

1 **Do body mass index and waist-to-height ratio over the preceding**  
 2 **decade predict retinal microvasculature in 11-12 year-olds and mid-** 3  
 4 **life adults?**

4 **Running title: Body mass and waist pathways and microvasculature**

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### 3 **Abstract**

4 **Background/Objectives** Microvascular changes may contribute to obesity-associated  
5 cardiovascular disease. We examined whether body mass index (BMI) and waist-to-  
6 height ratio (WHtR) (i) at multiple earlier time points and (ii) decade-long trajectories  
7 predicted retinal microvascular parameters in mid-childhood/adulthood.

8 **Methods** *Participants/design:* 1288 11-12 year-olds (51% girls) and 1264 parents (87%  
9 mothers) in the population-based CheckPoint module within the Longitudinal Study  
10 of Australian Children (LSAC). *LSAC exposure measures:* Biennial BMI z-score and  
11 waist-height ratio (WHtR) for children at 5 times points from age 2-3 to 10-11 years  
12 and self-reported parent BMI at 6 time points from child age 0-1 years to 10-11 years.  
13 *CheckPoint outcome measures:* Retinal arteriolar and venular caliber. *Analyses:*  
14 BMI/WHtR trajectories were identified by group-based trajectory modeling; linear  
15 regression models estimated associations between BMI/waist at each time  
16 point/trajectories and later retinal vascular caliber, adjusted for age, sex and family  
17 socioeconomic status.

18 **Results** In time point analyses, higher child BMI/WHtR from age 4-5 years were  
19 associated with narrower arteriolar caliber at age 11-12 years, but not venular caliber.  
20 For example, each standard deviation (SD) higher in BMI z-score at 4-5 years was  
21 associated with narrower arteriolar caliber at 11-12 years (standardized mean  
22 difference (SMD) -0.05, 95% CI -0.10 to 0.01); by 10-11 years, associations had  
23 doubled to -0.10 (95% CI -0.16 to -0.05). In adults, these finding were similar, except  
24 the magnitude of BMI and arteriolar associations were similar across all time points

1 (SMD -0.11 to -0.13). In child and adult BMI trajectory analyses, less favorable  
2 trajectories predicted narrower arteriolar ( $p$ -trend  $<0.05$ ), but not venular ( $p$ -  
3 trend  $>0.1$ ), caliber. Compared to those in the average BMI trajectory, SMDs in  
4 arterial caliber for children and adults in the highest trajectory were -0.25 (95% CI -  
5 0.44 to -0.07) and -0.42 (95% CI -0.73 to -0.10) respectively. Venular caliber showed  
6 late associations with child WHtR, but not with BMI in children or adults.

## 7 **Conclusions**

8 Associations of decade-long high BMI trajectories with narrowed retinal arteriolar  
9 caliber emerge in children, and are clearly evident by mid-life. Adiposity appears to  
10 exert its early adverse life course impacts on the microcirculation more via arteriolar  
11 than venular mechanisms.

# 1 INTRODUCTION

2 Early childhood obesity is associated with adverse cardiovascular outcomes later in life.<sup>1,2</sup>  
3 However, how early obesity relates to a crucial component of the circulation system – the  
4 microcirculation has been largely overlooked. The microcirculation is implicated in obesity-  
5 associated cardiovascular disease (CVD) such as coronary artery disease.<sup>3,4</sup> For example, in  
6 people with obesity, global microvascular dysfunction is a common pathway which  
7 predisposes to the development of coronary microvascular angina.<sup>3</sup> The microcirculation can  
8 be assessed by non-invasive retinal imaging and quantification of microvascular parameters,  
9 most frequently retinal arteriolar and venular caliber.<sup>5</sup> Understanding the relationship  
10 between obesity and the retinal microvasculature across the life course could be informative,  
11 as variations in retinal vascular caliber are thought to mirror pathologic processes occurring  
12 in the systemic and coronary microcirculation.<sup>5,6</sup>

13 Most studies examining the association of body mass index (BMI) with the retinal  
14 microvasculature have used cross-sectional designs and mainly focused on adults.<sup>7</sup> In  
15 children, the only longitudinal study (the Singapore Cohort Study of Risk Factors for Myopia,  
16  $n= 421$ ) showed that one standard deviation (SD) higher BMI ( $3.03 \text{ kg/m}^2$ ) at age 7-9 years  
17 predicted 0.12SD decreased arteriolar caliber ( $p = 0.01$ ) and 0.13SD increased venular caliber  
18 ( $p < 0.01$ ) five years later. Inversely, arteriolar and venular caliber at baseline weakly  
19 predicted BMI at follow-up.<sup>8</sup> These results suggest that BMI is likely to be on the causal  
20 pathway, predicting changes to the retinal microvascular parameters, rather than the other  
21 way around.

22 The link between early or mid-life BMI with future retinal microvascular pathology has not  
23 been clearly elucidated. Adverse BMI trajectories from adolescence to young adulthood are  
24 associated with an unfavourable cardiovascular profile (eg, high blood pressure, insulin

1 resistance),<sup>9</sup> but whether these findings extend to retinal microvascular parameters is unclear.  
2 An understanding of these relationships may be enlightening because distinct BMI  
3 trajectories impact differentially on the risk of cardio-metabolic disease later in life.<sup>9, 10</sup> For  
4 example, a 23-year longitudinal study found that compared to a normal BMI trajectory, a  
5 high-increasing childhood BMI trajectory was associated with poorer indicators of adult  
6 subclinical CVD.<sup>11</sup> In addition, most studies have focused on BMI, neglecting the possible  
7 impact of fat distributions on retinal microvasculature.<sup>12-14</sup> One of the few studies to examine  
8 fat mass and distribution is the Generation R study. Using dual-energy X-ray absorptiometry  
9 (DEXA), higher total body and abdominal fat mass in 4145 6-year-olds were associated with  
10 worse arteriolar but not venular caliber.<sup>13</sup> However, the cumulative effects of fat distribution  
11 patterns remain unclear since evidence is limited to cross-sectional studies. Waist girth  
12 (rather than direct body composition measurement) remains a common proxy for central  
13 adiposity, with waist-height ratio (WHtR) considered more predictive of CVD than BMI in  
14 adults.<sup>15</sup> Furthermore, if retinal vascular caliber changes reflect cumulative life course  
15 responses to systemic risk factors,<sup>16</sup> and if adiposity tracks strongly through life, then  
16 associations should be larger in adults than in children. However, this is yet to be investigated.  
17 If there is a gradient in the risk with age, then this adds further weight to the importance of  
18 early obesity prevention.

