## **1** Carbon dioxide sorption and melting behaviour

# <sup>2</sup> of mPEG-alkyne.

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### 10 Abstract

The understanding of the phase behaviour of the mixture mPEG-alkyne and supercritical CO<sub>2</sub> 11 (scCO<sub>2</sub>) and the study of the variation of the polymer melting point are essential prerequisites 12 in order to determine appropriate operating conditions and develop high pressure processes 13 that allow the obtention of a conjugate mPEG-alkyne with a drug or a protein. In this work, 14 15 it was observed a progressive decrease of the melting point temperature of mPEG-alkyne induced by the adsorption of CO<sub>2</sub>. The obtained melting temperatures were correlated using 16 a modification of the Clausius Clapeyron equation. The equilibrium sorption of CO2 into 17 mPEG-alkyne was determined with a variable-volume view cell employing a static method. 18 19 These experiments were carried out in the temperature range 308 and 318 K, and at 8-17 20 MPa of pressure. The predictability of the models of Henry's Law, Dual-Mode, Sanchez Lacombe Equation of State and Heuristic Model was evaluated. 21

## 22 Keywords

23 Supercritical CO<sub>2</sub>, mPEG-alkyne, melting point, sorption.

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#### 29 1. Introduction

Polyethylene glycol (PEG) is a polyether composed of repeated ethylene glycol units [-30 (CH<sub>2</sub>CH<sub>2</sub>O)<sub>n</sub>]. PEGs have an indispensable role as packaging in drug delivery systems (DDS) 31 32 in pharmaceuticals, because of its high structure flexibility, biocompatibility, amphiphilicity, hydration capacity and devoid of any steric hindrances [1]. It is the most commonly used 33 34 protective coating material for drug delivery liposomes, nanoparticles, and has also provided the same protection as a covalently bound conjugate to proteins and other drug molecules 35 [2]. It can also be presented in different states, as PEG samples with low relative molecular 36 weight (MW: 100-700) are liquids at room temperature, while at relative molecular weight 37 between 1000 and 2000 are soft solids, and PEGs with MW >2000 are hard crystalline solids 38 with melting points of around 63 °C. PEG also exhibits a low glass transition temperature 39 (from (-70 to -10) °C depending on its molecular weight), which imparts a rubbery 40 characteristic to the material, what results in high permeability [3]. Covalent conjugates of 41 PEG and drugs or proteins have already been commercialized successfully [4,5]. Due to the 42 presence of only two functional groups in PEG, what limits the scope for further 43 44 derivatization with targeting ligands, commercial methoxy-poly(ethyleneglycol) alkyne (mPEG-alkyne) was used in this study [3], [6]. One of the approaches widely used recently 45 in the conjugation of drug-polymer involves "click chemistry". Click chemistry promotes the 46 use of organic reactions that allow the connection of two molecular building blocks in a 47 facile, selective, high-yield reaction under mild conditions with few or no by-products. One 48 49 of the most interesting click reactions is the Copper(I)-catalyzed alkyne-azide cycloaddition 50 (CuAAC), which implies the reaction between azide and terminal alkynes. Click chemistry is usually carried out using organic solvents such as THF or DMF [7–9]. In order to avoid 51

52 the use of organic solvents that involves the presence of harmful residues in pharmaceutical 53 presentatiosn following the statements of green chemistry, extensive effort has been focused 54 on the use of cleaner methods for the processing of polymers [10].

55 One of such methods is the use of supercritical CO<sub>2</sub> as reaction media and/or processing 56 solvent. In the last years supercritical carbon dioxide (scCO<sub>2</sub>) has been widely used in 57 polymer processing technologies such as polymerization, polymer functionalization, 58 foaming, impregnation and encapsulation. In addition, the use of scCO<sub>2</sub> has attracted interest 59 in the production of biodegradable/biocompatible polymers for pharmaceutical and medical 60 applications [11–13].

Some polymers can absorb significant amount of CO<sub>2</sub> as a result of the ability of this gas to 61 weakly interact with basic sites along the macromolecular chains [14,15]. The CO<sub>2</sub> is diffused 62 between the polymer chains and its sorption increases the free volume and mobility of the 63 64 polymer segment. The  $CO_2$  acts as lubricant making easier the friction between the polymer chains and softening of the polymer, allowing polymers to become viscous liquids without 65 the need of organic solvents and elevated temperatures [16]. In the case of semi-crystalline 66 polymers, the plasticization or swelling effects are even stronger since the dissolution of CO<sub>2</sub> 67 increases the mobility of the chain. This mobility is owed to the plasticizing effect of CO<sub>2</sub>, 68 which induces recrystallization with altered kinetics and/or rearrangement of crystalline 69 morphologies [17–20]. Sorption of supercritical fluid in a polymer can lower its melting 70 71 temperature (T<sub>m</sub>), significantly below that seen at atmospheric pressure. For a given polymer, the melting temperature depression is found to increase as the amount of scCO<sub>2</sub> sorbed 72 increases. Therefore, it is very important to understand the influence of CO<sub>2</sub> at different 73 pressure on the melting point of the mPEG-alkyne and the phase equilibrium data of the 74

system [21–23]. Regarding this topic, a review has been published by Knez et al. [20] in which two methods to determined  $T_m$  of PEG are described and compared. These methods are a capillary method in a high pressure optical cell and High-Pressure Differential Scanning Calorimetry (HP DSC) [20]. HP DSC is a powerful tool which allows to study in-situ the thermal transition in presence of CO<sub>2</sub> or other gases at high pressure. It gives the opportunity to understand the interaction of polymer and gas molecule in situ and its most important advantage is that the systematic error done by researcher could be minimized.

