### **Original Article**

Kidney Res Clin Pract 2022;41(2):188-199 pISSN: 2211-9132 • eISSN: 2211-9140 https://doi.org/10.23876/j.krcp.21.111



# Additive harmful effects of acute kidney injury and acute heart failure on mortality in hospitalized patients

Hyung Eun Son<sup>1,2</sup>, Jong Joo Moon<sup>3</sup>, Jeong-min Park<sup>2</sup>, Ji Young Ryu<sup>1,2</sup>, Eunji Baek<sup>1</sup>, Jong Cheol Jeong<sup>1,2</sup>, Ho Jun Chin<sup>1,2</sup>, Ki Young Na<sup>1,2</sup>, Dong-wan Chae<sup>1,2</sup>, Seung Seok Han<sup>2,3</sup>, Sejoong Kim<sup>1,2,4</sup>

**Background:** Organ crosstalk between the kidney and the heart has been suggested. Acute kidney injury (AKI) and acute heart failure (AHF) are well-known independent risk factors for mortality in hospitalized patients. This study aimed to investigate if these conditions have an additive effect on mortality in hospitalized patients, as this has not been explored in previous studies.

**Methods:** We retrospectively reviewed the records of 101,804 hospitalized patients who visited two tertiary hospitals in the Republic of Korea over a period of 5 years. AKI was diagnosed using serum creatinine-based criteria, and AHF was classified using International Classification of Diseases codes within 2 weeks after admission. Patients were divided into four groups according to the two conditions. The primary outcome was all-cause mortality.

**Results:** AKI occurred in 6.8% of all patients (n = 6,920) and AHF in 1.2% (n = 1,244). Three hundred thirty-one patients (0.3%) developed both conditions while AKI alone was present in 6,589 patients (6.5%) and AHF alone in 913 patients (0.9%). Among the 5,181 patients (5.1%) who died, 20.8% died within 1 month. The hazard ratio for 1-month mortality was 29.23 in patients with both conditions, 15.00 for AKI only, and 3.39 for AHF only. The relative excess risk of interaction was 11.85 (95% confidence interval, 2.43–21.27), and was more prominent in patients aged <75 years and those without chronic heart failure.

Conclusion: AKI and AHF have a detrimental additive effect on short-term mortality in hospitalized patients.

Keywords: Acute kidney injury, Cardiorenal syndrome, Heart failure, Risk factors

#### Introduction

Acute kidney injury (AKI) is an important clinical con-

dition diagnosed in hospitalized patients over time that affects more than 20% of patients. It is consistently associated with high medical costs, prolonged hospital stay, and

**Received:** May 23, 2021; **Revised:** August 15, 2021; **Accepted:** August 31, 2021

**Correspondence:** Seung Seok Han

Department of Internal Medicine, Seoul National University College of Medicine, 103 Daehakro, Jongno-gu, Seoul 03080, Republic of Korea.

E-mail: hansway80@gmail.com

ORCID: https://orcid.org/0000-0003-0137-5261

Sejoong Kim

Department of Internal Medicine, Seoul National University Bundang Hospital, 82 Gumi-ro, 173 Beon-gil, Bundang-gu, Seongnam 13620, Republic of Korea. E-mail: sejoong@snubh.org
ORCID: https://orcid.org/0000-0002-7238-9962

Copyright © 2022 by The Korean Society of Nephrology

© This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial and No Derivatives License (http://creativecommons.org/licenses/by-nc-nd/4.0/) which permits unrestricted non-commercial use, distribution of the material without any modifications, and reproduction in any medium, provided the original works properly cited.

<sup>&</sup>lt;sup>1</sup>Department of Internal Medicine, Seoul National University Bundang Hospital, Seongnam, Republic of Korea

<sup>&</sup>lt;sup>2</sup>Department of Internal Medicine, Seoul National University College of Medicine, Seoul, Republic of Korea

<sup>&</sup>lt;sup>3</sup>Department of Internal Medicine, Seoul National University Hospital, Seoul, Republic of Korea

 $<sup>^4</sup>$ Center for Artificial Intelligence in Healthcare, Seoul National University Bundang Hospital, Seongnam, Republic of Korea

increased mortality [1–3]. AKI also contributes to a higher risk of long-term poor renal outcomes and cardiovascular events [4]. However, little is known about comorbidities that develop as complications of AKI and their clinical consequences.

Cardiorenal syndrome is the term used to describe coexisting cardiac and renal dysfunction [5]. Acute heart failure (AHF) also contributes to short-term mortality risk and economic burden in hospitalized patients [6,7]. AKI is associated with 58% of congestive heart failure cases, and, in one study, the risk of congestive heart failure itself was higher with increasing AKI severity [8]. Although numerous attempts have been made to determine the prognoses of patients with cardiorenal syndrome, especially with respect to AKI and AHF, such reports have been limited to specific groups [9-11]. Epidemiological investigation of cardiorenal syndrome is challenging due to dynamic changes in renal function [12], heterogeneity of causes of AKI [13], difficulty in clarifying the temporal association between AKI and acute cardiac events, and lack of longitudinal follow-up data regarding coexisting conditions after AKI. In one previous study, the causes of death in hospitalized patients with AKI were reported. The results showed that 19.7% of all patients died from cardiovascular disease, and 3.1% of them from AKI [14]. Despite these limitations, there is a need for large epidemiological studies as some factors that influence AKI or AHF are potentially reversible.

Patients with coexisting AKI and AHF share many risk factors and medical treatments. This study aimed to investigate the interaction between AKI and AHF on death in hospitalized patients and find ways to improve mortality in this group of patients by focusing on reversible risk factors.

#### **Methods**

#### Study population and data sources

The study cohort was derived retrospectively from Seoul National University Hospital and Seoul National University Bundang Hospital in the Republic of Korea. We retrospectively collected data on the demographic and clinical characteristics of all patients over 18 years old who were admitted to either of the hospitals at least once from January 1, 2013 to December 31, 2017. Total follow-up time for all patients was about 7 years, from January 1, 2013 to October

30, 2019.

Patients with at least two serum creatinine measurements within 7 days of admission were considered eligible for inclusion in the study. Patients who started renal replacement therapy before the first admission were excluded. Clinical data collection was based on electronic medical record (EMR) system review. Information about the development of end-stage renal disease (ESRD) was obtained from the EMR system of each hospital and the ESRD registry of the Korea Society of Nephrology. Information about death was collected from the EMR system of each hospital and the Ministry of the Interior and Safety database by comparing the name and date of birth of each patient. Follow-up data were collected until October 30, 2019.