19 The Child Health CheckPoint study nested within the Longitudinal Study of Australian  
20 Children (LSAC) provided an opportunity to examine these issues. In two generations – 11-  
21 12 year-olds and mid-life adults (their parents) – we examined whether retinal vascular  
22 caliber is predicted by 1) BMI and (in children only) WHtR at multiple time points and 2)  
23 BMI and WHtR trajectories, all spanning the preceding decade.

# 1 MATERIALS AND METHODS

## 2 1. Study design and participants

3 Details of the LSAC design and recruitment are outlined elsewhere.<sup>17, 18</sup> Briefly, in 2004,  
4 LSAC recruited a nationally-representative birth cohort of 5107 infants (aged 0-1 year) and  
5 their parents using a two-stage clustered design and has since followed the children and their  
6 families biennially. The response rate to the initial invitation in 2004 was 57.2%, of which  
7 73.7% (n=3764) were retained to wave six in 2014 (ie, when children were aged 10–11 years).

8 The Child Health CheckPoint (CheckPoint) study, LSAC's physical health and biomarkers  
9 module, was conducted between LSAC wave six (2014) and seven (2016).<sup>19</sup> At the wave six  
10 visit, interviewers invited all contactable families (n=3513) to provide consent for their  
11 contact details to be shared with the CheckPoint team. In total, 1874 children (53.3%) aged  
12 11-12 years took part in CheckPoint's cross-sectional biophysical assessment with one  
13 attending parent (detailed methods<sup>20</sup> and procedures<sup>21</sup> are published elsewhere).

14 Data collection was approved by the Australian Institute of Family Studies Ethics Committee  
15 (14-26) and the Royal Children's Hospital Melbourne Human Research Ethics Committee  
16 (33225D). Parents provided written informed consent for themselves and their children at  
17 each LSAC wave and the CheckPoint.

## 18 2. Procedures

19 Trained LSAC interviewers visited each family at home every two years from waves one to  
20 six, during which they collected the anthropometric markers. Information from all waves was  
21 used.

1 The CheckPoint team booked an appointment for interested families from the same cohort  
2 between February 2015 and March 2016. The CheckPoint was a special one-off physical  
3 health assessment offered to the 11-12 year-olds children and one of their parents. Most  
4 families attended a 3.5-hour appointment comprising multiple measurement stations at  
5 CheckPoint's main assessment centers, which took place in the seven largest cities (mainly  
6 state capitals) around Australia. A small number of families (n = 518) who attended mini-  
7 assessment centers in smaller regional cities (2.5-hour appointments) or received a home visit  
8 (1.5 hours) were not included in this study, because the large and delicate equipment for  
9 retinal photography could not be readily transported to these centers.

### 10 **3. Measures**

#### 11 3.1 Exposures from LSAC

12 In children, height (to the nearest 0.1 cm) and weight (to the nearest 0.1 kg) were measured in  
13 light clothing and without shoes or socks. Two height measurements were taken, and if these  
14 measurements differed by 0.5 cm or more, a third measurement was taken; the average of the  
15 three measures was used. BMI was calculated as weight (kg)/height (m<sup>2</sup>), and then converted  
16 to age- and gender-specific z-scores using the US Centers for Disease Control growth  
17 reference charts.<sup>22</sup> Waist circumference (cm) was measured horizontally around the navel by  
18 lifting the shirt or jumper and lowering the belt or waistband in children. Mean of two waist  
19 measurements were used; if there was more than 0.5 cm difference on the first two, mean of  
20 three was used. WHtR was calculated as waist (cm)/height (cm). In all waves, parents' height  
21 and weight were self-reported and BMI, but not WHtR, was calculated.

#### 22 3.2 Outcomes from CheckPoint

1 During CheckPoint’s 3.5-hour visit, each child and parent took part separately in a 15 min  
2 retinal photograph assessment. Two optic disc centered digital photographs from each eye  
3 were taken by a fundus camera (EOS 60D SLR).

4 Right eye images were selected as the first choice for scoring. When right eye images were  
5 deemed ungradable, left-eye images were used given the high correlation that has previously  
6 been reported between the two.<sup>23</sup> Details of retinal image grading are described elsewhere.<sup>24</sup>  
7 Briefly, four experienced graders scored each of the images using the Integrative Vessel  
8 Analysis (IVAN, University of Wisconsin, Wisconsin, USA) software program by masking  
9 the participant characteristics. **Figure 1** shows the grading platform of IVAN. Retinal vessels  
10 were identified by the software as arterioles or venules from a specific area (one-half to one  
11 disc diameter from the margin of the optic disc). A segment of each vessel within this area  
12 was selected by the grader for measurement. Diameters of all the selected segments were  
13 measured by the IVAN software. For each participant, summary estimates of the average  
14 retinal vascular caliber were calculated by the software according to the Big-Six (revised  
15 Knudston-Parr) formula,<sup>25</sup> which combines measurements of the six largest arterioles or  
16 venules. Inter-grader reliability correlation coefficients were  $(r) = 0.79$  for retinal arteriolar  
17 and  $r = 0.92$  for venular caliber. Intra-grader reliability ranged from  $r = 0.90$  to  $0.99$  for  
18 retinal arteriolar and  $r = 0.92$  to  $0.98$  for venular caliber.

### 19 3.3 Covariates

20 Age, sex and family socioeconomic position (SEP) were selected as *a priori* potential  
21 confounders as they have been shown to associate with both BMI and retinal vascular  
22 caliber.<sup>26</sup> Age at CheckPoint was calculated to nearest week using date of birth, either  
23 imported from Medicare Australia’s database at the time of LSAC enrolment (child) or self-  
24 reported (parent), and date of assessment. Children’s sex was from LSAC record which was



1 originally exported from the Medicare Australia database. Parents self-reported their sex in  
2 the CheckPoint questionnaire. SEP components were measured by questionnaires at LSAC  
3 wave six, which summarized parent-reported combined household income, current or most  
4 recent occupation of each parent and highest achieved educational qualification of each  
5 parent.<sup>27</sup> Each component of the score was scaled and an unweighted average was calculated  
6 over three values in a single-parent household, or over five values in a dual-parent household.  
7 The unweighted average variable at LSAC was then standardized within the wave to have a  
8 mean 0, and SD of 1, with higher scores indicating better SEP.

#### 9 **4. Statistical analysis**

10 To visualize our findings, we internally constructed standardized scores ( $[\text{observed value} -$   
11  $\text{mean}]/\text{SD}$ ) for retinal vascular caliber, BMI for adults and WHtR for children, and used the  
12 existing BMI z-scores for children. Thus, regression coefficients represent the standardized  
13 mean difference (SMD) for a one SD higher score in the exposure (or 1 unit higher BMI z-  
14 score). Multivariable linear regression models were performed for both aims with estimates  
15 adjusted for age, sex and SEP. We did not adjust for glucose, lipids or blood pressure since  
16 these would most likely reflect effect modification rather than confounding of any  
17 relationship between BMI/WHtR and retinal vascular caliber. All analyses were performed in  
18 Stata 14.0 (StataCorp LP, TX, USA), with children and adults considered separately.

