

Citation for published version: Mazinani, S, Al-Shimmery, A, Chew, YMJ & Mattia, D 2022, '3D printed nanofiltration composite membranes with reduced concentration polarisation', *Journal of Membrane Science*, vol. 644, 120137. https://doi.org/10.1016/j.memsci.2021.120137

DOI: 10.1016/j.memsci.2021.120137

Publication date: 2022

Document Version Peer reviewed version

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3D printed nanofiltration composite membranes with reduced concentration polarization

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6

7 Abstract

3D printed nanofiltration (NF) composite membranes with surface patterns minimising the 8 impact of concentration polarisation (CP) are presented here for the first time. The membranes 9 consist of a NF polydopamine-coated polyvinylidene fluoride (PVDF/PDA) selective layer on 10 a 3D printed asymmetric wavy (patterned) support. The result is a wavy composite membrane 11 with pure water permeance of 14 ± 2 LMH bar⁻¹ and molecular weight cut-off of ~550 Da, 12 measured using a crossflow NF setup at a transmembrane pressure of 2 bar for Reynold number 13 (*Re*) of 700, using a range of dyes (mass balance > 97% for all tests). The CP behaviour of the 14 composite membranes was assessed by filtration of Congo red (CR) dye solution (0.01 g L^{-1}), 15 showing that the wavy pattern significantly reduced the impact of CP compared to the flat 16 membranes, with a nearly tripling of the mass transfer coefficient and a 57% decline of the CP 17 factor. Computational fluid dynamics showed that these significant performance improvements 18 were due to improved hydrodynamics, with the maximum surface shear stress induced by the 19 wavy structure (1.35 Pa) an order of magnitude higher than that of the flat membranes (0.18 20 Pa) at Re = 700. These results demonstrate that 3D printing is a viable technology route to 21 reducing concentration polarisation in membrane nanofiltration applications. 22

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Keywords: 3D printing, CFD simulation, Wavy composite membrane, 3D asymmetric
 support, Concentration polarization.

31 **1. Introduction**

Concentration polarization (CP) occurs when non-interacting solutes build up near the 32 33 membrane-feed interface, resulting in a higher solute concertation than that in the bulk solution [1]. This build up can induce water flux decline, reduce quality of produced water, increase 34 35 power consumption and operating costs in membrane-based water treatment [2, 3], including reverse osmosis (RO) and nanofiltration (NF) processes [4, 5]. Numerous attempts have been 36 made to reduce the impact of CP through modification of the membranes, e.g. surface coating 37 [6], grafting [7] and interfacial polymerization [8]. However, these measures have shown 38 limited success since they also negatively impact membrane flux and, moreover, the 39 uncertainty around their long-term stability and scalability has resulted in their low uptake [9]. 40 Therefore, there is intense interest in methods that would effectively reduce CP without 41 negatively affecting permeance and ensuring stable performance over time. 42

The patterning of membranes' surfaces has been extensively explored as a potential route to 43 minimise CP and fouling by altering the membrane's surface topography [10, 11]. Micrometre-44 scale surface patterns can effectively reduce the build-up of CP layer by promoting fluid shear 45 stress on the membrane surface, while at the same time enhance permeance by increasing the 46 47 effective filtration area [12, 13]. It was demonstrated that a patterned membrane can lead to a reduction in energy consumption of up to 88% compared to a flat one with the same rejection 48 49 [14]. However, the actual use of patterned membranes has been limited due to insufficient fidelity and flexibility of current patterning methods, e.g. stamping, moulding or 50 nanoimprinting, as they negatively affect permeance and durability of the membranes [15]. 51

Additive manufacturing, known as three-dimensional (3D) printing can produce well-defined 52 patterned membranes with more complex patterns in a single process that are impossible to 53 manufacture otherwise [16]. One of the most versatile 3D printing technique that is used in 54 membrane fabrication for liquid-based filtration is multi-jet printing (MJP). MJP offers a high 55 resolution, in the order of few micrometres, and is able to create complex parts via the 56 controlled deposition of light-curable resins [17]. During the fabrication process using this 57 technique, the 3D printer utilizes two polymeric materials, one to build the structure and the 58 other as support, which is subsequently removed. Although there are many research studies on 59 the advancement of 3D printers, only few of them have used 3D printing to fabricate patterned 60 membranes, and all of which employed symmetric substrates [18]. 61

62 Poly(vinylidene fluoride) (PVDF) membranes are widely used in water and wastewater63 treatment applications due to their high mechanical and chemical stability along with good

processability [19]. Commercially available in microfiltration (MF) and ultrafiltration (UF) ranges, PVDF membranes, due to their hydrophobicity, are generally hydrophilized for better performance [20]. PVDF UF composite membranes have been prepared via coating of hydrophilic polydopamine (PDA) over MF and loose UF PVDF membranes as support [21-23]. There is, however, no study on preparation of PVDF NF composite membranes in the literature.

