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ARTICLE

Optimisation of a Venturi shaped structure around a vertical axis tidal turbine

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1 ABSTRACT

Tidal energy is one of the worlds most predicable renewable energy sources and 2 therefore holds great potential to be a valuable building block for the decarbonisa-3 tion of the electricity production. This paper focuses on a vertical axis tidal turbine 4 utilising a Venturi shaped outside structure (shroud) to accelerate the flow speed 5 at the turbine. This concept is known as Davidson Hill Venturi (DHV) turbine. By 6 constructing the nozzle and diffusor using hydrofoils, initial demonstrations indi-7 cate increased system efficiency. However, due to the potential number of hydrofoil 8 geometric and structural variations, only a general description of the location of 9 the hydrofoils is provided in order to facilitate modelling while allowing for future 10 geometric variations to be devised. The conducted investigations focus on the influ-11 ence of the nozzle and diffusor sections as the main geometry variations, identifing 12 the length component in the orthogonal direction as the dominant parameter. A 13 combined variation indicates higher velocity values are connected with larger forces, 14 which must be supported by the devices structure. Slight improvements from the 15 provided reference geometry were found as variations of hydrofoil placement and 16 17 spacing were simulated. Thus, the main conclusion is that the reference geometry needs only small adaptations, which will be investigated further in a 3D-simulation 18 study, including turbine interaction and rotation. 19

20 KEYWORDS

21 Tidal turbine; vertical axis; optimisation; Davidson Hill Venturi (DHV);

22 ANSYS-CFX; SpaceClaim; Python;

23 1. Introduction

The kinetic energy of tidal currents are a renewable energy source, which is highly 24 predicable and can provide a key component for the future fully de-carbonised electri-25 cal energy production (Bahaj, 2013; Khojasteh et al., 2022). A wide range of turbine 26 concepts are currently investigated (Roshanmanesh, Hayati, & Papaelias, 2020). For 27 example, they can be classified by the orientation of their rotation axis in vertical and 28 horizontal axis turbines (Behrouzi, Nakisa, Maimun, & Ahmed, 2016; Khan, Bhuyan, 29 Iqbal, & Quaicoe, 2009) or also in floating and bottom mounted devices. A wide 30 range of different blades are used including open centre horizontal axis turbines (Bel-31 loni, Willden, & Houlsby, 2017; Borg, Xiao, Allsop, Incecik, & Peyrard, 2020, 2021), 32

twisted blades (Mosbahi, Elgasri, Lajnef, Mosbahi, & Driss, 2021) or deflector blades
(Patel & Patel, 2022). Blades can be either fixed, provide a variable pitch (Gu, Lin,
Xu, Liu, & Li, 2018; H. Liu, Li, Lin, Li, & Gu, 2020) and/or yaw angle (Modali,
Vinod, & Banerjee, 2021; qi Wang, Xu, qing Zhu, & Wang, 2018) or even change the
shape (Pisetta, Le Mestre, & Viola, 2022) to further improve the efficiency. Further
classifications can be made using the generator, nacelle types, supporting structure
and generators (Roshanmanesh et al., 2020).

In addition to the turbine structure itself, various methods are available to increase 40 the flow speed at the turbine and therewith improve the overall performance of the 41 turbine. For example, Z. Liu, Wang, Shi, and Qu (2019) investigates four additional 42 hydrofoils placed as a guide-vane diffuser using ANSYS-Fluent. Hua-Ming, Xiao-Kun, 43 Lin, Lu-Qiong, and Qiao-Rui (2020) used the software STAR-CCM+ to investigate 44 different ducts in a current flow limited by close side banks. This investigation was 45 conducted as a 3D simulation but without a turbine present. A three bladed vertical 46 axis turbine was simulated by El-Sawy, Shehata, Elbatran, and Tawfiq (2022) under 47 river conditions. An integration in a floating configuration is also possible (Hardisty, 48 2008). More commonly used for free stream turbines is a diffusor similar to the ones 49 for wind turbines (Arumugam, Ramalingam, & Bhaganagar, 2021; Noorollahi, Ghan-50 bari, & Tahani, 2020; Nunes, Brasil Junior, & Oliveira, 2020). Those structures can 51 be optimised for on single flow direction or allow a bi-directional usage (Fleming & 52 Willden, 2016), which enables a fixed installation. Such ducted geometries can be very 53 simple structures but also include a variety of complex shapes and combined struc-54 tures, as investigated by Huang et al. (2022). This paper investigates a Venturi shaped 55 structure, which assembles multiple hydrofoils in order to accelerate the available flow 56 through the vertical axis turbine (Kirke, 2011). A summary of shapes and the influence 57 of diffusors and horizontal axis turbines can be found in Nunes et al. (2020). Walker 58 and Thies (2021) indicates the percentage of failed tidal turbines, are higher hence the 59 loads due to the increased velocity are higher. Nevertheless, they indicated that with 60 improved materials and optimised concepts the potential of ducted turbines can be 61 significant. 62

Ongoing research challenges can be found in the simulation of the turbine itself, 63 which can be simplified by various approximations (Baratchi, Jeans, & Gerber, 2020). 64 Ke, Wen-Quan, and Yan (2020) compares bares a horizontal axis turbine with a range 65 of diffusors under a distributed inlet velocity as well as the interaction of multiple 66 turbines in an array. Multiple vertical axis turbine arrays are investigated by Sun, 67 Ji, Zhang, Li, and Wang (2021). Ahmed, Apsley, Afgan, Stallard, and Stansby (2017) 68 studied the influence of the velocity distribution and compared it with field data and 69 Badshah, Badshah, and Kadir (2018) used a Fluid-Structure-Analysis to quantify the 70 influence of a realistic velocity distribution in relation to a homogeneous approach. 71

The overall design of tidal turbines is strongly reliant on numerical simulations 72 (Nachtane, Tarfaoui, Goda, & Rouway, 2020). Additional, experimental investigations 73 allow specific measurement data to be compared leading to improvements within the 74 numerical models. A good example can be found in the paper by Badoe et al. (2022), 75 who simulated up to three tidal turbines under a comparable complex flow conditions 76 representing the unique FloWave Ocean Energy Research Facility (part of the Uni-77 versity of Edinburgh). This facility provides a raisable floor for the dry installation of 78 79 not only tidal turbine model and ensures a highly repeatable flow condition of up to 1.6 m/s rotatable by 360° due to the circular arrangement of the flow drives. W. Liu et 80 al. (2022) validated their numerical model of a diffuser-augmented tidal turbine with 81 a towing tank experiment. Feng et al. (2022) used a flume to compare the wake inter-82

action between two ducted horizontal axis turbines. Obviously, the next step for the
validation is the deployment of the optimised structure combined with a competitive
measurement system to reduce the uncertainties in the validation.

The presented research work uses a similar approach to Maduka and Li (2021), who 86 investigated a ducted vertical turbine using a reduced 2D-approach and simplified the 87 turbine with an actuator disc approach in the numerical software OpenFOAM. In 88 contrast to this, the current paper focuses on an application of a ducted vertical axis 89 tidal turbine and introduces a basic description of the Venturi shaped shroud of the 90 Davidson Hill Venturi (DHV) turbine. The supporting structure of the turbine device 91 is in part assembled using hydrofoils arranged either side of the inlet, parallel to the 92 axis of the turbine, improving the acceleration of the flow at the turbine. All numerical 93 simulations are conducted with a commercial code ANSYS-CFX. The geometry gen-94 eration is provided in the SpaceClaim specific IronPython as well as the open Python 95 code (Gabl, Burchell, Hill, & Ingram, 2022). This ensures that the conducted varia-96 tions can be reproduced and expanded with any solver. The methodology is described 97 Section 2, which includes a reference geometry (Sec. 2.4) as well as the verification of 98 the 2D-numerical simulation (Sec. 2.6). A key output of the paper is the variation of 90 the main geometry parameters as well as the embedded hydrofoils (Sec. 3). Section 4 100 provides a discussion of the chosen methodological approach. The investigation is con-101 ducted with a reduced complexity of numerical simulations and allow the identification 102 of the optimum shape, which will be further numerically tested in a fully 3D-setup 103 to bring this concept closer to a commercial deployment producing fully predicable 104 renewable energy from river, canal and tidal flows. 105

106 2. Materials and methods

107 **2.1.** Overview

A standard tidal turbine requires the blades, generator and support structure. One of 108 the unique features of the Davidson Hill Venturi (DHV) turbine is the outer struc-109 ture, which contains multiple hydrofoils forming a Venturi channel (DHV Turbines 110 Ltd, 2022; Kirke, 2011). This concept was invented by Aaron Davidson and Craig Hill 111 and is commercialised by DHV Turbines Ltd. The smallest cross sectional area occurs 112 at the turbine position, which augmentates the incoming flow resulting in increased 113 fluid velocities acting on the turbine. As part of the ongoing research work, a gener-114 alised geometry description is suggested, providing the current conducted parameter 115 variation as well as future additional work. The conducted numerical simulations are 116 limited to a 2D-approach and focuses on the guide structure only, neglecting interac-117 tion with the turbine. Section 2.2 introduces the solver and basic numerical settings. 118 A local coordinate system is introduced in Section 2.3 for the geometry description. 119 shown as the reference geometry in Section 2.4. The wide range of numerical results 120 are analysed, with primary focus on the turbine cross section (Sec 2.5). Section 2.6121 summarises the key aspects of the verification process, namely the size of the fluid 122 domain and the mesh test. All these components are required for the variation of the 123 geometry presented in Section 3 and a discussion of the methodology can be found 124 in Section 4. The optimised geometry will be further numerically investigated and 125 refined, with future experimental investigation and deployment required in order to 126 validate the modelling data. 127