19 *Aim 1:* Linear regression models were performed to assess whether BMI/WHtR at each of the  
20 preceding time points predicted retinal arteriolar and/or venular caliber at the CheckPoint  
21 assessment.

22 *Aim 2:* We identified BMI z-score/WHtR trajectories in children based on measures taken  
23 during LSAC waves two to six and the BMI trajectories for adults based on self-reported data  
24 gathered in LSAC waves one to six. The ‘traj’ plug-in from Stata 14.0 was used for the

1 group-based trajectory modeling.<sup>28</sup> Methods of how we generated the trajectories have been  
2 published by our research team.<sup>29</sup> Briefly, BMI or WHtR scores were modeled with censored  
3 normal distribution, which is designed for the repeatedly measured continuous variables. For  
4 trajectory modeling, we included participants who had a BMI or WHtR value for at least four  
5 of the six waves. In order to extract the most meaningful and distinct trajectories, Bayesian  
6 information criterion values, average posterior probabilities and the proportion of the sample  
7 in each trajectory were taken into account (eTable 1 and 2).<sup>30</sup>

8 Based on these criteria, trajectories were selected and named from visual inspection. A five-  
9 trajectory solution was selected for child BMI z-score, with 6.2% in the ‘low’, 31.3%  
10 ‘average’, 45.6% ‘always high’, 12.1% ‘always very high’ and 4.8% ‘low to high’ trajectories  
11 (**Figure 2a**). For adults, we selected a four-trajectory solution (51.0% ‘normal’, 32.8%  
12 ‘overweight’, 12.8% ‘obese’, and 3.4% ‘severely obese’; **Figure 2b**). Adult BMI trajectories  
13 were quite flat, but one child trajectory (‘low to high’) was characterized by a steeply rising  
14 BMI z-score over time, while the ‘average’ and ‘high’ trajectories appeared to fall slightly.  
15 For children’s WHtR trajectories, a three-trajectory solution was selected and, in line with the  
16 clinical cut-point of 0.5,<sup>12</sup> named as ‘normal’ (72.3%), ‘high normal’ (23.9%) and ‘always  
17 very high’ (3.8%); **Figure 2c**).

18 Multivariable linear regression models were performed to examine whether longitudinal  
19 BMI/WHtR trajectories predicted retinal vascular caliber in children and adults.

20 *Sensitivity analysis:* Previous studies reported that lower birth weight predicted poor retinal  
21 vascular caliber,<sup>31,32</sup> so we conducted a sensitivity analysis further adjusting birth weight (kg)  
22 for Aim 1 in children.

# 1 RESULTS

## 2 Sample characteristics

3 **Figure 3** shows the study flow from wave one of LSAC onward. Of the 1874 CheckPoint  
4 families, 1288 11-12 year-olds and 1264 adults (mean age 44 years (SD 5.1)) had retinal  
5 vascular caliber data available (**Table 1**). Around half of children (50.9%) were girls, while  
6 most adults (86.6%) were mothers. Families included in our analysis were slightly more  
7 advantaged (mean SEP 0.3, SD 1.0) than all families in LSAC wave six (mean 0.0, SD 1.0).

### 8 **Aim 1: BMI and (children only) WHtR across the preceding multiple time points** 9 **predict retinal vascular caliber**

10 In children, higher BMI and WHtR from 4-5 years modestly predicted adverse retinal  
11 arteriolar, but not venular, caliber at age 11-12 years, and the associations strengthened with  
12 age (**Table 2**). At 4-5 years of age, per unit higher BMI z-score was associated with slight  
13 narrowing of arteriolar caliber (SMD -0.05, 95% CI -0.10 to 0.01). By age 10-11 years, the  
14 effect size of BMI on arteriolar caliber had doubled (SMD -0.10, 95% CI -0.16 to -0.05). In  
15 adults, the magnitude of associations was similar across the six-time points (SMD -0.11 to  
16 -0.13). In children, the association of WHtR with arteriolar caliber changed little with age (at  
17 10-11 years SMD -0.08, 95% CI -0.14 to -0.01).

18 In comparison, the association between BMI and venular caliber was weak and did not vary  
19 with age in children or adults. However, an association between WHtR and venular caliber in  
20 children emerged from 8-9 years onward. Overall across each wave, the explanatory power of  
21 adult BMI for both arteriolar and venular caliber was larger than in children (Partial R<sup>2</sup>  
22 children from 2-3 to 10-11 years 0.9-1.8%, adults from mean age 33 to 44 years 2.0-2.6%).

1 **Aim 2: Decade-long BMI and (children only) WHtR trajectories predict retinal vascular**  
2 **caliber**

3 In children, less favorable BMI trajectories were associated with narrower arteriolar caliber,  
4 with similar effects seen for higher WHtR trajectories ( $p$  for trend  $<0.05$ , **Figure 4**).

5 Compared to children following the ‘average’ BMI trajectory, those following the ‘always  
6 very high’ trajectory had arteriolar caliber that was  $-0.25$  SMD (95% CI  $-0.44$  to  $-0.07$ )  
7 narrower. Compared to children following ‘normal’ WHtR trajectory, those following a ‘high  
8 normal’ and ‘always very high’ trajectory had narrower arteriolar calibers of  $0.14$  (95% CI  $-$   
9  $0.27$  to  $-0.01$ ) and  $0.25$  (95% CI  $-0.54$  to  $0.03$ ) SMD respectively. Similarly, adults following  
10 an ‘overweight’, ‘obese’ or ‘severely obese’ trajectory had narrower arteriolar caliber  
11 compared to those following the ‘normal’ BMI trajectory, with the strongest effect seen for  
12 those who followed the ‘severely obese’ trajectory (SMD  $-0.42$ , 95% CI  $-0.73$  to  $-0.10$ ).

13 In contrast, we found little evidence for a gradient of higher BMI/WHtR trajectories with  
14 wider (ie poorer) venular caliber in either children or adults ( $p$  for trend  $>0.05$ ). Nonetheless,  
15 point estimates for children were in the expected direction (**Figure 4**), with trajectories  
16 characterized by ‘low to high’, ‘always very high’ BMI and ‘always very high’ WHtR  
17 showing venular caliber that was wider by  $0.13$  (95% CI  $-0.13$  to  $0.40$ ),  $0.19$  (95% CI  $0.00$  to  
18  $0.37$ ) and  $0.26$  (95% CI  $-0.03$  to  $0.55$ ) SMD respectively compared to ‘normal’ trajectories.

