

1 **Phenotyping of multiple sclerosis lesions according to innate**  
2 **immune cell activation using TSPO-PET**

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19 Running title: MS lesion phenotyping with TSPO-PET

## 1 ABSTRACT

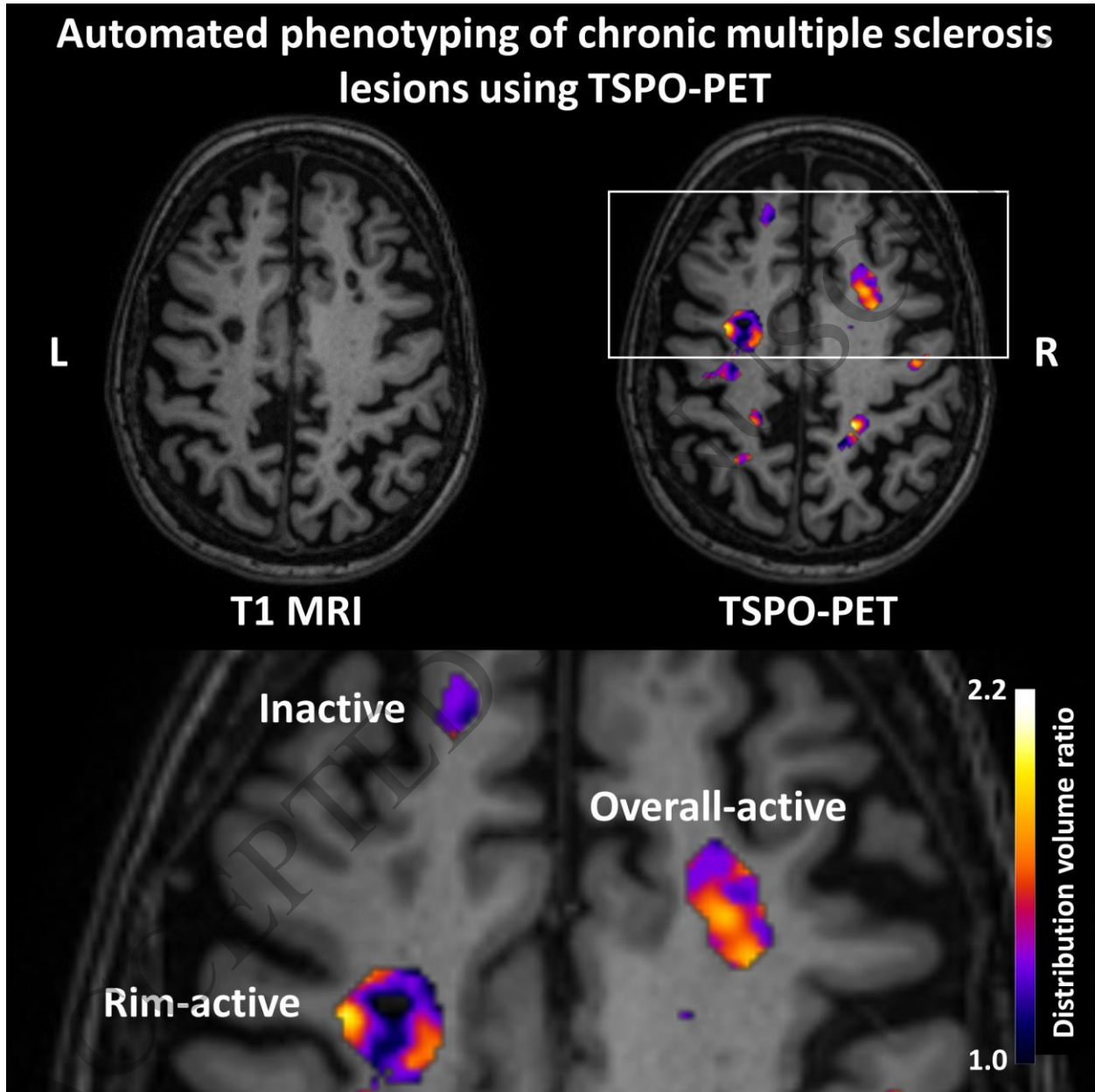
2 Chronic active lesions are promoters of neurodegeneration and disease progression in multiple  
3 sclerosis. They harbour a dense rim of activated innate immune cells at the lesion edge, which  
4 promote lesion growth and thereby induce damage. Conventional MRI is of limited help in  
5 identifying the chronic active lesions, so alternative imaging modalities are needed. Objectives  
6 were to develop a PET-based automated analysis method for phenotyping of chronic lesions  
7 based on lesion-associated innate immune cell activation and to comprehensively evaluate the  
8 prevalence of these lesions in the various clinical subtypes of multiple sclerosis, and their  
9 association with disability.