#### Assessment of kidney function

Definition of AKI was based on the Kidney Disease Improving Global Outcomes (KDIGO) criteria of creatinine measurement because urine output measurements were not available for all hospitalized patients. In more detail, AKI was diagnosed based on the change in serum creatinine concentration from the baseline value at the first measurement during admission to the peak level observed within 7 days after admission. The change in creatinine concentration was categorized according to the KDIGO criteria: AKI stage 1,  $\geq$ 0.3 mg/dL absolute or 1.5- to 2.0-fold relative increase in serum creatinine; AKI stage 2, >2- to 3-fold increase in serum creatinine or serum creatinine  $\geq$ 4.0 mg/dL with an acute rise of >0.5 mg/dL. Initiation of renal replacement therapy was classified as stage 3 AKI.

#### **Outcomes**

The primary outcome was all-cause mortality within 1 month. Mortality data were collected from the EMR system of each hospital and the Ministry of the Interior and Safety database by comparing the name and date of birth of each patient. ESRD was also investigated as an outcome. The incidence of ESRD was obtained from the ESRD registry of the Korea Society of Nephrology.

#### **Exposure**

Exposure was defined as development of AKI, AHF, or both. We assigned patients into four groups: a) patients with neither AKI nor incident AHF during the observational period; b) patients with AHF during the observational period but without AKI; c) patients with AKI but without incident AHF; and d) patients with incident AHF and AKI. AHF was clinically diagnosed based on International Classification of Disease-10 (ICD-10) codes. Based on the ICD-10 codes for heart failure, we defined AHF as the first diagnosis of heart failure within 2 weeks after admission during the observational period. Patients with a diagnosis of heart failure based on ICD-10 codes before admission were classified as having chronic heart failure (CHF). We collected data for patients with ICD-10 codes I110, I119, I130, I500, I5000-5004, I5008, I501, I509, J81, J810, and R570, which included diagnosis of acute pulmonary edema, cardiogenic pulmonary edema, cardiogenic shock, chronic pulmonary edema, combined systolic and diastolic heart failure, congestive heart failure, diastolic, congestive heart failure, systolic dysfunction, diastolic heart failure, heart failure, hypertensive heart and renal disease with congestive heart failure, hypertensive heart disease, hypertensive heart failure with congestive heart failure, hypertensive heart failure without congestive heart failure, hypertensive heart failure, hypertensive heart failure with renal disease, left ventricular dysfunction, left ventricular failure, right heart failure, right ventricular dysfunction, right ventricular failure, systolic heart failure, or ventricular dysfunction.

#### **Covariates**

The following data were obtained on the first day of admission during data collection; age, sex, body weight, body mass index (BMI), comorbidities (ischemic heart disease, hypertension, diabetes mellitus, heart failure, liver disease, cerebrovascular disease, chronic obstructive pulmonary disease, chronic kidney injury, and cancer), history of AKI, medications used in the last 6 months before admission (acyclovir, beta blockers, calcium-channel blockers, diuretics, nonsteroidal anti-inflammatory drugs [NSAIDs], renin-angiotensin system blockers, statins, vancomycin, vasopressors, cisplatin, cyclosporine, colistin, and amphotericin), Charlson comorbidity score, use of a mechanical

ventilator, admission duration, laboratory results on the first day of admission (serum creatinine, hemoglobin, albumin, bilirubin, calcium, glucose, potassium, chloride, gamma oxalate transaminase, gamma pyruvate transaminase, blood urea nitrogen, total carbon dioxide, platelet, and white blood cell counts), major operation, and minor operation. We classified surgery into two categories—1) over 1 hour as a major surgery and 2) less than 1 hour as a minor surgery—using operation names based on the expected time of each surgery. The expected time of each surgery was from the data used in previous study [15], which had developed postoperative AKI prediction score.

We used the abbreviated Modification of Diet in Renal Disease equation to estimate the glomerular filtration rate. The average serum creatinine on the first day of admission was used if serum creatinine was measured several times. Data about comorbid conditions were collected by reviewing the EMR system. Charlson comorbidity score was calculated using the name of the underlying disease based on the ICD-9-CM codes and corresponding ICD-10-AM codes [16].

#### Statistical analysis

Summary statistics are presented as percentages for categorical variables and means ± standard deviations for continuous variables. Each variable was compared between the two groups using Student t-test for continuous variables and the chi-square test for categorical variables. Survival curves were estimated using the Kaplan-Meier method and compared by log-rank tests among patients according to the development of AKI and AHF. We used time-dependent Cox proportional hazards model to determine the adjusted association between death and AKI. We considered backward stepwise variable selection with p < 0.05 and conventional risk factors associated with AKI, AHF, and death in hospitalized patients using collected sociodemographic variables to generate the final model. Thus, the final model was independent of demographic characteristics (age over 75 years, sex, and BMI), admission duration, comorbidities (hypertension, diabetes mellitus, chronic kidney disease [CKD], CHF, ischemic heart disease, liver disease, cerebrovascular disease, chronic obstructive pulmonary disease, and cancer), and medications used during the 6 months preceding admission (diuretics, renin- angiotensin system blockers, beta blockers, calcium-channel blockers,

NSAIDs, vancomycin, and vasopressors). Plots of log (-log [survival function]) vs. log (follow-up time in years) were used to check the proportional hazards assumption for categorical variables. We further used relative excess risk due to interaction (RERI), attributable proportion due to interaction (AP), and the synergy index (SI) to assess the additive interaction between AKI and AHF. RERI was calculated as hazard ratio (HR, both AKI and AHF) - HR (AKI alone) - HR (AHF alone) + 1 [17,18]. AP was calculated as RERI divided by HR (both AKI and AHF). SI was calculated as the ratio of increase in HR due to exposure to both AKI and AHF to the sum of the increases due to exposure to each condition alone. RERI represented excess risk due to the interaction between AKI and AHF relative to the risk without exposure. AP referred to the attributable proportion of disease due to this interaction with exposure to both conditions. RERI of >0 or AP of >0 indicated a significant additive interaction. All statistical analyses were performed using STATA/SE version 16 (StataCorp LP., College Station, TX, USA) and R software version 4.0.4 (R Foundation, Vienna, Austria). For subgroup analysis, we divided patients by age, sex, underlying CHF and CKD, and compared HRs of 1-month mortality according to the presence of AKI or AHF in each subgroup. A p-value of < 0.05 was considered significant. All probabilities were two-sided.

