

Spontaneous fermentation and selected yeast fermentation for the production of cachaça by cell-recycle batch process

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Abstract

Yeast recycling during alcoholic fermentation for the production of cachaça can stimulate the development of a wide variety of microorganisms resulting from successive fed-batch procedures and the intrinsic characteristics of the process. Thus, whereas yeast recycling is a common practice in cachaça production units, this study aimed to evaluate the microbiological and technological characteristics of fermentation processes using selected and wild yeasts and chemical quality of the distillate. The fermentation was carried out in a batch process using selected wild yeasts for four fermentative cycles. At the beginning of the fermentation, the yeast cell viability and total yeast counts were evaluated. After the fermentation process, the parameters acidity, pH, alcohol content, and total residual reducing sugars of the wines, this being distilled in copper stills were analyzed to determine the physicochemical composition of cachaça. Although the selected yeast fermentation showed higher viable cell counts at the beginning of the first cycle, the wild yeasts adapted to the environmental conditions, with an increase in the viable cells at the beginning of the second cycle. The yeast counts in the recycled yeast increased during the spontaneous fermentation cycles. Lower residual sugar levels were observed in the spontaneous fermentation, leading to a higher alcohol production in wines. The distillates obtained from spontaneous fermentation and selected yeast fermentation presented physicochemical composition within the limits of the Brazilian legislation, suggesting spontaneous fermentation can be carried out efficiently during successive cell recycling, enabling a balanced production of volatile compounds in the distillate.

Keywords: spirit, fermentation process, volatile compounds, cell viability, wine, microorganisms.

Introduction

According to the Normative Instruction n.º 13 of 2005 that regulates the identity and quality standards of sugar cane spirit, *cachaça* is defined a typical and exclusive sugar cane spirit of Brazil, produced by the distillation of fermented sugar cane, presenting 38 – 48% ethanol by volume at 20°C with peculiar sensory features (BRASIL, 2005a).

Fermentation for the production of cachaça is traditionally performed in a batch system, with yeast recovery by decanting the must of yeast cells after degradation of sugar from wort. Then, the sugarcane must is mixed with the recovered inoculum, thus initiating a new fermentation process (MUTTON et al., 2014; OLIVEIRA FILHO et al., 2016; ALVES et al., 2018).

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47 Most cachaça distilleries conduct fermentation using wild yeasts, also
48 known as *fermento caipira*. These yeasts are naturally present in sugarcane
49 juice, usually obtained from the spontaneous fermentation by the addition of
50 crushed corn and rice bran to the wort (GABRIEL et al., 2012; MENDONÇA et
51 al., 2016).

52 Spontaneous fermentation presents great biodiversity of
53 microorganisms (*Saccharomyces cerevisiae*, *Pichia anomala*, *Debaryomyces*
54 *hansenii*, *Zygosaccharomyces bailii*, *Rhodotorula mucilaginosa*, *Kloeckera apis*
55 and others), which are introduced through successive feedings of sugarcane
56 juice during the yeast preparation stage and throughout the fermentation cycles
57 (VICENTE et al., 2006; GOMES et al. 2007; OLIVEIRA et al., 2008; BADOTTI
58 et al., 2010). For contributing to the development of the chemical and sensory
59 profile of the distillates, this population of microorganisms are considered the
60 key to the formation of cachaça *terroir* (GABRIEL et al., 2012; PORTUGAL et
61 al., 2016).

62 Some authors have shown that the genetic variability can directly affect
63 the operational performance of the process, changing the content and the ratio
64 of the main volatile compounds in the beverage. To reduce the molecular
65 diversity, some authors have suggested the use of selected *Saccharomyces*
66 *cerevisiae* strains, thus ensuring the high quality and standardization of cachaça
67 (GOMES et al., 2007; NOVA et al., 2009; SILVA et al., 2009; CAMPOS et al.,
68 2010).