10 In this work we use TSPO-PET-imaging for phenotyping chronic multiple sclerosis lesions at  
11 large scale. For this, we identified 1510 white matter T1-hypointense lesions from 91 multiple  
12 sclerosis patients [67 relapsing-remitting, 24 secondary progressive]. Innate immune cell  
13 activation at the lesion rim was measured using PET-imaging and the TSPO-binding radioligand  
14  $^{11}\text{C}$ -PK11195. A T1-hypointense lesion was classified as rim-active if the distribution volume  
15 ratio of  $^{11}\text{C}$ -PK11195-binding was low in the plaque core and considerably higher at the plaque  
16 edge. If no significant ligand-binding was observed, the lesion was classified as inactive. Plaques  
17 that had considerable ligand-binding both in the core and at the rim were classified as overall-  
18 active. Conventional MRI and disability assessment using Expanded Disability Status Scale were  
19 performed at the time of PET-imaging. In the secondary progressive cohort, an average of 19 %  
20 (median, interquartile range 11-26) of T1 lesions were rim-active in each individual patient,  
21 compared to 10 % (interquartile range 0-20) among relapsing remitting patients ( $P = 0.009$ ).  
22 Secondary progressive patients had a median of 3 (range 0-11) rim-active lesions, vs. 1 (range 0-  
23 18) among relapsing remitting patients ( $P = 0.029$ ). Among those patients who had rim-active  
24 lesions ( $n = 63$ ) the average number of active voxels at the rim was higher among secondary  
25 progressive compared to relapsing remitting patients (median 158 versus 74;  $P = 0.022$ ). The  
26 number of active voxels at the rim correlated significantly with Expanded Disability Status Scale  
27 ( $R=0.43$ ,  $P < 0.001$ ), and the volume of the rim-active lesions similarly correlated with Expanded  
28 Disability Status Scale ( $R=0.45$ ,  $P < 0.001$ ).

29 Our study is the first to report *in vivo* phenotyping of chronic lesions at large scale, based on  
30 TSPO-PET. Patients with higher disability displayed a higher proportion of rim-active lesions.

1 The *in vivo* lesion phenotyping methodology offers a new tool for individual assessment of  
2 smouldering (rim-active) lesion burden.

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8 **Keywords:** PET-imaging; TSPO; Multiple sclerosis; Innate immune cell; White matter lesions

1 **Abbreviations:**

2 BP<sub>ND</sub> = Binding potential, cMRI = conventional MRI, DVR = distribution volume ratio, EDSS =  
3 expanded disability status scale, HC = healthy control, HRRT = High-Resolution Research  
4 Tomograph, IQR = Interquartile range, LST = Lesion Segmentation Tool, MNI = Montreal  
5 Neurological Institute database, MSSS = Multiple Sclerosis Severity Score, MS = multiple  
6 sclerosis, NAWM = normal appearing white matter, ROI = Region of interest, RRMS =  
7 relapsing remitting multiple sclerosis, SD = standard deviation, SPMS = secondary progressive  
8 multiple sclerosis, TSPO = translocator protein

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ACCEPTED MANUSCRIPT

# 1 INTRODUCTION

2 Despite appropriate use of disease modifying therapies, the majority of relapsing-remitting  
3 multiple sclerosis (RRMS) patients proceed to secondary progressive disease course  
4 characterized by gradual accumulation of disability and paucity of relapses.<sup>1</sup> In secondary  
5 progressive multiple sclerosis (SPMS), immune cell trafficking from the periphery is reduced  
6 and neuropathological studies reveal chronic and compartmentalized activation of the innate  
7 immune system within the CNS behind an intact blood-brain-barrier, and fewer active focal  
8 lesions.<sup>2</sup> In clinical imaging, this translates to fewer MRI-detectable acute gadolinium-enhancing  
9 plaques and more abundant chronic T1 hypointense lesions. In secondary progressive multiple  
10 sclerosis, the predominant lesion type in neuropathological studies is a chronic lesion. These  
11 typically have an acellular lesion core, and lesion edge not containing (inactive) or containing  
12 activated microglial cells and macrophages (chronic active i.e. smouldering plaques).<sup>3,4</sup> The  
13 smouldering lesions are frequently associated with signs of axonal damage and demyelination.<sup>5</sup>  
14 The smouldering lesion-associated innate immune cells have a proinflammatory phenotype and  
15 increased iron-uptake,<sup>6</sup> which makes them visible *in vivo* by MR sequences sensitive to tissue  
16 susceptibility.<sup>7</sup> Clinical imaging studies have recently identified the iron rim lesions as a marker  
17 of poor prognosis, with associated lesion growth and more rapid clinical disease progression.<sup>8-10</sup>  
18 Depending on the study, the iron rim lesion fraction has varied between 0 -75 % per patient.<sup>8,9,11-</sup>  
19 <sup>13</sup>  
20  
21 PET-imaging using radioligands binding to the 18-kD translocator protein (TSPO) has similarly  
22 been used to specifically quantitate innate immune cell activation *in vivo*, and increased PET-  
23 detectable TSPO-expression in lesions with paramagnetic rims has been demonstrated.<sup>13</sup> TSPO-  
24 PET signal is stronger both in the normal appearing white matter (NAWM) and in the  
25 perilesional area of SPMS patients compared to RRMS patients and controls<sup>14-19</sup> and upon  
26 longitudinal follow-up, an increase in TSPO-binding in the NAWM was observed in an untreated  
27 group of multiple sclerosis patients studied using PET.<sup>20</sup> In lesional areas of multiple sclerosis  
28 brain, gadolinium-enhancing lesions have strong TSPO-ligand accumulation,<sup>21</sup> whereas non-  
29 enhancing T2 lesions have more variable TSPO-binding patterns both within the lesion and in  
30 the perilesional area.<sup>16,22</sup> There is yet no comprehensive *in vivo* PET-based analysis of innate