#### Ethical approval

This study was performed in accordance with the Declaration of Helsinki. The study protocol was approved by the Institutional Review Boards of Seoul National University Bundang Hospital (B-1910/570-404) and Seoul National University Hospital (H-1909-079-1064). Informed consent was waived because of the retrospective nature of the study.

#### **Results**

#### **Baseline characteristics**

Among 101,804 patients included in the study, the mean age was  $58 \pm 16.7$  years, and 53,119 patients (52.2%) were male (Table 1). Percentages of patients with comorbidities were as follows: diabetes mellitus, 9.6%; hypertension, 12.3%; ischemic heart disease, 9.0%; heart failure, 1.8%; CKD, 2.0%; cerebrovascular disease, 13.8%; and cancer, 26.4%. *De novo* heart failure or acute aggravation of CHF occurred in 4,070 patients (4.0%) during the observational period and 1,244 patients (1.2%) developed *de novo* heart failure or acute CHF aggravation within 2 weeks. Cancer was present in 26,898 (26.4%) patients, and 507 (0.5%) patients experienced AKI before admission.

Table 1. Baseline characteristics of the study participants

Characteristic	Total	No AKI		n volue	AKI		n volvo
Characteristic	Total	No AHF	AHF	– p-value	No AHF	AHF	– p-value
No. of patients	101,804	93,971	913		6,589	331	
Age (yr)	59 ± 16.8	58 ± 16.7	71 ± 15.2	<0.001	63 ± 16.2	73 ± 14.4	< 0.001
Male sex	53,119 (52.2)	48,516 (51.6)	432 (47.3)	0.009	4,024 (61.1)	147 (44.4)	<0.001
Body mass index (kg/m²)	23.85 ± 3.44	23.87 ± 3.42	23.38 ± 3.86	<0.001	23.72 ± 3.65	$23.39 \pm 4.00$	0.12
Admission period (day)	6 (3-9)	5 (3-9)	6 (4-11)	<0.001	9 (5-15)	9 (5-16)	0.82
Comorbidity							
Hypertension	12,494 (12.3)	11,134 (11.8)	204 (22.3)	<0.001	1,071 (16.3)	85 (25.7)	<0.001
Ischemic heart disease	9,150 (9.0)	8,165 (8.7)	252 (27.6)	<0.001	633 (9.6)	100 (30.2)	< 0.001
Heart failure	1,868 (1.8)	962 (1.0)	499 (54.7)	<0.001	216 (3.3)	191 (57.7)	<0.001
Diabetes mellitus	9,815 (9.6)	8,580 (9.1)	103 (11.3)	0.03	1,069 (16.2)	63 (19.0)	0.18
Chronic kidney disease	2,005 (2.0)	1,243 (1.3)	19 (2.1)	0.05	691 (10.5)	52 (15.7)	0.003
Cerebrovascular disease	14,017 (13.8)	12,995 (13.8)	104 (11.4)	0.03	875 (13.3)	43 (13.0)	0.88
Liver disease	1,461 (1.4)	1,285 (1.4)	6 (0.7)	0.07	168 (2.5)	2 (0.6)	0.03
Cancer	26,898 (26.4)	25,018 (26.6)	58 (6.4)	<0.001	1,797 (27.3)	25 (7.6)	<0.001

(Continued to the next page)

Table 1. Continued

Characteristic	Total	No AKI		n volue	AKI		
Characteristic	Total	No AHF	AHF	- p-value	No AHF	AHF	— p-value
Previous history of AKI	507 (0.5)	271 (0.3)	8 (0.9)	0.001	211 (3.2)	17 (5.1)	0.05
Baseline eGFR (mL/min/1.73 $\text{m}^2$ )				<0.001			
≥90	53,171 (52.2)	50,299 (53.5)	202 (22.1)		2,627 (39.9)	43 (13.0)	
60-89	35,778 (35.1)	33,847 (36.0)	361 (39.5)		1,511 (22.9)	59 (17.8)	
30-59	9,879 (9.7)	8,448 (9.0)	269 (29.5)		1,067 (16.2)	95 (28.7)	
15-29	1,881 (1.8)	1,258 (1.3)	73 (8.0)		482 (7.3)	68 (20.5)	
<15	1,095 (1.1)	119 (0.1)	8 (0.9)		902 (13.7)	66 (19.9)	
Charlson comorbidity score				<0.001			0.16
0	52,773 (51.8)	49,567 (52.7)	387 (42.4)		2,699 (41.0)	120 (36.3)	
1–2	39,607 (38.9)	36,257 (38.6)	446 (48.8)		2,763 (41.9)	141 (42.6)	
3–4	6,911 (6.8)	6,027 (6.4)	69 (7.6)		765 (11.6)	50 (15.1)	
≥5	2,513 (2.5)	2,120 (2.3)	11 (1.2)		362 (5.5)	20 (6.0)	
ICU admission	5,695 (5.6)	4,194 (4.5)	289 (31.7)	<0.001	1,044 (15.8)	168 (50.8)	<0.001
Medication during the previous 6 m	าด						
Beta blocker	4,740 (4.7)	4,079 (4.3)	78 (8.5)	<0.001	549 (8.3)	34 (10.3)	0.22
RAS blocker	6,890 (6.8)	5,826 (6.2)	114 (12.5)	<0.001	893 (13.6)	57 (17.2)	0.06
Diuretics	3,676 (3.6)	2,683 (2.9)	153 (16.8)	<0.001	768 (11.7)	72 (21.8)	<0.001
Calcium-channel blocker	7,410 (7.3)	6,323 (6.7)	110 (12.0)	< 0.001	920 (14.0)	57 (17.2)	0.10
Vancomycin	174 (0.2)	127 (0.1)	1 (0.1)	0.83	46 (0.7)	0 (0)	0.13
Colistin	6 (0.01)	5 (0.01)	0 (0)	0.83	26 (0.4)	1 (0.3)	0.82
Acyclovir	107 (0.1)	82 (0.1)	0 (0)	0.37	25 (0.4)	0 (0)	0.26
Amphotericin	20 (0.02)	11 (0.01)	0 (0)	0.74	9 (0.1)	0 (0)	0.50
Cisplatin	438 (0.4)	383 (0.4)	0 (0)	0.05	55 (0.8)	0 (0)	0.10
NSAID	20,290 (19.9)	18,468 (19.7)	114 (12.5)	<0.001	1,657 (25.1)	51 (15.4)	<0.001
Statin	7,540 (7.4)	6,759 (7.2)	81 (8.9)	0.05	660 (10.0)	40 (12.1)	0.22
Vasopressor	1,813 (1.8)	1,560 (1.7)	13 (1.4)	0.58	227 (3.4)	13 (3.9)	0.64
Use of a mechanical ventilator	63 (0.1)	39 (0.04)	4 (0.4)	<0.001	20 (0.3)	0 (0)	0.34
Admission laboratory parameter							
Hemoglobin (g/dL)	$13.1 \pm 2.07$	13.2 ± 2.01	12.6 ± 2.33	<0.001	12.2 ± 2.52	11.3 ± 2.45	<0.001
Albumin (g/dL)	$4.0 \pm 0.60$	4.1 ± 0.57	$3.7 \pm 0.58$	<0.001	$3.7 \pm 0.76$	$3.4 \pm 0.60$	<0.001
Sodium (mEq/L)	139.1 ± 3.72	139.2 ± 3.57	137.9 ± 4.37	<0.001	138.0 ± 5.11	137.4 ± 4.89	0.04
Potassium (mEq/L)	$4.2 \pm 0.46$	$4.2 \pm 0.43$	$4.1 \pm 0.57$	0.25	$4.3 \pm 0.69$	$4.4 \pm 0.79$	0.001
BUN (mg/dL)	16.6 ± 10.49	15.7 ± 7.57	22.4 ± 12.42	<0.001	28.7 ± 25.41	36.0 ± 22.64	<0.001
Total CO <sub>2</sub> (mEq/L)	24.7 ± 3.39	24.9 ± 3.24	22.7 ± 3.33	<0.001	22.6 ± 4.31	20.3 ± 4.02	<0.001
Platelet count (×10 <sup>3</sup> /L)	235.4 ± 83.38	237.3 ± 82.40	209.4 ± 75.77	<0.001		205.26 ± 88.44	0.11
WBC count (×10 <sup>9</sup> /L)	8.1 ± 7.08	7.9 ± 6.14	$8.9 \pm 4.26$	<0.001	10.1 ± 15.01	10.9 ± 6.46	0.35
Operation type							
Major operation	34,262 (33.7)	31,695 (33.7)	56 (6.1)	<0.001	2,478 (37.6)	33 (10.0)	<0.001
Minor operation	219 (0.2)	191 (0.2)	2 (0.2)	0.92	25 (0.4)	1 (0.3)	0.82

