers for their calibration, which have been included in the flights.

## Instrument Description

Polar 5 has been equipped with very similar payload during AFLUX and MOSAiC-ACA, that can be grouped into two major categories, i.e., remote sensing and in-situ instruments. Within the remote sensing payload, active instruments like a cloud radar and lidar are operated together with passive instruments, i.e., microwave, spectral solar, and infrared radiometers, imaging spectrometers, fish-eye camera, and a sun photometer. The in-situ payload attached to the fuselage or the wings of Polar 5 can be used to characterize hydrometeors in a size range from 3 to 6400 µm. In addition to the remote sensing instrumentation and the in-situ probes, measurements providing the basic meteorological variables have been operated on Polar 5, i.e., the nose boom for high-frequency measurements of the wind vector, humidity, and air temperature and a dropsonde system. All instruments are described in more detail below along with their configuration for the campaigns. Table 2 summarizes the instruments used in both campaigns on Polar 5 along with corresponding parameters measured. Collections of the data sets have been compiled and can be found on the public database PANGAEA for both campaigns, AFLUX<sup>25</sup> and MOSAiC-ACA<sup>26</sup>.

## Nose boom and navigation system

The nose boom of Polar 5 carried exactly the same sensors for high-frequency measurements of the wind vector, air temperature, and humidity<sup>27</sup> as used during the ACLOUD campaign<sup>16,18</sup>. The basic sensor is an Aventech five-hole probe placed at the tip of the nose boom and an open-wire Pt100 installed sidewards in a Rosemount housing. To avoid icing problems, the five-hole probe is equipped with a deicing system that ejects water during short flight sections not needed for the data analysis. Differential pressure transducers are of type Setra 239 R for angle of attack, angle of sideslip, and for the dynamic pressure while a Setra 278 provides the static pressure. A combination of a high-precision global positioning system (GPS) receiver and an inertial navigation system (INS) installed into Polar 5 is used to derive the wind vector in an earth-fixed coordinate system. The INS provides longitude, latitude, ground speed, and angular rates, which are necessary for the derivation of pitch, roll, and true heading angles. The accuracy is 0.1° for roll and pitch and 0.4° for true heading. Finally, the INS and GPS data were merged by complementary filtering at a frequency of 0.1 Hz.

The calculation of the wind vector follows a procedure based on an accurate calibration of the initial wind measurements using a combination of the differential measurement capabilities of the GPS and the high-accuracy  $INS^{27}$ . Altogether, this finally results in horizontal wind components with an absolute accuracy of  $0.2 \, \mathrm{m \, s^{-1}}$  for straight and level flight sections <sup>16</sup>. We stress that vertical wind can only be analyzed as the deviation from the average vertical wind. For sections of several kilometers length, we obtain an accuracy of the vertical wind speed relative to the average wind of about  $0.05 \, \mathrm{m \, s^{-1}}$ .

After correcting the temperature measurements for the adiabatic heating effect of the air by the dynamic pressure, an absolute accuracy of 0.3 K with a resolution of 0.05 K is reached.

The Polar 5 nose boom carried also a closed-path LI-7200 gas analyzer for  $CO_2$  and  $H_2O$  concentration measurements For slow humidity measurements (frequency of 1 Hz), a Vaisala HMT-333 with a temperature and HUMICAP humidity sensor was mounted in a Rosemount housing. Based on the temperature measurements (uncertainty of 0.1 K), the humidity data were corrected for adiabatic heating and reach an accuracy of 2  $\%^{29}$ .

All data were recorded and published with a frequency of 100 Hz<sup>30,31</sup>. It should be kept in mind, that the calibration of the 100 Hz data is only valid for straight and level flights, when using these for the calculation of turbulent fluxes. Note also that most flights during MOSAiC-ACA and those during AFLUX over sea ice were carried out in conditions with absolute values of heat fluxes below 20 W m<sup>-2</sup>. Such conditions with low fluxes represent a challenge for the accuracy as compared to conditions with strong convection and strong signals<sup>16</sup>. Nevertheless, the comparison of flights with Polar 5 and Polar 6 during ACLOUD using the same nose boom equipment has shown a remarkable agreement of both measurement systems<sup>16</sup>.

#### Radar

The Microwave Radar/radiometer for Arctic Clouds (MiRAC)<sup>32</sup> has been designed for operation on board the polar research aircraft Polar 5 and 6. The active radar component (MiRAC-A) has been operated on Polar 5 on both campaigns AFLUX and MOSAiC-ACA. It has been designed by Radiometer Physics GmbH and consists of a single vertically polarized Frequency Modulated Continuous Wave (FMCW) cloud radar (RPG-FMCW-94-SP) at around 94 GHz including a horizontally polarized passive channel at 89 GHz for measuring the brightness temperature, that is used for the derivation of the liquid water path (LWP). MiRAC-A is operated in a bellypod fixed below the aircraft fuselage. To avoid saturation of the receiver due to strong ground reflection<sup>33</sup>, the radar is mounted pointing 25° backwards off nadir when assuming a leveled aircraft. The cloud radar provides vertically resolved profiles of the equivalent radar reflectivity as well as higher moments of the Doppler spectrum. The Doppler spectra and higher moments are not provided for airborne operation, since it is not straight forward to correct these measurements for moving platforms and the thereby induced Doppler<sup>32</sup> and aliasing effects.

The vertical resolution of the raw data is given by the settings in the chirp sequences of the measurement program and is 4.5 m close to the aircraft (up to 500 m distance) and 13.5 m for the rest of the profile along the slanted path<sup>32</sup>. The processed final data sets<sup>34,35</sup> have a constant vertical resolution of 5 m with respect to nadir view underneath the aircraft. To achieve this, a multi-step post-processing is applied<sup>32</sup> that includes corrections and conversions of the signal: subtraction of mirror signal due to surface reflections, application of a speckle filter, correction for sensor altitude, mounting position, and pitch and roll angle<sup>36</sup>, and remapping onto the constant vertical grid of 5 m by taking into account each latitude and longitude position of each range bin. The temporal resolution is approximately 1 s which is the sum of the duration for both chirp sequences. Due to disturbances by surface reflections, the resulting regularly gridded data is only reliable from 150 m above ground level up to altitude of the aircraft.

The 89 GHz channel is especially sensitive to the surface emission and the emission by liquid clouds. Over the open ocean, where the emissivity of the surface is low, this channel can be used to retrieve the LWP<sup>37</sup>. A correction of passive measurements for viewing geometry and attitude of the aircraft would involve radiative transfer simulations with several assumptions made to the atmosphere. This has not been performed so that the provided data is for a passive sensor measuring along a slanted path. The time resolution of the brightness temperature data sets is exactly the same as for the active channel and is approximately 1 s. For both data, reflectivity and brightness temperature, a flag indicating the instrument status is provided. The processed data of MiRAC-A have been compiled and published on PANGAEA<sup>34,35</sup>.