19 **Sensitivity analysis**

20 When Aim 1 analyses were repeated including birth weight as a confounder, associations  
21 were largely unchanged (eTable 3).

# 1 DISCUSSION

## 2 1. Principal findings

3 We found that higher BMI and WHtR from 4-5 years of age onwards predicted adverse  
4 retinal arteriolar caliber by age 11-12 years. Similar associations were seen in mid-life adults'  
5 BMI but with higher explanatory power. There was less convincing evidence that BMI over  
6 the preceding time points was associated with venular caliber in either children or adults, but  
7 there was evidence that WHtR from 8-9 years was associated with venular caliber in children.  
8 We observed a gradient of suboptimal decade-long higher BMI and (child only) WHtR  
9 trajectories predicting poorer retinal arteriolar, but not venular, caliber in children; again, we  
10 saw larger effects in adults. Only the least favorable BMI and WHtR trajectories were  
11 associated with adverse venular caliber in children.

## 12 2. Strengths and limitations

13 Strengths of our study include the large, population-based, cross-generational cohort with  
14 BMI and (children only) WHtR measured biennially across the preceding decade. The  
15 outcome measurements for children and adults were taken at the same time, with the same  
16 equipment, using the same protocols. Furthermore, the average posterior probability value for  
17 each trajectory was 0.82-0.97 for each group (see eTable 2), well above the recommended  
18 minimum value of 0.70,<sup>33</sup> indicating the models had good assignment accuracy.

19 Some limitations also warrant consideration. First, parent height and weight were self-  
20 reported and limited data were available from adult males (n=169), as mothers typically  
21 accompanied their children to the CheckPoint assessment center. Nevertheless, evidence  
22 suggests self-reported BMI in longitudinal studies is acceptable for epidemiologic research  
23 and the value correlates very highly with actual measurements in adults.<sup>34</sup> However, our

1 estimates may lack precision in men given that our adult sample comprised 87% mothers.  
2 Second, retinal microvascular parameters were only collected at one-time point, limiting our  
3 ability to precisely pinpoint when the association first emerges. Future studies with repeated  
4 measures of both BMI and retinal vascular caliber are needed to establish exactly when these  
5 associations emerge. We recognize that both selection bias and attrition limit the population  
6 representativeness of our cohort. However, the sample covered a wide social and geographic  
7 range which means that the risk factor associations are likely to be generalizable.<sup>35</sup> Lastly,  
8 WHtR is a proxy measure for central body fat. Replications are warranted in studies with  
9 longitudinal fat mass measures.

### 10 **3. Interpretation in light of other studies**

11 We showed that the adverse microvascular variation at 11-12 years of age could be predicted  
12 from BMI as early as 4-5 years of age. This finding is consistent with the literature  
13 suggesting that the association between BMI and adverse retinal vascular variations may  
14 commence early in life. The youngest population-based sample among which this  
15 relationship has previously been examined were children aged 55.5 months (SD 10.3) taking  
16 part in the cross-sectional Sydney Pediatric Eye Disease Study.<sup>36</sup> In this small community  
17 sample (n = 379), each unit higher BMI was cross-sectionally associated with 1.06  $\mu\text{m}$   
18 narrower arteriolar caliber ( $p = 0.01$ ) and 1.12  $\mu\text{m}$  wider venular caliber ( $p = 0.02$ ).<sup>36</sup> Taken  
19 together with our findings, early BMI from 4-5 years may not only associate with cross-  
20 sectional, but also predict future retinal microvascular parameters. Our findings are also  
21 consistent with the Singapore Cohort Study of Risk Factors for Myopia, which included  
22 children of the same age with similar size of associations for arteriolar caliber, but not  
23 venular caliber.<sup>8</sup>

1 In addition, by using two generations of participants with identical outcome measures, we can  
2 speculate that increasing adiposity may have cumulative effects on retinal microvascular  
3 parameters from childhood to mid-adulthood. Although the effects were similar in children  
4 and adults, the explanatory power (ie  $R^2$ ) was higher in adults than in children. Furthermore,  
5 we found that consistently suboptimal decade-long BMI and/or WHtR trajectories were  
6 associated with adverse retinal vascular caliber. This supports our hypothesis that high  
7 BMI/WHtR has cumulative effects on vascular caliber. The only other study that has  
8 examined the effect of BMI trajectories on retinal caliber was from our research team.<sup>37</sup> In a  
9 small cohort (n=187), we did not see the association of children's BMI trajectories (10 time  
10 points from 2 weeks to 14 years) and retinal vascular caliber.<sup>37</sup> However, the small size of the  
11 study and the fact that 90% of children were of normal-weight BMI may have limited the  
12 power to detect small associations.<sup>37</sup>

13 Retinal arteriolar and venular caliber had different patterns of association with BMI and  
14 WHtR. The association of BMI with narrower arteriolar caliber in children and adults is in  
15 line with previous studies and a recent meta-analysis.<sup>14, 38</sup> We found little evidence of  
16 associations with retinal venular caliber for adults but did see some evidence in children. For  
17 instance, we found that WHtR, an index of central fat distribution, was related to venular  
18 caliber in children from 8-9 years; the 'low to high', 'always very high' BMI and WHtR  
19 trajectories among children were associated with wider venular caliber. These observations  
20 indicate venular associations may appear later and be more closely related to central adiposity.  
21 Previous research has demonstrated mixed evidence regarding the relationship between BMI  
22 and WHtR with retinal venular caliber among children and adults.<sup>13, 39</sup>

## 1 **4. Implications**

2 Mounting evidence suggests obesity has adverse effects on both preclinical and clinical  
3 cardiovascular health.<sup>1,2</sup> Our study suggests that greater BMI and WHtR predict adverse  
4 retinal microvascular parameters, a recognized early marker of later CVD.<sup>38</sup> Adverse  
5 microvascular parameters are predicted by BMI from age four years onwards and strengthen  
6 across the life course. Even though effects were relatively modest, at the population level  
7 they may have clinical implications. Data from 16 community-based studies estimated that  
8 the natural change in arteriolar caliber, without considering BMI, was estimated to be -0.02  
9  $\mu\text{m}$  per decade.<sup>24</sup> Taken together with our current findings, high or rising BMI appears to  
10 accelerate adverse changes in microvascular parameters. For example, if a child's BMI z-  
11 score increased by two SD units (ie, moved from normal into the obese range) at age 6-7  
12 years, we estimate that his or her arteriolar caliber would be 1.7  $\mu\text{m}$  narrower than the  
13 average. The Cardiovascular Risk in Young Finns Study reported that the improved ideal  
14 cardiovascular health from childhood to adulthood was significantly associated with wider  
15 arteriolar caliber in adulthood.<sup>40</sup> Thus, our estimated the effect of BMI on arteriolar calibers  
16 may translate into substantial effects on future cardiovascular health. Our findings emphasize  
17 the importance of tackling obesity from early childhood, where its adverse effects are more  
18 likely to be reversible.<sup>41,42</sup>