128 2.2. Solver and numerical settings

The presented numerical investigation uses the commercial Computational Fluid Dy-129 namic (CFD) software ANSYS-CFX (version 2020 R2). This software was used for a 130 wide range of numerical studies in specific aspects of tidal turbines. Sun et al. (2022) 131 found that a four bladed vertical axis turbine brings significant advantages in the 132 starting performance based on simulations with CFX. Sun, Ma, Wang, and Li (2019) 133 focused on the fluctuation of the angular speed and also conducted specific validation 134 experiments in the laboratory. Rehman et al. (2021) investigated the wake behind a 135 single horizontal axis turbine utilising this software and Allmark et al. (2020) inte-136 grated it into the design and blade optimisation of a scaled turbine. In combination 137 with other ANSYS products, further capabilities to couple physics and conducted 138 Fluid-Structure-Interaction (FSI) simulations are attainable, as shown by Badshah, 139 Badshah, and Jan (2020) and Ullah et al. (2019). While a key application area of the 140 software is the investigation and optimisation of turbines (Mulu, Cervantes, Devals, 141 Vu, & Guibault, 2015; Picone, Sinagra, Aricó, & Tucciarelli, 2021), a wide range of 142 further applications can be found (Lee, Seong, & Kang, 2018; Richter et al., 2021). 143 Recent validation experiments include the optimisation of an inlet-outlet structure 144 (Bermúdez et al., 2017) and spillway (Andersson, Andreasson, & Lundström, 2013). 145 The high degree of validation within the numerical solver allows this paper to focus 146 fully on the verification as presented in Section 2.6. 147

All simulations use the assumption of a 2D-approach, which is realised by a single 148 cell size in the vertical z-direction. The size of the fluid domain is chosen based on the 149 verification process presented in the Section 2.6. A constant homogeneous velocity is set 150 at the inlet face. Nominally the inlet speed is set to 1 m/s, however for completeness the 151 effect of speed variation is assessed and can be found in Section 3.9. On the opposing 152 side of the fluid domain, the outlet is set as a pressure outlet with a constant static 153 pressure of 1 bar to ensure that cavitation is not an issue. All other sides of the fluid 154 domain box are set to symmetry with the investigated structure allocated wall (no slip 155 wall option with a roughness setting of smooth wall). The simulated fluid is standard 156 water (density = 997.0 [kg m⁻³]), while isothermal approach is used at a constant 157 temperature of $10^{\circ}C$. No buoyancy is considered. 158

The standard steady state solver computes the Reynolds-Averaged Navier-Stokes 159 (RANS) equations, while the closure problem is solved based on the Shear Stress 160 Transport (SST) turbulence model (F. Menter, 1993, 1994; F. R. Menter, Kuntz, & 161 Langtry, 2003). This turbulence model blends a $k - \omega$ and $K - \epsilon$ turbulence model 162 to combined the advantage of each specific model. The SST model is widely used for 163 a broad range of solver (Cindori, Čajić, Džijan, Juretić, & Kozmar, 2022; He, Zhao, 164 Wan, & Wang, 2022; Sarkar & Savory, 2021; Yi, Wang, Sun, Huang, & Zheng, 2017). 165 Due to the fact that the geometry is varied in a significant way and introduces different 166 flow regimes, the auto time scale function of the solver is used with a time scale factor 167 of 1. For some exemplary cases a modification to 0.1 and 10 was investigated, which 168 resulted in a slower convergence behaviour of the numerical solution. The solver runs 169 in all cases using double precision to reduce the potential influence of rounding errors. 170 Three additional monitor points of velocities in the cross section of the turbine are 171 used to ensure that the solution is converged and can be stopped. Further verification 172 studies can be found in Section 2.6. 173

174 2.3. Local coordinate system

204

A local coordinate system is defined so that the z-axis is coincident to that of the vertical axis turbine. The positive x-axis is orientated along the main flow direction resulting in a positive velocity. Thus the x-z-plane is the symmetry plane for the geometry and the y-axis aligns according to the right handed coordinate system. The origin for the 2D-dimensional simulations was placed on the bottom part of the axis.

180 2.4. Reference geometry and parameters

The overall geometry of the DHV turbine includes a large number of variables, with 181 each having significant impact on the overall efficiency, production cost and mainte-182 nance of the turbine. In order to reduce the number of parameters within the design, 183 the first step focuses on the outer Venturi structure and assumes that the turbine itself 184 can be optimised in a following assessment. Similar assumptions are made for the sup-185 port structure. The previously conducted experimental and numerical investigations 186 ensured the principal validation of the turbine concept (Kirke, 2006, 2011) as well as a 187 fair amount of parameter variations. A full investigation of all parameters and combi-188 nations would exceed the time frame of any research project. Hence, a limited amount 189 of combinations are investigated starting from the later described reference geometry. 190 Nevertheless, a key step is the full parametrisation of the geometry so that the later 191 gained results can be easily documented, reproduced and expanded upon. The decision 192 was made to provide this in the from of a Python code, which is available in Gabl et 193 al. (2022). This also publishes a specific IronPython version, which is allows for the 194 geometry definition in 3D CAD modelling software SpaceClaim. Therewith a full in-195 tegration in the ANSYS WORKBENCH is possible and the fluid solver ANSYS-CFX 196 was used for this project. 197

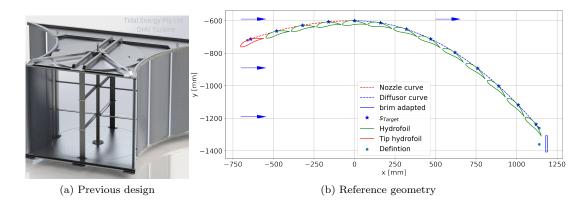


Figure 1. Overview of the previous design (a) provided by DHV Turbines Ltd and the half side of reference geometry (b) and the vertical axis turbine rotating in the origin of the coordinate system

For the following geometry investigation an initial reference geometry (Figure 1 (b)) was utilised, based on a previous DHV demonstrator project. These values are presented in combination with the specific geometry parameters as follows:

- One of the defining parameters of the housing geometry is the radius of the vertical axis turbine R_{Turb} . It is chosen to 0.6 m for the reference geometry and later used to standardise all length of the geometry.
 - Both sides of the Ventri channel are symmetrical along the x-z-plane and split

at the y-z-plane (turbine location) in two parts: nozzle and diffusor. As shown 205 in Figure 1 (b), this shape is defined by three points for the main curves. The 206 centre one is defined by the turbine with R_{Turb} and the coordinates of the outer 207 two points are defined by the R_{Front} and L_{Front} as well as R_{Back} and L_{Back} . 208 In the case of the reference geometry, shown in Figure 1 (b), the combination 209 of $[R_{Front}, L_{Front}, R_{Back}, L_{Back}]$ equal $[1.2, 1.1, 2.1, 1.9] \cdot R_{Turb}$ was chosen. The 210 radius describes the distance/length in the y-direction according to the local 211 coordinate system. 212

• A bell curve is defined between these points, chosen based on the Alkhabbaz, Yang, Tongphong, and Lee (2022), which includes the work of Khamlaj and Rumpfkeil (2018b, 2018a). Eq. (1) presents the nozzle (with a negative x-value indicated by x_{neg}) and diffusor (x_{pos}) part aligned along the flow direction on the right side of the geometry (Fig. 1 (b)).

$$y_{neg} = R_{Turb} - 1 - x_{neg}^2 \cdot (R_{Front} - R_{Turb}) / L_{Front}^2$$
$$y_{pos} = R_{Turb} - 1 - x_{pos}^2 \cdot (R_{Back} - R_{Turb}) / L_{Back}^2$$
$$with - 1 \cdot L_{Front} \le x_{neg} \le 0 \text{ and } 0 \le x_{pos} \le L_{Back}$$
(1)

• The hydrofoil profiles are then located to these curved forms. For the reference 213 geometry a GOE 222 (MVA H.33) AIRFOIL (Airfoil Tools, 2022) with a chord 214 length c_{Foil} of 150 mm was chosen. The local reference point for the hydrofoil 215 is placed at the mid point of the chord length at the maximum height (Gabl 216 et al., 2022). This ensures that the hydrofoil does not interfere with the cross 217 sectional area of the turbine. Downstream of the central position on each side, 218 the bell curves split into segments with a constant chord length of s_{Target} equal 219 160 mm. The implementation is shown in Gabl et al. (2022) and results in an 220 integer number of hydrofoils for each side. As shown in Figure 1 (b), the individ-221 ual reference point for the last hydrofoil is still inside of the definition point but 222 the hydrofoil reaches outside of the curve. Limiting the design to one single size 223 hydrofoil with constant chord spacing might swallow smaller geometry changes 224 but seems to be more practical than variations of the hydrofoil sizes. Variable 225 hydrofoil size would result in significant higher cost of the structure. Further-226 more, each hydrofoil is rotated based on the differential of the curve to ensure 227 that the tangential direction is similar to the bell shape. Additional variables, 228 which allows for a constant changes to the angle of attack of all hydrofoils as 229 well as a specific modification of the first hydrofoil (red foil in Fig. 1 (b)) in 230 the flow direction, are included in the Python code. The additional angle of the 231 orientation of the first hydrofoil in the flow direction is investigated in Section 16. 232 In addition to the hydrofoils, a brim is included in the reference geometry. The 233 height (y-direction) is 100 mm and the thickness is 10 mm. These values were 234 primarily chosen to be small but still clearly have an influence in the mesh 235 generation. A specific investigation of the size of the additional brim is presented 236

in Section 3.3.