1 immune cell activity at the chronic lesion rim. It would be advantageous to be able to define the  
2 frequency of smouldering lesions at different stages of the disease, to better understand their  
3 impact on disease progression, to shed light to the risk of progression and disability accrual, and  
4 to be able to measure more accurately the impact the innate immune system-modifying therapies  
5 within the CNS *in vivo*.

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7 The aim of this study was to develop an automated TSPO-PET analysis method for  
8 comprehensive phenotyping of individual chronic lesions based on their microglial activation  
9 status *in vivo*. Using this method we determined the proportions of different lesion types in  
10 various multiple sclerosis cohorts, the prevalence of chronic active lesions at individual patient  
11 level, and demonstrated that a higher proportion of lesions with innate immune cell activity at the  
12 lesion rim was associated with increased clinical disease severity.

## 13 14 **MATERIALS AND METHODS**

### 15 **Study subjects**

16 A total of 91 multiple sclerosis patients were imaged. Of them 67 had RRMS and 24 had SPMS.  
17 For comparison, 18 age- and sex-matched healthy control persons were included. The patients  
18 were recruited from the outpatient clinic of the Division of Clinical Neurosciences at the  
19 University Hospital of Turku, Finland. The requirement for inclusion were willingness to  
20 participate in a PET study and multiple sclerosis diagnosis according to McDonald criteria  
21 2017.<sup>23</sup> All participants provided written informed consent and the study was conducted  
22 according to the Declaration of Helsinki, with approval by the Ethics Committee of the Hospital  
23 District of Southwest Finland.

24  
25 Clinical relapse and/or corticosteroid treatment within 30 days of evaluation, and gadolinium  
26 contrast enhancement in conventional MRI (cMRI) were considered as exclusion criteria in order  
27 to avoid confounding effects of acute inflammation on the innate immune cell activation and  
28 chronic lesion characterization. Exclusion criteria also included inability to tolerate PET or  
29 cMRI, current pregnancy, active neurological or autoimmune disease other than multiple  
30 sclerosis or another comorbidity considered significant. The disease severity was evaluated by

1 experienced clinicians using Expanded Disability Status Scale (EDSS) score and a standardized  
2 examination form, the Neurostatus (neurostatus.net).

### 3 4 **MRI acquisition, MRI data analysis, and creation of individual lesion core and rim** 5 **ROIs**

6 cMRI with a 3-T Ingenuity TF PET/MR scanner (Philips) was performed for the evaluation of  
7 multiple sclerosis pathology and for the acquisition of anatomic reference for the PET images.  
8 cMRI sequences were as previously described.<sup>24</sup> A semi-automated method was used first to  
9 create combined T2 lesion region of interest (ROI) mask image using the Lesion Segmentation  
10 Tool (LST, [www.statistical-modelling.de/lst.html](http://www.statistical-modelling.de/lst.html), a toolbox running in SPM8)<sup>25</sup> and Carimas  
11 (<https://turkupetcentre.fi/carimas/>) for manual editing as described previously.<sup>19</sup> A combined T1  
12 lesion ROI mask image was manually shaped slice by slice. The resulting T1 lesion ROI mask  
13 image was used to fill the corresponding T1 image with the lesion-filling tool in LST. The filled  
14 T1 was then used for segmenting grey matter, white matter and thalamus with Freesurfer 5.3  
15 software (<http://surfer.nmr.mgh.harvard.edu/>). Total T1 lesion load was measured from the  
16 manually edited T1 ROI masks. Individual T1 lesion core ROI masks were created by separation  
17 of individual lesions from the combined T1 lesion masks. Lesions  $\leq 27 \text{ mm}^3$  in size were  
18 excluded to avoid inclusion of unspecific T1 hypointensities. This resulted with a total of 1857  
19 T1 lesions (Fig. 1A).

