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1 Projected changes in ENSO-driven regional tropical cyclone tracks

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## 23 **Abstract**

24 Simulations and projections of the El Niño Southern Oscillation's (ENSO's) influence on TC  
25 track variability was analysed globally using Coupled Model Intercomparison project Phase 5  
26 (CMIP5) models. The ability of these models to simulate the historical (1970-2000) ENSO-TC  
27 track relationship and inform us of the likely projected changes resulting from high carbon  
28 emissions (RCP8.5) in a climate projection (2070-2100) was determined through cluster  
29 analysis. The number of seasonal TC occurrences during traditional ENSO events ("El Niño"  
30 and "La Niña") in each cluster were used to determine whether each cluster was "El Niño"  
31 dominant", "La Niña dominant" or "neither". Only seven out of a combined total of twenty-  
32 eight clusters across all basins were found to disagree in terms of "ENSO dominance" between  
33 the observed records and historical model simulations. This suggests that models can simulate  
34 the ENSO and TC track relationship reasonably well. Under sustained high carbon emissions,  
35 La Niña TCs were projected to become dominant over El Niño TCs in the central South Indian  
36 Ocean (~60 – 100°E), the southern Bay of Bengal and over straight-moving TCs in the South  
37 China Sea. El Niño TCs were projected to increase and become dominant over La Niña TCs in  
38 a larger area of the western South Pacific (~160°E – 165°W) and central North Pacific (~160°E  
39 – 145°W) Oceans. Projections of track directions and lifetimes, while less robust, indicated that  
40 El Niño TCs would track westward more often in the Coral Sea (150 – 165°E), while El Niño  
41 TCs that took an eastward track here would have longer lifetimes (~3 days).

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## 46 **1. Introduction**

47 The El Niño Southern Oscillation (ENSO) is a major driver of tropical cyclone (TC) activity  
48 at interannual timescales in different TC basins around the globe (e.g., Chu et al. 2004). In  
49 particular, the observed relationships between ENSO and TC genesis (e.g., Nicholls 1979; Gray  
50 1984; Chan 1985; Chia and Ropelewski 2002; Kuleshov et al. 2009; Kim et al. 2011; Magee  
51 et al. 2017), tracks (Irwin and Davis 1999; Camargo et al. 2007c; Camargo et al. 2008; Kossin  
52 et al. 2010; Ramsay et al. 2012; Caron et al. 2015; Patricola et al. 2018) and intensity (Camargo  
53 and Sobel 2005; Frank and Young 2007; Chand and Walsh 2011) have received considerable  
54 scientific attention in the past. However, only a few studies have documented the extent at  
55 which state-of-the-art climate models are able to simulate the ENSO-TC relationship (e.g., Bell  
56 et al. 2014; Zhang et al. 2016a,b; Chand et al. 2017; Patricola et al. 2018; Tan et al. 2019), with  
57 the impact of climate change on this relationship explored by only a couple of these studies.  
58 Both Chand et al. (2017) and Tan et al. (2019) used CMIP5 models to explore the relationship  
59 between ENSO and TC genesis in a changing climate, though the latter was restricted to the  
60 western North Pacific Ocean, while Chand et al. (2017) explored this relationship globally (see  
61 also an up-to-date review on this subject by Lin et al. 2019). The present study seeks to build  
62 upon the prior work of Chand et al. (2017) but with an emphasis on TC tracks.

63 Representation of ENSO in modern-day climate models has improved over the past  
64 decade (Bellenger et al. 2014; Capotondi et al. 2015), although some aspects remain  
65 problematic to simulate, including certain climate feedback processes (Bellenger et al. 2014;  
66 Bayr et al. 2018). There is also evidence to suggest that some improvements in model skill are  
67 occurring due to error compensation rather than correct simulation of climate processes  
68 (Bellenger et al. 2014; Bayr et al. 2018). Nevertheless, these investigations have led to  
69 improvements in our understanding of the likely changes in ENSO conditions as a result of  
70 global warming. For example, a number of studies have indicated a projected increase in the

71 frequency of non-conventional (central Pacific or “warm pool”) El Niño events compared to  
72 more traditional (eastern Pacific or “cold tongue”) El Niño events in the future climate (e.g.,  
73 Yeh et al. 2009; Collins et al. 2010; Kim and Yu 2012). It is important to note that as climate  
74 models are not able to adequately simulate the effects of non-conventional ENSO events  
75 (Power et al. 2013; Taschetto et al. 2014), we do not discriminate between traditional and non-  
76 traditional ENSO events or different stages of ENSO development (e.g., onset and decay, Chu  
77 et al. 1997; Jin et al. 2014; Lin et al. 2019) in this study.

78         The frequency of extreme El Niño events has also been projected to increase (Cai et al.  
79 2014) due to global warming. Furthermore, some studies have suggested a likely weakening of  
80 the “Walker” circulation in response to global warming, thus giving rise to more “El Niño-like”  
81 conditions in the future (Vecchi and Soden 2007; Christensen et al. 2013). Nevertheless, there  
82 is a very high expectation that ENSO will continue to dominate regional-scale climate  
83 variability in the future (Christensen et al. 2013; Power et al. 2013) and strongly influence  
84 weather-related variables such as rainfall (Power et al. 2013) and TCs (Chand et al. 2017) in a  
85 changing climate.

86         Chand et al. (2017) showed robust future changes in ENSO-driven variability in TC  
87 frequency and genesis locations in the Pacific, and elsewhere around the globe, in response to  
88 global warming. Our present study extends the work of Chand et al. (2017) to examine  
89 regional-scale changes in TC tracks as a result of future changes in ENSO variability. This  
90 gives insight into how TC tracks, and resulting TC impacts through landfalling events, will  
91 change as a result of the changing nature of ENSO in a warming climate. The independent  
92 tracking and detection scheme of Tory et al. (2013a), that circumvents dependence on model  
93 tuning, is applied to detect and track TCs in Coupled Model Intercomparison Project Phase 5  
94 (CMIP5; Taylor et al. 2012) models of medium to coarse resolution. Although TC intensities  
95 are poorly resolved in these models (e.g., Davis 2018), the genesis location and track of high

96 intensity TCs have been realistically simulated by the Tory et al. (2013a) scheme in reanalysis  
97 data at a similar horizontal resolution as these models (Tory et al. 2013b). Models for each  
98 basin are selected based on their overall performance in that basin while model autocorrelation  
99 (e.g., Knutti et al. 2013; Sanderson et al. 2015) is removed in our model selection process.  
100 Once selected, detected TCs from each model are respectively combined to form multi-model  
101 means for the two simulation periods: a historical simulation over the period 1970-2000 and a  
102 climate projection over the period 2070-2100 under a high radiative forcing of RCP8.5 (see  
103 Section 2b).

104 A popular method for isolating similar track types in a given TC basin is via a clustering  
105 algorithm, particularly the curve-clustering algorithm of Gaffney et al. (2007) that treats each  
106 TC track as a mathematical function to accommodate tracks of different shapes and sizes. This  
107 clustering algorithm has been used extensively on observational TC track data in different TC  
108 basin around the globe. The definitions of these basins are mostly retained in our study as well  
109 for consistency: the Southern Hemisphere (Ramsay et al. 2012) that includes the South Indian  
110 Ocean, the Australian region and the South Pacific Ocean, the North Indian Ocean (NI) basin  
111 (Paliwal and Patawarthan 2012), the Western North Pacific (WNP) basin (Camargo et al.  
112 2007a,b), the Eastern North Pacific (ENP) basin (Camargo et al. 2008; Caron et al. 2015) and  
113 the North Atlantic (NA) (Kossin et al. 2010; Kozar et al. 2012; Boudreault et al. 2017).  
114 However, analysing TC tracks in climate models requires a slightly different approach because  
115 models often detect TCs in regions where they do not occur in reality. This leads to the use of  
116 additional clusters in some regions (e.g., Ramsay et al. 2018). Furthermore, fundamental  
117 differences between the observed and model-detected tracks (such as different track shapes and  
118 lifetimes) make it better practice to cluster all tracks together in one large set. Resultant TC  
119 tracks can be then re-grouped into their original source of data (i.e., observed records, historical  
120 and RCP8.5 simulations) post-clustering, and hence the frequency differences between these

121 sets of data in regional clusters can be established. Each TC track in a given regional cluster  
122 may be associated with a specific phase of ENSO (i.e., El Niño or La Niña). Considering many  
123 TC tracks over many years of data, the relative ENSO-dominance of specific clusters can be  
124 determined. It can then be established objectively how well such ENSO-cluster relationships  
125 are simulated in models and how they are likely to change in response to global warming.

126 The outline of this paper is as follows. Section 2 gives an overview of data, definitions  
127 and methods used in the study. Section 3 provides assessments and discussions of the ENSO-  
128 TC track relationship in different TC basins around the globe, including assessments of  
129 historical and RCP8.5 climate model simulations and associated changes in large-scale  
130 environmental mechanisms that affect TC activity. Finally, section 4 gives a summary of the  
131 major findings of this work.

## 132 **2. Data, Definitions and Methods**

### 133 *a. Observational data*

134 The best-track dataset compiled in the International Best Track Archive for Climate  
135 Stewardship (IBTrACS-WMO, Knapp et al. 2010) is used in three of our five basins (Table 1).  
136 The best-track data in these basins strongly compare with those detected in ERA-Interim  
137 reanalysis data set (e.g., Bell et al. 2018). However, due to inconsistencies between the NI TC  
138 observations (IBTrACS-WMO) and those detected in reanalysis/climate model data (e.g.,  
139 Strachan et al. 2013; Tory et al 2013c; Bell et al. 2018), we instead use TCs detected in ERA-  
140 Interim reanalysis data in place of observations for the NI Ocean basin. For the WNP basin,  
141 the Joint Typhoon Warning Centre (JTWC, 2017) dataset is used.

142 For consistency, TC tracks in all observed records were defined to be consistent with  
143 tracks detected in climate models (see Section 2d). Observed TC tracks begin at a position  
144 when a storm first reaches the 10-minute sustained wind speed of  $17 \text{ m s}^{-1}$ , with those storms

145 not reaching this intensity excluded from the analyses. Observed tracks are terminated in two  
146 cases: (1) if a forecast centre no longer tracks them (i.e., track information ceases in the  
147 database) or (2) if they encounter an objectively diagnosed subtropical jet<sup>2</sup> as they move  
148 poleward (Tory and Dare 2015); the subtropical jet criteria isolates TCs from those that may  
149 form as non-tropical systems in the sub-tropics.

150 *b. CMIP5 model data*

151 Single ensemble runs from thirteen different models from the Coupled Model Intercomparison  
152 Project (CMIP5, Taylor et al. 2012) that performed well in prior studies in terms of simulating  
153 TC formation climatology (Tory et al. 2013c), ENSO (Bellenger et al. 2014) and ENSO-TC  
154 characteristics (Chand et al. 2017) are used to create independent multi-model means for each  
155 TC basin (Table 2). In other words, TC outputs from several models are combined, and then  
156 averaged over the number of models for computations of TC frequency, track density and large-  
157 scale variables. Model selection for each basin was based on several factors including:

- 158 1. Model independence (e.g., Knutti et al. 2013)
- 159 2. Realistic number of TC detections (e.g., Bell et al. 2018; Bell et al. 2019a)
- 160 3. Realistic TC track trajectories (e.g., Bell et al. 2018; Bell et al. 2019a)

161 This resulted in the WNP having seven models, the NI four models, and the other regions  
162 having five models each. The CSIRO-Mk3.6 best met the criteria and was used in the multi-  
163 model mean for each basin except for the NI, while GFDL-ESM2M was not used in any multi-  
164 model mean. Note these rigorous model evaluation procedures have also removed models with  
165 strong biases in simulating ENSO-TC characteristics, thus giving more confidence in our  
166 projection results (e.g., Chand et al. 2017).

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<sup>2</sup> This criterion is not available before 1979 (ERA-Interim start-date; Dee et al. 2011) and so data preceding this year was only used for the ENP and NA basins, due to little to no subtropical activity in the ENP (e.g., Romero-Vadillo 2008), while results for the NA basin were unaffected.



167 The following large-scale environmental genesis parameters (e.g., Camargo et al.  
168 2007c, Murakami et al. 2010) were also used to construct multi-model means:

- 169 1. Upward velocity (omega at 500 hPa)
- 170 2. Relative humidity at 700 hPa
- 171 3. Vertical wind shear between 850 and 200 hPa
- 172 4. Relative vorticity at 850 hPa

173 For the Northern Hemisphere, these environmental parameters were averaged over the three-  
174 month period July to September, except for the NI basin, where the three-month period October  
175 to December was used; generally coinciding with peak TC activity in each TC basin. For the  
176 Southern Hemisphere, environmental parameters were averaged over the three-month period  
177 December to February.

178 The two climate scenarios assessed in this work are (1) a historical simulation over the  
179 period 1970 – 2000 to evaluate and assess climate models' ability to reproduce observed TC-  
180 ENSO climatology, and (2) a RCP8.5 projection (2070 – 2100) to determine any projected  
181 changes in the ENSO-TC track relationship as a result of global warming. Note that CMIP5  
182 projections are often implemented with one of several Representative Concentration Pathways  
183 (RCP, Van Vuuren 2011) to control the level of carbon emissions in the atmosphere compared  
184 to pre-industrial times. In this study, the RCP8.5 that represents a maximum  $8.5 \text{ W m}^{-2}$  likely  
185 increase in radiative forcing over pre-industrial levels (Riahi et al. 2011) was chosen to best  
186 elucidate any changing TC-ENSO behaviour in a warmer climate (e.g., Chand et al. 2017).

### 187 *c. Detection and tracking*

188 The Okubo-Weiss-Zeta (OWZ) TC detection and tracking algorithm (Tory et al. 2013a) is used  
189 in this study to detect and track TCs in all models without any adjustment of thresholds to  
190 accommodate different model resolutions. The OWZ algorithm has undergone scrupulous  
191 validation in reanalysis data in terms of annual TC numbers and genesis positions (Tory et al.

192 2013b), and more recently in terms of tracks (Bell et al. 2018). Key details of the OWZ  
193 algorithm are provided in Tory et al. (2013a) while a good summary of the algorithm can be  
194 found in the Appendix of this paper. Crucially, the track validation study in Bell et al. (2018)  
195 identified a limitation in the algorithm, suggesting that those TCs lasting less than 2-days after  
196 declaration should be discarded for optimal performance. This study implements this  
197 suggestion by removing all such detected TCs.

#### 198 *d. TC track definition in models*

199 The objective definition of a TC track established in Bell et al. (2018) is also used in the present  
200 study. This definition states that a TC track detected by the OWZ algorithm commences from  
201 the TC declaration location (as this location best matched the timing of a TC first reaching the  
202 10-minute sustained wind speed of  $17 \text{ m s}^{-1}$  in the IBTrACS database) and terminates when a  
203 TC either dissipates or encounters an objectively diagnosed subtropical jet, which is identified  
204 in the reanalysis and model data by 200 h Pa jet steams  $>25 \text{ m s}^{-1}$  and zonal winds exceeding  
205  $15 \text{ m s}^{-1}$  (see Tory and Dare 2015 for details).

