



Aberrations of Genomic Imprinting in Glioblastoma Formation

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In human glioblastoma (GBM), the presence of a small population of cells with stem cell characteristics, the glioma stem cells (GSCs), has been described. These cells have GBM potential and are responsible for the origin of the tumors. However, whether GSCs originate from normal neural stem cells (NSCs) as a consequence of genetic and epigenetic changes and/or dedifferentiation from somatic cells remains to be investigated. Genomic imprinting is an epigenetic marking process that causes genes to be expressed depending on their parental origin. The dysregulation of the imprinting pattern or the loss of genomic imprinting (LOI) have been described in different tumors including GBM, being one of the earliest and most common events that occurs in human cancers. Here we have gathered the current knowledge of the role of imprinted genes in normal NSCs function and how the imprinting process is altered in human GBM. We also review the changes at particular imprinted loci that might be involved in the development of the tumor. Understanding the mechanistic similarities in the regulation of genomic imprinting between normal NSCs and GBM cells will be helpful to identify molecular players that might be involved in the development of human GBM.

Keywords: genomic imprinting, glioblastoma, neural stem cells, methylation, subventricular zone

GENOMIC IMPRINTING AND GENE DOSAGE CONTROL

Genomic imprinting is an epigenetic process in which a small group of genes, called imprinted genes, are expressed depending on their parental origin (1–3). Whereas non-imprinted genes express both copies contained on homolog chromosomes, in imprinted genes either the maternal or paternal copy is expressed thus bypassing mendelian inheritance laws (4, 5) (**Figure 1A**). Therefore, parental genomes are not functionally equivalent due to genomic imprinting, implying that both genomes are required for normal mammalian development (6, 7). To date, around 200 imprinted genes have been described in mice (8) and more than 150 in humans (9, 10). Although imprinted genes represent <1% of total genes in the mammalian genome, they play important roles in different biological processes such as embryonic and placenta growth, fetal development and adult metabolism (11–13).

Most imprinted genes are grouped in clusters (3, 14) and it has been postulated that a *cis* regulatory DNA element could regulate the expression of all genes contained in the same cluster (4). Indeed, imprinted gene expression is known to be co-ordinately controlled by epigenetic mechanisms, being DNA methylation the most important one occurring in specific genomic regions enriched in cytosine and guanine dinucleotides (CpG) (15). These regions, known as

imprinting control regions (ICRs), are differentially methylated (DMRs) exhibiting a specific parental methylation pattern (2, 14) (**Figure 1A**). Importantly, deletion of these sequences implies a loss of imprinting (LOI), which results in alterations of the expression of imprinted genes in the cluster (14, 16).

The establishment of imprints takes place in the germline through a multistep mechanism termed imprinting life cycle, which ensures monoallelic expression of imprinted genes (17) (**Figure 1B**). During embryogenesis, the primordial germ cells (PGCs), which will give rise to the gametes, have the methylation patterns characteristic of somatic cells. However, in the genital ridges, the imprints are erased during gamete formation to allow re-establishment of new parental-specific marks at the ICRs (4, 8). This process takes place during development at different times in males and females (18). Paternal-specific methylation occurs prenatally in pro-spermatogonia before meiosis, whereas maternal-specific ICR methylation takes place postnatally in growing oocytes (19) (**Figure 1B**). After establishment of imprints, methylation patterns of each chromosome must be kept in somatic cells, thus imprints are protected against the extensive genome demethylation that occurs after fertilization (17), and then transmitted to every somatic cell (10) (**Figure 1B**).

During development and adult life, genomic imprinting can be modified leading to tissue or cell type specific imprint patterns (2). Indeed, loss of imprinting (LOI) has consequences in physiological processes and is the cause of some human imprinting syndromes such as Angelman, Prader-Willi or Beckwith-Wiedemann, which course with severe neurological defects (3, 9, 20). Moreover, disruption of imprinting can cause a predisposition to tumor formation, and LOI in several genes is considered to be the most common and early event in human cancers such as colorectal or esophageal cancer, meningiomas, gliomas, and chronic myeloid leukemia among others (13, 21–23).