This work demonstrates the fabrication via 3D printing of NF composite membranes with a 70 wavy pattern, resulting in reduced CP build-up. The asymmetric wavy supports were first 71 carefully designed to enable the fabrication of thin supports with higher intrinsic permeability, 72 and better mechanical stability compared to symmetric supports [18]. The structural design 73 parameters of the wavy supports were optimised integrating computational fluid dynamics 74 (CFD) simulations with material characterization. A multi-jet 3D printer was then used to print 75 asymmetric supports. NF selective layers were prepared by coating a polydopamine (PDA) 76 layer over as-prepared UF PVDF membranes. The NF PVDF/PDA selective layers were then 77 applied onto the asymmetric supports via vacuum filtration to fabricate 3D printed NF 78 composite membranes. The MWCO of the composite membranes was determined via dye 79 rejection tests using a cross-flow NF setup at 2 bar. The dye CP behaviour of the composite 80 membranes was assessed by filtration of Congo red dye solution through determination of time-81 dependent maximum solute concentration, and calculation of mass transfer coefficient and CP 82 factor. The results were compared with a 3D NF flat composite membrane as a reference. 83

84 **2. Materials and methods**

85 2.1. Materials

A commercial 3D printer (ProJet 3500 HD Max printer, 3D Systems, USA) was used to 86 87 fabricate the asymmetric membrane supports, using proprietary urethane acrylate (VisiJet® M3-X, 3D Systems, USA) and a support wax (VisiJet® S300, 3D Systems, USA). PVDF (Kynar 88 761, $Mw = 634,000 \text{ g mol}^{-1}$, *Arkema*), N-Methyl-2-pyrrolidone (NMP, purity > 99%, Acros), 89 dopamine hydrochloride (DPA, purity > 98%, Sigma-Aldrich) and Trizma hydrochloride 90 (TRIS HCl, purity > 99%, *Sigma-Aldrich*) were used to prepare selective layer. Reactive black 91 5 (RB5, MW = 991 g mol⁻¹, Sigma-Aldrich), Congo red (CR, MW = 697 g mol⁻¹, Sigma-92 Aldrich), acid red 1 (AR1, MW = 509 g mol⁻¹, Sigma-Aldrich) and methyl orange (MO, MW) 93 = 327 g mol⁻¹, Sigma-Aldrich) were used as model dyes in the cross-flow nanofiltration 94 experiments. Sodium sulphate (Na₂SO₄, purity > 99%, *Sigma-Aldrich*) was used to prepare the 95

salt solution for the concentration polarisation studies. All the solutions used in this work were
prepared using deionized water (DI, Purelab[®], Elga*Veolia*).

98 2.2. Preparation of the 3D printed nanofiltration composite membranes

99 The fabrication procedure for the 3D printed nanofiltration (NF) composite membranes 100 comprises three main steps: (i) Fabrication of the asymmetric supports using 3D printing; (ii) 101 preparation of the NF selective layer; and (iii) application of the NF selective layer onto the 3D 102 printed supports.

103 2.2.1. Fabrication of the asymmetric supports using 3D printing

104 The asymmetric support consists of a single 3D printed object, comprising two sections, a top 105 dense layer (50 µm thickness) and a bottom one (200 µm thickness) with straight-through 106 cylindrical pores (**Figure 1**). The asymmetric design actually improves the mechanical stability 107 of the support and enables the fabrication of the supports with lower overall thickness and 108 larger pore diameter in the bottom layer compared to the symmetric case [18]. This led to an 109 increase in the support's intrinsic permeability and eased removal of the wax support material 110 [24]. The asymmetric support was designed using OpenSCAD, using a procedure reported 111 proviously [18]

- 111 previously [18].
- 112

3D asymmetric membrane support

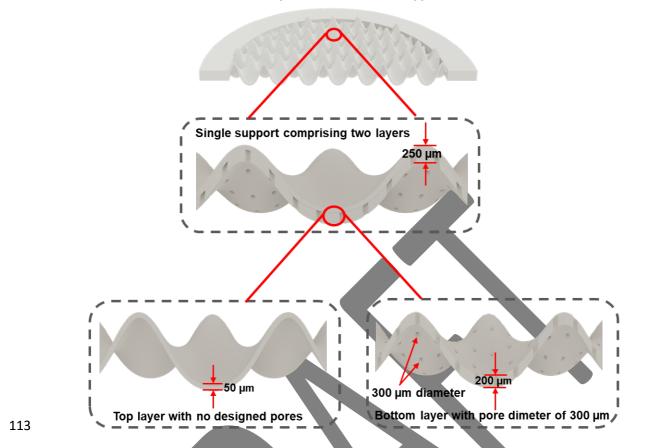


Figure 1. The 3D asymmetric support consists of a single 3D printed object, comprising two sections, a top dense one (50 µm thickness) and a bottom one (200 µm thickness) with straightthrough cylindrical pores of 300 µm.

117

The pores were designed with 300 µm diameter and 200 µm interpore distance using Autodesk 118 Inventor Professional 2016 and superimposed on the wavy surface (37 mm diameter) of the 119 bottom section. Thereafter, the two sections were merged into a single digital object and a rim 120 (6.5 mm wide) was added around the circular wavy area to generate an asymmetric support 121 with 50 mm overall diameter. The final computer-aided design (CAD) file was subsequently 122 converted into the stereolithography (STL) format using OpenSCAD and transferred to the 3D 123 printer using 3D Sprint software (2.0, 3D systems, USA). The flat supports were designed using 124 the same procedure. The final post-treatment step was to remove the wax from inside the pores 125 of the subsection using EZ Rinse-C oil [18]. 126

