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## Increasing prevalence of obesity and diabetes among patients evaluated for liver transplantation in a Swiss tertiary referral center: a 10-year retrospective analysis

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**UNIVERSITE DE LAUSANNE - FACULTE DE BIOLOGIE ET DE MEDECINE**

Département de Médecine interne

Service de gastro-entérologie et d'hépatologie

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**Increasing prevalence of obesity and diabetes among patients evaluated for liver transplantation in a Swiss tertiary referral center: a 10-year retrospective analysis**

THESE

préparée sous la direction du Docteur Montserrat Fraga Christinet

et présentée à la Faculté de biologie et de médecine de  
l'Université de Lausanne pour l'obtention du grade de

DOCTEUR EN MEDECINE

par

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# Imprimatur

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*intitulée*

***Increasing prevalence of obesity and diabetes among  
patients evaluated for liver transplantation in a Swiss  
tertiary referral center: a 10-year retrospective analysis***

*Lausanne, le 3 février 2022*

*pour Le Doyen  
de la Faculté de Biologie et de Médecine*

  
**Monsieur le Professeur John Prior**  
*Vice-Directeur de l'Ecole doctorale*

## Résumé

**Contexte et objectifs** : La stéatose hépatique non alcoolique (NAFLD, *Non alcoholic fatty liver disease*) est désormais la première cause de maladie chronique du foie dans les pays développés. Notre objectif a été d'évaluer les tendances de la prévalence de l'obésité, du diabète de type 2 et de la NAFLD chez les patients en cours d'évaluation pour une transplantation hépatique. Ensuite, nous avons déterminé si les patients obèses étaient moins susceptibles d'être inscrits sur liste d'attente de transplantation hépatique ou s'ils avaient un taux de rejet de liste de transplantation hépatique plus élevé après mise en liste que les non-obèses.

**Méthodes** : Nous avons mené une étude rétrospective incluant tous les patients qui ont subi une évaluation de transplantation hépatique dans un centre de référence tertiaire suisse entre janvier 2009 et mars 2020.

**Résultats** : 242 patients ont été inclus dans l'étude, 83% sont des hommes. L'âge médian est de 59 ans (IQR, 51-64 ans). Les causes les plus fréquentes de maladie hépatique au stade terminal sont l'hépatite virale (28%), la maladie alcoolique du foie (21%) et la NAFLD (12%). L'obésité est présente chez 28% des patients de notre cohorte, avec une augmentation significative au cours de la période d'étude. La prévalence du diabète de type II suit la même tendance ( $p = 0,02$ ). La proportion de patients obèses inscrits et non-inscrits sur liste de transplantation n'est pas différente (21 % vs 30 %, respectivement ;  $p = 0,3$ ).

**Conclusions** : La prévalence de l'obésité et du diabète de type II a augmenté de manière significative au cours de notre période d'étude. Les patients obèses avaient des chances similaires d'être inscrits sur liste d'attente de transplantation hépatique que les non-obèses. Le paysage de la transplantation hépatique évolue vers la NAFLD, soulignant le besoin urgent de prévenir la progression de la NAFLD.

1 **Increasing prevalence of obesity and diabetes among patients evaluated for**  
2 **liver transplantation in a Swiss tertiary referral center: a 10-year retrospective**  
3 **analysis**

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28 **Author contributions:**

29 SK, DM and MF designed the study; SK, FA, CP, ACS and MF acquired data; FA and  
30 JV performed statistical analyses; SK, FA, DM, JV and MF wrote the manuscript; all  
31 authors revised the manuscript.

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33  
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**Abbreviations:** AASLD, American Association for the Study of Liver Diseases; ALD, alcoholic liver disease; ALP, alkaline phosphatase; ALT, alanine aminotransferase; BMI, body mass index; CHB, chronic hepatitis B; Diabetes mellitus, T2DM; EASL, European Association for the Study of the Liver; GGT, gamma-glutamyl transferase; HCC, hepatocellular carcinoma; HCV, hepatitis C virus; IQR, interquartile range; LT, liver transplantation; MELD, Model for end-stage liver disease; NAFLD, nonalcoholic fatty liver disease; NASH, nonalcoholic steatohepatitis; PT, prothrombin time; T2DM, type 2 diabetes mellitus; WHO, World Health Organization

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60 **Abstract**

61 **Background and aims:** Non-alcoholic fatty liver disease (NAFLD) is now the first  
62 cause of chronic liver disease in developed countries. We aimed to assess trends in  
63 the prevalence of obesity, type 2 diabetes mellitus (T2DM) and NAFLD in patients  
64 undergoing liver transplant (LT) evaluation and to assess whether obese patients  
65 were less likely to be listed or had an increased drop-out rate after listing.

66 **Methods:** We conducted a retrospective study of all consecutive patients who  
67 underwent LT evaluation at a Swiss tertiary referral center between January 2009  
68 and March 2020.

69 **Results:** A total of 242 patients were included, 83% were male. The median age was  
70 59 years (IQR, 51-64 years). The most common causes of end-stage liver disease  
71 were viral hepatitis (28%), alcoholic liver disease (21%) and NAFLD (12%). Obesity  
72 was present in 28% of our cohort, with a significant increase over time. Prevalence  
73 of T2DM followed the same trend ( $p = 0.02$ ). The proportion of non-listed and listed  
74 obese patients was not different (21% vs. 30%, respectively;  $p = 0.3$ ).

75 **Conclusions:** The prevalence of obesity and T2DM significantly increased over our  
76 study period. Obese patients had similar chances of being listed. The landscape of  
77 LT indications is shifting towards NAFLD, highlighting the urgent need to prevent  
78 NAFLD progression.

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80

81

82 **Introduction**

83

84 The prevalence of obesity has increased at an alarming pace over the last four  
85 decades. Once a relatively minor public health issue, overnutrition and obesity have  
86 become a major threat, and it is estimated that at least one third of the world's adult  
87 population is now overweight or obese (1). It is predicted that the prevalence of  
88 severe obesity will continue to increase and that by 2030 nearly one in two adults will  
89 be obese in the United States (2).

90 The global epidemic of obesity is also reflected among solid organ transplant  
91 recipients. In the renal transplant population, the proportion of recipients with a body  
92 mass index (BMI)  $\geq 30 \text{ kg/m}^2$  has doubled every 15 years (3)(4). Similar observations  
93 were made in the liver transplant (LT) population in North America and in Europe  
94 (5)(6)(7).

95 Obesity, as defined by a BMI  $\geq 30 \text{ kg/m}^2$  by the World Health Organization (WHO), is  
96 the most common risk factor for the development of nonalcoholic fatty liver disease  
97 (NAFLD), followed by type 2 diabetes mellitus (T2DM) (8)(9). The clinical spectrum  
98 of NAFLD ranges from simple steatosis to the more aggressive nonalcoholic  
99 steatohepatitis (NASH), that can eventually progress to advanced fibrosis and  
100 cirrhosis (10). Whereas chronic hepatitis C classically dominated the indications for  
101 LT in Europe and North America, the advent of direct-acting antivirals has  
102 dramatically changed the landscape of LT. In the meantime, NAFLD has become the  
103 most common chronic liver disease in many developed countries (11)(12)(13)(14).

104 In parallel to the development of cirrhosis in patients with NASH, obesity also  
105 contributes significantly to the burden of hepatocellular carcinoma (HCC), as recently  
106 highlighted by several large-scale epidemiological studies (15). A worrisome feature



107 is that HCC can even develop in individuals with NAFLD who do not have advanced  
108 liver fibrosis or cirrhosis (16)(17).

109 Obesity and NAFLD are also known to be associated with increased cardiovascular  
110 morbidity that, in turn, may preclude listing for LT (18). Of note, the American  
111 Association for the Study of Liver Diseases (AASLD) and the American Society of  
112 Transplantation have proposed that a BMI > 40 kg/m<sup>2</sup> should represent a relative  
113 contraindication to LT (19). Indeed, morbid obesity, defined by a BMI ≥ 40kg/m<sup>2</sup>, was  
114 reported as independent predictor of drop-out and death in LT candidates (20)(21).

115 Here, we first aimed to assess the trend in prevalence of obesity, T2DM and NAFLD  
116 in patients undergoing LT evaluation at Lausanne University Hospital between  
117 January 2009 and March 2020. Second, we hypothesized that access to LT was  
118 impaired in obese patients, for instance because of the presence of other major  
119 comorbidities or because of the challenge of the surgical procedure in obese patients.  
120 Therefore, we assessed whether grade II (BMI ≥ 35kg/m<sup>2</sup>) and grade III (BMI ≥ 40  
121 kg/m<sup>2</sup>) obese patients were less likely to be listed or had an increased drop-out rate  
122 from the waiting list.

123

## 124 **Methods**

### 125 **Study population and design**

126 This is a retrospective study analyzing medical data from the Division of  
127 Gastroenterology and Hepatology of Lausanne University Hospital, a tertiary referral  
128 center in Switzerland with more than 10,000 outpatient consultations per year.

129 All patients included in this study underwent a formal workup for LT at the Lausanne  
130 University Hospital between January 2009 and March 2020, whether they had been  
131 grafted or not at the end of the evaluation. After identifying all patients fulfilling our

132 inclusion criteria, we reviewed electronic medical records and medical archives. Data  
133 extraction and coding was manually performed from September 2020 to January  
134 2021.

135 In our center, patients are referred for LT evaluation by primary care providers as well  
136 as gastroenterologists and other specialists in private practice or regional hospitals.  
137 They are, then, first evaluated at the outpatient hepatology unit. In case of advanced  
138 cirrhosis, patients are evaluated in the inpatient service.

139 Formal eligibility for LT is afterwards discussed on the occasion of a multidisciplinary  
140 meeting, including hepatologists, transplant surgeons, anesthesiologists radiologists,  
141 and psychiatrists in every patient with end-stage liver disease, HCC or other rare  
142 indications, in accordance with standard and commonly applied criteria (22)(23).

143 In case of a favorable evaluation by this multidisciplinary team, patients are then  
144 hospitalized to conduct an extensive assessment to rule out any medical or  
145 psychiatric contraindication to LT. This workup is performed within a few weeks prior  
146 to listing and systematically carries an extensive cardiopulmonary assessment  
147 including evaluation of cardiovascular risk factors and a nutritional evaluation  
148 including BMI calculation.

149 Inclusion criteria were: 1) age  $\geq$  18 years, 2) patients with a complete LT evaluation.

150 Exclusion criteria were: 1) patients assessed for LT in the setting of acute liver failure,  
151 2) patients assessed for retransplantation in the setting of graft dysfunction, 3)  
152 candidates for multi-organ transplantation and 4) patients who were lost to follow-up.

153

#### 154 **Baseline evaluation**

155 Demographic, clinical and laboratory data were obtained from electronic medical  
156 records and medical archives. Demographic data were assessed at baseline and

157 included sex, age and origin. Clinical data such as BMI, and comorbidities, such as  
158 T2DM, hypertension and dyslipidemia were retrieved at the first inpatient evaluation  
159 for LT. Grade I, II and III obesity was defined by BMI  $\geq 30$ ,  $\geq 35$  and  $\geq 40$  kg/m<sup>2</sup>,  
160 respectively, according to WHO definitions (9).

161 Subjects were considered as having metabolic syndrome when fulfilling the  
162 diagnostic criteria as defined by the American Heart Association (AHA) and the  
163 National Heart, Lung, and Blood Institute (NHLBI) (24).

164 Laboratory parameters were retrieved at the first visit for LT evaluation. These  
165 included sodium, alanine aminotransferase, gamma-glutamyl transferase, alkaline  
166 phosphatase, total bilirubin, albumin, creatinine, prothrombin time and international  
167 normalized ratio (INR). Liver function was also assessed and included Child-Pugh  
168 score, model for end-stage liver disease (MELD) score and MELD-Na score.