IMPRINTED GENES AND NSCs

In the mammalian brain, two regions generate new neurons throughout adulthood: the subventricular zone (SVZ) in the walls of the lateral ventricles, and the subgranular zone (SGZ) in the dentate gyrus (DG) of the hippocampus (24, 25). The process of neurogenesis in these adult neurogenic niches is continually sustained by the activity of NSCs, which are characterized by their ability to balance self-renewal with multipotential differentiation into astrocytes, oligodendrocytes and neurons (26). Activated and quiescent NSCs (also known as type B1 cells) coexist in the adult SVZ (27) and once activated, slowly dividing NSCs give rise to fast cycling cells called transit-amplifying progenitors (TAP or type C cells). Mash1-positive type C cells in turn generate immature neurons or neuroblasts (type A cells) that migrate tangentially through the rostral migratory stream (RMS) toward the olfactory bulb (OB). These chains of polysialylated neural cell adhesion molecule (PSA-NCAM) positive neuroblasts reach the core of the OB, where they integrate and differentiate into inhibitory interneurons, playing an important role in rodent olfaction (28). Although less frequently, subventricular NSCs are also capable of producing some oligodendroblasts that migrate to

the corpus callosum and striatum, where they differentiate into myelinating and non-myelinating oligodendrocytes (29, 30). The human SVZ is also considered as an important pool of neuronal and glial progenitor cells, and this pool has been implicated in injury, neurodegeneration and cancer (31).

In the SVZ, type B1 cells have many features of astrocytes and retain expression of NESTIN or GLAST (astrocyte-specific glutamate aspartate transporters), markers that are also expressed in radial glia cells (RGCs), the NSCs in the developing brain (32, 33). The majority of NSCs in the adult SVZ originate from these RGC cells between embryonic days (E) 13.5 and 15.5 and remain largely quiescent until they become reactivated postnatally (34, 35).

Recent studies on the developing brain and postnatal neurogenic niches raise many intriguing questions concerning the role of genomic imprinting and gene dosage in gliogenesis and neurogenesis, including how imprinted genes operate in concert with signaling cues to contribute to these processes (36). For example, during cortical neurogenesis, radial glia cells express high levels of the paternally-expressed zinc finger protein *Zac1*, which leads to the expression of other imprinted genes such as the maternally-expressed cyclin-dependent kinase inhibitor *Cdkn1c*, known to promote NSC cell cycle arrest and progial differentiation (37). Interestingly, *Cdkn1c* has been shown to also promote NSCs quiescence in the adult hippocampus, and long-term deletion of the gene leads to NSC exhaustion and impaired neurogenesis in aged mice (35). Moreover, in the embryonic mouse neocortex, the proliferative capacity of cortical progenitors is repressed by paternal expression of *Necdin*, which suppresses neural progenitor proliferation by antagonizing the polycomb protein BMI1 function (38).

Genomic imprinting can be selectively lost or “switched off” in particular cell types or at specific developmental points to activate an allele that is usually repressed by imprinting (36). For example, in the adult SVZ, the insulin-like growth factor 2 (*Igf2*) gene, canonically expressed from the paternally-inherited allele, is biallelically expressed in the choroid plexus and secreted into the cerebrospinal fluid to regulate NSC proliferation (39, 40). IGF2 is also biallelically expressed in the postnatal human and mouse choroid plexus epithelium and leptomeninges, acting as a paracrine factor that regulates NSC homeostasis (39, 41). In contrast, in the SGZ, *Igf2* is expressed in NSCs in an imprinted manner, suggesting that the regulatory decision to imprint or not is an important mechanism of transcriptional dosage control in adult neurogenesis (39). Another example of LOI in the SVZ is the paternally-expressed gene *Delta-like homolog 1* (*Dlk1*), an atypical Notch ligand located on mouse chromosome 12 (human chromosome 14) that plays a relevant dual function to regulate postnatal neurogenesis (42). *Dlk1* is a single gene that encodes for both a secreted factor (expressed by niche astrocytes) and a bound receptor (expressed by NSCs). *Dlk1*, which is a canonically imprinted gene elsewhere in the brain, shows a selective absence of imprinting in these cell types, and biallelic expression of *Dlk1* is required for stem cell maintenance in the SVZ and final neurogenesis in the olfactory bulb (42). In conclusion, genomic imprinting might be reversible and context-dependent and is likely to be essential to control neural stem cell potential