127 2.2.2. Preparation of NF selective layer

128 The NF selective layer was obtained by coating PDA over an UF PVDF membrane. To prepare 129 a dope solution, PVDF (17.5 wt%) was first dissolved in NMP and then stirred using a roller

mixer (120 VAC, Cole-Parmar) for two days. The dope solution was then left overnight to 130 degas. The bubble-free solution was cast on top of a clean glass plate at room temperature using 131 a casting knife with 50 µm gap height under ~35% relative humidity (Figure 2(a)). After 15 s 132 of exposure to air, the glass plate was immersed in a DI water bath at room temperature to 133 complete the phase inversion process. The DPA solution (2 g L⁻¹, pH~8, 300 ml) preparation 134 involved first dissolution in DPA in TRIS HCl solution (pH = 8.5, 10 mM, 200 ml), followed 135 by pH adjustment to 8.5 by addition of sodium hydroxide solution (NaOH, 10 mM, 100 ml). 136 The as-prepared PVDF membrane was cut 6 cm diameter dises and placed into a customised 137 membrane holder so that only its front side was exposed to the coating solution. Subsequently, 138 the fresh DPA was poured into the membrane holder and continuously stirred using an 139 overhead stirrer for 24 h at room temperature. The DPA solution was then replaced with fresh 140 solution and the membrane was exposed to the fresh solution for another 24 h. The interaction 141 between PVDF and DPA forms a thin PDA layer on the PVDF surface through oxidant self-142 polymerization [25, 26], resulting in a NF selective layer (Figure 2(a)). Thereafter, the NF 143 selective layer was removed from the membrane holder and rinsed with water for 30 mins to 144 145 remove unreacted PDA particles. The resultant membrane was stored in DI water before further use. 146

147 2.2.3. Preparation of 3D printed NF composite membranes

The NF PVDF/PDA selective layer was first applied onto the 3D support, followed by vacuum filtration with DI water for at least 3 h to ensure adhesion of the selective layer on the 3D printed support, resulting in the formation of wavy or flat 3D composite membranes (**Figure**

151 **2(b)**).



PDA coating over UF PVDF membrane

NF selective layer

b) Deposition of NF selective layer over the 3D asymmteric support

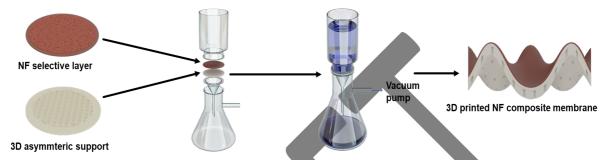


Figure 2. Schematic of the preparation of wavy 3D composite membranes: (a) preparation of NF selective layer by coating a PDA layer over a UF PVDF membrane, (b) NF selective layer

deposition over the 3D support via vacuum filtration.

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152

157 **2.3.** Characterisation of 3D composite membrane

A scanning electron microscope (SEM, JEOL SEM6480LV, Japan) along with a digital
microscope (VHX-6000, *Keyence*, Japan) were used to investigate the morphology of the 3D
support, selective layer, and 3D composite membrane. Membrane samples were first dried
under vacuum (~40 °C) overnight and then sputter-coated with 10 nm of chromium (Edwards
Sputter Coater \$150B, *Mechatech Systems*, UK) for SEM imaging.

163 To characterise chemical bonds on the surface of the selective layer, an ATR-FTIR 164 spectrometer (Frontier, *PerkinElmer*, Germany) was used. The spectra were collected as a 165 result of 16 running scans at a resolution of 4 cm^{-1} within the 600 cm⁻¹ to 4000 cm⁻¹ 166 wavelength range.

167 The surface chemical composition of the selective layer was analysed by X-ray photoelectron 168 spectroscopy (XPS, K-alpha, *Thermo Fisher Scientific*) using Al Kα as the radiation source 169 over an area of around 400 µm. Data was collected at pass energies of 150 eV and 40 eV for 170 survey (1 eV step size) and high (0.1 eV step size) resolution scans, respectively.

- 171 The water contact angle of the support, selective layer, and composite membrane was
- determined using a contact angle goniometer (OCA20, *DataPhysics Instruments*, Germany) in
- sessile mode at room temperature, using 5 µl droplets. The reported values are the average of
- at least 10 measurements.
- 175 The surface roughness of the 3D supports was assessed using a digital microscope (VHX-6000,
- 176 *Keyence*, Japan) over scan areas of $100 \times 100 \,\mu\text{m}$. The surface roughness of the selective layer
- 177 was analysed using atomic force microscopy (AFM, Bruker Multimode IIIA, USA) over scan
- areas of $5 \times 5 \,\mu\text{m}$ in the tapping mode (time/line = 1 s, samples/line = 256).
- 179 A Zetasizer Nano (ZS, Malvern Instruments Ltd.) was used to measure the surface zeta
- potential of membrane samples at neutral pH = 7.0. The reported values are the average of at
- 181 least three measurements.

182 2.4. Filtration performance and CP characterisation

The filtration performance and CP behaviour of the composite membranes was evaluated using a cross-flow NF setup (**Figure S2**) described previously [18]. All experiments were carried out at crossflow velocity of 0.1 ms⁻¹ (corresponding to Re = 700) at TMP of 2 bar for 30 minutes, following a pre-compaction step at 3 bar for 24 h using pure water. The permeance (*K*, LMH bar⁻¹) of the membranes was calculated via the following:

$$= \frac{V}{\Delta t \cdot A \cdot \Delta p} \tag{1}$$

- 188 where *V* is the permeate volume (m³), Δt is time (hr), *A* is the effective membrane area (m²), 189 and Δp is the transmembrane pressure (bar). The effective filtration areas for the flat and wavy 190 asymmetric supports are 1074 and 1288 mm², respectively, calculated using 3D Sprint (*3D* 191 *Systems*, USA) software [24].
- 192 The dye rejection of the membranes was calculated using equation (2):

K

$$R(\%) = \frac{C_F - C_P}{C_F}$$
(2)

- where C_F and C_P represent the solute concentration in the feed and permeate, respectively.
- 194 Dye concentration was measured using a UV-Vis spectrophotometer (Cary, Agilent, USA).
- 195 The mass balance in dye rejection tests was calculated using equation (3):

mass balance (%) =
$$\frac{C_p V_p + C_r V_r}{C_F V_F} \times 100$$
 (3)

where C_r is the retentate concentration (g L⁻¹), V_P , V_r , V_F represent the permeate, retentate and feed solutions volumes (L), respectively.