#### 206 *e. Cluster analysis*

207 The probabilistic curve-clustering technique of Gaffney et al. (2007) is applied to group  
208 together TC tracks of similar properties in each basin. An advantage of clustering TC tracks is  
209 that different track types that overlap, particularly in the WNP, can be analysed separately.  
210 Each cluster analysis was run with all track data (observations, historical climate model  
211 simulations and RCP8.5 climate model projections) combined. This has the implicit advantage  
212 of binning different model and observed TC tracks into the same set of clusters. Some  
213 drawbacks of this method include potential contamination of model biases with observed  
214 climatology with model biases and heavily weighting individual clusters on model tracks.  
215 These drawbacks can impact on the geographic location of clusters. Steps were taken to reduce

216 this impact by introducing more clusters to some regions and ensuring cluster arrangements  
217 were as close as possible (in terms of genesis location and track direction) to clusters identified  
218 in observation-only studies.

219 Twenty-five cluster runs were performed in each region where the input order of the  
220 tracks was randomized and 12 expected maximization (EM) starts were used. For each region,  
221 the cluster run with the smallest trained log-likelihood value was selected. Linear regression  
222 mixture (lrm) models were fitted to TC tracks with an objectively determined number of  
223 clusters,  $k$ . The chosen  $k$  for each region can be found in Table 3, noting some regions contain  
224 additional clusters as opposed to the prior observation-only based studies in order to account  
225 for unrealistic geographical regions of TC detections in models (see Tory and Ye 2018 for  
226 further reading).

#### 227 *f. Characterising ENSO phases*

228 Conventionally, ENSO phases are defined in accordance with Niño indices that use sea-surface  
229 temperature (SST) anomalies averaged over the equatorial Pacific as proxies for the current  
230 state of ENSO (e.g., Trenberth 1997). However, classifying ENSO events in models can be  
231 challenging, as models contain spatial SST biases. Defining model-specific ENSO  
232 classifications to take into account model biases introduces subjective decisions that would  
233 make comparisons between models and observations more difficult (Taschetto et al. 2014).  
234 Here we adopt a method similar to Grose et al. (2014) that utilizes the standard deviations,  $\sigma$ ,  
235 of the Niño 3.4 index (i.e., area averaged SST anomalies over the region 5°N-5°S, 120-170°W,  
236 hereafter N34) to classify ENSO into El Niño and La Niña events. As in Chand et al (2017),  
237 the  $\pm 0.5\sigma$  threshold is chosen here as it gave the best statistical match (at 95% significance  
238 level) between ENSO events calculated from HadISST data and the observed records for the  
239 period 1970 to 2000. Note that the Niño 3.4 index used here can collectively define  
240 conventional ENSO-events as well as ‘Modoki El Niños’ (sometimes referred to as central

241 Pacific El Niños). For the purpose of this study, we do not seek to distinguish between different  
242 types of El Niños and their impacts on TCs, as most CMIP5 models still have biases and  
243 deficiencies in realistically simulating the observed structure of ‘Modoki-type’ events (Power  
244 et al. 2013; Tacshetto et al. 2014) as opposed to simulating conventional El Niños where  
245 CMIP5 models have improved substantially (Power et al. 2013; Bellenger et al. 2014; Murphy  
246 et al. 2015; Grose et al. 2014). Nevertheless, it is important to emphasise that ‘Modoki’ and  
247 conventional El Niño events can have different impacts on TCs in the Pacific (e.g., Wilks 2006;  
248 Hong et al. 2011; Chand et al. 2013a,b; Patricola et al. 2016; Magee et al. 2017; Patricola et al.  
249 2018; Wu et al. 2018). Furthermore, at a time when representation of non-conventional ENSO  
250 events in climate models have improved, more sophisticated indices such as the E and C indices  
251 (e.g., Takahashi et al. 2011; Ren and Jin 2011) should be utilised in climate projection studies.

252 *g. Isolating ENSO-related track clusters*

253 In the Southern Hemisphere, a TC season is defined from July to June and is referred to in the  
254 overlapping year format (e.g., 1980/81). Each TC season may be classified as either El Niño  
255 ( $N_{34} \geq 0.5$ ) or La Niña ( $N_{34} \leq -0.5$ ) where the  $N_{34}$  index is based on the normalised standard  
256 deviation of the 3-monthly mean of a typical peak TC season (December, January and  
257 February, DJF). In the Northern Hemisphere, a TC season is defined from January to December  
258 and is referred to simply by that year. El Niño and La Niña years in the Northern Hemisphere  
259 are defined using the standardised SST anomalies for the months of July, August and  
260 September (JAS) as TC activity generally peaks during these months. Each TC track for  
261 different models are then binned into their respective ENSO phases that existed during that  
262 year.

263 In order for a cluster to be classified as either “El Niño dominant” or “La Niña  
264 dominant”, there must be a statistically greater mean number of seasonal TCs in one phase over

265 another. Using 10,000 bootstrap resamples, the mean number of TCs occurring in El Niño and  
266 La Niña years are calculated: an ENSO phase is considered dominant if the mean number of  
267 TCs are different under the U-test with 90% confidence (see Chu and Wang 1997 for details).  
268 Phases were also considered dominant if there was no overlap between resampled 95%  
269 confidence intervals of TC frequency.

### 270 **3. Results**

271 TC tracks from the observed records and the historical multi-model-mean simulations are first  
272 compared globally to provide a visual assessment of the relative performance of models in  
273 simulating ENSO-TC characteristics in different basins (Fig. 1a-f). Overall, it is clear that  
274 typical changes in TC activity due to ENSO variability are well-simulated in most basins. This  
275 includes for example, an enhancement (suppression) of TC activity north-eastward in the South  
276 Pacific Ocean during El Niño (La Niña) (e.g., Basher and Zheng 1995), as well as north-  
277 westward displacement of TCs in the WNP during La Niña (e.g., Chan 1985, 2000). Storm  
278 tracks reaching farther poleward in the western South Indian Ocean (~75°E) during El Niño  
279 events (Ho et al. 2006) are also apparent in the historical climate simulation (Fig. 1f). However,  
280 there are some exceptions that include, for example, simulation of the westward-eastward (El  
281 Niño-La Niña) shift in the ENP (e.g., Gray and Sheaffer 1991; Collins and Mason 2000). The  
282 historical model mean appears to overly simulate La Niña dominance over El Niño TCs too far  
283 west to 150°W (Fig. 1f) in comparison to the observations (Fig. 1c).

284 Similarly, a snapshot of the projected changes in TC track density between the historical  
285 and RCP8.5 (Fig. 1g,h) climate simulations for the two phases of ENSO (Figs 2a and 3a)  
286 provide an indication that some basins, such as the central Pacific region, are likely to have  
287 enhanced TC occurrences under RCP8.5 El Niño conditions compared to historical El Niño  
288 conditions. In other regions, such as the South Indian basin and eastern ENP, there are signs of  
289 suppressed TC activity. This suppression is consistent between both ENSO phases.

290 Underpinning these changes in terms of TC frequency are the changes in TC-relevant large-  
291 scale environmental fields (Figs 2b-e and 3b-e). For example, it is clear that all large-scale  
292 variables become less favourable (blue shading) for TC formation during El Niño events in the  
293 South Indian basin. Another interesting result is a projected poleward shift of TC activity near  
294 the equator in the North Atlantic Ocean. This result also appears to be consistent between both  
295 ENSO phases.

296 In addition, our results indicate an overall decrease in tropical mean upward vertical  
297 velocity (Figs 2b and 3b) under the RCP8.5 condition (approximately  $31 \times 10^{-4} \text{ Pa s}^{-1}$  for the  
298 Southern Hemisphere and  $5 \times 10^{-4} \text{ Pa s}^{-1}$  for the Northern Hemisphere), consistent with other  
299 global warming studies (e.g., Held and Soden 2006; Vecchi and Soden 2007). This is also  
300 consistent with the projected TC frequency change we find in respective hemispheres: an  
301 approximate decrease of 24% in the Southern Hemisphere and 3.5% in the Northern  
302 Hemisphere, again consistent with prior studies (e.g., Sugi et al. 2012; Held and Zhao 2012;  
303 Walsh et al. 2015).

304 Moving forward, in order to have a more comprehensive understanding of regional-  
305 scale TC track distributions and ENSO-TC relationships in the observed records and climate  
306 models, and to better interpret likely projected changes in the RCP8.5 simulation, we examine  
307 each basin separately using a TC track-clustering technique. The cluster analysis, as highlighted  
308 earlier in Section 2e, separates TC tracks into distinct groups (or clusters) based on TC track  
309 shape and geographical locations, and hence can provide a measure of how particular track  
310 types may change at a regional scale in response to global warming.

### 311 *a. Southern Hemisphere*

312 TC tracks in the Southern Hemisphere have a strong relationship with ENSO (as shown by a  
313 number of studies in the past e.g., Hastings 1990; Basher and Zheng 1995; Ramsay et al. 2012  
314 and others). However, whether this relationship is likely to change in the future climate in

315 response to global warming – and if so, how – remains unclear. In this section, we first examine  
316 the ability of CMIP5 models to realistically simulate the ENSO-TC relationship for the entire  
317 Southern Hemisphere basin and then evaluate likely changes in TC track distributions under  
318 the RCP 8.5 projection for El Niño and La Niña events. Following Ramsay et al. (2018), TC  
319 tracks in the Southern Hemisphere are represented here by eight clusters labelled S1 to S8: the  
320 first three clusters (i.e., clusters S1-S3) are in the South Indian Ocean, clusters S4-S6 are in the  
321 Australian region and clusters S7 and S8 are in the South Pacific Ocean (Fig. 4i).

322 *i. Comparisons of observed and model-simulated ENSO-TC climatology*

323 The cluster-specific mean number of TCs in the two ENSO phases for the observed and  
324 historical model simulations, as indicated by their 95% confidence intervals, compare  
325 reasonably well with each other (Figs. 4a-h). However, an exception is S1 where models  
326 showed significant La Niña dominance in comparison to the observed records, where neither  
327 phase was found to be significantly dominant (Fig. 4a). Clusters S4 (west of Australia) and S8  
328 (central South Pacific) in the observed records are dominated by TCs occurring during La Niña  
329 and El Niño events respectively and were well simulated by the models. The remaining clusters  
330 (S2, S3, S5, S6 and S7) showed no major differences in the mean number of TCs between the  
331 two ENSO phases in the observed records. This feature was also well captured by the model  
332 historical simulations.

333 It is apparent that some clusters display distinct eastward and westward motion (Fig.  
334 4i; see also Bell et al. 2019a), noting track direction can be quite erratic around Australia and  
335 have been shown to be influenced by other natural modes such as the Madden-Julian  
336 Oscillation (Lavender and Dowdy 2016). The rates of eastward and westward motion were  
337 measured in each cluster (Table 4). We found that the models tended to overestimate the  
338 number of westward-directed tracks in regions in the South Pacific. This was particularly the  
339 case for S7 La Niña TCs (where 45% of TC tracks move westward compared to just 12% in

340 observations) and S8 El Niño TCs (where 47% of TC tracks move westward compared to just  
341 15% in observations).

342 The extent at which ENSO dominates westward and eastward TC motion in selected  
343 clusters (S3-S7, Table 5) were examined by U-Tests to determine the relative dominance of  
344 each ENSO phase. Results were variable between the models and the observed records,  
345 although only two sub-clusters differed in statistical significance level (S3-West and S7-  
346 West). Overall, the historical model simulations are generally doing well in capturing the  
347 large-scale observed ENSO-TC track relationship for El Niño and La Niña events in each  
348 cluster, providing a level of confidence in our results on ENSO-TC track projections for the  
349 Southern Hemisphere region (see below).

350 *ii. Projection of the future ENSO-TC relationship*

351 Overall, four of the eight clusters showed a significant change in the dominant phase of ENSO  
352 between the RCP8.5 and historical climate simulations (Fig. 4j): clusters S1-S3 in the South  
353 Indian Ocean and cluster S7 in the South Pacific Ocean. Cluster S1 had less TCs occurring  
354 during RCP8.5 La Niña events compared to historical La Niña events. This resulted in RCP8.5  
355 La Niña TC numbers becoming similar to that of RCP8.5 El Niño TC numbers. For clusters S2  
356 and S3, where neither of the ENSO phases were dominant in the historical simulation, we note  
357 that both of these clusters became dominated by La Niña events in the RCP8.5 projection. This  
358 is because El Niño TC numbers decrease more than La Niña TC numbers in these clusters,  
359 particularly in S3 where there was a large projected reduction in the mean number of El Niño  
360 TCs. This also causes the sub-cluster, S3-East, to become La Niña dominant under RCP8.5  
361 (Table 5). These changes are supported by less favourable environmental conditions for TC  
362 development between 75°E and 100°E during RCP8.5 El Niño events compared with historical  
363 El Niño events (Fig. 2b-e). In particular, we note that relative humidity (Fig. 2c) becomes  
364 particularly unfavourable within the TC genesis contour of S3 during El Niño events, while



365 there is a reduction of wind shear in S3 during La Niña events (Fig. 3d) that was not found  
366 during El Niño events (Fig. 2d).

367 In the Australian region, clusters S4-S6 showed no overall change in the dominant  
368 phase of ENSO between historical and RCP8.5 climate simulations. S4 was dominated by La  
369 Niña in both simulation periods while clusters' S5 and S6 remain ENSO-neutral over both  
370 periods. However, there was a change in the S6-West sub-cluster that became El Niño dominant  
371 (from neither phase dominating) in the RCP8.5 projection (Table 5). Putting this result in the  
372 context of S6 TC frequency projections [which remain quite stagnant (Fig. 4f)] and  
373 westward/eastward ratio projections [where the El Niño ratio shifts in favour of westward TCs,  
374 and to a lesser extent La Niña shifts in favour of eastward TCs, (Table 4)] suggests El Niño  
375 TCs forming in the S6 region will be more likely to track westward under RCP8.5; though it is  
376 beyond the scope of this study to present a possible mechanism for this change.

377 Cluster S7 in the South Pacific was projected to become El Niño dominant under the  
378 RCP8.5 condition, with Fig. 4g indicating likely increases (decreases) in El Niño (La Niña) TC  
379 numbers respectively. Increased wind shear (Fig. 3d) and decreased upward velocity (Fig. 3b)  
380 are consistent with reduced TC numbers during La Niña events. During El Niño events,  
381 conditions within the S7 contour are mostly less favourable to TC formation (Fig. 2b-e), in  
382 contrast to a projected increase in TC numbers (Fig. 2a). Chand et al. (2017) hypothesized that  
383 a weakening of SST gradients would expand convective zones towards the south-west in the  
384 S7 region during El Niño events, consistent with more favourable large-scale conditions below  
385 the S7 contour (Fig. 2b-e). Farther into the eastern Pacific, the El Niño dominance of the S8  
386 region was projected to continue under the RCP8.5 condition. Changes in large-scale  
387 conditions were mostly similar here between the two ENSO phases.

