

Deep Learning Based Short-range Forecasting of Indian Summer Monsoon Rainfall using Earth Observation and Ground Station Datasets

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ABSTRACT

We develop a deep learning model (DL) for Indian Summer Monsoon (ISM) short-range precipitation forecasting using a ConvLSTM network. The model is built using daily precipitation records from both ground-based observations and remote sensing. Precipitation datasets from the Tropical Rainfall Measuring Mission and the India Meteorological Department are used for training, testing, forecasting, and comparison. For lead days 1 and 2, the correlation coefficient (CC), which was determined using predicted data from the previous five years and corresponding observational records (from both in-situ and remote sensing products), yielded values of 0.67 and 0.42, respectively. Interestingly, the CCs are even higher over the Western Ghats and Monsoon trough region. The model performance evaluated based on skill scores, Normalized Root Mean Squared Error (NRMSE), Mean absolute percentage error (MAPE) and ROC curves show a reasonable skill in short-range precipitation forecasting. Incorporating multivariable-based DL has the potential to match or even better the forecasts made by the state-of-the-art numerical weather prediction models.

KEYWORDS

ConvLSTM model; Remote sensing ; TRMM Data; Station Data; Indian Summer Monsoon ; Short-range forecasting; Custom Loss Function.

1. Introduction

The modeling studies on monsoon have been traditionally performed using numerical models of the weather and climate (Krishnan et al. 2020), which solve partial differential equations of the atmosphere-ocean-land coupled systems. In general, methods for predicting different meteorological variables use numerical weather prediction (NWP)

1 techniques by solving a set of higher-order non-linear differential equations. In the In-
2 dian context, the models focusing on different temporal scales of the Indian monsoon,
3 viz., short-range (1-3 days) to climate scale (10's years), are being used in research
4 and operational mode to understand the monsoon better and disseminate the informa-
5 tion to the stakeholders. In recent times, the need for better forecasting has risen for
6 several specific applications whereas the skills of dynamical models are still modest.
7 Numerical models have their own limitations, which is being further complicated by
8 additional forcing in the form of climate change.

9 Short-range weather forecasting, is significant, particularly, in the context of the
10 monsoon as high-impact weather events are increasing with global warming (Goswami
11 et al. 2006; Pörtner et al. 2022). An accurate assessment of sub-district scale weather a
12 few days ahead can arm the administration to take necessary measures. For example,
13 the usage of NWP towards short-range precipitation forecasts can help in mitigating
14 the impacts of cloud bursts, and heavy-to-very-heavy extreme rainfall etc. Short-range
15 weather prediction in India is being carried out by a suite of dynamical models; at
16 present the highest spatial resolution of these models in operational mode is $\approx 12.5\text{km}$
17 (Rajeevan and Santos 2020; Mukhopadhyay et al. 2019). Having shown tremendous
18 progress in the last decade, such models sometimes fail to capture extreme rainfall
19 events and/or do not produce realistic rains on the land regions. For example, the
20 National Centers for Environmental Prediction (NCEP) based Global Forecast System
21 (GFS) T1534 ($\approx 12.5\text{ km}$), has shown significant improvements in the short-range
22 operational forecasts over India (Mukhopadhyay et al. 2019). However, even such an
23 advanced model underestimates heavy to very heavy rainfall, while the extremely
24 heavy rainfall categories are only better at shorter lead times. There could be various
25 reasons for these issues, such as the complex, non-linear and turbulent weather in the
26 tropical regions and the usage of parameterization schemes generating precipitation
27 in the model. When the forecasts are issued in ensemble mode, one more issue is the
28 uncertainty or spread associated with such forecasts which need to be properly taken
29 care of. Some recent studies have suggested a mixed approach to improve the existing
30 forecasts, which we would discuss in the subsequent text.

31 Other than numerical models, statistical and feature selection-based Artificial Neu-
32 ral Network (ANN) models have been used in the past to predict rainfall at different
33 time scales with some success (Saha et al. 2016; Dasgupta et al. 2020). These mod-
34 els employ two popular concepts: feature selection and followed by prediction using
35 statistical or simple machine learning algorithms (Saha et al. 2016; Goswami and
36 Xavier 2003; Chattopadhyay et al. 2008). Recently, machine learning algorithms have
37 also been applied to generate rainfall forecasts at short and long time scales (Moon
38 et al. 2019; Diez-Sierra and del Jesus 2020). Yin et al. (2022) combined support vec-
39 tor machine (SVM) regression with quantile-based bias correction method to improve
40 real-time NWP based precipitation forecasts. Moghaddam et al. (2022) found that use
41 of ML or DL based inference with numerical models improves surface/ground fluxes
42 beneath river systems. Ehsani et al. (2021) applied ML-based retrieval algorithm to
43 obtain statistically better estimation of snowfall than remote sensing based datasets
44 alone. Samadianfard et al. (2022) reported that implementing classification algorithms
45 and decision trees along with past meteorological data, improves prediction of daily
46 precipitation. Recently, ensemble weather forecasting has picked up pace instead of
47 relying on a single NWP model output. Again, it is important to incorporate the
48 uncertainty in different ensemble members. Bias and dispersion errors in NWP ensem-
49 ble precipitation forecasts are minimised through statistical post-processing. However,
50 traditional approaches only incorporate ensemble mean as the predictor, ignoring en-

1 semble spread which can serve as a crucial parameter for forecast uncertainty. Zhao
2 et al. (2022) proposed forecasts calibrated using the two-step calibration considering
3 both the dynamically flow-dependent ensemble spread from raw ensemble forecasts
4 and the seasonally coherent calibrated ensemble spread that contains statistically gener-
5 erated uncertainty information. The study reported an improvement in the forecasts
6 as compared to those done only with seasonally coherent calibrations.

7 In the last decade, deep learning has emerged as a potential methodology to solve
8 complex, non-linear problems by un-wrapping the nonlinearities in different layers of
9 the deep neural network (Zeiler and Fergus 2014). Moghaddam et al. (2021) applied a
10 deep learning model, UNET, to determine the effective hydraulic conductivity and re-
11 ported the ML/DL techniques can be applied to other areas of surface hydrology. The
12 non-linear operators that have gained prominence in the Computer Vision community
13 can be applied to weather and climate science problems, particularly the problem of
14 deciphering accurate precipitation forecasts in the numerical weather prediction mod-
15 els (Reichstein et al. 2019). For example, forecasts based on NWP models suffer from
16 biases which need to be corrected while issuing forecasts. Li et al. (2022) developed a
17 convolutional neural network (CNN)-based post-processing method for precipitation
18 forecasts which outperformed traditional methods in forecast accuracy and reliability,
19 especially for heavy rain events. Recent studies prove that progress made by dynamic
20 models should not be ignored in favour of deep learning, but rather should be supple-
21 mented by emerging new techniques (Dasgupta et al. 2020; Singh et al. 2021b).

22 Deep learning methods can learn complex mapping between inputs and outputs
23 which result in better forecasts. These methods have shown remarkable results in var-
24 ious fields including meteorology, where it can be used to forecast the precipitation
25 (Shi et al. 2017). The present study aims to develop a deep learning model for fore-
26 casting spatio-temporal sequences and apply it to ISM precipitation data obtained
27 from satellite and ground-based stations. Satellite based precipitation estimates are
28 obtained from the Tropical Rainfall Measuring Mission (TRMM) (see Huffman et al.
29 (2010)) data have been used to understand precipitation processes in several studies
30 (see Singh et al. (2021a) and references therein). Also, we use gridded data prepared
31 by the India Meteorological Department (IMD) which provides long-term reliable pre-
32 cipitation data based on in-situ ground station records. Recently these satellite and
33 ground-based rainfall estimates are being widely used to develop empirical as well
34 machine learning models.

35 Viswanath et al. (2019) attempted to study the active and break spell of monsoon
36 using Long Short Term Method (LSTM)-based networks. Borah et al. (2013) used
37 self organizing maps (SOM) for the ensemble extended range forecast of active/break
38 cycles ISM. In the work by Barzegar et al. (2021), a CNN-LSTM based model was
39 employed to forecast the level of water in a lake. Li et al. (2022) used a CNN-LSTM-
40 based deep learning method to predict 3-hour precipitation, which outperformed tra-
41 ditional machine learning methods in terms of prediction performance. The study by
42 Siami-Namini et al. (2018) also shows the power of LSTM which outperformed the
43 Autoregressive Integrated Moving Average (ARIMA) model by reducing the error rate
44 up to 87%. Further studies, such as (Khan and Maity 2020) , have shown the effective-
45 ness of using a hybrid model with conv2D and Multi-Layer Perceptron (MLP) to do a
46 multivariate prediction for rainfall. When compared with a simple MLP and an SVM,
47 this hybrid model was found better. The convolutional 1D and MLP together better
48 captured the complex relationship of rainfall with the other variables. The work by re-
49 searchers in (Ham et al. 2019; Saha and Nanjundiah 2020) demonstrates the power of
50 convolutional neural-network-based architecture to predict the El Nino–Southern Os-

1 cillation (ENSO) variations effectively. Their model was able to give skillful forecasts
2 for lead time up to one and a half years. The nino3.4 index of the model was found to
3 be better than other state-of-the-art dynamic models. Most of the above models used
4 either only convolutional or LSTM based architectures to capture rainfall patterns.
5 These models also only tried to either classify or detect patterns in the future.

6 For precipitation forecasting, a model has to be more powerful, able to capture the
7 temporal and spatial structure of the data and hence, we note the usage of ConvLSTM
8 based architectures in (Shi et al. 2017; Kim et al. 2017; Shi et al. 2015). In Shi et al.
9 (2015), the effectiveness of ConvLSTM over linear regression is established by working
10 with multichannel radar data. ConvLSTM model is a hybrid model that uses spatio-
11 temporal information to generate the forecast. For dispersive waves (such as Rossby
12 waves, convectively coupled waves) which have typical wave-frequency spectral signa-
13 tures and generate skewed weather states (e.g. extreme weather events), this type of
14 spatio-temporal information based model is a natural choice. For the present study,
15 we, therefore, chose this model as we want a state-of-art model (Shi et al. 2015) which
16 is already successful in similar applications but has not been applied for the monsoon
17 forecast. The research by Shi et al. (2015) is the best work for the application of Con-
18 vLSTM, where the model’s effectiveness is established for spatio-temporal sequence
19 prediction problems. The ConvLSTM based model was also shown to outperform the
20 state-of-the-art optical flow-based ROVER algorithm (Shi et al. 2015). The above
21 points motivate us to utilize it for precipitation forecasting in this study. A sketch of
22 the network used in this work, based on Shi et al. (2015), is shown in Figure 1. The
23 main contribution of this work is the application of a hybrid AI models for precipita-
24 tion forecasting. We evaluated three models, ARIMA and ConvGRU and ConvLSTM.
25 The ConvLSTM approach was chosen for this work. The conclusions drawn from these
26 methods are discussed. After being integrated with a high-performance computing ap-
27 plication, it is anticipated that the ConvLSTM will be extremely efficient (with a large
28 training cycle, for example). Our prototype model is only a starting point for further
29 development. As mentioned before, in this study, we have worked on two types of
30 Geoscience data for forecasting precipitation. One of them is the ground-based in-situ
31 precipitation data from the India Meteorological Department (IMD) and the other
32 is remotely-sensed Tropical Rainfall Measuring Mission (TRMM) data which includes
33 data from (i) Lightning Imaging Sensor (ii) TRMM Microwave Imager, and (iii) Visible
34 Infrared Scanner.