To assess the CP behaviour of the membranes, Congo red dye solution (0.01 g L⁻¹) was circulated through the setup and the membrane flux J_{ν} (LMH) was measured. The mass transfer coefficient k (m s⁻¹) was calculated from equation (4) using the stagnant film model [27]:

$$k = \frac{J_{\nu}}{\ln\left(\frac{C_m - C_p}{C_F - C_p}\right)} \tag{4}$$

where J_{ν} is the permeation flux (LMH), C_m is the maximum solute concentration at the membrane surface (g L⁻¹), C_p is the permeate concentration (g L⁻¹) and C_F is the feed concentration (g L⁻¹). C_F and C_p were calculated from experimental measurements while the concentration of dye at the membrane surface, C_m , was estimated using computational fluid dynamics (next section).

206 The CP factor, Γ , was determined using equation (5) [10]:

(5)

207

208 2.5. CFD simulations

To study flow and concentration fields over the surface of flat and wavy composite membranes, 209 the conservation of mass and momentum equations along with mass transport equations for 210 steady-state flow in the laminar flow regime, were numerically solved using Laminar Flow and 211 Transport of Diluted Species interfaces of COMSOL Multiphysics (COMSOL 5.6, Comsol 212 Inc., USA) software. Two-dimensional (2D) simulation domains (Figure S1) were created to 213 reproduce the cross section of the filtration cell with overall size of 4 mm \times 50 mm (height \times 214 215 length) [18]. The wavy structure was set at amplitude $\alpha = 0.70$ mm, and wavelength $\lambda = 3$ mm following optimisation. Further details of the simulation are reported in the Supporting 216 Information. 217

218 CFD modelling was also used to simulate the permeance decline as a function of time for the 219 dye solution. This was then used to determine the local concentration of the dye at the 220 membrane surface, C_m , which, in turn, allowed calculating the mass transfer coefficient in equation (4). The simulated flux decline was compared to experimental profiles, with an
excellent match, discussed below. The CFD model has been previously validated using BSA
by the authors [18] and independently [28].

224

225 3. Results and discussion

3.1. Design and optimisation of 3D printed composite membranes

The optimisation process of the 3D printed wavy composite membranes has been described 227 previously [18]. A combination of CFD simulations along with material characterisation was 228 employed to optimise the wavy structure parameters including amplitude (α), and wavelength 229 (λ) . Several wavy supports with certain amplitude and wavelength were first fabricated using 230 the 3D printer and their morphology was then characterised using SEM and digital microscope 231 to identify a range for amplitude (α , 0-1.50 mm) and wavelength (λ , 0-12 mm) compatible with 232 the printing process. The minimum thickness of the wavy support is dictated by its mechanical 233 properties where below a certain thickness the support can no longer withstand any significant 234 pressure. For symmetric supports the minimum thickness was found to be 500 µm whereas 235 asymmetric design allowed reducing the minimum thickness to 250 µm, thereby reducing 236 support resistance and material consumption. Moreover, the asymmetric support having no 237 pores in the top prevents the selective layer from breaking during vacuum filtration which as 238 observed for symmetric wavy supports. 239 The fluid flow around the wavy surface in terms of surface shear stress and vortex pattern was

The fluid flow around the wavy surface in terms of surface shear stress and vortex pattern was analysed using CFD simulations. **Figure 3** shows a contour plot with different shear stress regions caused by the wavy structure based on printable values of amplitude and wavelength

243 at Re = 700.

244

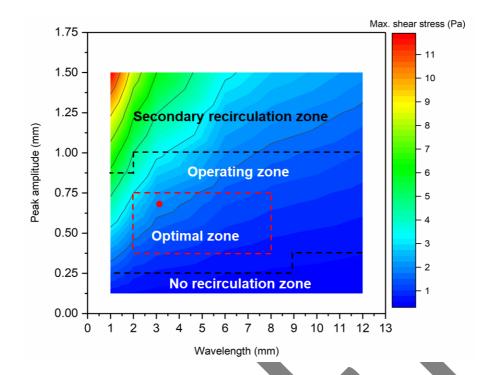


Figure 3. Contour plot with different shear stress regions caused by the wavy structure based on printable values of α and λ at Re = 700 using a 3D printed asymmetric support. The red dot represents the optimal fabrication parameters used in this work.

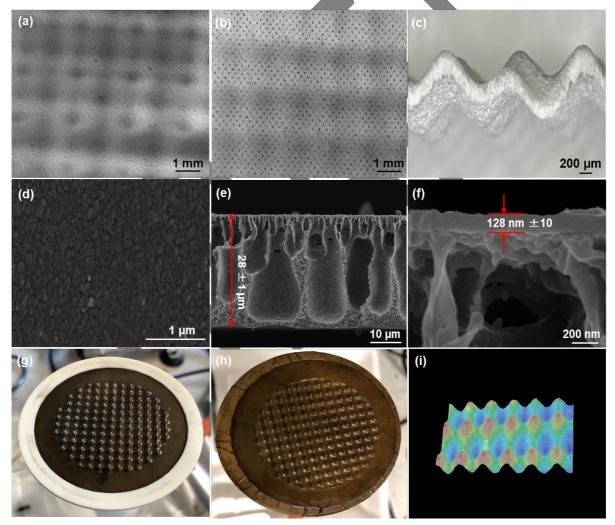
Wavy structures with large amplitudes and short wavelengths induce the highest fluid shear 249 stress while small amplitudes and long wavelengths cause lowest shear stress. High shear stress 250 and vortex formation at the membrane's surface enhance mass transfer coefficient and 251 therefore reduce concentration polarisation [29]. Within the operating zone, materials 252 considerations further limited the printable range for amplitude and wavelength: For $\alpha > 0.5$ 253 mm, the selective layer prepared from polyethersulfone (PES) would be pierced-through during 254 the vacuum-driven adhesion step. To improve the selective layer's conformability for larger 255 α values, PVDF was selected to use as the main polymer for preparation of the selective layer 256 due to its outstanding mechanical properties [30]. This systematic optimisation expanded the 257 'optimal zone', by raising the upper limit for α from 0.63 mm to 0.75 mm. In turn, higher α 258 values result in higher shear stress, further reducing fouling. This optimisation process let to 259 the selection of $\alpha = 0.70$ mm and $\lambda = 3$ mm to induce maximum shear stress (represented by 260 the red dot in Figure 3) over the membrane surface. By using PVDF as the selective layer, it 261 was possible to increase the amplitude α by 40% from 0.5 mm to 0.7 mm, resulting in 262 approximately doubling the shear stress from 0.7 Pa to 1.35 Pa, compared to 0.18 Pa for the 263 flat 3D printed membrane ($\alpha = \lambda = 0$). An extensive discussion of the interplay between the 264