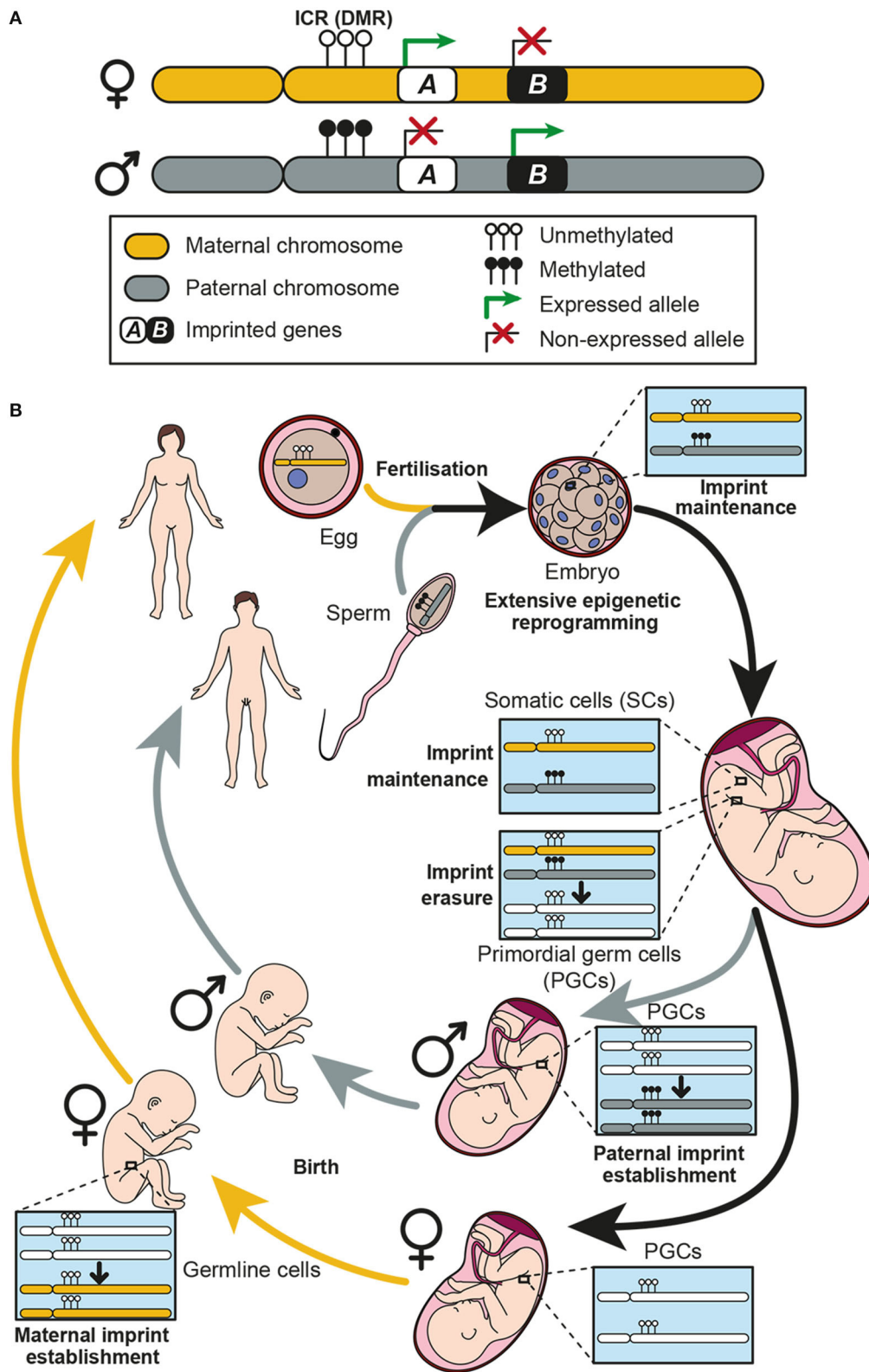


FIGURE 1 | Genomic imprinting and the establishment of imprints in the germline. **(A)** Two homolog chromosomes are represented, each one inherited from one progenitor: maternal chromosome in yellow and paternal chromosome in gray. An imprinting cluster containing two imprinted genes (genes A and B) is represented. Gene A is maternally expressed, while gene B is paternally expressed. Expression of both genes is controlled by methylation at the imprinting control region (ICR) (Continued)

FIGURE 1 | which is a differentially methylated region (DMR) between the two chromosomes. **(B)** Genomic imprinting life cycle is represented. When fertilization occurs, the zygote receives a maternal and a paternal copy of the genome, each one imprinted accordingly. Methylation patterns of each chromosome must be kept in somatic cells, thus imprints are protected against the extensive genome demethylation that occurs after fertilization. Imprints are maintained along the individual life in somatic cells, while they are erased in primordial germ cells (PGCs) during development. Afterwards, a new imprint is established in the germline according to the individual chromosomal sex. These imprints are established during development in males and postnatally in females.

and for normal development and tissue regeneration in the adult brain.