35 The next section provides details of the data and methodology used in this study.
36 The problem statement is described in Section III and the architecture of Artificial
37 Intelligence (AI) model developed for sub-district (25×25 km) scale and aimed to-
38 wards short range (1-3 days) forecasting is explained in section IV. Section V provides
39 descriptions and discussion on the results obtained from the model. This study’s con-
40 clusions are contained in section VI. The possible future work is provided in the last
41 section.

42 2. METHODOLOGY AND DATA

43 The LSTM networks were first introduced by Hochreiter and Schmidhuber (1997). It
44 typically has a forget gate, an input gate, an output gate with its weights in which
45 it can control what information to retain and what to forget, thus learning long-
46 term associations. ? developed the architecture of ConvLSTM when designing a model
47 for learning spatio-temporal correlation in precipitation nowcasting problem. In this

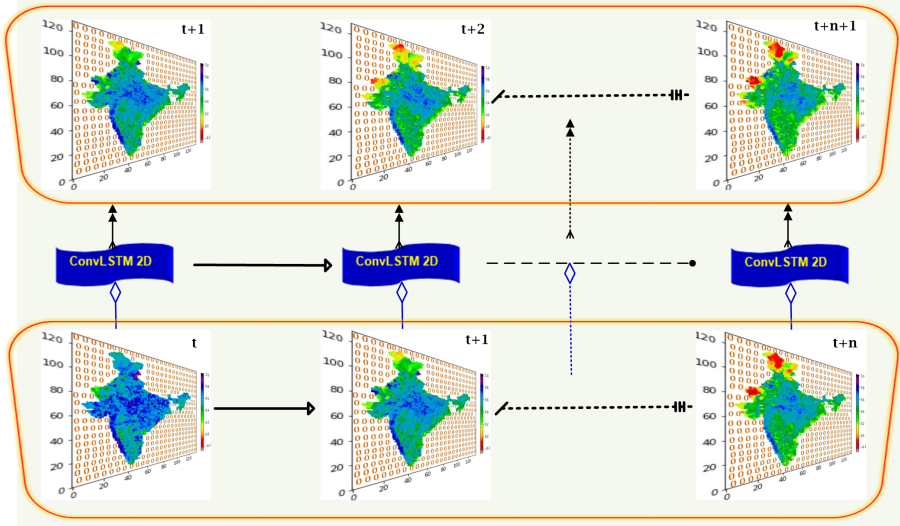


Figure 1. A sketch of ConvLSTM architecture based on (Shi et al., 2015) used in this study.

1 architecture, convolutional operations replace the typical fully connected architecture
 2 within LSTM.

3 **2.1. Dataset**

4 The ConvLSTM model was tested on two main datasets. They are station based IMD
 5 gridded data (Pai et al. 2014) and remote sensing based TRMM data (Huffman et al.
 6 2016). Details of these datasets are given below:

7 The IMD dataset is obtained from interpolation of ground station data, over the
 8 Indian landmass, into a gridded form Pai et al. (2014). The dataset was created from
 9 the ground data obtained from various stations across India. The stations were chosen
 10 based on their density to avoid any inhomogeneities. The Shepard interpolation, based
 11 on weights calculated from distance to nearest grid point and direction, was applied for
 12 generating the interpolated values. This effort generated a ground-based daily gridded
 13 data with resolution of $0.25^\circ \times 0.25^\circ$ over Indian landmass, which was found to be
 14 more accurate than the other global gridded datasets (Rajeevan et al. 2006). We used
 15 this data, in this study, for the period 1974-2015.

16 NASA and Japanese Space Agency Jointly own the TRMM which contains the data
 17 obtained from satellite measurements and the same is available globally from 50° N to
 18 50° S. The TRMM source data is in mm/hr unit, therefore a factor of 3 is multiplied
 19 to the sum for every grid cell. We have used the daily accumulated precipitation
 20 (mm/day) product for the period 1998-2015 from research quality 3-hour TRMM
 21 Multi-Satellite Precipitation Analysis (TMPA-3B42). The resolution of the data was
 22 $0.25^\circ \times 0.25^\circ$ having invalid values which were set as -9999. The TRMM accumulated
 23 precipitation is obtained as follows:

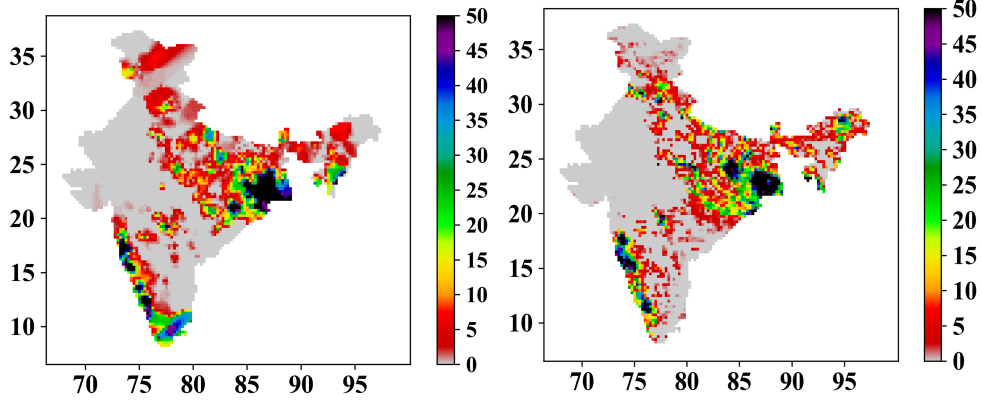


Figure 2. Sample total rainfall on a day (18-06-2011) from the IMD high-resolution dataset (in the left panel). The right panel shows a typical rainfall on a day from TRMM high-resolution dataset.

$$\Psi_d = 3 \times \sum_{i,j} (\psi_{i,j} \times \delta(\psi_{i,j})) \quad (1)$$

$$\Psi_{dc} = \sum_{i,j} \delta(\psi_{i,j}) \quad (2)$$

1 Where $\psi_{i,j}$ represent the true value of the precipitation at a grid location (i, j) . The
2 $\delta(\psi_{i,j}) = 0$ if the data point is absent otherwise 1. Ψ_d is daily precipitation value and
3 Ψ_{dc} stands for the daily count of those values. The data set is available from January
4 1, 1998 to date. We have chosen the data for the present study till December 3, 2015
5 and between 6.375° N to 38.625° N and 66.375° E to 100.125° E. Thus, both data
6 were utilized on a daily basis, with each frame reflecting the total rainfall of the day.
7 A sample of total rainfall for a particular day from these datasets is shown in the
8 Figure 2. For both datasets, separate models were developed (refer to sections 2.3 and
9 4).

10 **2.2. Data processing**

11 The ConvLSTM network used in this work receives data in 5 dimensions, namely: no.
12 of samples, time steps, latitude, longitude, and variables. It is essential to clean up and
13 prepare the data in a supervised learning format. During the processing of data for
14 both datasets, different techniques described in the following subsections have been
15 adopted.

16 **2.2.1. Station based (IMD) Dataset**

17 This dataset had several undefined values which were assigned as ‘NaN’. There were
18 some points which were assigned as ‘NaN’ in all frames and others were those which
19 were rarely absent. The points under the second category were interpolated spatially
20 from their closest neighbors. Special treatment had to be given to the points having
21 ‘NaN’ in all frames to avoid losing spatial structure of the data while treating NaN
22 values. We have implemented a new and efficient method for this problem, detailed in

1 Section 2.5.1.

2 2.2.2. Remote sensing based (TRMM) Dataset

3 There are a significant number of invalid points within the TRMM Dataset. We spa-
4 tially interpolated them from the nearest neighbours. Although a high degree of skew-
5 ness was a specific difficulty that was faced while dealing with this data, we wrote a
6 custom loss function for TRMM data training. A similar approach was used in Shi
7 et al. (2017). The details of the custom loss function are provided in subsection 2.4.1.

8 2.3. Models

9 We have mainly employed following three machine learning models

- 10 • ARIMA (Autoregressive Integrated Moving Average) is a forecasting model
11 which works on the past values given in a time series.
- 12 • ConvGRU (Convolutional Gate Recurrent Unit) (Ballas et al. 2015) operates on
13 the spatio-temporal data for forecasting purpose. It was developed for imagset.
- 14 • ConvLSTM method introduced by Shi et al. (2017) which is based on the LSTM
15 method but operates on spatio-temporal data. Shi et al. used this method for
16 precipitation nowcasting using radar data.

17 Each of these three methods was evaluated on the aforementioned data. The convL-
18 STM algorithm was determined to be the most effective model based on the metrics
19 discussed in section 2.4. Therefore, the ConvLSTM was chosen as the primary tech-
20 nique for this investigation. Architectural details of the ConvLSTM method is provided
21 in the section 4.

22 2.4. Metrics for assessing the robustness of results

23 We used a new custom loss function (λ_{mse}) to deal with the invalid points in the
24 TRMM dataset. One of the metrics used to validate our model is correlation coef-
25 ficient (CC),calculated based on predicted and true values as given in equation 5.
26 Furthermore, we calculated the ROC curves (using equation 6) to analyze the skill of
27 the forecasts. The details of these metrics are provided in the following subsection.