69 We believe that yeast heterogeneity during spontaneous fermentation is
70 important for the formation of chemical compounds responsible for the identity,
71 quality, and characterization of cachaça. Thus, to contribute with more
72 information on the traditional practices of cachaça production, the objective of
73 the present study was to evaluate the effect of cell recycling on the performance
74 of spontaneous fermentation and selected yeast fermentation and to determine
75 the concentration of the main volatile compounds in the distillate.

76

77 **Material and methods**

78 *Sugar cane processing and wort preparation*

79 Sugarcane variety SP 70-1406 grow in the Uberaba-MG region, with a
80 soluble solids content of 22 °Brix, harvested manually during the 2017/2018
81 crop was used in the study. The sugarcane juice for the preparation of the must
82 was extracted by conventional milling and diluted with distilled water to 16 °
83 Brix.

84

85 *Conduction fermentative process*

86 Fermentation was performed at room temperature in batch system in
87 conical bottom stainless steel vats, with a capacity of 4.5L and a working
88 volume of 2.8L, for four fermentation cycles. For the alcoholic fermentation, the
89 selected yeast of *Saccharomyces cerevisia* LNF CA-11 (Treatment 1) at a
90 concentration of 10^7 CFU.mL⁻¹, prepared and adapted according to the
91 manufacturer's recommendations, and wild yeasts (Treatment 2) produced by
92 spontaneous fermentation (average of $3,6 \times 10^7$ CFU.mL⁻¹) prepared with the
93 addition of crushed corn and rice bran to the sugarcane wort were used, as
94 reported by MENDONÇA et al. (2016) with adaptations.

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95 For each treatment, 2.8L of wort at 16 °Brix was used, corresponding to
96 two additions of 1.4L, with the second feeding after 60 minutes of processing. At
97 the end of each fermentation cycle (zero Brix degree), the yeast was left to
98 decant, aiming to reuse the inoculum for the subsequent cycle.

99 After 30 min of the last feeding, an aliquot of wine was removed to
100 evaluate the yeast cell viability using the methylene blue staining and cell
101 counts in the Neubauer Chamber (SILVA et al., 2003).

102 After fermentation, the wine was collected and analyzed for boiling point
103 (SILVA et al. 2003), pH by direct reading in a digital meter Tekna T-1000, total
104 acidity ($\text{g H}_2\text{SO}_4 \cdot \text{dm}^{-3}$) by titration with 0.05N NaOH (COPERSUCAR, 2001),
105 and total residual reducing sugars by the LANE & EYNON method (1934), using
106 a Redutec (Marconi) apparatus.

107 The recycled yeast was analyzed for total yeast counts in WLN
108 (Wallerstein Laboratories Nutrient Agar) (CECCATO-ANTONINI, 2010) with the
109 addition of ampicillin and nalidixic acid (100 mg / L).

110 *Distillation of wine*

111 To compose the wine samples for the distillation process, the wines from
112 the repetitions of each treatment and fermentation cycles were mixed (*blend*),
113 and distilled in a simple alembic still consisting of a copper boiler, a hat, a
114 cooper pipe, and a condenser. After separation of the head fraction (2% of the
115 volume), the heart fraction was collected and standardized at 42 % v.v⁻¹ alcohol,
116 and stored in an amber glass vial for analysis.

117 *Chromatographic analyses*

118 The chemical compounds of the distillates were analyzed according to
119 the official procedures established by the current legislation (BRASIL, 2005b).
120 The acetaldehyde, ethyl acetate, n-propanol, i-butanol, i-amyl alcohol, furfural,
121 acetic acid, methanol, sec-butanol, and 1-butanol contents of the heart fraction
122 were determined by gas chromatography coupled with flame ionization detector
123 (GC-FID). The analyses were performed in a Shimadzu QP-2010 PLUS gas
124 chromatograph with a Stabilwax-DA (Crossbond Carbowax esterified
125 polyethylene glycol, 30 m × 0.18 mm × 0.18 μm) column and flame ionization
126 detector (FID). The detector and injector temperatures were set at 250 ° C,
127 using automatic injection mode, at a split ratio of 1:25 and injection volume of
128 1.0 μL. The carrier gas (H₂) flow rate was 1.5 mL.min⁻¹ with a total flow of 42
129 mL.min⁻¹, and pressure of 252.3 kPa. The column temperature ramp was
130 programmed to start at 40 °C (4 min), increasing up to 120 ° C at a rate of 20 °
131 C.min⁻¹ (1 min) and increasing from 30 °C min⁻¹ up to 180 ° C (4 min)
132 (BORTOLETTO; ALCARDE, 2013).