GENOMIC IMPRINTING IN HUMAN GLIOBLASTOMA

In the central nervous system (CNS), as in many other tissues, diverse types of tumors may emerge throughout life. Gliomas arise from glial cells and are the most frequent primary tumors in the brain (43). According to the criteria established by the World Health Organisation (WHO) in 2016, gliomas are classified as grades I to IV based on histology and clinical criteria (44). Grade I tumors are generally benign and frequently curable, whereas malignant glioma are subdivided from the least aggressive grade II to grade IV, which is more proliferative, more necrosis-prone and angiogenic and has a poorer prognosis (45–47). GBM is the most aggressive and frequent grade IV type glioma and despite its low incidence (3.21 cases per 100,000 people), up to 46% of primary malignant brain tumors are GBM (43, 48). Patients diagnosed with GBM survive on the average 15 months and the 5-year-survival rate is only 5.6% (48, 49).

Due to its frequency and lethality, several studies have been carried out in order to characterize different human GBM subtypes based on genome and transcriptome changes. For example, the epidermal growth factor receptor (EGFR) is altered in almost 50% of GBM and represents one of the most promising therapeutic targets (50). Other mutations affecting TP53, PTEN, RB1, ERBB2, PIK3R1 or PIK3CA pathways have been identified in different GBM patients (51). Another recurrent mutation is the one occurring in the isocitrate dehydrogenase 1 gene (IDH1). This mutation is much more frequent in LGG and secondary GBM (GBM arising from LGG) than in primary GBM, and it is associated with an increased survival (52, 53). Interestingly, IDH1 mutations are associated with the existence of a glioma-CpG island hypermethylation phenotype (G-CIMP tumors), which also correlates with a significantly improved outcome (54). Thus, the study of epigenetics of GBM and the consequence of its mutations is also relevant. Among all epigenetic phenomena, genomic imprinting could be particularly important in GBM since several imprinted genes function as cellular mitogens or tumor suppressors, and misexpression of some of these imprinted genes has been postulated in human GBM (55). For example, repression of the tumor suppressor *CDKN1C* ($p57^{KIP2}$), a maternally expressed gene, or overexpression of an oncogene, such as the paternally-expressed imprinted gene *IGF2*, increases the chance of developing the malignant process (21–23, 56). Precisely, upregulation of *IGF2* as a result of a

LOI has been associated with several cancers due to over-proliferation effects (57, 58). Also, the maternally expressed *H19* is overexpressed in GBM samples compared to healthy brains, and its role as an oncogenic lncRNA through inhibition of β -catenin expression is clearly recognized (59). Low expression of the maternally expressed gene *MEG3* significantly correlates with short survival in GBM patients, and *in vitro* restoration of *MEG3* impairs tumorigenic abilities of GBM cells (60). Moreover, epigenetic silencing of the paternally expressed gene *PEG3* was confirmed in GBM (61). *Contactin 3* (*CNTN3*), another imprinted gene, has been postulated as a biomarker that predicts overall survival in GBM patients (62). Similarly, expression of the paternally expressed gene *DLK1* is higher in GBM cells than in normal brain thus increasing their proliferation and migration capabilities (63). Therefore, an important role of genomic imprinting in human GBM is starting to also be elucidated.

In order to corroborate the potential relevance of genomic imprinting in human GBM, we searched for imprinted genes expression in different tumor and non-tumor samples using the GlioVis database (64). Eight datasets were chosen, five of them containing RNAseq data: Bao (65), CGGA (66), Gill (67), TCGA_GBMLGG (68), and TCGA_GBMLGG (69); and the other three containing microarray data: Rembrandt (70), Gravendeel (71) and Kamoun (72). Expression analysis was executed comparing non-tumor (NT), low grade glioma (LGG, grade II-III gliomas), and GBM (grade IV gliomas) human samples. Using these datasets, analysis of the expression of 81 imprinted genes was performed in three different comparisons: GBM and NT samples (GBM vs. NT), LGG and NT samples (LGG vs. NT) and GBM and LGG samples (GBM vs. LGG). Different numbers of datasets were used in each case: five datasets for GBM vs. NT comparison (Gill, TCGA_GBMLGG, Rembrandt, Gravendeel and Kamoun); three datasets for LGG vs. NT comparison (Rembrandt, Gravendeel and Kamoun); and six datasets for GBM vs. LGG (Bao, CGGA, TCGA_GBMLGG, Rembrandt, Gravendeel and Kamoun). The results show that a high number of imprinted genes alter their expression levels in all comparisons. For example, 53.8% of imprinted genes resulted differentially expressed in GBM compared to NT samples (**Figure 2A**), 46.5% in LGG compared to NT samples (**Figure 2B**) and 60.9% in GBM compared to LGG samples (**Figure 2C**). These data support the hypothesis of genomic imprinting having a relevant role in glioma development and progression. Additionally, we have performed a similar analysis comparing the expression of imprinted genes in IDHwt and IDHmut LGG samples using TCGA_GBMLGG database. This study shows that 69.1% of imprinted genes are differentially expressed in IDHwt and IDHmut samples (**Figure 2C**), suggesting that imprinted genes could also be important for patient prognosis.

