1	Removal of antibiotic resistant bacteria by electrolysis
2	with diamond anodes: a pretreatment or a tertiary
3	treatment?
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13	Abstract
14	In the present work, the influence of the water matrix on the removal of antibiotic resistant
15	bacteria during the electro-disinfection with diamond anodes was studied, paying special
16	attention to the disinfection efficiency and the prevention of the formation of hazardous
17	disinfection by-products. This will allow to evaluate if electrolysis is more suitable as
18	pretreatment of the main pollution source or as tertiary treatment of urban wastewater. To
19	do this, electrolysis of synthetic wastewater rich in ammonium (simulating the effluent of
20	an oxidation pond) and hospital urine intensified with three different bacteria (E. faecalis,
21	K. pneumoniae, and E. coli) were carried out. Results show that the disinfection efficiency
22	is higher in the synthetic wastewater for all the bacteria tested, but chlorate is formed as

disinfection by-product. Electrogenerated hypochlorite and chloramines are the main responsible species for bacteria depletion. Presence of organics (urea, creatinine and uric acid) as additional ammonia precursors in hospital urine leads to the well-known breakpoint reaction with electrogenerated active chlorine, yielding an increasing concentration of chloramines. This helps to prevent the formation of chlorate in hospital urine because hypochlorite is mainly wasted in the oxidation of organics and the formation of chloramines. These results are of a great significance because they indicate that antibiotic resistant bacteria can be efficiently removed in complex matrixes without the formation of hazardous chlorine by-products if it is carried out as a pretreatment before discharge to WWTP. Keywords: disinfection; antibiotic resistant bacteria; hospital effluents; electrochemical oxidation, diamond

# 44 Highlights

45	-	Electro-disinfection with diamond anodes is suitable to destroy antibiotic resistant
46		bacteria.
47	-	Effluents polluted with $E$ . faecalis are easier to disinfect than polluted with $K$ .
48		pneumoniae or E. coli.
49	-	Higher disinfection efficiencies in urban treated wastewater, but large volume to
50		treat.
51	-	Occurrence of chlorate is prevented during the electrodisinfection of hospital
52		urine.
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#### 64 1. Introduction

65 Nowadays, the occurrence of antibiotic resistant bacteria (ARB) has become a global 66 concern since they cause several thousands of deaths around the world every year [1-3]. 67 Electrolysis with diamond anodes could be considered as an appropriate technology for 68 the removal of ARB due to its efficiency in the disinfection of urban treated and surface 69 water [4-6]. This process is based on the in-situ production of disinfectants from the 70 oxidation of the ions naturally contained in the effluent. The main advantages of 71 electrolysis include that the addition of chemicals is not required and the operating 72 conditions for disinfection are usually soft [7]. These good prospects have encouraged 73 some researchers to propose the use of diamond electrolysis as tertiary treatment after 74 conventional wastewater treatment plants (WWTPs) [8]. Nonetheless, electrochemical 75 processes are generally limited by mass transfer [9, 10] and by the formation of hazardous 76 by-products [11]. These drawbacks have limited the use of this technology and have 77 encouraged the search of different strategies to solve these problems [12, 13].

78 Regarding the environmental problem related to the presence of ARB in natural water, it 79 should be pointed out that the critical source of these ARB is found in hospital facilities 80 because many of these bacteria are excreted by urine of immunocompromised patients in 81 whom their immune system is unable to control the infection [14]. Hospital urines are 82 merged with wastewater from other services (laundry, kitchen...) and, finally, it is 83 discharged to the sewerage system [15] allowing the spread of these hazardous bacteria 84 into the environment [16, 17]. This has promoted the searching for technological solutions 85 to decrease the impact of sanitary effluents into the environment, grouped in two main 86 strategies: 1) boosting efficient treatments at WWTPs by the implementation of additional 87 treatments, 2) pre-treatment before their discharge into WWTPs, that is in the pollution 88 source. The second option is emerging as the key alternative, as it addresses the problem in more concentrated effluents and the volume of wastewater treated is further much
lower than in the other case. Additionally, the treatment of urine at the point of generation
could reduce the ecotoxicity risks of hospital effluents.

92 In this context, the goal of the present work is to evaluate the effectiveness of electrolysis 93 with diamond anodes for the removal of ARB in two different scenarios: synthetic urban 94 wastewater rich in ammonium (similar to that obtained in stabilization ponds, where 95 additional disinfection is taking place by sunlight) and hospital urines. The formulation 96 of the effluent wastewater was selected because oxidation ponds are the most similar 97 processes, capable to explain the natural treatment of wastewater. To do this, both 98 matrixes have been polluted with three different ARB, which have been selected as target 99 bacteria: two bacteria commonly found in hospital urines, Klebsiella pneumoniae (as 100 gram-negative ARB) and Enterococcus faecalis (as gram-positive ARB), and a third 101 bacteria commonly found in urban treated wastewater and that develops antibiotic 102 resistance, *Escherichia coli*. The disinfection tests will shed light on the role of complex 103 matrices in the disinfection processes and, therefore, will allow to establish the best 104 strategy to remove target bacteria and to avoid the formation of undesirable disinfection-105 by-products.

