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Couper-Kuhlen, Elizabeth

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Directive turn design and intersubjectivity

Elizabeth Couper-Kuhlen, Marja Etelämäki & Marja-Leena Sorjonen

1. Introduction

In broad terms, intersubjectivity involves mutual understanding and sharing of experience between humans. In social interaction, intersubjectivity unfolds turn-by-turn (Schegloff 1992): every single turn, through its design, content, and sequential placement shows how the speaker of that turn has understood the co-participant's prior action and what kind of action sequence and larger activity the participants are engaged in. Furthermore, each turn shows its speaker's understanding of what assumptions about the activity and the relation between the participants are shared (see Schuetz's (1953) notion of 'intersubjectivity for all practical purposes'). In this paper, we will discuss turn design as a locus of intersubjectivity, focusing on the design of directive turns-at-talk in data from ordinary Finnish interactions.

More specifically, we will investigate two turn designs that are used to implement a directive action in Finnish, turns formatted with a 2nd person imperative form, either singular or plural (e.g., syö* se loppuki, 'eat [sg] the rest of it too' or syö-kää se loppuki, 'eat [pl] the rest of it too') and turns that contain a zero-person form together with a modal expression (e.g., no sit 0 pitää mennä uudelleen, 'well then 0 must go again'). Neither of these turn designs contains a separate subject phrase making explicit the person(s) referred to, nor do they indicate when the action nominated is to take place nor why it should be carried out. This is not to deny, however, that there are fundamental differences between them: a turn formatted with an imperative form is conventionally understood to be addressed to the coparticipant or co-participants, while a turn formatted with a zero-person construction does not have a conventionally understood addressee. Furthermore, a turn formatted with an imperative form is inherently directive, whereas a turn formatted with a zero-person construction is a declarative and, like declaratives in general, can be used for accomplishing a variety of actions (including directives). Nevertheless, both turn designs are

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 $^{^1}$ * stands for boundary gemination, a relic of the imperative marker -k; -kaa marks the plural imperative (Hakulinen, L., 1961:§61).

² However, morphologically speaking, the imperative forms are not marked for second person (boundary gemination and -kaa are markers of the imperative mood in singular and plural) (VISK §118).

central means for implementing directives in Finnish.³

This raises the following question: Do the two turn designs make different assumptions about the extent of intersubjective understanding concerning what should be done, by whom, when, and why? In this chapter we explore this question, examining first directive turns that are implemented with imperative forms and then directive turns with zero person+modal constructions. A third section deals with directive sequences of turns in which both imperative and zero-person+modal constructions are used. In conclusion we reflect on what these findings mean for our understanding of intersubjectivity in interaction.

2. Directive turns with imperatives

Designing a turn with an imperative form implements an inherently directive action. Imperative forms can of course be used as vehicles for accomplishing other actions, such as permitting someone to do something, advising/encouraging someone to do something, or inviting someone to do something (for examples of these uses see, e.g., Keevallik 2017, Heinemann & Steensig 2017, Sorjonen 2017). But the imperative is nevertheless by

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³ There are of course other forms that Finnish speakers can make use of when formulating directives, e.g., simple declaratives, modal constructions, interrogatives with an explicit subject. However, because imperatives and zero-person constructions are the most minimal ones in terms of what they make explicit and, moreover, can occur in the same directive sequence, we have chosen to focus on them here.

virtue of its form always directive, in the sense that it represents a grammaticized form for telling another to do (or not do) something.⁴

Yet turns designed with imperative forms can take a variety of different shapes, some of which are very brief and others of which are more elaborate. Let us consider, for instance, the minimally formatted imperative directive turn in the following extract:

(1) "Levitä" 'Spread (sprinkles)' (adapted from Raevaara 2017:390)

[Heli is conducting a cooking class for young girls at the local youth center. Ella has just spread icing on the cake they have baked and Sara is about to add sprinkles on top.]

```
1 Heli:
           nyt voi
                        Sara, (.) levittää
           now can.3SG [name]
                                  spread-INF already
          now can Sara, (.) already spread
2
           nonparelleja
           sprinkles-PAR
           sprinkles
3
           siihe +kes>kelle<.
           there in the middle.
4 Sara:
                 +TAKES HOLD OF THE SPRINKLE JAR
          (1.5) SARA OPENS THE LID OF THE JAR
6 Heli:
          tai siihen, (0.4) päälle.
           or there,
                       (0.4) on top.
           (2.0) ELLA LOOKS AT THE KNIFE SHE HAS USED FOR
                 SPREADING THE TOPPING
8 Ella:
           £>mitä mä< tälle +teen;£
```

⁴ Auer (2017) notes that there are (rare) exceptions to this generalization, for example, in the use of imperatives for formulaic wishes and to "open up hypothetical mental spaces" in conversation (p. 422).

what do I do with this;

9 Sara:	+HOLDS	THE	JAR	OUT	OVER	THE	CAKE
---------	--------	-----	-----	-----	------	-----	------

10 Heli: #+no sä voit vaikka nuo:lla
PRT you can-2SG for.example lick-INF

well you can for example lick

11 Sara: +HOLDS THE JAR OVER THE CAKE WITHOUT POURING

12 s[e;

DEM3.SG.ACC

it

13 Sara: [+a:i

PRT

14 Sara: +GLANCES AT ELLA

15 (1.8) SARA LOOKS AT HELI, SHAKES THE JAR WITHOUT POURING

16 Heli: → #>levi+tä<?

spread.IMP.SG

spread [them]

17 Sara: +CONTINUES SHAKING THE JAR; BEGINS POURING

OUT THE SPRINKLES

18 (1.4) SARA CONTINUES POURING THE SPRINKLES

19 Sara: joka <pualelle>.

all over.