388 Changes to normalized TC track density in each cluster, where the number of TCs  
389 between RCP8.5 and historical simulations are held fixed, were also analysed with respect to

390 El Niño and La Niña events (Fig. 5). While some changes appear very noisy (e.g., S1), some  
391 useful information can be gathered from these figures. Notable changes included an increased  
392 tendency of El Niño TCs in the central South Indian (S3) to track westward rather than eastward  
393 (Fig. 5e) consistent with results in Table 4, while La Niña TCs appeared to shift farther  
394 poleward while retaining a similar track shape (Fig. 5f). Poleward shifts were also noted for  
395 both phases of ENSO off the Western Australian coast (S4, Fig. 5 g-h). Interpretations of  
396 density changes to the north and east of Australia were not as clear (S5-S7). In the central  
397 Pacific (S8), RCP8.5 El Niño TCs appeared more likely to form near the dateline (i.e., shift  
398 west) compared to historical El Niño TCs (Fig. 5o). In contrast, RCP8.5 La Niña TCs appeared  
399 more likely to form farther east compared to historical La Niña TCs (Fig. 5p).

400 Finally, changes in mean TC lifetimes between RCP8.5 and historical climate El Niño  
401 and La Niña events were computed for each cluster (Table 6), and for the cases of clusters S3-  
402 S6, computations were done for both “westward” and “eastward” TC tracks. The only case  
403 where there was a statistically significant difference (at the 95% significance level) between  
404 RCP8.5 and historical TC track lifetimes was for eastward TCs in S6 that underwent an increase  
405 during El Niño events (~3 days). The reason for this increase is unclear but it could potentially  
406 be related to TCs moving farther poleward (e.g., Sharmila and Walsh 2018) or a slow-down of  
407 TC translational speed (e.g., Kossin 2018).

#### 408 *b. North Indian*

409 TC tracks in the NI basin are represented by four clusters, labelled NI1-NI4 (Fig. 6i). NI1 and  
410 NI2 exist within the Arabian Sea while NI3 and NI4 cover the Bay of Bengal. TC activity in  
411 this basin is strongly modulated by the powerful Asian monsoon, exhibiting a double peak  
412 associated with a pre-monsoon (May–June) and post-monsoon (October–December) season  
413 (e.g., Singh et al. 2001; Evan and Camargo 2011; Wahiduzzaman et al. 2017). ENSO’s effects  
414 on NI TCs is unclear (e.g., Li et al. 2016). However, as ENSO matures towards the end of a

415 calendar year, it can impact on TCs during the post-monsoon season (Ng and Chan 2012;  
416 Girishkumar and Ravichandran 2012).

417 Like for the Southern Hemisphere basin, we first evaluate the ability of climate models  
418 to simulate the observed ENSO-TC track relationship and then determine potential changes to  
419 this relationship due to global warming. We also included assessments restricted to just the  
420 post-monsoon (Oct-Dec) months. Note that due to inconsistencies in the best-track data for this  
421 basin, we instead used TCs detected in the ERA-Interim reanalysis to form a basis of  
422 comparison with historical climate model simulations (see Methods).

#### 423 *i. Comparisons of observed and model-simulated ENSO-TC climatology*

424 Overall, the mean number of TCs in El Niño and La Niña phases of all clusters (over the entire  
425 season, i.e., Jan-Dec) compare well between the ERA-Interim and historical climate model  
426 simulations (Fig 6a-d), except for the northern Arabian Sea cluster (NI2), which unexpectedly  
427 showed dominance of La Niña in ERA-Interim. Given the relatively low number of TCs that  
428 occur in the Arabian Sea, investigations at timescales longer than 25-years may assist in  
429 confirming this relationship (e.g., as in Evan and Camargo 2011). In the remaining clusters, the  
430 ENSO phases did not show any dominance of the ERA-Interim TCs, as was found in the  
431 historical model simulations. Considering TCs from the post-monsoon season only, cluster NI4  
432 (Fig. 6h) showed evidence of La Niña dominance in ERA-Interim, consistent with an eastward  
433 shift and more favourable conditions observed over the Bay of Bengal during La Niña events  
434 (Ng and Chan 2012; Girishkumar and Ravichandran 2012). However, climate models were  
435 unable to simulate this pattern of variability. We next examine whether any of the clusters may  
436 be affected by future changes in ENSO conditions in response to global warming.

#### 437 *ii. Projection of the future ENSO-TC relationship*

438 There were no projected changes in the relative dominance of the ENSO phases between the

439 RCP8.5 and historical climate simulations in any of the four NI clusters for the January-  
440 December periods (Fig. 6a-d). However, one of the four clusters (i.e., cluster NI3) did show a  
441 significant difference in ENSO dominance between the RCP8.5 and historical climate  
442 simulations during the post-monsoon season (Fig. 6g). Unlike La Niña TCs, the El Niño TCs  
443 were reduced leading this cluster to become dominated by La Niña in the RCP8.5 climate. This  
444 may be related to a reduction in relative vorticity in the Southern Bay of Bengal region during  
445 RCP8.5 El Niño events (Fig. 2e), though a similar reduction is observed during La Niña events  
446 (Fig. 3e).

447 Differences in track density were also examined in each cluster during different ENSO  
448 phases (Fig. 7). For NI4, both phases of ENSO were found to contribute to enhanced exposure  
449 to the northern Bay of Bengal (Fig. 7g,h), noting a potential eastward shift during future El  
450 Niño events. Finally, changes in TC lifetime between RCP8.5 and historical El Niño and La  
451 Niña events were also computed for each NI cluster (Table 7). No statistically significant  
452 differences between RCP8.5 and historically simulated TC tracks were found.

### 453 *c. Western North Pacific*

454 TC tracks in the WNP exhibit significant modulation by ENSO in the observed records (e.g.,  
455 Camargo et al. 2007b; Patricola et al. 2018). We note that while several past projection studies  
456 in this basin have been dedicated to TC track projections (e.g., Wang and Wu 2011; Colbert et  
457 al. 2015), only a recent study by Tan et al. (2019) considered the impact of ENSO. Tan et al.  
458 (2019) cited a lack of robustness in their results, flagging underestimations of both ENSO's  
459 impact and TC genesis frequency. Thus, this work which implements a fundamentally different  
460 detection scheme, makes an important contribution to the world's most active TC basin in  
461 furthering our understanding of how future changes in ENSO may influence TCs and TC track  
462 characteristics in this basin. TC tracks are represented by nine clusters, labelled W1-A to W9-  
463 I (letters are also used to label clusters in the WNP to be consistent with prior studies). The

464 clusters are numbered in order from the highest to lowest TC frequency across the study region  
465 (Fig. 8).

466 *i. Comparisons of observed and model-simulated ENSO-TC climatology*

467 The six most populous of the nine clusters showed a similar degree of modulation by the two  
468 ENSO phases between the observed records and historical simulations as indicated by their  
469 95% confidence intervals (Fig. 8a-i); clusters W7-D, W8-G and W9-I were the exceptions.  
470 Each of these clusters in the historical simulations showed El Niño dominance in comparison  
471 to the observations where neither phase was found to dominate. It is noted in other studies (e.g.,  
472 Camargo et al. 2007b) that observed TCs in cluster W1-A can be substantially enhanced during  
473 La Niña conditions. However, it is clear that the models were unable to simulate any hint of La  
474 Niña dominance in this cluster (Fig. 8a). Apart from these inconsistencies, ENSO modulation  
475 in the remaining clusters was well simulated, and overall satisfactory over the entire WNP  
476 basin. In the next section, we examine whether any of the clusters are likely to be affected by  
477 future changes in ENSO conditions in response to global warming.

478 *ii. Projection of the future ENSO-TC relationship*

479 Three of nine clusters showed a significant difference in ENSO dominance between the RCP8.5  
480 projection and historical climate simulation (Fig. 8f,g,i). All of these changes in dominance  
481 occurred in straight-moving clusters in or near the South China Sea (W6-H, W7-D and W9-I).  
482 Each of these clusters contained less El Niño TCs in the RCP8.5 simulation than the historical  
483 simulation, accounting for changes in ENSO dominance.

484 Projected decreases of TC activity during El Niño events in the southwestern segment  
485 of the basin (e.g., straight-moving clusters of W3-B, W7-D, W9-I and to less extent W6-H) are  
486 difficult to attribute to changes in large-scale environmental conditions. Upward motion and  
487 relative vorticity did become less favourable inside W6-H's genesis contour (Fig. 2b,e).

488 However, there was no clear decreases in favourability inside the more populous TC cluster  
489 contours (W3-B and W7-D). This leads us to conclude that this may be more of a change in  
490 track rather than environmental genesis conditions. Indeed, prior studies have indicated more  
491 TCs taking a northwestward (recurving) track under RCP8.5 (e.g., Colbert et al. 2015),  
492 especially during El Niño events. Such tracks are likely to be binned into nearby recurving  
493 clusters such as W1-A and W2-E instead. During La Niña events, relative humidity (Fig. 3c)  
494 and relative vorticity (Fig. 3e) become more favourable for TC formation over W9-I under  
495 RCP8.5, consistent with a slight increase in La Niña TC frequency shown in Fig. 8i. Overall,  
496 projected increases of TC activity are confined to the south-west of Japan during La Niña  
497 events (Fig. 3a) associated with reductions of vertical wind shear extending less east than  
498 during El Niño events (Figs 2c and 3c). Projected increases in TC activity during El Niño events  
499 in the northern (W5-F) and eastern (W8-G and to a lesser extent W4-C) segments of the basin  
500 are consistent with reduced wind shear (Fig. 2d) and increased upward motion (Fig. 2b)  
501 respectively. Relative humidity (Fig. 2c) is also increased over both these regions.

502 We note projected increases of TC frequency during La Niña in clusters W1-A and W5-  
503 F are minimal (Fig. 8a,e), indicating these tracks are likely traveling farther poleward (this is  
504 also likely the case during El Niño events; see also Fig. 9). There are many possible  
505 explanations as to why TCs may be traveling farther poleward here, they include (1) TCs are  
506 gestating farther poleward and therefore have more energy to sustain themselves (2) the  
507 environment is more favourable to sustaining TCs at higher latitudes i.e. “tropical expansion”  
508 or (3) the RCP8.5 TCs are more intense. It is difficult to quantify this exactly, though it is likely  
509 all three factors may have some role to play. Notably, TC track lifetimes in W5-F  
510 (insignificantly) increase irrespective of ENSO event (Table 7).

511 Differences in TC track densities for selected clusters were examined during El Niño  
512 and La Niña events (Fig. 9). Results indicate that La Niña TCs shift southwestward in W2-E

513 and may have an increased tendency to track into Eastern China, consistent with a (statistically  
514 insignificant) increase of TC lifetimes in that cluster (Table 7). In the cluster that affects  
515 Vietnam and the Gulf of Thailand (W6-H), both El Niño and La Niña TC tracks shifted  
516 equatorward under the RCP8.5 condition (Fig. 9). An easing of vertical wind shear below the  
517 W6-H contour during El Niño events (Fig. 2d) was not present during La Niña events (Fig. 3d).  
518 Additional notable differences between RCP8.5 and historical track densities were for TCs in  
519 W5-F and W2-E with landfall over Japan appearing more likely in each of these except for  
520 W2-E during La Niña events (Fig. 9).

#### 521 *d. Eastern North Pacific*

522 ENSO has been shown to have some influence on TC activity over the ENP basin, particularly  
523 toward the central Pacific where TC activity is increased during El Niño events (e.g., Chu and  
524 Wang 1997; Jien et al. 2015). TC tracks in the ENP are represented by 3 clusters, labelled E1  
525 to E3 from left to right across the study region (Fig. 10d). TC numbers simulated by models  
526 were significantly underestimated off the coast of Mexico (E3, Fig 10c), possibly due to the  
527 crossing over land of low-pressure systems moving west from the Atlantic, which our detection  
528 system is not designed to accommodate (Bell et al. 2018).

#### 529 *i. Comparisons of observed and model-simulated ENSO-TC climatology*

530 All three clusters in the ENP show similar modulation by the two ENSO phases between the  
531 observed records and historical climate simulations, as indicated by their 95% confidence  
532 intervals (Fig. 10a-c). Although no cluster was significantly dominated by either El Niño or La  
533 Niña TCs, visually, E1 (E2) is slightly El Niño (La Niña) dominant in the observed records  
534 consistent with shifts found by several TC-ENSO observational studies (Gray and Sheaffer  
535 1991; Whitney and Hobgood 1997; Kimberlain 1999; Collins and Mason 2000). This pattern  
536 was well simulated by the models (Fig. 10a,b). In contrast, the apparent slight dominance of

537 La Niña TCs over El Niño TCs in E3 was not simulated well by the models, perhaps due to  
538 underestimation of model TCs in this cluster (Fig. 10c). In the next section, we examine  
539 whether any of the clusters are likely to be affected by future changes in ENSO conditions in  
540 response to global warming.

541 *ii. Projection of the future ENSO-TC relationship*

542 Two of three clusters showed a significant difference in ENSO dominance between the RCP8.5  
543 and historical climate simulations (Fig. 10). Although E1 and E2 become El Niño and La Niña  
544 dominant in the RCP8.5 projection respectively, this is not a substantial change from the ENSO  
545 relationship in the historical climate simulation. Both El Niño and La Niña TCs were projected  
546 to increase in the central Pacific (E1) by a similar amount (Fig. 10a), which indicates the  
547 increase of TC activity west of 135°W in the central Pacific is not dependent on either phase  
548 of ENSO. This implies that projected increase in track density in the Hawaiian region (as noted  
549 in prior studies e.g., Murakami et al. 2013) can occur during both projected climate El Niño  
550 and La Niña events under the RCP8.5 condition (Fig. 11a,b).

551 In cluster E2, there was a marginal increase (decrease) in the mean La Niña (El Niño)  
552 TCs between historical and RCP8.5 climate simulations. This results in La Niña TCs becoming  
553 significantly more than El Niño TCs in the in the projected climate as opposed to the historical  
554 climate. Other than this, no major change was identified in this cluster. Like E1, we also note  
555 a marginal increase in track density contribution in E2 (from both El Niño and La Niña tracks)  
556 around Hawaii (Fig. 11c,d). Overall, a poleward shift in track density is projected for E1 and  
557 E2 El Niño TCs (Fig. 11a,c) and to some extent E2 La Niña TCs (Fig. 11d). Conversely, an  
558 equatorward shift in was projected for TCs forming close to the Mexican coast (E3) during  
559 both phases of ENSO (Fig. 11e,f). An equatorward formation shift is likely to push TC tracks  
560 farther away from the Mexican coastline, possibly decreasing the chance of landfall of TCs  
561 under RCP8.5. Large-scale conditions analysed in the ENP were largely consistent between



562 both phases of ENSO (Fig. 2b-e; Fig. 3b-e), especially west of 110°W (i.e. inside the E1 and  
563 E2 contours). The only exception was a decrease of wind shear equatorward of the E2 and E3  
564 75% genesis contours (red shading in Fig. 3d) during La Niña compared to El Niño events (Fig  
565 2d). This was consistent with an equatorward shift in TC track density during RCP8.5 La Niña  
566 events (Fig. 11f).