#### 169 **Assignment of chronic liver disease etiology**

170 Etiology of chronic liver disease was assessed for each patient based on medical  
171 records and liver histology to insure correct assignment to group. Six groups were  
172 defined: 1) chronic viral hepatitis (chronic hepatitis B, D and C), 2) alcoholic liver  
173 disease (ALD) defined as alcohol intake  $>30$  g/day for men and  $>20$  g/day for women,  
174 3) NAFLD, 4) mixed etiologies including a NAFLD component (e.g. patient with  
175 chronic HCV and NAFLD), 5) mixed etiologies without a NAFLD component (e.g. ALD  
176 combined with chronic HCV), 6) other causes including auto-immune liver diseases  
177 (auto-immune hepatitis, primary biliary cholangitis, primary sclerosing cholangitis,  
178 overlap syndromes) and rare causes (e.g. Wilson disease, vascular liver disease,  
179 transthyretin amyloidosis). Every patient assessed for LT in our center underwent a  
180 transjugular liver biopsy. NAFLD was diagnosed based on the criteria defined by  
181 European Association for the Study of the Liver (EASL) Clinical Practice Guidelines

182 for the management of NAFLD (25). Patients with cirrhosis in the presence of two or  
183 more metabolic risk factors (diabetes, obesity, dyslipidemia and hypertension) and in  
184 the absence of other causes of chronic liver disease were assigned to the NAFLD  
185 group. Importantly, all patient underwent a formal histological assessment in our  
186 study. NAFLD diagnosis was systematically supported by histology after exclusion of  
187 other chronic liver diseases.

188

### 189 **Follow-up evaluation**

190 All patients included in the present study benefited from a regular medical follow-up  
191 at our outpatient hepatology clinic. Follow-up data were retrieved from consultation  
192 files and included: i) laboratory data, MELD and Child-Pugh scores; ii) liver-related  
193 complications, such as episodes of decompensation or HCC and iii) non liver related  
194 complications, such as extrahepatic neoplasia, cardiovascular events or death.  
195 Figure 1 illustrates the patient's medical course from initial assessment to LT.

196 The date of evaluation at our center, the transplant listing date, the date and reasons  
197 for delisting (HCC progression, extrahepatic neoplasia, comorbidities and other  
198 causes, death) and the date of LT were recorded.

199

### 200 **Statistical analyses**

201 Continuous variables were expressed as median and interquartile range (IQR).  
202 Categorical variables were described as frequency and percentage. The distribution  
203 of patients' characteristics was compared between 5 periods of time (January 2009-  
204 2011 vs. 2012-2013 vs. 2014-2015 vs. 2016-2017 vs. 2018-March 2020) using the  
205 Chi-square test. The drop-out curves at two years were estimated using the Kaplan-  
206 Meier method, calculated with a 95% confidence interval (CI), and compared across

207 the different groups using the log-rank test. Liver transplanted patients were excluded  
208 alive at time of LT. Univariate and multivariate analyses of variables associated with  
209 non listing or drop-out after placement on waiting list were performed using logistic  
210 regression and results were reported as Odds ratio (OR) and 95% CI. Covariates with  
211  $p \leq 0.1$  in the univariate regression model and obesity were retained for multivariable  
212 analysis. The significance level was set at 0.05 with a two-sided test. All statistical  
213 analyses were performed using NCSS 2011 software.

214

## 215 **Results**

216 Table 1 summarizes the demographic, clinical and laboratory characteristics of the  
217 patients included in the analysis. From January 2009 to March 2020, 266 adult  
218 patients were formally assessed for LT at Lausanne University Hospital. Twenty-four  
219 patients were excluded from the analysis for the following reasons: acute liver failure  
220 (n=6), multiorgan transplant (n=9), retransplantation for allograft dysfunction (n=5)  
221 and lost to follow-up (n=4).

222 Overall, 201 patients (83%) were male and the median age was 59 years (IQR, 51-  
223 64 years; range, 18-75 years). The vast majority (89%) were of Caucasian (89%), 6%  
224 were of African, 3% were of Asian and 2% were of Hispanic origin.

225 The number of patients evaluated for LT during each defined period of time markedly  
226 increased from 29 in 2009-2011 to 91 in 2018-2020 (+310%). The most common  
227 cause of end-stage liver disease in our cohort was viral hepatitis (28%), followed by  
228 NAFLD alone or combined (22%) and ALD (21%) and (Table 1). Distribution of  
229 causes of end-stage liver disease significantly changed over the 5 periods of time  
230 ( $p=0.0006$ ). Indeed, the proportion of viral hepatitis as an indication for LT declined

231 over time from 32% to 14%, while ALD and NAFLD increased from 8% to 25% and  
232 from 8% to 38% respectively (Figure 2).

233 Sixty-eight patients (28% of the study population) were obese as defined by a BMI >  
234 30 kg/m<sup>2</sup>, with grade II and III obesity in 28% of them. The highest BMI among  
235 patients evaluated for LT was 43 kg/m<sup>2</sup>. BMIs of two patients were not available. Of  
236 note, only 12% of the patients were obese in the 2009-2011 period, whereas this  
237 proportion increased to 34% in the last observation period, i.e. 2018-2020 (Figure 3).  
238 Eighty-two patients had a diagnosis of T2DM (34%), 41 of them (17%) being insulin-  
239 dependent. The prevalence of diabetes significantly increased over time (p=0.02)  
240 (Figure 4). Overall, metabolic syndrome was present in 40 patients (17% of our study  
241 population).

242 Of the 242 patients assessed for LT, 203 patients [60 (30%) obese patients] were  
243 listed and 112 patients [35 (31%) obese patients] underwent LT (Table 2). In the  
244 univariate analysis, none of the variables - including BMI and obesity - were  
245 associated with no enlisting (Suppl. Table 1).

246 Along the same line, none of the variables included in the univariate and multivariate  
247 analyses were independently associated with drop-out after listing (Suppl. Table 2).

248 When analyzing drop-out-free survival, there was no significant difference between  
249 obese and non-obese patients (64% vs. 68%, respectively; p = 0.9) (Figure 5).

250 Reasons for drop-out are indicated in Table 2. The proportion of non-listed and listed  
251 obese patients was not different (21% vs. 30%. P = 0.3) (Figure 5).

252 HCC represented the primary indication for LT in approximately half of our cohort  
253 (46%). This proportion remained stable throughout the study period. Importantly,  
254 underlying chronic liver disease among patients with HCC evolved significantly over

255 time, with increasing prevalence of NAFLD among patients with HCC (p=0.03)  
256 (Figure 6).

257

## 258 **Discussion**

259 We retrospectively assessed indications to LT in a tertiary referral center in  
260 Switzerland over the last decade. More specifically, we focused our analysis on the  
261 evolution of the prevalence of obesity, T2DM and NAFLD among patients referred for  
262 LT evaluation from January 2009 to March 2020.

263 First, our study demonstrates that the landscape of LT indications is shifting towards  
264 NAFLD in Switzerland, as predicted in a recent modelling study and described in  
265 other countries (11)(26)(24)(28). At the end of the study period, the prevalence of  
266 NAFLD in patients evaluated for LT had surpassed the prevalence of chronic hepatitis  
267 B and C as well as ALD. Indeed, during the last study period, i.e. between January  
268 2018 and March 2020, NAFLD and chronic liver diseases with a component of  
269 NAFLD represented nearly up to 40% of the patients assessed for LT. This may be  
270 explained by the fact that viral hepatitis-related indications for LT declined over time  
271 notably following the introduction of potent DAA therapies to treat chronic hepatitis C  
272 and, also by the obesity and metabolic syndrome epidemics during the last decades.  
273 Importantly, all patients evaluated for LT were included in our cohort and not only  
274 patients who underwent LT. One main reason for this approach was to assess  
275 whether NAFLD may be even more frequent among patients evaluated for LT as  
276 compared to those who were transplanted. Thus, we hypothesized that these patients  
277 could have an increased risk an increased risk of not being listed or of drop-out from  
278 the LT waiting list, for instance because of increased cardiovascular or oncological  
279 risk (15)(29)(30). Indeed, the cardiovascular and oncological risks associated with  
280 obesity are known to be further increased post-LT (31). Moreover, morbid obesity

281 was reported as an independent predictor of death and drop-out of LT candidates  
282 (21). Based on these considerations, AASLD advises against LT in grade III obesity  
283 whereas EASL recommends multidisciplinary evaluation in patients in grade II  
284 (19)(22). In our cohort, no patient was denied access to LT evaluation and listing  
285 solely based on the BMI. Drop-out rates in grade II to III obese patients were  
286 equivalent to those in non-obese patients in our center, thus, in relative contradiction  
287 with recently published data, reporting increased drop-out rates in patients with BMI >  
288 40kg/m<sup>2</sup> (21).

289 We acknowledge that our study has some limitations, in particular regarding the  
290 comparison of drop-out rates in obese vs. non-obese patients evaluated for LT.  
291 Indeed, our cohort is relatively small. Further investigations will require a multicentric  
292 and prospective extension of our study. It is also possible that some obese patients  
293 with criteria for LT assessment were not referred to our center, as it is known that  
294 stigma associated with obesity negatively impacts on quality of care and outcomes  
295 (32). Secondly, weight loss, malnutrition and sarcopenia affects up to 60% of cirrhotic  
296 patients (33). Thus, it is possible that the obesity burden among our patients may  
297 have been underestimated.

298 Increased morbidity after surgery is well documented in obese patients (34). The  
299 outcome of obese patients after LT is an important question that goes beyond the  
300 scope of our analysis and will have to be addressed in future studies. Recent data  
301 from the European Liver Transplant Registry (ELTR) suggests that survival of patients  
302 and grafts in patients with NASH is comparable to that of patients transplanted for  
303 other indications (35).

304 We report that the worldwide epidemic of obesity has a direct impact on the  
305 characteristics of the LT waiting list population also in Switzerland. Epidemiological



306 data in Switzerland show increasing numbers of patients requiring LT over the last  
307 decade (36). Considering the increasing prevalence of NAFLD and obesity, this trend  
308 is likely to continue in the coming years and to further impact on organ shortage.  
309 Based on our observations, we advocate for the improvement and implementation of  
310 multidisciplinary strategies to screen for and treat NAFLD in order to prevent liver  
311 fibrosis progression.

312 There are currently few therapeutic alternatives for obesity, with bariatric surgery  
313 remaining one of the main options. This procedure was linked to the resolution of  
314 NASH in up to 85% of patients, with improved histological features at one year  
315 (37)(38). It is indeed a recognized therapeutic option for obese patients with NASH  
316 who do not respond to lifestyle modifications. Bariatric surgery at the time of LT or in  
317 the postoperative course has the potential not only to improve obesity-related  
318 conditions such as diabetes, but also to reduce the incidence of *de novo* NASH of the  
319 allograft (39). Facing the increasing prevalence of obesity among patients evaluated  
320 for LT, bariatric surgery should be considered and discussed at earlier stages, in  
321 order to prevent further progression of liver disease and, in consequence, a need for  
322 LT.

323 In conclusion, our study confirms the changing landscape of indications to LT and  
324 highlights the many challenges that lie ahead, including the implementation of early  
325 multidisciplinary strategies to treat obese patients in order to prevent NAFLD  
326 progression and its consequences. Finally, because of a similar pre-LT drop-out rate  
327 in obese and non-obese patients, we encourage to refer obese patients needing LT  
328 for a proper assessment and not to preclude them from access to LT solely based on  
329 BMI.

330

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455 **FIGURE LEGENDS**

456 **Figure 1. Patient flow chart**

457 Illustration of the patient's medical course from initial assessment to LT. Reasons for  
458 exclusion as well as drop-out preceding listing and LT, are detailed. LT, Liver transplantation;  
459 ALF, acute liver failure; FU, follow-up.

460 **Figure 2. Aetiology of liver disease among patients evaluated for liver transplantation**  
461 **between January 2009 and March 2020.**

462 Frequency of liver disease causes (y axis, %) among patients evaluated for LT is represented  
463 by continuous lines over time. Number of patients (x axis, n) evaluated for LT for each period  
464 of time is represented by columns. Frequencies of liver disease etiology were compared  
465 within 5 periods of time using the Chi-square test ( $p= 0.0006$ ). ALD, alcoholic liver disease;  
466 NAFLD, nonalcoholic fatty liver disease.