In this respect, some authors investigated PEG/CO<sub>2</sub> system under different temperatures and pressures, which offer useful information with the purpose of determine requisite processing conditions. Nowadays, various methods for the solubility measurements at elevated temperatures and pressures have been published to measure the sorption of CO<sub>2</sub> into PEG [24]. The best known methods are gravimetric [25,26] chromatographic [27], spectroscopy [28] and the phase separation method [17,29–32], which is the most commonly used.

The combination of polymer and scCO<sub>2</sub> has made it possible to obtain successful drug 88 polymeric carriers in several presentations, scaffolds, microparticles, microcapsules, etc. In 89 order to design an empirical method to develop chemical reactions or processing operations 90 with  $scCO_2$ , it is essential to study the phase behaviour between  $scCO_2$  and the main 91 substances playing a role in the desired process. To perform the click chemistry 92 functionalization of PEG based polymer with a molecule of interest, it is necessary to have a 93 PEG in its alkinated or azidated form. This change of its molecular structure will also involve 94 95 small or large variations in its interaction with the scCO<sub>2</sub>. To the best of our knowledge the equilibria between mPEG-alkyne and scCO2 has not been reported yet in literature. 96

In the present work, melting temperature and experimental sorption of scCO<sub>2</sub> into a mPEG-97 alkyne and a modelling study will be reported. The study of these properties was carried out 98 for a mPEG 2000 g·mol<sup>-1</sup> because it is widely used as a gold standard in bioconjugation and 99 100 nanomedicine to prolong blood circulation time and improve drug efficacy [33-35]. The sorption has been determined with a gravimetric method using an external balance and we 101 compare the obtained experimental results with different studies. Thermodynamics 102 103 modelling is a common approach to the study of the phase equilibrium. Several methods to 104 modelling scCO<sub>2</sub>-polymer systems are available in literature, and in this work, Sanchez-105 Lacombe equation of state (SL EOS) and the heuristic model were selected in order to correlate the phase equilibrium of the working system due to their greater mathematical 106 simplicity and proper fit [36–38]. 107

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## 109 2. Experimental

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#### 111 2.1. Materials

112 Methoxy polyethylene glycol alkyne (mPEG-alkyne) 2000  $g \cdot mol^{-1}$  was obtained from 113 creative PEGWorks (North Carolina) and was used without further purification. Carbon 114 dioxide (CO<sub>2</sub>) with a purity of 99.8% was obtained from Carburos Metálicos S.A. (Spain) 115 without further purification.

### 117 2.2. Equipment and methods

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## 119 2.2.1. High Pressure Differential Scanning Calorimetry (HP DSC)

The sorption of CO<sub>2</sub> induced depression of the melting temperature of mPEG-alkyne. This
depression was determined by using High Pressure Differential Scanning Calorimetry (HP
DSC) as a function of temperature and/or pressure. A schematic diagram of the experimental
setup is shown in Figure 1.



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The experiments were performed in a SENSYS evo DSC (Setaram, Madrid) equipped with two high pressure Inconel crucibles enabling measurements up to 40 MPa. The samples of mPEG-alkyne are placed in the crucibles, weighted and filled with CO<sub>2</sub>. CO<sub>2</sub> is cooled and pressurized to the desired value by means of a positive-displacement pump and a syringe pump, which controls the amount of gas fed.

131 The samples are annealed at the desired pressure for 300 minutes to ensure total CO<sub>2</sub> sorption.

132 For determination of melting temperature  $T_m$  the samples were heated at a rate of 10 K/min

from 0 to 150 °C, cooled at the same rate to 0°C and reheated to 150°C. The samples were kept at 0 and 150 °C for 10 minutes. The melting temperature of the polymer has been determined from the thermogram obtained in the second heating, since the first heating is used to thermally erase the polymer.

After 300 min the samples showed a stable composition, indicating phase equilibrium. When
the equilibrium is reached (at least 300 min) the crucible is vented quickly, and the volume
of CO<sub>2</sub> is measured through a turbine flowmeter.