237

The last two noteworthy aspects of the geometry definition, namely the hydrofoils as well as the brim, are clear differences to the previous tested design of the DHV turbine. In some aspects a smaller hydrofoil has advantages compared to the larger profiles previously deployed. Smaller shapes can be extruded (ideal for a mass production) instead of welding single pre-cut parts. In the original configuration of the DHV turbine a brim structure was absent from the design. A wide range of investigations show the efficiency of such an additional blockage element for wind turbines (Alkhabbaz et al., 2022; Arumugam et al., 2021; Khamlaj & Rumpfkeil, 2018b, 2018a; Nunes et al., 2020). To test the influence in the case of a DHV turbine a small brim section is initially added on both sides at the end of the bell shape. Its upstream edge, closest to the symmetry plane, is similarly placed to the reference points of the hydrofoils but, with half the chord distance Δs_{Brim} equal to 80 mm. The variation of the geometry is discussed and the results are presented in Section 3.

251 2.5. Post-Processing

267

The post-processing of the numerical results focuses on the velocity distribution rep-252 resented by the variable vel. The actual flow direction is not considered and further 253 detailed analysis can be used for the evaluation of the full geometry (Gabl, Achleitner, 254 Neuner, & Aufleger, 2014). Three different control sections are defined. One full cut 255 through the fluid domain as a y-z-plane (coordinate system is described in Sec 2.3) 256 with an x-value of -3 m for the inflow conditions and another at x=6 m for the outflow. 257 The main control cross section is set in the centre of the turbine axis orientated in the 258 y-z-direction but limited to R_{Turb} +10 mm to each side. This allows specific analyse 259 the flow between the two structures at the location of the turbine. Values referencing 260 this cross section are indicated with the index $_{c}$. The other two cross sections are used 261 for the verification of the inflow and outflow and are not specifically reported in this 262 paper. For the main analysis the following parameters are used: 263

- area-averaged velocity at the turbine cross section \overline{vel}_c using the ANSYS CFD-Post function areaAve(vel)
- total forces in x-direction F_x based on the calculation sum(Force X)
 - kinetic energy flux coefficient α_c based on the Eq. (2)
- extreme values (minimum and maximum) of vel_c

The first two values, namely \overline{vel}_c and F_x , are the main parameters for the analysis 269 presented in Section 3. The average of the full cross section was deliberately used for 270 the velocity value, while the velocity distribution was evaluated with the help of the 271 α_{c} -value. This coefficient, which is used to correct the standard Bernoulli's equation 272 for the real kinetic energy $E_{kin,real}$, is typically larger than the homogeneous approach 273 for the theoretical value $E_{kin,theo}$. Fully developed laminar flow in a pipe cross section 274 results in a parabolic velocity distribution and a α -value of 2. Typical turbulent flows 275 are in the range of 1.2 (Gabl et al., 2014; Gabl & Righetti, 2018; Ward-Smith, 1980). 276 The α -value is calculated based on the following equation: 277

$$\alpha_c = \frac{E_{kin,real}}{E_{kin,theo}} = \frac{1}{A} \cdot \int_A \left(\frac{vel}{vel_m}\right)^3 dA \tag{2}$$

This equation can be included in ANSYS CFD-Post using the CFX Expression Language, or CEL, as an expression with the location *Plane2* references the cross section at the turbine:

In addition to this global evaluation value, the minimum and maximum value of the cross section is analysed to find the range of the flow velocities at the turbine. Note that the α and extreme values are only shown if they are particular interesting for the analysis. Section 3 includes example analysis of velocity contour plots. Those plots always show the x-y-plane and the influence of the z-direction can be neglected due to the a 2D nature of the simulation. If not otherwise stated, the colour bar for the velocity plots are limited from 0 to 3.5 m/s, which allows ease of comparison between the various geometry investigations provided.

290 2.6. Verification

The verification process includes an investigation of the fluid domain size based on a 291 first pass approximation of the computation mesh, which was subsequently modified 292 in a second step. A compromise between the distance of the boundary conditions to 293 the main investigated part as well as the overall computational cost of the simulations 294 is targeted, while ensuring that the resulting outcomes are independent of the chosen 295 mesh input variable. For all presented simulations, the reference geometry was inves-296 tigated as introduced in Section 2.4. The derived variables and analyses are presented 297 in Section 2.5. 298

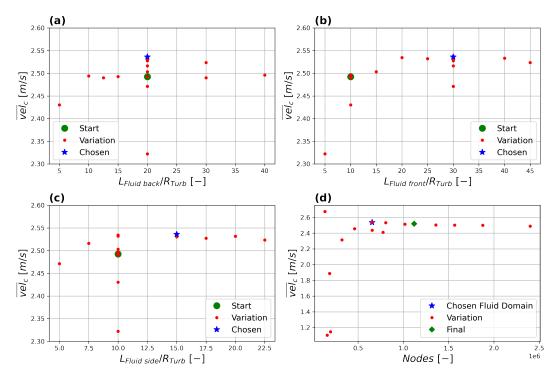


Figure 2. Summary of the verification process with a specific mark of the start as well as the final chosen value — (a-c) present the full set of fluid domain changes but as a result of to the individual investigated length — (d) comparison of the different investigated meshes.

An initial volume for the fluid domain was chosen based on a rectangular cuboid with 299 three length (all referenced from the origin of the local coordinate system, Section 2.3) 300 and a thickness. The near side length $L_{Fluid front}$ defines the dimension in the negative 301 x-direction and was initial chosen to be equal $10 \cdot R_{Turb}$. While, both sides were 302 extended out to $L_{Fluid side}$ of $10 \cdot R_{Turb}$ from the symmetry plane, resulting in a total 303 width of $20 \cdot R_{Turb}$. The downstream part of the fluid domain was expanded with 304 a length of $L_{Fluid back}=20 \cdot R_{Turb}$. This values is highlighted as a starting value in 305 the graphs provided in Figure 2 (a-c). All three graphs show the same outputs but 306

mapped against the alternative investigated lengths. To start with, the downstream 307 section of the fluid domain was varied. Figure 2 (a) shows that the range of 10 to 308 $40 \cdot R_{Turb}$ results in very similar values for the chosen indicator vel_c . The upstream 309 section was chosen to be too short in the first assumption and hence expanded to 310 $30 \cdot R_{Turb}$. Similarly, the side lengths were expanded to $15 \cdot R_{Turb}$. All dimensions 311 were deliberately increased to accommodate the expansion of the bell shapes and any 312 expected modifications of the geometry. The total thickness of the fluid domain was 313 set to the depth of a single cell of 10 mm, thus resulting in a 2D-simulation. The 314 thickness (fluid domain in the z-direction) was modified to 5 and 100 mm, which had 315 no influence on the velocity distribution. 316

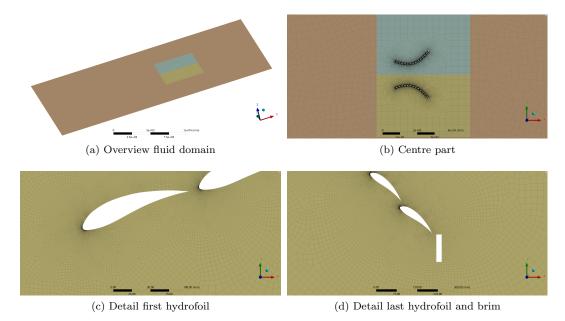


Figure 3. Final meshing used for the 2D-investigation using the reference geometry.

Following the variation of the fluid domain, the meshing strategy and resolution was 317 modified. Figure 2 (d) presents the overview of the conducted mesh test. All meshes 318 were 2D-dimensional with one cell height in the z-direction. Due to the automated 319 geometry generation and high degree of variability, only global mesh parameters were 320 set, which influence the complete fluid domain. The final mesh deploys the following 321 settings with the chosen values: Element size (50 mm), growth rate (1.05 [-]), max 322 size (100 mm), defeature size (0.05 mm), curvature min size (0.05 mm) and curvature 323 normal angle (0.5 deg). The MultiZone method was used to fill the fluid domain with 324 hexagonal shaped cells and in total the mesh included over 1.1. million nodes. Due to 325 the fact that this meshing method is limited to a single core, mesh generation took a 326 comparably long time and the decision was made to split the complete fluid domain 327 in three sub domains. As shown in Figure 3, each side of the geometry is cut out with 328 a total length in x-direction of $9 \cdot R_{Turb}$ (3 upstream and $6 \cdot R_{Turb}$ downstream of the 329 turbine axis) and individual width of $6 \cdot R_{Turb}$. This results in an interface boundary 330 but allows to distribute the mesh generation to more processors. 331

As a final assessment the selected mesh was run within the fluid domain, which was expanded by the factor 1.5 in the x-y-plane. For this expanded case the area-average value of the velocities at the turbine were smaller by 0.01277 m/s representing 0.504% of to the original average value.

336 3. Results

337 **3.1.** Overview

The presented results can be grouped into four different categories: (a) the shape of the bell curve described by the definition points, (b) the angle of the first hydrofoil, (c) the shape and size of the hydrofoils and (d) the inflow velocity, which is presented in the Section 3.9.