#### Microwave Radiometers

During AFLUX and MOSAiC-ACA, passive microwave radiometers have been operated on board Polar 5 in addition to the passive channel at 89 GHz of the MiRAC-A radar. The radiometers have been mounted inside the cabin pointing nadir with respect to the aircraft fuselage. In the AFLUX configuration, the MiRAC-P<sup>32</sup> radiometer has been operated with its six vertically polarized double sideband channels centered around the strong water vapor absorption line at 183.31 GHz and two horizontally polarized window channels at 243 and 340 GHz. The channels around the 183.31 GHz water vapor absorption line can be used to sense atmospheric moisture. The more the channels are displaced from the absorption line center, the lower in the atmosphere the emitted radiation originates, i.e., the lower the peak of the humidity weighting function and therefore the maximum of information is. By that, the combination of all spectral channels provides information on humidity from different layers. With increasing frequency (243 and 340 GHz), larger snow particles can lead to a brightness temperature depression due to scattering effects so that these channels can give information on snow and ice water content. During MOSAiC-ACA, the radiometer operated was the Humidity And Temperature PROfiler (HATPRO)<sup>38</sup>. It has seven vertically polarized channels along the water vapor absorption line at 22.24 GHz (K-band) and seven horizontally polarized ones close to the oxygen absorption complex at around 60 GHz (V-band). By the same principal as for MiRAC-P, the humidity channels can be used to retrieve humidity profiles and the ones in the oxygen complex could provide information on the temperature profile. In addition, by using channels in the K-band it is possible to derive the integrated water vapor (IWV) and LWP below the aircraft by appropriate retrieval algorithms. In addition to atmospheric parameters, the passive microwave radiometers can be used to derive ocean surface as well as sea ice emissivities.

The final data sets<sup>39,40</sup> for both instruments operated during the corresponding campaign have been corrected for non-physical brightness temperatures by hand. In addition, doubled time stamps have been removed, so that the uploaded data set has a 1 s resolution, approximately.

#### **AMALi**

The Airborne Mobile Aerosol Lidar (AMALi) system<sup>41</sup> has been operated onboard Polar 5 in both campaigns installed inside the cabin pointing nadir through the floor, thus, probing the atmosphere between the flight level and the surface. It is a backscatter lidar having three channels: one unpolarized channel in the ultraviolet at 355 nm and two channels in the visible spectral range at 532 nm (perpendicular and parallel polarized). For eye safety reasons, AMALi was operated at flight altitudes above 9000 ft only. Overlap between the transmitted laser beam and the receiving telescope is achieved for ranges larger than 235 m<sup>41</sup>. Data are recorded with 7.5 m vertical and 1 s temporal resolution. For consistency to the radar profiles, the AMALi data were converted into altitude above sea level by using the GPS altitude. To improve the signal-to-noise ratio, the profiles were averaged for 5 s temporal resolution, which yields a horizontal resolution of approximately 350 m for typical aircraft speed during measurements.

The backscattered intensities can be converted into attenuated backscatter coefficients, depolarization ratio at 532 nm, and the color ratio (532 to 355 nm) to analyze cloud and aerosol particles (not provided in the data set). The data processing eliminated the background signal, which mainly results from scattered sunlight and electronic noise. Additionally, a drift of the so-called baseline of each channel was corrected for. Neglecting aerosol extinction, the attenuated backscatter coefficients for each channel were calculated from the background-corrected signals by normalizing the measurements to a typical air density profile<sup>42</sup>. For this, data from the AWIPEV<sup>23</sup> station in Ny-Ålesund were used.

The published data set provides cloud top heights derived from the lidar profiles in 1 s resolution and by that as well the

cloud mask. Clouds below the aircraft were identified from the attenuated backscatter coefficients in the 532 nm parallel channel. Each height bin of the profile, which exceeds the backscatter coefficients of a reference cloud-free section by a factor of five, was labeled as a cloud. Cloud top height was then defined as the highest altitude, which meets the above criterion for consecutive altitude bins. In the published data sets<sup>43,44</sup>, cloud tops in close distance to the aircraft (less than 100 m below the flight level) and low clouds (below 30 m above the ground) are excluded.

#### SMART

The Spectral Modular Airborne Radiation measurement sysTem (SMART) is configured to measure the spectral solar irradiance and radiance. It is equipped with four optical inlets mounted at the fuselage of the Polar 5 and connected via optical fibers to grating spectrometers. These spectrometers disperse the incident radiation on a single-line photodiode array. Dark measurements are conducted with optical shutters. The upward-looking optical inlets are actively horizontally stabilized with respect to aircraft movement within pitch and roll angles of 5°45. Irradiance measurements by SMART cover a spectral range between 300 and 2200 nm while spectral radiance is measured between 300 and 1000 nm only<sup>16,46</sup>. Due to an increase of noise at the edges of the measured spectra, the final data are provided for 400 and 1800 nm wavelength, only. The measurement uncertainties are related to the radiometric and spectral calibration and to the correction of the cosine response which sum to a total wavelength-dependent uncertainty ranging between 3 and 14 %<sup>47</sup>.

During AFLUX, only the upward facing optical inlets for the observation of the downward radiance and irradiance could be installed. However, for most of the time, the measured signal was either contaminated by condensation on the inside of the optical inlets or the stabilization platform did not working properly. Therefore, the SMART data set<sup>48</sup> is only available for the MOSAiC-ACA campaign. It provides quality checked, radiometric calibrated, and cosine corrected solar spectra (400-1800 nm) along the flight tracks of eight flights performed by the Polar 5 aircraft in 2 Hz resolution.

## Spectral imager

The Airborne Imaging Spectrometer for Applications (AISA) Hawk <sup>16,49</sup> and AISA Eagle <sup>16,50</sup> were operated onboard the Polar 5 during AFLUX and MOSAiC-ACA. AISA Hawk consists of a downward-viewing push-broom sensor aligned across the flight track to measure 2-dimensional (2D) fields of upward radiance. It contains 384 across-track pixels, where each pixel delivers a whole spectrum in a wavelength range between 930 and 2500 nm in 288 channels with an average spectral resolution of 5.6 nm.

AISA Eagle is the second imager and uses a similar measurement technique like AISA Hawk, but covers a shorter wavelength range with a higher spectral and spatial resolution. In comparison to AISA Hawk, it has 1024 across-track pixel and 504 spectral channels to cover a wavelength range between 400 and 970 nm with 1.2 nm spectral resolution.