28 2.4.1. A New custom loss function for TRMM data training

29 Since the TRMM data training is a regression problem, Mean Squared Error (MSE) is
30 the usual choice of the objective function (loss function). However, the skewness in the
31 data resulted in the model not predicting large values in the ground truth ($> 30mm$).
32 It was quite important to capture the high rainfall values for our study. Therefore, the
33 model was trained with a custom loss function (λ_{mse}) given as follows:

$$\lambda_{mse} = \frac{1}{N} \sum_1^N \sum_1^{L_1} \sum_1^{L_2} W_{n,i,j} * (\psi_{n,i,j} - \hat{\psi}_{n,i,j})^2 \quad (3.1)$$

$$W = 1 \quad \text{if } \psi_{i,j} \geq 0.15 \quad (3.2)$$

35

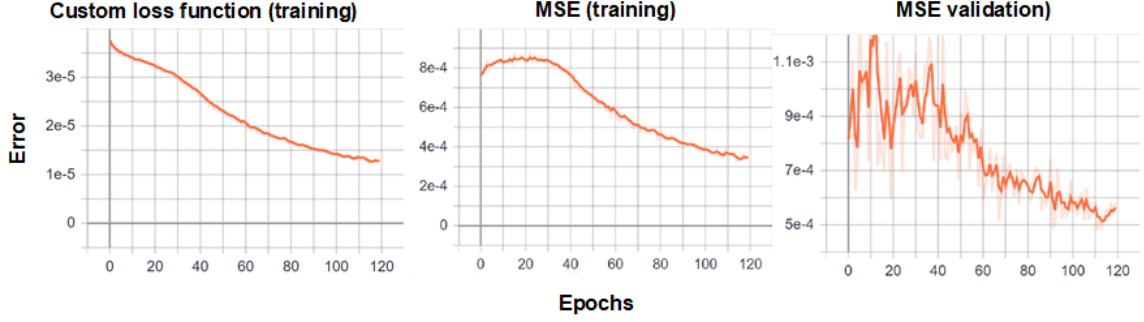


Figure 3. Comparing the variation of custom loss with MSE on TRMM Data (X-axis- epochs, Y-axis- Error).

$$W = 0.1 \quad \text{if } \psi_{i,j} < 0.15 \quad (3.3)$$

1 Here ψ_{ij} represents the value from the TRMM data set which was normalized for
 2 model input using the min-max method. $\widehat{\psi}_{i,j}$ is predicted values of the precipitation.
 3 The L_1 and L_2 represent the total number latitude and longitude respectively. Total
 4 number of samples is denoted by N .

5 The choices for the hyper parameters in equations 3.2 and 3.3 were arrived at by trial
 6 and error approach. A higher weightage needed to be given to the higher value because
 7 the rainfall data was skewed and the extreme events were required to be captured
 8 appropriately. The choices of the limits and the weight are empirical. A comparison of
 9 the custom loss function with MSE defined in equation (4), is presented in Figure 3.
 10 In the figure X-axis and Y-axis represent epochs and error respectively. Training was
 11 stopped at early stage as shown because no further reduction in validation loss was
 12 found after those epochs. Use of the custom loss function allowed to not let the higher
 13 precipitation values ignored by the model. This type of approach has been adapted in
 14 the study by Shi et al. (2017) where they used a function called ‘weighted loss function’
 15 to capture frequencies of different rainfall levels which were highly imbalanced.

$$MSE = \frac{\sum_N \left(\sum_{L_1} \sum_{L_2} (\widehat{\psi}_{i,j} - \psi_{i,j})^2 \right)}{N \times L_1 \times L_2} \quad (4)$$

16 It should be noted that the custom loss function (eq. 3.1) is used for TRMM data
 17 only. For the other data we have used MSE defined in equation 4.

18 2.4.2. Correlation, normalized RMSE and MAPE

19 In meteorology and geophysical fields, we generally get the data in the 3-dimensional
 20 space in particular, any variable in the data can be represented as $\psi_{i,j} \in [L_1, L_2, T]$,
 21 where T represents time. Thus, the data is in the form of space coordinates which
 22 represents spatial pattern maps in $[L_1, L_2]$ plane for a given time slice. One can have
 23 a temporal correlation between two variables at a given location, for a set of time
 24 coordinates, or alternately, for a given time, a correlation between the two variables
 25 for spatial locations. This metric is known as the pattern correlation coefficient (r_ψ).
 26 It signifies that for a given time how the spatial variances are related between two
 27 variables. In other words, it represents how well the two variables (say rainfall from
 28 observation and from forecast) are spatially collocated. It is calculated with the fol-
 29 lowing formula (Weisstien 2020).

$$r_\psi = \frac{\sum [(\widehat{\psi}_{i,j} - \widehat{\mu})(\psi_{i,j} - \mu)]}{\sqrt{\sum (\widehat{\psi}_{i,j} - \widehat{\mu})^2 \sum (\psi_{i,j} - \mu)^2}} \quad (5)$$

1 Here, μ represents the mean value of original data and $\widehat{\mu}$ is mean value of the
 2 predicted data. The summation is taken over the test data. We calculated this metric
 3 for TRMM data set, with corresponding IMD data, shown in the results section. Apart
 4 from the custom loss function for the TRMM data, we have used an efficient approach
 5 for dealing with ‘NaN’ values in IMD data, described in the next section. We also
 6 calculated the normalized root mean squared error (NRMSE) at each grid by dividing
 7 the RMSE with the standard deviation for the entire test and training data. Similarly,
 8 the MAPE has been calculated for each grid.

9 *2.4.3. Receiver Operating Characteristics (ROC) curve*

10 Another metric, used in this work, to validate the results is ROC. It is an important tool
 11 for forecast verification and decision-making processes. It is a plot which illustrates the
 12 diagnostic ability of an forecast classification system, using its varying discrimination
 13 threshold (see (Marzban 2004)). The ROC curve is created by plotting the hit rate or
 14 True Positive Rate (TPR) against the False Positive Rate (FPR) at various threshold
 15 settings. The ROC analysis provides the ways to select possibly optimal models and
 16 to discard suboptimal ones independently from (and prior to specifying) the cost
 17 context or the class distribution. This analysis is related, in a direct and natural
 18 way, to cost-benefit analysis of diagnostic decision making. Hence, it is a standard
 19 method of forecast skill analysis for operational rainfall forecast. While the correlation
 20 method can’t discriminate the threshold criteria for more false positive occurrence,
 21 the ROC method can do so. The ROC method applied here shows a better fidelity of
 22 the proposed model. The formula for calculating these rates are given in equation (7).

$$TPR = \frac{TP}{N_H} \quad (6.1)$$

$$FPR = \frac{FP}{N_L} \quad (6.2)$$

24 Where, TP denotes True Positive and it is number of days when both area averaged
 25 values of ground truth and prediction are above average. N_H is the number of days
 26 when area averaged ground truth values is higher than the threshold values chosen
 27 based on minimum and maximum rainfall values in the data. FP denotes False positive
 28 and it represents days for area averaged value of prediction above the threshold when
 29 the prediction value is below the level. The number of days when area averaged ground
 30 truth rainfall values lower than the threshold is represented by N_L in the equation 6.2.

31 *2.5. Data preparation*

32 For IMD data, we have chosen 22 years of data for training and 5 years for testing. For
 33 the TRMM dataset, 12 years were set for training while 5 for the model testing (refer
 34 table 1). Both data sets have 1 day time resolution. With a window size of 5 days,
 35 we used moving windows method for input data generation, comparable to studies

1 using RNN architecture (Lara-Benítez et al. 2021). Depending on the situation, the
 2 window moves throughout the training or test period (train and test durations are
 3 specified in the table 1). With an input sample of 5 days of spatial data (captured by
 4 the moving window) and a corresponding label of spatial rainfall data for the day after
 5 the window, the data is converted to a supervised learning format for training. Each
 6 sample in the input has a dimension (timesteps, channels, rows, columns) and a 2-
 7 dimensional (rows, columns) format for the corresponding label. There are 5 timesteps
 8 in each input sample, 1 channel (only the precipitation variable is used in the input),
 9 and rows \times column (lat \times lon) = 129*135. Both the IMD and TRMM datasets have
 10 the same input dimension.

Table 1. Details of the data segregation for training and testing purposes.

Data set	Training set	Testing set
IMD	1975-1996	2011-2015
TRMM	1998-2009	2011-2015

11 2.5.1. Method For Dealing With undefined ('NaN') Value

12 The given IMD dataset has undefined precipitation values marked as 'NaN'. To deal
 13 with these 'NaN' values, a new strategy was employed in this work. A detailed de-
 14 scription of the strategy is provided in this subsection.

15 As mentioned in section 2.2.1, two kinds of 'NaN' values were present in the data:
 16 (i) points which are 'NaN' in all frames which refers to those points which correspond
 17 to ocean and lie outside India and, (ii) points that are occasionally missing due to
 18 lack of observation on a day because of equipment malfunctions etc. The occasionally
 19 missing 'NaN' points were spatially interpolated from their nearest neighbours values.

20 The 'NaN' values (in point (i) above) cannot be extrapolated as there is no sufficient
 21 data for so many points. Also they can't be replaced by '0' because '0' number has
 22 a significant value for precipitation as it indicates no rains (depicted in Figure 4).
 23 Therefore, in the real space, data would furnish wrong information to the model. In
 24 this study, a new method was tried out to deal with 'NaN' values falling outside of
 25 the Indian landmass. This involves taking the data into exponential space and then
 26 assigning '0' for missing values represented by 'NaN'. This is done keeping in mind
 27 the practice that; in general, it is safe to input missing points with '0' provided that
 28 it doesn't represent a meaningful value. The condition of '0' not being a meaningful
 29 value is not met in the real space because the locations with no rainfall are marked as
 30 '0' in the raw data. Hence, an efficient transformation was required which we chose as
 31 exponential space as discussed in the previous paragraph and illustrated in Figure 4.

32 While converting the whole data from 'real space' to 'exponential space', we got rid
 33 of the issue of wrong information (Figure 4). The network learns from exposure to the
 34 data to treat the value '0' as missing and start ignoring them in the transformed space
 35 (Chollet 2017). We found that this method is best suitable for the model training in
 36 the present scenario since it is one of the effective techniques which can take care of
 37 sharp gradients in spatial patterns of rainfall that we see along the west coast of India.
 38 In meteorological data, dealing with the missing values is an essential problem and the
 39 said transformation is one of the effective methods which can be used operationally.
 40 With this transformation the data preparation is done, as explained below (also refer
 41 to Figure 4).