An overview of the major variation grid for the nozzle and diffusor part is shown 342 in Figure 4. The reference value, presented in Section 2.4, is marked. This figure also 343 provides the tangential angle of the hydrofoil to the outside of the bell curve. In 344 addition to the colour bar, two exemplary values are marked with 10 and 55° as a 345 reference. The latter presented results also include further refinement, however, this 346 is not included in this overview. Both parts are varied separately, while the other 347 side is kept at the reference geometry. Section 3.2 presents the variation of the nozzle 348 geometry and the investigation of the diffusor is combined with the extension of the 349 brim in Section 3.3. For all those variations the radius of the vertical turbine R_{Turb} is 350 kept constant at 600 mm. Section 3.4 provides the investigation of this parameter in 351 two ways and compliments the geometry variation. The narrowing of the structures 352 geometry resulted in an interesting result, which is further investigated in Section 3.5 353 by scaling both sides of the structure with a constant factor. In addition, the angle of 354 the first hydrofoil in the flow direction is investigated in Section 3.6. 355

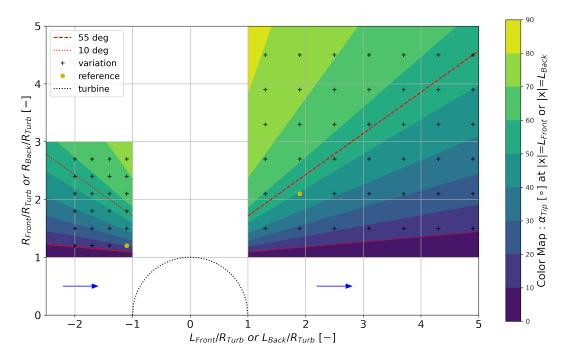


Figure 4. Overview of the main grid for the geometry variation normalised by the turbine radius R_{Turb} with a colour map of the angle α_{Tip} of the first (for the nozzle) or last hydrofoil using this definition point. A value of $\alpha_{Tip} = 0^{\circ}$ indicates orientation along the x-axis and 90° along the y-axis. The reference value is described in Section 2.4.

The second main group of the variation is the investigation of the hydrofoils itself. Section 3.7 scales the length of the individual hydrofoil as well as the distance between the standard foil. Up to this point, all simulations are conducted with a hydrofoil profile ³⁵⁹ based on the GOE 222 Airfoil (Airfoil Tools, 2022) and only within Section 3.8 is the
³⁶⁰ hydrofoil geometry changed. Due to various factors, it was assumed that only one
³⁶¹ single type of hydrofoil is used at any time in the variation. A discussion of the used
³⁶² methodological approach is provided in Section 4.

363 3.2. Variation of the nozzle

The first variation of the geometry is to alter the the nozzle of the Venturi structure. 364 For those cases, the downstream part is fixed with reference values, as described in 365 Section 2.4. The results for this variation are provided in Figure 5, which presents the 366 area-average velocity value vel_c of the turbine cross section in the upper row and the 367 total forces F_x in the x-direction. Note that the latter is normalised by the reference 368 value. Each graph shows the same numerical results but, the left column presents it in 369 relation to the standardised value of the inlet radius R_{Front} and the length L_{Front} in the 370 right column of Figure 5. A variation grid of 180 mm $(0.3 \cdot R_{Turb})$ was investigated for 371 both variables defining the inlet bell. In addition, specific addition points were run to 372 further investigate specific combinations and enrich specific parts with a high gradient. 373

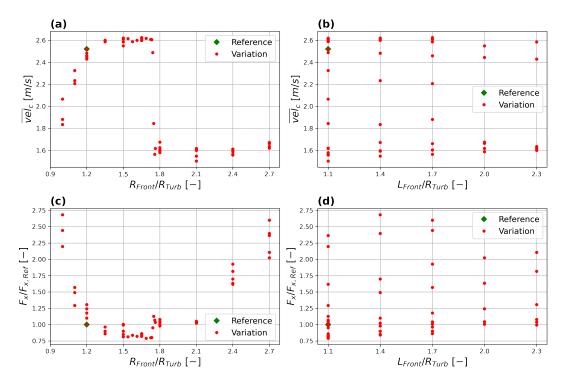


Figure 5. Variation of the nozzle with a fixed diffusor $[R_{Back}, L_{Back}] = [2.1, 1.9] \cdot R_{Turb}$ — area-averaged velocity at the turbine cross section \overline{vel}_c depending on the opening radius R_{Front} (a) and sorted by the length L_{Front} (b) — Forces in x-direction F_x standardised by the reference value (c-d) — all length standardised by the turbine radius R_{Turb} .

By varying R_{Front} and length L_{Front} and comparing the outcomes, the dimension in the orthogonal direction to the main flow direction, namely R_{Front} , is shown to have a significant influence. Figure 5 (a) indicates that an increasing opening width R_{Front} results in an increasing area-averaged velocity at the turbine cross section \overline{vel}_c up to a certain level, which is followed by a drop in the efficiency of the inlet structure. This specific section is refined down to a level of 5 mm steps for the R_{Front} . The jump occurs for a R_{Front} value in the range of $1.74583 \cdot R_{Turb}$ (between 1045 and 1050 mm). In reality, such close points would not be advisable as construction tolerances could potentially have a significant influence of the turbines operation. Therefore, a higher level can be achieved starting with $1.5 \cdot R_{Turb}$ in order that the aforementioned jump can be avoided.

The total force in the x-direction F_x acting on the structure increases for both smaller and larger values with a minimum around $R_{Front}=1.5 \cdot R_{Turb}$. Consequently, both values would indicate that the R_{Front} could be slightly increased.

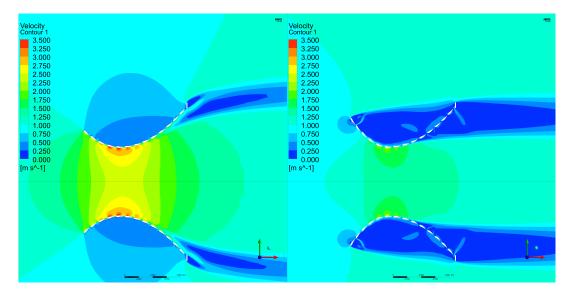


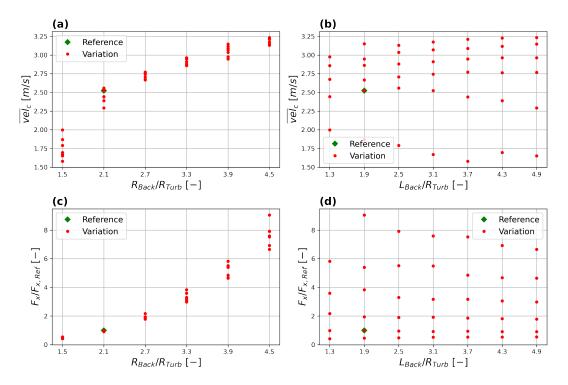
Figure 6. Velocity plot comparing $R_{Front}=1.5 \cdot R_{Turb}$ (left) and $R_{Front}=1.8 \cdot R_{Turb}$ (right) with a fixed $L_{Front}=1.1 \cdot R_{Turb}$.

As shown in Figure 6, a velocity drop occurs due to recirculation zone changes. A larger R_{Front} value results in the side structure acting like a blockage and reducing the flow speed inside to near zero. Consequently, this is not only influenced by the nozzle but also of the diffusor, including the brim as well as the flow speed. In the case of the larger R_{Front} (Fig. 6 right), a leakage of the flow behind the first hydrofoil is obvious, which indicates that this combination does not guide the flow as intended.

The main conclusion, which can be drawn based on this variation assessment, is that the inlet dimension of the reference geometry is in a satisfactory range. The parameter R_{Front} is critical but an expansion close to or even beyond the outlet R_{Back} results in no further improvements. Such a drop must be prevented and the final design should tolerate some uncertainty to stay in the correct flow regime.

399 3.3. Variation of the diffusor including brim

The variation of the nozzle part of the Venturi structure indicated that the diffusor 400 section should reach further out in the orthogonal direction as the front part. Conse-401 quently, smaller R_{Back} values are excluded and larger steps for the variation of 360 mm 402 $(0.6 \cdot R_{Turb})$ are investigated. Figure 7 provides an overview similar to Figure 5, which 403 is described in Section 3.2. Similarly to the front, the parameter R_{Back} has a more 404 significant influence than the length L_{Back} . An increase of R_{Back} not only directly 405 enhances the average velocity at the turbine section \overline{vel}_c but also the intensify the 406 total forces acting on the structure. It has to be highlighted that the increase from 407



⁴⁰⁸ 2.5 m/s to close to 3.25 m/s with the larger R_{Back} results in scaled forces, multiplied ⁴⁰⁹ by a factor of about 8.

Figure 7. Variation of the diffusor with a fixed nozzle $[R_{Front}, L_{Front}] = [1.2, 1.1] \cdot R_{Turb}$ — area-averaged velocity at the turbine cross section \overline{vel}_c depending on the opening radius R_{Back} (a) and sorted by the length L_{Back} (b) — Forces in x-direction F_x standardised by the reference value (c-d) — all length standardised by the turbine radius R_{Turb} .

Hence large R_{Back} act similar to a brim, the result effects of including this additional 410 blockage element are described within this section. Starting from the reference geom-411 etry, which is presented in Section 2.4, the length of the additional brim is changed, 412 results of which are presented in Figure 8. With an initial R_{Back} of $2.1 \cdot R_{Turb}$, the 413 brim reaches out further $2.4 \cdot R_{Turb}$ and consequently covers a blockage of $4.5 \cdot R_{Turb}$, 414 which is similar to the maximum expansion of the geometry parameter R_{Back} of the 415 diffusor (Fig. 7). An increasing h_{Brim} causes a direct increase of the indicator vel_c 416 at the turbine cross section but also a significant increase of the forces F_x acting on 417 the overall structure. The conducted variation of the h_{Brim} indicates that the velocity 418 converges in the range of 3.2 m/s, while the forces are increased by a factor of 8. This 419 is very similar to the variation of the R_{Back} and explains why both parameters are 420 detailed jointly within this section. Clearly, the optimisation of both parameters is not 421 driven by the hydraulic characteristic but instead by construction costs. 422

Figure 9 presents the extreme cases for the variable R_{Back} with the shortest length 423 L_{Back} . The geometry with the largest R_{Back} introduces a massive blockage and acts 424 more like a brim with holes. Based on the literature (Nunes et al., 2020), an inclination 425 of the brim to the front instead of to the back might be advantageous. The observed 426 convergence behaviour of the simulation with more extreme values indicates that the 427 flow is not longer steady state and a transient solver would be more appropriate. This 428 is also obvious in the Figure 9 (right), which shows that the downstream jet is slightly 429 orientated in the positive y-direction, indicating flow instability caused by this extreme 430

431 values of the diffusor part of the geometry.