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#### 141 • Clapeyron Equation

The Clausius-Clapeyron equation can be used to explain the relationship between equilibrium transition temperature and pressure during the phase transition of any subtance. Based on the fact that CO<sub>2</sub> exhibits a poor solvent capacity for most polymers, the polymer fraction in vapor phase is usually negligibly small, and the polymer phase may also be reasonably considered as pure polymer. With these assumptions, the Clapeyron equation could be sum as:

$$\frac{dT_m}{dP} = T \frac{\Delta V_m}{\Delta H_m}$$

$$= Tm \frac{\left[V^L - (1 - x_{CO_2})V_u^S\right] - x_{CO_2}V_{CO_2}^V}{(1 - x_{CO_2})\overline{H}_u^L + x_{CO_2}\overline{H}_{CO_2}^L - (1 - x_{CO_2})\overline{H}_u^S - x_{CO_2}\overline{H}_{CO_2}^V}$$
(1)

Where V means the molar volume and H molar enthalpy in solid, liquid and vapor phase. A method based on the Clapeyron equation for two components, for predicting the depression of melting temperature for semicrystalline polymer in the presence of CO<sub>2</sub> has been developed for numerous authors in literature[18,21]. Equation (1) could be simplified 152 correctly when the compressed CO<sub>2</sub> and the polymer are immiscible. The simplification of 153 the Clapeyron equation citied by Zhuoyang Lian et al. [18] are shown in Table 1. The value 154 of  $\Delta H_u^{\text{fusion}}$  for the monomeric unit of polyethylene glycol is available in literature, as well 155 as Henry's constant [21].

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## Table 1.

Modification of Clausius Clapeyron Equation (1) to determine T <sub>m</sub> at low pressures [18]				
Vo	olume contributions (numerator)	Enthalpy contributions (denominator)		
		Denominator was assumptions the enthalpy, with		
		addition and rest of term; $(1 - x_{CO_2})\overline{H}_u^*$ , where		
At lo	w pressure the molar volumes of the	$\overline{H}_{u}^{*}$ is the partial molar enthalpy per polymer unit		
condensed phases are smaller than the vapor		for a pure polymer melt at the same conditions		
phases.		(P,T) as the three-phase equilibrium- Thus,		
		denominator can be expressed in terms of molar		
		heat of fusion per unit of polymer as;		
	$\begin{bmatrix} U^L & (1 & x & ) U^S \end{bmatrix} > 0$	$(1 - x_{CO_2})[\overline{H}_u^L - \overline{H}_u^*] + x_{CO_2}[\overline{H}_{CO_2}^L - \overline{H}_{CO_2}^V]$		
	$[v^2 - (1 - x_{CO_2})v_u^2] \gg 0$	$+(1-x_{CO_2})\Delta H_u^{fusion}$		
		Commonly, $\Delta H_u^{fusion} \gg (1 - x_{CO_2})[\overline{H}_u^L - \overline{H}_u^*] +$		
$x_{CO_2} V_{CO_2} \ll 0$		$x_{CO_2} \big[ \overline{H}_{CO_2}^L - \overline{H}_{CO_2}^V \big]$		
8	It was determined with the Henry's	 x1		
<sup>х</sup> СО <sub>2</sub>	Equation.; $x_{CO_2} = k_H P$	$\lambda_{CO_2} \propto 1$		



#### 158 2.2.2. Sorption of CO<sub>2</sub> in mPEG-alkyne.

159 For determining the sorption of CO<sub>2</sub> in mPEG-alkyne, high-pressure variable volume cell160 was used, Figure 2.



161 162

#### Figure 2.

163 Cell model was ProVis 500 from Eurotechnica, and the equipment consists of a variable-164 volumen cell, supplied with a front and upper sapphire windows and light for visual 165 observation of phase separation. The cell has a capacity of 50 cm<sup>3</sup> and contains a piston 166 system consisting in a manual pressure generator, a cylinder and a movable piston to avoid 167 pressure drops only when the samples are taken. The cell was filled with 70 mg of mPEG- alkyne and heated to the desired temperature. The volume of cell was determined with the  $\rho_{CO_2}$  (CO<sub>2</sub> density) and amount of CO<sub>2</sub> inside of cell. A detail description of the devise can be found in the literature [39].

In this study, the sorption measurement was carried out with ex situ gravimetric method, in which the sample is saturated of  $CO_2$  until the equilibrium condition. The time for reaching phase equilibrium was determined by several preliminary experiments, in which samples were taken after 30, 120, 300, 600, 1440 min, as indicated in the supplementary data (Figure S1). After allowing the polymer to saturate with  $CO_2$  the cell was depressurized. The sample was immediately removed from the cell and the weight drop was followed using an analytical balance with accuracy  $\pm 0.0001$  g.

The weight gain of the mPEG-alkyne due to sorption of  $CO_2$ , was obtained after 300 minutes and was determined with three mathematical methods of extrapolations: Euler-Romber method, trapezoidal rule and midpoint rule [40]. The equilibrium solubility was calculated as mass of  $CO_2$  absorbed per gram of mPEG-alkyne, as indicated the equation (3).