133 The concentration of ethyl carbamate was determined after 72 hours of
134 distillation, as this compound is formed within 24-48 hours of process (RIFFKIN
135 et al., 1989; AYLOTT et al., 1990). All samples were filtrated on a PVDF
136 membrane filter (13 mm diameter, 0.45 μm pore size) and analyzed in a gas
137 chromatograph (GC) coupled to a Shimadzu GCMSQP2010 Plus mass
138 spectrophotometer (Kyoto, Japan) at ionization of 70 eV using a polar capillary
139 column (esterified with propylene glycol, HPFFAP; 50 m x 0.20 mm x 0.33 μm
140 stationary phase film thickness). The injector and detector interface
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143 temperatures were 230 and 220 °C, respectively. The temperature ramp was
 144 set as starting at 90 °C for 1 min, increasing up to 150 °C at a rate of 10 °
 145 C.min⁻¹, then heating up to 230 °C at a rate of 30 ° C.min⁻¹, and remaining at
 146 this temperature for 2 min. A volume of 1.0 µL was injected using a splitless
 147 injector model. Helium gas was used at a flow rate of 1.2 mL.min⁻¹. The analysis
 148 was monitored by selected ion monitoring of m/z 62 for ethyl carbamate used as
 149 the internal standard (RECHE et al., 2007; CLEGG; FRANK, 1988). The
 150 quantification was performed by comparing the results with an analytical curve
 151 obtained using ethyl carbamate stock solution, with concentration ranging from
 152 50 to 500 µg.L⁻¹.

153 The analytical parameter of the chromatographic analyses were
 154 determined according to the simple linear relationship, described by the
 155 equation $y = ax + b$. The determination of the detection limit (DL), the
 156 quantification limit (QL) and the calculation of the regression coefficients of the
 157 analytical curves (a, b, r²), as well as the retention time (RT) obtained for each
 158 compound, are shown in Table 1.

160 **Table 1.** Retention time (RT), detection limit (DL), and quantification limit (QL)
 161 of volatile congener and contaminants, and correlation coefficients (a, b, r²) of
 162 the calibration curves in alcoholic solution (40 % v.v⁻¹)

Volatile congener	RT (min)	DL (mg.100 mL anhydrous ethanol ⁻¹)	QL (mg.100 mL anhydrous ethanol ⁻¹)	a	b	r ²
Acetaldehyde	0.29	0.080	0.266	0.809	-	0.998
Ethyl acetate	1.41	0.044	0.144	0.037	0.0905	0.994
Propanol	4.43	0.054	0.176	0.231	0.0099	0.999
Isobutanol	5.22	0.029	0.098	0.020	0.0037	0.999
Isoamyl alcohol	6.72	0.015	0.044	0.176	0.0145	0.999
Acetic acid	9.15	0.580	1.740	0.623	0.1111	0.994
Contaminants congener	RT (min)	DL (mg.100 mL anhydrous ethanol ⁻¹)	QL (mg.100 mL anhydrous ethanol ⁻¹)	a	b	r ²
Methanol	1.62	0.159	0.534	0.784	0.0486	0.965
1-butanol	5.99	0.061	0.200	0.203	0.1331	0.997
2-butanol	4.02	0.215	0.710	0.266	0.0024	0.999
Ethyl carbamate	10.15	0.180 ^a	0.550 ^a	64.71 4	1241.6 7	0.9984

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Statistical analysis

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Data were analyzed by analysis of variance, the Tukey test at 5% level of probability was applied, using Sisvar statistical software, according to FERREIRA (2011).