20 Heli: mm:;

When Heli instructs Sara to spread the sprinkles on the cake (lines 1–3), Sara takes the sprinkle jar and opens its lid (lines 4–5) in preparation for executing the instruction. But Ella intervenes by asking Heli what she should do with the knife she has just used to spread the icing (lines 7–8). While Heli deals with this contingency (lines 10 and 12), Sara continues to

hold the jar over the cake without, however, pouring the sprinkles. She then looks at Heli and shakes the jar (line 15), whereupon Heli produces the following directive: *levitä* 'spread' (line 16). The format of this turn is remarkably brief: it consists of just one word, the 2nd person singular imperative form of the ditransitive verb *levittää* 'to spread'. Not only does this turn design leave unspecified what is to be spread and where, it also does not say who of those present is to execute the action, nor when they should execute it, nor why this action is necessary or desirable. Yet the turn is unproblematic in that it is immediately acted upon: Sara begins pouring out the sprinkles on the cake (line 17). How can this be?

Seen in context, the turn in line 16 is perfectly fitted to the circumstances of its occurrence: Heli has actually already instructed Sara to spread the sprinkles on the cake with *nyt voi Sara*, (.) levittää jo nonparelleja siihe kes>kelle< 'now can Sara already spread sprinkles there in the middle' (lines 1–3), incremented a split second later with tai siihen, (0.4) päälle 'or there, (0.4) on top' (line 6). That is, a joint activity has already been initiated that provides for the understanding of who is to execute the action of spreading, what it is that should be spread, where, and when. Moreover, since the common goal is to produce a cake with icing and sprinkles, the participants clearly also know why the spreading is necessary or desirable. In other words, the who, what, when, and why of the action forwarded with the directive in line 16 are intersubjectively understood.

What this minimally formatted turn does is simply prompt the execution of

the action, which is implied to be urgent given the fast tempo of its production.⁵

Yet if circumstances are such that participants cannot be assumed to share an understanding of the who, when, and why of an action that is to be forwarded, directive speakers must design their imperatively formatted turns more elaborately. This is what we see happening with the maximally formatted imperative directive in the following extract from the same cooking class:

(2) "Pistä sää Nina tonne nurkkaa sitte se" 'you Nina put it there into the corner then' (adapted from Raevaara 2017:395)

[Four of the girls are preparing star-shaped pastry with their teacher, Heli. The cutout pieces of dough are now ready to be placed on the baking tray.]

```
1 Nina:
          kaikkie omilla +sä teit [ton,
          with all of your own ones you did that,
2 Nina:
                         +STANDS UP
3 Tiia:
                                   [e:-ih vaa
                                   no but
          +↑iha omil↓la,
            with my very own,
5 Nina:
          +TAKES HER PASTRY
6 Heli: → >no< nii pistä
                             sää, (.)
           PRT PRT put.IMP.SG you
           all right you
          +Nina tonne
                             nurkkaa
           [name] DEM2.LOC-ILL corner-ILL
```

⁵ We are indebted to Liisa Raevaara for sharing this example and the next with us. In both cases we have relied heavily on her analysis.

```
Nina put it there into the corner
 8 Nina:
            +BEGINS TO MOVE TOWARDS THE TRAY
 9 Heli:
            sitte \uparrowse, (0.4) siihe;
                   DEM3.SG
                            DEM3.LOC-ILL
            then
            then, (0.4) there
10 Nina:
            *mä kirjotan tähä.
             I'll write here
11 Nina:
            *TAKES A PENCIL FROM THE TABLE
12 Heli: \rightarrow joo-o, (0.5) pistä
                                    vähä
                                             sinne
                        put.IMP.SG a.bit DEM3.LOC-ALL
            yeah, (0.5) put it a bit
13
            reunempaa
                          et
                               kato
            side-COMPA-ILL that look.PRT
            closer to the side so that y' see
14
            se, (.) mahtuu
            DEM3.SG have.room-SG3
            it, (.) there is room for
15
            †mahollisimma paljo samaa.
             possible-SUP much same-PAR
             as many [pastries] as possible on the same [tray]
            (0.5)
16 Heli:
            pitää aina
                          miettiä
                                     nii;
            0 must always think-INF so
            0 must always think of that
```

In this extract, Nina and Tiia are spatting with one another (lines 1 and 3–4) when Nina stands up (line 2) and takes her pastry (line 5). Heli now instructs her to place it in a corner of the baking tray (lines 6–7 and 9). Nina begins to move towards the tray (line 8) but before executing the requested action, announces that she wants to write her name on the greaseproof paper next to her pastries (so as to identify them later) (lines 10–11). Heli now explains that Nina should put the pastries closer to the side so that there is

room for as many others as possible on the same tray (lines 12–15). After a brief pause, she adds a piece of general advice that it is always important to think of this (line 16).