467 **Figure 3. Evolution of BMI distribution over time in patients evaluated for liver**  
468 **transplantation.**

469 Distribution of body mass index (BMI) classes among patients evaluated for LT (y axis) is  
470 represented by histograms over the 5 periods of time (x axis). Grade I obesity ( $BMI \geq 30$   
471  $kg/m^2$ ), grade II ( $BMI \geq 35 kg/m^2$ ) and grade III ( $BMI \geq 40 kg/m^2$ ). Frequencies of BMI classes  
472 were compared within 5 periods of time using the chi-square test ( $p=0.4$ ). (\*, \*\*), two BMI  
473 values were missing. BMI, body mass index.

474 **Figure 4. Evolution of T2DM prevalence over time**

475 Frequency of T2DM among patients evaluated for LT (y axis) over time (x axis) is represented  
476 by columns and was compared using the Chi-square tests ( $p=0.02$ ).

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479 **Figure 5. Drop-out curves for obese and non-obese patients over time**

480 Drop-out free rate (y axis) was compared over time (x axis) between obese and non-obese  
481 patients using the log-rank test and did not statistically differ when comparing the two groups  
482 ( $p=0.9$ ). Results are represented by a Kaplan-Meier curve. Drop-out includes delisted patients  
483 or death while on waiting list.

484 **Figure 6. Causes of chronic liver disease among patients with hepatocellular**  
485 **carcinoma (HCC)**

486 Proportion of chronic liver disease aetiologies among patients with HCC evaluated for LT (y  
487 axis, %) is represented by columns over 5 periods of time (x axis, year). Distribution of  
488 different aetiologies significantly varied over time ( $p=0.03$ ).

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491 **TABLES**

492

493 **Table 1. Demographic and clinical characteristics of patients at**  
494 **liver transplantation assessment**

	<b>n=242</b>
<b>Male, n (%)</b>	201 (83)
<b>Female, n (%)</b>	41 (17)
<b>Age (years), median (IQR)</b>	59 (51- 64)
<b>Caucasian, n (%)</b>	215 (89)
<b>African, n (%)</b>	15 (6)
<b>Asian, n (%)</b>	8 (3)
<b>Hispanic, n (%)</b>	4 (2)
<b>Etiology of chronic liver disease</b>	
Viral hepatitis, n (%)	67 (28)
ALD, n (%)	52 (21)
NAFLD	30 (12)
Mixed etiologies with NAFLD component, n (%)	23 (10)
Mixed etiologies without a NAFLD component, n (%)	29 (12)
Others, n (%)	41 (17)
<b>BMI (kg/m<sup>2</sup>), median (IQR)</b>	26 (24-31)
<b>Obesity</b>	
Obesity grade I, n (%)	49 (20)
Obesity grade II, n (%)	15 (6)
Obesity grade III, n (%)	4 (2)
Total, n (%)	68 (28)
<b>Cardiovascular risk factors</b>	
T2DM, n (%)	82 (34)
Arterial hypertension, n (%)	91 (38)
Dyslipidemia, n (%)	42 (18)
Metabolic syndrome, n (%)	40 (17)
<b>Child-Pugh score in cirrhotic patients (n=236)</b>	
A, n (%)	197 (84)
B, n (%)	29 (12)
C, n (%)	10 (4)
<b>Hepatocellular carcinoma, n (%)</b>	115 (48)
HCC as indication to LT, n (%)	111 (46)

<b>Laboratory parameters, median (IQR)</b>	
Total bilirubin (µmol/l)	27 (2-703)
Albumin (g/l)	36 (21-51)
Creatinine (µmol/l)	78 (40-464)
Prothrombin time (%)	65 (11-120)
INR	1 (1-3)
<b>MELD score, median (IQR)</b>	12 (6-40)
<b>MELD-Na score, median (IQR)</b>	14 (6-62)

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496 Obesity grades and the metabolic syndrome are defined according to WHO definitions  
497 (40)(9).  
498 ALD, alcoholic liver disease; BMI, body mass index; HCC, hepatocellular carcinoma; INR,  
499 international normalized ratio; IQR, interquartile range; NAFLD, non-alcoholic fatty liver  
500 disease; T2DM, type 2 diabetes mellitus  
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**Table 2. Follow-up of patients after first assessment for LT.**

	<b>n=242</b>
<b>Follow-up</b> (days), median (IQR)	689 (238-1656)
<b>Time from assessment to listing</b> (days), median (IQR)	59 (31-93)
<b>Time from listing to LT</b> (days), median (IQR)	362 (194-454)
<b>Patients still on the waiting list, n (%)</b>	48 (20)
<b>Patients transplanted, n (%)</b>	112 (46)
<b>Patients dropped out from the transplant program, n (%)</b>	82 (34)
Drop-out before listing, n (%)	39 (16)
Drop-out while on waiting list, n (%)	43 (18)
<b>Reason for drop-out while on waiting list</b>	
Death, n (%)	23(53)
HCC progression, n (%)	9 (21)
Other malignancy <sup>#</sup> , n (%)	3 (7)
Comorbidities <sup>§</sup> , n (%)	4 (9)
Other, n (%)	4 (9)

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531 HCC, hepatocellular carcinoma; IQR, interquartile range  
532 <sup>#</sup>Colorectal cancer, urothelial carcinoma and pulmonary adenocarcinoma.  
533 <sup>§</sup>Advanced pulmonary sarcoidosis, severe emphysema and severe pulmonary arterial  
534 hypertension.  
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**Supplementary Table 1. Univariate analysis of variables associated with non listing**

<b>Covariant</b>	<b>Univariate analysis</b>		
	OR	95% CI	p value
<b>Male Gender</b>	0.92	0.66 - 1.76	0.52 <sup>539</sup>
<b>Age</b>	0.99	0.96 - 1.03	0.81 <sup>540</sup>
<b>BMI</b>	1.01	0.95-1.09	0.63
<b>Obesity</b>	1.58	0.66-3.65	0.26 <sup>541</sup>
<b>T2DM</b>	0.75	0.49-2.12	0.95 <sup>542</sup>
<b>Arterial hypertension</b>	1.90	0.89-4.21	0.12 <sup>542</sup>
<b>Metabolic syndrome</b>	1.38	0.50-3.99	0.52 <sup>543</sup>
<b>Etiology of chronic liver disease</b>			
Viral hepatitis	1.15	0.92-1.43	0.21 <sup>544</sup>
ALD	0.84	0.60-1.18	0.32 <sup>544</sup>
NAFLD and mixed etiologies with NAFLD	1.21	0.88-1.53	0.44 <sup>545</sup>
Mixed etiologies without a NAFLD component	1.15	0.84-1.32	0.30 <sup>545</sup>
Other	1.45	0.43-2.99	0.59 <sup>546</sup>
<b>HCC as indication to LT</b>	1.36	0.67 – 3.74	0.38 <sup>546</sup>
<b>Child-Pugh score</b>	1.14	0.95-1.36	0.15 <sup>547</sup>
<b>MELD score</b>	1.04	0.98 – 1.10	0.12 <sup>547</sup>
<b>Periods of times</b>			
2009-2011	1.01	0.36-2.77	0.98 <sup>548</sup>
2012-2013	1.90	0.38-9.44	0.42 <sup>549</sup>
2014-2015	1.17	0.31-4.42	0.81 <sup>549</sup>
2016-2017	2.66	0.54-13.0	0.22 <sup>550</sup>
2018-2020	0.56	0.18-1.87	0.36 <sup>551</sup>

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ALD, alcoholic liver disease; BMI, body mass index; HCC, hepatocellular carcinoma; NAFLD, non-alcoholic fatty liver disease; T2DM, diabetes mellitus

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566 **Supplementary Table 2. Univariate and multivariate analysis of variables**  
 567 **associated with drop-out after placement on waiting list**

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Covariant	Univariate analysis			Multivariate analysis		
	OR	95% CI	p value	OR	95% CI	p value
<b>Male Gender</b>	1.31	0.58- 2.94	0.51			
<b>Age</b>	1.02	0.98 -1.05	0.19			
<b>BMI</b>	1.01	0.79-1.02	0.56			
<b>Obesity</b>	0.77	0.36-1.64	0.50	0.82	0.36-1.67	0.58
<b>T2DM</b>	1.32	0.67-2.57	0.41			
<b>Hypertension</b>	0.84	0.43-1.66	0.62			
<b>Metabolic syndrome</b>	1.26	0.55-2.88	0.58			
<b>Etiology of chronic liver disease</b>						
Viral hepatitis	0.67	0.32-1.40	0.29			
ALD	2.01	0.76-5.34	0.15			
NAFLD and mixed etiologies with NAFLD	1.49	0.52-4.28	0.45			
Mixed etiologies without NAFLD	2.07	0.73-5.92	0.17			
Other	0.27	0.03-2.41	0.25			
<b>HCC as indication</b>	1.06	0.55 2.04	0.88			
<b>Child-Pugh score</b>	1.07	0.97-1.24	0.30			
<b>MELD score</b>	<b>1.03</b>	<b>0.99-1.09</b>	<b>0.09</b>	1.04	0.99-1.09	0.10
<b>Periods of time</b>						
2009-2011	0.37	0.09-1.54	0.17	0.34	0.12-1.69	0.12
2012-2013	3.09	0.58-16.42	0.18	1.7	0.31-9.9	0.53
2014-2015	<b>4.92</b>	<b>0.98-23.60</b>	<b>0.06</b>	3.80	0.754-19.18	0.12
2016-2017	3.72	0.75-18.37	0.11	2.77	0.54-14.27	0.22
2018-2020	1.47	0.30-7.21	0.63	1.18	0.22-6.14	0.84

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570 ALD, alcoholic liver disease; BMI, body mass index; HCC, hepatocellular carcinoma; NAFLD,  
 571 non-alcoholic fatty liver disease; T2DM, diabetes mellitus