#### 567 *e. North Atlantic*

568 The NA basin experiences some modulation by ENSO, in particular more frequent TCs during  
569 La Niña events (e.g., Gray and Sheaffer 1991; Chu et al. 2004). TC tracks in the NA basin are  
570 represented by 4 clusters, labelled NA1 to NA4 from left to right across the study region (Fig.  
571 12e). Several studies have documented the relatively poor performance of CMIP5 models in  
572 simulating realistic TC climatologies in this basin (e.g., Daloz et al. 2012; Tory et al. 2013c;  
573 Martin and Thorncroft 2015); and indeed, the clusters presented in this paper, that are strongly  
574 weighted on model tracks, are inconsistent with clusters found in observation-only studies (e.g.,  
575 Kossin et al. 2010). Thus, we present projection results for the NA basin only briefly. TC  
576 numbers in cluster NA2 were too heavily underestimated by the models (Fig. 12b). So,  
577 additional bias-corrected intervals were computed (“grey-colored intervals” in Fig. 12b) by  
578 multiplying the original intervals by the ratio between the observed and historical intervals.

#### 579 *i. Comparisons of observed and model-simulated ENSO-TC climatology*

580 Three of four clusters (NA1, NA2, and NA3) showed similar modulation by the two ENSO  
581 phases between the observed records and historical simulations, as indicated by their 95%  
582 confidence intervals (Fig. 12a-d). This included the models correctly simulating more TCs in  
583 the Gulf of Mexico (NA1) during La Niña events compared to El Niño events (Fig. 12a). Due  
584 to the very low number of observed TCs in NA3 and NA4, we note that the statistical test used  
585 was unable to provide an accurate distinction between ENSO dominance.

586 *ii. Projection of the future ENSO-TC relationship*

587 Of the four clusters, only NA3 showed a significant difference in ENSO dominance between  
588 the projected RCP8.5 and historical climate simulations (Fig. 12c). This cluster encapsulates  
589 long recurving TC tracks forming in the deep tropics. It underwent a subtle (but statistically  
590 significant) change and became La Niña dominant under the RCP8.5 projection (Fig. 12c),  
591 although we do stress that La Niña TCs were considered statistically dominant over El Niño  
592 TCs in the observed records for this cluster. Vertical wind shear (Fig. 2d; Fig. 3d) was projected  
593 to decrease (increase) during La Niña (El Niño) events, potentially accounting for this change.  
594 Other clusters showed no significant changes between the historical and RCP8.5 simulations.

595 Track density projections for NA3 (Fig. 13c,d) were consistent over both ENSO phases,  
596 indicating a poleward shift of TC genesis locations as also found by Murakami and Wang  
597 (2010) in a high-resolution model. This poleward shift is likely to alter the mean trajectories of  
598 TC tracks, allowing them to recurve earlier and decrease the likelihood of landfall over the  
599 North American continent. Track density projections for high latitude TCs (NA2) indicated a  
600 potential shift east in genesis position (Fig. 13a). Projected changes in TC activity (Figs 2a and  
601 3a) and in the large-scale conditions (Fig. 2b-e; Fig. 3b-e) were largely consistent between both  
602 phases of ENSO over the NA basin.

603 **4. Summary**

604 This study investigated the traditional ENSO-TC track relationship in a selected group of  
605 coarse-to-medium resolution CMIP5 models. Models were selected for each TC basin based  
606 on their performance in that basin; with between four and seven models used for each basin. A  
607 track cluster analysis was applied in each TC basin to produce distinct groups of similar TC  
608 tracks. Included in this analysis were TC tracks from the observed records, historically  
609 simulated TC tracks from CMIP5 models as well as projected TC tracks from CMIP5 models  
610 under the RCP8.5 condition. The relative dominance of each cluster by “El Niño” or “La Niña”

611 or “neither” in the observed record, historical simulation and RCP8.5 projection is summarized  
612 in Table 8.

613 The major findings of this study were as follows:

- 614 • The historically simulated ENSO modulation of regional TC track clusters is quite  
615 similar to that of the observed ENSO modulation. Only seven regional clusters (out of  
616 a total of twenty-eight) were shown to be statistically inconsistent between the  
617 historical multi-model means and the observed records. Three of these clusters were  
618 located in the WNP basin. Interpretation of some results were complicated by the  
619 underestimation of TC counts in some clusters, particularly in the ENP and NA basins.
- 620 • When comparing the regional TC track clusters between the RCP8.5 and the historical  
621 simulations, eleven clusters and four sub-clusters (highlighted in Table 8) were shown  
622 to be significantly different in terms of statistical ENSO dominance. However, we do  
623 stress here that changes in statistical significance may not always be an indicator of  
624 drastic changes to ENSO-TC relationships. For example, a few of the regional clusters  
625 that changed dominance, simply went from “slightly La Niña dominant” to  
626 “significantly La Niña dominant” (e.g., E2 and NA3). We also note that no cluster went  
627 from significantly “El Niño dominant” to significantly “La Niña dominant”, or vice  
628 versa.
- 629 • Regional clusters that were projected to become La Niña dominant under the RCP8.5  
630 projection were found in the central South Indian Ocean (S2, S3), in the southern Bay  
631 of Bengal (NI3) and over straight-moving TCs in the South China Sea (W6-H). La  
632 Niña TCs often became dominant due to projected decreases in El Niño TCs, rather  
633 than an increase in La Niña TCs. This was mostly the case for the central South Indian  
634 Ocean (S2, S3, ~60 – 100°E), the southern Bay of Bengal (NI3) as well as straight-  
635 moving TCs in the South China Sea (W6-H).

- 636 • Regional clusters that were projected to become El Niño dominant under the RCP8.5  
637 projection were mostly exclusive to the western South Pacific (S7) and central North  
638 Pacific (E1). El Niño TCs were projected to increase their dominance over La Niña  
639 TCs in a larger area of the western South Pacific (~160°E – 165°W), including that of  
640 westward directed TCs in the Coral Sea (S6-West), as well as the central North Pacific  
641 Ocean (W8-G and E1, ~160°E – 145°W).
- 642 • Three regional clusters were projected to become ENSO-neutral under the RCP8.5  
643 projection (two were El Niño dominant and one was La Niña dominant in the historical  
644 simulation). Notably all three were considered ENSO-neutral in the observed records.  
645 The two formerly El Niño dominant clusters (W7-D and W9-I) related to straight-  
646 moving TCs in the northwest Pacific (near the Philippines and China). The formerly  
647 La Niña dominant cluster (S1) was located in the far southwest Indian Ocean (near  
648 Madagascar).
- 649 • Projections of TC lifetimes for all clusters indicated only one statistically significant  
650 change. This was for eastward moving TCs in the Coral Sea (S6-East) during El Niño  
651 events, that were projected to have longer lifetimes (~3 days).

652 As climate models improve further, it is anticipated that more studies will be undertaken that  
653 consider the impact of ENSO on TC activity, particularly with respect to different types of El  
654 Niño and La Niña “flavours”.

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661

## 662 **Appendices**

### 663 **A. OWZ Detection and tracking**

664 The OWZ detection system consists of six parameters (Table A1): minimum thresholds of  
665 OWZ at the 850- and 500 hPa levels, relative humidity (RH) at the 950- and 700 hPa levels,  
666 specific humidity (SpH) at the 950 hPa level and a maximum threshold of vertical wind shear  
667 (VWS) between 850- and 200 hPa. The OWZ variable is a low deformation vorticity  
668 parameter used to identify regions favourable for TC formation at the centre of a semi-closed  
669 circulation (i.e. a 'marsupial pouch'; Dunkerton et al. 2009), within the lower- to mid-  
670 troposphere. More precisely, it is the product of absolute vorticity and the Okubo-Weiss  
671 parameter (Okubo 1970; Weiss 1991) normalised by the vertical components of relative  
672 vorticity squared such that:

$$673 \quad OWZ = \text{sgn}(f) \times (\zeta + f) \times \max \left[ \frac{\zeta^2 - (E^2 + F^2)}{\zeta^2}, 0 \right] \quad (1)$$

674 where  $f$  is the Coriolis parameter,  $\zeta = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}$  the vertical component of relative vorticity,

675  $E = \frac{\partial u}{\partial x} - \frac{\partial v}{\partial y}$  the stretching deformation, and  $F = \frac{\partial v}{\partial x} + \frac{\partial u}{\partial y}$  the shearing deformation.

676 The OWZ detection and tracking scheme is concisely summarized in five dot points below,  
677 with further detail accessible in other studies (Tory et al. 2013a; Bell et al. 2018).

- 678 a. Each  $1^\circ \times 1^\circ$  grid point is assessed based on the initial threshold values of each OWZ-  
679 Detector parameter every 12-hrs.

- 680 b. When at least two neighbouring grid points satisfy the initial thresholds of each  
681 OWZ-Detector parameter, these points are considered to represent a single circulation  
682 at that point in time.
- 683 c. The circulations from step (b) are linked through time by estimating their position in  
684 relation to the circulation's expected position based on an averaged  $4^\circ \times 4^\circ$  steering  
685 wind at 700 hPa.
- 686 d. Tracks are terminated when no circulation match is found in the next two time-steps  
687 within a generous (~350 km) latitude dependent radius.
- 688 e. The core thresholds are then applied to each storm track, and if they are satisfied for  
689 48-hrs, a TC is declared.

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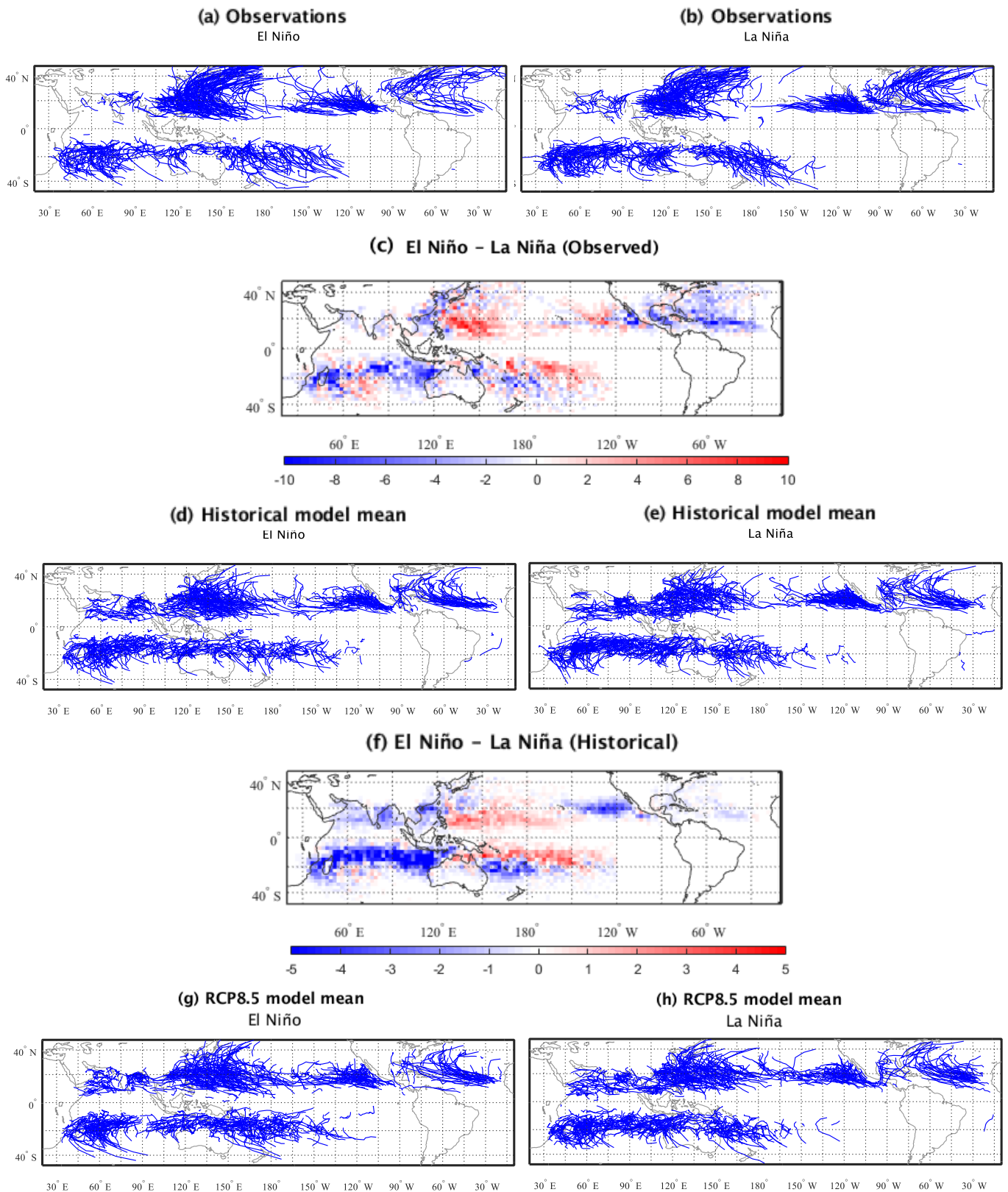
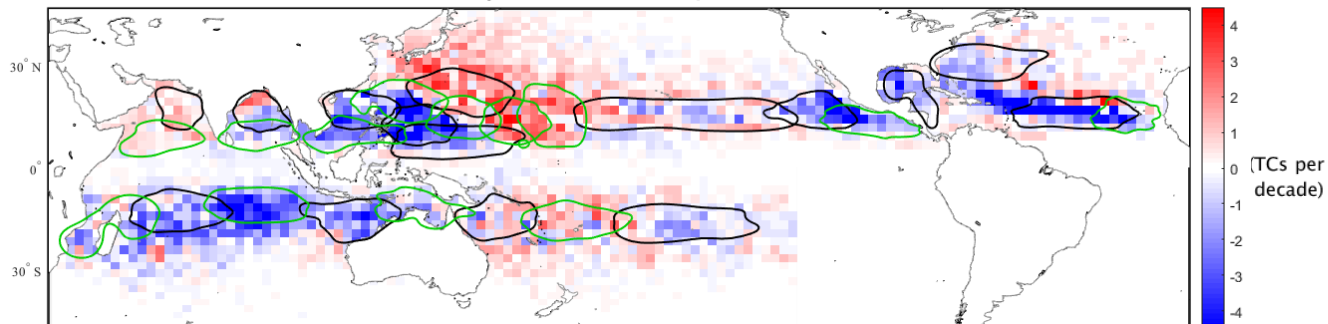


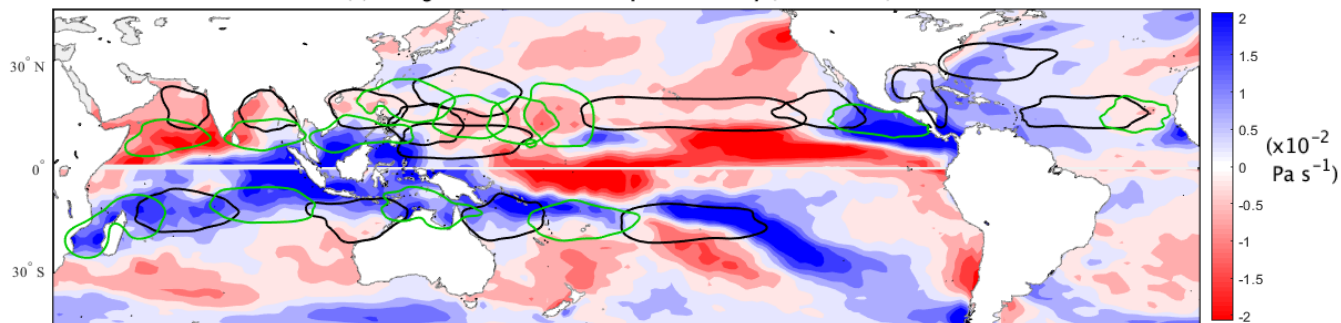
Fig. 1 (a-b, d-e, g-h) Tropical cyclone tracks stratified by ENSO phase as they appear in observations and model simulations. A random selection of tracks, approximately the same in number, from each set of data are displayed (see Tables 1 and 2). The historical model mean displays tracks over the period 1970-2000, while the RCP8.5 model mean displays tracks over the period 2070-2100. In (c) and (f), differences in track density (per decade) are shown, with red (blue) grid boxes indicating a dominance of El Niño (La Niña) tracks.



