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Rare earth ions doped Y_{2.95}R_{0.05}MgAl₃SiO₁₂ (R = Yb, Y, Dy, Eu, Sm) garnet-type microwave ceramics for 5G application

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Abstract: In this work, Y₂₉₅R₀₀₅MgAl₃SiO₁₂ (R=Yb, Y, Dy, Eu, Sm) microwave single-phase dielectric ceramics were successfully prepared via conventional ceramic technology by doping a series of rare earth elements with different ionic radius (Yb, Y, Dy, Eu, Sm) for the first time. The effects of A site occupied by rare earth elements on the microwave dielectric properties of Y_{2.95}R_{0.05}MgAl₃SiO₁₂ were studied by crystal structure refinement, scanning electron microscope (SEM), bond valence theory, P-V-L theory and infrared reflection spectroscopy. It was found that the ionicity of Y-O bond, the lattice energy, the bond energy and bond valance of Al_(Tet)-O bond had important effects on microwave dielectric properties. Particularly, the optimum microwave dielectric properties were obtained for Y_{2.95}Dy_{0.05}MgAl₃SiO₁₂ sintered at 1575 °C for 6 h, with ε_r = 9.68, $Q \times f$ = 68,866 GHz, and τ_f = -35.8 ppm/°C, displaying its potential prospect in the 5G communication.

Keywords: Garnet; Microwave dielectric properties; P-V-L theory; Infrared reflection spectroscopy

1. Introduction

With the rapid development of communication frequency bands to millimeter-wave band, the properties of microwave dielectric ceramic materials used in communication equipments are required to have the following dielectric properties:

(1) low ε_r to get low delay in the signal transmission process, (2) the ultra-high $Q \times f$ value reduces the transmission loss and (3) near-zero temperature coefficient (τ_f) can improve the device stability in different environments, applied in resonators, antennas, filters and 5G base stations, etc [1-6].

In low dielectric constant material systems, Y3Al5O12 garnet has attracted extensive 31 research due to its low ε_r and high $Q \times f$ value in 5G communication system^[7]. Figure 1 32 shows the Q×f values of the various types of garnet-type microwave dielectric ceramics, 33 including Vanadate Garnet, Aluminate Garnet, etc [8-24]. It is clear that the $Q \times f$ value of 34 aluminate garnet is much higher than that of others. Aluminate garnet has the formula of 35 Y₃Al₅O₁₂ (YAG), in which three Y³⁺ ions occupy A-site dodecahedral, two Al_(Oct)³⁺ ions oc-36 cupy B-site octahedral, and three $Al_{(Tet)^{3+}}$ ions occupy C-site tetrahedral. The Q×f of 37 Y₃Al₅O₁₂ microwave ceramics was initially reported to be as high as 440,000 GHz ^[25]. Later, 38 Jin et al.^[12] reported excellent microwave dielectric properties of $\varepsilon = 10.8$, $Q \times f = 213,400$ 39 GHz, τ_f = -30 ppm/°C for Y₃Al₅O₁₂ ceramic, which was pressed under 200MPa by cold iso-40 static pressing technology and sintered at 1750 °C for 5h in a vacuum environment. Zhou 41 et al.^[15] synthesized Y₃Al_{4.97}Mg_{0.03}O_{11.985} microwave ceramics by replacing Al_(Oct)³⁺ with 42 Mg²⁺, and sintering at 1700°C for 12h, which showed excellent microwave dielectric prop-43 erties: $\varepsilon_r = 10.9$, $Q \times f = 218,168$ GHz, $\tau_f = -30$ ppm/°C. And then, non-stoichiometric YAG 44 ceramics (Y_{3.03}Al₅O₁₂) were further synthesized at 1750 °C for 12, showing good microwave 45