42 1. Identify all non-NaN points and normalize them with maximum value in the

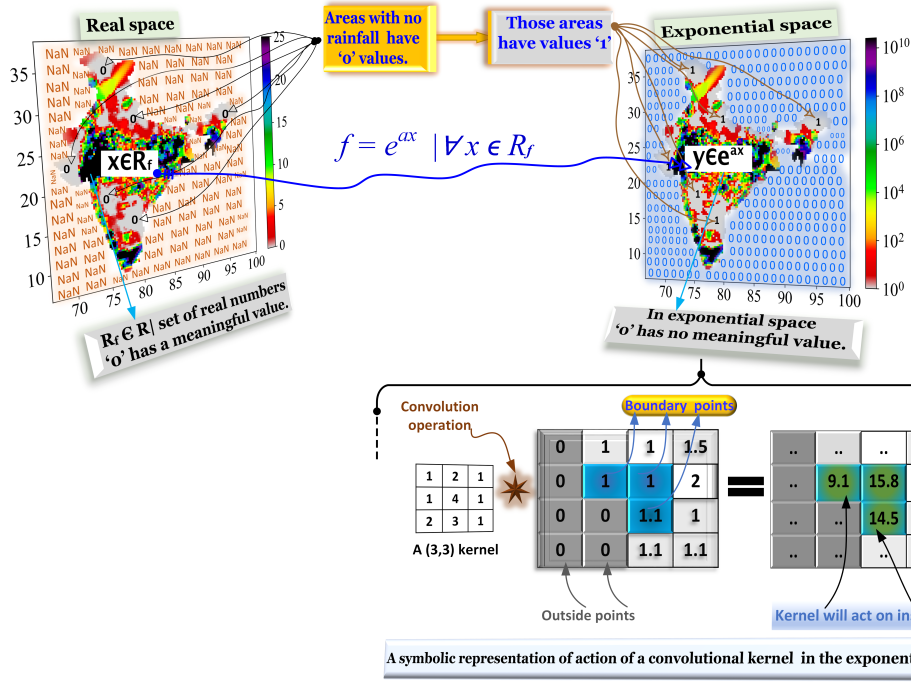


Figure 4. Illustration of data transformation from real space to exponential space. The conversion in exponential space has the benefit of considering ‘0’ during model training as it doesn’t possess any meaningful value.

- 1 dataset (for all points).
- 2 2. Apply the transformation $f = e^{ax} \forall x \in \mathfrak{R} | \mathfrak{R}$ is set of real numbers having no
- 3 NaN.
- 4 3. Substitute zero for all NaN locations in the rearranged dataset (legitimate values
- 5 now range from 1 to e^a).
- 6 4. The choice of ‘a’ is appropriate when the range of the initial dataset and trans-
- 7 formed dataset approximately match. In this way ‘0’ rainfall value is transformed
- 8 to 1 so the whole range of allowed values becomes $[1, \infty)$.
- 9 5. Network maps input to output in exponential space.
- 10 6. The spatial structure of data is preserved; hence spatial correlations can be
- 11 learned.

12 In our knowledge, no models in literature describe treating such missing values (i.e.,
 13 where observation values are unavailable) in an effective way and it is the first time
 14 such a transform has been used in the field of meteorology for AI model training.
 15 Hence, this method may be treated as a novel approach to deal with missing data
 16 values.

17 The overview of the data and methodology adopted in this study and describes in
 18 the current section is depicted in the figure 5.

19 3. Problem Formulation

20 Usually, weather predictions come with probabilistic scoring, which is why problem
 21 statements of weather prediction can be written as most likely N-sequence selection
 22 from an ensemble of prediction. As a spatiotemporal sequence forecasting problem (for

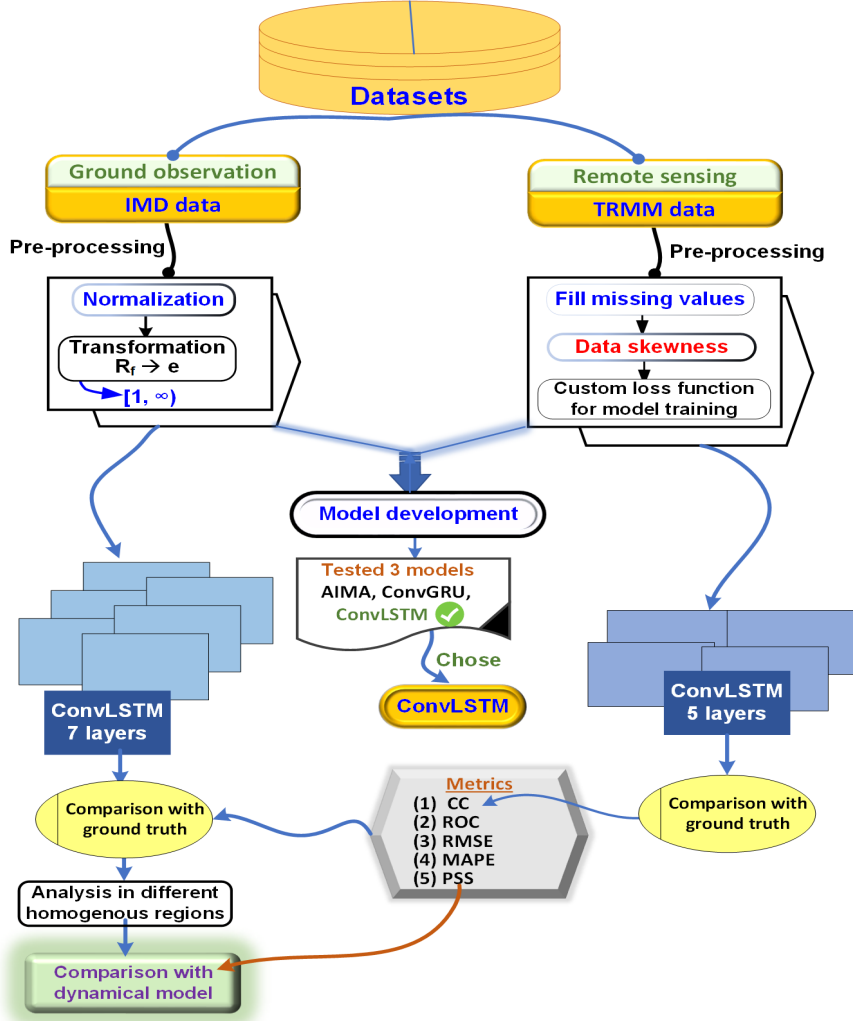


Figure 5. An overview of methodology used in this study.

1 monsoon rainfall), our input state can be represented as vectors of variables over a
 2 spatial grid of $L_1 \times L_2$ locations as described in Section 2.4.2.

3 On these locations, say, total N_p variables are measured. Therefore, any observa-
 4 tion at a given time is represented in a mathematical space $R^{(L_1 \times L_2 \times N_p)}$, where R
 5 is the domain of the observed variables. Given a certain amount of the past data,
 6 it can be represented as a sequence of elements from this aforementioned space as
 7 $\Psi_1, \Psi_2, \Psi_3, \dots, \Psi_t$, where $\Psi_n = \psi_{i,j}$ is precipitation value at a particular grid location.
 8 Then the forecasting problem is defined as to predict the least error K-length sequence
 9 in the future given the previous ‘t’ observations (including the current one) as input.
 10 Following Shi et al. (2015), this can be represented as

$$\widehat{\Psi}_{t+1}, \dots, \widehat{\Psi}_{t+k} = \mathbf{arg\ max}_{\Psi_{t+1}, \dots, \Psi_{t+k}} p(\Psi_{t+1}, \dots, \Psi_{t+k} | \Psi_1, \Psi_2, \Psi_3, \dots, \Psi_t) \quad (7)$$

11 where $\widehat{\Psi}$ is the predicted output sequence. In other words, our problem reduces

1 to finding a suitable architecture among various possibilities of hyper-parameters and
 2 layer choices which reduces the error between the predicted and the ground truth of
 3 observations. We started with simple ConvLSTM-based architecture and tuned it to
 4 improve our predictions but were constrained by the number of layers and layer-specific
 5 hyper-parameters that could be chosen given an upper limit of RAM and processing
 6 power of the Graphics Processing Unit (GPU).

7 4. Details of ConvLSTM architecture used in this study

8 As mentioned before, we decided to employ the ConvLSTM method, thus developed
 9 the model for this algorithm and carried out several experiments to mature the ar-
 10 chitecture. The experiments were mainly based on data pre-processing and techniques
 11 used for handling the undefined rainfall values assigned as ‘NaN’. In the case of IMD
 12 data, we used the exponential space to train the model. Once the algorithm and kind
 13 of Neural Network architectures are decided, the network’s fine-tuning, called hyper-
 14 parameter optimization, is accomplished. Various combinations of kernel sizes, number
 15 of filters, activations, number of layers, optimization algorithm, and learning rate are
 16 tried out during training before asserting the best final architecture. For both datasets,
 17 the developed models were trained using the Keras API with TensorFlow running as
 18 a backend. The choice of the last layer to be fitted to the ConvLSTM output was
 19 selected from the following options:

- 20 1. Conv3D Layer: This layer is applied to the 5-dimensional sequential output of
 21 the connected ConvLSTM layers. It performs a 3D convolution over space and
 22 time dimensions to produce the final output.
- 23 2. Conv2D Layer: To apply this layer, the ConvLSTM is set to return only the
 24 output corresponding to the last time-step in an input. Therefore, this layer uses
 25 a 2D spatial convolution on the spatial dimensions alone to give the output.
- 26 3. Locally Connected 2D Layer: This layer acts similar to Conv2D but in a gener-
 27 alized form. The kernel used is different at each location throughout an image.
 28 It has more parameters compared to Conv2D, but spatially localized patterns
 29 could be learned.

30 The developed model used the Conv2D as the last layer based on the MSE value.
 31 A comparison of MSE among different layers is provided in Table 2.

Table 2. A comparison of MSE among different layers used as final layer. The least value was obtained using Conv2D layer, hence, it was chosen as last layer.

Layer	Conv3D	Locally connected 2D	Conv2D
MSE	3.1×10^{-2}	2.94×10^{-2}	2.76×10^{-2}

32 This study is an attempt to provide a proof of concept for applying the ConvLSTM
 33 method for ISMR forecasting. The study by ? proved that this method is better than
 34 other state of art machine learning methods available for forecasting meteorological
 35 variables. The details of the model architecture used for IMD and TRMM data are
 36 summarised in Table 2 and Table 3. The total number of parameters trained for IMD
 37 and TRMM datasets are 43559 and 284409, respectively.

Table 3. The model architecture used for training on the IMD rainfall dataset. Total 7 layers were used for this model.