Results and discussion

Two different batch fermentations for the production of cachaça were evaluated for the yeast performance during cell recycling. At the beginning of the first cycle, the selected yeast fermentation showed viable cell counts 30% higher than that observed for wild yeast fermentation. However, throughout the cycle, those yeast strains adapted to environmental conditions, thus starting the second cycle with cell viability greater than 80 % (Figure 1).

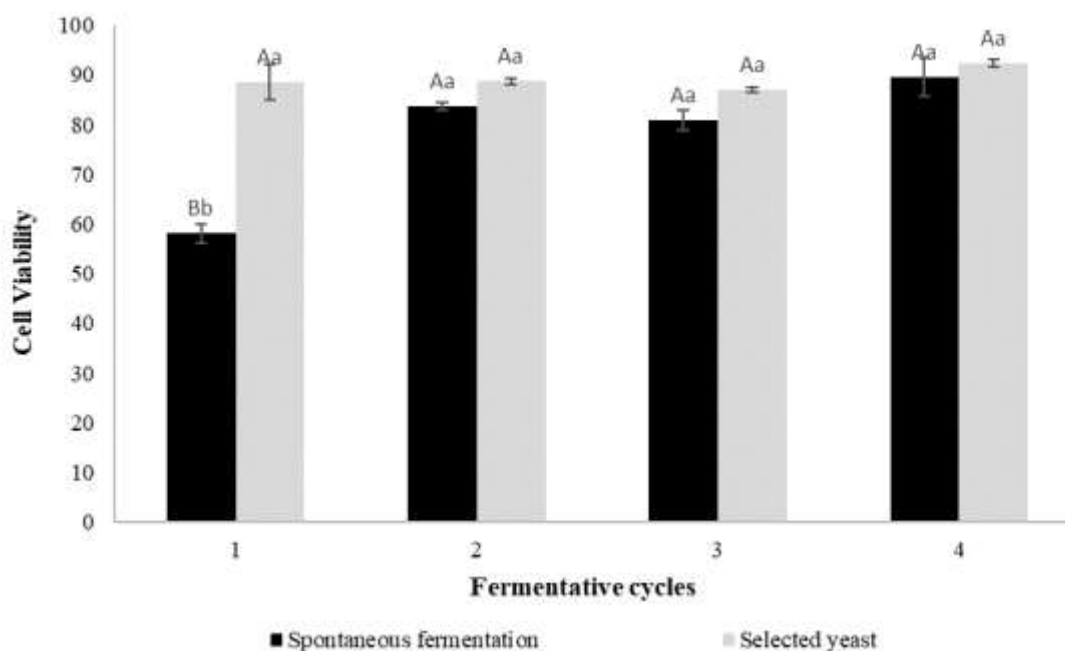


Figure 1. Interaction between treatments and cycles for yeast cell viability during spontaneous fermentation and selected yeast fermentation. Lowercase letters compare averages between formulations at the same cycle. Uppercase letters compare averages of the same formulation at different cycle. Means followed by the same letter do not differ at 5% probability by Tukey test.

The stress conditions during the alcoholic fermentation can activate the adaptive metabolism response, favoring the accumulation of trehalose, increasing cell resistance and viability for the subsequent fermentation cycle (PAREDES et al., 2018). We believe that the first cycle promoted the selection of yeast strains capable of growing under the process conditions established by the spontaneous fermentation (temperature, ethanol concentration, osmotic pressure, and acidity), thus favoring the increase in viable cells.