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dielectric properties: $\varepsilon_r = 11.2$, $Q \times f = 236,936$ GHz, $\tau_f = -35.9$ ppm/°C ^[16]. However, the sin-46 tering temperature of YAG ceramics is too high (> 1700 °C), which does not conform to 47 the concept of low carbon and environmental protection. In order to solve the problems 48 of high sintering temperature and large τ_{t_i} a lot of research has been carried out. Zhang et 49 al.^[14] reported that the sintering temperature of YAG ceramics was reduced from 1700 °C 50 to 1360 °C by using LiF as additive, showing $Q \times f = 89,810$ GHz, $\varepsilon_r = 10.63$ and $\tau_f = -51.4$ 51 ppm/°C. Peng et al.^[26] reported a near-zero τ_f value (+7 ppm/°C) for Ca²⁺ and Ti⁴⁺ co-doped 52 Ca1.5Y1.5Al3.5Ti1.5O12 ceramics, with $\varepsilon_r = 32.6$ and $Q \times f = 45,200$ GHz. 53



Figure 1. Q×f values of typical garnet-type microwave dielectric ceramics.

Previous reports showed that MgO-SiO₂ liquid phase was formed in Y₃Al₅O₁₂ garnet ceramics with MgO and SiO₂ as sintering aids, which improved the densification rate of ceramics ^[27]. Compared with YAG ceramics, the Y₃MgAl₃SiO₁₂ ceramics had been formed by doping Mg²⁺ at B-site octahedrons and Si⁴⁺ at C-site tetrahedrons of YAG, which reduced the sintering temperature from 1670 °C to 1550 °C and exhibited good microwave dielectric performances of ε_r = 10.1, $Q \times f$ = 57,340 GHz and τ_f = -32 ppm/°C ^[13,28,29]. The τ_f of Y₃MgAl₃SiO₁₂ was further tuned to near-zero value (+5.2 ppm/°C) by forming composites with 0.2TiO₂ ^[30]. However, the modification of A-site dodecahedrons for garnet ceramics was the subject of very little research. Herein, we designed a scheme of A site ionic substitution for Y element at Y₃MgAl₃SiO₁₂ ceramics using a series of rare earth elements with different ionic radius (Yb, Y, Dy, Eu, Sm). The microwave dielectric properties of Y_{2.95}R_{0.05}MgAl₃SiO₁₂ (R=Yb, Y, Dy, Eu, Sm) ceramic were well discussed by crystal structure refinement, bond valence theory, P-V-L theory and infrared reflectance spectrum.

2. Experimental process

Y2.95R0.05MgAl3SiO12 (R=Yb, Y, Dy, Eu, Sm) ceramics were composited by raw materi-70 als of Yb2O3 (Shanghai Aladdin Reagent Co., Ltd., 99.99%), Y2O3 (Shanghai Aladdin Rea-71 gent Co., Ltd., 99.99%), Dy2O3 (Shanghai Aladdin Reagent Co., Ltd., 99.99%), Eu2O3 72 (Shanghai Aladdin Reagent Co., Ltd., 99.99%), Sm₂O₃ (Shanghai Aladdin Reagent Co., 73 Ltd., 99.99%), MgO (Shanghai Aladdin Reagent Co., Ltd., 99.99%), Al₂O₃ (Shanghai Alad-74 din Reagent Co., Ltd., 99.99%), and SiO₂ (Shanghai Aladdin Reagent Co., Ltd., 99.99%). 75 Raw materials were weighed according to the stoichiometric ratio and planetarily ball-76 milled for 12 h in solvent ethanol. The speed for milling was 240 r/min. The mixed slur-77 ries were dried at 80°C, and then the dried powders were calcined at 1400°C for 4 h. The 78 calcined powder was re-milled and mixed uniformly with 5wt% organic binders (polyvi-79 nyl alcohol). The granulated powder was sieved by a 60-mesh sieve and pressed into cy-80 lindrical green pellets with a diameter of 12 mm and a height of ~7 mm. The green pellets 81

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were first fired at 800 °C for 4 h to remove binder, and then sintered at 1500 °C -1650 °C for 6 h.