From the equation (4) and knowing  $w_o$ , weight of polymer before pressurization process, the weight of mPEG-alkyne was determined. The CO<sub>2</sub> mass fraction was determined with equation (5) and  $\rho_{CO_2}$  was determined with the Equation of Bender[41], with SL EOS and with the NIST database (Figure S2). These data are checked by volumetric measurements, which consist on the saturation of polymer in a previously calibrated crucible. When the equilibrium is reached, the crucible is vented, and the volume of CO<sub>2</sub> is measured through a turbine flowmeter.

$$S(wt.fraction) = \frac{(wt.of CO_2 inside cell)_{P,T}}{(wt.of mPEG - alkyne)_{P,T;t=0}}$$
(3)

$$(wt. of mPEG - alkyne)_{P,T;t=0} = w_f(T, P) - w_o(T, P)$$
(4)

$$(wt. of \ CO_2 inside \ cell)_{P,T} = \rho_{CO_2}(T,P) * Volumen \ of \ the \ cell$$
(5)

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#### 190 • Henry Law

The polymer/scCO<sub>2</sub> mixture undergoes throughout different states, being the first one a liquid or rubbery state, where the absorption of CO<sub>2</sub> into the polymer generally follows Henry's law as shown in equation (6). In this law the mass fraction of CO<sub>2</sub> absorbed ( $w_{CO_2}$ ) is proportional to the partial pressure of the scCO<sub>2</sub>, P<sub>CO<sub>2</sub></sub>. It is easy to find in literature the Henry's Constant value ( $k_H$ ) for many polymers, being in this case the  $k_H$  value for PEG 0.0198 wt.fraction/MPa [21].

$$w_{CO_2} = k_H P_{CO_2} (6)$$

#### **197 Dual-Mode Sorption**

The dual mode sorption model, equation (7), is a combination of Henry's law in the equilibrium zone and Langmuir type sorption in the non-equilibrium zone. Henry's constant has the same physical meaning for glassy polymers than for rubbery polymers and liquids, whereas the Langmuir-type term account for gas sorption into interstitial spaces and microvoids, which are consequences of local heterogeneities and are intimately related to the slow relaxation processes associated with the glassy state of the polymers.

$$S = k_H P + \frac{c'_H b P}{1 + b P} \tag{7}$$

Where S is the sorption of CO<sub>2</sub> in the polymer,  $k_{\rm H}$  is analogous to Henry's law constant, P is the pressure,  $c'_{H}$  is the saturation of the cavities and b represents the affinity between the solute molecules and the Langmuir sites present in the polymeric matrix. For this work the values of  $c'_{H}$  and b were determined with an Excel spreadsheet tool (Solver system). This system used the nonlinear programming algorithm generalized reduced gradient (GRG).

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#### **Sanchez-Lacombe equation of state (SL EOS).**

The SL model is based on a lattice theory in which the lattice contains occupied and unoccupied sites, with the sites volume being based on the components [42–45]. A detailed description of the SL EOS can be found in the literature. The equation of state for pure fluids is shown below:

$$\tilde{\rho}^2 + \tilde{P} + \tilde{T} \left[ ln(1-\tilde{\rho}) + \left(1 - \frac{1}{r}\right)\tilde{\rho} \right] = 0$$
(8)

$$\tilde{P} = \frac{P}{P^*}, \tilde{T} = \frac{T}{T^*}, \tilde{\rho} = \frac{\rho}{\rho^*}, \rho^* = \frac{\bar{M}}{\nu^*}, r = \frac{\nu^* M P^*}{RT^*}$$
(9)

where  $\tilde{\rho}$ ,  $\tilde{P}$  and  $\tilde{T}$  are the reduced density, pressure and temperature, respectively; and  $\rho^*$ ,  $P^*$ and  $T^*$  are the characteristic parameters for the pure substance. The size parameter is r, which represent the number of lattice sites occupied by a molecule. R is the gas constant and M is the average molecular weight. For the mixtures, these parameters are determined using a mixing rule:

$$\varphi_1^o = \frac{\varphi_1}{\varphi_1 + \binom{\nu_1^*}{\nu_2^*} \varphi_2}; \ \varphi_1^o + \varphi_2^o = 1$$
(10)

$$\varphi_1 = \frac{\frac{m_1}{\rho_1^*}}{\frac{m_1}{\rho_1^*} + \frac{m_2}{\rho_2^*}}; \ \varphi_1 + \varphi_2 = 1$$
(11)

$$P^* = \varphi_1 P_1^* + \varphi_2 P_2^* - \left(\frac{RT}{\nu^*}\right) \varphi_1 \varphi_2 X_{12}$$
(12)

$$P_{12}^* = P_1^* + P_2^* - 2(P_1^* P_2^*)^{\frac{1}{2}} (1 - k_{12}) \frac{\nu^*}{RT}$$
(13)

$$T^* = \frac{P^* v^*}{R} \tag{14}$$

$$r = x_1 r_1 + x_2 r_2; \ x_1 + x_2 = 1 \tag{15}$$

$$\frac{1}{\rho^*} = \frac{m_1}{\rho_1^*} + \frac{m_2}{\rho_2^*} \tag{16}$$

where  $m_1$  is the mass fraction of the component  $\text{CO}_2$  in the mixtures,  $k_{12}$  is a binary interaction 220 parameter and  $x_1$  and  $x_2$  are the mole fractions of the mixture. The superscript \* indicates that 221 the parameter corresponds to the binary mixture, the subscript 1 stands for CO<sub>2</sub> and 2 for 222 polymer. The binary interaction parameter, k<sub>12</sub>, between the polymer and CO<sub>2</sub> is needed to 223 calculate the characteristic parameters of mixture. 224