AISA Hawk and AISA Eagle have a field of view of  $36^{\circ}$  and used a sampling frequency of  $20 \, \text{Hz}$  during both campaigns. However, the data were not recorded continuously throughout the whole flight. Measurement sequences of approximately 10 min duration were performed, whenever the conditions were appropriate (no in-cloud measurements, no measurements in too close distance to the cloud top or surface).

Before publishing, the data were quality checked and radiometrically calibrated. The data sets<sup>51,52</sup> contain 2D fields of cloud top and surface spectral radiance observed along the flight track. For AFLUX, data are provided for 13 flights from both imagers. For MOSAiC-ACA, AISA Eagle data are provided for seven flights. Due to condensation on the quartz window of AISA Hawk in cold environments (high flight altitude), AISA Hawk data are only available for five flights.

## Nikon

To measure the directional distribution of upward radiance in the full lower hemisphere, a commercial digital camera (Nikon D5) was mounted at the bottom of the fuselage. The camera was equipped with a fish-eye lens during the campaigns, with the exception of the first half of MOSAiC-ACA, where a wide-angle lens was used. The camera recorded images every 4 to 6 s using three spectral channels (RGB) and allows cloud top and surface observations within a field of view of 80 x 100° (wide-angle lens, across x along track) and about 150° (fish-eye lens). All images were recorded in a raw data format to gain the full dynamic depth of the sensor (14 bit) and the full spatial resolution (5584 x 3728 pixels). The camera was calibrated with respect to its spectral, radiometric, and geometric characteristics for all camera settings (ISO value, shutter speed, and aperture) used during the flights.

The data sets<sup>48,53</sup> provide rectified angular-resolved fields (0.2° resolution) of calibrated radiances of the Arctic surface and cloud tops along the flight track for the three spectral bands (red, green, and blue). Combining the downward irradiance measured by SMART and the radiances from the fish-eye camera allows the calculation of the hemispherical-directional reflectance factor (HDRF) at flight altitude. Following the method described by<sup>54</sup>, the HDRFs of sea ice and open-ocean surfaces can be separated employing a sequence of surface images. Further, the Nikon data were used to classify the sea ice and ocean surface into open water, sea ice, and melt ponds based on color thresholds.

#### Broadband radiation

Solar and terrestrial broadband irradiances were measured by a pair of upward- and downward-looking Kipp & Zonen CMP22 pyranometers (spectral range of 0.2 to 3.6 µm) and CGR4 pyrgeometers (4.5 to 42 µm), respectively. The sampling frequency of the radiometer is 20 Hz. Unlike SMART, the sensors are fixed to the aircraft frame. Therefore, the data processing includes a correction for the aircraft attitude and accounts for the sensor inertia.

In order to reconstruct fast changes of irradiance time series despite the slow sensor response, a deconvolution method was applied<sup>55</sup>. During AFLUX, time constants (*e*-folding time) of 1.4 s for the pyranometer and 3.6 s for the pyrgeometer were used as determined in the laboratory. For MOSAiC-ACA, the time constants were adjusted from in flight maneuvers of known irradiance changes (e.g., turns). The adjusted time constants amount to 1.8 s for the pyranometer and 3.4 s for the pyrgeometer. Remaining dynamic effects of the pyrgeometer may results from rapid changes of the ambient temperature, when the temperature of the silicon dome adapts faster than the sensor temperature<sup>56</sup>. Therefore, sections with a change of air temperature larger than 0.5 K min<sup>-1</sup> were flagged. These data, which often refer to ascents and descents needs to be analyzed with care.

The downward solar irradiance was corrected for the aircraft attitude following a common geometric post-processing procedure<sup>57</sup>. This correction holds only for direct solar radiation and was applied only for manually identified sections that were dominated by direct illumination (e.g., cloud-free above the aircraft). In these conditions, the fraction of the direct downward solar radiation was be determined by radiative transfer simulations. The selection of cloud-free conditions might be uncertain. To allow user of the data to make their own decision, both uncorrected data (referring to cloudy conditions) and corrected data (referring to cloud-free conditions) are provided in the published solar downward irradiance data set of MOSAiC-ACA<sup>58</sup>. Since the uncertainty of all broadband irradiances become large for roll and pitch angles of more than 5° these data were flagged and need to be analyzed with care.

The broadband irradiance measurements may also suffer during flights through super-cooled liquid clouds, when icing builds up at the radiometer domes. Sections which are likely to be influenced by icing were flagged for both the pyranometer and the pyrgeometer. In cloud-free conditions, pyranometer icing was identified by potential discrepancies between the measured and the simulated downward cloud free solar irradiance. Critical sections were checked using the observations of an on-board camera. However, especially during MOSAiC-ACA, the detection of icing was challenging and often unclear. Thus, large uncertainties remain for the pyranometers. The pyrgeometers seemed not to be affected by icing during MOSAiC-ACA.

The published data sets containing the upward and downward solar and terrestrial irradiances is published in <sup>59,60</sup>

#### KT-19

The brightness temperature below Polar 5 was measured by an infrared radiation thermometer (KT-19.85II, short KT-19) looking into nadir-direction. The instrument operates in a spectral range between 9.6 and 11.5 µm where the impact of atmospheric absorption is negligible. Thus, the brightness temperature measured in flight altitude is assumed to equal either the cloud top or the surface brightness temperature to a good approximation<sup>61</sup>. The brightness temperatures of the KT-19 are measured with at 20 Hz and are published in a joint data set with the broadband irradiances measured by the pyranometers and the pyrgeometers<sup>59,60</sup>.

## Sun photometer

The airborne Sun photometer with an active tracking system (SPTA) was installed under a quartz dome of Polar 5 to derive the spectral aerosol optical depth (AOD). It operates a filter wheel with ten selected wavelengths in the spectral range from 367 to 1024 nm. To measure the direct solar irradiance, the optics of the SPTA use an aperture with a field of view of 1°. With knowledge of the extraterrestrial signal, the spectral optical depth of the atmosphere as well as spectral optical depth of aerosol was derived<sup>62</sup>. The extraterrestrial signal was calculated based on a Langley calibration, which are performed regularly in a high mountain area (Izana, Tenerife). The data<sup>63</sup> were screened for contamination by clouds to minimize an artificial enhancement of the AOD. The cloud screening algorithm applied a threshold of measured irradiance and made use of the higher temporal and spatial variability of clouds compared to the rather smooth changes of aerosols properties<sup>64</sup>. The final datasets<sup>65</sup> are available in the PANGAEA database for download.