19 How increasing levels of adiposity may contribute to microvascular variations is still unclear.  
20 Some studies suggest that variation of arteriolar and venular caliber may be determined by  
21 different risk factors.<sup>43-45</sup> For example, elevated blood pressure has been found to have  
22 stronger associations with arteriolar narrowing,<sup>26,46</sup> while inflammation markers have been  
23 more consistently associated with venular dilatation.<sup>13</sup> Further research is needed to elucidate



1 the potential mechanisms by which adiposity adversely affects microvascular parameters.  
2 Prompt intervention in these pathways may prevent future microvascular disease.

### 3 **5. Conclusion**

4 Higher BMI and WHtR from 4-5 years, and less favorable decade-long trajectories,  
5 consistently predicted poorer retinal arteriolar caliber at 11-12 years. Similar results were  
6 observed in mid-life adults with stronger effects. There was little evidence of relationships  
7 with venular caliber, which may appear later and have closer relationships with central  
8 adiposity. Our findings suggest that greater adiposity may be a driver of poor microvascular  
9 parameters across the life course, but the underlying mechanisms of this relationship warrant  
10 further investigation to guide interventions.

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## Figure legends

**Figure 1.** Retinal images of a child with normal weight and a child with obesity on the grading platform of IVAN software

Blue (venule) and red (arteriole) marks are identified via IVAN software. For each participant, a segment of each vessel within the specific area (one-half to one disc diameter from the margin of the optic disc) was selected by the grader for measurement; the IVAN software then estimates summary values for the average retinal vascular caliber according to the Big-Six (revised Knudston-Parr) formula, which combines measurements of the six largest arterioles or venules.

**Figure 2.** Trajectories of body mass index and waist-to-height ratio in children and adults

**Figure 3.** Flowchart of Longitudinal Study of Australian Children and Child Health CheckPoint

**Figure 4.** Associations of decade-long body mass index and waist-to-height ratio trajectories with retinal vascular caliber

Symbols in circles, diamonds and triangles represent adjusted standardized mean difference of outcomes according to body mass index z-score and waist-to-height ratio trajectories in children and body mass index trajectories in adults respectively. Horizontal bars indicate 95% confidence intervals of standardized mean difference; dash and solid bars represent results from children and adults respectively. Ref: reference group

**Table 1.** Characteristics of analytic samples (ie participants with retinal images in CheckPoint)

Characteristics	Children	Adults
	Means (SD <sup>a</sup> ) or %	Means (SD <sup>a</sup> ) or %
<b>Demographics</b>		
Age (years)	11.4 (0.5)	43.8 (5.1)
Gender (% female)	50.9	86.6
Birth weight (kg)	3.45 (0.55)	
Family socioeconomic position (wave 6)		0.3 (0.1)
<b>Exposures collected in LSAC</b>		
BMI (z-score <sup>b</sup> for children; kg/m <sup>2</sup> for adults)		
Wave 1 (child 0-1y)	-	25.2 (4.8)
Wave 2 (child 2-3ys)	0.51 (1.06)	25.0 (4.7)
Wave 3 (child 4-5ys)	0.51 (1.07)	26.0 (5.0)
Wave 4 (child 6-7ys)	0.33 (0.93)	26.0 (5.3)
Wave 5 (child 8-9ys)	0.27 (1.02)	26.5 (5.8)
Wave 6 (child 10-11ys)	0.24 (0.97)	26.9 (6.0)
Waist-to-height ratio		
Wave 1 (child 0-1y)	-	
Wave 2 (child 2-3ys)	0.53 (0.04)	
Wave 3 (child 4-5ys)	0.49 (0.03)	
Wave 4 (child 6-7ys)	0.46 (0.04)	
Wave 5 (child 8-9ys)	0.45 (0.05)	
Wave 6 (child 10-11ys)	0.45 (0.05)	
<b>Outcomes collected in CheckPoint</b>		
Retinal arteriolar caliber (µm)	159.1 (11.9)	151.0 (14.0)
Retinal venular caliber (µm)	230.7 (16.6)	218.9 (18.5)

a. Standard deviation; b. Body mass index was transformed to z-score with Centers for Disease Control and Prevention (US)-growth charts. CheckPoint, Longitudinal Study of Australian Children (LSAC)'s biophysical assessment module.

**Table 2.** Associations of body mass index and waist-to-height ratio at multiple time points over the past decade with retinal vascular caliber in children and adults; model estimates adjusting for age, sex and socioeconomic position

Adiposity marker by study wave	Children age from 2-3 to 11-12 years					Adults mean age from 33 to 44 years			
	Retinal arteriolar caliber		Retinal venular caliber			Retinal arteriolar caliber		Retinal venular caliber	
	Standardized mean difference (95% CI)		Standardized mean difference (95% CI)			Standardized mean difference (95% CI)		Standardized mean difference (95% CI)	
<b>Body mass index (z-score<sup>a</sup> for children)</b>									
Wave 1 (child 0-1y)									
Wave 2 (child 2-3ys)	-0.03 (-0.09, 0.02)	0.21	0.02 (-0.03, 0.08)	0.35	-0.12 (-0.18, -0.05)	<0.001	-0.01 (-0.07, 0.05)	0.69	
Wave 3 (child 4-5ys)	-0.05 (-0.10, 0.01)	0.07	0.02 (-0.03, 0.07)	0.42	-0.11 (-0.17, -0.04)	0.001	0.03 (-0.03, 0.10)	0.28	
Wave 4 (child 6-7ys)	-0.07 (-0.13, -0.02)	0.01	0.03 (-0.03, 0.09)	0.31	-0.13 (-0.19, -0.07)	<0.001	-0.01 (-0.07, 0.05)	0.73	
Wave 5 (child 8-9ys)	-0.06 (-0.11, -0.01)	0.03	0.05 (-0.01, 0.10)	0.09	-0.13 (-0.19, -0.07)	<0.001	0.01 (-0.05, 0.07)	0.73	
Wave 6 (child 10-11ys)	-0.10 (-0.16, -0.05)	<0.001	0.04 (-0.02, 0.09)	0.22	-0.11 (-0.16, -0.05)	<0.001	0.04 (-0.01, 0.10)	0.14	
<b>Waist-to-height ratio</b>									
Wave 1 (child 0-1y)									
Wave 2 (child 2-3ys)	-0.03 (-0.09, 0.03)	0.34	0.02 (-0.03, 0.08)	0.42					
Wave 3 (child 4-5ys)	-0.10 (-0.16, -0.04)	<0.01	0.02 (-0.04, 0.07)	0.60					
Wave 4 (child 6-7ys)	-0.07 (-0.13, -0.01)	0.02	0.03 (-0.03, 0.09)	0.39					
Wave 5 (child 8-9ys)	-0.07 (-0.13, -0.01)	0.02	0.07 (0.01, 0.13)	0.03					
Wave 6 (child 10-11ys)	-0.08 (-0.14, -0.01)	0.02	0.08 (0.02, 0.14)	0.01					