By comparison with the minimally formatted directive in (1), Heli's directives here are much more elaborate. In lines 6–9 she uses a personal pronoun sää 'you' and the proper name Nina to specify who the intended agent⁶ of the action is, and a full noun phrase tonne nurkkaa 'that corner' to specify where the pastries should be placed. Her directive is presented as being less urgent than in Ex. (1) by virtue of the fact that it is prefaced by the turn-initial particles no nii, which marks a transition from one activity to another (Raevaara 1989), and that it contains internal pauses. When Nina does not initiate the action immediately but first begins to write her name next to the pastries, Heli issues another directive, again specifying where the pastries should be put: sinne reunempaa 'closer to the side' and adding an explanation for why this should happen: et...mahtuu mahollisimma paljo samaa 'so that ... there is room for as many as possible on the same [tray]'.

In lines 6–9 of Ex. (2) then, Heli and Nina have not formed a joint focus of attention, in contrast to the previous example, where a joint activity was already initiated. The circumstances thus mandate an explicit naming of the agent of the intended action, a pronominal reference to what is to be placed, and a full lexical specification of where it is to be placed. When a

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 $^{^6}$ Throughout this chapter, we use the word 'agent' to mean the individual(s) who is(are) to carry out the nominated action.

delay ensues before execution of the action, the directive is re-issued in lines 12–15, again with pronominal reference to the pastry and an exact specification of where it is to be placed. Moreover, this re-issued directive turn contains an account, an explicit reason for the desirability of the action. In short, both directives are designed according to what is shared knowledge at that moment in order to ensure an intersubjective understanding of the who, what, when, and why of the intended action.

3. Directive turns with zero-person constructions

In addition to designing directive turns with imperatives, Finnish speakers can also make use of a so-called zero-person construction in which a modal expression of necessity or desirability is combined with a non-finite verb form expressing the action to be forwarded. In this construction the verb (modal or copula) is inflected for 3rd person singular present tense but lacks any expression of a subject: for example, *0 täytyy vaihtaa* '0 needs to change' or *0 on pakko syödä* '0 must eat'. Unlike directives with imperatives, directives with zero-person+modal constructions can by

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⁷ 0 täytyy vaihtaa need.to-3SG change-INF

⁸ 0 on pakko syödä cop-3SG obligation eat-INF

definition never be fully minimal: this is because the modal expression always makes the necessity or desirability of the intended action explicit.

Here is an example of a directive turn making use of a zeroperson+modal construction:

(3) "Maanantaina 0 täytyy hakee se pois" '0 needs to pick it up on Monday' [KTA, Sg94 A5]

[Make has lent an excavator to his friend Pete. Now he is calling Pete to announce that he needs to get it back.]

```
1 Make:
          >joo täytyy tota (.) jollet
           PRT need.to-3SG PRT if-NEG-2G 2SG
           yeah, 0 needs to
                                   if you don't
          maanantaina < ö kerkii (0.6) maanantaiks
          Monday-ESS
                         have.the.time Monday-TRA
           have the time on Monday
                                       by Monday
3
           [kerkii
                         niin täytyy
                                          vaihtaa]
           have.the.time then need.to-3SG change
           then 0 needs to change ((it))
           [mhhhhh
4 Pete:
                                                  ]
5 Make:
          toiseen
                      konee[seen sitte.]
          another-ILL machine-ILL then
           to another machine then
6 Pete:
                            [.nhhh
                                        1
           k- > kyl mä (.) (tota
                                 noin ni) <
              PRT 1SG
                         PRT
                                 PRT
                                       PRT
           I do
          m (.) sen
                            mitä
                                     tarvitaanki
                DEM3.SG-ACC what-PAR need-PASS-4-CLI so
                whatever is needed so
```

```
have.the.time-1SG DEM2.SG-INE do-INF-ILL PRT
            I have the time to do ((it)) so
10 Make:
            ni[i. ]
            PRT
            yes
((11 lines omitted in which Pete describes the problems he has been having with
removing the big stones in his yard))
22 Make:
            no joka tapaukses se (.) 'te
            PRT every case-INE DEM3.SG then
            well in any case 0 needs to pick it up then
23
         → maanantaina täytyy
            Monday-ESS 0 need-3SG
            on Monday
24
         → kuitenki hakee (se)
                                   pois< ni
                           DEM3.SG away PRT get-2SG
            anyhow
                    pick
            anyhow so you'll get
25
            toisen
                        tilalle jos tarvi(it [sit),]
            another-ACC instead if need-2SG then
            another one as a replacement if you need it then
26 Pete:
                                               [.nhh]h
27
            >tota noin ni joo.=katotaan
             PRT PRT
                       PRT PRT look-PASS-4
                                 we'll see
28
                    n't öö öh .hh sä
            sitä
                                          haet
                                                    sen
            DEM3.SG PRT
                                   you.SG pick-2SG DEM3.SG-ACC
            about it
                                   you will pick it up
29
            pois koska<.h
            up
                when
            when (('when will you pick it up'))
30 Make:
            [ (-)
                                1
31 Pete:
            [>voit
                       sä
                              ha]kee
                                          sunnuntainaki
              can-2SG you.SG pick.up-INF Sunday-ESS-CLI
              you can pick it up on Sunday too
```

tos

tekemään

et .hhh

9

32

jos sä

haluut<.h=

ehdin

```
if you.SG want-2SG
if you want to
```

33 Make: =ö:e:m minä viitti
NEG-1SG I bother-INF

I won't bother

((Talk continues about the fact that Make has sold the excavator to someone who wants to pick it up on Monday))