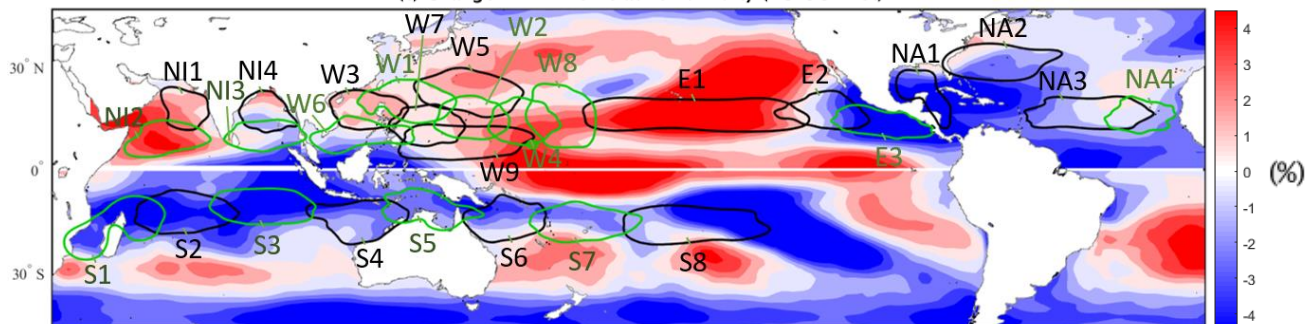
(a) Change in El Niño Track density (RCP8.5-hist)



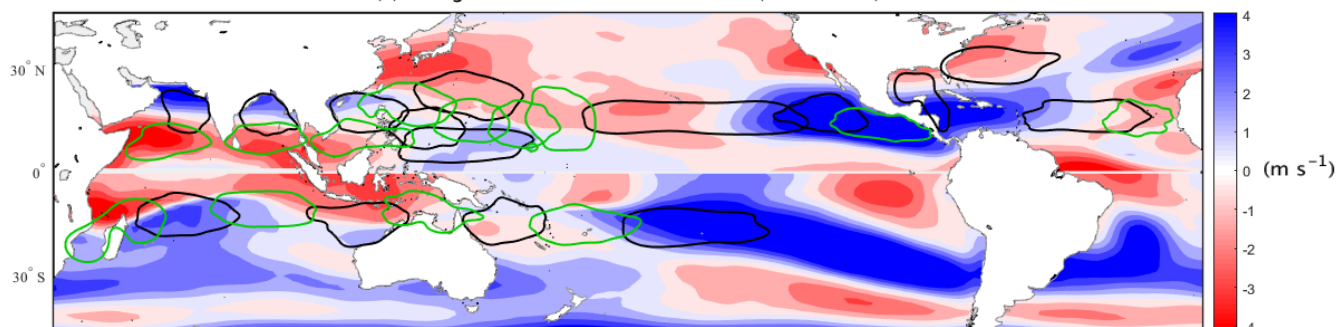
(b) Change in El Niño OMEGA Upward velocity (RCP8.5-hist)



(c) Change in El Niño Relative humidity (RCP8.5-hist)



(d) Change in El Niño Vertical wind shear (RCP8.5-hist)



(e) Change in El Niño Relative vorticity (RCP8.5-hist)

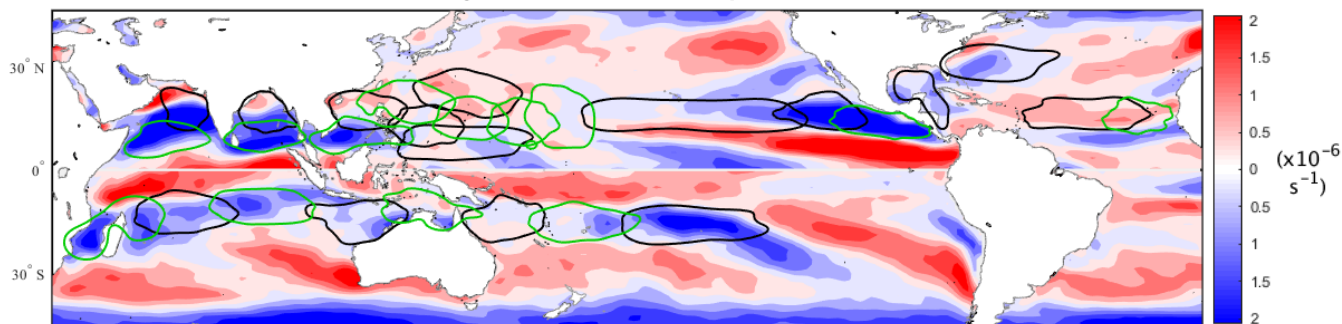
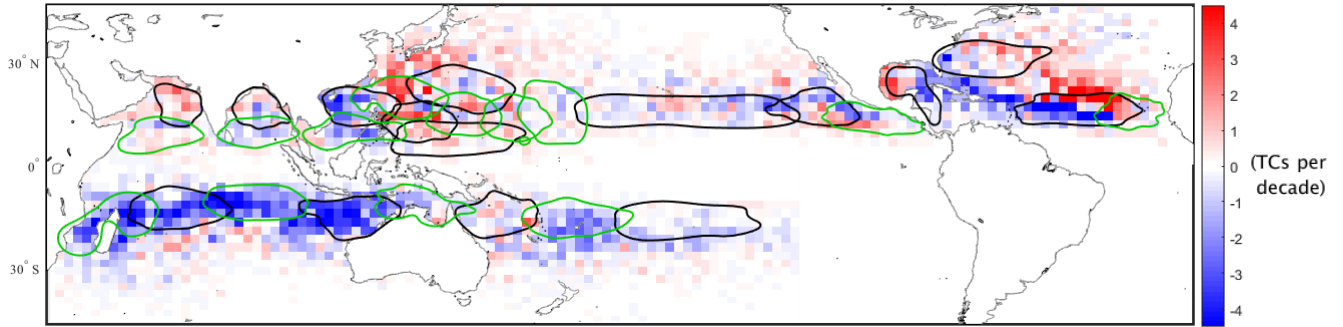


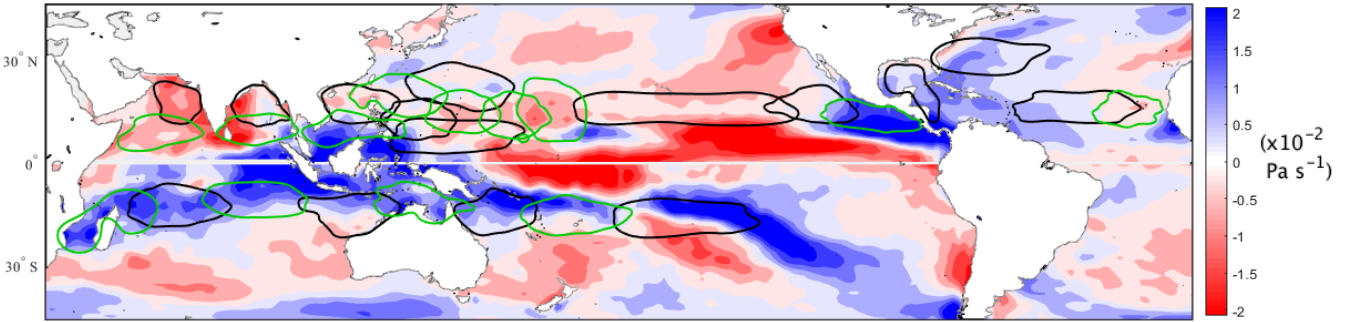
Fig. 2 Difference in El Niño (a) decadal track density normalised by the number of El Niño events and (b-e) large-scale environmental parameters between RCP8.5 and historical CMIP5 simulations. Red shading indicates a projected increase in (a) track density and (b-e) in the favourability of large-scale environmental TC genesis conditions. Track density in the North Atlantic was normalised by observed TC frequency. Kernel function density estimates enclose approximately 75% of TC genesis in each cluster, appearing as green and black contours. Names of clusters are labelled in Fig. 2c.



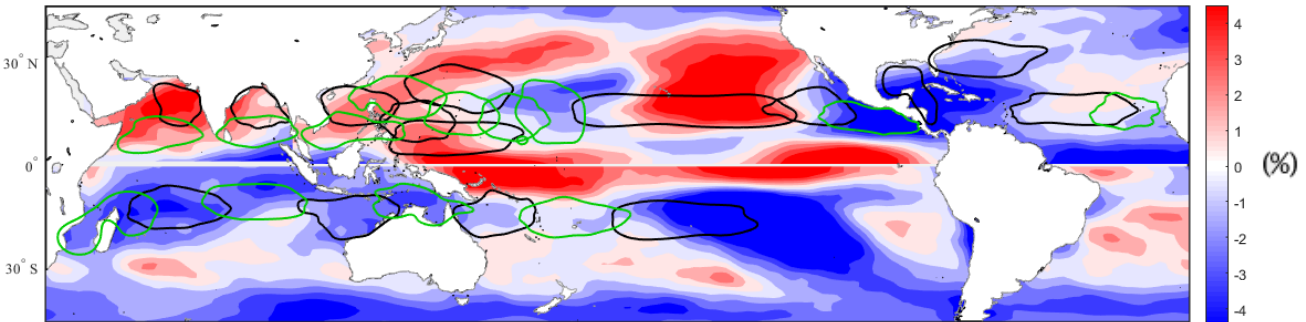
(a) Change in La Niña Track density (RCP8.5-hist)



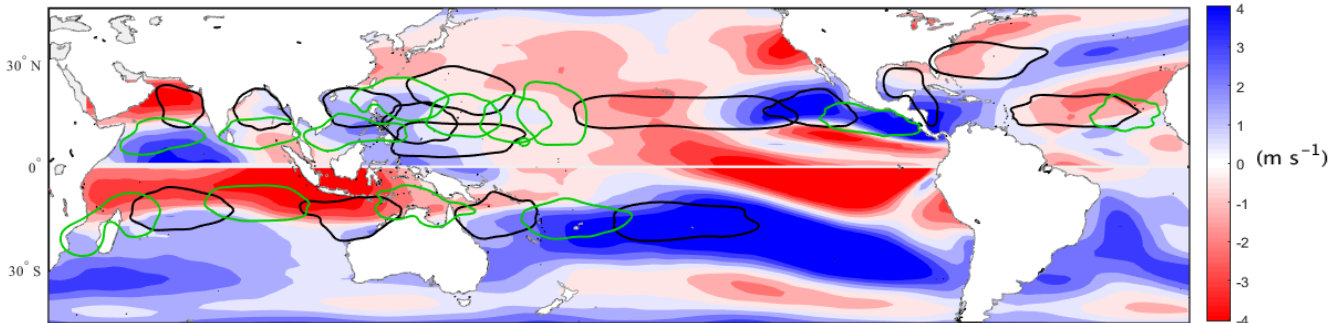
(b) Change in La Niña OMEGA Upward velocity (RCP8.5-hist)



(c) Change in La Niña Relative humidity (RCP8.5-hist)



(d) Change in La Niña Vertical wind shear (RCP8.5-hist)



(e) Change in La Niña Relative vorticity (RCP8.5-hist)

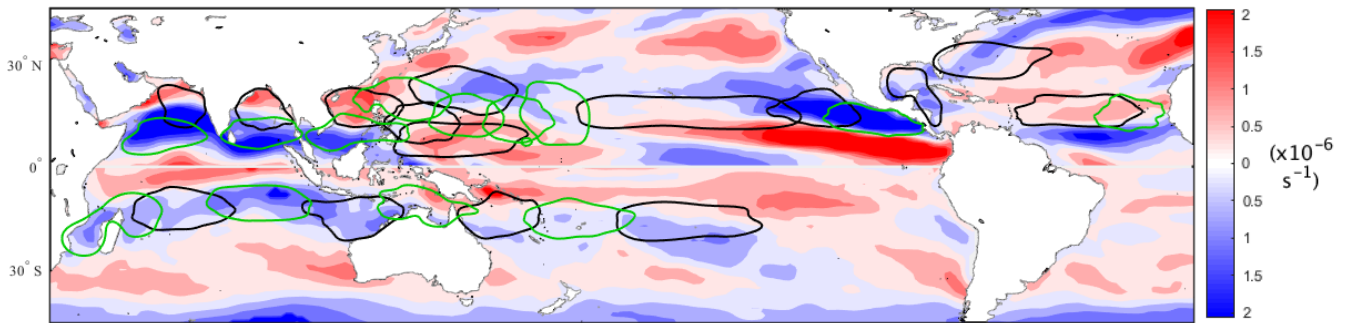


Fig. 3 As in Fig. 2, but for La Niña.