Data are expressed as number only, number (%), mean  $\pm$  standard deviation, or median (interquartile range).

Operations were classified into two categories by expected surgery time: major operation defined as surgery duration ≥ 1 hour, and minor operation defined as surgery duration < 1 hour.

AHF, acute heart failure; AKI, acute kidney injury; BMI, body mass index; BUN, blood urea nitrogen; eGFR, estimated glomerular filtration rate; ICU, intensive care unit; NSAID, nonsteroidal anti-inflammatory drug; RAS, renin-angiotensin system; WBC, white blood cell.

There were 6,589 patients (6.5%) with AKI only, 913 patients (0.9%) with AHF only, and 331 patients (0.3%) with both AKI and AHF. There were more elderly individuals among patients who developed AHF than patients without AHF, regardless of AKI. Patients with AHF had a higher proportion of underlying diseases, such as hypertension, ischemic heart disease, and CHF, and used diuretics more than those without AHF. Regardless of AKI, less than 20% of patients with AHF used NSAIDs compared to over 20% of those without AHF. Patients with AKI had longer hospital stays than those without AKI. In contrast, the presence of AHF did not significantly affect the length of hospital stay, even among patients who developed AKI. The percentages of patients with AKI who used beta blockers, renin-angiotensin system blockers, and calcium-channel blockers were similar regardless of the development of AHF. Usage proportion of vasopressors was higher among patients with AKI than those without AKI.

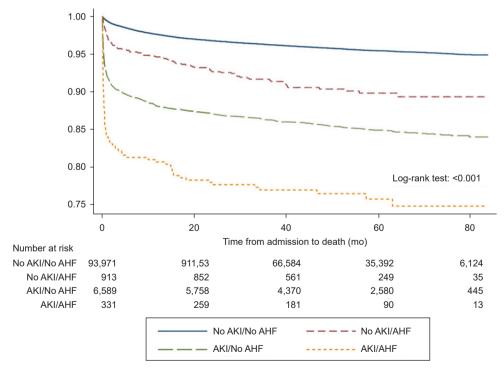
The highest proportion of CKD was found among patients who had both AKI and AHF (15.7%). One hundred sixty-eight patients (50.8%) with both AKI and AHF were admitted to the intensive care unit, and this proportion was

higher than that of patients with either AKI or AHF. Serum potassium on the first day of admission was higher among patients with AKI than those without AKI, and it was highest in patients who developed both AKI and AHF.

#### Additive effect of acute kidney injury and acute heart failure

The overall death rate was 5.1% (5,181 patients), and 18.7% (121 patients) of patients who died developed both AKI and AHF. Among patients with AHF only, 350 (10.2%) died, while 933 patients (14.8%) with AKI only died (p < 0.001). Kaplan-Meier analysis showed a significant relationship between mortality and development of AKI or AHF based on a log-rank test (p < 0.001) (Fig. 1). As mortality rate was significantly higher early after enrollment, we further investigated 1-month mortality.

Within 1 month after admission, 1,076 patients (20.8%) died. Among these patients, 4.8% (52 patients) developed both AKI and AHF (Table 2). We compared the HRs of patients according to AKI or AHF to that of patients without AKI or AHF. The HR of 1-month mortality was 29.23 in patients with both AKI and AHF (95% confidence interval [CI],



**Figure 1. Kaplan-Meier curves for death by groups, based on the presence of AKI or AHF.** The coexistence of AKI and AHF increased the risk of mortality and end-stage renal disease, especially short-term mortality after admission. AKI, acute kidney injury; AHF, acute heart failure.