265 different parameters of the 3D printer, the selective layer and the hydrodynamic landscape has266 been reported elsewhere [18].

267

3.2. Characterization of the 3D printed support, selective layer, and 3D composite membrane

SEM micrographs of the 3D printed top dense section (**Figure 4a**) and bottom section with cylindrical pores with diameter of 300 μ m (**Figure 4b**) show a highly regular structure with no defects and following the wavy structure design (cfr. **Figure 1**). Although the top layer is dense, i.e. there were no pores designed into this, there is nonetheless some porosity due to the resolution of the 3D printer itself, as previously observed for polysulfone membranes [31].



275

Figure 4. SEM micrographs of (a) top dense section, (b) bottom section (with pore diameter of 300 μ m) of the asymmetric 3D printed wavy support; (c) digital micrograph of the crosssection of 3D printed wavy support; SEM micrographs of (d) surface, and cross section of the PVDF/PDA selective layer at (e) ×55K and (f) ×75K magnification; optical micrographs of 3D

wavy composite membrane from (g) top and (h) side, showing a conformal layer of the 280 PVDF/PDA onto the wavy support; (i) digital micrograph of 3D wavy composite membrane. 281

- Figure 4 (c) shows the cross section of the wavy support with no gaps between the two layers 282
- confirming the asymmetric support was successfully printed as a single continuous object with 283
- lower total thickness (~250 μ m) and higher intrinsic permeability (9 ± 1 × 10 ⁻¹² m²) compared 284

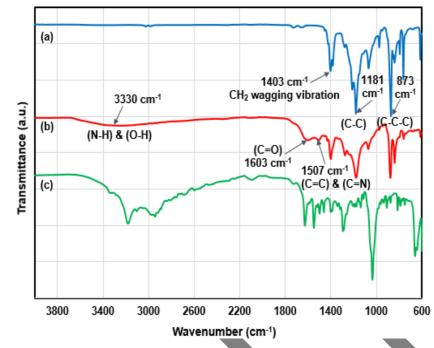
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287

to the symmetric supports [18]. The SEM micrographs of the surface and cross section of the PVDF/PDA selective layer are shown in Figure 4 (d-f). The densely packed PDA particles can 286

be clearly observed on the surface of the selective layer (Figure 4(d)). The deposition of PDA

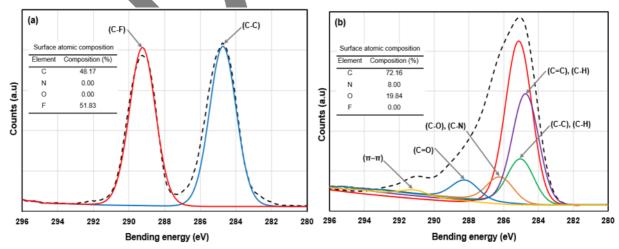
- can lead to the formation of numerous nanostructured PDA aggregates formed from the non-288 covalent interactions between PDA oligomers [32]. The cross-section of the selective layer 289 (Figure 4e) presents a typical asymmetric structure (overall thickness of $28 \pm 1 \mu m$) with a 290 micro-void porous sublayer and a thin dense skin layer (average thickness of 128 ± 10 nm) 291 formed from PDA (Figure 4f). A conformal adhesion between the PVDF/PDA selective layer 292
- and membrane support was confirmed using the optical micrographs and topographical image 293 of the wavy 3D composite membrane (Figure 4 (g-i)). 294
- The FTIR spectra of the (a) pristine PVDF (b) PVDF/PDA selective layer and (c) pristine PDA 295 are reported in Figure 5. In the PVDF spectra, the characteristic peaks at 1403 cm^{-1} and 296 1181 cm^{-1} are attributed to CH₂ wagging vibration and C–C band, respectively, while the 297 sharp peak at 873 cm⁻¹ is assigned to C–C–C asymmetrical stretching vibration [33]. In the 298 PVDF spectra, the characteristic peaks at 1403 cm^{-1} and 1181 cm^{-1} are attributed to 299 CH₂ wagging vibration and C–C band, respectively, while the sharp peak at 873 cm^{-1} is 300 assigned to C-C-C asymmetrical stretching vibration [33]. In the spectra of the coated PVDF 301 membrane with PDA, three new peaks can be observed: A broad peak between 3100 cm^{-1} and 302 3600 cm^{-1} corresponds to N-H/O-H stretching vibrations of PDA, while the two small peaks 303 at 1603 cm⁻¹ and 1507 cm⁻¹ are attributed to C=O and C=C/C=N bands, respectively, 304 confirming a strong bond between PVDF and PDA [30]. 305