After inquiring about how far along Pete is in using the excavator to remove the rocks from his front yard (data not shown), Make now announces that the excavator needs to be picked up on Monday. He quickly adds that Pete can have a replacement for it if necessary (lines 22–25). The form used in Make's turn, maanataina täytyy kuitenki hakee (se) pois 'anyhow 0 needs to pick it up on Monday' is a declarative expression of need, one that is often said to imply deonticity (Stevanovic 2011, Zinken & Ogierman 2011, Rossi 2015, Rossi and Zinken 2016). However, what characterizes this Finnish construction – and its correlates in languages such as Italian and Polish – is that the agent of the action which is said to be necessary or desirable is not specified.

In the case of (3) the participants can assume that it is Make who will be the agent of the action named, since the excavator belongs to him and he has chosen the deictic verb *hakea* 'pick up' to refer to the process of getting it back. But his announcement has implications for Pete, who will

.

⁹ Note that Make's turn in lines 1–5 also contains a zero-person construction. However, it is treated as an inquiry as to whether Pete will be done by Monday and does not lead to a directive sequence.

need to make it available on Monday. It is in this sense that Make's turn functions as a directive to Pete. Pete's response acknowledges as much: he first hedges on whether he will need a replacement *katotaan sitä* 'we'll see about it' (lines 27–28) and then inquires when Make wants to pick the excavator up on Monday, indirectly confirming that he is prepared to return it (lines 28–29). He then goes on to offer to give it back already on Sunday (lines 31–32), and by using the second person formulation *voit sä* 'can-2SG you' he makes it explicit that Make will be the agent of picking the excavator up. In sum, the turn in lines 22–25 functions as a directive because the participants intersubjectively share assumptions about the tasks that the project involves and their respective agents.

A zero-person+modal directive such as the one in (3) differs from an imperatively formatted directive as in (1) because it does not inherently single out the recipient as agent. Imperatives always target the recipient as agent, although they may not express this explicitly, whereas zero-person+modal directives depend on participant inferencing to determine who is to carry out the action named. In (3) it is the directive speaker who will function as the agent of the picking up, although the recipient is also implicated in this action. In the following case, it is the recipient of the zero-person turn who, it is implied, should become the agent of the action named:

(4) "Kyl niille hyvä smirgelii olis näy:ttää" 'Indeed would be good [for 0] to show them the sanding machine' [KTA: Sg 094A_7] (adapted from Couper-Kuhlen & Etelämäki 2015:12–13)

[Johan has been making some wedges for his friend Petri. In this excerpt he announces that he has just finished them.]

```
1 Joh:
                                            kii:lat
           tota: mä tein
                                ne
                                       (.)
                 I do-PST-1SG DEM3.PL
                                            wedge-PL
           uhm I did the wedges
 2
           nyt:te just [äskön.]
           PRT
                  PRT
                         PRT
           now a moment ago
 3 Pet:
                               ] aha, [.hhh
                        [aha?
                         PRT
                                 PRT
 4 Joh: \rightarrow
                                               jah::]
                                                       kyl
                                      [ja
                                       PRT
                                               PRT
                                                        PRT
                                        and and indeed
 5
                        niinku< hyvä smirgelii
        → >niille
            DEM3.PL-ALL PRT
                                good sanding.machine-PAR
            would be good
 6
        \rightarrow olis
                       näy:ttää vähä,
           be-COND.3SG show-INF little
           [for 0] to show them a bit of the sanding machine
 7
           (0.5)
 8 Pet:
           joo, mä voin:
                            mä voin
                                        tuola<
           PRT I can-1SG I can-1SG DEM2.LOC-ADE
           yes I can I can
 9
           töissä
                        va[ikka]
                                    vetää
                                             [ne
                                                       joo.]
           work-PL-INE for.example pull-INF DEM3.PL PRT
           run them through for example at work then
10 Joh:
                          [joo:.]
                                             [et
                                                      tota]
                           PRT
                                             PRT
                                                      PRT
                                             so uhm
                           yes.
11
           mä kattelin
                                ei siin,
           I look-FRE-PST-1SG NEG PRT
           I was looking and thinking that no
```

```
12
          =jos siin alkaa
           PRT PRT 0 begin-3 file-INF
           if 0 begins to file
          niin siin meneep (0.4) ik- ikä pe[rkele.
13
          PRT PRT go-3SG
                                    age EXPL
          then it will take a hell of a long time
14 Pet:
                                           [siin
                                           DEM3.SG.INE
                                            there
15
                 niin monta eri
                                      kulmaa
          on
          be.3SG so many different angle-PAR
          are so many different angles
16
          kyl[lä.h]
          PRT
          indeed
```