When the polymer/gas mixture reaches a state of equilibrium at operating temperature and 225 pressure, the chemical potentials of the CO<sub>2</sub> should be the same at the interface between the 226 227 gas phase and polymer/gas mixture. That means, the last equation required for solubility 228 calculations is the phase balance equation, which equals the chemical potentials of the gas in two phases.

$$\mu_1^G(T, P) = \mu_1^P(T, P, m_1) \tag{17}$$

$$\frac{\mu_{1}^{P}}{RT} = \ln\varphi_{1} + \left(1 - \frac{r_{1}}{r_{2}}\right)\varphi_{2} + r_{1}\tilde{\rho}X_{12}\varphi_{2}^{2}\frac{v_{1}^{*}}{v^{*}}$$

$$+ r_{1}\left[\frac{-\tilde{\rho} + \tilde{P}_{1}\tilde{v}}{\tilde{T}_{1}} + \tilde{v}\left((1 - \tilde{\rho})\ln(1 - \tilde{\rho}) + \frac{\tilde{\rho}ln\tilde{\rho}}{r_{1}}\right)\right]$$

$$\frac{\mu_{1}^{G}}{RT} = r_{1}\left[\frac{-\tilde{\rho}_{1} + \tilde{P}_{1}\tilde{v}_{1}}{\tilde{T}_{1}} + \tilde{v}_{1}\left((1 - \tilde{\rho}_{1})\ln(1 - \tilde{\rho}_{1}) + \frac{\tilde{\rho}_{1}ln\tilde{\rho}_{1}}{r_{1}}\right)\right]$$
(18)
$$(18)$$

Where the superscripts G and P represent the gas and the polymer/CO<sub>2</sub> mixture, respectively. The gas phase is assumed to be a pure gas, because of the low volatility of high molecular weight of polymer. There are numerous sources of characteristic parameters available in the literature, in this work the characteristic parameters that offer the best correlation for sorption data are shown in Table 2. In the supporting material, the characteristic parameters for CO<sub>2</sub> with SL EOS were estimated (Table S1 and Figure S2)[46].

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Table 2.

	P*	ρ*	T*	Ref.
	MPa	g/cm3	Kelvin	
CO <sub>2</sub>	338.7	1.4055	338.7	[47]
PEG	635.7	1.11832	635.5	[48],[49]

#### 237 • Heuristic Model

A heuristic model with experimental data has been correlated by Pasquali et al.[27] followingthe models proposed by Giddings et al. [50,51], where the solubility parameter can be

expressed as a function of the CO<sub>2</sub> solubility parameter and fitted by a second-degreeequation:

$$\log X = a\delta^2 + b\delta + C \tag{20}$$

where X is the solute mole fraction, a and b are coefficients, C is a constant and  $\delta$  is the solubility parameter of the CO<sub>2</sub> at a given conditions. The solubility parameter of CO<sub>2</sub> can be calculated by the equation:

$$\delta = 1.25 P c^{1/2} \frac{\rho r}{\rho r(liq)} \tag{21}$$

where  $P_c$ , is the critical pressure and  $\rho r$ , is the reduced density, which is the ratio of the apparent density of CO<sub>2</sub> at given pressure and temperature to the critical density of CO<sub>2</sub>. The apparent density of CO<sub>2</sub> has been calculated through the Bender equation and NIST (Figure S2). The solubility parameter of mPEG-alkyne was calculated with the Small method of group contributions. These parameters appear in the supporting material in the Table S3.

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## 3. **Results and Discussion**

The results have been divided in two sections. In the first place, we report the obtained values for the melting points of mPEG-alkyne under different pressures with the help of HP DSC; and the experimental values were correlated using a Clausius Clapeyron equation. In the second section, the sorption of mPEG-alkyne into  $scCO_2$  was determined experimentally at different pressures and at two different temperatures. These measurements were correlated using Henry, Dual model, SL EOS and Heuristic Model and experimental and theoretical data were compared with the results from literature.

#### 258 **3.1.** Melting point temperatures of mPEG-alkyne

The influence of  $CO_2$  in the melting temperature of mPEG-alkyne was determined by HP DSC as a function of temperature and/or pressure. The influence of pressure on the melting point temperature is shown in Figure 3, where three different regions can be observed.



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Figure 3.