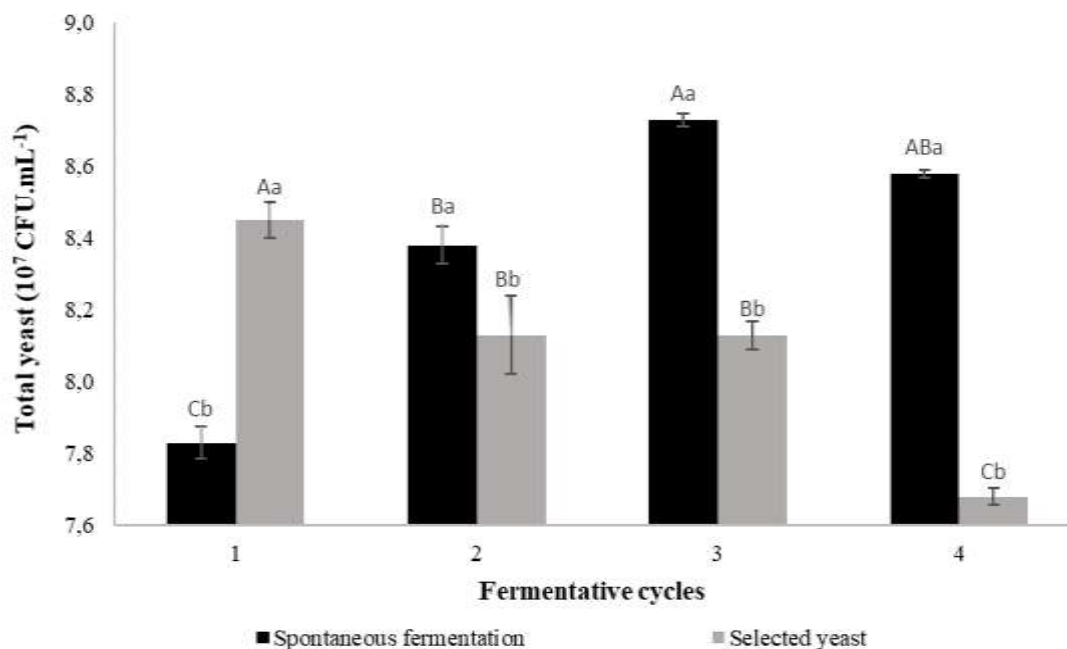
Spontaneous fermentation led to a highly significant increase ($P < 0.01$) in total recycled yeast counts, while a decrease in yeast counts up to the fourth cycle was observed for the selected yeast fermentation (Figure 2). According to GABRIEL et al. (2012), the previous adaptation and selection of natural yeasts in the culture medium during yeast development may favor its activity during the alcoholic fermentation. PORTUGAL et al. (2016) also found that the population

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197 of *Saccharomyces cerevisiae* stood out from other classes of microorganisms at
 198 the beginning of the tumultuous phase, until the end of the spontaneous
 199 fermentation. Probably, the predominance of dominant yeast strains and the
 200 characteristics of this step contributed to both the maintenance of the number of
 201 viable cells at the beginning of the process and the increase in total yeasts
 202 during the successive cell recycling.



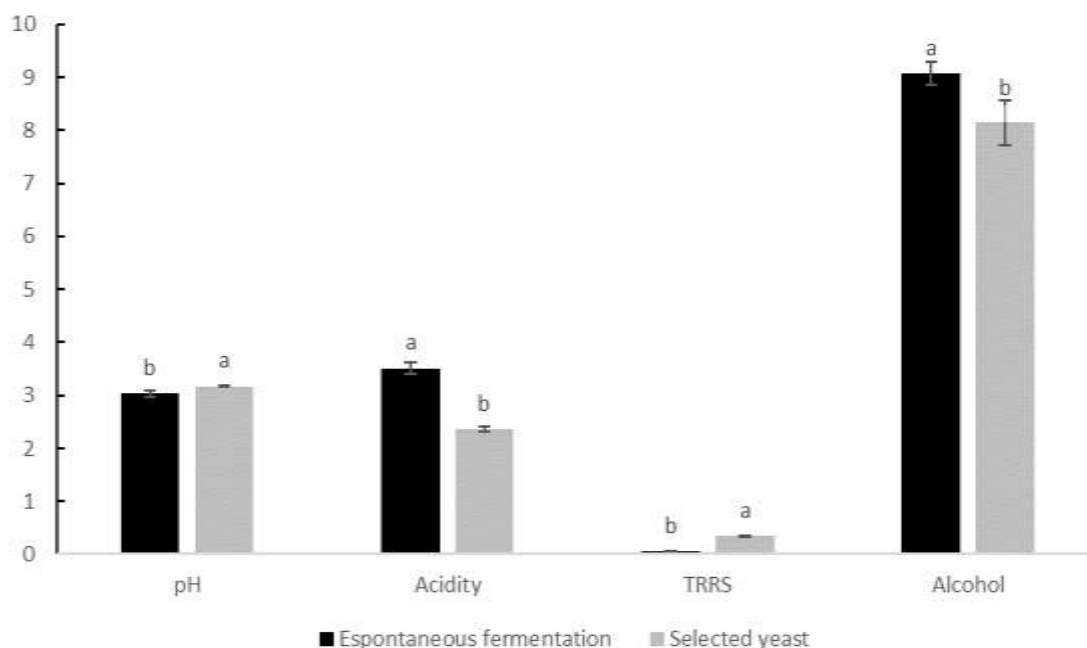
203
 204 **Figure 2.** Interaction between treatments and cycles for total yeast counts in the
 205 recycled yeast from spontaneous fermentation and selected yeast fermentation.
 206 Lowercase letters compare averages between formulations at the same cycle.
 207 Uppercase letters compare averages of the same formulation at different cycle.
 208 Means followed by the same letter do not differ at 5% probability by Tukey test.