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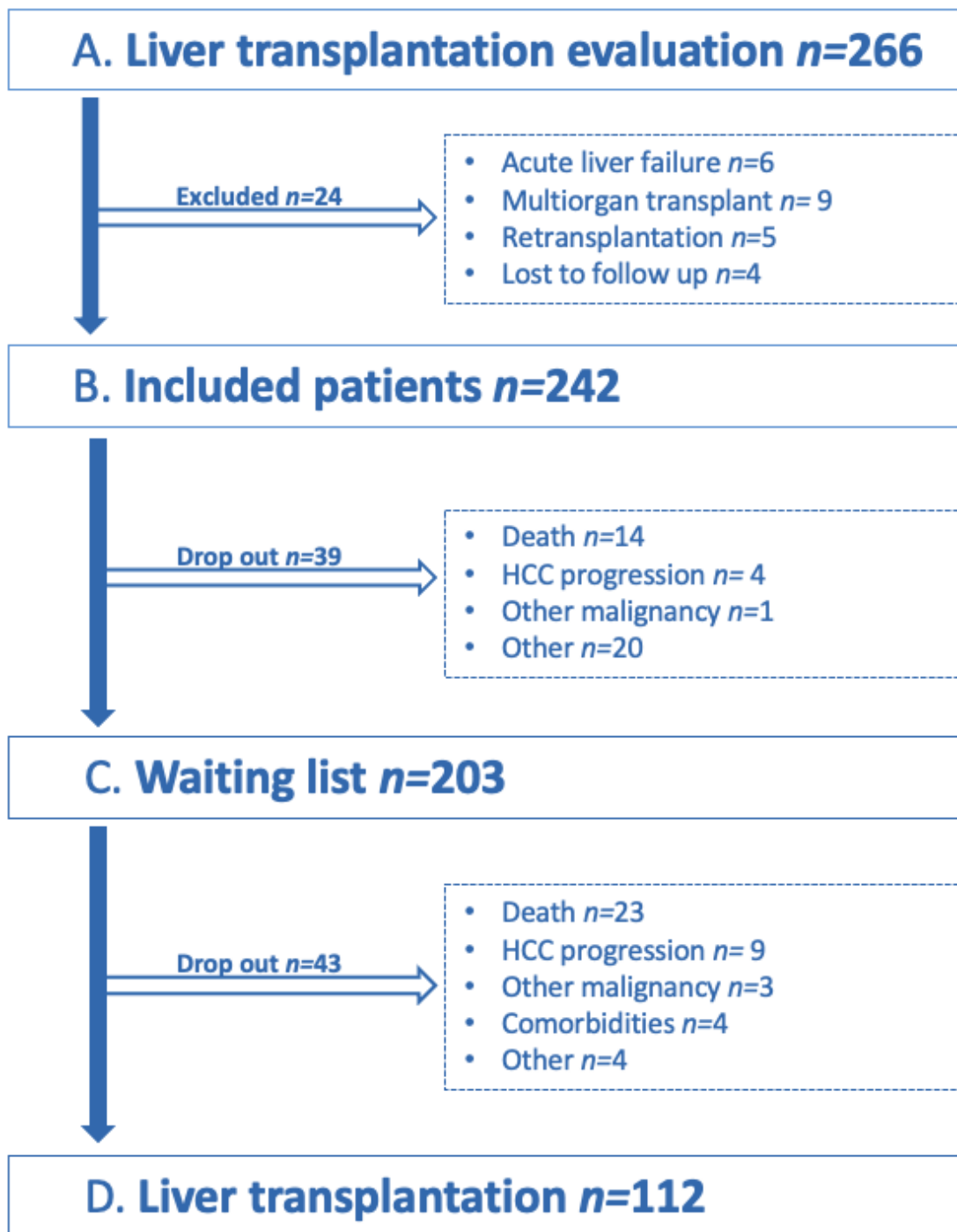
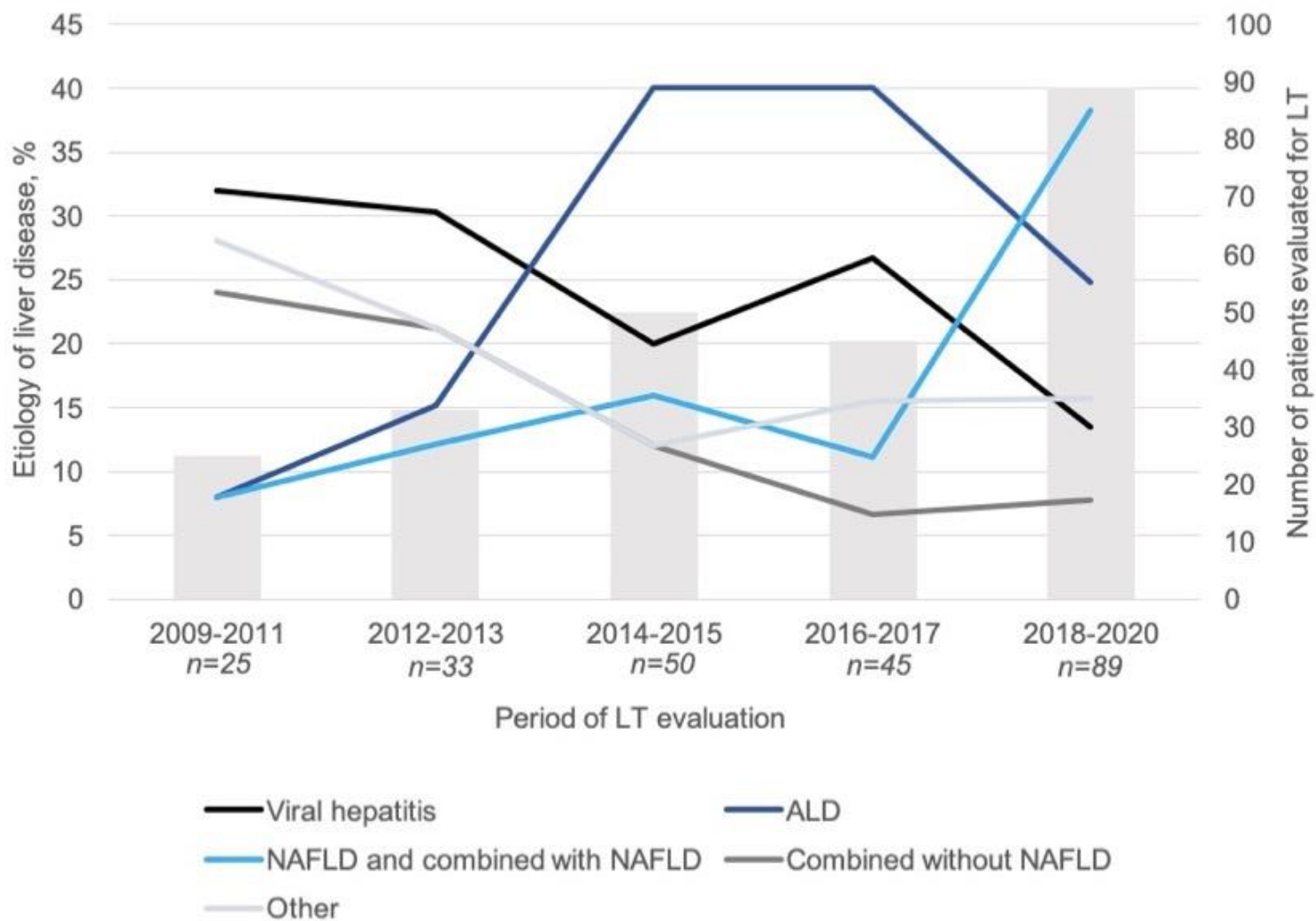
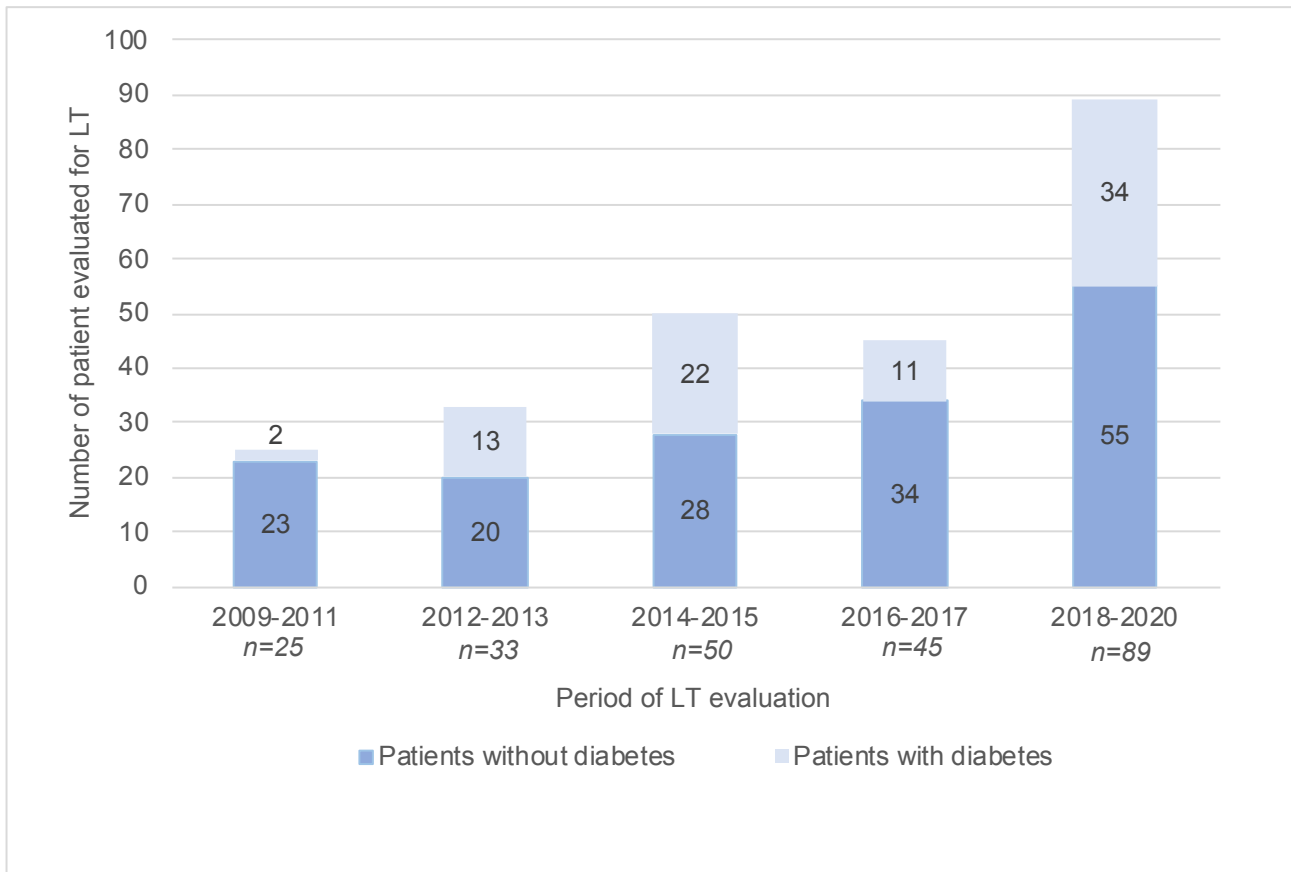


Figure 1. Patient flow chart

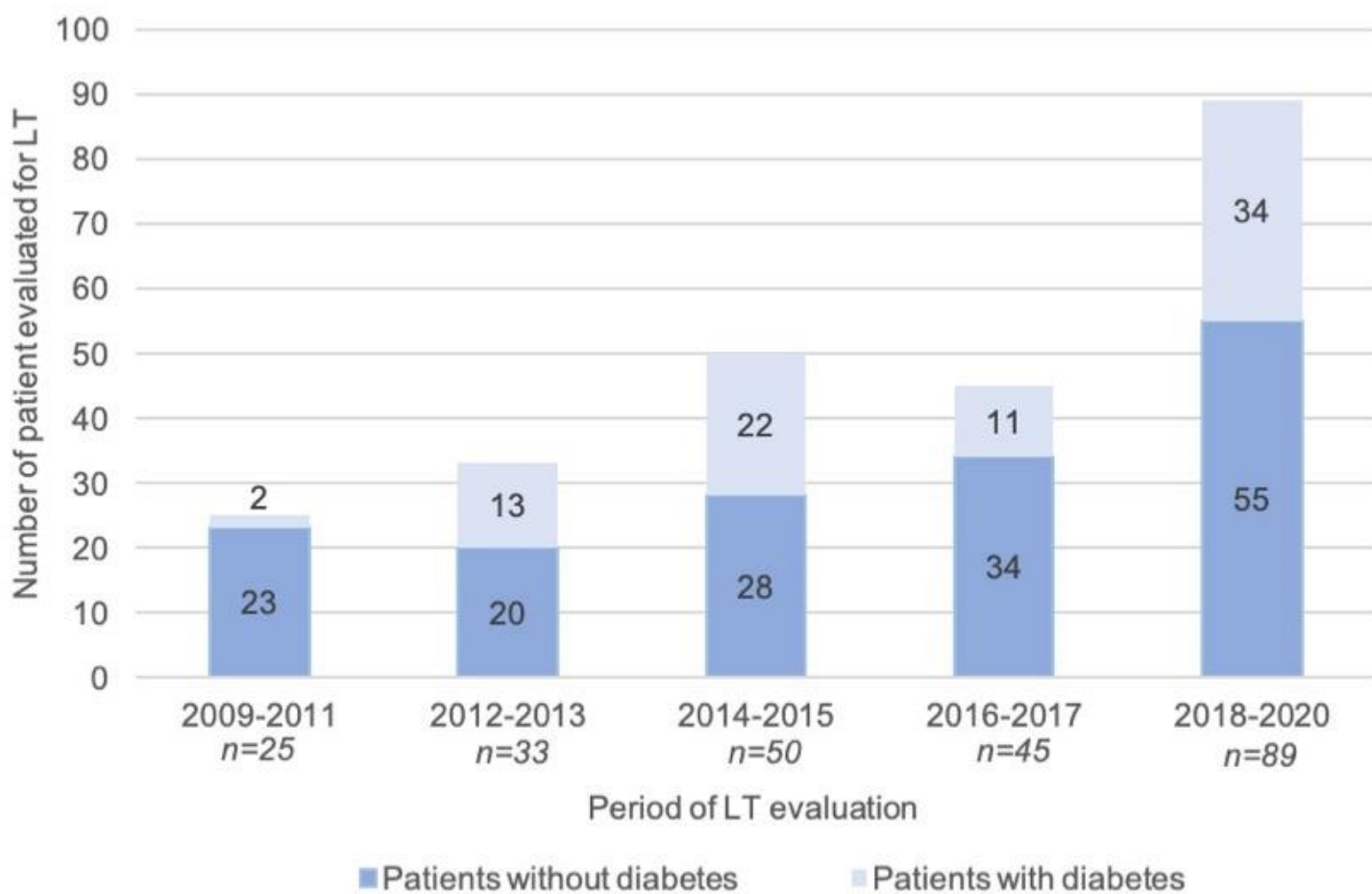


**Figure 2. Aetiology of liver disease among patients evaluated for liver transplantation between January 2009 and March 2020.**