The crystal structure was identified by X-ray powder diffraction (XRD) (Shimadzu, 84 Kyoto, Japan) using Cu K α radiationat the range of 2 θ from 10° to 80° with a step size of 85 0.02°. The GSAS software was used to analyze the crystal structure parameters of X-ray 86 diffraction data ^[31,32]. The microstructure of the sintered samples were observed by the 87 field emission scanning electron microscope (Sigma 300, ZEISS). The Archimedes method 88 was used to determine the bulk density. The infrared reflectance spectra were recorded 89 using the Bruker IFS 66v beam line of the Hefei National Synchrotron Radiation Labora-90 tory. The surfaces of the samples for FIR measurements was polished. Microwave dielec-91 tric properties were measured in $TE_{01\delta}$ mode using the resonant cavity method. The 92 Keysight (N5234B) vector network analyzer was used for evaluating the $Q \times f$ values and 93 ε_r . The τ_f value was calculated by the following formual ^[33]: 94

$$\tau_f = \frac{f_2 - f_1}{f_1 \times (T_2 - T_1)} \times 10^6 (ppm/^{\circ}\text{C})$$
(1)

where f_1 and f_2 were the resonant frequency at 25 and 85 °C, respectively.

3. Results and discussion

The XRD patterns of Y2.95R0.05MgAl3SiO12 (R=Yb, Y, Dy, Eu, Sm) ceramics are dis-97 played in Figure 2. The diffraction peaks of all samples match well with the YAG structure 98 (PDF No.88-2047), indicating the formation of garnet solid solution. It can be clearly seen 99 that the diffraction peaks move to lower 2θ angle with the increase of R ionic radius (Yb³⁺ 100 ~ 0.985 Å, Y³⁺~ 1.019 Å, Dy³⁺~ 1.027 Å, Eu³⁺~ 1.066 Å, Sm³⁺~ 1.079 Å) from magnified spectra 101 in Figure 2(b). The XRD data of Y2.95R0.05MgAl3SiO12 ceramics are analyzed by Rietveld 102 method, which are shown in Figure S2(a-e). Table 1 lists the detailed refined parameters. 103 Lower Rietveld discrepancy factors (R_{wp} ~9%, R_{p} ~7%, χ^{2} ~4) are obtained, suggesting the 104 refinement results are reliable. The unit cell volume of Y2.95R0.05MgAl3SiO12 ceramics in-105 creases slightly with the increasing of R ionic radius, which is consistent with the diffrac-106 tion peaks shift toward lower 2θ direction. The crystal structure schematic of 107 Y2.95R0.05MgAl3SiO12 ceramics is given in Figure S1(f). 108



Figure 2. (a) XRD patterns of Y2.95R0.05MgAl3SiO12(R=Yb, Y, Dy, Eu, Sm) ceramic110samples; (b) magnified XRD spectra.111

 Table 1
 The crystallographic data from Rietveld refinement for Y2.95R0.05MgAl3SiO12
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ceramics. 113 R Yb Y Dy Eu Sm

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| Crystal sys- | cubic | | | | | |
|-------------------------------------|----------|----------|----------|----------|----------|--|
| tem | | | | | | |
| Space | Ia-3d | | | | | |
| group | | | | | | |
| Z | 8 | | | | | |
| a=b=c(Å) | 12.0482 | 12.0499 | 12.0529 | 12.0589 | 12.0668 | |
| $\alpha = \beta = \gamma(^{\circ})$ | 90 | | | | | |
| Vcell(Å ³) | 1749.121 | 1749.607 | 1750.103 | 1750.623 | 1751.009 | |
| Calc.density(g/cm ³) | 4.602 | 4.357 | 4.538 | 4.527 | 4.417 | |
| Rwp(%) | 9.17 | 10.5 | 9.8 | 10.1 | 9.8 | |
| R _p (%) | 6.34 | 7.38 | 8.37 | 8.87 | 6.5 | |
| χ^2 | 4.36 | 4.35 | 2.65 | 3.06 | 2.64 | |
| Y/R-O (Å) | 2.2932 | 2.3224 | 2.3002 | 2.3106 | 2.3329 | |
| | 2.4466 | 2.4770 | 2.4782 | 2.4796 | 2.4865 | |
| $(Al_{Oct)}/Mg)$ -O (Å) | 2.0038 | 1.9881 | 2.0062 | 1.9894 | 1.9649 | |
| (Al(Tet)/Si)-O (Å) | 1.7355 | 1.7257 | 1.7352 | 1.7387 | 1.7528 | |