In lines 1–2 Johan informs his friend Petri that he has just finished making the wedges. But he goes on to declare that it would be good to sand them down with a sanding machine, using a zero-person construction with an expression of desirability *olla hyvä* 'be good' (lines 4–6). This construction does not specify who should do the sanding. However, Petri treats Johan's turn as a directive to him by committing to execute the action himself at work (lines 8–9). ¹⁰ The zero-person construction functions as a directive in this case because the participants can infer that Johan is unable to do the machine-sanding himself (presumably because he does not have a machine), while Petri is, because he has such a machine at work. This inferencing leads to Petri agreeing to do it in next turn. As Johan goes on to explain, it would take much longer if the wedges were to be filed by hand (lines 11–

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¹⁰ The turn-final *joo* shows that the formulation of Petri's turn is a response to a prior directive addressed to him.

13), which is presumably what would have to happen if he were to do it himself.¹¹

In both Exs. (3) and (4) zero-person+modal constructions were used to implement directive turns in a sequence-initiating position. Subsequently they received responses that indicated that the recipient was committing to the execution of the action in question. The same construction type can, however, also be used for directive actions in responsive position. Here is a case in point:

(5) "Sitte 0 täytyy vaan tehä joku ulkomaan keikka" 'Then 0 just has to make a trip abroad' [KTA: SG s01b_07] (adapted from Sorjonen 2001:124–125)

[Sisko has been telling her friend Tuire about her plans to sell her summer cottage. Tuire has, however, pointed to the emotional consequences of doing so.]

```
1 S: [kyllä se vois olla kauhe>eta mutta ku PRT it can-CON.3SG be-INF awful-PAR but as surely it could be awful but as
```

2 mä oon ollu I be-1SG be-PPC I've been

niin (.) semmonen (.) #m m# rapakuntonen ja so such mud-condition-ADJ and in so (.) such a (.) #m m# a bad shape and

¹¹ Note here too the use of a zero-person construction (line 12), in this case, however, without a modal expression of necessity or desirability. The form in line 12 references the action of filing by hand without specifying the agent, but it does not function as a directive because (a) it occurs in a hypothetical conditional clause that is serving as an account and (b) it lacks an indication of necessity or desirability.

- 4 huono olonen kaiken# .hh kaiken ke#sän bad being-ADJ all-ACC all-ACC summer-ACC feeling bad all# .hh all su#mmer
- 5 oikeestaan (.) keväästä lähtien in.fact spring-ELA starting in fact (.) ever since the spring
- 6 ni (.) e mulla e# si- s- siin
 PRT I-ADE ?it ?it DEM3-INE
 so (.) er I er i- i- there
- 7 on ollu <u>jo</u>itaki asioita jotka on is be-PPC some (PAR) thing-PL-PAR that.PL.PAR is have been some things about it that have
- 8 käyny mulle niinku rasitukses. go-PPC I-ALL PRT burden-TRA become kind of a burden to me.
- 9 T: \rightarrow .mhh no sitte 0 täytyy vaan (.) tehä joku PRT then 0 must just make-INF some .mhh well then 0 just have to (.) make a
- 10 \rightarrow <u>ulkomaan</u> keikka sen l<u>i</u>säks.h[h out-country-GEN trip it-GEN addition-TRA trip abroad in addition.hh
- 11 S: \Rightarrow [Nii:. PRT Nii:.
- 12 \Rightarrow .mh Mä oon nyt yrittäny rauhottaa I be-1 now try-PPC protect-INF .mh I have tried now to protect
- 13 \Rightarrow .mhhh ö marraskuun #uu# kahta November-GEN two-PAR .mhhh er the last two weeks of
- 14 \Rightarrow viimmestä viik#koo ja# (.) sanonu matkatoimistoon last-PAR week-PAR and say-PPC travel-office-ILL November and (.) told the travel agency
- 15 \Rightarrow että (.) kuhan nyt jonkun matkan #kattoo that if now some-ACC trip-ACC looks that (.) if they just find some trip
- 16 \Rightarrow jossa ö ö p<u>ä</u>äasia että o yhen henge where main-thing that is one-GEN person.GEN in which the main thing is that there's a single
- 17 \Rightarrow \uparrow huone ja \downarrow lämmintä#.

room and warm-PAR ↑room and ↓warm.

18 T: loistava tota: [ajanko]hta. splendid PRT time-GEN-point splendid uh: timing.

In lines 1–8 Sisko concedes Tuire's point about the emotional consequences of selling her cottage but goes on to say that her current state – she has felt bad ever since spring – means that the cottage has become a burden for her. As is common in such complaint and troubles-telling sequences (Jefferson & Lee 1981), the recipient, here Tuire, positions herself as troubles recipient and offers a piece of advice. This advice is delivered with a zeroperson+modal construction: 0 täytyy vaan (.) tehä joku ulkomaan keikka '0 just have to (.) make a trip abroad' (lines 9–10), which focuses on the troublesomeness of the situation. By defocusing the agent, the piece of advice appears as a generic one instead of being a piece of advice concerning a personal situation. Had she instead used a construction with a second-person subject (sun täytyy vaan...), the focus would have been more on how Sisko personally handles the situation rather than the situation as such. Given the sequential context, it is clear that Tuire's turn design targets Sisko as the agent of the action named, although this is not stated as such. Sisko explicates her understanding that Tuire's turn targets her by agreeing with nii and using the first-person pronoun in reporting that she has engaged a travel agent to find a trip for her at the end of November. That is, she acknowledges the deonticity of Sisko's turn as expressing an obligation for

her to act (Stevanovic & Peräkylä 2012), but claims that she has already acted upon it.