20  
21 The 2 mm lesion rim ROI was created by dilating the T1 lesion core ROI by two voxels from the  
22 lesion edge, and then removing the lesion core ROI (Fig. 1A). This resulted with a lesion rim  
23 ROI of width of two voxels extending out from the T1 lesion edge. The 3 mm perilesional ROI  
24 was created similarly by dilating the T1 lesion core ROI mask image by three voxels from the T1  
25 lesion edge and then removing the lesion core ROI from the resulting image. In addition,  
26 NAWM ROI was created by removing the combined T2 lesion ROI from the white matter ROI.  
27 Of the 1857 T1 hypointensities larger than  $27 \text{ mm}^3$  size, 347 were discarded (those with less than  
28 75 % of the lesion volume in the white matter, those with  $\leq 27 \text{ mm}^3$  of the rim in the white  
29 matter and lesions in the cerebellum and brain stem). This resulted with 1510 lesions for the final  
30 evaluation (Fig. 1A). The pre-selection of lesions according to location was done to ensure good

1 quality PET analysis, given that the High-Resolution Research Tomograph (HRRT) PET scanner  
2 resolution is 2.5 mm, and to avoid any potential disturbance related to infratentorial artefacts.

#### 4 **PET image acquisition**

5 The radiochemical synthesis of  $^{11}\text{C}$ -PK11195 has been previously described by Rissanen et al.  
6 2018.<sup>19</sup> The mean injected dose was  $476 \pm 52$  MBq (mean  $\pm$  standard deviation, SD) for the  
7 multiple sclerosis patient group and  $490 \pm 16$  MBq for the healthy control group with no  
8 significant dose differences between the groups. PET scan was performed using a brain-  
9 dedicated ECAT HRRT scanner (CTI/Siemens) with an intrinsic spatial resolution of 2.5 mm.  
10 Sixty minute dynamic PET scan was started simultaneously with intravenous bolus injection of  
11 the  $^{11}\text{C}$ -PK11195 radioligand. Prior the ligand infusion, a 6-min transmission scan for attenuation  
12 correction was obtained using a  $^{137}\text{Cs}$  point source. Thermoplastic head mask was used to  
13 minimize the movement.

#### 15 **PET image post-processing and analysis**

16 PET images were reconstructed using 17 time frames as described previously.<sup>19</sup> The  
17 reconstructed PET images were smoothed using a Gaussian 2.5-mm post-reconstruction  
18 filter.<sup>16,19</sup> Possible displacements between frames were corrected using mutual information  
19 realignment in SPM8. Finally PET images were coregistered to T1 MRI and resampled to match  
20 the MRI voxel size  $1 \times 1 \times 1 \text{ mm}^3$ . Innate immune cell activation was evaluated as specific  
21 binding of  $^{11}\text{C}$ -PK11195 using distribution volume ratio (DVR) in pre-specified ROIs. For the  
22 estimation of the  $^{11}\text{C}$ -PK11195 DVR, the time–activity curve corresponding to a reference region  
23 devoid of specific TSPO-binding was acquired for each PET session using a supervised cluster  
24 algorithm with four predefined kinetic tissue classes (SuperPK software).<sup>26,27</sup> The reference  
25 tissue–input Logan method with a time interval from 20 to 60 min, was applied to the regional  
26 time–activity curves using the supervised cluster algorithm grey reference input. For the  
27 individual lesion DVR analysis the voxel-wise parametric binding potential ( $\text{BP}_{\text{ND}}$ ) maps were  
28 calculated using basis function implementation of SRTM14 with 250 basis functions. Lower and  
29 upper bounds for theta were set to 0.06 1/min and 0.8 1/min. The resulting parametric maps were



1 normalized to MNI space (Montreal Neurological Institute database) in SPM8 and the  $BP_{ND}$   
2 images were transformed to DVR ( $DVR = BP_{ND} + 1$ ).

3

#### 4 **Individual lesion evaluation for innate immune cell activation**

5 The mean  $DVR \pm SD$  of all white matter voxels was calculated from all individual DVR images  
6 of healthy control subjects (HC;  $n = 18$ ). Thereafter, 95% confidence interval threshold (HC  
7 mean +  $1.96*SD$ ) was used to describe anomalously high voxel activity in lesion phenotyping.  
8 Consequently, for each subject, binary TSPO-active voxels were characterized from the DVR  
9 images exceeding this threshold (DVR value of 1.56 in our data). Clusters below three connected  
10 voxels were excluded in the TSPO-activity image for preventing the inclusion of random peak  
11 values.

12

13 The proportion of the active voxels in the lesion core ROI and at the rim ROI was used to  
14 classify lesions into three subtypes. 1) Rim-active lesion: lesions with less than 5 % active voxels  
15 in the core and at least 5 %-point higher proportion of active voxels at the rim compared to the  
16 core and lesions which have 5 - 20 % active voxels in the core and at the same time at least  
17 double the proportion of active voxels at the rim 2) inactive lesions: lesions with no active voxels  
18 at the rim or in the core 3) overall-active lesions: lesions which do not fit into the other two  
19 categories (Fig. 1A-B).