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#### 107 **2. Material and methods**

#### 108 2.1. Microorganisms and chemicals

Bacteria strains (pure culture) used during electrodisinfection were *E. coli* ATCC 25922, *K. pneumoniae* ATCC 4352 and *E. faecalis* ATCC 19433 (CECT, Spain). Synthetic urine
and wastewater were prepared according to the formulation shown in Table 1. In this
work, the formulation used for synthetic wastewater simulates the characteristics of the

113 effluent of an oxidation pond, natural systems that simulate what nature does in natural 114 environments by itself and where the disinfection by sunlight occurs. Sodium carbonate 115 and acetone were used for the determination of anions by ion chromatography. All 116 chemicals were analytical grade and used as received (Sigma Aldrich, Spain). Calcium 117 chloride dihydrate (BiMedia 001B) was used for the determination of K. pneumoniae and 118 E. faecalis and, sodium dodecyl sulfate (BiMedia 155A) was used for the determination 119 of E. coli (SY-LAB). All solutions were prepared with double deionized water (Millipore 120 Milli-Q).

121 **2.2. Experimental procedure** 

Electrochemical disinfection experiments were carried out under batch-operation mode. Boron doped diamond and Stainless Steel with a circular geometric area of 78 cm<sup>2</sup> were used as anode and cathode, respectively. The interelectrode gap was 9 mm. More details about the electrochemical cell have been reported elsewhere [18]. All experiments were carried out under galvanostatic conditions and the current density applied was 10 A m<sup>-2</sup>. This low value has been selected considering other results reported in the literature related to the applicability of electrodisinfection process to urine and wastewater [18].

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 Table 1. Target effluents composition.

Species	Urban treated wastewater*	Hospital urine
$Cl^{-}$ (mg dm <sup>-3</sup> )	148.22	475.52
$NO_{3}^{-}$ (mg dm <sup>-3</sup> )	10.94	-
$PO_4^{3-}$ (mg dm <sup>-3</sup> )	5.79	59.94
$SO_4^{2-}$ (mg dm <sup>-3</sup> )	299.37	135.58
$CO_3^{2-}$ (mg dm <sup>-3</sup> )	90.58	94.35
$Na^+$ (mg dm <sup>-3</sup> )	97.39	72.34
$K^{+}$ (mg dm <sup>-3</sup> )	31.47	524.45

$NH_4^+ (mg \ dm^{-3})$	40.87	22.72
$\operatorname{Ca}^{2+}(\operatorname{mg} \operatorname{dm}^{-3})$	79.48	10.99
$Mg^{2+}$ (mg dm <sup>-3</sup> )	30.63	34.33
Humic acids (mg dm <sup>-3</sup> )	20.00	-
CH <sub>4</sub> N <sub>2</sub> O (mg dm <sup>-3</sup> )	-	3333.33
C <sub>4</sub> H <sub>7</sub> N <sub>3</sub> O (mg dm <sup>-3</sup> )	-	166.67
C <sub>5</sub> H <sub>4</sub> N <sub>4</sub> O <sub>3</sub> (mg dm <sup>-3</sup> )	-	50.00

\*Effluent of an oxidation pond

#### 131 **2.5. Analytical methods**

Bacteria counts were performed by an indirect impedance method using a  $\mu$ -Trac<sup>®</sup> 4200 system. This technology uses a standard impedance signal that is related to the concentration of bacteria in CFU mL<sup>-1</sup> through an initial correlation between  $\mu$ -Trac<sup>®</sup> and plate counts. The measurement of the concentration of free and combined chlorine species has been reported elsewhere [19].

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## 138 **3. Results and discussion**

Figure 1a shows the decay in the population of three different ARB with the applied electric charge during the electrochemical disinfection with diamond anodes of synthetic urban treated wastewater and hospital urine. All electrolysis tests were carried out at 10 A m<sup>-2</sup>. The initial bacteria population used was similar in both effluents to evaluate the process efficiency in similar conditions, although a lower population may be expected in a real urban treated wastewater [20].