## Scattering cloud probes

Data recorded by the Cloud Aerosol Spectrometer (CAS) and the Cloud Droplet Probe (CDP) give the droplet size distribution from 3 to 50  $\mu$ m<sup>66–70</sup>. Both instruments determine the particle drop size by the intensity of forward scattered laser light underlying Mie theory. Standard methods for calibration using mono-disperse glass beats have been applied. The binning for the particle sizing has been adopted using Mie theory with the refraction index of water (n = 1.333), including a distinct choice of bin edges to avoid ambiguities due to Mie resonances in the size range below 10  $\mu$ m. Here the range of diameter can vary by a factor of two while data above 10  $\mu$ m have reduced Mie oscillation and their uncertainty drops to ~30 %<sup>71</sup>. Besides the particle number concentration in each size bin, the published data sets<sup>72,73</sup> include the total particle number concentration, effective diameter, and liquid water content (LWC) all in 1 Hz resolution.

The Polar Nephelometer measures the angular scattering coefficients (ASC, i.e., non-normalized scattering phase function in  $\mu m^{-1}$  Sr<sup>-1</sup> Hz) of an ensemble of cloud particles (i.e., water droplets, ice crystals, or a mixture of both) from a few micrometers to approximately 1 mm in diameter<sup>74</sup>. The measurements are performed at a wavelength of 0.8  $\mu$ m with scattering angles ranging from ±15 to ±162° and with an angular resolution of 3.5°. The average errors of measurements lie between 3 to 5% for scattering angles ranging from 15 to 162° (with a maximum error of 20% at 162°)<sup>75</sup>. Mean values of the calibrated non normalized scattering phase functions were computed each seconds and synchronized with the data recorded on the aircraft system. Electronic offsets of each channel were estimated based on the signal measured during clear air sequences. The background signal was then subtracted to the Polar Nephelometer cloudy signal(+ref).

ASC can be used to discriminate spherical from non-spherical cloud particles, as well as the dominant cloud thermodynamical phase  $^{76,77}$ . In addition, the extinction coefficient and the asymmetry parameter g can be derived from these measurements  $^{78,79}$  with uncertainties of ~25 % and  $\pm 0.04$ , respectively. In the published data set on PANGAEA, the ASCs are provided with a temporal resolution of 1 Hz<sup>80,81</sup>.

## Optical array probes

The basic measurement of optical array probes is shadowgraphs of water and ice particles. Two-dimensional images of hydrometeors are reconstructed from individual slices, where a slice is the state (shadowed or non shadowed) of a linear multi element photo diode array at a given moment in time. The data recorded by Cloud Imaging Probe (CIP), Precipitation Imaging Probe (PIP)<sup>66</sup>, and the 2D Stereo Imaging Probe (2D-S)<sup>82</sup> differ in pixel quantity and resolution (64 diode array with 15 µm resolution for CIP, 64 diode array with 103 µm resolution for PIP, and 128 diode array with 10 µm resolution for 2D-S). For observable particle size range, see Table 2. Before, after, and during the field campaigns, measurements with the spinning disk calibration tool from Droplet Measurement Technologies<sup>83</sup> were done in order to check functionality and a consistent resolution of the optical array probes during the campaign period. From the raw image data, stuck bits and shattered particles are corrected. To avoid loss of data due to a possible failure in live airspeed data, the sampling speed was set to a constant value corresponding to the highest achievable airspeed of 120 m s<sup>-1</sup>. This provides an oversampling of the particle image. Then, with validated true air speed data, raw images are squeezed to their correct frame afterwards. The data correction and particle sizing is done via processing software SODA (Software for OAP Data Analysis, provided by A. Bansemer, National Center for Atmospheric Research/University Corporation for Atmospheric Research UCAR, 2013). The ice and LWC are retrieved using a mass-dimension relationship<sup>84,85</sup>. Note these data have to be handled to account for the respective cloud phase. The LWC is valid in pure liquid clouds and ice water content (IWC) in pure ice clouds. In addition, effective diameter (ED) and median volume diameter are provided.

In the 2D-S, CIP, and PIP data set published on PANGAEA<sup>72,73,80,81</sup>, the PNSDs of all instruments are stored separately. In order to retrieve the most statistically reliable PNSD, all particle images were used. Truncated images were extrapolated in order to estimate the particle diameter<sup>86</sup>. However, the classification of nonspherical particles recorded by the 2D-S was based on complete images only. Depending on the application, different definitions of the particle diameters can be applied when calculating the PNSD.

In addition to the datasets of the individual in-situ cloud measurement instruments, a combined data set of CAS/CDP, CIP and PIP is published on PANGAEA<sup>72,73</sup>, which contains a continuous size spetrum of hydrometeors from 3 -  $6400 \,\mu m$ .

#### Nevzorov

During the flight campaigns, a Nevzorov probe<sup>87</sup> was installed on the fuselage of Polar 5 aircraft. The Nevzorov probe is a constant-temperature, hot-wire probe designed for the airborne bulk measurements of the LWC and total water content (TWC) of clouds in 1 Hz resolution. It has to be noted, that data recorded during a large temperature gradient, respectively during ascent and descent, might be inaccurate. In addition, it is also very hard to retrieve both LWC and IWC in mixed-phase clouds, as the liquid phase dominates. Due to an incorrect setting during AFLUX, only MOSAiC-ACA data are published on PANGAEA<sup>73</sup>.

#### Dropsondes

In total, 93 dropsondes were released from the Advanced Vertical Atmosphere Profiling System (AVAPS) installed on Polar 5 during both campaigns (33 during AFLUX, 60 during MOSAiC-ACA). The dropsondes measured vertical profiles of pressure, temperature, humidity, and the horizontal wind vector. The vertical resolution of the measurements was 5 to 6 m. With a sampling frequency of 2 Hz, this corresponds to a fall velocity of about 10 to 12 m s<sup>-1</sup>. The dropsonde type RD94 used during AFLUX was replaced by the new type RD41 during MOSAiC-ACA, which contains improved temperature and humidity sensors.

The Atmospheric Sounding Processing ENvironment (ASPEN, Version 3.4.4)<sup>88</sup> software was used in two configurations to process the raw data. A quality check was performed with both configurations to remove invalid data points. The predefined configuration research-dropsonde further corrected for the response time of the temperature sensor. The inertia of the humidity sensor was not corrected for with this configuration. Thus, both the temperature and the relative humidity measurements were

additionally corrected manually<sup>89</sup>. The time constants (*e*-folding time) applied for the temperature and the humidity sensor of the dropsonde type RD94 were 4 and 5 s, respectively. For the new dropsonde type RD41 used during MOSAiC-ACA, the time constants were characterized to be 1.3 and 1.6 s, respectively.