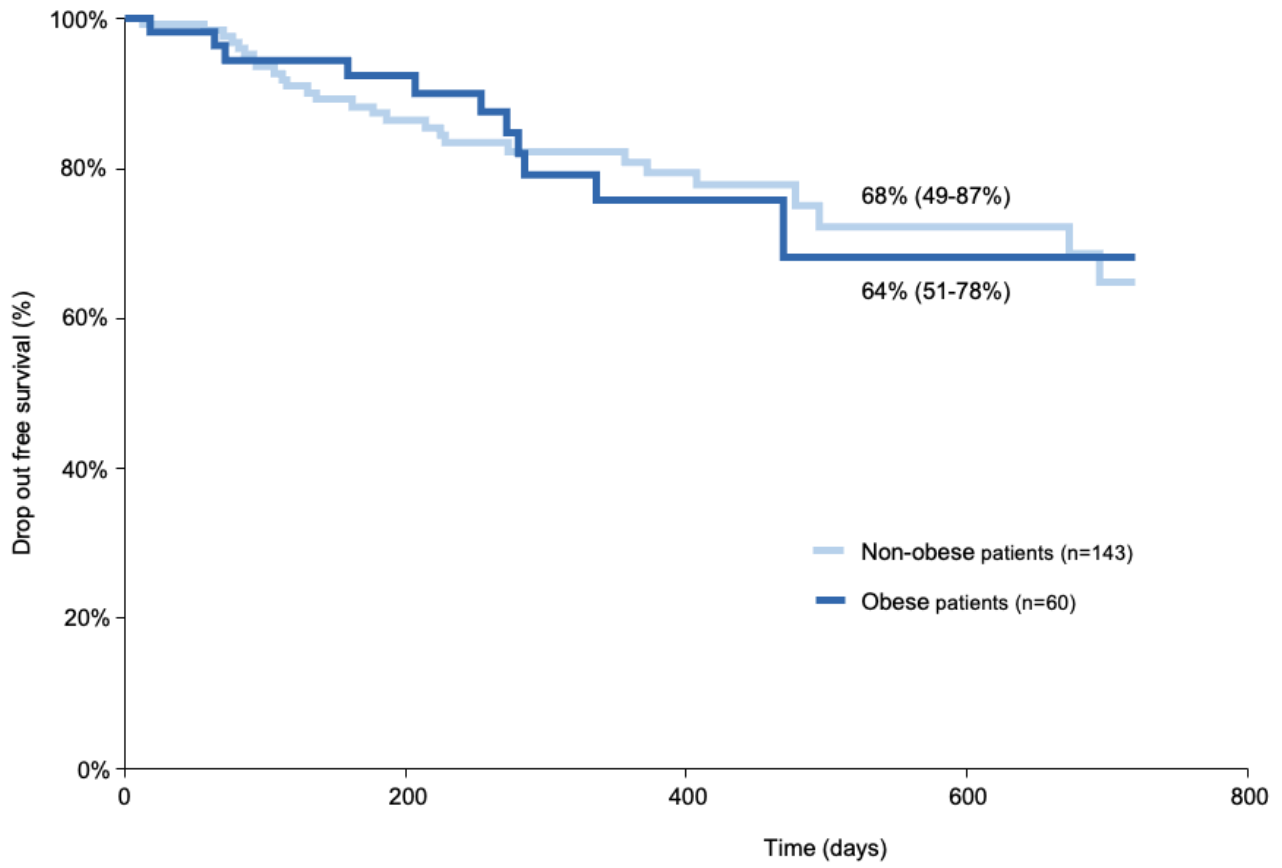


**Figure 3. Evolution of BMI distribution over time in patients evaluated for liver transplantation.**

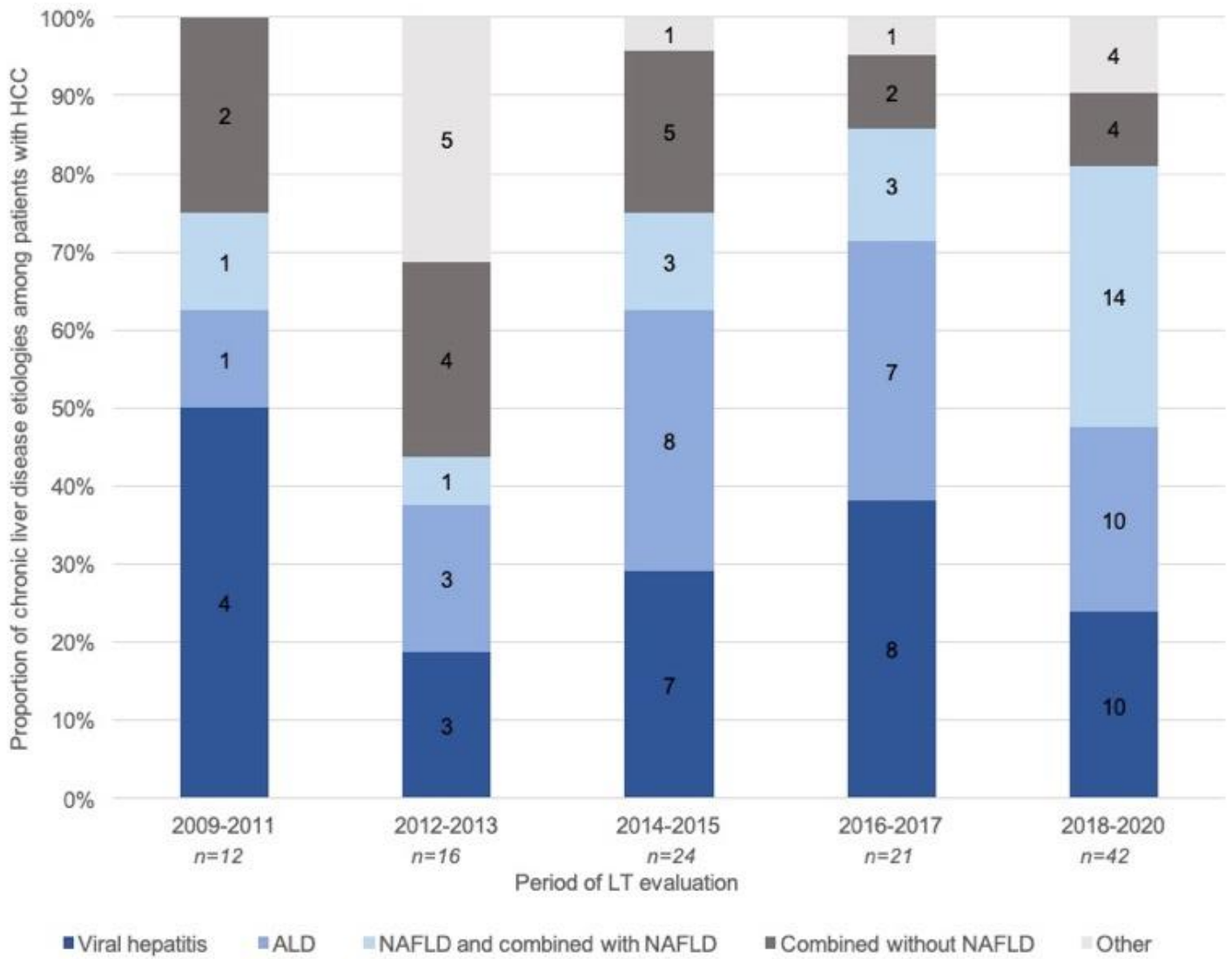




**Figure 4. Evolution of T2DM prevalence over time**



**Figure 5. Drop-out curves for obese and non-obese patients over time**



**Figure 6. Causes of chronic liver disease among patients with hepatocellular carcinoma (HCC)**

# Sophie Kasmi

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## Formation

2020-2022 **Médecin assistante** – Médecine interne (Hôpital de Morges)  
- *Tournus*: Etage, Urgences, SMUR, CTR

2019-2020 **Médecin assistante** – Chirurgie générale (Hôpital de Morges)

2019 **Diplôme Fédéral** de Médecine Humaine (UNIL)

2013-2019 **Master en Médecine Humaine** – Ecole de Médecine (UNIL)

## Recherches

2019- (*en cours*) **Doctorat** en gastroentérologie (CHUV)

2020 - (*en cours*) Arsenic and gastrointestinal cancer: a systematic review  
*Supervision* : Prof. P. Bofetta, Dr I. Labgaa

2018-2019 Travail de Master - Chirurgie générale (CHUV)

## Compétences

2021 Congrès **SGG/SSG** (Interlaken) – Présentation orale  
*Increasing prevalence of obesity among patients evaluated for liver transplantation in a Swiss tertiary center : a 10 year retrospective analysis*

2019 Congrès **SGC/SSC** – Présentation orale  
*Does the fluctuation in paramedical personal influence the morbidity of patients?*

2021 Advanced Cardiovascular Life Support (**ACLS**)

2021- (*en cours*) Point of Care Ultrasonography (**POCUS**)

## Intérêts

Littérature française **Prix littéraire** Françoise Conod (2013) et publication littéraire (2013)  
*« Un des thèmes les plus riches de l'existentialisme est certainement la critique de l'aliénation, ou la personne se vide ou s'étourdit dans un milieu extérieur, se fait chose et ne se renonce comme personne » - Commentaire philosophique*

Enseignement **Enseignante** à l'Ecole de Médecine Complémentaire Veillon, Lausanne (2020)  
**Tutrice** en anatomie pour les BMed2 et BMed3 (2015-2017) et en histologie pour les BMed1 (2016) - UNIL

Sports

Lausanne, le 12 janvier 2022



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Lausanne, le 01.01.2022

## Concerne : Soutien de la candidature de Mme Sophie Kasmi au titre de MD

Cher Professeur,

J'ai le privilège de soutenir la candidature de Madame Sophie Kasmi pour un titre de MD pour son travail intitulé :

### **« Increasing prevalence of obesity among patients evaluated for liver transplantation: a 10-year retrospective analysis »**

Madame Sophie Kasmi est une jeune collègue talentueuse avec un fort intérêt dans les maladies hépatiques.

Elle a effectué ses études de médecine à l'Université de Lausanne et obtenu son titre de médecin en septembre 2019. Elle poursuit actuellement sa formation clinique post-grade en médecine interne générale depuis novembre 2019, à l'hôpital de Morges.

Après une formation approfondie en Médecine Interne générale, Madame Sophie Kasmi rejoindra le Service de Gastroentérologie et Hépatologie pour une formation en gastroentérologie et hépatologie.

Madame Sophie Kasmi a effectué son travail de thèse MD sous ma supervision. Ce travail s'est concentré sur l'évolution de la prévalence de l'obésité et du diabète chez les patients évalués pour une transplantation hépatique dans notre Centre.

L'obésité est en passe de devenir la première cause d'hépatopathie chronique ainsi que la première indication à la transplantation hépatique. De plus, la stéatohépatite non alcoolique du foie (NASH), est associée à un risque accru de carcinome hépatocellulaire même en l'absence de cirrhose constituée.