a. Body mass index was transformed to z-score with widely used Centers for Disease Control and Prevention (US)-growth charts. The SDs for retinal arteriolar and venular caliber are 11.92, 16.56  $\mu\text{m}$  for children, 14.01 and 18.53  $\mu\text{m}$  for adults respectively.

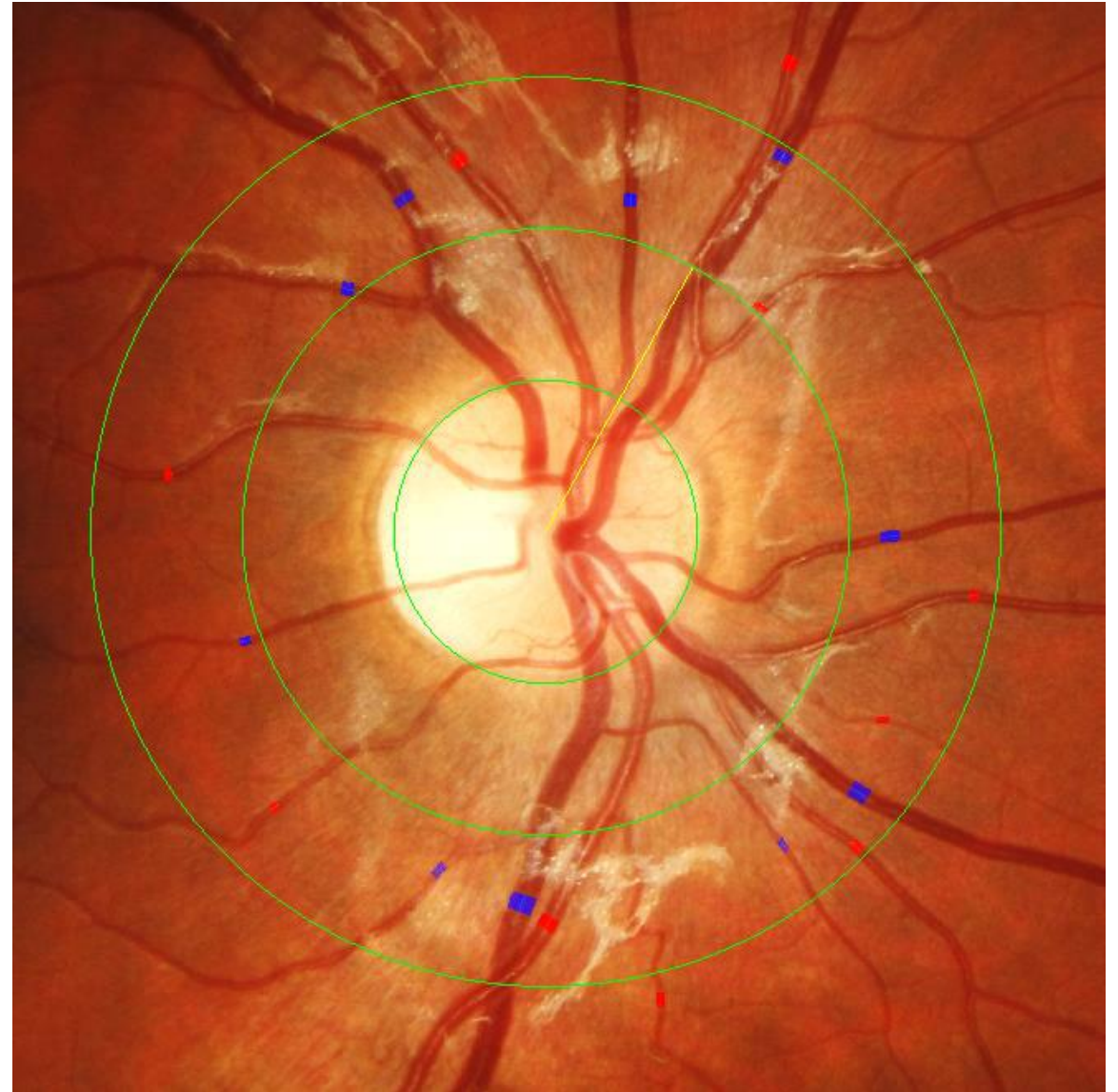
**Figure 1.** Retinal images of a child with normal weight and a child with obesity on the grading platform of IVAN software

Child with normal weight (BMI z-score <85<sup>th</sup> percentile)