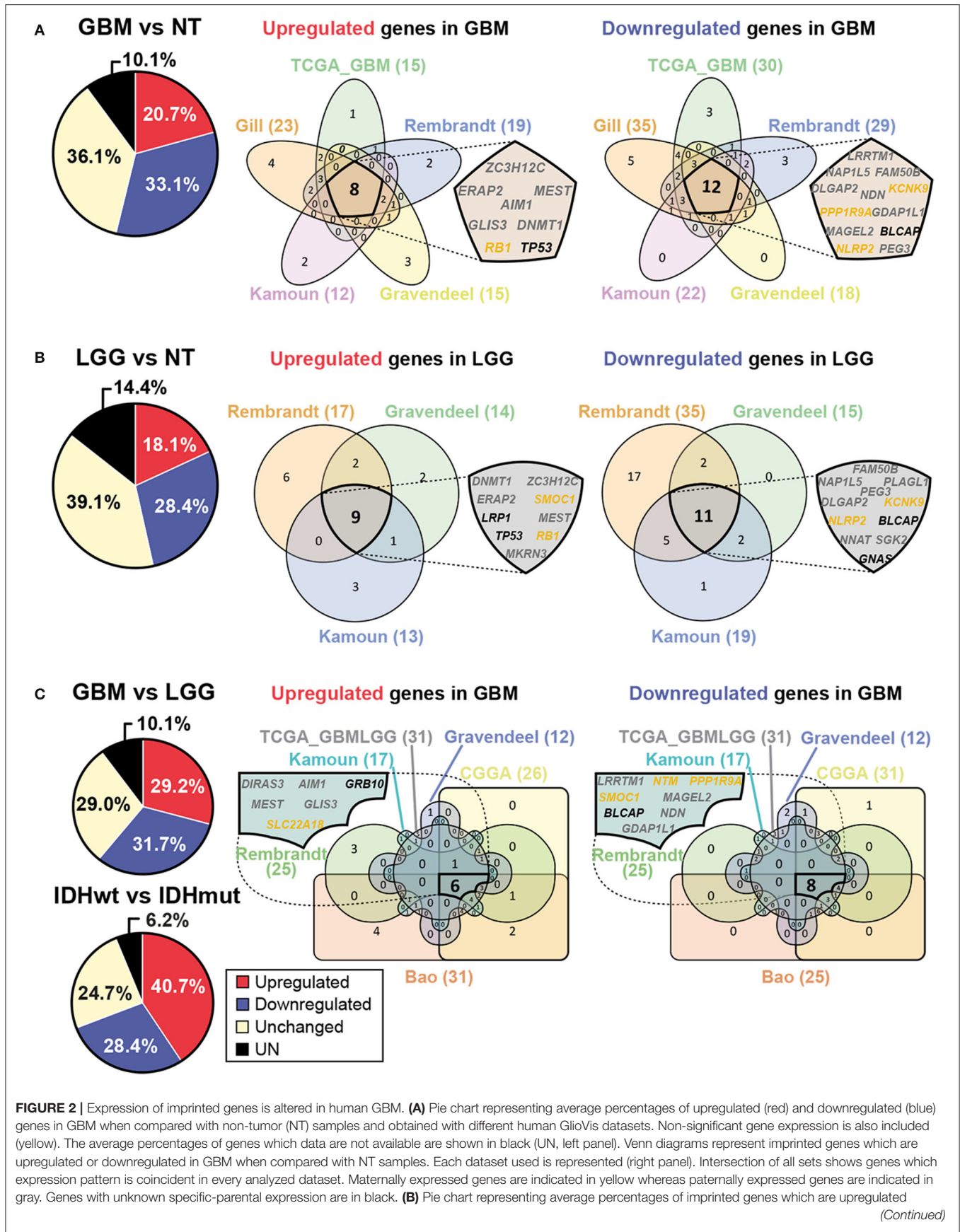


FIGURE 2 | (red) or downregulated (blue) in low grade glioma (LGG) compared to NT samples (left panel). Venn diagrams representing imprinted genes which are differentially expressed between LGG and NT samples (right panel). Intersection of all sets represents genes which expression pattern is coincident in every analyzed dataset. **(C)** Pie charts representing average percentages of imprinted genes which are upregulated (red) or downregulated (blue) in GBM compared to LGG samples and in IDHwt compared to IDHmut LGG samples. Venn diagrams representing imprinted genes which are differentially expressed between GBM and LGG samples (right panel). Intersection of all sets represents genes which expression pattern is coincident in every analyzed dataset. GlioVis datasets used are Bao, CGGA, Gill, TCGA_GBM, TCGA_GBMLGG, Rembrandt, Gravendeel and Kamoun.

Expression patterns in every dataset were analyzed using Venn diagrams (73) and three lists of imprinted genes were obtained from the analysis, one per comparison, each of them containing upregulated and downregulated genes in different samples (**Figure 2**). A list of 20 differentially