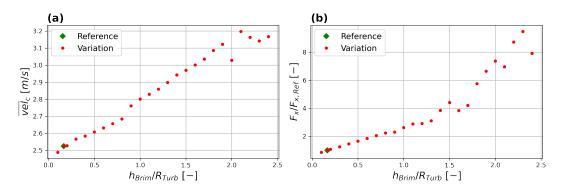


Figure 8. Variation of the height h_{Brim} of the additional brim — area-averaged velocity at the turbine cross section \overline{vel}_c (a) and Forces in x-direction F_x standardised by the reference value (b) — all length standardised by the turbine radius R_{Turb} .

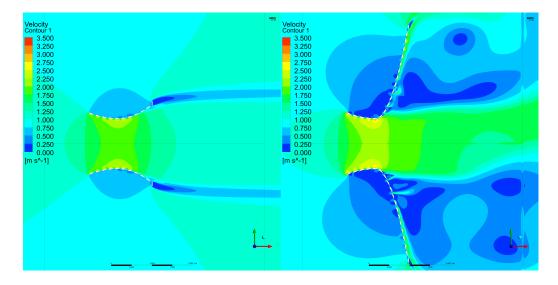
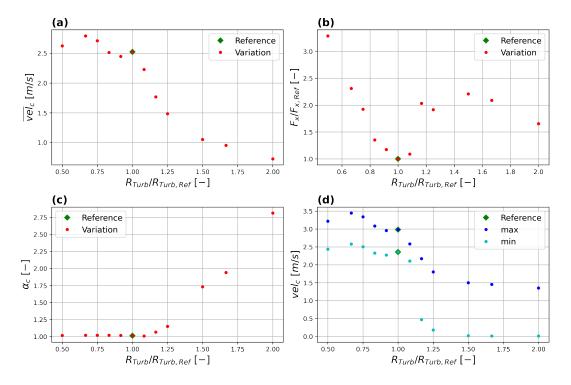


Figure 9. Velocity plot comparing $R_{Back}=1.5 \cdot R_{Turb}$ (left) and $R_{Back}=4.5 \cdot R_{Turb}$ (right) with a fixed $L_{Back}=1.3 \cdot R_{Turb}$.

An expansion of the R_{Back} as well as the h_{Brim} results in not only higher velocities but also increased forces. The extreme expansion of R_{Back} can be combined or even replaced with a larger brim, which might be more cost effective than the hydrofoils. But for a real application the additional loading will be prohibitive if too large values are chosen. An addition of a second turbine instead of a single large turbine should be considered in this case.

438 3.4. Variation of the turbine radius

For all previously reported variations of the geometry the turbine radius R_{Turb} is set to 600 mm, which is used as the reference value $R_{Turb,Ref}$ for the following variation of this parameter. Two different concepts of the variation are investigated: (a) the dimension R_{Turb} is varied independently of the other parameters (Figs. 10 and 11) and (b) the change of the R_{Turb} is similarly applied for the other two length in the



y-direction of the geometry. The latter results in a parallel movement of the referencegeometry reducing or widening the middle cross section (Figs. 12 and 13).

Figure 10. Variation of the turbine radius R_{Turb} , while all other geometry parameters are kept to the reference values — area-averaged velocity at the turbine cross section \overline{vel}_c (a), forces in x-direction F_x standardised by the reference value (b), kinetic energy flux coefficient α_c for the turbine cross section (c) and the extreme values of the velocities in the turbine cross section (d) — all length standardised by the turbine radius of the reference geometry $R_{Turb,Ref} = 600$ mm.

The independent variation of the turbine radius R_{Turb} is added more for complete-446 ness and not for their actual usability within the optimisation. Since the possibility to 447 install the turbine is not specifically checked, these cases are not realistic. For those 448 simulations, the inlet dimension of the nozzle were fixed with $R_{Front} = 1.2 \cdot R_{Turb,Ref}$ 449 and consequently all R_{Turb} values, which exceed this length, the complete flow regime 450 changes. Figure 10 shows that for larger R_{Turb} values the vel_c is significantly reduced. 451 This results in flow speeds under 1 m/s (boundary conditions at the inlet), which 452 would be worse than having no supporting structure. The analysis of the α_c indicates 453 an extreme increase of inhomogeneous flow and the minimum value of the velocity 454 falls down to 0 m/s. Such an expansion is clearly not a good choice. Figure 11 clearly 455 show that the recirculation zone moves from the outside to the inner area, which is 456 far from a favourable result. 457

More realistic than the previously single variation of the R_{Turb} is a parallel change 458 of the structure. Where all parameters in the y-direction, namely R_{Turb} , R_{Front} and 459 R_{Back} , are change with the identical value. This results in a constant offset in the y-460 direction keeping the geometry symmetrical to the x-axis. The results of this variation 461 are presented in Figure 12 covering a range of a quarter of the initial reference radius 462 to the doubled value. Reducing the space between the side Venturi structures increases 463 the average velocity at the turbine cross section \overline{vel}_c but also results in a higher total 464 force F_x on the structure. Reducing R_{Turb} to $0.25 \cdot R_{Turb,Ref}$ increases flow speed in 465 the range of additional 1 m/s while also multiplying the resulting forces by a factor of 466

467 2.5 in relation to the reference values with a $R_{Turb,Ref} = 600$ mm.

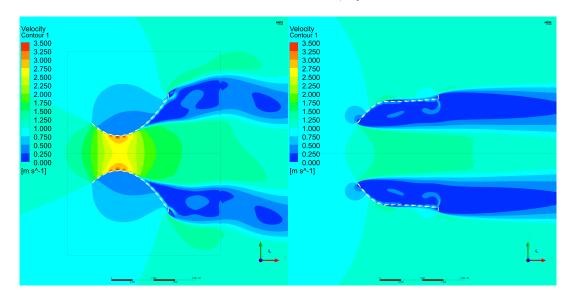


Figure 11. Velocity plot comparing the reference geometry with a turbine radius R_{Turb} of 400 mm, which is equal to $0.66 \cdot R_{Turb,Ref}$ (left,) in comparison with $2 \cdot R_{Turb,Ref}$ (right).

The velocity distribution becomes more homogeneous with the a smaller R_{Turb} indicating by the α_c -value and a comparable small velocity difference of 0.5 m/s (maximum subtracted by the minimum velocity). In this case the cross section at the turbine is reduced to a quarter but the discharge, which flows through the cross section reaches approximate 34% of the discharge through the reference geometry.

The smallest investigated distance between both sides is compared with the largest 473 configuration in the Figure 13. It shows the comparable high velocity in the turbine 474 cross section, which results in a jet at the outlet. The obvious asymmetry of the velocity 475 distribution in the wake of the turbine indicates that an unstable flow is generated. It 476 seems that each side has a range of influence and if the distance in reduced, both sides 477 support each other. To test this assumption, a specific variation of the full structure 478 is presented in Section 3.5. In any case, this investigation has to be repeated in a 479 full 3D-model and with a full turbine model in order to assess if higher velocities can 480 generate a positive impact on energy production. 481

This section includes a theoretical investigation of the single variation of the turbine 482 radius R_{Turb} , which resulted in some completely different flow regimes. The second 483 variation proposes a parallel offsetting of both side geometries with the scaling of all 484 three defining lengths in the y-direction. Narrowing the channel between both sides 485 resulted in increased velocities and forces. This indicates that a combined variation of 486 nozzle and diffusor have the potential to improve the velocity at the turbine further. 487 How far this is beneficial for the actual energy production at the turbine will need to 488 be investigated separately. 489

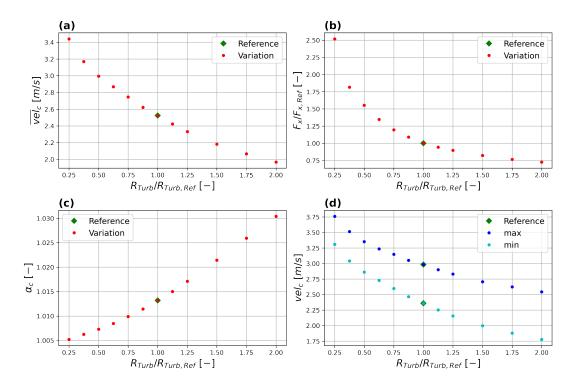


Figure 12. Variation of the turbine radius R_{Turb} including R_{Front} and R_{Back} resulting in parallel move of the sides — area-averaged velocity at the turbine cross section vel_c (a), forces in x-direction F_x standardised by the reference value (b), kinetic energy flux coefficient α_c for the turbine cross section (c) and the extreme values of the velocities in the turbine cross section (d) — all length standardised by the turbine radius of the reference geometry $R_{Turb,Ref} = 600$ mm.