The point we wish to make with respect to zero-person+modal constructions is twofold. First, through the combination of zero person with an expression of necessity or desirability, these constructions can be used deontically as directives. Yet in contrast to imperative directives, which take the necessity or desirability of a particular action for granted, the zero person + modal constructions make it explicit. This means that they can be expected to occur in situations where an intersubjective understanding of necessity or desirability is not given. Second, zero-person+modal constructions target agency in a way that is different from imperatives. Rather than inherently assigning agency to the addressee without necessarily saying as much explicitly, zero-person+modal constructions underspecify agency. 12 Participants must infer who is to carry out the intended action and may even find themselves negotiating this role (Couper-Kuhlen & Etelämäki 2015; see also Rossi 2015). These turn designs can be expected to occur when directive speakers wish to focus explicitly on the desirability/necessity of the action itself instead of on who is to accomplish the action.

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¹² We are aware that the word *underspecify* assumes that the default situation is one where agents are specified, but we are unable to develop this point further without more empirical work.

4. Directive sequences with both imperatives and zeroperson+modal constructions

An appreciation of the different *modus operandi* of imperative and zero-person+modal constructions in directives can be had when we examine their co-occurrence in the same conversational sequence or course of action. This happens in particular when a directive issued with an imperative form does not meet with full and immediate compliance or commitment in subsequent talk. Directive speakers will then often turn to zero-person+modal constructions in reissuing the directive, arguably because the recipient's resistance indicates that assumptions concerning the necessity or desirability of the action are not intersubjectively shared. Here is a case where this happens:

(6) "Käy siinä työpaikkalääkärillä" 'Visit that company physician' [KTA: Sg 398]

[Kati has invited her friend Taru over for the evening and they are drinking wine. The topic shifts to dry skin in the winter and Taru shows Kati a bad rash on her forearm. In data not shown here, Kati urges Taru to have the rash examined by a doctor, but Taru insists that she has already done this but the doctor did not take the rash seriously.]

6 Taru: mno mut se oli sitä mieltä et ei se well but his opinion was that it isn't

```
et [ se on vaan jot]ain.
            that it is just something
 8 Kati:
               [no hyvä.
                well good
 9 Kati:
            jos se on sitä että ku
            if it is so because
            mä:[:# olen# (ihan) kauhuissani
                                               ]
            I am totally terrified
11 Taru:
               [mut et sil- sillon >kun mä tietyst<] kävin
                but when when I of course went to
12
            siel lääkärillä ni täs ei ollu just mitään.
            that doctor there wasn't much here
            .hhhhh (0.2) ja sit taas (m)ei menny ku hetken
13
                         and then it took only a little
14
            aikaa ni tähän >nkun< ihan kun tyhjästä <taas
            time until here when like from nowhere again
15
            tuli tämm[östä jotain.>
                                                        ]
            came something like this
16 Kati: →
                      [.hhhh käy
                                           sii-nä
                                                  työ]=
                              visit.IMP.SG DEM3-INE work
                             visit that
         → =paikkalääkä[ri-llä.=
17
             place.physician-ADE
             company physician
                         [Îno
18 Taru:
                          well
            [siellä m]ä oon °käy[ny.°]
19
             there I have been
20 Kati:
            [sinne
                     ]
                                 [ pää]see
             there
                                   0 gets
21
            [nopeesti.
             quickly
            [<sup>†</sup>siellä mä kä]vin.
22 Taru:
              that's where I went
23 Kati:
            nii.
24 Taru:
            >sin< ↑lääkäri katto sen.
```

the doctor checked it

26 Taru: tai olevinaan or doing being 27 s- si[n siinä kohtii. at that that time 28 Kati: ⇒ [no sit 0 pitää men-nä uudelleen, PRT then must-3SG go-INF again well then 0 must go again 29 ku mä aatteli:n- mä sitä tarkotan että kun because I thought- what I mean (is) that if 30 (ne ois katsonu nyt/nyt jos Kelsul nyt) (they would have looked at it closely now/you now go to the health center) 31 (vä[hän tarkkaan/lähet aikaa) (a bit more thoroughly/and (reserve) a time) 32 Taru: [ei ei eiku mä no no no since I 33 Kati: niin sinnehän saat sitte taas then there you know you get (an appointment) then again 34 =seu[raavan kerran ku se on the next time when it's 35 Taru: [eiku mä käv- #ni# no but I wekii[nni jo mutta, 36 already closed (disappeared) but 37 [kävin työpaikkalääkärillä. =ja sit went to the company physician=and then 38 s[e otatti mult #u: he took my 39 Kati: [nii, (0.5)40 41 Kati: ni[i >mut että se näkis< sen tollasena. yes but he would see it like that 42 Taru: [ve- veribl- blood

25

(0.2)

43 (.)