Dans ce contexte, Madame Kasmi a étudié les aspects démographiques et cliniques de 242 patients consécutifs, évalués dans notre Service, conjointement avec le centre de Transplantation d'Organes entre 2009 et 2020, pour une transplantation hépatique.

A l'occasion de ce travail, elle a élaboré le protocole de recherche de son projet qui a été validé par la CER-VD. Elle a ensuite effectué de manière autonome la récolte rétrospective des données et mis sur pied une base de données, incluant une caractérisation démographique, clinique, biologique et radiologique des 242 patients inclus dans l'étude. Elle a fait preuve d'une grande autonomie et efficacité dans son travail et rendu des données de qualité pour leur analyse statistique.

Partant de cet important travail, elle a ensuite participé à l'analyse statistique des données et rédigé un article qui était récemment accepté pour publication dans *Swiss Medical Weekly*.

Les conclusions suivantes peuvent être retenues de ce travail de thèse :

- En premier lieu, ce travail de thèse met en lumière l'importante augmentation de l'activité « pré-transplantation hépatique » dans notre Centre sur les 10 dernières années.
- Deuxièmement, le paysage de la transplantation hépatique en Suisse est en train de changer avec une plus forte représentation de la maladie non alcoolique du foie (NAFLD) comme indication à une greffe hépatique. En parallèle, le diabète présente également une prévalence en nette augmentation dans cette population. Le travail de Sophie vient donc confirmer les données américaines et européennes déjà disponibles dans le domaine mais jusqu'à présent non disponibles pour notre pays.
- Dans notre expérience les patients obèses n'avaient pas plus de drop-out lorsqu'ils étaient inscrits en liste d'attente pour une transplantation hépatique vis-à-vis des patients non obèses. Ceci devrait donc encourager nos cliniciens à ne pas fermer la porte à un tel projet aux patients obèses. Il s'agit d'un message important puisque les recommandations européennes et américaines se montrent, à l'heure actuelle, défavorables à un projet de transplantation en cas d'obésité de grade II selon l'OMS.
- Finalement, ce travail démontre que la NAFLD est la première cause de carcinome hépatocellulaire (CHC) chez les patients inscrits en liste d'attente pour un CHC dans notre Centre. L'épidémiologie actuelle de l'obésité et de diabète, laissent suspecter une augmentation marquée des CHC en lien avec la NAFLD également en croissance.

En conclusion, le travail de Sophie met en évidence l'important challenge que pose l'obésité dans la prise en charge médicale des patients évalués pour une greffe hépatique. Elle suggère, face l'épidémiologie actuelle de l'obésité dans notre population, une augmentation des indications à la transplantation hépatique pour carcinome hépatocellulaire et cirrhose décompensée dans le contexte de la NAFLD.

Son travail a été accueilli très favorablement par le comité scientifique du Congrès Annuel de la Société Suisse de Gastroentérologie et d'Hépatologie qui s'est tenu à Interlaken en Septembre 2021. Il y a fait l'objet d'une présentation orale en date du 10.09.2021.

Concernant les crédits ECTS, ce même travail, a également fait l'objet d'une présentation orale en colloque de formation post-graduée de gastroentérologie dans notre Service.

Sophie est déterminée à poursuivre ses efforts pour une carrière académique, probablement dans le domaine de la Gastroentérologie et de l'Hépatologie. Elle s'est montrée extrêmement réactive, fiable et impliquée dans ce travail de thèse. Je suis personnellement convaincue qu'elle dispose de tous les talents nécessaires pour pouvoir atteindre un tel but.

Ainsi, je soutiens Sophie Kasmi pour l'obtention de son titre MD par la Faculté de Biologie et de Médecine avec grand enthousiasme et sans aucune réserve.

Je vous remercie de bien vouloir me contacter si vous deviez avoir des questions et vous adresse mes cordiales salutations.

Dre ~~Montserrat FRAGA~~  
Médecin adjointe, MER-Clin





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Departament federal da l'intern DFI  
Federal Department of Home Affairs FDHA

# DIPLÔME

**Sophie Najat Kasmi**

originaire de Lausanne VD

se voit décerner le diplôme fédéral de

# MÉDECIN

l'examen fédéral ayant été réussi selon la loi fédérale du  
23 juin 2006 sur les professions médicales universitaires.

Berne, le 25 septembre 2019  
GLN 7601007850822

Le chef du Département fédéral  
de l'intérieur

Le président  
de la commission d'examen



UNIL | Université de Lausanne  
 FBM - Ecole doctorale  
 bâtiment Amphipôle bureau 304  
 CH-1015 Lausanne

## CHECKLIST POUR PRESENTATION DU TRAVAIL DE THESE MD A LA COMMISSION

Le dépôt de thèse se fait selon les articles 8 et 9 du règlement pour l'obtention du grade de Docteur en médecine.

**Nom & Prénom du doctorant / de la doctorante :** Kasmi Sophie

**Directeur/directrice de thèse :** Dre M. Fraga

**Inscrit au doctorat en médecine depuis (Minimum 2 semestres requis) :** Novembre 2019

### Travail de thèse :

**Manuscrit** (sera transmis à un expert du domaine avant passage en Commission MD)

**Article publié** (merci de bien vouloir cocher toutes les cases concernées)

**1<sup>er</sup> auteur.e**

**Case-report**

**Co-auteur.e**

**Revue de la littérature**

**Revue avec Impact factor**

**Article Peer-Reviewed**

Les documents suivants doivent être transmis au secrétariat des thèses en médecine :

1	<b>Un exemplaire du travail de thèse relié par une simple agrafe</b> - Page de titre (disponible sur notre site rubrique « formulaires ») - Résumé en français (max. 1 page A4) - Article sous le même format que la publication	X
2	<b>Un Curriculum vitae mis à jour</b>	X
3	<b>Une lettre du directeur / de la directrice de thèse</b> décrivant la participation exacte du doctorant	X
4	<b>Une copie :</b> « Diplôme de médecin » <b>ou</b> attestation de l'équivalence « MEBEKO »	X
5	<b>Crédits ECTS ( pour toute inscription à compter du semestre d'automne 2013, remplir les documents trouvés sur ce lien :</b> <a href="https://www.unil.ch/ecoledoctoralefbm/mdforms">https://www.unil.ch/ecoledoctoralefbm/mdforms</a> ) - « Participation forms » concernées accompagnées des attestations - « portfolio », pages 2 et 3 à remplir et signer avec votre directeur/directrice de thèse	X

Ces documents doivent être envoyés **par voie électronique 3 semaines avant la date de Commission, puis par courrier** (aux adresses mail et postale indiquées ci-dessous).

Faculté de biologie et de médecine  
 Ecole doctorale



## **DIRECTIVES**

Le doctorant est tenu de suivre un programme MD à la hauteur de 2 ECTS durant sa thèse.

Les crédits sont obtenus de la manière suivante :

- 1) En participant à des congrès nationaux ou internationaux et en y soumettant un abstract (1 crédit ECTS par congrès).
- 2) En présentant le travail de thèse devant un public plus large que son département (1 crédit ECTS).
- 3) En suivant les séries de séminaires accréditées par l'Ecole doctorale. (Le nombre de crédit dépend du séminaire suivi)
- 4) En participant aux cours de troisième cycle. (Le nombre de crédit dépend du cours suivi)

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A la fin de chaque activité, veuillez :

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- \* Annexer les certificats et descriptifs demandés
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A la fin de la thèse, veuillez :

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- \* Faire signer tous les documents par votre Directeur de thèse
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Faculté de Biologie et de Médecine  
Amphipôle – bureau 304  
Quartier UNIL-Sorge  
1015 Lausanne

Titre	Type (Séminaire, cours, congrès, présentation...)	Organisateur	Date/Endroit	Crédit(s)ECTS (Le nombre de crédits sera validé par l'IED)
1	Annual Congress of the Swiss Society of Gastroenterology	Société Suisse de gastroentérologie	09.10.2021 Interlaken	1
Prière d'annexer les certificats de participation				
2	Colloque de formation post-graduée de gastroentérologie, CHUV	Service de gastroentérologie et hépatologie, CHUV	11.11.2021 Lausanne	1
Prière d'annexer les certificats de participation				
3				
Prière d'annexer les certificats de participation				
4				
Prière d'annexer les certificats de participation				

➔ Vous trouverez les documents de participation sur notre site, à l'adresse suivante : [www.unil.ch/edfbm](http://www.unil.ch/edfbm)

Visa Directeur de thèse	Visa doctorant	Visa Ecole doctorale
Date	Date 21.12.2021	Date

  
**CHUV**  
Dre M. Fraga  
Médecin Adjointe, MER clin  
SLN.760.100086998

21.12.2021

Suite à une discussion avec votre Directeur de thèse, résumez brièvement les connaissances et/ou les compétences acquises au travers des activités suivies :

- Revue de la littérature médicale en vue d'élaborer un protocole de recherche
- Rédaction d'un protocole de recherche clinique
- Création d'une base de données (identification de données d'intérêt, recherche des données, codage des données)
- Participation à l'analyse statistique des données
- Rédaction d'un manuscrit à partir des résultats obtenus
- Soumission d'un manuscrit à un journal peer review (formatage, révision)
- Présentation orale d'un travail de recherche (Congrès Suisse de Gastroentérologie, Interlaken 2021)
- D'une manière plus globale, l'immersion en recherche clinique m'a donné l'envie de poursuivre cette activité dans ma carrière

Visa Directeur de thèse 21.12.2021 Date		Visa doctorant Sophie Kasmi Date 21.12.2021
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CHUV  
Dre M. Praga  
Médecin Adjointe, MER clin  
GLN 760100065998

**Doctoral School**

**MD doctoral program**  
 VALIDATION FORM FOR AN ORAL PRESENTATION TO A PUBLIC  
 LARGER THAT THE DEPARTMENT [ABOUT THE THESIS RESULTS]

<b>Doctoral Student's name</b>	Sophie Kasmi	<b>Thesis Director's name</b>	Dr M. Fraga Christinet
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<b>Dates</b>	<b>Place (Town/Country)</b>	<b>Title of the presentation</b>
11.11.2021	Lausanne Suisse	Increasing prevalence of obesity among patients evaluated for liver transplantation : a 10-year retrospective analysis

**Attach to this form:** 1) a copy of the corresponding flyer (with the title of the congress, dates, place and organizers)  
 2) a copy of the scientific programme  
 3) a copy of your abstract as first author  
 4) a copy of the certificate of participation, if provided by the organizers

<b>Signature</b>	<b>Visa Organizer or copy of the certificate</b>
	
<b>Date</b>	21.12.2021

**Please, enclose these documents to the portfolio you received by mail.  
 When submitting your thesis, you will send the completed portfolio to the MD secretary's office**

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**REJOINDRE LA RÉUNION**

Date	Sujet	Orateur   Superviseur/Hôte	Sponsor
AOUT	26	Activités du Service et JC	Pr D. Moradpour   Dre T. Shams
SEPTEMBRE	2	CDC : Transplantation du microbiote fécal	Dre S. Henchoz (avec Dre T. Galperine, MIN)
	16	ECCO Highlights	Drs S. Henchoz et T. Greuter, Pr A. Schoepfer
	23	Research update	Dr J. Vlonnet
	30	CDC : Hépatite alcoolique	Dr G.-M. Stamm   Dre J. Wakim
OCT.	7	Etudes cliniques en cours en GLG	Tous les investigateurs
	14	Colloque morbidité et mortalité	Coordonné par le Dr Ph. Maerten
NOVEMBRE	4	Présentations travaux de Master	A. Coukos (Dre M. Fraga), M.-L. Goertler et A. Pick (Pr Alain Schoepfer)
	11	Présentations de thèses MD et PhD	Dre S. Henchoz (Pr A. Schoepfer) Dre S. Kasmi (Dre M. Fraga) Dre N. Oechslin (PD Dr J. Gouffenoire) Dre L. Vaillant (PD Dr D. Velin)
	18	CDC : POEM et G-POEM	Dre T. Shams   Dr M. Robert
	25	CDC : Cholangiocarcinome	Dre E. Romailier   Dre S. Oumrani
	2	TBA	Pr M. Pittet, Université de Genève   invité par Dre M. Fraga et Pr Ch. Sempoux, IPA
DÉCEMBRE	9	Controverse : Drainage percutané vs. endoscopique de la vésicule biliaire	Pr A. Denys, RAD et Dr S. Godat
	16	CDC : Hépatite B	Dre J. Vieira Barbosa   Dre M. Fraga
	23	TBA	TBA

CDC = Conférences charges attitudes thérapeutiques (JC = Journal club)

En rouge = rocadés/modifications par rapport la précédente version

Pour tout souhait de rocade ou autre modification, veuillez en informer malika.salhi@chuv.ch

*D. Moradpour*  
Pr Darius Moradpour



**1330-1500***Kongresssaal***Hepatology Oral presentations I**

Andrea De Gottardi, Lugano    Darius Moradpour, Lausanne

1330-1340

*Kongresssaal*

A preclinical screening platform of patient-derived tumor organoids for drug discovery in hepatocellular carcinoma.