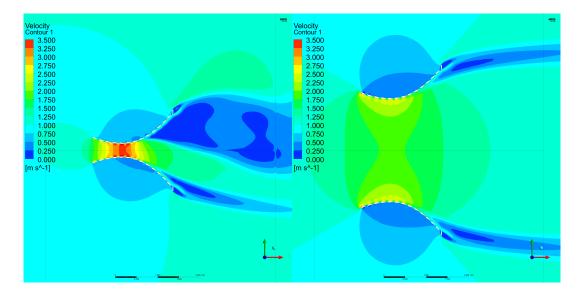


Figure 13. Velocity plot comparing two cases with a parallel move of the structure with the turbine radius R_{Turb} : 0.25 $\cdot R_{Turb,Ref}$ (left) and 2 $\cdot R_{Turb,Ref}$ (right).

490 3.5. Combination – scaling the structure

The second part of the previous Section 3.4 shows that a parallel offsetting of the full 491 structure to the centre results in increased speed at the centre of the turbine (Fig 12). 492 A preliminary hypotheses is the aforementioned range of influence one side has to the 493 other, which overlaps if the sides are close enough. To test this, a combined change 494 of the nozzle and diffusor part is investigated in this section. The factor fac is used 495 to scale the geometry while keeping the turbine radius R_{Turb} constant. This factor is 496 applied directly to the length L_{Front} and L_{Back} as well as to the difference value of 497 the R_{Front} and R_{Back} as presented in Eq. (3). 498

$$fac = \frac{L_{Front}}{L_{Front,Ref}} = \frac{L_{Back}}{L_{Back,Ref}} = \frac{R_{Front} - R_{Turb}}{R_{Front,Ref} - R_{Turb}} = \frac{R_{Back} - R_{Turb}}{R_{Back,Ref} - R_{Turb}}$$
(3)

This is obviously not perfectly scaled since the hydrofoils remained one type, main-499 taining specific lengths and distances along the channel, but it does provided an ap-500 proximation allowing the simulation of comparable geometry changes as conducted 501 with the variation of the R_{Turb} (Fig. 12). The results of this scaling are shown in 502 Figure 14. A smaller factor fac down to 0.5 is comparable to a larger R_{Turb} (larger 503 distance between the two sides). Both result in a reduction of the velocity at the 504 turbine cross section vel_c and the total forces F_x . A variation in the other direction 505 shows similarity with the previously reported $R_{Turb}/R_{Turb,Ref} = 0.5$ (Fig. 12) a vel_c 506 of approximately 3 m/s, which can also be found for a scaling factor fac = 2 in Fig-507 ure 14. This indicates that both geometries are comparable, however, the forces show 508 that $R_{Turb}/R_{Turb,Ref}$ equates to 1.5 times (Fig. 12) of the reference value, while it 509 is 2.5 times (Fig. 14) the loading for the scaled version of the geometry. This scaled 510 geometry has a $R_{Back} = 3.2 \cdot R_{Turb,Ref}$ and $L_{Back} = 3.8 \cdot R_{Turb,Ref}$. Although com-511 parable in geometry, with the front section fixed to the reference geometry, the results 512 show vel_c of around 3 m/s with higher ratios of $F_x/F_{x,Ref}$ greater than 4 (Fig. 7). 513 The analysis in Figure 14 also includes a further adaptation for the extreme values 514 while only the R_{Front} and R_{Back} is modified by the factor fac. For those two specific 515 cases, the lengths L_{Front} and L_{Back} are kept at the reference length (Sec 2.4). This 516 was completed in Section 3.2 and 3.3 which identify the *R*-dimensions as dominate 517 parameters. The direct comparison to the values for the full scaling with a factor fac518 of 0.5 and 2 show, that those specifically marked parameters result in a lower vel_c , 519 higher F_x , comparable α_c -values and the range of the extreme values is wider and 520 moved. Consequently, full scaling shows better results than utilising only *R*-scaling. 521

Figure 15 shows the velocity plots of the four mentioned extreme values. The two top row pictures present the results of the full scaling according to the Eq. (3). For the geometries in the bottom row, lengths L_{Front} and L_{Back} are not scaled and only R_{Front} and R_{Back} are. These results indicate that the *R*-length in the geometry are dominate but that scaling in length along the flow direction should also be considered. How far such an adaptation results in a real performance improvement must be checked separately.

529 3.6. Angle of the first hydrofoil

This specific section focuses on the orientation of the first hydrofoil in the flow direction, describing a key function which provides the addition of an initial direction

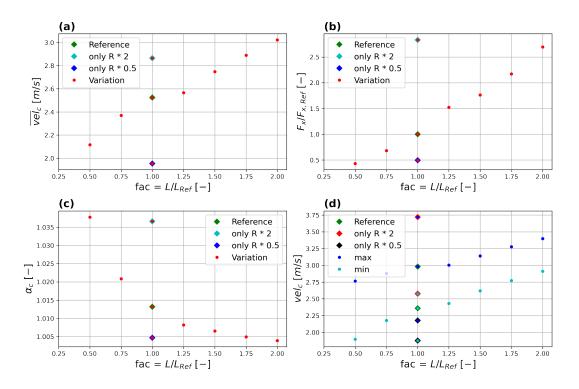


Figure 14. Scaling by different factors f of the structure with a fixed turbine radius R_{Turb} — area-averaged velocity at the turbine cross section \overline{vel}_c (a), forces in x-direction F_x standardised by the reference value (b), kinetic energy flux coefficient α_c for the turbine cross section (c) and the extreme values of the velocities in the turbine cross section (d).

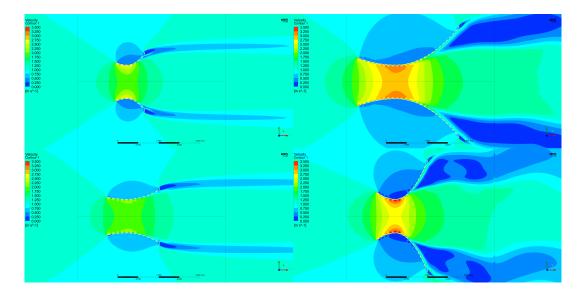


Figure 15. Velocity plot comparing four different scaled geometries: factor fac = 0.5 (left,top) and 2 (right, top) — the scaling is only applied for the *R*-values with a fac = 0.5 (left, bottom) and 2 (right, bottom).

change included to benefit of the Venturi structure. The parameter $\Delta \alpha_{FirstFoil}$ is introduced to describe this additional angle of this specific foil. For all other cases, the hydrofoil is rotated according to the tangential direction of the bell shape at the definition point of the individual hydrofoil.

The conducted variation of this additional angle covers a range of $\pm 90^{\circ}$ from the original tangential orientation with a $\Delta \alpha_{FirstFoil} = 0^{\circ}$. Figure 16 presents the results, showing that incremental negative angles improve the area-averaged velocity at the turbine cross section \overline{vel}_c occurring at velocities slightly over 2.6 m/s around a $\Delta \alpha_{FirstFoil}$ of -20°. This is also connected with a reduction of the total forces in the x-direction. Consequently, such a modification of the first hydrofoil is advantageous.

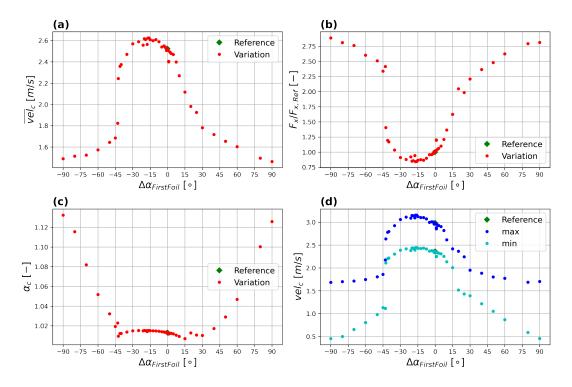


Figure 16. Variation of the additional angle of the first hydrofoil $\Delta \alpha_{FirstFoil}$ — area-averaged velocity at the turbine cross section \overline{vel}_c (a), forces in x-direction F_x standardised by the reference value (b), kinetic energy flux coefficient α_c for the turbine cross section (c) and the extreme values of the velocities in the turbine cross section (d).

The conducted variation of the parameter is deliberately expanded to investigate 542 the range of influence in more detail. Extreme values of $\pm 90^{\circ}$, for example, result in a 543 significant reduction of the velocity value \overline{vel}_c , while the kinetic energy flux coefficient 544 α_c is significantly increased. This indicates that the first hydrofoil introduces a dis-545 turbance, which has a downstream influence. Obviously, this can also be seen in the 546 evaluation of the extreme values in the turbine cross section shown in Figure 16 (d). 547 Minimum values are reduced to 0.5 m/s, equal to half of the inlet velocity of 1 m/s. 548 Figure 16 indicates that an optimum value can be reached by including an additional 549 angle of around -20° . The benefits are presented in the top row of Figure 17. A value of 550 -20° for the parameter $\Delta \alpha_{FirstFoil}$ results in the end of the first hydrofoil being placed 551 slightly closer to the symmetry plane (x-z-plane) leading to an overall flow velocity 552 increase. A rotation in the opposite direction generates a step and the flow detaches. 553

⁵⁵⁴ The ramification of which is an overall reduced flow speed at the turbine cross section

as well as higher forces on the structure. The extreme values of $\pm 90^{\circ}$ are also shown in the Figure 17 representing the boundaries of this variations. Both cases are obviously not ideal.

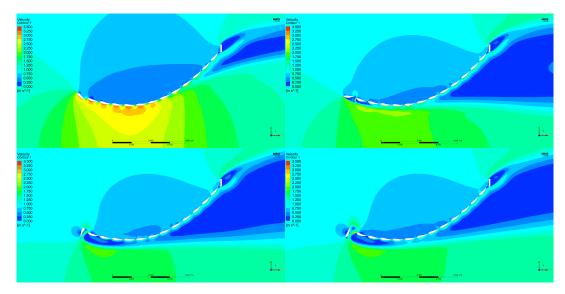


Figure 17. Velocity plot comparing four different additional angles applied on the first hydrofoil in the flow direction: $\Delta \alpha_{FirstFoil} = -20^{\circ}$ (left,top), 20° (right, top), -90° (left, bottom) and 90° (right, bottom).