20

#### 21 **Statistical analysis**

22 The statistical analyses were performed using R (version 4.0.3). Variables are reported as median  
23 (interquartile range, IQR) unless otherwise stated. Wilcoxon rank-sum test was used to assess the  
24 differences in DVRs, counts, proportions, volumes, and volume proportions between the two  
25 different groups. Spearman correlation coefficients were calculated in order to evaluate the  
26 relationships between the continuous variables. Fisher's exact test was used to compare the  
27 gender distributions between two groups and to compare distributions of plaque types in  
28 different group variables. EDSS was used to classify patients into two groups:  $< 4$  and  $\geq 4$ . The  
29 relationships between the volume of the rim-active lesions and EDSS and brain volume were  
30 modelled using multiple linear regression with EDSS and brain volume as outcome variables.

1 Multiple linear regression model was used to assess contribution of rim-active lesion load to  
2 brain volume and EDSS. Gender, age, therapy, disease duration and rim-active lesion volume  
3 were used as predictive variables in all regression models. Disease modifying therapy at the time  
4 of PET scanning or at most 2 months before were categorized into two classes.<sup>28</sup> Rim-active  
5 lesion volume was included as its logarithm to make the models valid considering the  
6 assumptions of the multiple linear regression. All tests were two-tailed and a *P*-value less than  
7 0.05 was considered statistically significant for all analyses.

### 8 9 **Data availability**

10 Anonymised data not published within the article will be shared over the next 3 years upon  
11 request from a qualified investigator.

## 12 13 **RESULTS**

14 The clinical demographic and radiographic data are given in Table 1. Of the 91 patients included,  
15 67 (74 %) had RRMS and they were younger, had shorter disease duration and lower EDSS and  
16 Multiple Sclerosis Severity Score (MSSS) compared to those with secondary progressive disease  
17 ( $n = 24$ , 26 %). The mean age of all patients was  $44.9 \pm 9.7$  years (mean  $\pm$  SD) and their disease  
18 duration was  $12.5 (\pm 7.7)$  years. Their median EDSS was 3.0 (IQR 2.0 - 3.5) and median MSSS  
19 was 3.9 (2.39 - 5.20). In addition to disease type comparison, patients were divided into two  
20 groups based on their EDSS. Those with EDSS 4 or higher ( $n = 22$ , 24 %) were older and had  
21 longer disease duration compared to those with EDSS  $< 4$  ( $n = 69$ , 76 %).

### 22 23 **Brain <sup>11</sup>C-PK11195 binding in multiple sclerosis patients and in healthy controls**

24 <sup>11</sup>C-PK11195 radioligand binding in the white matter of healthy controls was significantly lower  
25 ( $1.19 \pm 0.04$ ) compared to the NAWM of all multiple sclerosis patients ( $1.22 \pm 0.05$ ;  $P = 0.014$ )  
26 or SPMS patients separately ( $1.26 \pm 0.06$ ;  $P < 0.001$ ). The innate immune cell activation was  
27 higher in SPMS patients compared to RRMS patients both in the NAWM ( $1.26 \pm 0.06$  vs.  $1.21 \pm$   
28  $0.05$ ,  $P < 0.001$ ) and in the 0-3 mm perilesional area surrounding lesions ( $1.23 \pm 0.07$  vs.  $1.19 \pm$

1 0.08,  $P = 0.024$ ). The DVR values within the combined T1 lesion ROI or at the combined T1 rim  
2 ROI were similar among the disease subtypes (Fig. 2).

### 3 4 **Association of brain volumetric parameters and innate immune cell activation with** 5 **clinical disability**

6 Smaller whole brain and NAWM volume and higher T1 lesion load were significantly associated  
7 with higher clinical disability measured using EDSS (Fig. 3A-C). Higher DVR in the NAWM  
8 associated with both higher EDSS ( $R = 0.41$ ,  $P < 0.001$ ) and MSSS ( $R = 0.28$ ,  $P = 0.0083$ ; Fig.  
9 3D-E). Higher DVR value in the 0-3 mm perilesional area correlated with EDSS ( $R = 0.21$ ,  $P =$   
10  $0.044$ ; Fig. 3F) but no correlations were observed between the combined T1 rim ROI or the  
11 combined T1 lesion ROI DVRs and EDSS values (Fig. 3G-H).

### 12 13 **Distribution of lesions according to innate immune cell activation at rim**

14 Of the 1510 lesions in the final analysis, 246 (16 %) were rim-active lesions with a total lesion  
15 load of  $96 \text{ cm}^3$  and 493 (33 %) were inactive lesions,  $43 \text{ cm}^3$ . Overall-active lesions ( $n = 771$ , 51  
16 %) that do not fit into the other two categories had the largest total lesion load of  $371 \text{ cm}^3$  (Fig.  
17 1A). The average ( $\pm$ SD) number of rim-active lesions per patient was  $2.7 \pm 3.3$  [median 2, IQR 0  
18 - 4, range 0 - 18]. 28 patients (31 %) did not have rim-active lesions. The average number of  
19 inactive lesions was  $5.4 \pm 4.0$  [median 5, IQR 2.5 - 7.5, range 0-22]. Six patients (7 %) had no  
20 inactive lesions (Table 2). Nearly all patients (96 %) had overall-active lesions (Table 2). The  
21 average number of overall-active lesions per patients was  $8.5 \pm 7.7$  [median 6, IQR 3-11.5, range  
22 0-34].