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The SEM images of Y2.95R0.05MgAl3SiO12 (R=Yb, Y, Dy, Eu, Sm) ceramics sintered at 116 optimal sintering temperature (Yb-1600°C, Y-1600°C, Dy-1575°C, Eu-1600°C, Sm-1600°C) 117 are shown in Figure 3(a-e). All sintered ceramics are dense except for Sm-doped ceramics 118 which have obvious voids. The grain size distribution of each sample is shown in Figure 119 S2 (Supporting Information), and the average grain size is plotted in Figure 3(f). Among 120 the rare earth elements in this study, Y2.95Dy0.05MgAl3SiO12 ceramics have the largest aver-121 age grain size, indicating that Dy³⁺ doping is conducive to the densification and growth of 122 ceramics. 123

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Figure 3. SEM images of Y_{2.95}R_{0.05}MgAl₃SiO₁₂ ceramics: (a) Yb; (b) Y; (c) Dy; (d) Eu; (e) Sm; (f) the average grain size.

Figure 4 exhibits the microwave dielectric properties of Y2.95R0.05MgAl3SiO12 (R=Yb, Y, 127 Dy, Eu, Sm) ceramics sintered at the optimal temperature. ε_r shows a gradual increasing 128 trend except R=Dy, which has a lower ε_r value of 9.68. The $Q \times f$ value is in the range of 129 47,000 GHz ~ 70,000 GHz, which is consistent with the trend of relative density (ρ_r). τ_f is 130 between -38.7ppm/°C and -28.6ppm/°C. It is widely known that the microwave dielectric 131 properties are dependent on both extrinsic (second phase, density and grain size, etc.) and 132 intrinsic (lattice vibration) factors^[34]. The relative density of Y_{2.95}R_{0.05}MgAl₃SiO₁₂ ceramic 133 sintered at the optimal sintering temperature is high ($\rho_r > 94\%$), and no secondary phase 134 was detected. Therefore, the intrinsic factors, such as crystal structure and chemical bonds, 135 play a decisive role on the dielectric properties. Herein, the relationship between the mi-136 crowave dielectric properties and internal factors of Y2.95R0.05MgAl3SiO12 ceramics is dis-137 cussed by P-V-L theory. The detailed calculation methods are included in the Supporting 138 Information. 139



Figure 4. Microwave dielectric properties and ρ_r of Y_{2.95}R_{0.05}MgAl₃SiO₁₂ ceramics.

In general, the measured permittivity (ε_r) is related to the bond ionicity (f_i). The cal-142 culated results of fi are listed in Table S1 (Supporting Information). In addition, the theo-143 retical permittivity (Etheo) of Y2.95R0.05MgAl3SiO12 ceramics can be calculated by the Clausius-144Mosotti equations (2) and (3) [35-36]: 145

$$\varepsilon_{theo} = \frac{3}{1 - b\alpha/V_m} - 2 \tag{2}$$

$$V_m = \frac{V_{cell}}{Z} \tag{3}$$

Besides, the corrected dielectric constant (ε_c) by porosity (P) can be calculated by equations (5) and (6) [37]: 147