The orientation of the first hydrofoil in the flow direction has a significant influence, while the precise optimum angle is dependant on the size and type of hydrofoil profile implemented. Consequently, this parameter is one of the last criteria to optimise after key geometry decisions are made.

562 3.7. Hydrofoil length and distance

The previous variation focused on the main component of the geometry utilising a single hydrofoil GOE 222 (MVA H.33) AIRFOIL (Airfoil Tools, 2022) with a chord length c_{Foil} of 150 mm and a linear chord distance s_{Target} of 160 mm between the definition point of each hydrofoil on the bell curves. The type of the hydrofoil is varied in Section 3.8 and this section focuses on the length as well as the distance between the foils.

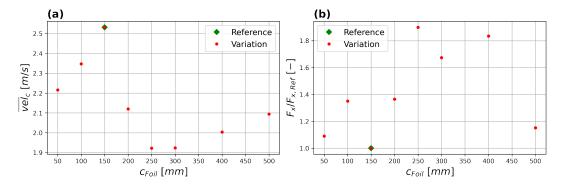


Figure 18. Variation of the chord length c_{Foil} of the hydrofoil — area-averaged velocity at the turbine cross section \overline{vel}_c (a) and Forces in x-direction F_x standardised by the reference value (b).

Figure 18 shows the results for different chord length of the same standard hydrofoil. 569 A range between 50 mm and 500 mm is presented. The smaller blades use the same 570 concept for the calculation with $s_{Target} = c_{Foil} + 10$ mm, while additional values were 571 increased to 20 mm for the 400 mm foil and 30 mm for the 500 mm foil. This ensured 572 that the individual elements are separated. Looking only at this analysis, the average 573 velocity at the turbine section \overline{vel}_c is maximised and the forces F_x are at a low level 574 (Fig. 18). The four examples shown in Figure 19 indicated that the choice of the blade 575 size has a significant influence on the structure. 576

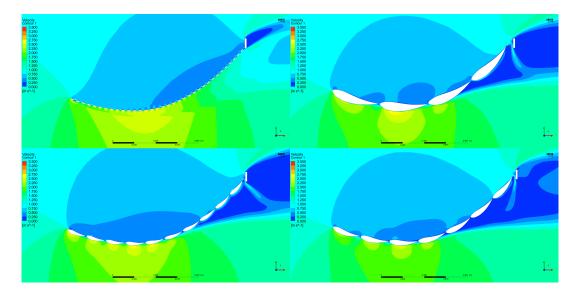


Figure 19. Velocity plot comparing four different length of the hydrofoil: 50 mm(left,top), 200 mm (left, bottom), 300 mm (right, bottom) and 500 mm (right, top).

The previous sections show that specific parameters can have a significant influence 577 on the overall performance of the turbine. It also must be noted that the definition 578 point of all cases is identical but the bell shape can only be filled with the same 579 hydrofoils based on the constant linear chord distance along the curves. Smaller profiles 580 can better reach a precise endpoint and the larger profiles would have to be spaced 581 perfectly to reach a better comparability. Keeping the limitation of this comparison 582 in mind, all investigated length performed in a very comparable way and might need 583 more detail optimisation to reach an optimum. 584

In a second step, the linear chord distance s_{Target} is varied to investigate the initial 585 choice of 160 mm. This is conducted for the hydrofoil GOE 222 (MVA H.33) AIRFOIL 586 (Airfoil Tools, 2022) only, with a chord length c_{Foil} set to 150 mm. s_{Target} is varied 587 between 155 mm and 300 mm, which is double the chord length of the hydrofoil. For 588 this variation the step size Δs is changed from 10 mm to 1 mm to better represent 589 the small alterations of the parameters within each simulation. This value is used to 590 find the required x-distances for the following definition point, indicating that these 591 changes result in a reduction of the \overline{vel}_c for the reference geometry. Consequently, 592 for this case, two variation points are reported in Figure 20 for the s_{Target} length 593 of 160 mm. A slight expansion results in an overall improvement of the \overline{vel}_c and 594 reduction of the total forces F_x can be observed, with an optimum point at 166 mm. 595 It is not surprising, that larger values introduce large gaps in the structure and hence 596 the efficiency is reduced. Figure 21 shows the comparison of the a s_{Target} of 166 mm 597 and the largest value of 300 mm. With a larger gap between the individual hydrofoils 598

the behaviour of the structure changes from a joint guidance of the flow into separate obstacles in the flow.

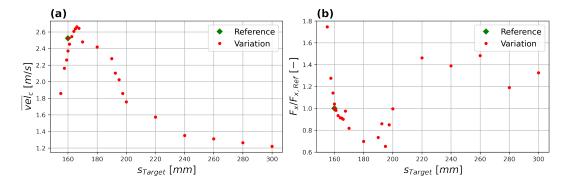


Figure 20. Variation of the length s_{Target} , which defines the distance between the reference points on the bell shape — area-averaged velocity at the turbine cross section \overline{vel}_c (a) and Forces in x-direction F_x standardised by the reference value (b).

This variation of hydrofoil chord length and the definition point indicates that the initial chosen values are in a good range. Nevertheless, the distance between the definition points of the hydrofoils indicated some optimisation potential. However, extremes in hydrofoil sizing do not provide better results. The decisive point for this decision is more the likely the availability and costs of the production of the hydrofoils.

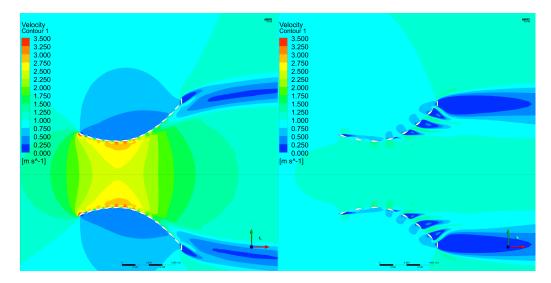


Figure 21. Velocity plot comparing two different chord length s_{Target} between the definition points of the hydrofoils: 166 mm(left) and 300 mm (right).

606 3.8. Hydrofoil type

In this section the type of hydrofoil is altered, while keeping a constant chord length 607 $c_{Foil} = 150$ mm and distance between the definition points for the individual foils 608 $s_{Target} = 160$ mm. Similar to the GOE 222, the geometry data is sourced from the 609 online database Airfoil Tools (2022), which provides over 1600 different airfoil types. 610 The selection of additional foils were conducted based on a random choice, while 611 trying to cover a wider range of different shapes. In addition, a circular cross section 612 is also tested, for which the s_{Target} has to be increased to 165 mm (Note that for this 613 simulation the definition points are located on the inside of the turbine and not in the 614 centre line of the hydrofoils). Figure 22 provides an overview of the investigated types. 615

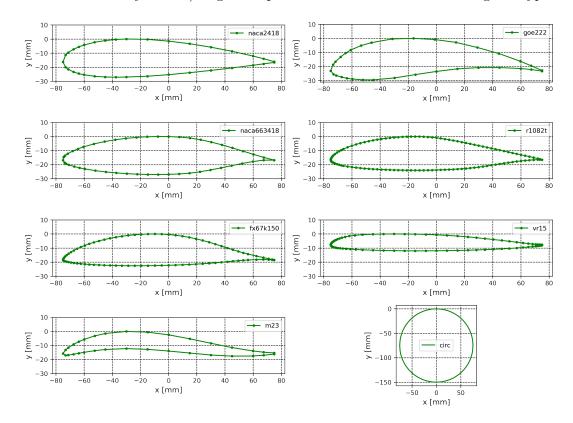


Figure 22. Overview of the investigated hydrofoils.

The results of the variation of the hydrofoil types is presented in Figure 23, sorted 616 depending on the area-averaged velocity at the turbine cross section vel_c . A slight 617 improvement in velocity as well as the total forces in the x-direction is noted with the 618 NACA 2418 hydrofoil. All other foils resulted in worse conditions with a massive reduc-619 tion for the circular cross section. The latter requires a much more massive structure 620 while only producing a slightly higher total force. The kinetic energy flux coefficient 621 α_c is very similar for all investigated hydrofoils with the largest inhomogeneity shown 622 for the circular profile, which provides the most extreme values for the velocities in 623 the cross section. 624

Figure 24 shows the comparison of four of the eight investigated options. The top row shows the best and worst options side by side and it is obvious that the circular cross section is not a good option for this approach. The comparable large radius of the circle in the lateral direction result in a comparable massive structure and it works

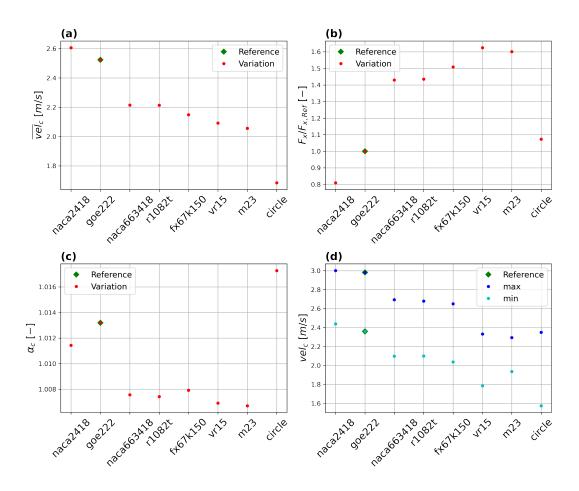


Figure 23. Variation of the hydrofoil types — area-averaged velocity at the turbine cross section \overline{vel}_c (a), forces in x-direction F_x standardised by the reference value (b), kinetic energy flux coefficient α_c for the turbine cross section (c) and the extreme values of the velocities in the turbine cross section (d).

more like a solid Venturi channel with additional wall roughness. Interestingly the tip of the foil of the very thin M23 hydrofoil. The flow detaches very early and results in a small recirculation zone behind the first foil. On the other hand, the two foils on the left cause a velocity peak at this location. This indicates that the fixed angle along the bell curve with an orientation along the tangential direction is not ideal for all foils. A correction of the first foil in the incoming flow direction could result in an improvement of the results for this specific foil.