expressed genes between GBM and NT samples was obtained from the analysis, 8 of them upregulated (*ZC3H12C*, *ERAP2*, *MEST*, *AIM1*, *GLIS3*, *DNMT1*, *RBI*, and *TP53*) and the other 12 downregulated (*LRRTM1*, *NAP1L5*, *FAM50B*, *DLGAP2*, *NDN*, *KCNK9*, *PPP1R9A*, *GDAP1L1*, *MAGEL2*, *BLCAP*, *NLRP2*, and *PEG3*) in GBM (**Figure 2A**). Another list of 20 genes with different expression levels was obtained when comparing LGG and NT samples, 9 of them upregulated (*DNMT1*, *ZC3H12C*, *ERAP2*, *SMOC1*, *LRP1*, *MEST*, *TP53*, *RBI* y *MKRN3*) and the other 11 genes downregulated (*FAM50B*, *NAP1L5*, *PLAGL1*, *PEG3*, *DLGAP2*, *KCNK9*, *NLRP2*, *BLCAP*, *NNAT*, *SGK2* y *GNAS*) in LGG (**Figure 2B**). A third list of 14 differentially expressed genes was obtained when comparing both types of tumor samples (GBM and LGG), being 6 of them upregulated (*DIRAS3*, *AIM1*, *GRB10*, *MEST*, *GLIS3* y *SLC22A18*) and the other 8 downregulated (*LRRTM1*, *NTM*, *PPP1R9A*, *SMOC1*, *MAGEL2*, *BLCAP*, *NDN* and *GDAP1L1*) in GBM (**Figure 2C**). This analysis reveals potential candidates for future research on the role of concrete imprinted genes and gene dosage control in GBM formation.