44 Kati: n<u>y</u>tte.

When Taru describes how the rash came back suddenly and spread all over her arm (lines 12–15), Taru again proposes a solution with an imperative form: käy siinä työpaikkalääkärillä 'visit that company physician' (line 16– 17), adding that appointments can be gotten there quickly (lines 20–21. The prenominal demonstrative siinä foregrounds the company doctor, thus stressing his/her salience and adding to the pursuit of the action expressed in the imperative TCU (cf. the locative adverb *siel* in line 12, see Laury 1996). In overlap, however, Taru responds that that is exactly where she was (lines 18–19 and 22). She adds that the doctor checked her arm (line 24) or made a semblance of checking it (line 26–27). In the face of this resistance, Kati now reissues her directive with a zero-person+modal construction: no sit 0 pitää mennä uudelleen 'well then 0 must go again' (line 28). 13 Here too, because Taru's resistance indicates that she does not see the point of the action, Kati designs her turn with a modal verb explicitly stating that it is necessary and going on to provide an account: että se näkis< sen tollasena...nytte 'so that he would see it like that...now' (lines 41 and 44). By opting for a zero-person subject, she underspecifies the agent, stressing

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¹³ Her turn preface *no sit* shows that she is taking into account Kati's previous report, as does her reformulation of the action as *mennä uudelleen* 'go again'.

the action and its necessity. Both these design features serve to tailor Kati's turn so that it will fit the intersubjective requirements of the moment.

A final example follows basically the same pattern; however, in contrast to the prior example where two different verbs were used for the nominated action (*käydä* 'visit' and *mennä* 'go'), in this case the nominated action is insisted on by using the same verb (*syödä* 'eat'):

(7) "Syö pois vaa" 'Just eat away' [KTA: Sg 399f]

8 Teemu: → =£hehhä£ no e:iku syö

[Mirja and Teemu are a couple and share a flat. Teemu has called Mirja to ask about a study assignment. As the call is about to close, Mirja asks Teemu if he has eaten. When he answers in the affirmative, she confesses that she has eaten some of his pizza.]

```
[°#aha joo#° ku]
1 Mirja:
               PRT PRT
               aha okay because
2
            mä söin
            1SG eat-PST-1SG 2SG-GEN
            I ate your
3
           pitsasta [puolet,
                                     ]
           pizza-ELA half
           pizza half-way 'I ate half of your pizza'
                     ſsöit
4 Teemu:
                                mun]
                                         pitsat.
                      eat-PST-2SG 1SG-GEN pizza-PL
                      (you) ate my pizza.
            <"kaik[ki.">]
5
              all.of.it
6 Mirja:
                  [siis] E:N
                             KAIkkee syöny, vaa
                  PRT NEG-1SG all eat-PPC PRT
                   no (I) didn't eat all (of it), but only
           puolet,=
7
            half
            half (of it)
```

pois vaa,

	PRT PRT eat[IMP.SG] away just well no just eat away
9	eiku mä otan täält PRT 1SG take-1SG DEM1.LOC-ABL I'll have here
10 Mirja:	=no ku< MÄ AATTELIN ET sä (mäs-) PRT PRT 1SG think-FRE-PST-1SG PRT 2SG well you see I was thinking that you are
11	mässytät siel vaa munch-2SG DEM3.LOC-ADE just just munching there
12	kaik[kee hy]v#ää# all-PAR good-PAR all kinds of good (things)
13 Teemu:	[>joo joo.<] PRT PRT yeah yeah
14 Mirja:	ja meitsil ei oo mit#ää# r#uo#kaa, PRT 1SG-ADE NEG BE any-PAR food-PAR and poor me doesn't have any food
15 Teemu: →	<pre>£niih heh:£ joo eiku syö se PRT PRT PRT eat[IMP.SG] DEM3.SG yes yeah no eat</pre>
16	loppuki. rest-CLI the rest of it too
17	ei se nyt (.) (mitää -) = NEG DEM3.SG PRT it doesn't
18 Mirja:	=no e:[mmä,>sis] ei mul oo en[ää nälkä] PRT NEG-I PRT NEG I-ADE be anymore hunger well I won't I mean I'm not hungry anymore
19 Teemu:	[()] [syö:t] eat[IND]-2SG [you] eat
20	syöt ku se [mö] eat[IND]-2SG PRT DEM3.SG [you] eat because it
21 Mirja:	[no] eihän mul oo PRT NEG-CLI I-ADE be well I'm not hungry