The first one in the 0.1-0.5 MPa pressure range, where the melting point temperature of 264 mPEG-alkyne started with a value around 329.58 K and then increased very lightly (2.72 K) 265 with higher pressures. The second region shows a linear decrease of the melting point 266 temperature between 1 and 8 MPa, when the critical pressure of CO<sub>2</sub> is reached and the 267 melting point takes a value around 317 K. In the third region, at pressures higher than 8 MPa, 268 an almost constant value of the melting point temperature was observed, with no more 269 influence of the pressure increase in this parameter. The effect observed in the first region 270 could be attributed to the increase of the crystal thickness during the exposure to CO<sub>2</sub>. In the 271 272 second region, a linear decrease of the melting temperature is observed in the range 0.5-8

MPa, (near of critical pressure of CO<sub>2</sub>) that can be attributed of the effects of the dissolution
of CO<sub>2</sub> into the polymer, which tends to reduce the melting temperature [18].

Linear regression was applied to the experimental data of this region in order to determine, 275  $dT_m/dP$ . The cut-off point was determined by fitting the high pressure data to a straight line 276 with a zero slope. The slope value for the mPEG-alkyne/CO<sub>2</sub> systems was 2.2089 K/MPa. 277 The relationship between the melting point temperature and pressure can be properly 278 described by the Clapeyron equation. Therefore, the experimental slope value was compared 279 to the value predicted by Clausius Clapeyron's equation which was 2.101 K/MPa with a SSE 280 of 0.13%, thus the modification of Clausius Clapeyron describes with accuracy the slope -281  $dT_m/dP$  for this system. 282

In addition, it was compared the experimental data of  $-dT_m/dP$  reported in literature with the calculated  $-dT_m/dP$ , the variation of melting temperature and pressure (Table 3), where a high level of precision of the modified Clausius Clapeyron equation was achieved.

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#### Table 3.

Dahaman	$\Delta H_{\rm f}{}^{\rm a}$	k <sub>H</sub> <sup>a</sup>	-dT <sub>m</sub> /dP <sub>calc</sub>	-dT <sub>m</sub> /dP <sub>exp</sub>	Analytical	SSE	Def
Polymer	(J/mol)	(MPa)	(K/MPa)	(K/MPa)	Method	(%)	Kef.
mPEG-	nPEG- 8 29		2 10	2 21	HP DSC	0.13	This
alkyne,2 kDa	0.27	0.0170	2.10	2.21	III DSC	0.15	work
PEG,4 kDa	8.29	0.0198	2.22	2.22	HP View cell	3.9E-6	[27]
PEG, 35 kDa	8.29	0.0198	1.93	1.57	HP View cell	10.89	[20]
PEG, 35 kDa	8.29	0.0198	1.90	1.3	HP DSC	39.69	[20]

<sup>a</sup>Data found in literature [21,52].

A comparison between experimental data and those reported in the literature are shown inFigure 4.

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#### 291

#### Figure 4.

The results of Pasquali et al. [27] and results of Knez [20] present the same shape of data 292 obtained in this work. Results for a PEG with a molecular weight of 4000 g·mol<sup>-1</sup> showed 293 similar initial melting temperature value (330 K) and a similar slope of the curve in the region 294 of moderate pressure (1 MPa to 8 MPa) than the ones reported in our work. Surprisingly, the 295 values obtained in our measurements for the mPEG-alkyne are over the results reported by 296 Pasquali et al [27]. However, big differences between the PEG 35000 g·mol<sup>-1</sup> data of Knez 297 et al. [20] and the data of this work has been observed. This shift is due to the melting point 298 strongly depends on the molecular weight of the PEG considered, showing higher values 299 300 with increasing molecular weight [53–55]. Moreover, the shift can be assigned to a different initial T<sub>m</sub> value at ambient pressure. 301

The melting temperature of mPEG 2000 g·mol<sup>-1</sup> is K and 329.58 K of mPEG-alkyne at 80 MPa. This finding showed that the presence of a single alkyne group did not have a strong influence on the crystallization ability of the PEG, but the presence of the alkyne group make the polymer less compatible with the  $CO_2$  [56].

In addition, it is worth mentioning that the differences of the reported data might be explained 306 307 considering the different analytical methods. A review of the different measurement methods and the experimental results on melting temperature depression of PEG 35000 in CO2 308 atmosphere has been published by Knez et al. [20] and Pasquali et al. [28], who studied the 309 depression of melting point for PEG 1500 and 4000 g·mol<sup>-1</sup> using a capillary method in a 310 high pressure optical cell. Extensive research has been done on the experimental 311 312 determination of the melting point for the PEG/CO<sub>2</sub> mixture, showing the capillary method 313 in a high pressure optical cell some advantages as it is an inexpensive technique for determining basic design data. However, HP DSC is a faster method for determining this 314 parameter and the systematic error done by the researcher could be diminished. 315

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317 3.2. Sorption of CO<sub>2</sub> into mPEG-alkyne