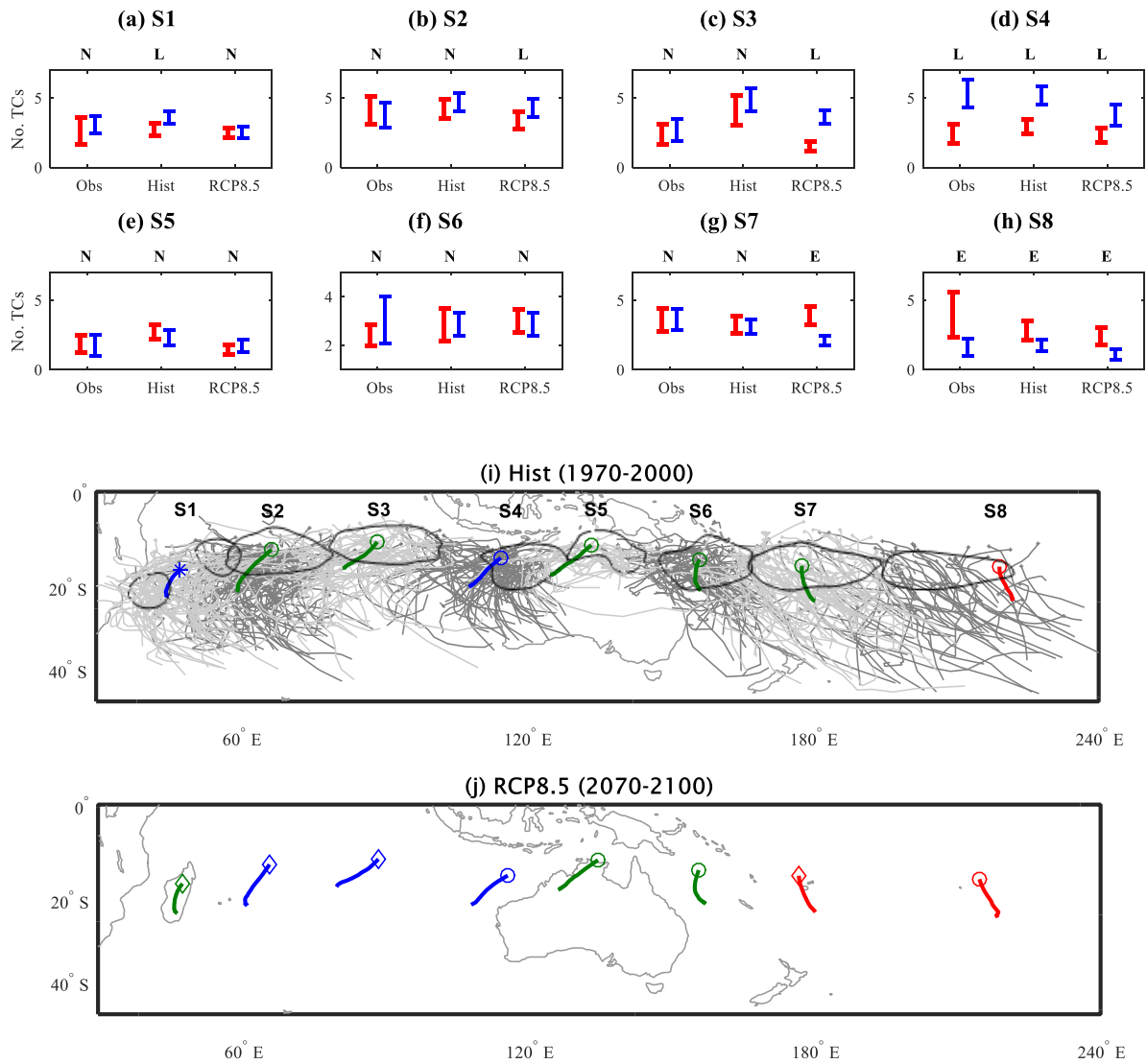


Fig 4 (a-h) The 95% bootstrap confidence intervals (resampled 5000 times) of the number of TCs occurring in El Niño years (red) and La Niña years (blue). Above each plot, a letter (E= El Niño, N=Neither phase dominant or L=La Niña) indicates the statistically dominant phase for that cluster and dataset (Obs=Observations, Hist= multi-model CMIP5 mean 1970-2000, RCP8.5= multi-model CMIP5 mean 2070-2100). A La Niña or El Niño phase is considered dominant when determined to be statistically different under the U-test with 90% confidence using 10,000 mean resamples (bootstrapping) or a 95% confidence interval that does not overlap. (i) Mean cluster trajectories where color indicates phase dominance: El Niño (red), La Niña (blue), or neither phase (green). An inconsistency between observed and historical ENSO dominance is indicated by an asterisk (\*). Observed tracks are shown in greyscale, with different shades emphasizing the different clusters. (j) Changes between historical and RCP8.5 phase cluster dominance are indicated by a diamond ( $\diamond$ ). Circles ( $\circ$ ) indicate correctly simulated ENSO dominance in (i) and no projected change in ENSO dominance in (j).

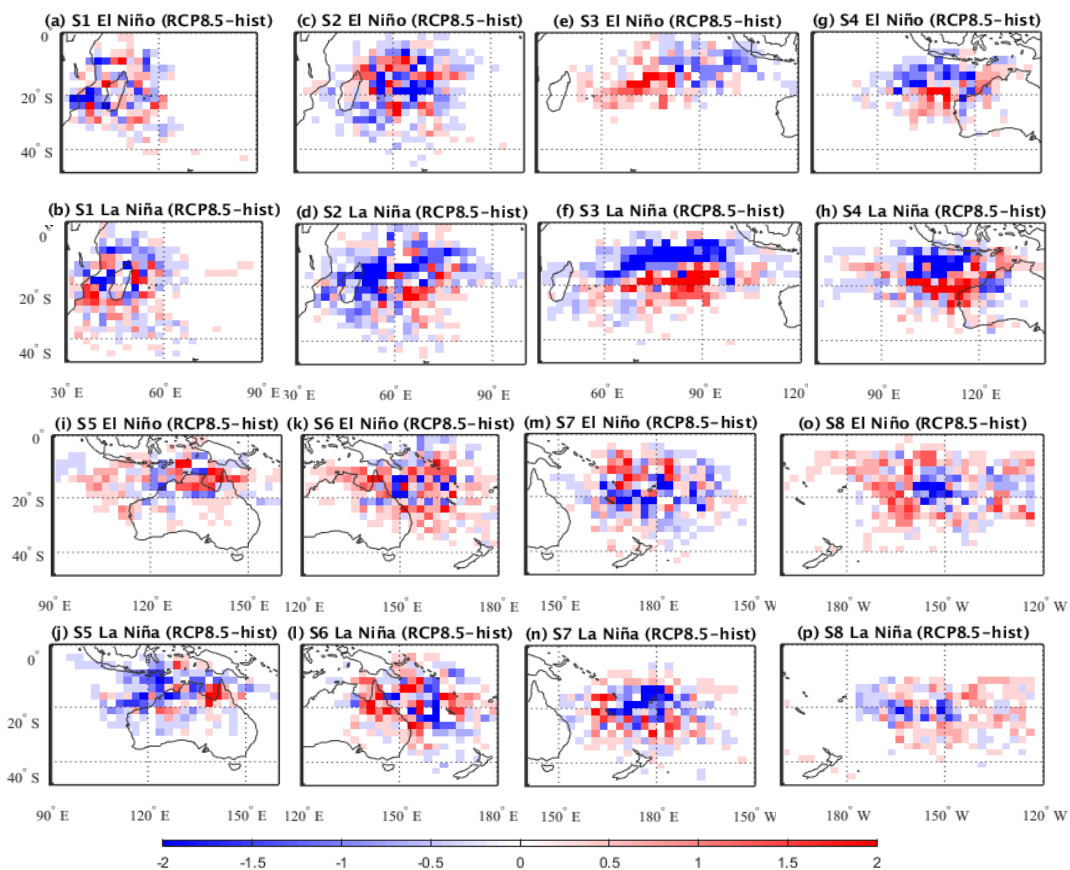
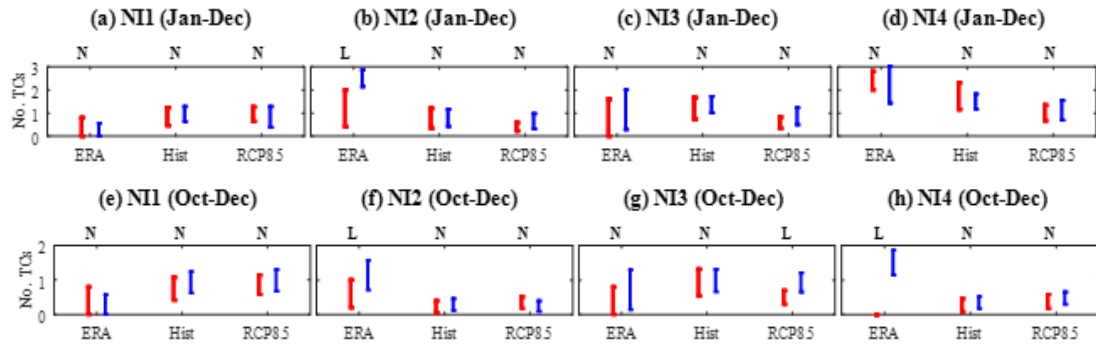
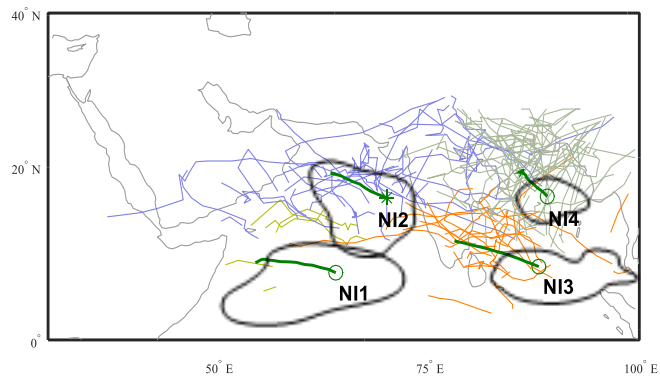


Fig. 5 Difference in cluster TC track densities (per decade) stratified by ENSO with a normalized number of TCs in each cluster, red grid boxes indicate a projected increase in TC track density under RCP8.5.



(i) Hist (1970-2000)



(j) RCP8.5 (2070-2100)

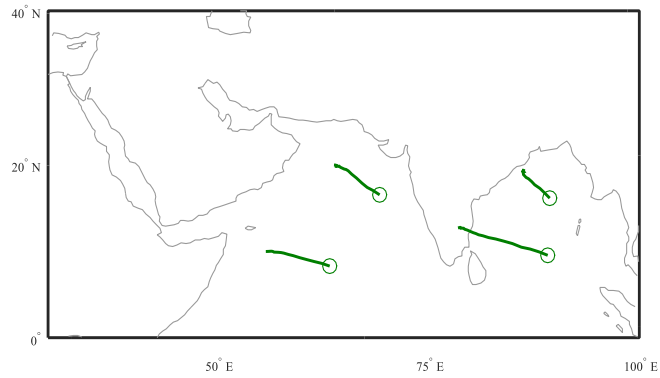


Fig. 6: As in Fig. 4 but for the North Indian region. TCs detected in ERA-Interim (1989-2013, ERA) reanalysis serve as the observations.

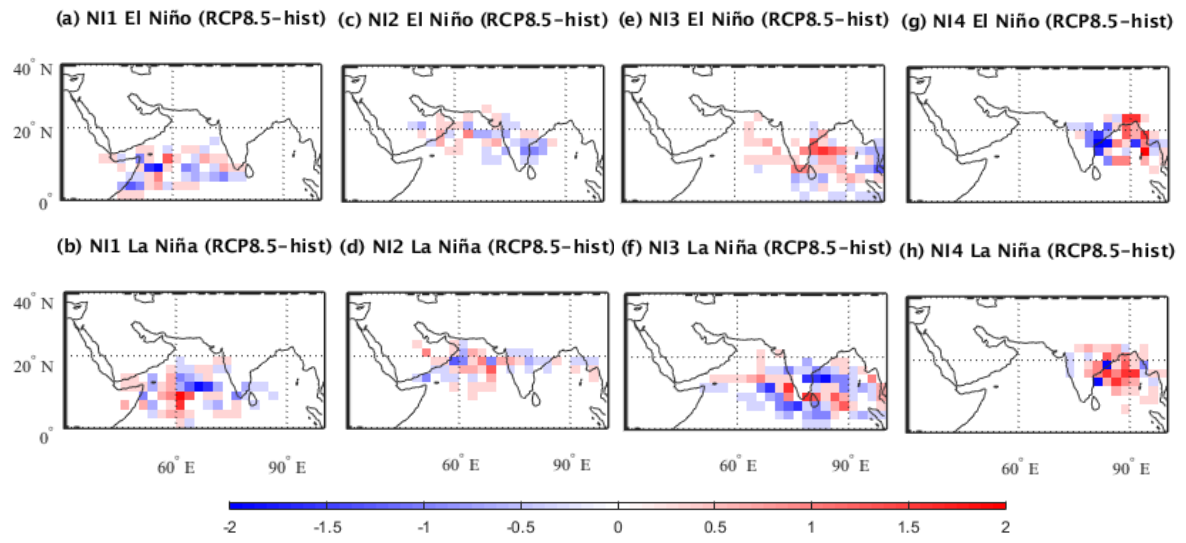


Fig. 7 As in Fig. 5, but for the North Indian clusters.

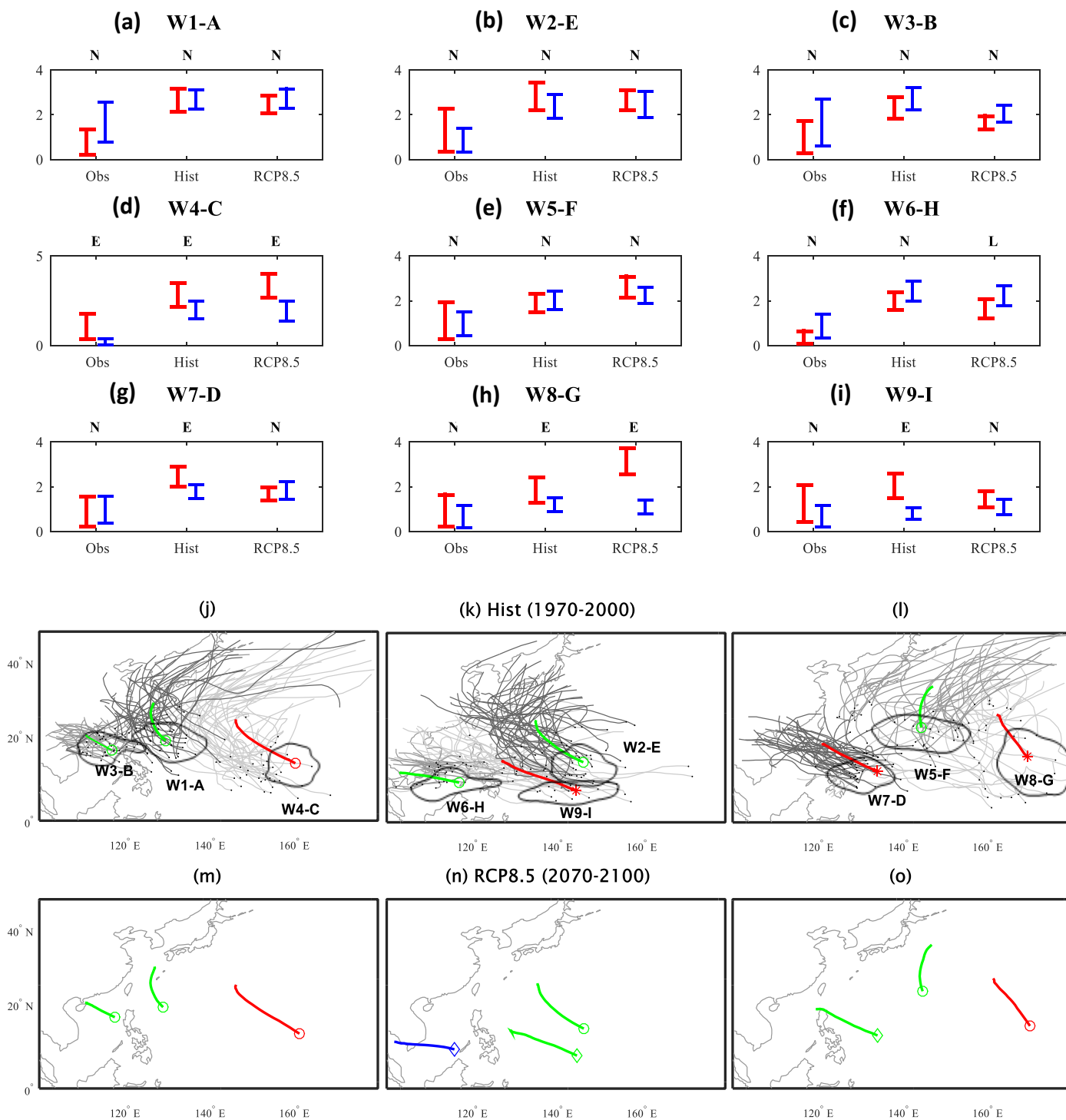


Fig. 8: As in Fig. 4 but for the Western North Pacific.