Sandro Nuciforo, Basel

1340-1350

*Kongresssaal*

Suppression of tumorigenicity 2 (ST2) serum level for the assessment of liver fibrosis

Sena Blümel, Zürich

1350-1400

*Kongresssaal*

Development of a microscopy readout to characterise drug response in hepatocellular carcinoma organoids.

Lauriane Blukacz, Basel

1400-1410

*Kongresssaal*

Next-Generation Sequencing of Swiss Hepatitis E Virus Isolates Allows for Reconstitution of Functional Clones

Jérôme Gouttenoire, Lausanne

1410-1420

*Kongresssaal*

Heterogeneity of Peripheral Monocytes in Patients with Cirrhosis

Anne Geng, Basel

1430-1440

*Kongresssaal*

Increasing prevalence of obesity among patients evaluated for liver transplantation in a Swiss tertiary referral center: a 10-year retrospective analysis

Sophie Kasmi, Lausanne

1440-1450

*Kongresssaal*

Increased serum levels of gut-derived MAIT cell stimulatory bacterial metabolites in patients with portal hypertension

## ORAL PRESENTATIONS: HEPATOLOGY 1

**A preclinical screening platform of patient-derived tumor organoids for drug discovery in hepatocellular carcinoma.**

Sandra Nucifora<sup>1</sup>, Lauriane Bukecz<sup>2</sup>, Matthias S. Matter<sup>2</sup>, Swava D. Soyas<sup>1</sup>, Otto Kolman<sup>1</sup>, Stefan Wieland<sup>1</sup>, Markus H. Heim<sup>1,2</sup>

<sup>1</sup> Department of Biomedicine, Hepatology Laboratory, University Hospital and University of Basel, Basel, Switzerland; <sup>2</sup> Institute of Medical Genetics and Pathology, University Hospital and University of Basel, Basel, Switzerland; <sup>3</sup> Cururus University Center for Gastrointestinal and Liver Diseases, Basel, Switzerland

**Background:** Hepatocellular carcinoma (HCC) is an aggressive cancer and a major cause of cancer-related deaths worldwide. Most HCCs are diagnosed at an advanced stage with limited treatment options and poor prognosis. The efficacy of currently available therapies differs greatly between patients, primarily due to pronounced inter- and intra-tumoral heterogeneity and the lack of biomarkers that predict treatment response. Classic cell culture assays fail to adequately representing patient's tumor biology and physiological conditions. Tumor organoids have recently emerged as a novel preclinical 3D *in vitro* system that recapitulates the diversity and complexity of tumors observed in patients. We established a robust screening platform based on patient-derived HCC organoids with the aim to identify novel compounds for the treatment of HCC.

**Methods:** A comprehensive biobank of HCC organoids was generated from HCC tissues obtained by needle biopsy and surgical resection. Semi-automated drug screening was performed in a 384-well plate format. Plates were coated with a hydrogel layer and cells were seeded on top to allow for organoid formation. A library of 2089 FDA-approved, investigational, and previously untested small-molecule compounds was screened on our HCC organoid biobank and hits were selected based on their activity profile.

**Results:** We report the identification of multiple compounds with strong activity against HCC cells using an organoid-based drug screening platform. Drug activity differed strongly between HCC organoid lines with compounds being highly active in all, only some, or individual lines, reflecting the heterogeneous drug response observed in patients.

**Conclusions:** Tumor organoids can be used to screen drugs with throughputs comparable to standard screening platforms but with the advantage of identifying hits based on physiologically relevant drug responses. Remarkably, the majority of hits resulting from the FDA library screen belong to drugs approved for indications other than cancer and thus open up new perspectives for drug repurposing.

**Suppression of tumorigenicity 2 (S1T2) serum level for the assessment of liver fibrosis**

Florian Hildbrandt<sup>1</sup>, Barbara Illi<sup>1</sup>, Ansgar Delbert<sup>1</sup>, Johanna Gawnack<sup>2</sup>, Arnold von Eckardstein<sup>2</sup>, Beat Müllhaupt<sup>1</sup>, Joachim C. Meiers<sup>1</sup>, Sana Blumel<sup>1</sup>

<sup>1</sup> Department of Gastroenterology and Hepatology, USZ; <sup>2</sup> Institute of Clinical Chemistry, USZ

**Background:** Liver fibrosis and cirrhosis are related to increased morbidity and mortality. Today's gold standard for assessing liver fibrosis remains liver biopsy. Alternatively, transient elastography (TE) and scores like the FIB4 and APRI can be used to estimate the fibrosis stage. The former is, however, available only through specialists and the latter requires several serum parameters. Single serum biomarker for the assessment of liver fibrosis could facilitate outpatient care of patients, susceptible to suffer from advanced liver disease through general practitioners. A potential marker is soluble S1T2 (sS1T2), which is related to hepatic stellate cell activation. We aimed to assess sS1T2 as a marker for liver fibrosis using patients treated for chronic hepatitis C.

**Methods:** Patients from the Swiss hepatitis C cohort study received treatment with direct-acting antivirals; sS1T2 was measured in plasma samples before and after therapy and correlated with reported values of TE, APRI and FIB4.

**Results:** Overall, 176 patients were included with 126 having complete data sets pre- and post-treatment for compared data analyses. The median level of sS1T2 before treatment was 33.5 ng/ml and 28.4 ng/ml after treatment (p < 0.0001). A positive linear correlation between sS1T2 and

values for TE, APRI as well as FIB4 was present with R<sup>2</sup> being in the range of 0.11 to 0.22. R<sup>2</sup> was highest for the correlation of sS1T2 with TE values and correlation generally improved after treatment.

**Conclusion:** In summary, the results of the study indicate that sS1T2 might be a suitable marker to assess liver fibrosis.

**Development of a microscopy readout to characterize drug response in hepatocellular carcinoma organoids.**

Lauriane Bukecz<sup>1</sup>, Sandra Nucifora<sup>1</sup>, Matthias S. Matter<sup>2</sup>, Swava D. Soyas<sup>1</sup>, Otto Kolman<sup>1</sup>, Stefan Wieland<sup>1</sup>, Markus H. Heim<sup>1,2</sup>

<sup>1</sup> Department of Biomedicine, Hepatology Laboratory, University Hospital and University of Basel, Basel, Switzerland; <sup>2</sup> Institute of Pathology, University Hospital of Basel, University of Basel, Basel, Switzerland; <sup>3</sup> Cururus University Center for Gastrointestinal and Liver Diseases, Basel, Switzerland.

**Background:** Hepatocellular carcinoma (HCC) is one of the most common causes of cancer-related deaths worldwide. Treatment options are limited for patients with advanced stage HCC and drug resistance is frequent. Current methods to assess drug sensitivity *in vitro* mainly rely on metabolic-based viability readouts. These readouts provide a very rapid and sensitive estimation of drug responses. But, they do not provide information at the cellular level such as whether a drug acts cytostatically or is cytotoxic. However, such information could likely be very valuable in guiding pre-clinical development of candidate drugs derived from large drug screens. Moreover, metabolic activity of cancer cells can change greatly depending on the culture conditions (e.g. normoxia or hypoxia). This can complicate interpretation of assays where drug sensitivity under different culture conditions is compared. Therefore, we developed a metabolic-independent microscopy approach to detect dead and live cells to assess drug sensitivity at the cellular level.

**Methods:** Patient-derived HCC organoids were cultured on a hydrogel layer in 384-well plates. The Live-Cell-Dye NucRx, staining kit (BioLum) was implemented as a dead-cell marker together with Hoechst as a counterstain for all nuclei. Samples were fixed and image acquisition automated on a spinning disk confocal microscope. An analysis pipeline to assess number of living cells and the fraction of dead cells was developed using the QuPath software.

**Results:** We report the development of a metabolic-independent microscopy-based readout to assess drug sensitivity at the cellular level in HCC organoids. Our analysis pipeline produces reliable numbers for living cells and the fraction of dead cells, allowing for unbiased comparison of drug sensitivity between HCC organoid lines or culture conditions. Based on these parameters, we can also determine cytotoxic or cytostatic effects of a drug.

**Conclusions:** We believe that the microscopy-based readout for drug sensitivity will prove very useful for in depth drug response analysis and thus benefit the translation of *in vitro* results into clinical application.

**Next-Generation Sequencing of Swiss Hepatitis E Virus Isolates Allows for Reconstitution of Functional Cones**

Jérôme Gauthier<sup>1</sup>, Roland Sarih<sup>2</sup>, Daniela Müllhaupt<sup>1</sup>, Montserrat Fraga<sup>1</sup> and Darius Moradpour<sup>1</sup>

<sup>1</sup> *Department of Gastroenterology and Hepatology, 2 Institute of Microbiology, Lucerne University Hospital (CHUV) and University of Lausanne, Switzerland*

**Background:** Hepatitis E acquired in Switzerland is caused primarily by a specific hepatitis E virus (HEV) subtype which has been provisionally designated as 3s and recently assigned as a distinct group to 3h (3s/3h/1). Here, we analyzed HEV from patients with severe outcomes of hepatitis E by a newly developed next-generation sequencing (NGS) protocol and assembled subgenomic replicons as well as full-length genomes.

**Methods:** Illumina RNA sequencing coupled with HEV-specific sequence enrichment was carried out on 24 plasma samples from patients with hepatitis E acquired in Switzerland and severe outcomes (severe acute hepatitis, acute-on-chronic liver failure and neurologic complications). Viral genomes reconstituted by DNA synthesis and molecular cloning were functionally characterized in cell culture.

**Results:** The entire HEV genomes from plasma of 24 patients could be sequenced successfully, confirming the predominance of subtype 3s(1ph) in Switzerland. The number of reads at each nucleotide position and overall coverage allowed to establish a consensus sequence for 17 genomes. Subgenomic replicons and full-length genomes derived from some of these replicates in cell culture. Functional clones are currently being further characterized in particular for their ability to produce infectious virus.

**Conclusions:** A newly developed NGS protocol and DNA synthesis allowed to successfully reconstitute functional clones of Swiss HEV isolates. These represent a rare resource and should facilitate further studies on the molecular biology and pathogenesis of hepatitis E.

**Heterogeneity of Peripheral Monocytes in Patients with Cirrhosis**

Ame Gerg<sup>1</sup>, Robert Brang<sup>2</sup>, Mechtild Lütjage<sup>3</sup>, Julien Roux<sup>4</sup>, Hung-Wei Cheng<sup>5</sup>, Olin Pop<sup>6</sup>, Patricia Kunzle-Heule<sup>7</sup>, David Semlitz<sup>8</sup>, Markus H. Heim<sup>1</sup>, Burkhard Ludewig<sup>9</sup>, Christine Bernsmehr<sup>1</sup>

<sup>1</sup> Department of Biomedicine, University of Basel and Cururus, University Center for Gastrointestinal and Liver Disease, Basel; <sup>2</sup> Liver Biology Laboratory, Division of Gastroenterology and Hepatology, Cantonal Hospital St. Gallen; <sup>3</sup> Institute of Immunology, Cantonal Hospital St. Gallen; <sup>4</sup> Bioinformatics Core Facility, Department of Biomedicine, University of Basel

**Background:** In patients with cirrhosis, we recently discovered dysfunctional monocyte subsets (CD14<sup>+</sup>HLA-DR<sup>+</sup>AXL<sup>+</sup>, CD14<sup>+</sup>AMERT<sup>+</sup>, M-MSC) prevail over regular monocytes, associated with reduced capacity to read microbial challenge and infection susceptibility. The underlying signaling mechanisms remain unexplored. Transcriptome wide single cell RNA sequencing (scRNA-Seq) is expected to enhance the understanding of immune cell differentiation processes.