Table 2. Results of analyses of interactions between AKI and AHF and mortality within 1 month of admission

Variable –	AKI absent		AK	HR (95% CI) for AKI	
	No.	HR (95% CI)	No.	HR (95% CI)	within AHF strata
AHF absent	494/93,971	1.00 (Ref)	509/6,589	15.00 (13.09-17.19)	15.00 (13.09-17.19)
AHF present	21/913	3.39 (2.10-5.5)	52/331	29.23 (20.83-41.03)	14.56 (12.75–16.64)
HR (95% CI) for AHF within strata of AKI		3.39 (2.10-5.5)		2.21 (1.65-2.96)	

RERI (95% CI), 11.846 (2.426–21.266); p = 0.014. AP (95% CI), 0.405 (0.211–0.600); p < 0.001. SI (95% CI), 1.723 (1.227–2.418); p = 0.002. RERI and AP were >0, and SI was >1, suggesting an additive interaction between AKI and AHF. Hazard ratio was adjusted for age over 75 years, sex, body mass index, admission duration in days, comorbidities (hypertension, diabetes mellitus, chronic kidney disease, chronic heart failure, ischemic heart disease, liver disease, cerebrovascular disease, chronic obstructive pulmonary disease, and cancer), and medications used in the past 6 months just before admission (diuretics, renin-angiotensin system blockers, beta blockers, calcium-channel blockers, nonsteroidal anti-inflammatory drugs, vancomycin, and vasopressors).

AP, attributable proportion due to interaction; AKI, acute kidney injury; AHF, acute heart failure; CI, confidence interval; HR, hazard ratio; RERI, relative excess risk of interaction; SI, synergy index.

Table 3. Interaction between AKI and AHF and mortality within 1 month among patients aged ≤75 years

Variable	AKI absent			AKI present	HR (95% CI) for AKI	
	No.	HR (95% CI)	No.	HR (95% CI)	within AHF strata	
AHF absent	257/78,794	1.000 (Ref)	6/480	18.74 (15.62-22.47)	18.74 (15.62-22.47)	
AHF present	294/4,951	5.63 (2.42-13.10)	21/151	70.40 (41.85-118.46)	18.50 (15.46-22.14)	
HR (95% CI) for AHF within strata of AKI		5.63 (2.42-13.10)		4.02 (2.53-6.39)		

Relative excess risk of interaction (95% CI), 47.042 (11.519–82.564); p = 0.009. Attributable proportion due to interaction (95% CI), 0.668 (0.496–0.840); p < 0.001. Synergy index (95% CI), 3.104 (1.831–5.260); p < 0.001.

Hazard ratio was adjusted for sex, body mass index, admission days, comorbidities (hypertension, diabetes mellitus, chronic kidney disease, chronic heart failure, ischemic heart disease, liver disease, cerebrovascular disease, chronic obstructive pulmonary disease, and cancer), and medications used in the last 6 months just before admission (diuretics, renin-angiotensin system blockers, beta blockers, calcium-channel blockers, nonsteroidal anti-inflammatory drugs, vancomycin, and vasopressors).

AKI, acute kidney injury; AHF, acute heart failure; CI, confidence interval; HR, hazard ratio.

20.83–41.03), while it was 15.00 in patients with AKI only (95% CI, 13.09–17.19) and 3.39 in patients with AHF only (95% CI, 2.10–5.47). Within strata of AHF, the HRs of AKI to 1-month mortality did not differ substantially. Interaction indexes in RERI analysis suggested that AKI and AHF had an additive detrimental effect on 1-month mortality; RERI between AKI and AHF was 11.846 (p = 0.014), AP was 0.405 (p < 0.001), and SI was 1.723 (p = 0.002). After 1 month, the additive detrimental effect on mortality was not significant.

There were 1,031 patients (1.0%) who developed ESRD after the first admission within the observational period. Among them, 51 patients (4.9%) developed both AKI and AHF, 661 patients (64.1%) developed AKI only, and eight patients (0.8%) developed AHF only. AKI increased the risk of ESRD by 19.120-fold, and AHF increased the risk of ESRD by 1.560-fold. In RERI analysis, the HR of 1-month mortality was 28.599 in patients with both AKI and AHF (95% CI, 20.17–40.55). However, the additive effect of AKI and AHF on ESRD were not statistically significant (RERI:

8.057, p = 0.10; AP: 0.282, p = 0.022; S: 1.412, p = 0.05).

#### Subgroup analysis

In subgroup analysis, age was related to the adverse additive effect of AKI and AHF on short-term mortality. When patients were grouped by age, HRs in patients aged  $\leq$ 75 years were higher than HRs in the total patient population (vs. patients without AKI or AHF: HR, 70.40 [95% CI, 41.85-118.46] in patients with AKI and AHF; HR, 18.74 [95% CI, 15.62–22.47] in patients with AKI only; and HR, 5.63 [95% CI, 2.42–13.11] in patients with AHF only) (Table 3). RERI was 47.042 (p = 0.009), and AP and SI were also significant (AP, 0.668 [p < 0.001]; SI, 3.104 [p < 0.001]). In contrast, in patients aged over 75 years, RERI was 2.428 (p = 0.43), AP was 0.170 (p = 0.35), and SI was 1.224 (p = 0.39), which were statistically insignificant (Table 4). In elderly patients, 1-month mortality was higher in patients with AKI and AHF, with AKI only, and with AHF only (vs. patients with-

Table 4. Interaction between AKI and AHF and mortality within 1 month among patients aged >75 years

Variable	AKI absent			AKI present	HR (95% CI) for AKI	
	No.	HR (95% CI)	No.	HR (95% CI)	within AHF strata	
AHF absent	237/15,177	1.000 (Ref)	215/1,638	10.76 (8.77-13.20)	10.76 (8.774-13.20)	
AHF present	15/433	2.11 (1.18-3.77)	31/180	14.29 (9.16-22.29)	10.36 (8.511-12.62)	
HR (95% CI) for AHF within strata of AKI		2.11 (1.18-3.77)		1.51 (1.04-2.20)		

RERI (95% CI), 2.428 (-3.626 to 8.482); p = 0.432. AP (95% CI), 0.170 (-0.187 to 0.526); p = 0.350. SI (95% CI), 1.224 (0.770-1.943); p = 0.393. RERI and AP were > 0 and SI was > 1 in patients who were  $\leq 75$  years old, while this was not the case in patients over 75 years old. AKI and AHF individually increased mortality within 1 month, regardless of age. Hazard ratio was adjusted for sex, body mass index, admission days, comorbidities (hypertension, diabetes mellitus, chronic kidney disease, chronic heart failure, ischemic heart disease, liver disease, cerebrovascular disease, chronic obstructive pulmonary disease, and cancer), and medications used in the last 6 months just before admission (diuretics, renin-angiotensin system blockers, beta blockers, calcium-channel blockers, nonsteroidal anti-inflammatory drugs, vancomycin, and vasopressors).