**Figure 1.** (a) Influence of water matrix of the removal of antibiotic resistant bacteria by electrolysis with diamond anodes. (**•**) *E. coli*; (**•**) *K. pneumoniae*; (**•**) *E. faecalis*; white symbols: urban wastewater; black symbols: hospital urine; j: 10 A m<sup>-2</sup>. (b) Kinetic constants calculated for the removal of ARB by electrolysis with diamond anodes. j: 10

151 A m<sup>-2</sup>; (**•**) *E. coli*; (**•**) *K. pneumoniae*; (**•**) *E. faecalis*; (**□**) urea; ( $\Delta$ ) creatinine; ( $\circ$ ) uric 152 acid.

153 As can be observed, the disinfection efficiency depends on the nature of the ARB and on 154 the complexity of the aqueous matrix. The population of all microorganisms decreases 155 with the applied electric charge and the complete disinfection is reached at values below 156 0.15 Ah dm<sup>-3</sup>. This is due to the action of disinfectant species generated during 157 electrolysis. The occurrence of these species is detailed later. Comparing results of the 158 three ARB tested, E. faecalis shows a higher depletion efficiency followed by K. 159 pneumoniae and, finally, E. coli. This difference can be related to the cell morphology of 160 each bacteria since E. faecalis is a gram-positive bacterium whereas K. pneumoniae and 161 E. coli are gram-negative bacteria. Specifically, gram-positive bacteria present a 162 cytoplasmic membrane and a cell wall in its structure, while gram-negative bacteria are 163 constituted by a cytoplasmic membrane, the cell wall and an additional external 164 membrane [21]. This supplementary membrane in gram-negative bacteria could be the 165 responsible for the higher resistance against the disinfection process.

166 The differences observed also depend on the water matrix. Thus, the disinfection 167 efficiency is higher when working with urban treated wastewater since the complete removal of microorganisms is attained at electric charges below 0.05 Ah dm<sup>-3</sup>, regardless 168 169 the bacteria tested. This marked influence of water matrix on the electrochemical 170 disinfection can be related to a competitive oxidation of other compounds during the 171 treatment. In this context, hospital urine also contains organic compounds in high 172 concentration that consume electrons and oxidants for being oxidized: urea, creatinine, 173 and uric acid [18]. On the contrary, urban treated wastewater may contain humic acids as 174 organic load but in low concentration in comparison with the other organics from urine. 175 Therefore, the oxidation of this species is negligible during the electrochemical disinfection, but this is relevant in the case of hospital urine [11]. Hence, the lower
disinfection efficiency during the treatment of hospital urine may be related to the
simultaneous oxidation of microorganisms and organics.

179 Experimental data were fitted to a first order kinetics model and the resulting constants 180 are shown in Figure 1b. For comparison purposes, kinetic constants from the degradation 181 of organics contained in hospital urine have been also plotted. As can be observed, the 182 disinfection rate of urban treated wastewater is higher than that of hospital urine, being the kinetic constants within the range 0.81-1.02 and 0.13-0.18 min<sup>-1</sup> for both media, 183 184 respectively. The ratio  $k_{\text{wastewater}}/k_{\text{urine}}$  (which compares disinfection in wastewater and 185 urine media) confirms that the disinfection rate of E. faecalis, K. pneumoniae and E. coli 186 in urban treated wastewater is 7.02, 6.27 and 5.43 times faster than in urine, respectively. 187 Additionally, the resulting kinetic values for urea, creatinine and uric acid are some order 188 of magnitude lower ( $k < 0.003 \text{ min}^{-1}$ ), but, despite this, it confirms the existence of 189 competitive oxidation between bacteria and organics contained in hospital urine and 190 reveals the importance of the water matrix on the disinfection process.

191 In this point, it is important to remark that the electrochemical disinfection mainly takes 192 place by the action of disinfectant species electrochemically generated during the process 193 [7]. Therefore, the type and concentration of inorganic species present in aqueous matrix 194 that are susceptible to form strong disinfectants will mark the efficiency of the process. 195 From the disinfection viewpoint, chloride is the ion with the most interest because its 196 oxidation leads to hypochlorite, which shows a high disinfection capacity. Additionally, 197 it can react with ammonium, typically contained in both effluents, to form chloramines 198 which are also disinfectants. On the other hand, hypochlorite can also react with organics present in the reaction media (chlorination reaction) or it can be further oxidized to other 199 200 undesirable chlorine compounds in high oxidation state (chlorate and perchlorate) [22], whose presence in treated water is not desirable. For this reason, the evolution of chlorine
species was monitored during the process and results are plotted in Figure 2.



Figure 2. Influence of water matrix on chlorine speciation during the removal of antibiotic resistant bacteria by electrolysis with diamond anodes in (a) urban treated

206 wastewater and (b) hospital urine. ( $\blacksquare$ ) Hypochlorite; ( $\blacktriangle$ ) chlorate; ( $\bigcirc$ ) perchlorate; ( $\Box$ ) 207 chloramines. j: 10 A m<sup>-2</sup>.