LN	Layer name	Architecture type	Activation	Kernel size	# Filter
1	ConvLSTM2.1	Convolutional LSTM	tanh	(3,3)	4
2	ConvLSTM2.2	Convolutional LSTM	tanh	(3,3)	8
3	ConvLSTM2.3	Convolutional LSTM	tanh	(3,3)	8
4	ConvLSTM2.4	Convolutional LSTM	tanh	(3,3)	16
5	ConvLSTM2.5	Convolutional LSTM	tanh	(3,3)	16
6	Conv2D_1	Convolutional	relu	(3,3)	15
7	Conv2D_2	Convolutional	relu	(3,3)	1

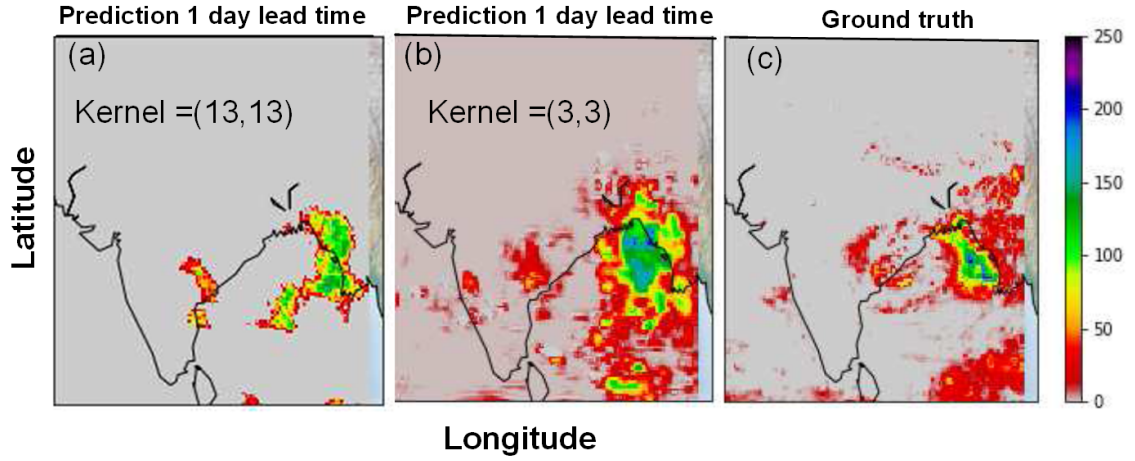


Figure 6. Comparing the 1 day lead predictions from a model using kernel sizes (13,13) and (3,3) with Ground truth, respectively (on TRMM Data).

1 *4.1. Kernel size optimization*

2 Furthermore, we did experiments with different kernel sizes. It was observed that
3 smaller kernel sizes tend to do better than larger ones. An example for 1 day lead time
4 prediction is shown in Figure 6 when the Kernel is large (13, 13) and one with small
5 (3, 3). Figure 6 suggests that a smaller kernel of size (3, 3) can capture larger values
6 effectively and also over more regions as compared to the larger one (13, 13).

7 *4.2. Hyper-parameters*

8 The hyper-parameters used in both data sets are provided in the table 5. The learning
9 rate and number of epochs are different for both data sets. The Adam optimizer was
10 used for the adaptive estimation of first-order and second-order moments.

Table 4. The model architecture used for training on the TRMM data set. Total 5 layers were used for this model.

LN	Layer name	Architecture type	Activation	Kernel size	# Filter
1	ConvLSTM2_1	Convolutional LSTM	tanh	(3,3)	8
2	ConvLSTM2_2	Convolutional LSTM	tanh	(3,3)	12
3	ConvLSTM2_3	Convolutional LSTM	tanh	(3,3)	6
4	Conv2D_1	Convolutional	relu	(3,3)	6
5	Conv2D_2	Convolutional	relu	(3,3)	1

Table 5. The hyper-parameters used for IMD and TRMM data sets with (lat, lon)= (129,135).

Epochs	500 (TRMM = 200)
Learning rate	10^{-4} (TRMM = 10^{-3})
Optimizer	Adam ($\beta_1 = 0.9, \beta_2 = 0.999$)
Stride	(1,1) for each layer
Dropout rate	0
Timesteps in each sample	5
Tensorflow	2.2.0
Keras	2.4.3

1 5. Results and discussion

2 We solved a regression problem rather than classification as described in equation
3 (7) in the section 3. However, classifications are made to understand the fidelity of
4 the generated forecast. Normally, it is known that forecasts are skillful for rainfall
5 above or below certain amplitude (or certain frequency). Verification of meteorological
6 forecast is made in multi-category classification to emphasize the more skilful category.
7 Operational forecasters always require such information to see the reliability of the
8 forecast when the output values are above a certain threshold. The categories are
9 made based on standard World Meteorological Organization manuals. As mentioned
10 in the section 2.3, we considered five different metrics to verify our forecast. The results
11 obtained from model and analysis of metrics are presented in this section.

12 5.1. Model comparison

13 As discussed in the section 2.3, we have employed three different machine learning
14 algorithms on these data sets. One of them is the baseline method ARIMA and rest
15 of two are ConvGRU and CnvLSTM. The ConvGRU is a new generation of RNN ans
16 is more straight froward then LSTM. We evaluated the performances of these models
17 by comparing the metrics. In this, comparison, the ConvLSTM method stood as best
18 method to forecast the rainfall values. Thus, we employed the convLSTM method
19 on two sets of data: IMD gridded data and remote sensing TRMM data. Since both
20 data sets have different pre-processing, as discussed in section II, two separate models
21 were developed for them and were tested on validation data as given in Table 1. We
22 compared the correlation coefficient (r_ψ) for these three methods as depicted in figure
23 7. The ConvLSTM method has better correlation and similar NRMSE among these

1 three methods. Thus, the ConvLSTM has been found to be the winner. As a result,
 2 in this study, we chose the ConvLSTM method as main method for precipitation
 3 forecasting.

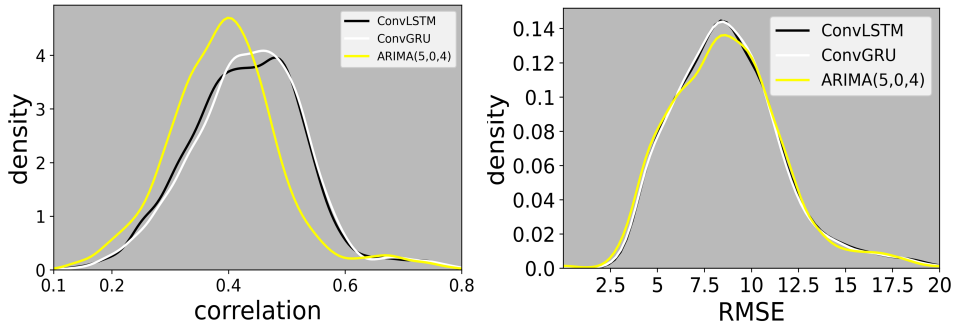


Figure 7. Correlations obtained using the ConvLSTM, ConvGRU, and ARIMA methods are compared (left panel). The right panel displays the RMSE pdfs for all three methods, which are nearly identical.

4 **5.2. Comparison with Ground Truth**

5 The outputs obtained by applying model on both data sets were compared with avail-
 6 able ground truth.

7 **5.2.1. IMD homogeneous regions:**

8 First, we analyzed predicted data from the model for the homogeneous regions de-
 9 fined by the Indian Meteorology Department (IMD) (Kothawale and Rajeevan 2017).
 10 There are a total of 6 homogeneous rainfall regions categorized based on the rainfall
 11 percentage in monsoon seasons during the period from 1871-2016. We calculated the
 12 Coefficient of Correlation (CC) for area-averaged data for 5 years' time series and
 13 area-averaged rainfall for the 5 years duration from 2011-2015 for the IMD homoge-
 14 neous regions. A comparison of these metrics with ground truth and model data for
 15 the west-central region is shown in figure 8.

Table 6. List of skills metric for different homogeneous regions. The correlation coefficient (CC) drops from 0.79 (West Central) to 0.52 (South Peninsular). There is no specific trend for RMSE values.

Region	CC (RMSE) 1 st day	CC (RMSE) 2 nd day
West Central	0.79 (3.70)	0.56 (5.18)
Central NE	0.7 (3.92)	0.42 (5.11)
Northwest	0.76 (3.77)	0.58 (4.64)
Hilly Regions	0.53 (4.19)	0.24 (4.93)
Northeast	0.55 (5.85)	0.3 (6.84)
South Peninsular	0.52 (4.15)	0.31 (4.46)

16 It is to be noted that the model can capture the rainfall up to 2 days lead time in
 17 the central region. A similar comparison for the Central North East region is provided
 18 in figure 9. The skills for other homogeneous regions are presented in the Table 5. The
 19 CC values in this table varies between 0.79 over West Central region to 0.52 over South
 20 peninsular. The CC and Root Mean Square Error (RMSE) values, obtained from this

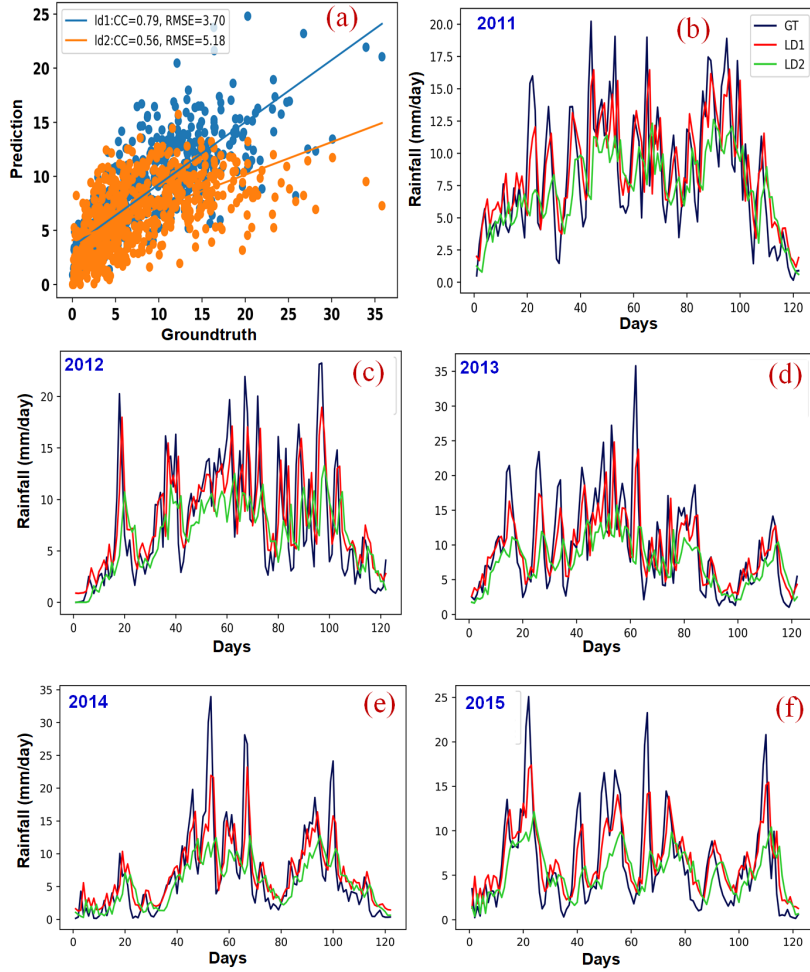


Figure 8. : Comparison of area-averaged correlation coefficient (CC) and RMSE (panel a) for 5 years time series (IMD) data and area-averaged rainfall for 5 years duration (2011-2015) for West Central region (panel b-f). The days on X- axis starts from 1th June and ends at 30th September (the JJAS period). The Ld1 refers to lead day one and similarly Ld2.