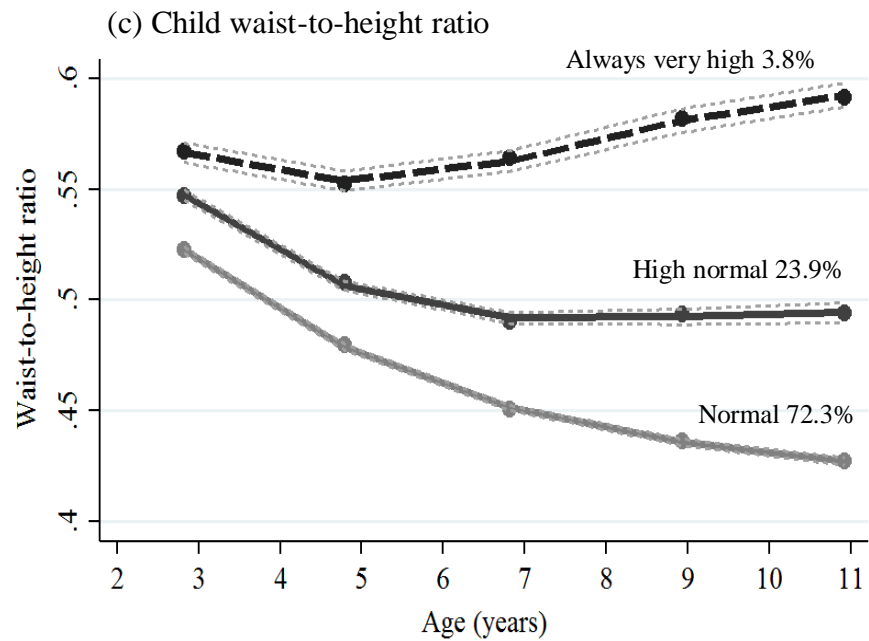
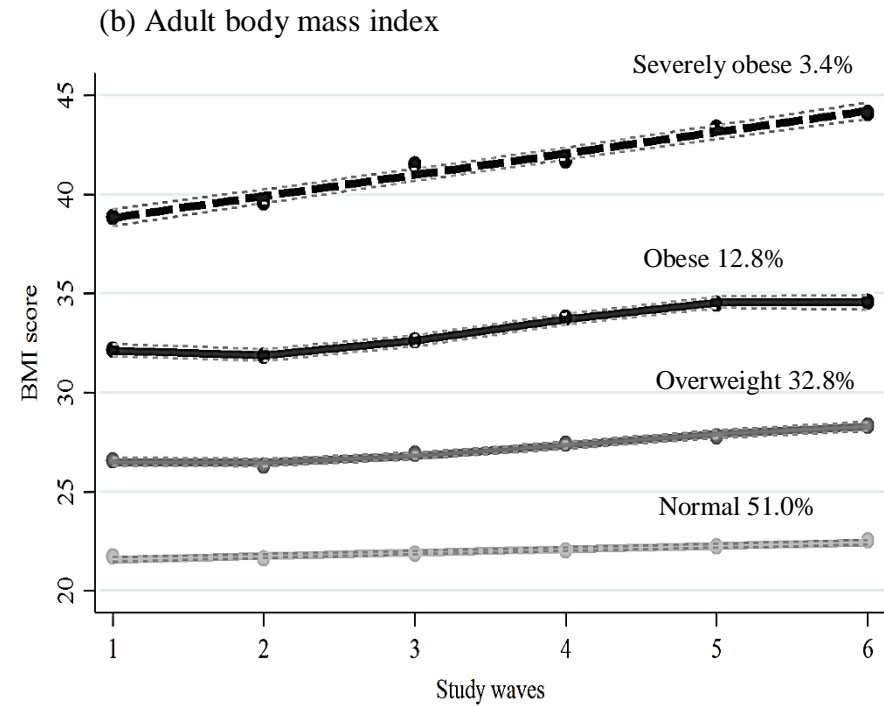
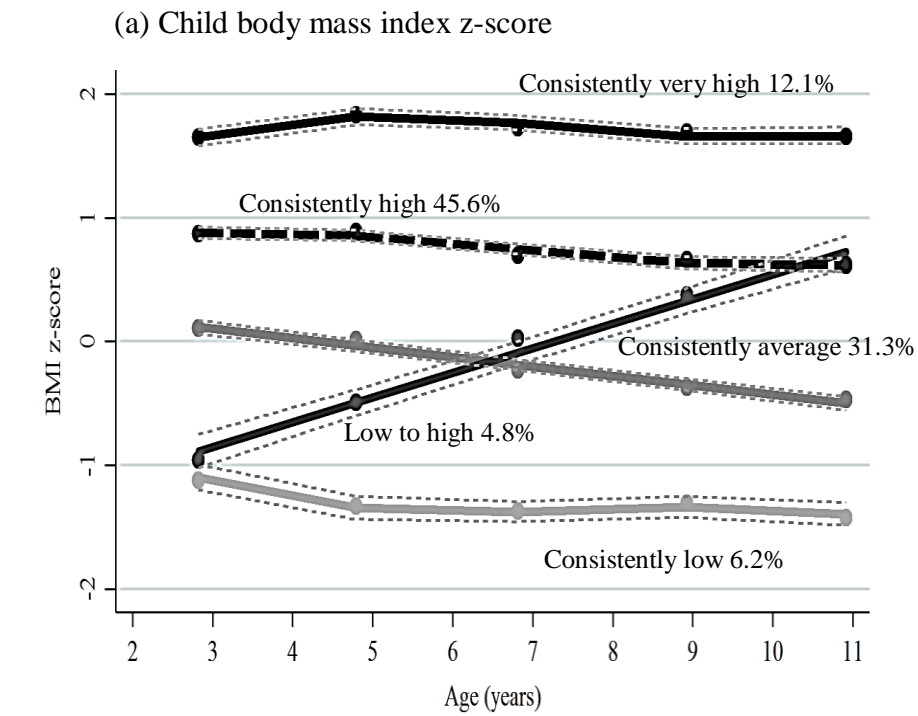
Retinal arteriolar caliber = 163.2  $\mu\text{m}$   
Retinal venular caliber = 202.8  $\mu\text{m}$

Child with obesity (BMI z-score >95<sup>th</sup> percentile)