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22
             [nälkä (enää)
              hunger (anymore)
              anymore am I
23 Teemu: \Rightarrow [ menee pilalle.] pakko syödä,
              0 go-3SG spoiled must eat-INF
             (it will) spoil 0 must eat
24 Mirja:
             ei mullo
                         nälkä yhtää.
             NEG I-ADE-be hunger at.all
             I'm not hungry at all
25
             (.)
26 Mirja:
             [enää,]
              anymore
27 Teemu: ⇒ [e:iku ] pitää vaa syödä.
              PRT
                     0 must just eat-INF
              no matter 0 must just eat
28
             .hhh NO JOO katellaan
                                                    myöhemmin
                                         sit vähä
                  PRT PRT look-FRE-PASS PRT little later
```

well okay, we'll see then a bit later

When Mirja announces that she has eaten half of Teemu's pizza (lines 2–3 & 6–7), Teemu uses an imperatively formatted turn to encourage her to continue eating it (line 8): *syö pois vaa* 'just eat away'. Mirja now launches a tongue-in-cheek account for why she felt justified in doing what she did (lines 10–14), whereupon Teemu reiterates his directive: *eiku syö se loppuki* 'no eat the rest of it too'. Both of these turns are minimally formatted, reflecting what is proposed to be an intersubjective understanding of who should do the eating, what Mirja should eat, and why this is necessary or desirable. Yet Mirja now rejects Teemu's directive, claiming that she is not hungry anymore (lines 18). Teemu insists that she should eat the rest, using an indicative declarative form of the verb *syödä* inflected for second person

(lines 19–20),¹⁴ but Mirja reiterates that she is not hungry anymore (lines 21–22). In the face of this resistance, Teemu now resorts to a zero-person construction with a noun expressing obligation to make his point: *pakko syödä* '0 must eat', prefaced by what is arguably a reason for doing so: *menee pilalle* '[it will] spoil' (line 23). Again Mirja claims that she is not hungry anymore, and again Teemu claims that the left-over pizza should be eaten: *eiku 0 pitää vaa syödä* 'no matter 0 must just eat', with a zero-person+modal construction denying the validity of her argument (line 27).

What we can observe happening then in this extended directive sequence is that Teemu's turns are continually adjusted to adapt to what is perceived to be intersubjective common ground. The imperative forms in lines 8 and 15 suggest that Teemu is assuming that who should eat what and why is intersubjectively shared and for that reason does not need to be explicitly stated. But the fact that Mirja resists his directives shows that she does not share Teemu's assumptions that she should eat the left-over pizza. Teemu then adjusts his turns accordingly, both to express the necessity of the action and to provide a reason for the necessity, at the same time bringing in a moral component (food spoiling). In doing so, he uses verb forms that underspecify agency, stressing the action and its necessity.

In both examples the zero-person construction, with its verb form in the third person singular leaving a slot for a singular agent, opens up a

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¹⁴ For more on what Teemu achieves in deploying this second-person declarative form, see Etelämäki & Couper-Kuhlen (2017).

normative sphere where anybody in such a situation would be under the same obligation (see Laitinen 2006).

5. Discussion and conclusion

We have shown that by choosing one of two turn designs for implementing directive actions the speaker makes a choice between different assumptions about shared understandings in the activity and sequential context. We have argued that imperatively formatted directives reflect and/or reflexively construct situations in which assumptions about the who, when, and why of the action encoded in the verb are intersubjectively shared (minimal turn design), and that when there are aspects that are not shared, this is made explicit in the design of the turn (elaborate turn design). A directive turn design deploying a zero-person construction, by contrast, makes even fewer assumptions about what is shared. It co-occurs with modal verbs and other expressions that make explicit the necessity or desirability of the nominated action. This comes out clearly in the ordering of the two directive turn designs when they are used in the same sequence for insisting on the same or a similar activity. An imperatively formatted directive, making the most assumptions about what is shared, is used first. In the face of resistance, the zero-person+modal construction, making fewer assumptions, is deployed

later to make explicit the relevance of the nominated action, thus working towards a re-establishment of intersubjectivity.

In a Schegloffian understanding (1992), intersubjectivity is displayed procedurally through the infrastructure of conversational organization in the here and now. In this view intersubjectivity is seen as something achieved in the turn-by-turn unfolding of sequences of action and the initiation of (other) repair is a means for defending intersubjectivity. Yet in our examples we have seen that during these ongoing interactions participants are also making decisions about how to act in the world outside and that they do not always agree on what should be done. This takes us to a Schuetzian understanding of intersubjectivity (1953), according to which all our interactions are based on the idealized belief of a common view of the world for all practical purposes (reciprocity of perspectives: interchangeability of standpoints and congruent systems of relevancies), one in which assumptions are shared about, for instance, what future actions should be done, by whom, and when. In this understanding intersubjectivity is disrupted when it becomes apparent that participants do not agree on these assumptions. We can see evidence for this lack of agreement when directives are resisted. This can happen even though there is no initiation of repair.

Yet the two understandings of intersubjectivity are not mutually exclusive. For where else would a shared view of the world be negotiated if not in the here and now, relying on the micro-organization of social

interaction and our command of it? If we do not understand what the other person is doing with their turn, we have no other option than to initiate repair; otherwise the interaction becomes meaningless. However, even though we may understand the interaction, we can still disagree about how things should be outside the here and now, and in these situations our systems of relevancies concerning the world are not congruent. This is precisely the situation that arises in our last two examples, where a directive action is implemented first with an imperative turn design and then with a zero-person+modal construction. The use of these two turn designs in a directive sequence where resistance is encountered provides evidence for the fact that the reciprocity of perspectives can be misjudged and that intersubjectivity can be defended without repair.

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