1 model, are comparable to state-of-the-art dynamical models such as present as shown
 2 by (Mukhopadhyay et al. 2019).

3 5.2.2. Comparison using entire landmass area

4 Calculating the area average rainfall and comparing it with the ground truth for the
 5 homogeneous region is one way to test the model's accuracy. Further, we compared
 6 the spatial pattern of the forecast skill of the precipitation forecast for up to 2 days
 7 lead time for IMD and TRMM data for every grid point. One such comparison is
 8 depicted in Figure 10. The TRMM dataset can capture localized as well as large-scale
 9 organized precipitation patterns. Previous studies have noted the capability of TRMM
 10 derived precipitation in capturing rainy spells and the extremes. It is beneficial over
 11 the regions of complex topography where in-situ data are often not available. However,
 12 it also predicts some false positives, predicting rainfall at places, not in the ground
 13 truth. The data was taken for August 8, 2011, for IMD, and August 7, 2011, for
 14 TRMM. The difference of 1 day between TRMM and IMD is due to the convention

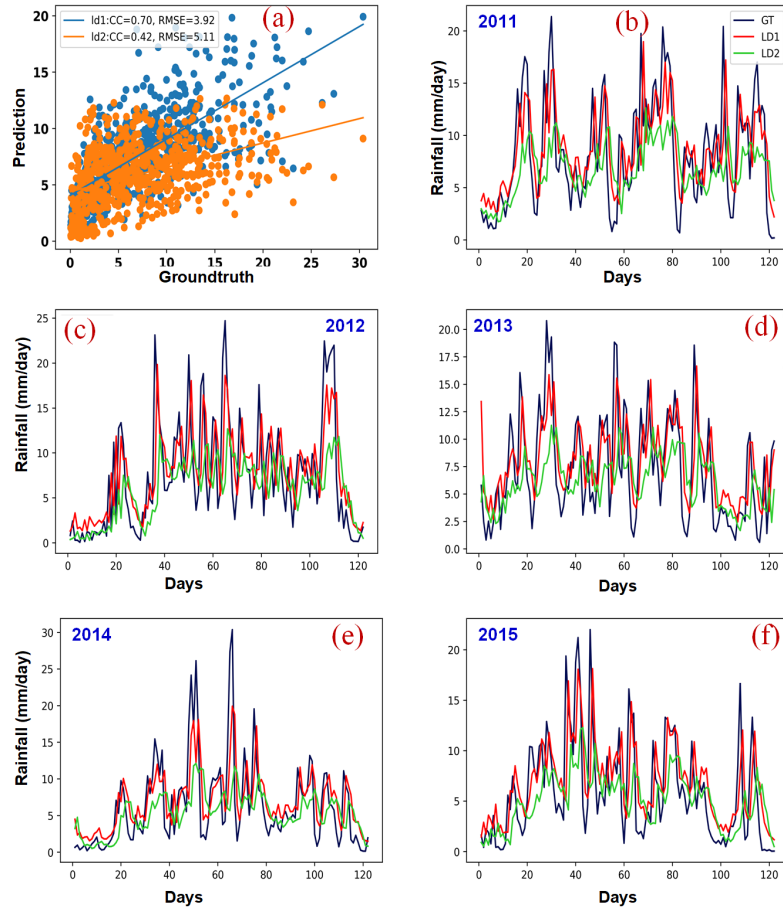


Figure 9. : Comparison of area-averaged correlation coefficient (CC) and RMSE (panel a) for 5 years time series (IMD) data and area-averaged rainfall for 5 years duration (2011-2015) for Central North East region (panel b-f). The days on X- axis starts from 1 June. The time period is JJAS.

1 that IMD rainfall for a day is the rainfall obtained in the last 24 hours of the recorded
2 time, while for TRMM, it is the rainfall in the next 24 hours of the recorded time.
3 The ISM rainfall shows significant variability in space and time. On some occasions
4 when the monsoon is in ‘active or organized’ phase, the rainfall patterns are widespread
5 in space while during the ‘break or weak’ phase we see isolated spells across the region
6 (Singh et al. 2021a) . It is to be noted that the rainfall memory (in time) is less
7 as compared to other meteorological variables (e.g. temperature). Our aim here is to
8 understand how well the model retains this memory and produces rainfall in space and
9 time. Figure 10 compares the 1 and 2 day lead predictions generated by the model
10 with the IMD and the TRMM data. It is to be noted that the training of the model
11 was performed for both sets of data (the training periods were different). Therefore
12 while comparing the model forecasts, corresponding observations are also used. The
13 observation days here correspond to the model lead days and the bias is nothing but the
14 difference (in space) between the observed rainfall for that day and the corresponding
15 model forecast. It is seen that overall biases in both first (denoted Ld1) and second
16 day (denoted as Ld2) lead times are smaller for the IMD data compared to the TRMM
17 data. Though rainfall over the core monsoon zone shows less bias, there is significant

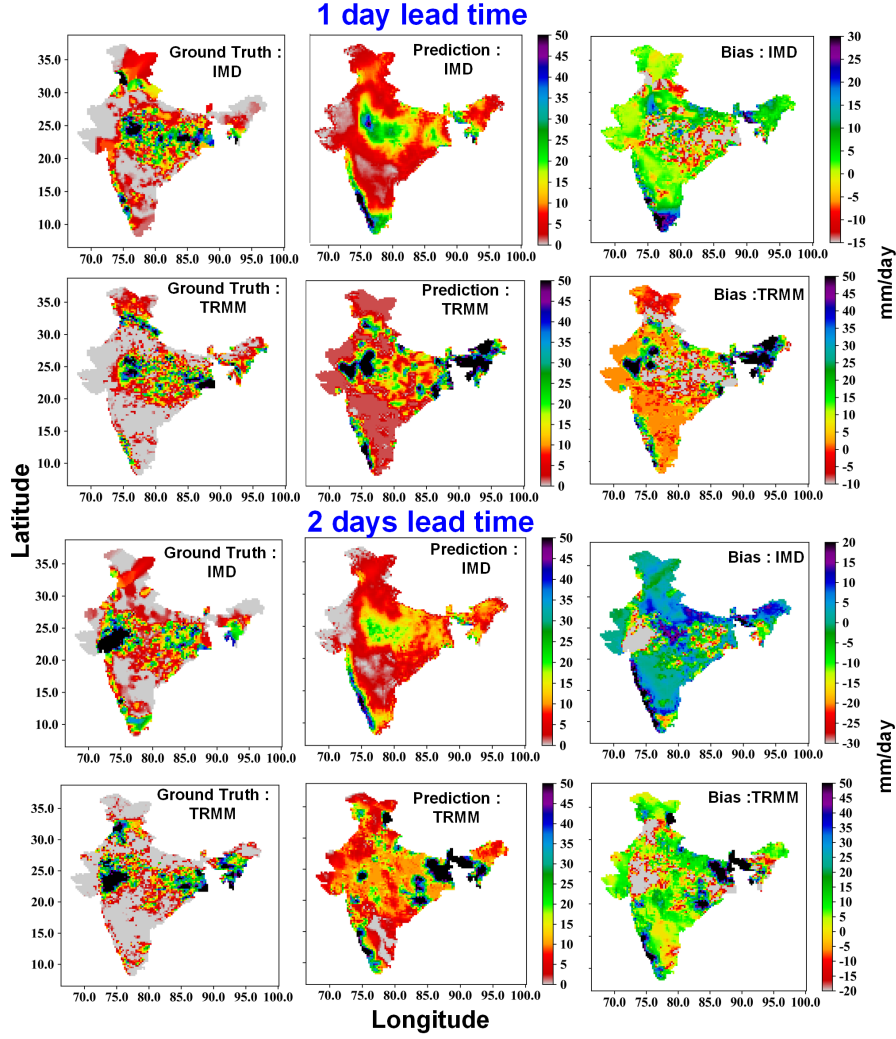


Figure 10. : Comparing the 2 days lead predictions from the ground truth. The upper panels to show the comparison for IMD data, and the lower ones are for TRMM data in both Ld1 and Ld2 cases. The plot for Ld1 is for August 8, 2011 (IMD), and August 7, 2011, for TRMM. The plots in the last columns represent biases. A similar comparison was present output obtained from dynamical model in Huffman et al. (2016).

- 1 bias over the regions of high elevations for both cases (e.g. over the Western Ghats,
- 2 the Himalayan region). The analysis presented here helps us to identify the regions
- 3 where the model has good or poor fidelity in reproducing the actual rainfall and also
- 4 indicates the spatial coherency between the two.

5 5.3. Comparison of CC, NRMSE and MAPE

6 We calculated the pattern correlation coefficient (CC), NRMSE and MAPE as de-
7 scribed in section 2.4.2 for both datasets.

8 5.3.1. IMD Data

9 The pattern correlation and normalized RMSE (NMRSE) obtained from the IMD
10 data is shown in Figure 11. It is seen that pattern correlation worsens from lead day 1

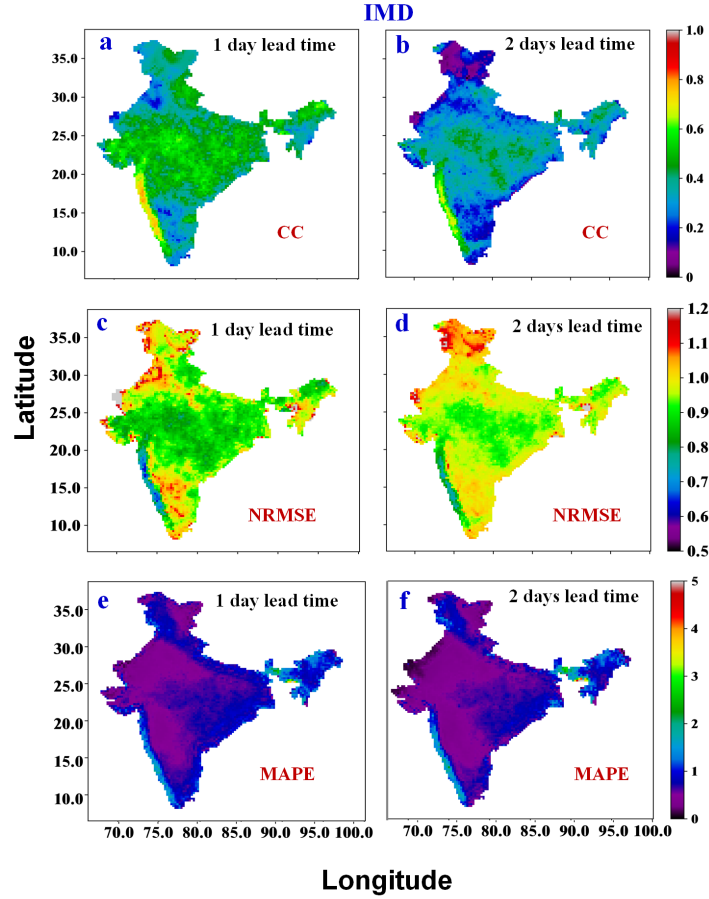


Figure 11. Correlation (panels a & b) , NRMSE (panels c & d) and the MAPE (panels e & f) of Ld1 and Ld2 for IMD data.