The humidity profiles show a dry-bias as they never reach a relative humidity of 100% inside clouds, which could be due to increasing contamination of the polymer film of the humidity sensor as the dropsondes age<sup>90</sup>. A reconditioning procedure aiming to correct for this bias has not been performed before each launch during the campaigns. The dropsonde data obtained during MOSAiC-ACA were thus corrected for the dry bias. An individual correction factor was applied to each humidity profile such that the saturation level of 100% is reached inside clouds. The correction factor is in the range of 1.025 for the majority of the sondes. This correction has not been done on the dropsonde data for AFLUX uploaded to PANGAEA.

The published data sets<sup>58,91</sup> contain both the temperature and humidity data processed and corrected by ASPEN and the manually corrected data. However, data points above an altitude where the temperature sensor was not yet adjusted to the ambient temperature were excluded from the data sets.

# **Data Records**

All data sets are published in PANGAEA with open access. Tab.3 lists the corresponding data set identifiers. data set collections of all corresponding data sets have been compiled, for both campaigns, AFLUX<sup>25</sup> and MOSAiC-ACA<sup>26</sup>. With the exception of the nose boom data, that is available in compressed ascii format, all data sets have been converted to and are available in NetCDF4 file format. In general, each data file contains the data for one research flight. The files are identified by date and research flight number according to Tab.1. Very large data sets are provided in hourly files.

The data sets available on PANGAEA contain all necessary information needed to work with the data. If not provided within the respective data set for the instruments, position and attitude can be extracted from the 100 Hz nose boom data sets<sup>30,31</sup> and reduced to the 1 Hz resolution of most of the data sets.

## Technical Validation

The quality of the data sets has been assured by multiple steps. First, the instruments have been calibrated either before the installation into the aircraft in a laboratory, on ground during flight preparation before take-off, by specific flight patterns under well defined conditions during the research flights, or by cross-calibration with well calibrated instruments. Second, each instrument team conducted quality control by applying methods based on their respective user community standards. Most of the calibration procedures and the methods applied are described in the data publication for the ACLOUD campaign<sup>16</sup>. In addition, the instrumentation and the quality of the collected data has been described in other peer-reviewed publications: Nose boom<sup>27,92</sup>, MiRAC-A<sup>32,93</sup>, MiRAC-P<sup>32</sup> and HATPRO<sup>38</sup>, AMALi<sup>41</sup>, SMART<sup>47</sup>, AISA Eagle/Hawk<sup>94</sup>, Nikon<sup>95</sup>, CMP22 and CGR4<sup>55,56</sup>, CAS<sup>66</sup>, CDP<sup>67</sup>, Polar Nephelometer<sup>74</sup>, CIP<sup>66</sup>, PIP<sup>66</sup>, 2D-S<sup>82</sup>, and dropsondes<sup>90</sup>.

Figure 5 illustrates the combination of the data collected by remote sensing and in-situ instruments operated on board Polar 5. The measurements are taken from two legs of RF09 along the flight path as shown in Figure 4 carried out on the 01 April 2019 of the AFLUX campaign. To give an impression of the data collected, a flight section over open ocean was chosen were the aircraft was flying across roll clouds that are typical for marine cold air outbreaks. Since in-situ and remote sensing instrumentation is operated on the same platform, the measurements have to be performed one after the other. This resulted in a time difference of approximately 80 to 90 min between the two corresponding legs, i.e., in-situ (8:34 and 8:52 UTC) and remote sensing (10:02 and 10:12 UTC). Although, the separation in time is more than one hour, the in-cloud measurements can still be related well to the remote sensing observations. For example, the radar reflectivity in Figure 5 (d) shows the vertical structure of the cloud. Higher reflectivities are measured in the lower part of the clouds where the particles are larger as can be seen by the particle size distributions from the different in-situ probes shown in (e) and (f). Measurements from lower parts of the cloud at 70 and 130 m altitude show more large particles and less smaller ones compared to the distributions collected in higher layers (240 and 340 m) where the radar reflectivity is lower. The normalized ASCs in panel (g) show a strong Mie forward peak and the images shown in (h) indicate that the higher reflectivities stem from snow particles. The cloud rolls can be nicely seen as well as areas of higher reflectivity in the lower 500 m. In the microwave radiometers (b) and (c), these clouds are reflected by an increased brightness temperatures (higher emissivity of liquid in clouds than the one of the ocean surface), where as the KT-19 (a) shows a lower brightness temperature for the cloudy sections (clouds are colder than the surface).

To verify the individual calibrations and data quality, nadir radiances measured by SMART, AISA Eagle, and Nikon from RF09 during MOSAiC-ACA were compared. During a period of three hours, observations of cloud tops, with clouds, and above sea ice were performed, which cover a broad range of radiance values. Combining the three instruments needs to account for the different spatial, temporal, and spectral resolutions. AISA Eagle and SMART spectra were convoluted with respect to the spectral response functions of the three spectral channels of the Nikon camera. AISA Eagle and Nikon data were spatially averaged to match the size of the SMART footprint of 2°. Figure 6 displays scatterplots of the radiance data using SMART

as a reference. The correlation between SMART and AISA Eagle data (red dots) is consistent for all three channels with a correlation coefficient (R) of about 0.97 and an offset of 6%, which falls within the measurement uncertainty of the two instruments. The correlation coefficient between SMART and Nikon data is slightly lower with R = 0.94. The best agreement was found for the red and the green channels, while a significant offset of about 22% was derived for the blue channel. Finally, these findings were used to inter-calibrate the Nikon camera in order to provide a consistent data set.

# Usage Notes

During the field campaigns MOSAiC-ACA and AFLUX, a suite of remote sensing and in-situ instruments has been successfully operated on board the Polar 5 research aircraft to perform measurements of clouds, precipitation, and the structure of the lower Arctic atmosphere. The data sets collected can be used for a wide range of studies and are especially well suited for studies on Arctic mixed-phase clouds and boundary-layer processes, to derive higher level products by appropriate retrieval algorithms, or to perform model or satellite validation studies.