The C1s XPS spectra along with surface atomic composition of pristine PVDF and PVDF/PDA 310 membranes are shown in Figure 6 (a) and (b), respectively. For the former, only C and F 311 elements are present on the surface of pristine PVDF membrane, as expected. After coating 312 with PDA, the atomic composition of C significantly increased, with signals appears for N and 313 O elements appeared, and no detection of F, confirming the formation of a uniform coating. 314 This data agrees well with the literature [30]. This was further confirmed looking at the C1s 315 XPS spectra of pristine PVDF and PVDF/PDA membrane. For the pristine PVDF, only two 316 peaks at binding energies of 284.6 eV (C-C) and 289.1 eV (C-F) are observed [34]. 317



- Figure 6. C1s X-ray photoelectron spectra of (a) pristine PVDF membrane and (b) PVDF/PDA
 membrane; the inset tables summarise the surface atomic composition.
- 320

In the C1s XPS spectra of the PVDF/PDA membrane, the C-F band has disappeared and new peaks appeared at binding energies of 284.6 eV (C=C/C-H), 285 eV (C-C/C-H), 286.3 eV (C-C/C-O), 288.1 eV (C=O), and 291.2 eV (π - π stacking) [30]. These new bands confirm the presence of PDA on the PVDF surface as PDA can adhere to polymeric surface through amino, imino, hydroxyl and catechol functional groups [35]. The O1s spectra of PVDF/PDA membrane can be found in **Figure S3**.

327

The properties of the 3D printed support, pristine PVDF, PVDF/PDA selective layer and 3D 328 wavy composite membrane are reported in Table 1. The water contact angle of the pristine 329 PVDF dropped from 93° to 51° after coating with PDA. This is attributed to the hydrophilic 330 amine and catechol groups of PDA [23], further confirming the successful coating of PDA onto 331 the PVDF surface and increased its hydrophilicity. Compared to the pristine PVDF membrane 332 $(-70.9 \pm 3 \text{ mV})$, the PVDF/PDA selective layer showed a higher negatively charge $(-89.1 \pm 5 \text{ mV})$ 333 mV) due to the existing functional groups in the PDA. The 3D printed support has the highest 334 roughness value ($R_a = 190 \pm 15$ nm) which can be related to MultiJet Printing (MJP) technology 335 used by the 3D printer which deposits the building material layer by layer on the top of each 336 other, resulting in a rough surface [17]. The roughness of the 3D wavy composite membrane 337 is the same as that of the PVDF/PDA selective layer, confirming the formation of a continuous, 338 339 uniform coating onto the 3D printed support.

340

341 Table 1. Physical properties of the 3D support, pristine PVDF, PVDF/PDA selective layer and

342 3D wavy composite membrane

			Roughness parameters (nm)	
Membrane	Contact angle (°)	Surface Zeta Potential (mV) ^(a)	R _a	R _{RMS}
3D support	85 ± 2		251 ± 15	
Pristine PVDF	93 ± 2	-70.9 ± 3	22.7 ± 0.6	29.7 ± 0.6
PVDF/PDA selective layer	51 ± 3	-89.1 ± 5	90.1 ± 8.0	121.7 ± 9.7
3D wavy composite membrane	51 ± 3	-89.1 ± 5	90.1 ± 8.0	121.7 ± 9.7

343 ^(a)The surface zeta potential was measured at pH = 7.0.

344 3.3. Filtration performance and CP characterisation

The 3D wavy composite membranes prepared using pristine PVDF had a pure water permeance 345 (PWP) of 41 ± 1.5 LMH bar⁻¹ and 67% rejection for RB5 (MW = 991 Da), showing UF 346 performance. The PWP for wavy and flat composite membranes prepared from PVDF/PDA 347 selective layer are 14 ± 2 LMH bar⁻¹ and 10 ± 2 LMH bar⁻¹, respectively (Figure 7a, at time 348 0). The higher PWP for wavy membranes is attributed to two factors: first, a ~20% larger 349 surface area compared to the flat one, even though they have the same footprint (50 mm). This 350 can be considered as one additional advantage of manufacturing patterned membranes using 351 3D printing compared to conventional techniques, where the size and the number of pores does 352 not reduce due to the printer's high resolution [36]. Second, a reduced thickness of the laminar 353 sub-layer near the membrane surface due to turbulence/eddies caused by the wavy structure, as 354 evidenced by the reduced fouling build-up (Figure 8). When the feed was switched to dye 355 solution, permeance decreased due to concentration polarisation, reaching steady state after ~ 5 356 minutes of permeation, with the wavy membrane showing a lower flux decline than the flat 357 one (Figure 7a). This behaviour is consistent with BSA fouling tests on flat and wavy 3D 358 printed UF composite membranes [18]. The NF performance of the wavy composite 359 membranes was evaluated via rejection of a series of dyes, including RB5, CR, AR1 and MO, 360 with different molecular weights at 2 bar and feed concentration of 0.01 g L^{-1} (Figure 7b). 361 RB5 and CR dyes exhibited a high rejection of > 94%, AR1 had a slightly lower rejection of 362 88%, and MO had a low rejection of 50%. The mass balance for all rejection tests was above 363 97%, signalling that the adsorption of the dyes onto the membranes was negligible. The main 364 rejection mechanisms in NF membranes are size (steric) exclusion and Donnan (charge) 365 exclusion [37, 38]. As both the composite membranes (Table 1) and the dyes tested have 366 367 negative charge, it can be assumed that the dominant factor is size sieving. Since RB5, CR and AR1 have larger molecular sizes compared to MO, high resistance of the former dyes results 368 in their high rejection in the filtration tests. The flat composite membranes prepared from 369 PVDF/PDA selective layer showed similar dye rejection to the wavy composite membranes 370 due to the fact that the rejection performance is attributed to the selective layer only [39]. The 371 MWCO value of the flat and wavy composite membrane is determined to be around ~550 Da. 372 Calibration curves for the dye rejection measurements are presented in Figure S4. 373