AP, attributable proportion due to interaction; AKI, acute kidney injury; AHF, acute heart failure; CI, confidence interval; HR, hazard ratio; RERI, relative excess risk of interaction; SI, synergy index.

out AKI or AHF: HR, 14.29 [95% CI, 9.16–22.29] in patients with AKI and AHF; HR, 10.76 [95% CI, 8.77–13.20] in patients with AKI only; and HR, 2.11 [95% CI, 1.18–3.77] in patients with AHF only).

CHF and renal dysfunction were related to the HRs of AKI and AHF to 1-month mortality in subgroup analysis. Patients without CHF had a 43.736-fold increased risk (95% CI, 30.06-63.63) of 1-month mortality when both AKI and AHF developed (Fig. 2). Patients with CHF showed 10.031-fold increased 1-month mortality risk (95% CI, 4.75-21.17) when both AKI and AHF developed, which was significantly lower than that in patients without CHF. Among patients with CHF, HR for patients with AKI only was higher than for patients who developed both AKI and AHF (HR, 10.66; 95% CI, 5.36-21.20). Patients with baseline eGFR over 60 mL/min/1.73 m² had a 26.756-fold risk of 1-month mortality (95% CI, 14.46-49.50), while patients with baseline eGFR under 60 mL/min/1.73 m² had a 17.115-fold risk (95% CI, 11.24-26.07).

## Hazard ratios of confounders in multivariable Cox proportional hazard analysis

In multivariable analysis, HR of 1-month mortality was 2.56 (95% CI, 2.28–2.97) for patients aged >75 years compared with patients under 75 years (Fig. 3). Males had 1.367-fold increased risk of 1-month mortality than women (HR, 1.37; 95% CI, 1.22–1.58). BMI was associated with 1-month mortality as well (HR, 0.87; 95% CI, 0.86–0.89]). Previous use of diuretics and vasopressor had HRs of 1.64 (95% CI, 1.31–2.05) and 2.52 (95% CI, 1.96–3.25), respectively. Previous

use of beta blockers was also significant (HR, 0.63; 95% CI, 0.46–0.87). Comorbidities were associated with 1-month mortality as follows: HR, 2.00 (95% CI, 1.44–2.79) for liver disease; HR, 1.40 (95% CI, 1.18–1.66) for cerebrovascular disease; HR, 1.38 (95% CI, 1.21–1.59) for cancer; HR, 1.31 (95% CI, 1.07–1.60) for ischemic heart disease; HR, 0.77 (95% CI, 0.62–0.96) for diabetes mellitus; and HR, 0.541 (95% CI, 0.39–0.75) for CKD.

#### Discussion

This study demonstrated that AKI and AHF have additive harmful effects on 1-month mortality. The coexistence of AKI and AHF increased mortality risk more than isolated organ dysfunction in patients without CHF and CKD, especially non-elderly patients.

Four types of cardiorenal syndrome are currently recognized based on the primary organ defect detected first [19]. Coexisting AKI and AHF may complement each other and interact synergistically to produce other risk factors for mortality. Kidney dysfunction can induce heart apoptosis [20], and heart dysfunction can also change kidney structure [21]. Current temporal classification is not perfectly explained by our current pathophysiological understanding of the organ crosstalk between the heart and kidney. In this study, we investigated AKI and AHF that developed within a short period after admission without considering the temporal relationship between AKI and AHF and found that these two conditions had an additive detrimental effect on short-term mortality. A previous study of patients who started renal replacement therapy suggested that

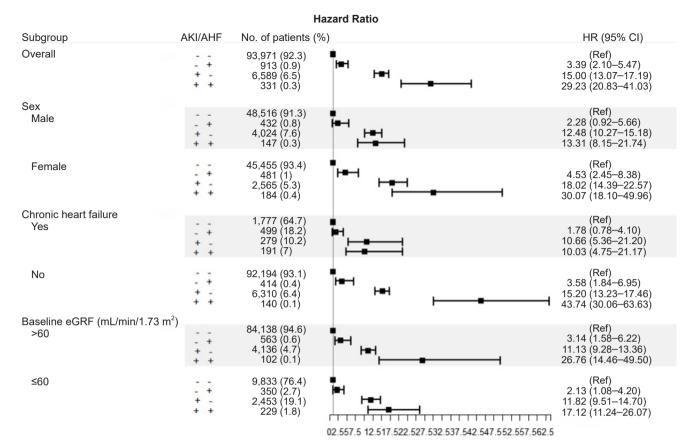


Figure 2. HRs of death within 1 month in subgroups based on sex, underlying chronic heart disease, and baseline eGFR. eGFR, estimated glomerular filtration rate; HR, hazard ratio; Cl, confidence interval.

heart failure impeded recovery from AKI [22]. Therefore, finding a way to detect dysfunction of these two organs is important.

In our study, the interactive effect of AHF and AKI on mortality lasted for a short period. A previous prospective cohort study reported that the association between AKI and mortality decreased after kidney function recovery and proteinuria at the three-month follow-up [23]. These results thus emphasize the need for early detection of and prompt intervention for AKI or AHF after admission, even in patients without previous chronic dysfunction of the heart or kidney. Availability of early diagnostic biomarkers of AKI that correlate with cardiovascular risk or mortality [24] and the development of predictive and prognostic algorithms by machine learning [25] will hopefully result in improved patient outcomes.

The causes of AKI are multifactorial and, similarly, AHF has a range of risk factors. This makes their relationship with acute mortality more challenging to discern, but it

does not detract from their clinical significance during admission [26]. In patients older than 75 years in our study, there was an obscure interactive effect between AHF and AKI. We believe several other comorbidities that contribute to increased risk of mortality were present. A previous study suggested multiple organ dysfunction as a risk factor for mortality in elderly patients with AKI [27]. The results of our study also indicate that dysfunction of individual organs contributes to increased mortality.

Interestingly, the additive effect of AKI and AHF was more prominent in patients without CHF than in those with CHF. CHF was present in about 60% of patients who developed AKI and AHF. Patients with CHF who had either AKI or AHF had a lower HR of 1-month mortality than those without CHF. Management of CHF includes medical treatment with renin-angiotensin system blockers, beta blockers, and diuretics [28,29]. Because the pathophysiologic mechanisms of cardiorenal syndrome, involving AKI and AHF, are explained mostly by volume congestion [30–32], furosemide is

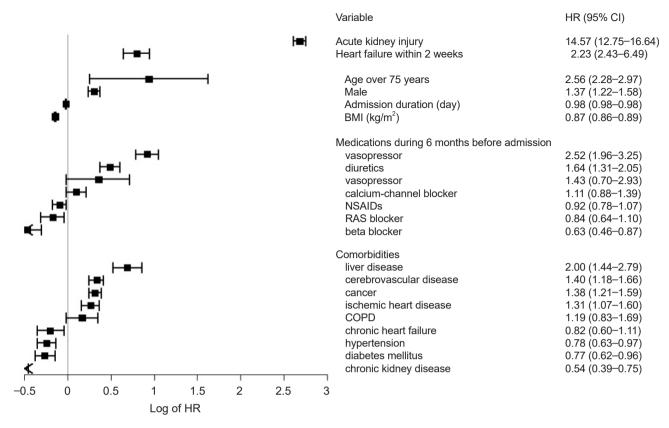


Figure 3. HRs of variables included in the multivariable Cox regression model for death within 1 month.