$$P = 1 - \rho_r \tag{4}$$

$$\varepsilon_{\rm c} = \varepsilon_{\rm r} (1 + 1.5 \mathrm{P}) \tag{5}$$

As shown in Figure 5(a), the ε_r is consistent with the changing trend of $\varepsilon_{\text{theo}}$, ε_c and 148the average bond ionicity (Δf_i). The average ionicity properties of Y-O, Al_(Oct)-O and Al_(Tet)-149 O bonds of Y2.95R0.05MgAl3SiO12 (R=Yb, Y, Dy, Eu, Sm) are given in Figure 5(b), severally. 150 The maximum value of fi is 94.91% for Y-O bond, indicating the Y-O bond plays a leading 151 role in affecting the *εr* value of Y2.95R0.05MgAl3SiO12 ceramics. 152

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Figure 5. (a) ε_r , $\varepsilon_{\text{theo}}$, ε_c and Δf_i of Y2.95R0.05MgAl₃SiO₁₂ ceramics; (b) The average f_i of three types of bonds.

Lattice vibration of microwave dielectric ceramics has great influence on dielectric 156 loss. The lattice energy of chemical bonds of microwave dielectric ceramics can effectively 157 evaluate the lattice vibration of ceramics [38]. Therefore, we can use average lattice energy 158 (U) value to predict the $Q \times f$ values and the calculation results of average lattice energy 159 (U) value are listed in Table S2. The average lattice energy (U), grain size and $Q \times f$ values 160 of Y2.95R0.05MgAl3SiO12 ceramics are shown in Figure 6(a). It can be seen that the average 161 lattice energy (U) is consistent with the trend of Q×f values of Y2.95R0.05MgAl3SiO12 ceram-162 ics, suggesting the average lattice energy (U) is an important factor affecting $Q \times f$ values of 163 Y2.95R0.05MgAl3SiO12 ceramics. Figure 6(b) shows the average U of Y-O bond, Al(oct)-O bond 164 and Al(Tet)-O bond in Y2.95R0.05MgAl3SiO12 ceramics (Al(Tet)-O (33533kJ/mol)> Y-165 O(22143kJ/mol) > Al_(Oct)-O (21989kJ/mol)), which indicates that the Al_(Tet)-O bond plays a 166 dominated role for the Q×f value. In addition, a larger average grain size shows fewer 167 grain boundaries, which means higher $Q \times f$ values ^[39]. 168

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Figure 6. (a) $Q \times f$, average lattice energy and average grain size of Y2.95R0.05MgAl3SiO12 ceramics; (b)170The average U value of three types of bonds.171

The temperature coefficient of resonance frequency (τ_f) is related to bond valence (V_{ij}) 172 and bond energy (E). Bond energy (E) can represent the strength of chemical bonds. It is 173 generally evaluated by the amount of energy required to break the chemical bonds, which 174 affects τ_f value. The smaller the bond valence, the smaller the bond energy required to 175 recover the oxygen polyhedral deformation, leading to the decrease of τ_f value. The V_{ij} 176 value of Y_{2.95}R_{0.05}MgAl₃SiO₁₂ ceramics is calculated by equations (7)-(8) ^[40,41]: 177

$$v_{ij} = exp\left\{\frac{R_{ij} - d_{ij}}{B}\right\} \tag{6}$$

$$\mathcal{V}_{ij} = \sum_{j}^{i} \mathcal{V}_{ij} \tag{7}$$

Where R_{ij} is the bond valence parameter, B is a constant (0.37 Å) and d_{ij} is the bond 178 length. The calculated results for bond energy and bond valence are listed in Table S3 and 179 Table S4 (Supporting Information). The average E, bond valence of Al_(Tet)-O and τ_f value 180 are shown in Figure 7(a). It is observed that the τ_f value of Y_{2.95}R_{0.05}MgAl₃SiO₁₂ ceramics 181 fluctuates from -28.6 to -38.7 ppm/°C, which is consistent with the changing trend of av-182 erage E and bond valence. Figure 6(b) shows the average E of Y-O, Al(Oct)-O and Al(Tet)-O 183 bond (Al(Tet)-O (307.28 kJ/mol) > Al(Oct)-O (224.10kJ/mol) > Y-O (218.47kJ/mol)), which indi-184 cates that the Al(Tet)-O bond plays a major role in the temperature stability of 185 Y2.95R0.05MgAl3SiO12 ceramics. 186