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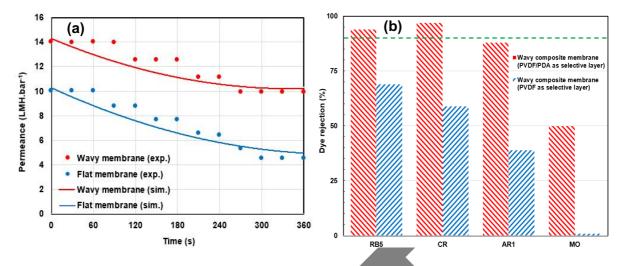


Figure 7. (a) Dye solution permeance decline for the first 5 minutes of permeation for wavy and flat membranes: dots report experimental data points, whereas the continuous line comes from CFD simulations; (b) Dye rejection performance of the wavy composite membranes prepared from pristine PVDF and PVDF/PDA as selective layers at 2 bar and inlet concentrations of 0.01 g L⁻¹. RB5: reactive black 5; CR: Congo red; AR1: acid red 1; MO: methyl orange. The mass balance for all the filtration experiments was > 97% (green dotted line refers to 90% rejection).

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Concentration polarisation is governed by two simultaneous processes: (1) convective transport 384 of particles towards the membrane along with the permeate flow and (2) back-transport of 385 particles from the concentrated layer into the bulk phase [40]. As such, the extent of CP can be 386 quantified by using the solute concentration at the membrane surface, the mass transfer 387 coefficient k and the CP factor [41]. A lower solute concentration at the membrane surface 388 389 means a higher k value and, therefore, lower CP. To calculate k (equation (4)), the solute concentration at the membrane surface (C_m) is needed, but this cannot be easily measured 390 experimentally [42]. On the other hand, CFD simulations have been effectively used to 391 calculate solute concentrations at the membrane surface, with high accuracy and reliability [43-392 45]. CFD simulation results can be very accurate if the conservation of mass and momentum 393 along with mass transport equations are solved under laminar flow conditions [42, 46]. In this 394 work, the CFD simulations were performed at TMP of 2 bar and Re = 700 to determine the 395 maximum CR (solute) concentration at the surface of flat and wavy composite membranes. 396 Results confirm that the CFD permeance curves accurately model experimental data (Figure 397 7a). Further details of the model development can be found in the Supporting Information. 398 The steady state fluxes of CR dye solution (0.01 g L^{-1}) at 2 bar for the wavy and flat membranes 399

are 20 LMH and 10 LMH, respectively (Table S1). The maximum CR concentration (Cm), 400 mass transfer coefficient k and CP factor as a function of filtration time for flat and wavy 401 composite membranes are shown in Figure 8 (a - c). C_m increases with time and reached a 402 steady state after 300 s, with values of 0.17 g L^{-1} on the flat surface and 0.08 g L^{-1} on the wavy 403 surface. The steady state is given by a balance between solute flow to the membrane surface, 404 solute flux through the membrane and backflow from the surface to the bulk. A lower C_m value 405 indicates that a higher mass transfer coefficient was achieved for the wavy membrane 406 407 compared to the flat one (Figure 8 (b)). The CP factor for the wavy membrane is consistently lower than that of the corresponding time on the flat membrane in all instances (Figure 8 (c)). 408 A quantitative comparison between wavy and flat composite membranes in terms of k and CP 409 factor is reported in Figure 8 (d), showing that the final (at t = 300 s) mass transfer coefficient 410 of the wavy membrane $(2.7 \times 10^{-6} \text{ m/s})$ is nearly triple that of the flat membrane $(1.0 \times 10^{-6} \text{ m/s})$ 411 m/s), with the CP factor being 57% lower on the wavy surface. The data and calculations for 412 these values are reported in Table S1. As CP depends on various parameters such as feed 413 solution, feed concentration, membrane type, crossflow velocity and transmembrane pressure 414 [1], comparison with the literature is challenging. In the case of patterned membranes, this is 415 made more complex by considerations about which area to use, i.e. the membrane's footprint 416 (in the present case the wavy and flat membranes having the same) or the effective filtration 417 area (in the present case the wavy membrane has $\sim 20\%$ larger effective filtration area than the 418 flat one). The approaches vary in the literature, but most consider the footprint as this coincides 419 with the membrane's module [10, 18, 41]. On this basis, the increase in mass transfer 420 coefficient and reduction in CP factor obtained in this work are very similar to values obtained 421 via CFD for a patterned membrane with a cambered structure, reporting a x3 increase for mass 422 transfers and a 50% reduction in CP factor for Rhodamine 6G [41]. A higher permeance 423 coupled with a significant reduction in CP was also observed for micropatterned membranes 424 using NaCl [10], whereas no increase in permeance was associated with reduced colloidal 425 fouling for a nanoimprinted UF membrane [15]. It is worth mentioning that the osmotic 426 pressure difference $(\Delta \pi)$ of the Congo red solution, due to the low CR concentration, is 427 negligible (Supporting Information). 428