**Results:** Our preliminary scRNA-Seq data revealed 6 distinct monocyte clusters. 4 clusters represent classical (CD14<sup>+</sup>CD16<sup>-</sup>) monocytes, 2 representing intermediate (CD14<sup>+</sup>CD16<sup>+</sup>) and non-classical (CD14<sup>+</sup>CD16<sup>-</sup>) subsets. The cluster frequency of CD14<sup>+</sup>CD16<sup>-</sup> like monocytes was reduced in CC/DLC, while M-MSC-like were increased compared to HC. Differentially expressed (DE) gene analysis revealed increased RNA expression of CD82 (T-cell suppressor) and Ca<sup>2+</sup> binding S100 family members on monocytes from CC/DLC, while some MHC class II members were decreased. CD14<sup>+</sup>CD16<sup>-</sup> and CD14<sup>+</sup>CD16<sup>+</sup> like clusters showed increased *Commd1* (Nlr-kB downregulation) and decreased *Siglecs* (reduced inflammation activity) in CC/DLC. CD14<sup>+</sup>CD16<sup>-</sup> subset showed higher levels of *Parp3* (CD16b (Kp1er Cell marker) was expressed in monocytes from cirrhosis only).

**Conclusion:** We identified 6 monocyte clusters, their prevalence differed between HC and CC/DLC. DE Gene expression revealed abundance of immunomodulatory clusters in CC/DLC.

**Increasing prevalence of obesity among patients evaluated for liver transplantation in a Swiss tertiary referral center: a 10-year retrospective analysis**

Sophie Kasari<sup>1</sup>, Florent Artur<sup>1</sup>, Joana Vieira Barbosa<sup>1</sup>, Ansgar Rudolf Delbert<sup>1</sup>, Beat Müller<sup>1</sup>, Claire Peuhle<sup>1</sup>, Anne-Catherine Souill<sup>1</sup>, Nicolas Gossens<sup>2</sup>, Beat Müllhaupt<sup>2</sup>, Manuel Pascual<sup>1</sup>, Darius Moradpour<sup>1</sup>, Julien Vormlet<sup>1,4,5</sup>, Montserrat Fraga<sup>1</sup>

<sup>1</sup> Centre Hospitalier Universitaire Vaudois; <sup>2</sup> Universitätsklinik Zürich; <sup>3</sup> Hôpital Universitaire Geneva; <sup>4</sup> Beth Israel Medical School; <sup>5</sup> Harvard Medical School, Boston; <sup>6</sup> Tübingen Hospital, London

**Background and aims:** NAFLD is the first cause of chronic liver disease developed countries. We aimed to assess the trend in the prevalence of obesity, type 2 diabetes and NAFLD in patients undergoing liver transplantation (LT) evaluation and to assess whether obese patients were less likely to be listed or had an increased drop-out rate after listing.

**Methods:** We conducted a retrospective study of all consecutive patients who underwent a LT evaluation at a Swiss tertiary referring center between 2008 and 2020.

**Results:** 242 patients were included, 83% were male. The median age was 59 years (QR: 51-64 years). The most common causes of end-stage liver disease were viral hepatitis (28%), alcoholic liver disease (12%) and NAFLD (17%). Obesity was present in 28% of our cohort (with a significant increase over time. Prevalence of diabetes followed this same trend (p = 0.02). The proportion of non-listed and listed obese patients was not different (21% vs. 30%, respectively, p = 0.3). When analyzing drop-out rates, there was no significant difference between obese and non-obese patients (36% vs. 32%, p = 0.9). HCC represented the primary indication for LT in approximately half of our cohort (46%), with increasing prevalence of NAFLD among patients with HCC (p = 0.03).

**Conclusions:** The prevalence of obesity and diabetes significantly increased over our study period. Obese patients had similar chances of being enlisted. The landscape of LT indications is shifting towards NAFLD, highlighting the urgent need to prevent NAFLD progression.

**Increased serum levels of gut-derived MALT cell stimulatory bacterial metabolites in patients with portal hypertension**

Martin J. Latt<sup>1</sup>, Tina Jaeger<sup>1</sup>, Maxime Jaquet<sup>1</sup>, Emanuel Burr<sup>2</sup>, Christoph J. Zech<sup>3</sup>, Magdalena Filipowicz-Simneth<sup>1,2</sup>

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**Background:** Mucosal-associated invariant T (MALT) cells represent the most abundant T cell type in human liver. They respond to bacterial metabolites derived from riboflavin synthesis that are presented by MHC-like molecule MR1. The most potent stimulatory MALT cell antigen (Ag) is 5-(2-oxopropyl)-6-oxo-tetrahydroavicolal (5-OP-RU). Recent murine studies showed that microbe-derived Ags are essential for myeloid development of MALT cells, arguing for 5-OP-RU absorption from the gut. By analyzing sera of patients suffering from portal hypertension we investigated whether gut leakiness in humans may be associated with the presence of bacterial MALT cell stimulatory Ags in circulation.

**Methods:** We examined MALT cell stimulatory potential of peripheral blood serum obtained from 14 patients with a history of transjugular intrahepatic porto-systemic shunt (TIPSS), as compared to healthy subjects. Analogously, we analyzed paired serum samples from portal and peripheral blood of 8 patients undergoing TIPSS. To detect MALT cell ligands, Ag-presenting K562 cells overexpressing MHI were exposed to serum and IFN-gamma release by MALT cells was measured by ELISA. MHI blocking antibodies were used to verify the MHI dependence in MALT cell activation. Serum Ag amounts were defined using control assays with 5-OP-RU and in the range of 0.006-25 pM.

**Results:** We found MHI-dependent MALT cell stimulation by sera obtained from healthy subjects, corresponding to 5-OP-RU concentrations of 0.05-0.2 pM. Patients with a history of TIPSS showed significantly higher MALT cell stimulatory potential than age- and sex-matched healthy control subjects. Sera from 14 out of 8 patients undergoing TIPSS strongly stimulated MALT cells (1-2 pM 5-OP-RU equivalent) and portal serum was up to 3-fold more active than its peripheral counterpart.

**Conclusions:** We present first evidence for the presence of MALT cell stimulatory gut bacterial metabolites in human blood. Our results provide rationale for the activated MALT phenotype seen in human liver. Increased levels of gut-derived MALT cell stimulatory ligands in the blood of TIPSS patients suffering from portal hypertension, associated with impaired intestinal barrier function, indicate that intrahepatic Ag-presentation may represent an important step in the development of liver disease. Measurement of circulating MALT cell Ag levels could be considered as part of a diagnostic test panel for assessing gut integrity in the context of liver inflammation.

Thursday, 9.9.2021

**Kongresssaal**

- 0830–1000** **New Challenges in Hepatology**  
Christine Bernsmeier, Basel | Nicolas Goossens, Genève
- 0830–0845 Neurological manifestations of Hepatitis E  
Paolo Ripellino, Lugano
- 0845–0900 Immune mediated hepatitis: an update  
Fraga, Lausanne
- 0900–0915 Hepatitis C after cure  
Francesco Negro, Genève
- 0915–0930 Alcoholic liver disease – do we care enough?  
Katharina Staufer, Wien
- 0930–0945 Daily aspirin – prevention of fibrosis and HCC  
Tuyana Boldanova, Reinach
- 0945–1000 When to test for genetics in cholestasis?  
Christoph Jüngst, Zürich
- 1000–1030** **Exhibition Area** **Coffee break**
- 1200–1230** **Exhibition Area** **Lunch break**
- 1215–1245** **Lunch Symposium Bristol Myers Squibb SA: Will small molecules change therapy in UC?**  
Gerhard Rogler, Zürich | Alain Schoepfer, Lausanne  
Andreas Sturm, Berlin
- 1250–1320** **Lunch Symposium Olympus: New features for diagnostic and therapeutic endoscopy**  
Stefan Seewald, Zürich
- 1330–1500** **Hepatology Oral presentations I**  
Andrea De Gottardi, Lugano | Darius Moradpour, Lausanne
- 1330–1340 A preclinical screening platform of patient-derived tumor organoids for drug discovery in hepatocellular carcinoma.  
Sandro Nuciforo, Basel
- 1340–1350 Suppression of tumorigenicity 2 (ST2) serum level for the assessment of liver fibrosis  
Sena Blümel, Zürich
- 1350–1400 Development of a microscopy readout to characterise drug response in hepatocellular carcinoma organoids.  
Lauriane Blukacz, Basel
- 1400–1410 Next-Generation Sequencing of Swiss Hepatitis E Virus Isolates Allows for Reconstitution of Functional Clones  
Jérôme Gouttenoire, Lausanne

- 1410–1420 Heterogeneity of Peripheral Monocytes in Patients with Cirrhosis  
Anne Geng, Basel
- 1430–1440 Increasing prevalence of obesity among patients evaluated for liver transplantation in a Swiss tertiary referral center: a 10-year retrospective analysis  
Sophie Kasmi, Lausanne
- 1430–1440 Increased serum levels of gut-derived MAIT cell stimulatory bacterial metabolites in patients with portal hypertension  
Magdalena Filipowicz Sinnreich, Basel
- 1500–1530** **Exhibition Area** **Coffee break**
- 1530–1700** **Young gastroenterologists**  
Jan Borovicka, St.Gallen | Gian Dorta, Lausanne
- 1530–1545 European Board Exam 2021  
Andrea Stefanie Baur, Zürich
- 1545–1600 Nutrition – what you need to know  
Philipp Schreiner, Zürich
- 1600–1615 IBD: Hard facts for European Board Exam  
Fritz Murray, Zürich
- 1615–1630 GI-Bleeding: Basics for the Exam  
Henriette Heinrich, Zürich
- 1630–1645 Image challenge – what the GI eye needs to know  
Daniela Husarik, St. Gallen
- 1815–1900** **General Assembly MDLS**



## Kasmi Sophie

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**De:** Fraga Christinet Monserrat <Montserrat.Fraga@chuv.ch>  
**Envoyé:** mercredi, 22 décembre 2021 08:27  
**À:** Kasmi Sophie  
**Objet:** SMW-D-21-00207R1 Increasing prevalence of obesity and diabetes among patients evaluated for liver transplantation in a Swiss tertiary referral center: a 10-year retrospective analysis - [EMID:a1b9fa1f92d27582] [HIN secured]

**Indicateur de suivi:** Indicateur de suivi  
**État de l'indicateur:** Avec indicateur

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**De :** em.smw.0.77f8ec.27ab4187@editorialmanager.com <em.smw.0.77f8ec.27ab4187@editorialmanager.com> de la part de Swiss Medical Weekly <em@editorialmanager.com>

**Envoyé :** lundi, 13 décembre 2021 17:17

**À :** Fraga Christinet Monserrat

**Objet :** SMW-D-21-00207R1 Increasing prevalence of obesity and diabetes among patients evaluated for liver transplantation in a Swiss tertiary referral center: a 10-year retrospective analysis - [EMID:a1b9fa1f92d27582]

SMW-D-21-00207R1

Increasing prevalence of obesity and diabetes among patients evaluated for liver transplantation in a Swiss tertiary referral center: a 10-year retrospective analysis

Dear Dr Fraga Christinet,

Thank you for your submission. It has now been re-reviewed and the referees have suggested some minor revisions.

You are requested kindly to revise the paper in the light of these comments. Please include a list of changes or a rebuttal on each point raised and highlight the changes made in the manuscript.

To submit a revision, go to <https://www.editorialmanager.com/smw/> and log in as an Author. You will see a menu item called Submission Needing Revision. You will find your submission record there.

I look forward to your reply and thank you in advance.

Yours sincerely,

Francesco Negro

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