Along with the measurement campaigns conducted in the past years, the python package *ac3airborne*<sup>96</sup> has been compiled to make the airborne data more visible and more readily usable. *ac3airborne* is a simple python module that follows the idea of the EUREC<sup>4</sup>A<sup>97,98</sup> community. It is publicly available on github<sup>96</sup>. The module makes use of the intake<sup>99</sup> python library, that contains drivers for loading different file formats, cataloging system for specifying the sources of data sets as machine-readable YAML (YAML Ain't Markup Language) files, and a server-client architecture to share the catalog meta data over the network. By that, all data sets of each instrument for every flight performed in (AC)<sup>3</sup> are easily accessible without knowing their storage location or format. No additional information is needed. Everything else is handled by the package. Within the *ac3airborne* package, scripts are included that have been used to perform conversions on the publicly available datasets on PANGAEA for a better integration into the structure. A central part of the package is the flight segmentation<sup>100</sup>, where each research flight has been split up into logical parts like ascends, descends, specific patterns for in-situ probing, high, mid, or low level legs, and patterns for calibration purposes. By making use of this information defined by start and end time stamp of the specific section, it is easy to extract the data of interest.

The usage of the package together with a collection of example scripts is presented on *How to ac3airborne*<sup>101</sup>, an online and interactive jupyter book<sup>102</sup>. The sections of the online book describe simple usage cases of the data sets from reading procedures to quicklook production or more complex scripts for combining data sets from the different instruments. The use of the information provided by the flight segmentation is explained in more detailed and its application shown along with the different code examples. For example, the data presented in Figure 5 has been extracted and compiled using the opportunities given by *ac3airborne* for analyzing a flight with remote sensing and in-situ observations from the AFLUX campaign. The script is part of the example scripts in the online book.

# Code availability

Each instrument is controlled either by code developed by the institution operating it or by code developed by the manufacturer and therefore often closed source or not even freely available and bundled with the instrument. Code used in the post-processing of the data has been developed by each institution and is available on request.

For the basic acquisition system of the Polar 5 aircraft and the KT-19, Werum Software & Systems AG has developed the software to communicate with the instruments and store the data. MiRAC-A radar, MiRAC-P, and HATPRO have been operated with software of the manufacturer Radiometer Physics GmbH. A LabView program by AWI controls AMALi and Nikon. The cloud particle probes CAS, CDP, CIP, and PIP are operated by a software from the manufacturer Droplet Measurement Technologies (DMT), where as for the 2DS it is Spec. Inc. and a LabView based program for the Polar Nephelometer. The spectral imager data acquisition software was developed by the manufacturer Specim, Spectral Imaging Ltd. Data evaluation was performed using the ENVI image analysis software. SMART is controlled by a LabView based software developed by Enviscope GmbH. The dropsonde system AVAPS has been post-processed with the Atmospheric Sounding Processing ENvironment (ASPEN, Version 3.4.4)<sup>88</sup>, which is publicly available.

The ac3airborne package and tools developed within the project are written in python, open source, and publicly available on github<sup>96</sup>.

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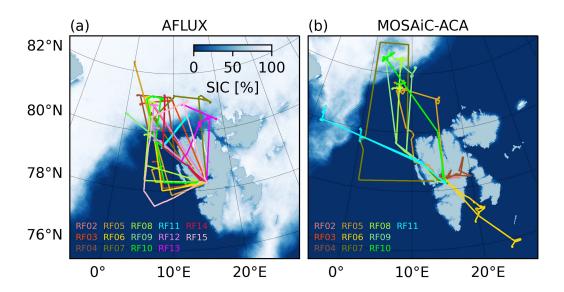
## **Author contributions statement**

A.E., A.H., C.L., M.W., S.C., and M.Me. conceived the flight experiment(s). C.L., D.C., and J.H. have been responsible for the 728 nose boom, performed the measurements, processed the data, and contributed to this topic in the manuscript. M.Me., L.-L.K., 729 and B.S.K. performed the MiRAC-A, MiRAC-P, HATPRO, and AMALi measurements and contributed to the data analyses 730 and the corresponding sections in the manuscript. A.H. was responsible for the sun photometer and provided the section on this 731 instrument. S.B., E.J., M.S., M.K., E.R.-D., and J.S. were responsible for AISA, SMART, Nikon, KT-19, and the dropsondes 732 and provided the corresponding sections and analyzes on the data. Y.B., R.D., C.G., O.J., G.M., M.Mo., and C.V. have been 733 responsible for the in-situ probes, performed the measurements, and contributed to the analyzes and manuscript. N.R. produced 734 the figures for the manuscript and performed the data processing for MiRAC-A and the microwave radiometers. All authors 735 (except N.R.) conducted the experiment(s). All authors analyzed the results. All authors reviewed the manuscript.

# 737 Competing interests

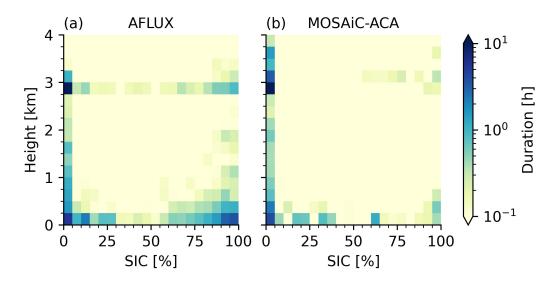
The authors declare no competing interests.

## Figures & Tables

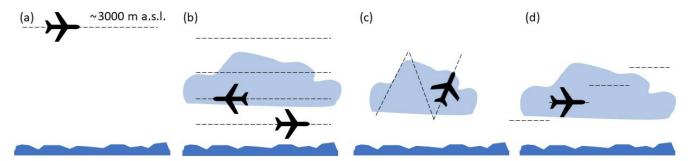


**Figure 1.** Tracks of the research flights performed northwest of Svalbard during AFLUX (a), and MOSAiC-ACA (b). Background shows the sea ice concentration averaged over the respective campaign period (19 March to 11 April 2019 for AFLUX and 30 August to 13 September 2020 for MOSAiC-ACA) as derived by University of Bremen from AMSR-2 measurements <sup>103</sup>.

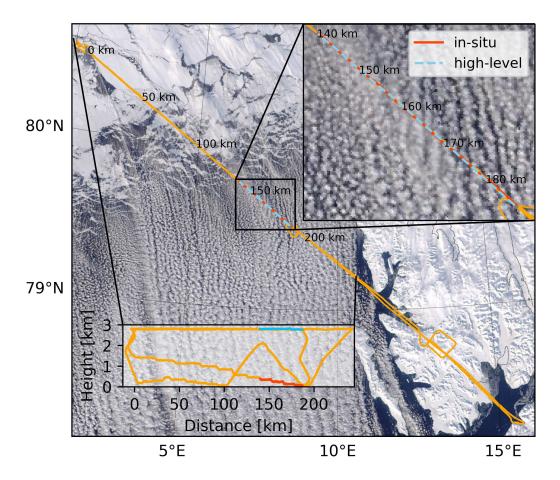
Design Type(s)	time series design, observation design
Measurement Type(s)	navigation data, temperature of air, atmospheric humidity, radar reflectivity,
	brightness temperature, cloud, radiation, atmospheric wind, surface temperature
Technology Type(s)	aircraft, GPS navigation system, radar, radiometer, lidar, data acquisition system, dropsondes,
	particle count and size analyzer
Factor Type(s)	temporal_interval
Sample characteristics	Arctic Ocean, Fram Strait, atmosphere