### 23 24 **Distribution of lesion phenotypes according to clinical patient profile**

25 Among SPMS patients the number of rim-active lesions (median 3, IQR 1-4, range 0-11) was  
26 higher compared to RRMS patients (median, 1 IQR 0 - 3, range 0 - 18,  $P = 0.029$ ; Table 2). In an  
27 individual SPMS patient 19 % of T1 lesions were rim-active, 27 % were inactive and 51 % were  
28 overall-active. In RRMS 10 % of lesions were rim-active, 40 % were inactive and 47 % were  
29 overall-active. The fractions of rim-active and inactive lesions between SPMS and RRMS were

1 statistically significantly different ( $P = 0.009$  and  $P = 0.029$ , respectively; Table 2). The total T1  
2 lesion load per patient was significantly higher in SPMS [7.20 cm<sup>3</sup> (4.04 - 16.0)] compared to  
3 RRMS [1.77 cm<sup>3</sup> (0.77-3.29),  $P < 0.001$ ]. Similarly, the average volume of rim-active lesions per  
4 patient was larger among SPMS patients [0.41 cm<sup>3</sup> (0.15-3.18)] compared to relapsing-remitting  
5 [0.06 cm<sup>3</sup> (0 - 0.25),  $P < 0.001$ ]. Of the total lesion volume among SPMS patients, 13 %  
6 belonged to rim-active lesion fraction and 4.9 % belonged to inactive lesion fraction, whereas in  
7 relapsing-remitting patients the corresponding proportions were 2.4 % and 23 %, respectively ( $P$   
8 = 0.001 and  $P = 0.002$ ; Table 2). There were no differences in the volume percentages of overall-  
9 active lesions between the multiple sclerosis subgroups. Similar results were obtained when the  
10 patients were subdivided based on EDSS (EDSS  $\geq 4$  or  $< 4$ , Table 2).

11  
12 The frequencies of the different plaque types were statistically significantly different between  
13 patients with EDSS  $\geq 4$  and EDSS  $< 4$  when evaluated using the Fisher's exact test ( $P < 0.001$ ;  
14 Fig.4A). In the patient cohort with EDSS  $\geq 4$  the fraction of rim-active lesions was higher (56 %)  
15 and fraction of inactive lesions was lower (24 %) compared to patients with EDSS  $< 4$  (49 % and  
16 37 %, respectively). Similar trend was observed between SPMS and RRMS, but statistical  
17 significance was not reached (Fig. 4B). Distributions of volume percentages across the lesion  
18 subtypes were different both in EDSS  $\geq 4$  vs. EDSS  $< 4$  and SPMS vs. RRMS ( $P < 0.001$ , Fig.  
19 4C-D).

### 21 **Correlation of rim-active lesion load with clinical disability and brain atrophy**

22 Higher rim-active lesion volume correlated with higher EDSS ( $R = 0.45$ ,  $P < 0.001$ ; Fig. 5A) and  
23 with lower brain volume ( $R = -0.26$ ,  $P = 0.041$ ; Fig. 5B) among the 63 individuals who had rim-  
24 active lesions. In the entire cohort, higher number of rim-active lesions correlated with higher  
25 EDSS ( $R = 0.31$ ,  $P = 0.003$ , data not shown). The rim-active lesion associations with higher  
26 clinical disability ( $P < 0.001$ ) and brain atrophy ( $P = 0.043$ ) remain significant when taking into  
27 account background variables gender, age, therapy and disease duration in multiple linear  
28 regression.

29  
30

## 1 **Innate immune cell activation at rim is variable but more prevalent among patients** 2 **with advanced disease**

3 The average active voxel number at the rim-active rim per patient was 92 (median; IQR 47 -  
4 203), and on average there were significantly more active voxels at the rim in SPMS patients  
5 compared to RRMS (medians 158 vs. 74,  $P = 0.022$ ; Fig. 6A, Table 2). Similarly, there was a  
6 significantly higher number of active voxels at the rim in patients with  $EDSS \geq 4$  vs.  $EDSS < 4$   
7 (medians 210 vs. 73,  $P = 0.003$ ; Fig. 6B, Table 2). Number of active voxels at rim correlated  
8 significantly both with EDSS ( $R = 0.43$ ,  $P < 0.001$ ) and MSSS ( $R = 0.31$ ,  $P = 0.013$ ; Fig. 6C-D).

9  
10 The lesion sizes varied greatly within this heterogeneous cohort of multiple sclerosis patients.  
11 The smallest lesion (inactive,  $0.028 \text{ cm}^3$  in volume) had 69 voxels at the rim and the largest  
12 lesion ( $20.2 \text{ cm}^3$  in volume) had 20862 voxels at the rim. This largest lesion was rim-active with  
13 2205 (11 %) active voxels at the rim. The smallest rim-active lesion ( $0.028 \text{ cm}^3$ ) had 109 voxels  
14 at the rim, with eight active voxels (7 %; Fig. 6E). The proportion of active voxels at the rim of  
15 rim-active lesions varied greatly, between 5 % and 45 % (Fig. 6E).