This section analyses seven additional hydrofoils for comparison with the originally 636 used GOE 222 foil. Slight improvement can be found changing to a NACA 2418, 637 which is more symmetric and has the potential to be less expensive for manufacture. 638 The distance between the definition points of the hydrofoils may allow for further 639 improvements, such as that shown in Section 3.7. Importantly, it was found that the 640 orientation of the first foil in the flow direction is critical, and hence a correction 641 angle for this specific profile was added in the Python code for the generation of the 642 geometry in Gabl et al. (2022) and a variation is presented in Section 3.6. 643

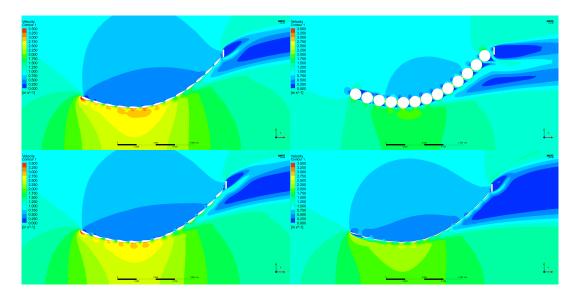


Figure 24. Velocity plot comparing the reference geometry with three other types of hydrofoils: NACA 2418 (left,top), GOE 222 (left, bottom), M23 (right, bottom) and circle (right, top).

644 3.9. Inlet velocity

All previous simulation assumed that the inlet velocity is constant 1 m/s, which is 645 introduced homogeneously at the inlet boundary conditions. In this section, the value 646 is varied over a range of 0.5 to 2 m/s, while keeping all the other assumptions the 647 same, including the constant reference geometry (Sec 2.4). Figure 25 presents the 648 results of this variation. Contrary to the previous analysis, the velocity at the turbine 649 cross section vel_c is normalised by the inlet velocity v_{Inlet} . Hence the standard v_{Inlet} is 650 1 m/s, the values can be directly compared with the previous results, while being non-651 dimensionalised. This value changes in relation with v_{Inlet} in a range of approximately 652 \pm 0.2 [-] from the reference value. A doubling of the v_{Inlet} causes an increase of the 653 forces by a factor of approximate 3.5 [-]. Tidal flows are generally very predictable but 654 nevertheless extreme flow speeds can occur resulting in extreme loads on the structure. 655

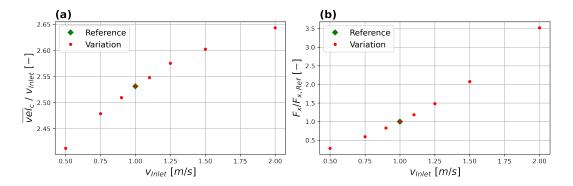


Figure 25. Variation of the inlet velocity v_{Inlet} to investigate the standardised velocity at the turbine cross section \overline{vel}_c (a) and the total forces F_x on the structure in x-direction (b).

Figure 26 provides the slowest and fastest investigated flow speeds of 0.5 and 2 m/s respectively. A separate colour bar is used for each result, with maximum values scaled provided according to the inlet velocity. The downstream section with close to 0 m/s

is smaller for the increased flow speed. A higher gradient in the cross section can 659 be observed for the higher speed, which can also be seen in an increased normalised 660 vel_c/v_{Inlet} value. 661

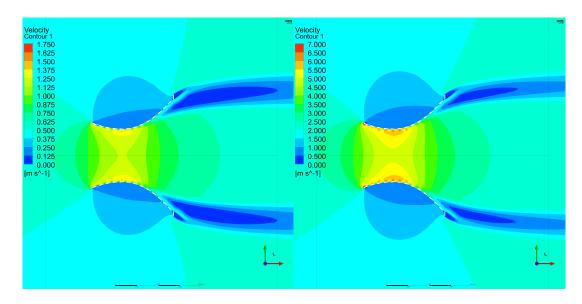


Figure 26. Variation of the inlet velocity v_{Inlet} with 0.5 m/s (left) and 2 m/s (right) — colour bar scaled with the same factor.

In summary, the change of inlet velocity has an influence on the velocity at the 662 turbine cross section \overline{vel}_c making it necessary to optimise the specific design for each 663 deployment site. Extreme flow speeds also have to be considered in the design of the 664 support structure to ensure the survivable of the device. 665

4. Discussion 666

680

The obvious limitation of this presented work is that the numerical simulations are 667 limited to a 2D-approach. All the used computation meshes have only one cell in the 668 vertical direction and hence assuming that there is no change in the z-direction. This 669 neglects potential velocity differences, which are significant closer to the ground Ahmed 670 et al. (2017); Badshah et al. (2018); Ke et al. (2020). Furthermore, the structure has a 671 clear top an bottom part, which is in the previous tests a plane cross section (Fig. 1 (a)). 672 This causes additional interactions and has to be included in the overall optimisation. 673 It is assumed that the current direction is perfectly aligned, homogeneously dis-674 tributed and constant. Obviously this is an idealisation and even in deep current 675 streams, the interaction of waves as well as large turbulence in the flow causes vari-676 ations in the velocity distribution or changes in the flow directions. In all cases, only 677 the steady state solver is used and some results indicated that even with a fully perfect 678 inflow condition the resulting wake can be an unstable flow. The following detailed 679 optimisation has to consider the usage of a fully transient solver.

The computational grid is a standard point, which can be always improved. All cur-681 rent simulations use a comparable fine mesh around the profiles but not a designated 682 inflation layer. Consequently, the y^+ - values are comparable high, but similar to com-683 parable investigations (Maduka & Li, 2021) for a further optimisation a designated 684

refinement close to the wall should be considered. The conducted verification process 685 is presented in Section 2.6 but limited to the reference geometry. It is assumed that the 686 mesh independence of the results is also given for changes of the geometry. Section 3 687 shows that some variations of the geometry cause changes in the location of the recir-688 culating zones and the concentration of the wake in a jet. For those cases, an additional 689 check of the fluid domain and the mesh resolution would be advisable. Nevertheless, 690 those geometries are not likely to be chosen in the future as their performance is not 691 an improvement. 692

Further limitations can be found in the geometry definition. All cases are built 693 using a single hydrofoil and with identical chord distance set on the bell curves. Hence 694 only full hydrofoils are added, not all changes in the geometry definition have an 695 immediate impact in the actual geometry. A certain threshold has to be exceeded 696 to trigger the addition or removal of a profile. Nevertheless, the conducted geometry 697 generation is ideal for such an exploitative approach but can be refined in an additional 698 detailed optimisation. Furthermore, the hydrofoils are always orientated according to 699 the tangential direction. All of this aspects can be individually modified and varied 700 for each hydrofoil. Obviously, the number of potential options for the investigations 701 increases dramatically. 702

The presented research work focuses on the Ventrui structure and neglects the influence of the vertical axis turbine. In a following step, the found improvements will be checked, if the changes are really beneficial for the overall energy production. Currently, only fully symmetrical geometries are investigated but there may be benefits in modifying one side, allowing for optimisation of the geometry where the rotating turbine moves against the flow direction. Further detailed investigations are needed to further improve the overall efficiency of the Venturi shaped structure.

710 5. Conclusions

The presented research work focuses on the hydraulic performance of the surround-711 ing structure of the Davidson Hill Venturi (DHV) tidal turbine. Multiple hydrofoils 712 are placed in a Venturi shape to increase the flow speed at the vertical axis turbine. 713 The first step was to provide a generalised description of the structure (Gabl et al... 714 2022), which was done in Python allowing future expansions, including using a differ-715 ent numerical solver. In the second step, this geometry description was adapted for 716 the ANSYS-Workbench with the commercial solver ANSYS-CFX, the files for which 717 are available in the connected datashare (Gabl et al., 2022). A wide range of geom-718 etry parameters were investigated in a 2D-approach without the turbine based on a 719 reference geometry (Sec 2.4). This variation included the nozzle part as well as the 720 diffusor, including the brim and an additional angle of the first hydrofoil in the flow 721 direction. Larger structures resulted in an improved velocity at the turbine cross sec-722 tion, however, also increased the forces on the structure. The variation of the turbine 723 radius on both sides simultaneously showed potential for further improvements with-724 out resulting in extreme operational forces. It can be concluded that the hydrofoil 725 chord length does not significantly influence operational criteria, but that fabrication 726 costs would need to be considered as part of an overall device optimisation. By in-727 728 creasing the gap between the hydrofoils as well as the exchanging the GOE 222 with at NACA 2418 hydrofoil, some improvements in output were shown. In addition to the 729 variations of the geometry, the inlet velocity was varied showing that better results 730 can be achieved with higher flow speeds but no significant decrease for lower speed. 731

Overall, these variations show that the chosen reference geometry results provide good system performance, with only small improvements being achieved by tweaking design constraints. The next step for the research work is to expand the results from the 2D-approach and integrate the full supporting structure as well as the turbine optimisation.

737 Disclosure statement

M.H. is the owner of the company, which commercialises the tidal turbine concept presented in this work. The authors declare no further conflict of interest.

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