Figure 7. (a) The average E, bond valence of $V_{AI/Si-O}$ and τ_{f} value of $Y_{2.95}R_{0.05}MgAl_3SiO_{12}$ ceramic; (b)188Average E of three types of bonds.189

In order to further analyze the inherent microwave dielectric properties of 190 Y_{2.95}R_{0.05}MgAl₃SiO₁₂ ceramics, the data of infrared reflectance spectrum is analyzed based 191 on classical harmonic oscillator model: 192

$$R(\omega) = \left| \frac{\sqrt{\varepsilon^*(\omega)} - 1}{\sqrt{\varepsilon^*(\omega)} + 1} \right|^2$$
(8)

$$\varepsilon^*(\omega) = \varepsilon'(\omega) - i\varepsilon''(\omega) = \varepsilon_{\infty} + \sum_{j=1}^n \frac{s_j}{\omega_j^2 - \omega^2 + i\omega\gamma_j}$$
(9)

The relevant parameters in the formula are described in detail in the previous litera-193 ture [42,43]. The infrared reflectance spectrum can be well fitted with ten modes in Figure 194 8(a). Table S5 (Supporting Information) lists the relevant phonon parameters. For 195 $Y_{2.95}$ Dy_{0.05}MgAl₃SiO₁₂ ceramics, the theoretical ε_r (~8.55) at 10.86 GHz in Figure 8(b-c), less 196 than the measured value (~9.68). The calculated $Q \times f$ value is 89,752 GHz (f = 10.86 GHz, Q 197 = $1/\tan \delta$ and $\tan \delta = 1.21 \times 10^{-4}$), which is greater than the measured value of 68,868 GHz. 198 Differences between measurement values and the fitted infrared values are because of the 199 extrinsic loss affected by all kinds of defects [44]. 200



Figure 8. (a) Fitted and experimental infrared reflection spectrum of Y_{2.95}Dy_{0.05}MgAl₃SiO₁₂ ceramic and (b-c) fitted complex dielectric spectrum in the microwave region.

4. Conclusions

In this paper, the single-phase ceramics of Y2.95R0.05MgAl3SiO12 (R=Yb, Y, Dy, Eu, Sm) 205 were successfully prepared using conventional ceramic technology. The relationship be-206 tween the crystal structure, microstructure and microwave dielectric properties of 207 Y2.95R0.05MgAl3SiO12 (R=Yb, Y, Dy, Eu, Sm) ceramic was analyzed by crystal structure re-208 finement, scanning electron microscope (SEM), bond valence theory, P-V-L theory and 209 infrared reflectance spectrum. The ε_r of Y2.95R0.05MgAl₃SiO₁₂ ceramics was mainly affected 210 by the f_i of the Y-O bond. The τ_f value was mainly affected by the average E and bond 211 valence of Al(Tet)-O. In addition, infrared reflectance spectrum demonstrated that the cal-212 culated Q×f value was greater than the measured value, indicating the effect of extrinsic 213 factors on the Q×f value. In particular, the microwave dielectric properties were obtained 214 for Y_{2.95}Dy_{0.05}MgAl₃SiO₁₂ sintered at 1575 °C for 6 h, with ε_r = 9.68, Q×f = 68,866 GHz, and 215 τ_f = -35.8 ppm/°C. The results show that Y2.95Dy0.05MgAl₃SiO₁₂ garnet ceramics have poten-216 tial in 5G communication frequency band, such as dielectric substrate, microstrip patch 217 antenna, etc. 218

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