ABERRATIONS OF GENOMIC IMPRINTING IN NSCs AND GBM FORMATION

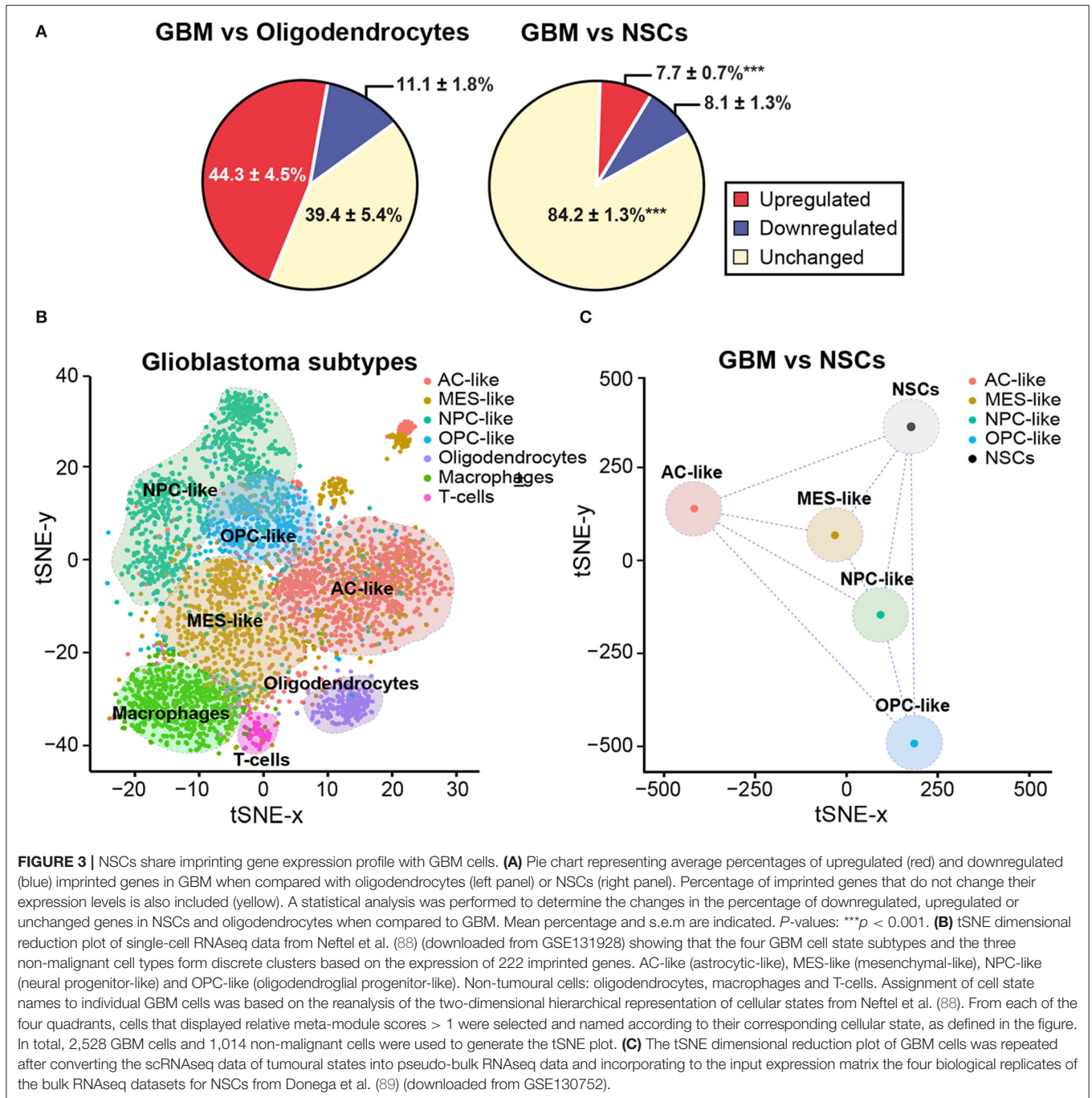
Due to its similarities with astrocytes, GBM is considered an astrocytoma (45, 46). However, the cell of origin of GBM is not completely understood. Several studies have described the presence of a cell population with stem cell characteristics within the tumors, the glioma stem cells (GSCs), which have GBM potential and are responsible for the origin of the tumors (74–77). These cells can give rise to new tumors by themselves and are thought to be responsible for the resistance to treatment and the high risk of recurrence in this kind of tumor (78). GSCs express stem cell markers, sharing some features with NSCs, such as the expression of some surface antigens and the activation of some signaling pathways (79). In addition, both cell types exhibit a similar proliferation rate, a similar transcriptome and are closely associated to blood vessels (80, 81). Although some authors have demonstrated that differentiated cell types can be reprogrammed and form GBM when bearing some specific-gene mutations (82–84), NSCs have also been proposed to be the cell of origin of GSCs (76, 85, 86). Indeed, some authors have described that susceptibility to malignant transformation of NSCs decreases

with the increase of lineage restriction in the brain, suggesting a GBM hierarchy in which NSCs are the most common cell-of-origin and differentiated cell types are less susceptible to tumorigenesis (87).

As we mentioned before, imprinted genes are defined by their monoallelic expression with implications in development and placentation, but also in metabolism of the adult organism (11, 12). These characteristics make these genes extremely susceptible to mutations. LOI most likely precedes tumor formation and several studies suggest this to occur originally in stem cell populations, leading to their transformation (23, 57). This theory posits that epigenetic modifications such as LOI take place in stem cells and this is supported by the presence of non-malignant cells around the tumor with LOI events (23). Indeed, an increase of the stem cell pools due to LOI (for example with high levels of *IGF2*) could favor the accumulation of mutations, creating a suitable context for transformation (21, 57). Thus, genomic imprinting seems to play an important role in converting stem cells into cancer stem cells, although very little is known about how aberrations of genomic imprinting might participate specifically in the malignant transformation of NSCs. It has been recently described that the imprinted lncRNA *MEG3* acts as a tumor-suppressor gene in GSCs, inhibiting cell growth, migration and colony-forming abilities of GSCs *in vitro* (60). Moreover, the imprinted gene *DLK1*, essential for the maintenance of NSCs in the murine adult SVZ (42, 88), increases its expression in human glioma and promotes proliferation of GBM cell lines (60, 63). Nonetheless, the molecular mechanisms governing the tumor suppressing or promoting activities of these genes and other imprinted genes in GBM remain elusive.