BMI, body mass index; CI, confidence interval; COPD, chronic obstructive pulmonary disease; HR, hazard ratio; NSAIDs, nonsteroidal anti-inflammatory drugs; RAS, renin-angiotensin system.

one of the standard medications for managing volume congestion in AHF, and has been suggested to improve prognosis in AHF [33–35]. One study in patients with AKI suggested that furosemide reduced 2-month mortality when it was appropriately used for volume management [36]. Because *de novo* heart failure is usually associated with cardiogenic shock or severe hemodynamic alterations secondary to an insult, such as myocardial infarction (which is itself a risk factor for death [37]), usage of diuretics may be difficult. The consequent volume congestion would result in AKI and increased mortality [38].

We also found that the interactive effect of AKI and AHF was more prominent in patients without CKD than those with CKD. In our study, the proportion of patients with CKD among those who developed both AKI and AHF was 15.7%, while it was only 2.1% among patients who developed AHF. Progressive renal insufficiency might have led to acute decompensated heart failure and therefore admission to the hospital. However, the mortality of patients

whose baseline eGFR was <60 mL/min/1.73 m<sup>2</sup> was less affected by the additive effect of AKI and AHF. Because of the prevalent use of renin-angiotensin system blockers and/or angiotensin-converting enzyme inhibitors in patients with CKD [39], these drugs may also have exerted protective effects in patients with an ischemic insult to the heart, as a previous study suggested [40].

The limitations of this study are as follows. First, we based our clinical diagnoses on ICD codes, and heart function was not objectively assessed. Because the diagnosis of AHF depends on clinical judgment, mostly based on fluid congestion, prospective studies that utilize objective measurements of heart function would be useful to develop treatments for cardiorenal syndrome [41]. Second, we did not know the cause of admission or the etiology of AKI in the study patients. Because data on the specific etiology of AKI were limited, pathophysiological links between AKI and AHF could not be determined. However, analyzing large numbers of hospitalized patients would be a good

starting point for studying cardiorenal syndrome and obtaining temporal evidence of organ crosstalk between AKI and AHE.

In conclusion, we studied the interaction between AKI combined with AHF and mortality. HRs of short-term mortality were elevated when AKI and AHF were both present. RERI suggested the possibility of a causal association of AKI and AHF with 1-month mortality, indicating the need for additional research. The coexistence of AKI and AHF in hospitalized patients was associated with increased mortality, even in patients whose renal function was near to normal. Great care must be taken to reverse fluid congestion and insults to the heart and kidney without causing additional renal impairment in these patients.

#### **Conflicts of interest**

All authors have no conflicts of interest to declare.

#### **Authors' contributions**

Conceptualization: SK Study design: JYR, EB

Data curation, Formal analysis: HES, SSH, SK

Investigation: SSH, JJM, JP, HES

Supervision: SK, SSH, JCJ, HJC, KYN, DC

Writing-original draft: HES

Writing-review & editing: HES, SSH, SK

All authors read and approved the final manuscript.

#### **ORCID**

Hyung Eun Son, https://orcid.org/0000-0002-8719-3823
Jong Joo Moon, https://orcid.org/0000-0003-1034-0837
Jeong-min Park, https://orcid.org/0000-0003-3493-8235
Ji Young Ryu, https://orcid.org/0000-0003-4134-1007
Eunji Baek, https://orcid.org/0000-0001-9226-7703
Jong Cheol Jeong, https://orcid.org/0000-0003-0301-7644
Ho Jun Chin, https://orcid.org/0000-0002-3710-0190
Ki Young Na, https://orcid.org/0000-0002-8872-8236
Dong-wan Chae, https://orcid.org/0000-0001-9401-892X
Seung Seok Han, https://orcid.org/0000-0003-0137-5261
Sejoong Kim, https://orcid.org/0000-0002-7238-9962

#### References

- **1.** Chertow GM, Burdick E, Honour M, Bonventre JV, Bates DW. Acute kidney injury, mortality, length of stay, and costs in hospitalized patients. *I Am Soc Nephrol* 2005;16:3365–3370.
- 2. Fang Y, Ding X, Zhong Y, et al. Acute kidney injury in a Chinese hospitalized population. *Blood Purif* 2010;30:120–126.
- **3.** Wang HE, Muntner P, Chertow GM, Warnock DG. Acute kidney injury and mortality in hospitalized patients. *Am J Nephrol* 2012;35:349–355.
- 4. Odutayo A, Wong CX, Farkouh M, et al. AKI and long-term risk for cardiovascular events and mortality. *J Am Soc Nephrol* 2017;28:377–387.
- 5. Ronco C, House AA, Haapio M. Cardiorenal syndrome: refining the definition of a complex symbiosis gone wrong. *Intensive Care Med* 2008;34:957–962.
- **6.** Gheorghiade M, Zannad F, Sopko G, et al. Acute heart failure syndromes: current state and framework for future research. *Circulation* 2005;112:3958–3968.
- 7. Lee DS, Schull MJ, Alter DA, et al. Early deaths in patients with heart failure discharged from the emergency department: a population-based analysis. *Circ Heart Fail* 2010;3:228–235.
- **8.** Go AS, Hsu CY, Yang J, et al. Acute kidney injury and risk of heart failure and atherosclerotic events. *Clin J Am Soc Nephrol* 2018;13:833–841.
- Eren Z, Ozveren O, Buvukoner E, Kaspar E, Degertekin M, Kantarci G. A single-centre study of acute cardiorenal syndrome: incidence, risk factors and consequences. *Cardiorenal Med* 2012;2:168–176.
- **10.** Smith GL, Vaccarino V, Kosiborod M, et al. Worsening renal function: what is a clinically meaningful change in creatinine during hospitalization with heart failure? *J Card Fail* 2003;9:13–25.
- 11. Vandenberghe W, Gevaert S, Kellum JA, et al. Acute kidney injury in cardiorenal syndrome type 1 patients: a systematic review and meta-analysis. *Cardiorenal Med* 2016;6:116–128.
- **12.** Rabb H, Griffin MD, McKay DB, et al. Inflammation in AKI: current understanding, key questions, and knowledge gaps. *J Am Soc Nephrol* 2016;27:371–379.
- **13.** Lameire NH, Bagga A, Cruz D, et al. Acute kidney injury: an increasing global concern. *Lancet* 2013;382:170–179.
- 14. Selby NM, Kolhe NV, McIntyre CW, et al. Defining the cause of death in hospitalised patients with acute kidney injury. *PLoS One* 2012;7:e48580.
- 15. Park S, Cho H, Park S, et al. Simple postoperative AKI risk (SPARK) classification before noncardiac surgery: a prediction index