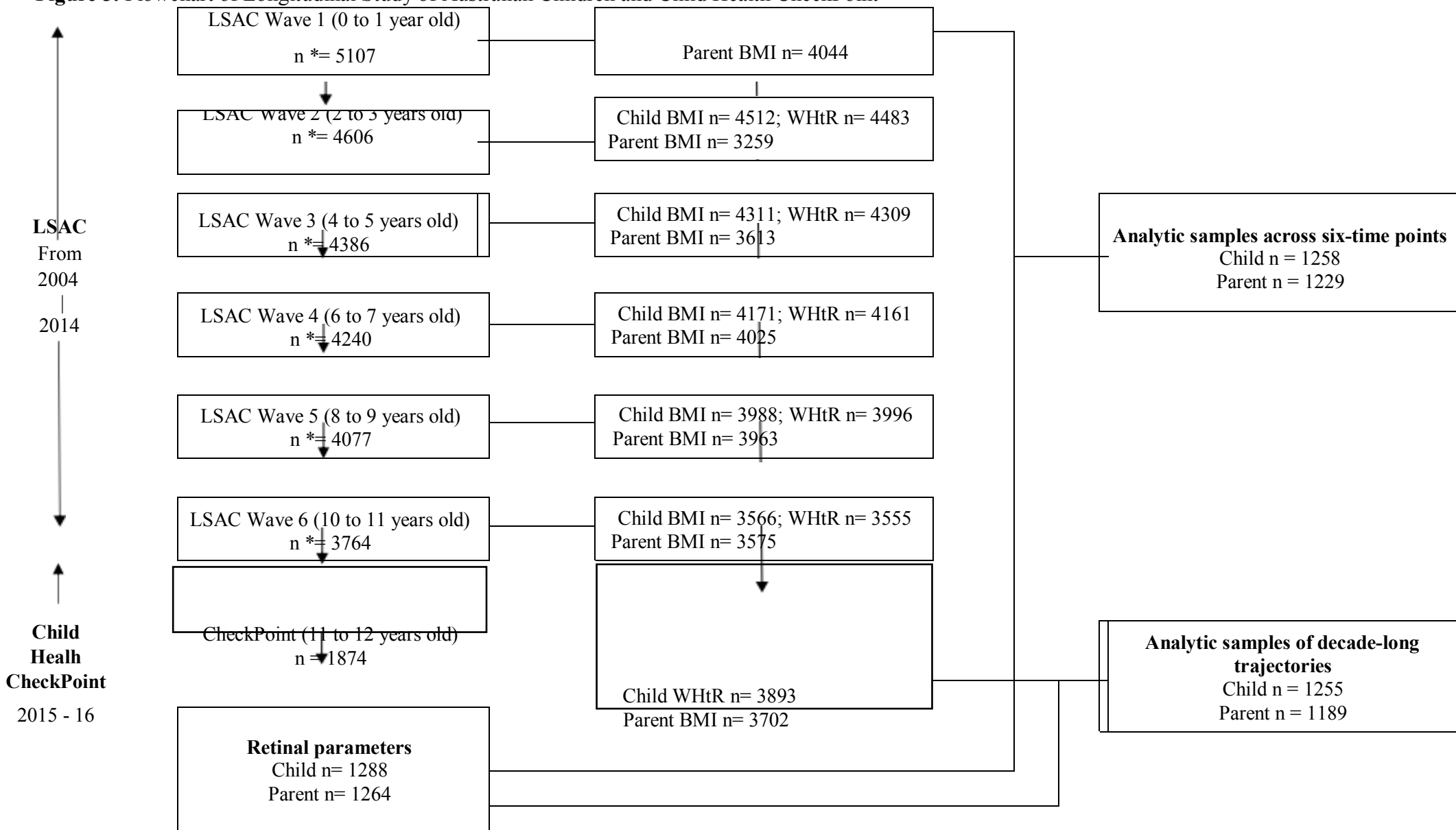


Retinal arteriolar caliber = 155.5  $\mu\text{m}$   
Retinal venular caliber = 232.3  $\mu\text{m}$

**Figure 2.** Trajectories of body mass index and waist-to-height ratio in children and adults

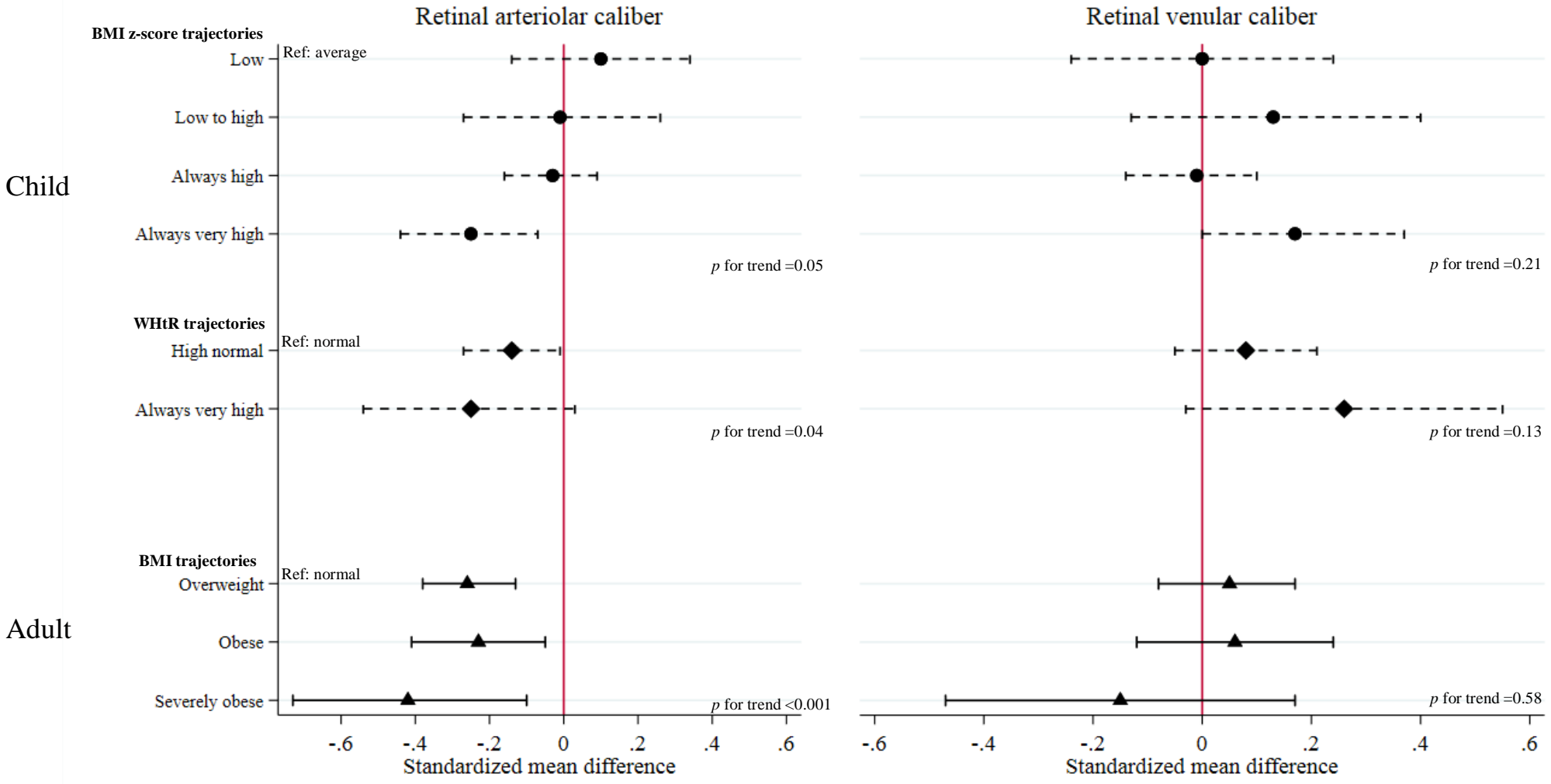


**Figure 3.** Flowchart of Longitudinal Study of Australian Children and Child Health CheckPoint



LSAC, Longitudinal Study of Australian Children; BMI, body mass index; WHtR, waist-to-height ratio; \*number of responses

**Figure 4.** Associations of decade-long body mass index and waist-to-height ratio trajectories with retinal vascular caliber



(a)

(b)