## 16 17 **DISCUSSION**

18 The results from this comprehensive, cross-sectional study focusing on 91 PET-imaged multiple  
19 sclerosis patients and 1510 lesions demonstrate that *in vivo* TSPO-PET can be used to quantify  
20 innate immune cell activation at chronic lesion edge with subsequent categorization of the  
21 lesions into rim-active and inactive lesions. At patient level, the rim-active lesion fraction was  
22 larger among SPMS (19 %) compared to RRMS (10 %) and according to multiple linear  
23 regression modelling, the rim-active lesion load contributed more significantly to clinical  
24 disability ( $P = 0.014$ ) than NAWM DVR ( $P = 0.6$ ; data not shown). The detrimental nature of  
25 the rim-active lesions was demonstrated by correlation of rim-active lesion load at rim to brain  
26 atrophy measures in MRI. Similarly, patients with increased disability, older age and longer  
27 disease duration had proportionately more rim-active than rim-inactive lesions and a significant  
28 difference was observed in the perilesional microglial activation between RRMS and SPMS  
29 patients.<sup>19</sup> Moreover, higher TSPO binding in the perilesional NAWM predicted progression  
30 during a 4-year follow-up.<sup>29</sup> In CNS disease the innate immune system may respond to neuronal

1 injury by activation.<sup>30</sup> On the other hand, the innate immune system may get arrested in a  
2 proinflammatory, neuronal damage promoting phenotype once activated in the context of  
3 neuroinflammatory disease. In multiple sclerosis this may promote a state of self-propagating  
4 damage contributing to disease progression and disability accrual.<sup>30</sup> In line with this, microglial  
5 activation in the NAWM was recently shown to co-localize with markers of microstructural  
6 damage in an *in vivo* study combining TSPO-PET and DTI-MRI imaging.<sup>24</sup> In addition,  
7 microglial activation has been shown to associate with age and with MS disease duration.<sup>19,31,32</sup>  
8 Due to this phenomenon, we took age and disease duration into account in the model where the  
9 rim active lesion load association with clinical disability and brain atrophy was addressed (Fig.  
10 5).

11 In the seminal neuropathology work by Frischer et al,<sup>4</sup> out of 2476 white matter plaques, 35 %  
12 were classified as active plaques and were majorly found only in relapsing-remitting multiple  
13 sclerosis patients. 15 % of the lesions were smouldering and almost exclusively found in  
14 progressive multiple sclerosis. Of the lesions 35 % were inactive and 15 % were classified as  
15 shadow plaques. In the present work, the lesion distribution was very similar with 16 % rim-  
16 active (corresponding to smouldering), 33 % inactive lesions and 51 % overall-active (likely  
17 partly corresponding to the pathological classification of active plaques and shadow plaques). In  
18 both studies the average disease duration was 12 years. In the Frischer analysis half of the lesions  
19 were infratentorial, and the plaque type distribution was found to be rather similar between  
20 supratentorial and infratentorial lesions. In the present work all evaluated lesions were  
21 supratentorial white matter lesions.

22 TSPO-PET detects both activated microglial cells and macrophages. In addition, a small  
23 proportion (25 %) of astrocytes bind the TSPO-ligands.<sup>33</sup> It is thus impossible to determine for  
24 certain the exact cellular correlates of the increased TSPO-binding, but a most likely  
25 interpretation of our results is that the ligand binding at chronic lesion edge and in the NAWM  
26 reflect proinflammatory microglia and macrophage activation with some binding to  
27 astrocytes.<sup>34,35</sup> The lesions with increased TSPO binding both in the core and at rim have  
28 possibly evolved more recently.<sup>6,36</sup> The use of novel PET-ligands may assist in more accurate *in*  
29 *vivo* segregation of astrocytes from microglial cells, or M1-type innate immune cells from M2-

1 type innate immune cells, and thus may further improve the specificity of the *in vivo* plaque  
2 differentiation in the future.<sup>37,38</sup>

3  
4 MRI-based techniques which rely on detection of iron within activated microglia and  
5 macrophages have been developed to identify chronic active or smouldering lesions *in*  
6 *vivo*.<sup>8,9,11,39-44</sup> Here, MR sequences sensitive to tissue susceptibility due to paramagnetic  
7 properties of the cells such as high-resolution T2\*, susceptibility-weighted imaging (SWI), phase  
8 MRI using 7T or 3T, and quantitative susceptibility mapping (QSM) have been used.<sup>8,9,11,13,39-45</sup>  
9 Iron rim lesions were detected in 46 - 81 % of the studied multiple sclerosis patients depending  
10 on the cohort/study.<sup>10,42,46-49</sup> Unlike the neuropathological studies,<sup>4,50,51</sup> some<sup>11,13,46</sup> but not all<sup>52</sup>  
11 MRI-studies of iron rim lesions have detected them more often in RRMS patients compared to  
12 progressive multiple sclerosis. Larger MRI studies with more homogeneous methodologies  
13 regarding iron rim detection will likely help settle this discrepancy. The smouldering lesions are  
14 potentially the ones to expand.<sup>10,46,53</sup> Our data are in line with this, as in our cohort the largest  
15 lesions were rim-active, were often located in the periventricular area, and had likely been  
16 formed by fusion of several rim-active lesions together, with the largest such confluent lesion  
17 having a volume of 20.2 cm<sup>3</sup>. On the contrary, the largest inactive lesion was only 1.3 cm<sup>3</sup>.