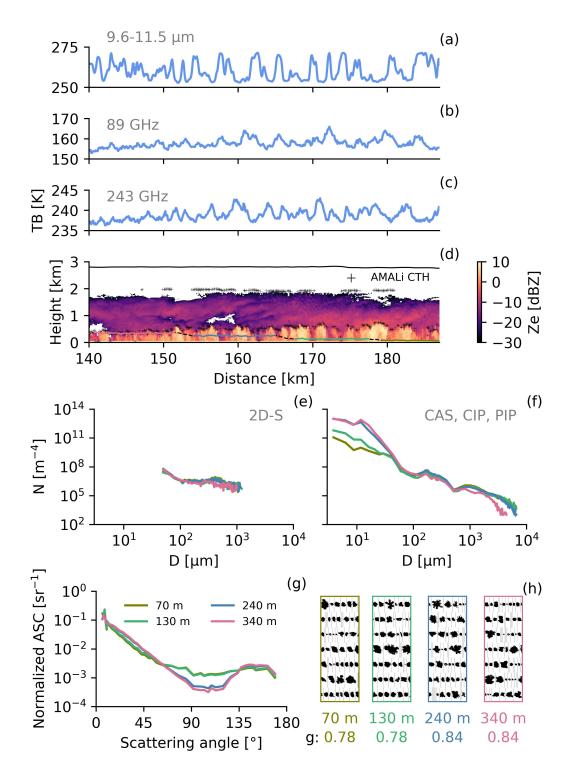
**Figure 2.** Flight time in hours as a function of the overflown sea ice concentration <sup>103</sup> and flight altitude for all research flights during AFLUX (a) and MOSAiC-ACA (b) as shown in Figure 1 excluding sections over land.



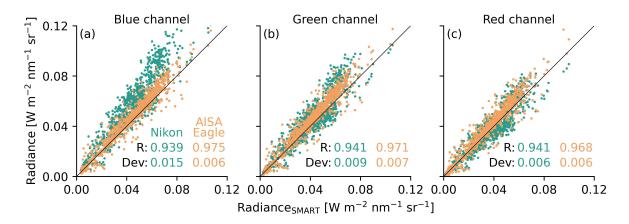
**Figure 3.** Different types of flight patterns flown during the campaigns: (a) remote sensing leg and (b) racetrack, (c) saw tooth, and (d) staircase pattern.



**Figure 4.** Flight track (colored) of RF09 from AFLUX on 01 April 2019 on top of an early afternoon Terra/MODIS composite from NASA worldview <a href="https://worldview.earthdata.nasa.gov">https://worldview.earthdata.nasa.gov</a>. Insets show the Polar 5 flight altitude as a function of along-track distance (lower left) and a detailed view of cloud streets near the in-situ (red) and high-level (blue) sections corresponding to Figure 5 (upper right).



**Figure 5.** Selection of observations along the high-level (a-d) and in-situ (e-h) segments shown in Figure 4. Remote sensing observations during the high-level segment include brightness temperatures measured by the (a) KT-19 infrared radiometer, and the (b) 89 and (c) 243 GHz from MiRAC-A and MiRAC-P, respectively, (d) radar reflectivities from MiRAC-A, cloud top altitudes from AMALi, and flight altitude (black and colored line). In-situ measurements for each of the four height levels (70 (olive), 130 (green), 240 (blue), and 340 m (pink)) include particle size distributions from (e) 2D-S, and (f) combined CAS, CIP, and PIP probes, (g) normalized ASCs from Polar Nephelometer, and (h) a selection of images from 2D-S. The asymmetry parameter is indicated below the images in (h). The flight altitudes of the high-level (solid black line) and in-situ (dashed black line and solid colored lines for each height level) are indicated in (d).



**Figure 6.** Comparison of radiances in nadir direction measured by SMART, Nikon, and AISA Eagle on 10 September 2020. *Dev* represents the root mean squared error between the reference radiance of SMART and the radiances by Nikon (green) and AISA Eagle (orange), respectively. *R* indicates the Pearsons correlation coefficient.

#RF	Date	Take-off & Landing	Duration	Scientific Target	
AFLU	X		I		
RF02	2019-03-19	16:35-17:55	1:19 h	Test of instrumentation.	
RF03	2019-03-21	09:51-14:31	4:39 h	Cloud structures and impact on fluxes over sea ice	
RF04	2019-03-23	11:27-16:55	5:28 h	Sampling of cloud microphysics and fluxes in the	
				boundary layer and/or in the low-level clouds over sea ice;	
				Studying of cold air-mass and boundary layer evolution	
				and cloud structure over open water	
RF05	2019-03-24	10:01-14:51	4:49 h	Clouds during cold air outbreak;	
				Turbulent and radiative energy fluxes over different surfaces	
RF06	2019-03-25	10:37-15:50	5:13 h	Remote sensing and in-situ measurements	
				in a cold-air outbreak over Fram Strait	
RF07	2019-03-30	10:13-15:27	5:14 h	Turbulent and radiative energy fluxes and cloud	
				microphysics in different cloud layer conditions	
RF08	2019-03-31	08:58-14:28	5:29 h	Clouds in a strong cold air outbreak over sea ice close to the	
				MIZ and open water	
RF09	2019-04-01	07:35-12:37	5:20 h	Validation of satellite observations	
RF10	2019-04-03	10:21-14:58	4:37 h	Turbulent, radiative flux measurements and microphysics	
				in mid-level clouds and over sea ice	
RF11	2019-04-04	08:38-12:26	3:47 h	Characterize clouds and surface fluxes ahead of a	
				warm front over sea ice	
RF12	2019-04-06	10:24-15:51	5:26 h	Vertical profiles of fluxes and cloud particles	
RF13	2019-04-07	07:21-12:16	4:55 h	A-Train co-location with remote sensing and in-situ;	
				Turbulent energy and momentum fluxes	
RF14	2019-04-08	09:05-13:53	4:48 h	Characterize clouds and surface fluxes over sea ice	
RF15	2019-04-11	09:37-15:14	5:37 h	Vertical profiles of fluxes and cloud particles	
MOSA	iC-ACA				
RF02	2020-08-30	08:14-09:07	0:53 h	Test of instrumentation	
RF03	2020-08-31	10:20-10:58	0:38 h	Certification flight (PMS instruments)	
RF04	2020-08-31	12:40-14:55	2:14 h	Joint P5 and P6 operation close to Longyearbyen;	
				Test flight for P5 instruments	
RF05	2020-09-02	06:55-12:23	5:27 h	A-train co-location north of Svalbard;	
				Nose boom, radiation, and microwave radiometer calibration	
RF06	2020-09-04	12:11-17:41	5:29 h	Atmospheric structure along the transition from a cloud-free	
				region to a cloudy region during warm air intrusion	
RF07	2020-09-07	08:22-14:05	5:42 h	Remote sensing of clouds in different regimes; Thermo-	
				dynamic structure of the atmosphere and the wind field.	
RF08	2020-09-08	08:00-14:05	6:40 h	Atmospheric structure over sea ice and open ocean	
RF09	2020-09-10	08:30-14:45	6:14 h	Cloud evolution along wind direction over sea ice and	
				open ocean; Evaluation of lee effects from Svalbard	
RF10	2020-09-11	08:19-13:59	5:39 h	Lee effect of Svalbard on atmosphere and cloud conditions;	
				Profile multi-layer clouds over sea ice and over open ocean	
RF11	2020-09-13	09:20-15:06	5:46 h	Atmospheric structure over sea ice and open ocean	