1 to 2. Further, the pattern correlation shows large variations across the Indian region.
 2 The best correlations are noted over the west coast and monsoon trough region, while
 3 the lowest values are noted over the northern regions. The 2 lead days' patterns are
 4 reasonably correlated over the Western Ghats and monsoon trough region (0.8 on
 5 lead day 1 and 0.6 for lead day 2). However, the model fares poorly over the parts of
 6 Himalayas regions and Rajasthan.

7 Over these regions, the pattern correlations deteriorate quickly after lead day one
 8 (Ld1) and reach below 0.4 on lead day 2 (Ld2). One plausible reason behind the
 9 poor correlation over these regions might be the sparse density of IMD stations (as
 10 mentioned in Pai et al. (2014)).

11 Nevertheless, the model reasonably captures the variability in the short term. The
 12 normalized RMSE for the Ld1 and Ld2 are shown in the lower panel of Figure 11.
 13 The normalized RMSE (NRMSE) is considerably low in most regions except in some
 14 parts of the North East area (around the Sikkim region). Relatively higher values of
 15 NRMSE can also be seen in the Western Ghats area for both Ld1 and Ld2. The model's
 16 performance is comparable to state of art numerical weather prediction models (Rao
 17 et al. 2019; Rajeevan and Santos 2020). Similarly, the mean absolute percentage error
 18 (MAPE) is about 1% in the entire except the Sikkim region.

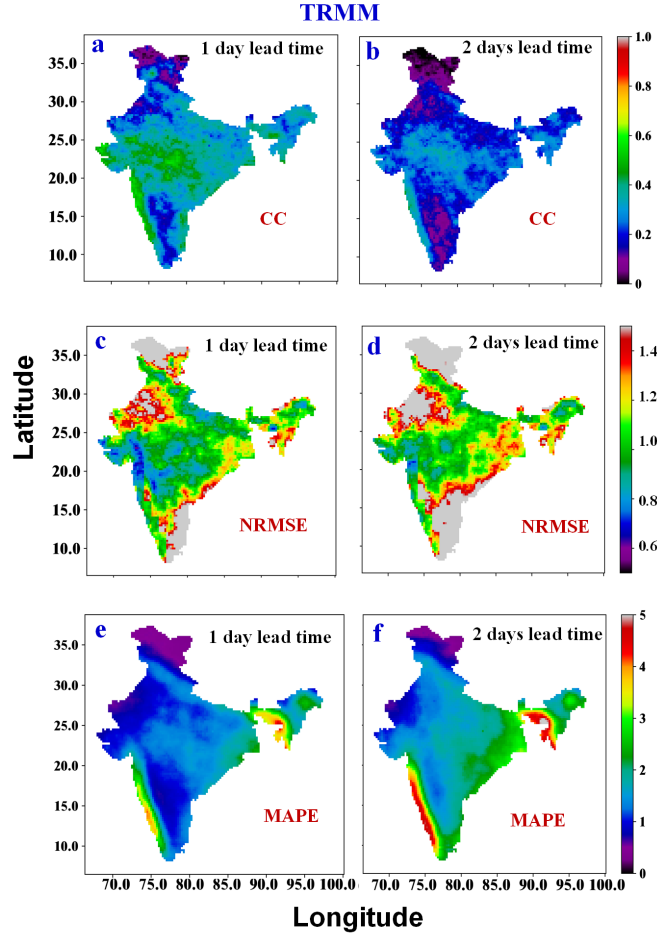


Figure 12. Correlation (panels a & b), normalized RMSE (panels c & d) and the MAPE (panels e & f) of Ld1 and Ld2 for TRMM data.

1 *5.3.2. TRMM data*

2 In TRMM data case, with increasing lead time, the pattern correlation decreases
 3 significantly as shown in Figure 12 (panels a & b). The model requires improvements
 4 to capture the rainfall for TRMM data better. One possible improvement can be to
 5 use multivariable input for training. The NRMSE for Ld1 and Ld2 for TRMM data are
 6 presented in lower panels (panels c and d) of Figure 12. It is noted that the Western
 7 Ghat area has higher CC and lower NRMSE. The MAPE, presented in the panels e
 8 & f have higher values in North-east regions for both Ld1 and Ld2.

9 *5.3.3. Homogeneous regions of IMD data*

10 The pattern correlations for homogeneous regions show a similar trend as in the entire
 11 Indian territory, which means it deteriorates after day 1. Figure 13 depicts the pattern
 12 correlations for West Central (panel a) and Central North-East (panel b) regions. A
 13 better CC was obtained in the Central NE area for the Ld1. For the second day lead
 14 time, the CC falls quickly in both areas as shown in Figure 13. Further, we calculated
 15 the PDF of RMSE for Ld1 and Ld2 of heavy rainfall in these regions. The heavy
 16 rainfall days were selected by taking only those days in which atleast 10 percent of

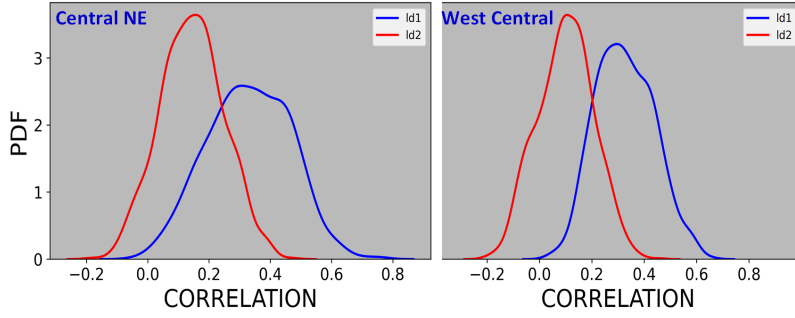


Figure 13. Comparison of the pattern correlation for Central North East (left panel) and West Central (right panel) for 2 days lead time for the IMD data.

- 1 the grid points in the homogeneous region had more than 95 percentile rainfall value.
- 2 A comparison of PDFs is provided in Figure 14. The Ld1 RMSE is found to be less
- 3 than Ld2 for three homogeneous regions, namely, Central NE, West Central and North
- 4 East. There was no difference in RMSE between Ld1 and Ld2 forecasts was found for
- 5 the other three regions.

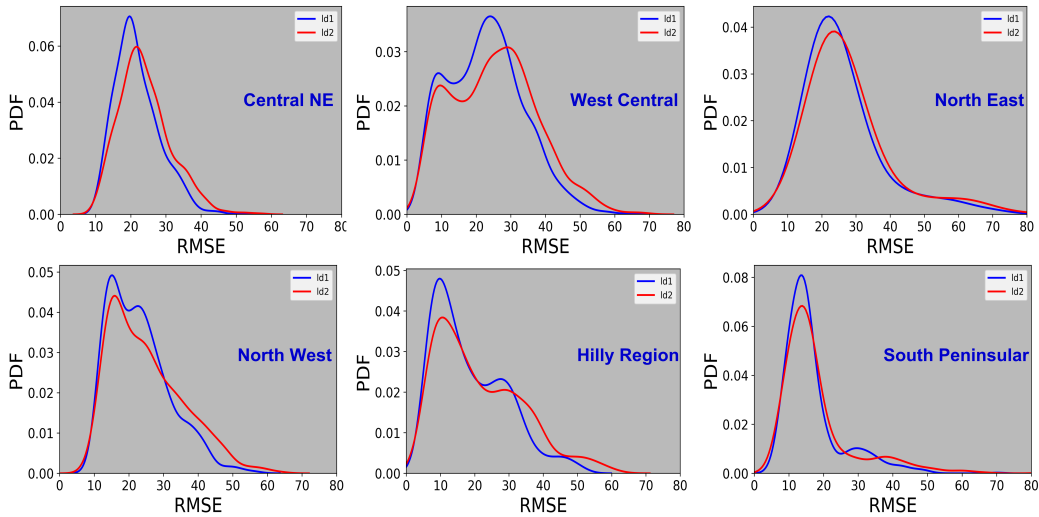


Figure 14. A comparison of PDF of RMSE calculated for lead day 1 (Ld1) and lead day 2 (Ld2). The RMSE for Ld1 is found to be less than Ld2 in the upper panels representing three regions: Central NE, West Central and North East.

6 5.4. Comparison of Receiver Operating Characteristics (ROC) curve

7 Another skill metric calculated for homogeneous regions is receiver operating charac-
 8 teristics (ROC), defined in section 2.4. A description of the application of the same
 9 method is provided in Marzban (2004), highlighting it as a measure of classification
 10 performance.

11 In our study, we have used a simple skill verification method as well as category (or
 12 threshold) based classifier verification. We calculated TPR and FPR (equation 7.1) for
 13 rainfall values in all six regions after binning the rainfall in different categories. The
 14 categories are determined based on minimum and maximum rainfall values and then

1 slices them in 1mm intervals. Category-wise comparison indicates the skill of different
 2 rainfall bins, thus giving an idea on how the skill varies in different rainfall categories.
 3 Comparisons of these rates for all regions are provided in Figure 15. The blue dots
 4 indicate Ld1 forecast and orange dot represent the Ld2 forecast. The blue curve has
 5 larger Area Under the Curve (AUC) values, consistent with the correlation values (i.e.
 6 skill of Ld1 greater than the skill of Ld2) for these regions. The North West region
 7 does not show much difference in Ld1 and Ld2 skill.