According to literature, polymers with high molecular weight are generally insoluble in  $CO_2$ . On the other hand, the solubility of high pressure  $CO_2$  into the polymer matrix use to be high for most of the polymers and is one the most important properties of the scCO2-assited polymer processing, especially for making foams, micro-nanoparticles or microcapsules into scCO<sub>2</sub>. The plasticisation of polymer results from sorption of  $CO_2$  into polymer matrix under pressure. But plasticisation affects not only to the melting point as has been pointed out in the previous section, affect the Tg of the polymer as well, lowering this value and getting the swelling polymer less viscous thanks to the  $CO_2$  embedded in the range of pressure and temperature between Tg and melting point. According to the previous section, the melting point of mPEG-alkyne with 2000 g·mol<sup>-1</sup> is between 317 and 336 K, so it is quite unfavourable to measure the solubility below this temperature range because the polymer is not in liquid state [53]. Hence, sorption of supercritical carbon dioxide in mPEG-alkyne was measured at pressures up to 17 MPa and at 308 and 318 K, as shown in Figure 5.



331

332

Figure 5.

The solubility values observed at low pressure are related with the presence of the crystallin 333 334 phase. In this region, the penetration of the CO<sub>2</sub> into the packed chains of polymer could be close to zero. The Figure 3 (left) shows that the sorption increases linearly up to 11 MPa for 335 both temperatures. From there, the sorption of CO2 reaches a constant value which is different 336 for each temperature. This effect has been previously discussed in literature [17,24,31,57]. 337 As shown in Figure 5 (right), where the solubility data are plot against the density of CO<sub>2</sub>, 338 339 the data follow a single curve with no observable temperature effect, because the diffusion 340 power of CO<sub>2</sub> increases with higher densities [58]. This trend has been generally observed in many gas/ polymer systems, when density increases the gas molecules are forced between 341

polymer chains, expanding the space between molecules and thus increasing their mobility.
In addition, increased mobility of the chains allows a higher amount of CO<sub>2</sub> to be absorbed
into the polymer phase. One can notice that, the pressure increase or a temperature decrease
favour the sorption upgrade and therefore for the possibility of using supercritical fluids for
processing polymers.

The behaviour of supercritical CO<sub>2</sub> mPEG-alkyne system was also predicted by using a
Henry model, a Dual Mode, a Sanchez Lacombe EOS (Figure 6) and Heuristic model (Figure
7).



350 351





#### Figure 7.

At low pressures, Henry's model can be used to accurately describe the pressure dependence 354 of the sorption through the dissolution process. However, this model is only reliable at low 355 pressure so in this study this model does not offer a good fit since the pressure range studied 356 is higher than 7 MPa. Dual Mode, which is a combination of Henry's Law and Langmuir 357 type sorption, shown a better fit at high pressure as it takes into account gas sorption into 358 interstitial spaces and microvoids, which are consequences of local heterogeneities and are 359 intimately related to the slow relaxation processes associated with the glassy state of the 360 polymers [59]. It is observed that SL EOS predicts the experimental data with reasonable 361 accuracy and improves the results shown by the Dual-Mode model. The modelling results of 362 363 Sanchez Lacombe and Dual Mode shown the reliability for predicting the phase behaviour 364 of the system at high pressures.

According to the heuristic model, when the solubility parameter calculated with the group 365 366 contributions method [21,60] of two substances is similar, the solubilization is promoted. In 367 this case, the difference between the parameters of scCO<sub>2</sub> and m-PEG-alkyne warrants low solubilization. In the supporting material the density values, solubility parameters of mPEG-368 alkyne at 308 K at 318 K are shown in Table S2. The solubility parameter of mPEG-alkyne 369 was calculated with the Small method of group contribution as 17.6082 MPa<sup>1/2</sup> or 8.8041 370 cal<sup>1/2</sup>cm<sup>-3/2</sup>. The Figure 7 (left) depicts the logarithm of mPEG-alkyne molar fraction versus 371 the CO<sub>2</sub> solubility parameter ( $\delta$ ). The data obtained was adjusted to a second degree 372 373 polynomial equation according to equation (20). The maximum value of the two curves at 308 K has a value of 8.649 and 7.011 at 318 K, values close to the solubility parameter of 374 375 mPEG-alkyne calculated by the method of group contributions.

Knowing the coefficient, a and b and the constant C, the solubility of mPEG-alkyne in CO<sub>2</sub>
was calculated with the equation (21), all values are shown in Figure 7 (right). Figure 7 (right)
compares the heuristic model and experimental data and it is observed that this model
correlates the experimental data with reasonable accuracy.

Finally, the sorption data collected were compared with those reported in works published recently using operations conditions close to those selected in this work. Elena Aionicesei et al. [48] and Pasquali et al. [28] studied the solubility of system  $CO_2/PEG$  1500 g·mol<sup>-1</sup> at 323 K and 308-328 K, respectively, and in a range up to 17 MPa. The differences in solubility measurement results found between this work and literature are acceptable, especially when we know that there is an appreciable difference between the two literature results themselves as shown in Figure 8.



388

Figure 8.