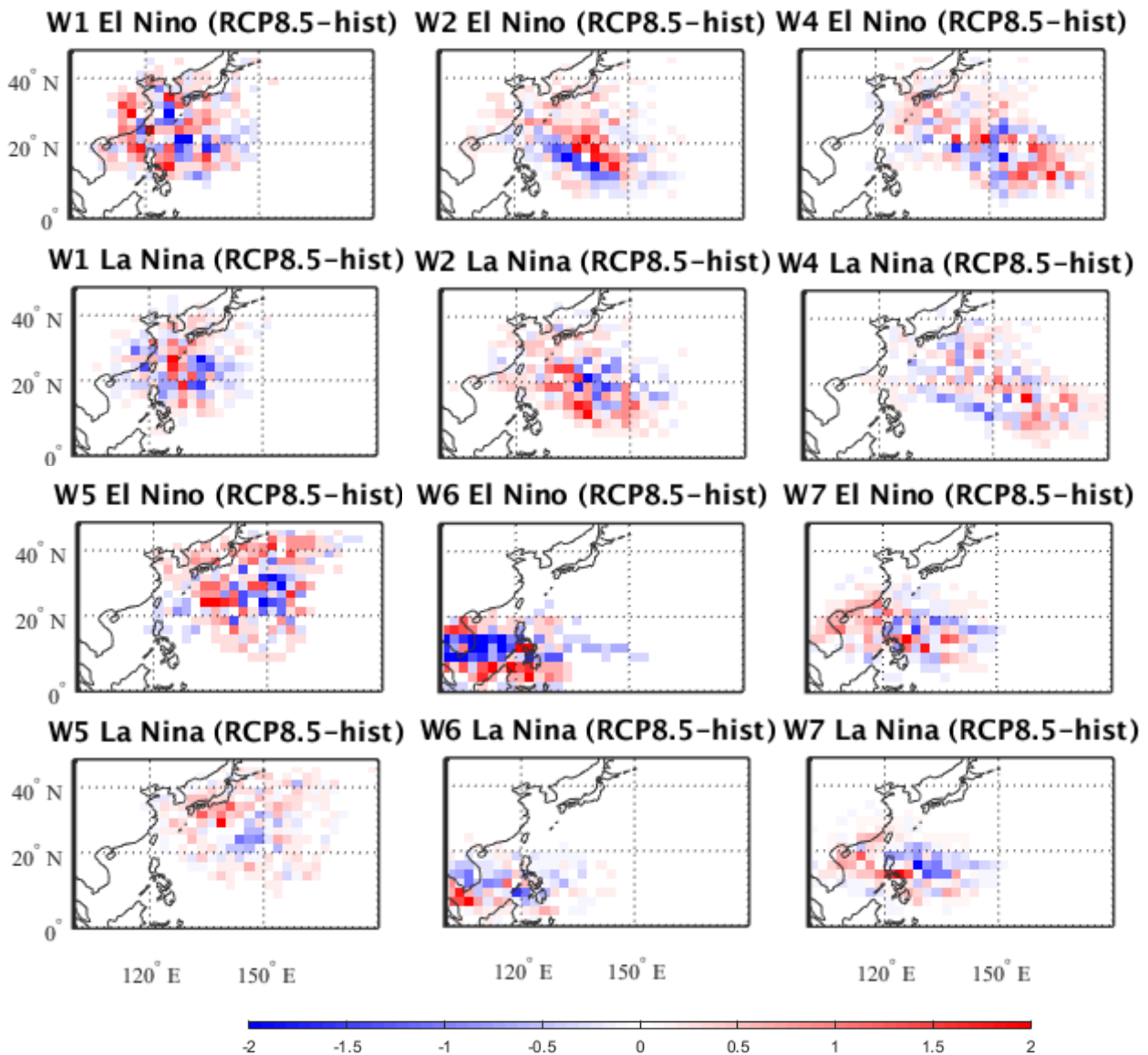


Fig. 9: Difference in TC track density (color bar reads as number of TCs per decade) between RCP8.5 and historical climate with a normalized number of TCs for several WNP clusters (W3, W8 and W9 indicated no clear pattern of change, not shown).



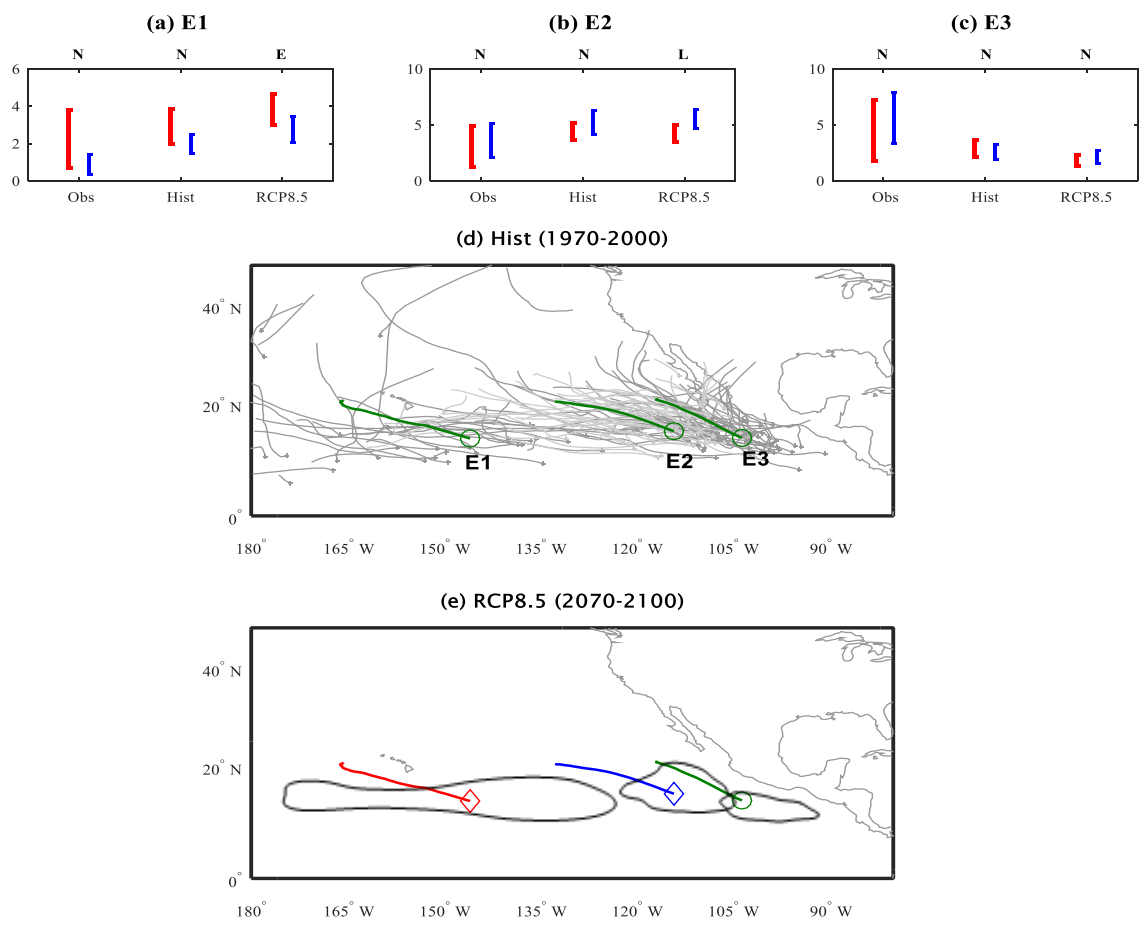


Fig. 10: As in Fig. 4 but for the Eastern North Pacific.

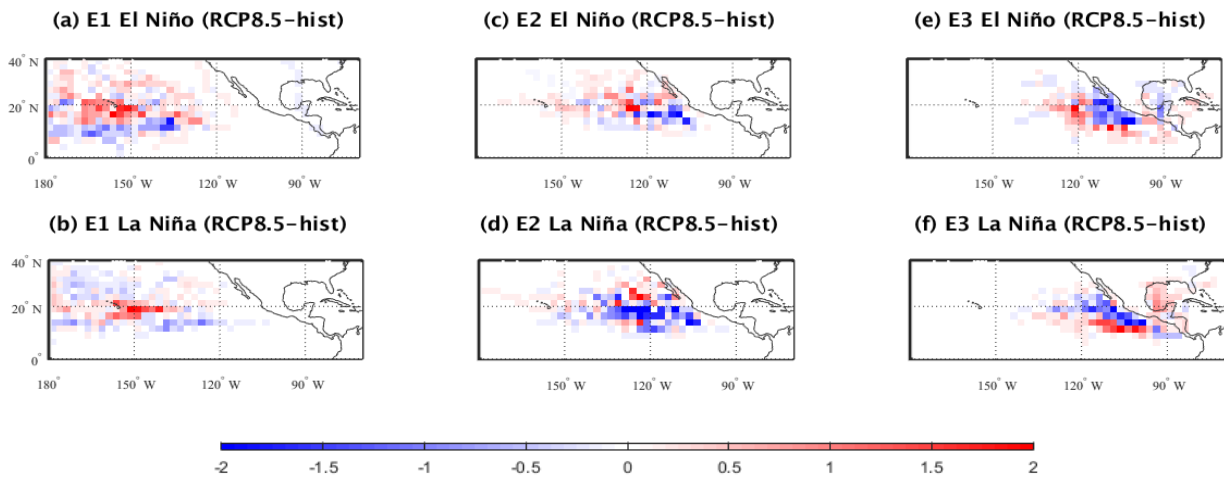


Fig. 11 Difference in TC track density between RCP8.5 and historical climate with a normalized number of TCs for clusters E1-E3 (color bar reads as number of TCs per decade).

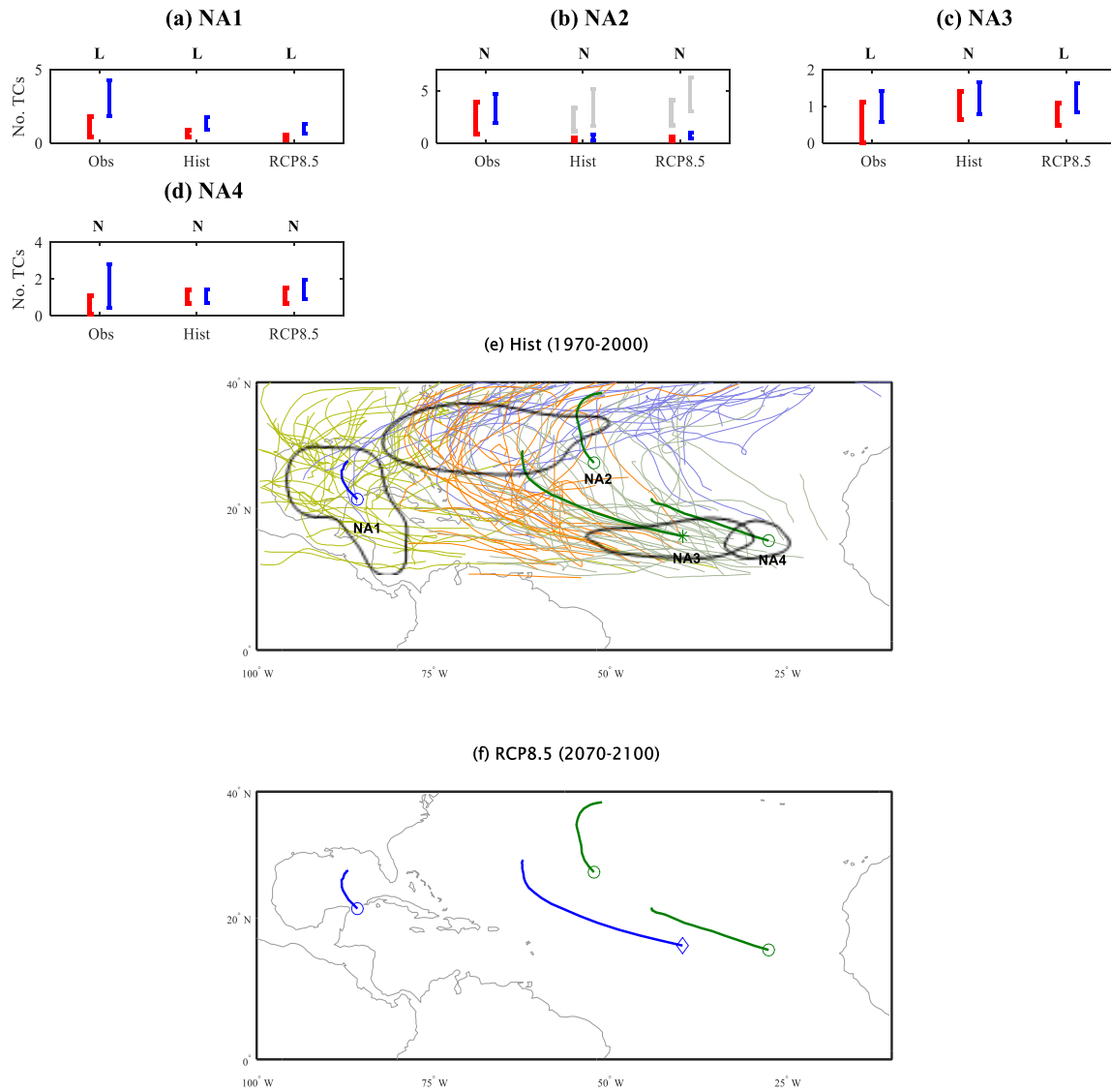


Fig. 12: As in Fig. 4 but for the North Atlantic. Large underestimations of TC numbers in cluster NA2 makes comparison difficult. For this case, bias-corrected TC confidence intervals with respect to observations (grey) were added.