In order to further elucidate the potential regulation of genomic imprinting during malignant transformation of NSCs, we performed an analysis of single-cell RNA sequencing data, which had been previously generated from 28 human GBM samples (88), and compared it with non-malignant oligodendrocytes and adult human NSCs (89). Of the 222 imprinted genes analyzed, 92 showed significant expression in oligodendrocytes and 68 were expressed in human NSCs. Interestingly, more than 70% of these genes were altered in GBM when compared to non-malignant oligodendrocytes (**Figure 3A**), whereas only 16% of genes were altered when compared to human NSCs (**Figure 3A**). This suggests that the transcriptomes of NSCs are more closely related to those of tumor cells than to non-malignant cells.

It has been described that malignant cells in GBM exist in four main cellular states that recapitulate distinct neural cell types within the tumors: oligodendrocyte-progenitor-like (OPC-like), astrocyte-like (AC-like), mesenchymal-like (MES-like)



and neural-progenitor-like (NPC-like) states (88). Importantly, plasticity between states and the potential for a single cell to generate all four states have been shown. Based on the same single-cell RNAseq datasets, we performed a tSNE dimensional reduction analysis taking into account only the molecular profiles of the 222 imprinted genes present in the expression matrix. On top of the tSNE plot, cells were color-coded according to their assignment as each of the four tumoral states (88). Based on the expression of imprinted genes only, cells appeared as visually distinctive groups that nicely matched either their

cell states in case of GBM cells, or their cell type in case of non-malignant cells (Figure 3B). Non-malignant cells, which highly expressed previously described markers of specific cell types such as oligodendrocytes, macrophages or T cells (88), formed three discrete groups at the bottom of the plot clearly separated from GBM cells (Figure 3B). Aiming to compare the single-cell transcriptomes of GBM cells and bulk RNA-seq datasets previously generated of NSCs (89), we averaged the single-cell datasets to convert them into comparable pseudo-bulk datasets and repeated the dimensional reduction analysis.

Interestingly, the resulting plot indicated that the distance in the two-dimensional plane was not higher between NSCs and GBM states than among the four tumoral subtypes (Figure 3C). Our analysis overall indicates that imprinted gene expression programs might have biological significance in tumor identity, thus being of potential value for diagnosis and GBM treatment.

CONCLUDING REMARKS

Genomic imprinting is an epigenetic phenomenon consisting in the expression of imprinted genes only by one allele depending on its parental origin. This process is susceptible to alterations that not only can cause some human syndromes but are also involved in cancer development. Indeed, some imprinted genes act as oncogenes or tumor suppressor genes and have been involved in malignant transformation. In GBM, which is the most frequent and malignant primary brain tumor in humans, the misexpression of some concrete imprinted genes has been previously described. In this review, we show the results of an expression data analysis performed in GBM and non-tumor samples, confirming that an extensive alteration in the expression of imprinted genes does exist in GBM. Although the cell-of-origin of GBM has not been completely elucidated yet, NSCs seem to be good candidates as they share multiple features with GBM cells. There is emerging evidence pointing out that NSCs could undergo malignant transformation and give rise to GBM, and that genomic imprinting could be important in this process. In contrast to other non-malignant cells, adult NSCs from the human SVZ cannot be distinguished from GBM cells based on imprinted gene expression data, supporting the hypothesis that NSCs

are the cells-of-origin of GBM. Taken together, all these data reveal genomic imprinting as an important epigenetic mechanism in GBM origin and development, and thus make aberrations of imprinting a potentially valuable tool for both diagnosis and cancer treatment. However, the causal relationship between aberrations of imprinting and GBM formation has not been resolved yet and needs to be studied further in the future.

AUTHOR CONTRIBUTIONS

AL-U and EJ-V performed the GlioVis analysis. AJ-P performed the RNAseq data analysis. SF initiated, designed, and wrote the manuscript. All authors contributed to data analysis, discussion, and writing of the paper.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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