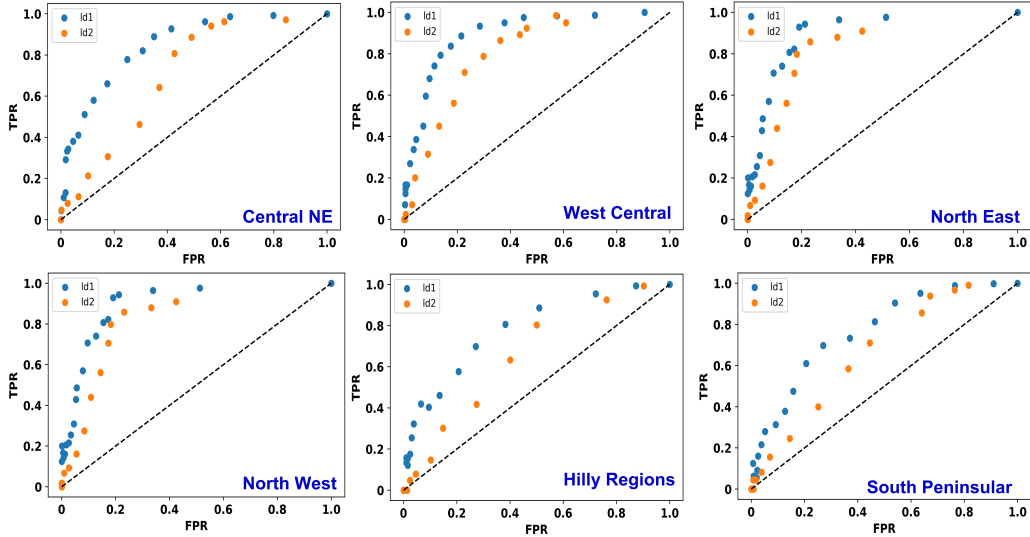


Figure 15. Comparison of ROC skill for different homogeneous regions. The Ld1 TPR is better for three regions: Central NE, West Central and North West.

8 **5.5. Comparative Skills vs state-of-the-art operational numerical model**

9 We have also compared the skill score of the employed model to that reported for a
 10 state-of-the-art numerical model Rao et al. (2019) for GFS T1534. For this purpose
 11 we have compared the Peirce Skill score (PSS) (Manzato 2007) as obtained from the
 12 ConvLSTM model and to that of a sophisticated numerical model (see Fig 3(b) of
 13 Rao et al. (2019)). Figure 16 illustrates one such comparison for the year 2011. For
 14 Ld1, the PSS skill obtained from the ConvLSTM method is better to GFS up to a
 15 15 mm rainfall threshold, whereas for Ld3, the PSS skill derived from the ConvLSTM
 16 method is stronger up to a 6 mm rainfall threshold value. A drop in skill score for
 17 various rainfall thresholds is depicted in figure 3(b) of Rao et al. (2019). We observed
 18 that the skills for Ld1 and Ld2 based on ConvLSTM method, for the year 2011,
 19 have superior or comparable skill for at least wet and moderate spells. We have also
 20 compared the PSS for years 2012-2015 and obtained results (see the supplementary
 21 figure S1) with good skill.

22 **5.6. Using multi-variables**

23 Multivariate learning is essential to capture the low-frequency variability of rainfall as
 24 low-frequency sub-seasonal waves are convectively coupled waves with moisture, the
 25 surface low-pressure, and wind. We also tested the model for some more variables and

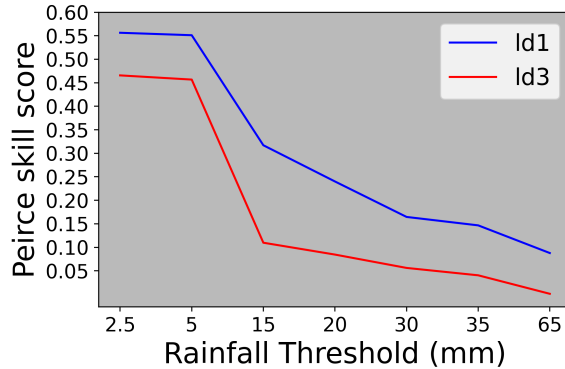


Figure 16. Peirce skill Score (PSS) obtained from ConvLSTM method for lead day 1 and lead day 3 forecast. The PSS can be compared with the same obtained from GFS T1534 cf. Fig 3(b) of Rao et al. (2019).

- 1 found little improvement with 6 variable inputs as depicted in figure 17. These six
- 2 input variables are (i) rainfall, (ii) orography, (iii) specific humidity at 700 hPa(q700)
- 3 (iv) at 850 hPa (q850) and (v) specific pressure and soil moisture.

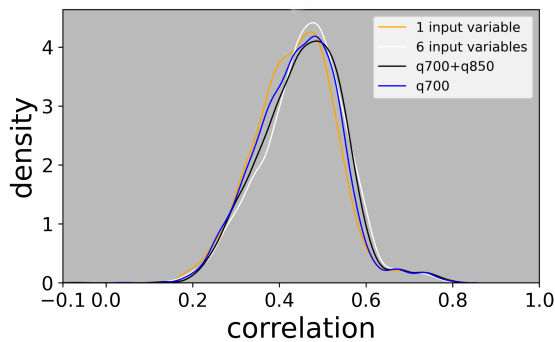


Figure 17. Comparison Ld1 correlation for multiple variables.

- 4 The figure indicates that the majority of the improvement in the six-variable input
- 5 model can be accounted for by just two variables (q700 and q850).

6. Conclusions

7 This study focused on implementing a deep learning model, for the short-range fore-
 8 casting of the ISMR. Three deep learning models, ARIMA, ConvGRU, and Con-
 9 vLSTM, were tested on two separate datasets constructed using ground observation
 10 (IMD) and remote sensing techniques (TRMM). The ConvLSTM model was found
 11 to be the best method among three. ConvLSTM based models have been used for
 12 short-range forecasts/nowcasts in literature with some success. The proposed model
 13 is a proof-of-concept which can capture the spatio-temporal structure of the forecast
 14 data.

15 The convolution operation is not well-defined in the literature when we do not have
 16 data over a certain spatial domain. The IMD data, for example, do not have values over
 17 the ocean. Such sharp gradient at land-sea boundaries can be potentially problematic

1 for convolution operation due to the absence of data. We applied an efficient approach
2 and tackled the undefined values (i.e., grids having no data). For which the data were
3 first transformed from real space to exponential space. The model training was done
4 in exponential space allowing rainfall values to span $(1, \infty)$; replacing the NaN values
5 with '0', as '0' was no more significant value in this space. The remote sensing TRMM
6 data has skewness and model was not able to capture the high rainfall values. To
7 resolve this issue, a custom loss function has been defined.

8 The model-produced forecast shows reliable skill with observations (the ground
9 truth); however, up to 2 days lead time only. The model performance was evaluated
10 using five metrics: (i) CC, (ii) NRMSE/RMSE, (iii) MAPE, (iv) ROC and (v) Peircs
11 Skill Score (PSS). The efficiency quickly goes down after that, as seen in the pat-
12 tern correlations. A low correlation is seen at the northern and North Western parts
13 along the east coast of India. The forecast is also done separately for homogenous
14 monsoon regions described in the Kothawale and Rajeevan (2017). In this case, the
15 area-averaged correlation for 5 years' time series is found to be reasonably good, and
16 the RMSE for this data is significantly low. However, the pattern correlations again
17 fall quickly after 1 day lead time. The model performed best in three homogeneous
18 regions, as shown in table 6, namely West Central, Central NE, and Northwest regions.
19 The forecast obtained from this deep learning model is comparable to state of the art
20 dynamical models such as provided in Mukhopadhyay et al. (2019) and the PSS from
21 Rao et al. (2019). We found that the ConvLSTM skills for Ld1 and Ld3 are superior
22 or comparable to those in this manuscript for wet and moderate spells.

23 The forecast skill was also analysed using the ROC curve for homogeneous regions.
24 The ROC analysis was found to be consistent with correlation. We note that the
25 present model reasonably captures the widespread precipitation but still have issues
26 with localized events which might be related to the fact that large scale organized
27 systems have more lifetime and spatial scale which can be captured based on the
28 single variable model attempted here. While the localized extremes are often of short
29 duration and do not have enough memory with them to be taken for the next day
30 when dealing with daily data. Therefore it is still a challenge even for state-of-the-art
31 NWP models to predict such events.

32 This work is a demonstration of deep machine learning-based algorithms for weather
33 forecasting using only a single variable, which is probably a reason for the steep
34 fall in the efficiency of forecasts after 2 days. However, it is noted that the two day
35 lead predictions of this model compare reasonably against the global forecast system
36 (GFS) T574L64 (≈ 5 km), adopted from National Centers for Environmental Prediction
37 (NCEP), and tested by the IMD during the 2010s (Durai and Bhowmik 2014).
38 The study reported that areas of negative mean errors spread over most parts of the
39 country from the lead day-2 onwards. With the adoption of higher resolution and im-
40 proved GFS T1534 (≈ 12.5 km), the efficiency of short range operational forecasts
41 have increased (Mukhopadhyay et al. 2019). It has been reported that GFS T1534 has
42 much improved skill in moderate (15.6 - 64.5 mm day - 1) rainfall categories while there
43 is underestimation for the heavy to very heavy (64.5 - 204.05 mm day - 1) rainfall.
44 Also the extremely heavy rainfall categories are only better on the shorter lead times.
45 This ensemble based state-of-the-art forecasting is efficient but resource intensive and
46 has issues as discussed.

47 The present model is a proof of concept for a pure AI-based model for short-term
48 rainfall forecasting of the Indian summer monsoon. Despite the fact that the model
49 is fairly simple and only employs one variable, it has given high correlation in several
50 locations. We acknowledge that there may be alternative models that may successfully

1 predict rainfall. We are also experimenting to improve the model. The main purpose of
2 the current study is to introduce an AI model which can be used to forecast monsoon
3 rainfall on short scale.

4 **7. Future work**

5 We observed that the majority of the improvement in the six-variable input model can
6 be accounted for by just two variables (q700 and q850). Thus, the model, in the present
7 form has limitations. Hence, the potential variables which can be used in further
8 studies are sea level pressure, sea surface temperature (SST) and air temperature.
9 The technique can be improved by using more layers in the training and the tuning of
10 hyper parameters.

11 The custom loss function used for TRMM data can also be experimented on the IMD
12 dataset to improve the data training. Another modification that can be done to handle
13 datasets like IMD with NaN values is to generalize the convolution operator to act on
14 irregular shapes (Vialatte et al. 2016; Padeloup et al. 2017). This model has potential
15 to be utilized in short-range forecasting of monsoon precipitation, fire prediction and
16 heat/cold wave forecasting. One can develop a multi-model ensembles using different
17 architectures. Furthermore, there are other models available in the literature including
18 Unet and Transformer (Bojesomo et al. 2021), which can be applied to short range
19 forecasting.

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26 **Disclosure statement**

27 The authors confirm that there is no conflict of interest.

29 **Data availability statement**

30 All data, support the findings of this study are available from the corresponding author
31 upon reasonable request.

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