- development study with external validation. *J Am Soc Nephrol* 2019;30:170–181.
- 16. Sundararajan V, Henderson T, Perry C, Muggivan A, Quan H, Ghali WA. New ICD-10 version of the Charlson comorbidity index predicted in-hospital mortality. *J Clin Epidemiol* 2004;57:1288–1294.
- 17. VanderWeele TJ. Causal interactions in the proportional hazards model. *Epidemiology* 2011;22:713–717.
- **18.** Li R, Chambless L. Test for additive interaction in proportional hazards models. *Ann Epidemiol* 2007;17:227–236.
- 19. Rangaswami J, Bhalla V, Blair JE, et al. Cardiorenal syndrome: classification, pathophysiology, diagnosis, and treatment strategies: a scientific statement from the American Heart Association. *Circulation* 2019;139:e840–e878.
- **20.** Kelly KJ. Distant effects of experimental renal ischemia/reperfusion injury. *J Am Soc Nephrol* 2003;14:1549–1558.
- **21.** Funahashi Y, Chowdhury S, Eiwaz MB, Hutchens MP. Acute cardiorenal syndrome: models and heart-kidney connectors. *Nephron* 2020;144:629–633.
- **22.** Hickson LJ, Chaudhary S, Williams AW, et al. Predictors of outpatient kidney function recovery among patients who initiate hemodialysis in the hospital. *Am J Kidney Dis* 2015;65:592–602.
- 23. Ikizler TA, Parikh CR, Himmelfarb J, et al. A prospective cohort study of acute kidney injury and kidney outcomes, cardiovascular events, and death. *Kidney Int* 2021;99:456–465.
- **24.** Malhotra R, Siew ED. Biomarkers for the early detection and prognosis of acute kidney injury. *Clin J Am Soc Nephrol* 2017;12: 149–173.
- 25. Churpek MM, Carey KA, Edelson DP, et al. Internal and external validation of a machine learning risk score for acute kidney injury. *JAMA Netw Open* 2020;3:e2012892.
- **26.** Girling BJ, Channon SW, Haines RW, Prowle JR. Acute kidney injury and adverse outcomes of critical illness: correlation or causation? *Clin Kidney J* 2019;13:133–141.
- 27. Gong Y, Zhang F, Ding F, Gu Y. Elderly patients with acute kidney injury (AKI): clinical features and risk factors for mortality. *Arch Gerontol Geriatr* 2012;54:e47–e51.
- 28. Doi K, Rabb H. Impact of acute kidney injury on distant organ function: recent findings and potential therapeutic targets. *Kidney Int* 2016;89:555–564.
- 29. McCallum W, Tighiouart H, Ku E, Salem D, Sarnak MJ. Acute declines in estimated glomerular filtration rate on enalapril and mortality and cardiovascular outcomes in patients with heart fail-

- ure with reduced ejection fraction. Kidney Int 2019;96:1185-1194.
- **30.** Braam B, Joles JA, Danishwar AH, Gaillard CA. Cardiorenal syndrome: current understanding and future perspectives. *Nat Rev Nephrol* 2014;10:48–55.
- **31.** Burchill L, Velkoska E, Dean RG, et al. Acute kidney injury in the rat causes cardiac remodelling and increases angiotensin-converting enzyme 2 expression. *Exp Physiol* 2008;93:622–630.
- **32.** Tokuyama H, Kelly DJ, Zhang Y, Gow RM, Gilbert RE. Macrophage infiltration and cellular proliferation in the non-ischemic kidney and heart following prolonged unilateral renal ischemia. *Nephron Physiol* 2007;106:p54–p62.
- **33.** Ellison DH, Felker GM. Diuretic treatment in heart failure. *N Engl J Med* 2018;378:684–685.
- 34. Palazzuoli A, Ruocco G, Vescovo G, Valle R, Di Somma S, Nuti R. Rationale and study design of intravenous loop diuretic administration in acute heart failure: DIUR-AHF. *ESC Heart Fail* 2017;4:479–486.
- **35.** Wettersten N, Horiuchi Y, van Veldhuisen DJ, et al. Decongestion discriminates risk for one-year mortality in patients with improving renal function in acute heart failure. *Eur J Heart Fail* 2021;23:1122–1130.
- **36.** Grams ME, Estrella MM, Coresh J, Brower RG, Liu KD; National Heart, Lung, and Blood Institute Acute Respiratory Distress Syndrome Network. Fluid balance, diuretic use, and mortality in acute kidney injury. *Clin J Am Soc Nephrol* 2011;6:966–973.
- **37.** Hummel A, Empe K, Dörr M, Felix SB. De novo acute heart failure and acutely decompensated chronic heart failure. *Dtsch Arztebl Int* 2015;112:298–310.
- **38.** Damman K, Voors AA, Hillege HL, et al. Congestion in chronic systolic heart failure is related to renal dysfunction and increased mortality. *Eur J Heart Fail* 2010;12:974–982.
- **39.** Molnar MZ, Kalantar-Zadeh K, Lott EH, et al. Angiotensin-converting enzyme inhibitor, angiotensin receptor blocker use, and mortality in patients with chronic kidney disease. *J Am Coll Cardiol* 2014;63:650–658.
- 40. González-Cambeiro MC, López-López A, Abu-Assi E, et al. Mortality benefit of long-term angiotensin-converting enzyme inhibitors or angiotensin receptor blockers after successful percutaneous coronary intervention in non-ST elevation acute myocardial infarction. Rev Port Cardiol 2016;35:645–653.
- **41.** Schrier RW. Cardiorenal versus renocardiac syndrome: is there a difference? *Nat Clin Pract Nephrol* 2007;3:637.