Note, the variation between Pascuali et al. [28] data at temperature of 308 K and data reported in this study at the same temperature is 0.1 g  $CO_2/$  g PEG at high pressure. The differences observed for the sorption capacity of supercritical  $CO_2$  can be mainly attributed

to molecular weight of PEG, as the experiments compared employed a PEG of 1500 g·mol<sup>-</sup> 392 <sup>1</sup>. Solubility of PEG 1500 and PEG 2000 are close to each other in values and both data from 393 Aionicesei and Pascuali, as reported in this paper, follow almost the same trend. In addition, 394 the effects of the molecular weight of PEGs on carbon dioxide was determined by Gregor 395 Kravanja et al. [25], and the difference between a PEG of 1500 g·mol<sup>-1</sup> and 3000 g·mol<sup>-1</sup> at 396 15 MPa was 0.1 g CO<sub>2</sub> absorbance in PEG and 0.19 g CO<sub>2</sub> in PEG at 20 MPa. The differences 397 can also be associated with the use of different analytical methods. According to Maša Knez 398 399 Hrnčič et al. [26], the solubility values obtained by external balance method and Magnetic Suspense Balance (MSB) showed an absolute average relative deviation (AARD) of up to 400 54% in a range of 15 MPa, which explains the solubility values obtained are much lower than 401 the data compared. 402

## 403 **4.** Conclusions

The study of melting point provides information on the pressure required to melt the polymer 404 405 and to produce a saturated liquid solution, which is a parameter of major importance, especially for the preparation of a conjugated drug-polymer in scCO<sub>2</sub>. The sorption of CO<sub>2</sub> 406 into the polymer leads to a reduction of the melting point and it was determined by HP DSC. 407 The melting temperature curve of mPEG has a maximum at 0.5 MPa and a minimum at 8 408 MPa, keeping this value also at higher pressures. A difference of about 16.6 K was observed 409 410 between the maximum and minimum of the melting temperature curve, with a slope  $-dT_m/dP$ of 2.2089 K/MPa in the linear region, value similar to that obtained with the Clausius 411 Clapeyron modified equation. 412

413 Sorption of CO<sub>2</sub> in mPEG-alkyne increases with pressure and decreases with increasing
414 temperature. The experimental data obtained for CO<sub>2</sub> and mPEG-alkyne is in good agreement

with other experimental results observed in literature for the system CO<sub>2</sub> - PEG, thus the 415 terminal alkyne groups have not a significant effect in the phase behaviour of the system. 416 The experimental data were correlated using the Henry Law, Dual-Mode, Sanchez Lacombe 417 equation of state and the heuristic model. Henry Law model is reliable at low pressure so in 418 this study this model does not offer a good fit since the pressure range studied is higher than 419 7 MPa. The modelling results of Sanchez Lacombe and Dual Mode shown the reliability for 420 421 predicting the phase behaviour of the system at high pressures and finally, heuristic model is 422 an affordable and easy to apply model, with a great fit.

423

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430

## 431 6. Supporting Information Available

In the supporting material the experimental results for evaluating the saturation time of
mPEG-alkyne in scCO<sub>2</sub>, the algorithm for solving SL EOS, the choice of characteristic
parameters used to carry out the SL EOS calculations and the determination of the solubility
parameter of mPEG-alkyne with the group's contribution method.

- 437 Figure captions
- Figure 1. Schematic diagram of high-pressure differential scanning calorimetry for themeasurement of the melting point.
- 440 Figure 2. Schematic diagram of the variable high-pressure view cell for sorption441 measurements.
- 442 Figure 3. Variation of the melting temperature of mPEG-alkyne as a function of CO<sub>2</sub>443 pressure.
- 444 Figure 4. Melting temperature of PEG as a function of CO<sub>2</sub> pressure. Lines are added as a445 guide to the eye.
- Figure 5. (Left) CO<sub>2</sub> sorption in mPEG-alkyne as a function of pressure at different
  temperatures (Right) CO<sub>2</sub> sorption in mPEG-alkyne as a function of density of CO<sub>2</sub>
- 448 determined with the equation of Bender.
- Figure 6. Sorption experimental data of CO<sub>2</sub> in mPEG-alkyne at (left) 308 K and at (right)
- 450 318 K. Correlation of experimental data using Henry's Law (--), Dual-Model (--) and SL
  451 EOS (--).
- 452 **Figure 7.** Heuristic Model: (left figure) logarithm of mPEG-alkyne mole fraction vs. the CO<sub>2</sub>
- solubility parameter, (right figure) correlation of data calculated with Heuristic Model andexperimental data.
- 455 Figure 8. Comparison between the experimental data obtained in this work and those from456 the literature as it is indicated by the markers.

- 458 Table Captions
- 459 Table 1. Summary of the modifications made to the Clausius Clapeyron equation to
- 460 determine  $-dT_m/dP$  at low pressure.
- 461 **Table 2.** SL EOS characteristic parameters for CO<sub>2</sub> and PEG.
- 462 Table 3. Summary of the modifications made to the Clausius Clapeyron equation to
- 463 determine  $-dT_m/dP$  at low pressure.

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