209
 210 The results of the physicochemical characterization of the fermented wine
 211 presented lower pH values and higher total acidity in wines obtained from the
 212 spontaneous fermentation when compared to those obtained using selected
 213 yeasts (Figure 3). Although *Saccharomyces cerevisiae* is generally the
 214 dominant species in spontaneous fermentation, other microorganisms (lactic
 215 acid bacteria, acetic acid bacteria, and non-*Saccharomyces* yeasts) are also
 216 present, contributing to the production of organic acids during the fermentation
 217 process (OLIVEIRA et al., 2008; BADOTTI et al., 2010; GABRIEL et al., 2012;
 218 PORTUGAL et al., 2016).

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219
 220 **Figure 3.** Analysis of variance of the parameters pH, total titratable acidity
 221 (g.H₂SO₄.L⁻¹), total residual reducing sugars (%), and alcohol content (% v.v⁻¹)
 222 of wines from spontaneous fermentation and selected yeast fermentation.
 223 Means followed by the same letter in the same parameter do not differ at 5%
 224 probability by Tukey test.

225
 226 The fermentative performance of the yeast strains was not affected by
 227 the high acidity levels (1.48 fold) in spontaneous fermentation. This result can
 228 be evidenced by the increase yeast counts during yeast recycling (Figure 2),
 229 which resulted in a lower percentage of residual sugars and a 10% increase in
 230 alcohol production in wines (Figure 3).

231 Studies have shown that the fermentation of sugarcane juice using a
 232 blend of *Saccharomyces cerevisiae* and non-*Saccharomyces* strains presented
 233 low concentration of residual sugars, allowing a higher substrate conversion into
 234 product, besides contributing to the production of volatile compounds from
 235 sugarcane, which are desirable in cachaça (DUARTE et al., 2013; AMORIM et
 236 al., 2016; PORTUGAL et al., 2017). In the research presented, there was
 237 probably a synergistic interaction between the different yeast strains coexisting
 238 in spontaneous fermentation, increasing the consumption of sugars and
 239 production of ethanol during the fermentation process.

240 The cachaça produced by spontaneous fermentation and selected yeast
 241 fermentation presented an average ethanol content (1st and 4th cycle) of 38.6%
 242 v.v⁻¹ and 39.4% v.v⁻¹, respectively (Table 2). CAMPOS et al. (2010) studied the
 243 production of volatile compounds by different *Saccharomyces cerevisiae*
 244 strains, and found an average ethanol concentration in wine and cachaça of 8.1
 245 and 40.4 mg.100 mL⁻¹, respectively. The fermentation performed in this study
 246 also led to a balanced production of ethanol in wine (8.6 g.100mL⁻¹), allowing
 247 the standardization of the alcoholic concentration of the distillate (39 % v.v⁻¹) as
 248 proposed by the Brazilian legislation (BRASIL, 2005a).