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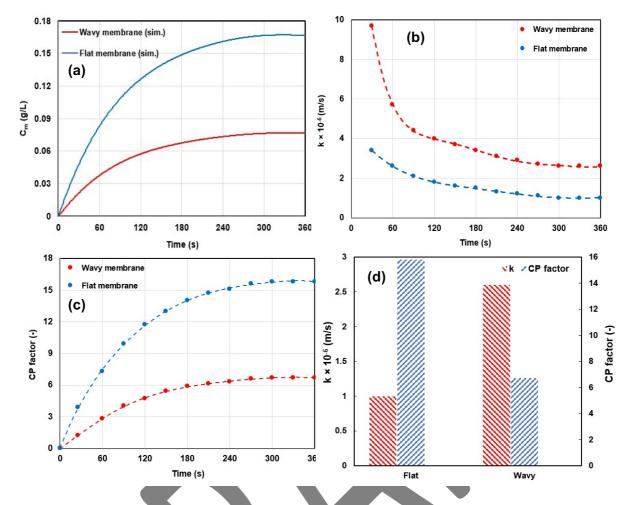


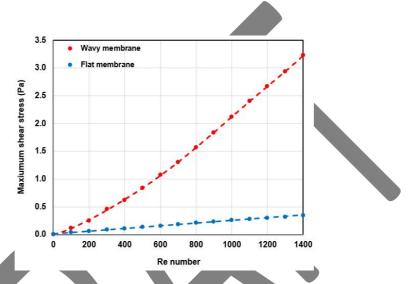
Figure 8. Time-dependent (a) maximum solute concentration at the membrane's surface; (b) mass transfer coefficient; (c) CP factor along with (d) quantitative comparison of wavy and flat composite membranes at TMP of 2 bar under Re = 700.

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This divergent behaviour can be explained by analysing the shear stress over the wavy and flat 435 composite membranes determined from CFD simulations (Figure 9), as the hydrodynamic 436 437 conditions around the membrane surface can have a significant effect on CP. In fact, there is strong evidence in the literature that shear stress plays an important role in suppressing the 438 formation of concentration polarization formation [41, 47-49]. It can be observed from Figure 439 9 that the maximum shear stress increase by an order of magnitude, from 0.18 Pa on the flat 440 surface to 1.35 Pa on the wavy surface. It is worth mentioning that Re = 700 was chosen so that 441 the shear stress on the surface of the wavy membrane is within the range (0 - 1.5 Pa) used in 442 NF membranes for industrial wastewater treatment [50]. Higher Re values would have further 443 444 enhanced the effects of the wavy structure. The wavy structure induces a non-uniform shear stress distribution on the membrane surface where high shear stress/rate values occur at the 445

446 peaks and low shear stress/rate values occur in the valleys of the wavy structure. The average 447 shear stress on the wavy membrane is also larger than the flat counterpart. In the presence of a 448 higher shear stress gradient, there is a net migration of dye in the direction of a lower shear 449 rate. The presence of flow recirculating regions in the valleys also makes the laminar sub-layer 450 near the membrane thinner, thus reducing the overall flow resistance at the membrane surface. 451 The shear stress values presented in **Figure 9** represent the maximum shear stress at the peaks 452 for the wavy structures.





454

Figure 9. The maximum surface shear stress vs. Re number for wavy and flat composite
membranes obtained from CVF simulations.

457

The energy requirement during filtration was characterised using the pressure drop incurred 458 along the membrane. Any difference between the flat and wavy membranes was below the 459 accuracy of the pressure gauges used experimentally (± 0.01 bar). CFD simulations reported a 460 pressure drop per unit length of ~ 0 and 2*10-5 Pa*m⁻¹, for the flat and wavy membranes, 461 respectively. This negligible difference is to be expected given the laminar flow condition, 462 i.e. Re = 700, employed in this study, and suggests that any additional energy consumption for 463 wavy membranes is outweighed by its improved performance in permeance and concentration 464 polarisation. It is, however, reasonable to expect the pressure drop caused by the wavy structure 465 to be higher at turbulent flow conditions, thus diminishing somewhat its more favourable 466 filtration properties. On the other hand, the reduction in concentration polarisation build up 467 would increase the operating time between cleaning cycles, leading to an overall reduced 468 downtime and resource requirement, as shown for UF membranes [14]. The authors have 469

shown that wavy UF membranes retain up to 87% of their initial pure water permeance after10 complete fouling cycles.

These results show that the impact of CP in NF membranes could be effectively reduced by using 3D printed composite membranes designed to control hydrodynamics (i.e., shear stress and flow patterns) over the membrane surface.

475

476 **4. Conclusion**

- This work reports on the successful fabrication of NF composite membranes comprising 3D 477 printed asymmetric wavy and flat support and NF PVDF/PDA selective layers prepared by 478 coating PDA over the UF PVDF membranes. The dye rejection results showed a high CR dye 479 rejection (> 95%) for both membranes. The MWCO of the composite membranes was 480 determined to be ~550 Da. The wavy structure resulted in an increase of 40% in pure water 481 permeance due to a 20% larger surface area for the same footprint and reduced CP. The wavy 482 structure nearly tripled the mass transfer coefficient and reduced concentration polarization by 483 57%. This major reduction was related to the higher shear stress on the membrane's surface, 484 with an order of magnitude increase passing from a flat to a wavy structure. As concentration 485 polarisation remains a major challenge in nanofiltration, these results demonstrate that 3D 486 printing represents a viable technology route to improving the performance of nanofiltration 487 membranes in a wide range of applications. 488
- 489

490 Authorship contributions

491 The manuscript was written through contributions of all authors.

492 Acknowledgement

- 493 The authors thank UK EPSRC for support (grant EP/M01486X/1). All data used in the
- 494 manuscript is reported in the SI document.

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