208 As can be observed, the concentration of chlorine species increases with the applied 209 electric charge, although the trend and the maximum concentration depend on the water 210 matrix composition. In the case of urban treated wastewater (Figure 2a), the concentration 211 of hypochlorite is not relevant (lower than 0.02 mmol dm<sup>-3</sup>) in comparison with 212 chloramine and chlorate ones. This indicates that once hypochlorite is generated by the 213 oxidation of chlorides, it rapidly reacts. According to disinfection results shown in Figure 214 1 and to chemical disinfection tests carried out (data not shown), hypochlorite reacts 215 rapidly with bacteria (kinetic constants ranging from 0.81 to 1.02 min<sup>-1</sup>). Then, once 216 bacteria have decreased the hypochlorite electrogenerated continuous to react with 217 ammonium contained in the treated wastewater, and chloramines are accumulated in the 218 reaction media. The trend observed reveals that the generation rate of these species 219 decreases at higher electric charges which may be related to a limited concentration of 220 ammonium in wastewater or to the promotion of hypochlorite to chlorate (competitive 221 reaction) whose generation rate increases at the end of the treatment. Chlorate is a 222 hazardous species that should be avoided.

223 On the other hand, in urine media the trend of chlorine species is different, and this could 224 be related to its higher complexity (see Table 1). As observed in Figure 2b, in the first stages of the process (electric charges below 0.05 Ah dm<sup>-3</sup>), the accumulation of chloro-225 species is not favored, and only chloramines start to be detected in the solution (but in 226 227 very low concentration). The formation of chloramines only can come from the 228 breakpoint reaction which requires the presence of hypochlorite. Then, it confirms that 229 hypochlorite is formed, but its rate of disappearance is greater than its rate of generation. 230 Chloramines profile suggests that its concentration could be increased by the continuous

231 nitrogen release from the oxidation of organics. Unlike urban treated wastewater, the 232 concentration of organics naturally contained in urine is not negligible and they can 233 consume part of the hypochlorite generated. This, together with the hypochlorite used in 234 the disinfection, may justify the delay in hypochlorite accumulation observed in urine. 235 Additionally, the higher initial concentration of chlorides in urine (475.52 vs. 148.22 mg 236 dm<sup>-3</sup>) may explain the higher concentration of hypochlorite accumulated at the end of the 237 process. Furthermore, it is important to highlight the null concentration of chlorate 238 registered in hospital urine. This may indicate that while there are other species in the 239 reaction media that may be attacked (such as uric acid, urea or creatinine), the 240 hypochlorite is not available to be oxidized to chlorate. These results are quite relevant 241 and point out that the formation of hazardous chlorine by-products can be avoided if the 242 removal of ARB by electrodisinfection with diamond anodes is directly carried out in 243 hospital urine since the formation of hypochlorite and chloramines is mainly promoted as 244 chlorine species. In addition, from a technical and economic point of view, another 245 advantage of disinfecting hospital urine is its low generation volume compared to that of 246 treated urban wastewater, since hospital urine represents around 2-3% of the total volume 247 of hospital effluents [23] and these, in turn, represent around 20% of the volume of urban 248 wastewater [24]. Therefore, although the electric charge necessary for the complete 249 elimination of ARBs is around 6 times higher in urine, the volume to be treated is 5 log 250 units lower, so that both the investment and operation costs would be much lower. This 251 makes direct electrodisinfection of hospital urine an excellent option from a techno-252 economic point of view to decrease the presence of ARB in the aquatic environment.

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#### **4. Conclusions**

- 255 The following conclusions can be drawn from this work:
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256 ARB removal efficiency is lower in hospital urine because of the existence of 257 other competitive oxidation reactions during electrolysis. 258 Gram-positive bacteria are easier to deplete than gram-negative bacteria. • 259 The occurrence of hazardous disinfection by-product is avoided when the removal • 260 of ARB is carried out in hospital urine. The production of hypochlorite and the 261 subsequent formation of inorganic chloramines are favored over production of 262 chlorates in this complex matrix.

263

# 264 Acknowledgments

265 Financial support from Junta de Comunidades de Castilla-La Mancha (JCCM), European 266 Union (European Regional Development Fund) and Ministry of Science and Innovation 267 through the projects SBPLY/17/180501/000396, PID2019-110904RB-I00 and the grant 268 SBPLY/18/180501/000009 (Miguel Herraiz-Carboné) is gratefully acknowledged. The 269 Spanish Ministry of Economy, Industry and Competitiveness and European Union is also 270 gratefully acknowledged through the project EQC2018-004469-P. Dr. Salvador Cotillas 271 wishes to express his gratitude to the Spanish Ministry of Science, Innovation and 272 Universities for the "Juan de la Cierva-Incorporación" post-doctoral grant (IJC2018-273 036241-I).

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