**Table 1.** List of research flights (RF) conducted out of Longyearbyen (Svalbard) during AFLUX and MOSAiC-ACA.

Instrument	Measured quantities, range, and sampling frequency	Campaign
Meteorology		11
Dropsondes (RS904)	Profiles of T, p, RH, Horizontal Wind Vector, 1 Hz	
Turbulence	-	
Nose-Boom Sensors	T, q, p, Wind Vector, 100 Hz	A,M
Radiation		11
CMP-22 Pyranometer	Solar Irradiance (Upward, Downward, Broadband $\lambda = 0.2 - 3.6 \mu\text{m}$ ), 20 Hz	
CGR-4 Pyrgeometer	Terrestrial Irradiance (Upward, Downward,	
	Broadband $\lambda = 4.5 - 42.0 \mu\text{m}$ ), 20 Hz	
SMART-Albedometer <sup>46</sup>	Spectral Irradiance (Upward, Downward $\lambda = 0.4 - 1.8 \mu\text{m}$ ), 2 Hz	A*, M
	Spectral Radiance (Upward, FOV = $2.1^{\circ}$ , $\lambda = 0.4 - 1.0 \mu\text{m}$ ), $2 \text{Hz}$	
Remote Sensing		
AISA Eagle/Hawk	Spectral Radiance (Upward, Swath = $36^{\circ}$ , $\lambda = 0.4 - 2.5 \mu\text{m}$ ), $20\text{-}30 \text{Hz}$	A,M
Fish-Eye / Wide-Angle Camera	<u> </u>	
AMALi <sup>41</sup>	Particle Backscattering Coefficient ( $\lambda = 355, 532 \text{ nm}$ ), Cloud Top Height,	
	Particle Depolarization ( $\lambda = 532  \text{nm}$ ), 5 s	
MiRAC-A <sup>32</sup>	Radar Reflectivity Factor, Doppler Spectra, $v = 94$ GHz, tilted by 25°, 1-2 s	
	Brightness Temperature (BT), $v = 89$ GHz, tilted by $25^{\circ}$ , 1-2 s	
MiRAC-P <sup>32</sup>	Brightness Temperature (BT), $v = 6 \times 183.31,243,340$ GHz, nadir view, 1-2 s	A
HATPRO <sup>38</sup>	Brightness Temperature (BT),	
	$v = 7 \times 22.24 - 31.4, 7 \times 51.26 - 58.00$ GHz, nadir view, 1-2 s	
KT-19	Brightness Temperature (Upward nadir, $\lambda = 9.6 - 11.5 \mu\text{m}$ ), 20 Hz	A,M
Sun Photometer	Spectral Aerosol Optical Depth (AOD) $\lambda = 400 - 2000 \text{nm}$ ), 1 s	A,M
Cloud Microphysics		
2D-S	Cloud PNSD, Particle Shape, $D_p = 10 - 1280 \mu\text{m}$ , 1 Hz	A,M
Polar Nephelometer		
CAS	Cloud PNSD, $D_p = 3 - 50 \mu\text{m}$ , 1 Hz	A
CDP	Cloud PNSD, $D_p = 3 - 50 \mu\text{m}$ , 1 Hz	M
CIP	Cloud PNSD, Particle Shape, $D_p = 15 - 960 \mu\text{m}$ , 1 Hz	A,M
PIP	Precipitation PNSD, Particle Shape, $D_p = 100 - 6400 \mu\text{m}$ , 1 Hz	A,M
Nevzorov Probe	LWC, TWC, 1 Hz	M
	1	

**Table 2.** Overview of the instrumentation on Polar 5 during AFLUX (A) and MOSAiC-ACA (M) and the measured quantities.  $\lambda$  is wavelength,  $\nu$  is frequency, T is temperature, and p is atmospheric pressure. RH is relative humidity, FOV is field of view, PNSD is the particle number size distribution, and  $D_p$  symbolize the particle diameter. \*Note, SMART only measured the spectral downward irradiance during AFLUX while upward was measured in both campaigns.

Instrument	PANGAEA data set ID		
	AFLUX	MOSAiC-ACA	
Master tracks <sup>19,20</sup>	902876	924603	
Nose boom <sup>30,31</sup>	945844	0	
Dropsondes <sup>58,91</sup>	921996	933581	
MiRAC-A <sup>34,35</sup>	944506	944507	
MiRAC-P <sup>39</sup>	944057	-	
HATPRO <sup>40</sup>	-	944101	
AMALi <sup>43,44</sup>	932455	932456	
SMART Albedometer <sup>48</sup>	-	933850	
AISA Eagle/Hawk <sup>51,52</sup>	930932	946965	
Fish-eye <sup>104, 104</sup>	933839	933849	
Broadband & KT-19 <sup>59,60</sup>	932020	936232	
Sun photometer <sup>65</sup>	946923	0	
CAS/CDP, CIP, and PIP <sup>72,73</sup>	940564	940557	
2D-S & Polar Nephelometer <sup>80,81</sup>	941498	941538	
Nevzorov <sup>73</sup>	-	940557	

**Table 3.** Datasets and their identifiers on PANGAEA. For full path append https://doi.org/10.1594/PANGAEA. (for example for the MiRAC-P data for AFLUX https://doi.org/10.1594/PANGAEA.944057). Collections of the data sets for AFLUX<sup>25</sup> and MOSAiC-ACA<sup>26</sup> are available on PANGAEA.