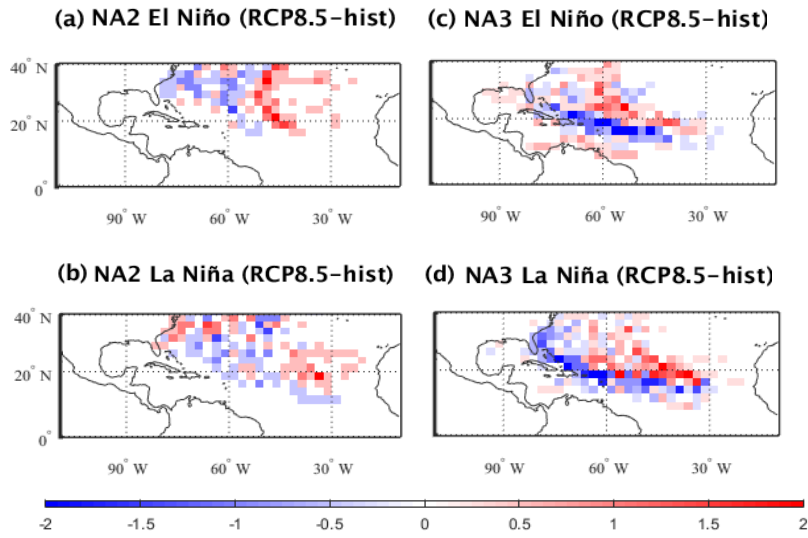


Fig. 13: Difference in TC track density between RCP8.5 and historical climate with a normalized number of TCs for the NA2 and NA3 clusters (color bar reads as number of TCs per decade).

## Tables

Table 1: The observational data used in each study region: Southern Hemisphere (SH), North Indian (NI), Western North Pacific (WNP), Eastern North Pacific (ENP) and North Atlantic (NA). \*Data preceding 1979 was not cut-off by the subtropical jet.

<b>Region</b>	<b>Observed data</b>	<b>Period</b>
SH (20°E – 120°W)	IBTrACS-WMO	1980/81 to 2015/16
NI (30 – 100°E)	ERA-Interim	1989 to 2013
WNP (100 – 180°E)	JTWC	1970* to 2000
ENP (180 – ~70°W)	IBTrACS-WMO	1970* to 2000
NA (~70°W – 0°)	IBTrACS-WMO	1970* to 2000

Table 2: The twelve CMIP5 models used to form multi-model means for each study region: Southern Hemisphere (SH), North Indian (NI), Western North Pacific (WNP), Eastern North Pacific (ENP) and North Atlantic (NA).

Model	Horizontal Resolution	Region used	Basic Description and Reference
GFDL-ESM2M	2.5°		Models developed by the Geophysical Fluid Dynamics Laboratory (GFDL) (Donner et al. 2011)
GFDL-CM3	2.5°	NA	
GFDL-ESM2G	2.5°	NI, WNP, ENP	
ACCESS1.0	1.9°	SH	Developed by the Bureau of Meteorology (BoM) the Australian Community Climate and Earth-System Simulator (ACCESS) models are based on the UK Met Office's Unified Model.(Bi et al. 2012)
ACCESS1.3	1.9°	WNP, NI	
HadGEM2-ES	1.9°	ENP, NA	
			HadGEM2-ES is a configuration of the UK Met Office's Unified Model.(Jones et al. 2011)
BCC-CSM1.1	2.8°	ENP	Developed by the Beijing Climate Centre (BCC), these models are based on NCAR CCSM2.0.1.(Wu et al. 2014)
BCC-CSM1.1M	1.1°	SH, WNP, NI,	
CSIRO-Mk3.6	1.9°	SH, NI, WNP, ENP, NA	Developed by the Commonwealth Scientific and Industrial Research Organization (CSIRO)(Collier et al. 2011)
CNRM-CM5	1.4°	WNP, ENP, NA	Developed by the Centre National de Recherches Météorologiques (CNRM) (Voldoire et al. 2012)
CCSM4	1.2°	SH, WNP, NI,	Developed by the National Center for Atmospheric Research (NCAR)(Gent et al. 2011)
MIROC5	1.4°	SH, WNP, ENP, NA	Developed by the Model of Interdisciplinary Research on Climate (MIROC) (Watanabe et al. 2010)

Table 3: The choice of the number of clusters,  $k$ , used in each study region.

<b>Region</b>	<b>No. of clusters (<math>k</math>)</b>	<b>Reference</b>
SH	8	Ramsay et al. (2018); Bell et al. (2019a)
NI	4	Bell et al. (2019d)
WNP	9	Bell et al. (2019c)
ENP	3	Camargo et al. (2008); Bell et al. (2019b)
NA	4	Kossin et al. (2010)

Table 4: Percentage of westward directed TC tracks in each Southern Hemisphere cluster during El Niño and La Niña events. For example, during El Niño events in cluster S1, 63% of TCs in the observed records (obs) tracked westward, 67% of TCs historically simulated by the models (hist) tracked westward and 64% of TCs under the RCP8.5 projection tracked westward. The “Change” column represents the percentage shift toward either east (E) or west (W) from the historical simulation to the RCP8.5 projection. Tracks were defined as “eastward” or “westward” based on their longitude location at two-thirds of their lifetime in relation to genesis.

Cluster	El Niño				La Niña			
	Obs	Hist	RCP8.5	Change	Obs	Hist	RCP8.5	Change
<b>S1</b>	63%	67%	64%	+3%E	73%	72%	60%	+12%E
<b>S2</b>	65%	76%	74%	+2%E	89%	81%	72%	+9%E
<b>S3</b>	59%	62%	71%	+9%W	66%	72%	73%	+1%W
<b>S4</b>	52%	73%	87%	+14%W	64%	75%	83%	+8%W
<b>S5</b>	57%	74%	80%	+6%W	50%	79%	87%	+8%W
<b>S6</b>	57%	52%	62%	+10%W	34%	52%	50%	+2%E
<b>S7</b>	35%	42%	48%	+6%W	12%	45%	47%	+2%W
<b>S8</b>	15%	47%	37%	+10%E	45%	43%	51%	+8%W



Table 5: U-test statistics indicating dominance of either El Niño (positive U-statistic) or La Niña (negative U-statistic), for chosen sub-clusters in the Southern Hemisphere. U-statistics in bold indicate that it exists outside of a 90% confidence interval, in addition an E or L further signifies either El Niño or La Niña dominance.

Cluster	Obs	Hist	RCP8.5
S3-West	-0.89	<b>-2.09L</b>	<b>-3.83L</b>
S3-East	-0.03	0.43	<b>-2.36L</b>
S4-West	<b>-3.56L</b>	<b>-4.38L</b>	<b>-2.03L</b>
S4-East	<b>-2.21L</b>	<b>-1.56L</b>	<b>-1.57L</b>
S5-West	0.73	0.77	-1.12
S5-East	0.09	1.40	0.86
S6-West	0.78	-0.17	<b>1.54E</b>
S6-East	-1.21	-0.01	-1.16
S7-West	<b>2.30E</b>	-0.06	<b>3.11E</b>
S7-East	1.34	0.59	<b>2.81E</b>

Table 6: Mean TC Lifetimes (days) for the Southern Hemisphere cluster observations (obs), historical multi-model means (Hist), and projected multi-model means (RCP8.5). Projected changes under RCP8.5 ( $P_{\text{Change}}$ ) indicates either larger (+L) or shorter (+S) lifetimes, bolding represents a significant (95%) change using 5000 bootstrap resamples.

Cluster	El Niño				La Niña			
	Obs	Hist	RCP8.5	$P_{\text{Change}}$	Obs	Hist	RCP8.5	$P_{\text{Change}}$
S1	6.1	5.6	5.1	$\Delta S$	7.7	5.8	6.1	$\Delta L$
S2	8.0	7.5	6.9	$\Delta S$	8.4	7.8	7.0	$\Delta S$
S3-West	8.3	6.9	6.8	$\Delta S$	8.1	8.2	7.0	$\Delta S$
S3-East	8.5	6.2	6.7	$\Delta L$	7.5	6.3	6.3	-
S4-West	4.1	5.3	5.5	$\Delta L$	6.0	5.7	5.5	$\Delta S$
S4-East	5.8	4.5	4.0	$\Delta S$	6.5	5.7	5.7	-
S5-West	5.1	8.8	9.1	$\Delta L$	4.2	7.2	6.9	$\Delta S$
S5-East	5.6	6.8	8.3	$\Delta L$	5.0	8.0	5.6	$\Delta S$
S6-West	8.1	7.8	7.9	$\Delta L$	5.5	6.1	6.4	$\Delta L$
S6-East	7.6	<b>6.2</b>	<b>9.1</b>	<b><math>\Delta L</math></b>	5.2	6.2	7.2	$\Delta L$
S7	7.1	6.1	5.6	$\Delta S$	5.2	5.2	5.0	$\Delta S$
S8	4.7	4.9	5.8	$\Delta L$	4.9	5.0	5.1	$\Delta S$

Table 7: Mean TC lifetimes (days) for Northern Hemisphere track cluster historical multi-model mean (Hist), and projected multi-model mean (RCP8.5). Projected changes under RCP8.5 ( $P_{\text{Change}}$ ) indicates either larger (+L) or shorter (+S) lifetimes, bolding represents a significant (95%) change using 5000 bootstrap resamples.

Cluster	El Niño			La Niña		
	Hist	RCP8.5	$P_{\text{Change}}$	Hist	RCP8.5	$P_{\text{Change}}$
<b>NI1</b>	5.6	4.7	$\Delta S$	5.4	5.7	$\Delta L$
<b>NI2</b>	6.7	5.0	$\Delta S$	4.7	5.6	$\Delta L$
<b>NI3</b>	5.0	5.8	$\Delta L$	5.7	6.0	$\Delta L$
<b>NI4</b>	5.4	5.2	$\Delta S$	4.8	5.3	$\Delta L$
<b>W1-A</b>	5.5	5.8	$\Delta L$	5.5	5.4	$\Delta S$
<b>W2-E</b>	6.9	6.9	-	6.6	7.1	$\Delta L$
<b>W3-B</b>	5.7	5.9	$\Delta L$	5.2	4.9	$\Delta L$
<b>W4-C</b>	7.1	7.4	$\Delta L$	6.9	6.8	$\Delta S$
<b>W5-F</b>	4.6	4.9	$\Delta L$	4.2	4.8	$\Delta L$
<b>W6-H</b>	7.6	6.7	$\Delta S$	6.9	6.5	$\Delta S$
<b>W7-D</b>	6.7	7.2	$\Delta L$	6.6	7.2	$\Delta L$
<b>W8-G</b>	6.7	7.4	$\Delta L$	5.8	5.8	-
<b>W9-I</b>	10.2	9.5	-	10.1	9.7	$\Delta S$
<b>E1</b>	5.6	5.9	$\Delta L$	4.9	5.5	$\Delta L$
<b>E2</b>	4.9	5.1	$\Delta L$	5.7	5.5	$\Delta S$
<b>E3</b>	6.0	6.3	$\Delta L$	5.9	6.5	$\Delta L$
<b>NA1</b>	4.5	4.4	$\Delta S$	4.7	5.6	$\Delta L$
<b>NA2</b>	4.1	5.0	$\Delta L$	6.2	5.3	$\Delta S$
<b>NA3</b>	6.6	6.6	-	6.6	6.0	$\Delta S$
<b>NA4</b>	5.4	5.6	$\Delta L$		<u>5.0</u>	5.0

Table 8: Summary of the statistical dominance of ENSO in each cluster, including sub-clusters in the Southern Hemisphere, where E represents “El Niño dominant”, L represents “La Niña dominant” and N represents “neither phase”. The likely mechanisms (LM) responsible for changes in model ENSO dominance: relative humidity (RH), vertical wind shear (WS), omega (OM) or relative vorticity (RV). Note that the NI results displayed are over the post-monsoon period. Change of ENSO dominance between model simulations are highlighted in bold.

Southern Hemisphere				LM	Northern Hemisphere				LM
Cluster	Obs	Hist	RCP8.5		Cluster	Obs	Hist	RCP8.5	
<b>S1</b>	N	<b>L</b>	<b>N</b>		<b>NI1</b>	N	N	N	
<b>S2</b>	N	<b>N</b>	<b>L</b>		<b>NI2</b>	L	N	N	
<b>S3 (Overall)</b>	N	<b>N</b>	<b>L</b>	RH; WS	<b>NI3</b>	N	<b>N</b>	<b>L</b>	
<b>West</b>	N	L	L		<b>NI4</b>	L	N	N	
<b>East</b>	N	<b>N</b>	<b>L</b>		<b>W1-A</b>	N	N	N	
<b>S4 (Overall)</b>	L	L	L		<b>W2-E</b>	N	N	N	
<b>West</b>	L	L	L		<b>W3-B</b>	N	N	N	
<b>East</b>	L	L	L		<b>W4-C</b>	E	E	E	
<b>S5 (Overall)</b>	N	<b>N</b>	<b>N</b>		<b>W5-F</b>	N	N	N	
<b>West</b>	N	<b>N</b>	<b>N</b>		<b>W6-H</b>	N	<b>N</b>	<b>L</b>	OM; RV
<b>East</b>	N	<b>N</b>	<b>N</b>		<b>W7-D</b>	N	<b>E</b>	<b>N</b>	
<b>S6 (Overall)</b>	N	<b>N</b>	<b>N</b>		<b>W8-G</b>	N	E	E	
<b>West</b>	N	<b>N</b>	<b>E</b>		<b>W9-I</b>	N	<b>E</b>	<b>N</b>	RH; RV
<b>East</b>	N	<b>N</b>	<b>N</b>		<b>E1</b>	N	<b>N</b>	<b>E</b>	
<b>S7 (Overall)</b>	N	<b>N</b>	<b>E</b>	WS	<b>E2</b>	N	<b>N</b>	<b>L</b>	
<b>West</b>	E	<b>N</b>	<b>E</b>		<b>E3</b>	N	N	N	
<b>East</b>	N	<b>N</b>	<b>E</b>		<b>NA1</b>	L	L	L	
<b>S8</b>	E	E	E		<b>NA2</b>	N	N	N	
					<b>NA3</b>	L	<b>N</b>	<b>L</b>	WS
					<b>NA4</b>	N	N	N	

Table A1: Parameter threshold values for the two sets of the OWZ-Detector's detection criteria, subscripts refer to hPa level.

	<b>OWZ-Detector Parameter thresholds</b>					
<b>Criterion</b>	$OWZ_{850}$	$OWZ_{500}$	$RH_{950}$	$RH_{700}$	$VWS_{850-200}$	$SpH_{950}$
Initial	$50 \times 10^{-6} s^{-1}$	$40 \times 10^{-6} s^{-1}$	70%	50%	$25ms^{-1}$	$10kgg^{-1}$
Core	$60 \times 10^{-6} s^{-1}$	$50 \times 10^{-6} s^{-1}$	85%	70%	$12.5ms^{-1}$	$14kgg^{-1}$