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250 **Table 2.** Alcohol content, volatile congeners, and contaminants of cachaça from
 251 spontaneous fermentation and selected yeast fermentation in the first and fourth
 252 fermentation cycles
 253

Compound	Spontaneous Fermentation		Selected yeasts		Limits (BRASIL, 2005a)
	1° Cycle	4° Cycle	1° Cycle	4° Cycle	
Alcohol content ^a	39.19	38.06	39.54	39.24	38 – 48
Volatile congeners					
Volatile acidity (acetic acid) ^b	42.71	4.60	29.82	18.53	<150
Aldehydes (acetic aldehyde) ^b	9.06	5.20	14.74	10.93	<30
Esters (ethyl acetate) ^b	5.97	3.36	4.65	2.22	<200
Furfural ^b	1.40	2.60	0.68	1.68	<5
n-propanol ^b	19.57	17.18	28.83	33.82	-
i-butanol ^b	16.08	13.40	59.08	18.14	-
i-amyl ^b	205.59	167.26	195.32	119.67	-
Higher alcohols ^b	241.24	197.85	283.23	171.64	<360
Coefficient of congeners ^b	300.38	213.61	333.33	204.99	200 – 650
Contaminants					
Methanol ^b	3.39	3.13	nd	1.48	<20
2-butanol ^b	nd	nd	nd	nd	<10
n-butanol ^b	nd	nd	nd	nd	<3
Copper ^c	9.40	4.60	7.30	4.60	<5
Ethyl carbamate ^d	32.84	42.32	55.71	55.94	<210

254 ^a % ethanol (v.v⁻¹) at 20°C; ^bmg.100mL⁻¹; ^cmg.L⁻¹; ^d µg.L⁻¹.

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Table 2 shows a 9.8-fold reduction of the acidity levels of the distillates from the spontaneous fermentation between the 1st and 4th fermentation cycles, while the acidity of the distillate from the selected yeast fermentation decreased by 1.6-fold. Although wines from spontaneous fermentation presented higher acidity levels, the concentration of volatile acids in cachaça was much lower than the limit (<150 mg.100 mL⁻¹) established by the Brazilian legislation (BRASIL, 2005a). PORTUGAL et al. (2016) studied a single process of spontaneous fermentation and found that lactic acid bacteria and acetic acid bacteria actively participated in the fermentation process, contributing to the increase in the total acidity of the wine. The authors also reported that this

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266 increase did not compromise the yeast performance and the volatile acidity of
267 cachaça (25.3 mg.100 mL⁻¹).

268 The concentration of acetic aldehyde was 2-fold higher in the distillate
269 produced in the 4th cycle of selected yeast fermentation than wild yeast, and
270 remained below the limit established by legislation for all cycles evaluated. The
271 excessive production of this compound is associated with process failures,
272 including the lack of separation of the head fraction (BORTOLETTO;
273 ALCARDE, 2015). Therefore, it was observed that the fermentations evaluated
274 allowed a balanced production of this aldehyde in the spirit.

275 Yeast recycling (wild and selected strains) slightly reduced the ethyl
276 acetate levels in the distillate produced in the 4th cycle, remaining with an
277 average value of 2.8 mg.100 mL⁻¹. This result is similar to that found by
278 AMORIM et al. (2016) in cachaça produced using *Saccharomyces cerevisiae*
279 LNF CA11 strain (4.2 mg.100 mL⁻¹). These findings demonstrate that the
280 fermentation process of the present study were effective in the production of
281 ethyl acetate in the recycling conditions studied, which may contribute to the
282 development of the sweet and fruity flavor of cachaça.

283 High levels of higher alcohols mainly composed by n-propanol, i-butanol,
284 and i-amyl alcohol were observed in the first fermentation cycle for both
285 processes, which reduced in the distillates from the 4th fermentation cycle.
286 Although the compounds n-propanol, i-butanol, and i-amyl alcohols are
287 important for the sensory characterization of the beverage and are involved with
288 the formation of other secondary compounds, at high levels they can cause
289 serious sensory defects (PORTUGAL et al. 2016). The concentration of higher
290 alcohols found in this study (262.23 and 184.74 mg.100 mL⁻¹ in the 1st and 4th
291 cycles, respectively) was lower than the maximum established by the Normative
292 Instruction 13 (360 mg.100 mL⁻¹) (BRASIL, 2005a), indicating that cell recycling
293 did not compromise the synthesis of these compounds in both fermentation
294 processes.

295 The levels of furfural and organic contaminants (ethyl carbamate,
296 methanol, 2-butanol, and n-butyl alcohol) were considerably lower than the
297 limits established by the current legislation (BRASIL, 2005a), demonstrating that
298 the fermentation conditions of this study allowed the production of distillates
299 with low concentrations of these compounds.

300 The distillates exhibited higher copper levels when compared to the limits
301 allowed by Brazilian legislation (BRASIL, 2005a) for both fermentation
302 conducted in the first cycle. Probably, the copper oxidized in the distillation
303 apparatus increased the concentration of this compound in cachaça from the
304 first cycle, which was lower in the distillates of the 4th fermentation cycle.

305 Under the experimental conditions studied, the distillates did not exceed
306 the limits of volatile congeners (acetaldehyde, ethyl acetate, higher alcohols,
307 acetic acid, and furfural) established by the Brazilian legislation (BRASIL,
308 2005a). Therefore, the fermentation by cell recycling can produce adequate
309 volatile compounds levels in the distillate.

310

311 **Conclusion**

312 The fermentation developed in this study presented similar fermentative
313 performance and the distillates met the standards established by the Brazilian

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314 legislation. The results suggest that spontaneous fermentation can be carried
315 out efficiently during successive cell recycling, enabling a balanced production
316 of volatile compounds in the distillate. The heterogeneity of microorganisms in
317 spontaneous fermentation can contribute to the formation of chemical
318 compounds responsible for the cachaça *terroir*.

319

320 Avaliação da produção de cachaça por processo batelada com recirculação de
321 células utilizando fermentação espontânea e levedura selecionada

322

323 **Resumo**

324 A recirculação do fermento durante as fermentações destinadas a produção de
325 cachaça pode estimular o desenvolvimento de uma grande variedade de
326 microrganismos, decorrentes das alimentações sucessivas de caldo e das
327 características intrínsecas do processo. Assim, considerando-se que o
328 reaproveitamento das leveduras é uma prática comum nas unidades de
329 produção de cachaça, este trabalho teve o objetivo de estudar o
330 comportamento microbiológico e tecnológico de fermentações conduzidas com
331 leveduras selecionadas e selvagens e a qualidade química do destilado. As
332 fermentações foram avaliadas em processo batelada utilizando-se leveduras
333 selecionadas e selvagens, durante quatro ciclos fermentativos. No início das
334 fermentações foi avaliada a viabilidade celular das leveduras e a concentração
335 de leveduras totais no pé-de-cuba. Após o término do processo fermentativo
336 foram analisados os parâmetros acidez, pH, teor alcoólico e açúcares redutores
337 residuais totais dos vinhos, sendo este destilado em alambique de cobre, para
338 a caracterização da composição química da cachaça. As fermentações
339 conduzidas com leveduras selecionadas apresentaram maiores porcentagens
340 de células viáveis no início do primeiro ciclo, entretanto, posteriormente as
341 leveduras selvagens adaptaram-se as condições do meio, iniciando o segundo
342 ciclo com maiores porcentagens de células viáveis. As contagens de leveduras
343 no fermento reciclado foram aumentadas ao longo dos ciclos para as
344 fermentações espontâneas. Os níveis de açúcares residuais foram menores
345 para as fermentações espontâneas, refletindo em maior produção de álcool nos
346 vinhos. Os destilados obtidos de fermentação espontânea e leveduras
347 selecionadas apresentaram composição química dentro dos limites da
348 legislação brasileira, sugerindo que as fermentações espontâneas podem ser
349 conduzidas eficientemente durante os sucessivos ciclos de células,
350 possibilitando a produção balanceada de compostos secundários no destilado.
351 Palavras-chave: destilado, processo fermentativo, compostos voláteis,
352 viabilidade celular, vinho, microrganismos.

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