18  
19 The analysis of hot microglia at rim using TSPO-PET-imaging relies on fully automated,  
20 quantitative methodology and allows sensitive detection of the detrimental cell clusters in a  
21 three-dimensional space. In this study a novel approach was used to define the rim as two voxels  
22 extending from the T1 lesion edge with the idea to address as limited rim area as possible with  
23 taking the resolution of PET scanner into account. In our previous work the DVR in the 0-6 mm  
24 perilesional ROI was different in SPMS vs RRMS.<sup>19</sup> In the present work 0-3 mm perilesional  
25 ROI was similarly different in RRMS vs SPMS, but in the 2 mm perilesional ROI no difference  
26 was observed (Fig. 2C and 2D). We interpret that the latter is perhaps due to the close vicinity of  
27 the lesion core with lower DVR and no differences between RRMS and SPMS. All hemispheric  
28 white matter T1 lesions with minimum rim and core size of >27 mm<sup>3</sup> were included unless they  
29 extended to the grey matter by more than 25 %. In some of the MRI studies only well-  
30 demarcated independent lesions have been included in the analysis,<sup>46</sup> which might have  
31 promoted the predominance of iron-rim lesions in earlier disease stages. Despite the differences

1 in the methodologies between MRI and PET, the frequency of the rim-active lesions in the  
2 present study, with 16 % of all lesions being rim-active in the entire multiple sclerosis cohort,  
3 was in a similar range compared to many of the MRI studies.

4  
5 Taken together, there are now neuropathology-based, susceptibility MRI-based and TSPO-PET-  
6 based methods to quantify progression-associated smouldering inflammation in multiple  
7 sclerosis brain. Future studies will demonstrate how the different methods can be used in a  
8 complementary way to evaluate the dynamic innate inflammatory process contributing to  
9 neuroaxonal damage and disease progression. Accurate and dynamic *in vivo* assessment of  
10 progression-related innate immune system activation has potential to advance our understanding  
11 of the mechanisms related to disability accrual among multiple sclerosis patients. This has  
12 implications for predicting future disease course,<sup>29</sup> for obtaining meaningful outcome markers  
13 and for selecting optimal patients when performing treatment trials of progressive multiple  
14 sclerosis.

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## 22 **Competing interests**

23 Marcus Sucksdorff has served on advisory boards for Sanofi-Aventis and Roche, and has  
24 received speaker honoraria from Merck Serono and travel honoraria Orion, Roche, Biogen and  
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4  
5

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13

ACCEPTED MANUSCRIPT

## 1 **Figure legends**

### 2 **Figure 1. Flow chart of lesion phenotype analyses and illustrative MRI and PET images.**

3 A. The flow chart illustrates the criteria for lesion inclusion and principles of the lesion  
4 classification. Lesion classification is based on proportions of active voxels in the lesion core and  
5 at rim. Rim-active lesions contain at least double the proportion of active voxels at the rim  
6 compared to core if 5 - 20 % of voxels in the core are active. Rim-active lesions have at least 5  
7 %-point higher proportion of active voxels at the rim compared to the core, if less than 5 % of  
8 the voxels in the core are active. Inactive lesions have 0 % of active voxels in the core and at rim.  
9 Lesions which do not fit into the other two categories are classified as overall-active lesions. Of  
10 all included lesions 16 % were rim-active, 33 % were inactive and 51 % were overall-active. The  
11 DVR distribution of each lesion type is visualized with 3D surface plots.

12 B. T1 MR-image from an SPMS patient (top left) with corresponding parametric PET  $^{11}\text{C}$ -  
13 PK11195 DVR images of the white matter (top middle) and the focal T1 lesions (top right). The  
14 bottom panel highlights the DVR values of selected lesions as 3D surface plots. The bottom row  
15 visualizes the voxels defined as active, with  $\text{DVR} > 1.56$  (for more details, see the *methods*  
16 section). The colour bar of the PET images shows the dynamic range of DVR in the images.

### 18 **Figure 2. Brain innate immune cell activation in multiple sclerosis patients and healthy** 19 **controls.**

20 Box plots of the  $^{11}\text{C}$ -PK11195 DVR values representing the innate immune cell activation in the  
21 white matter of healthy controls and in the NAWM, and in association with lesions in the  
22 multiple sclerosis cohort. Wilcoxon rank-sum test was used for statistical analyses. In box plots  
23 the thick horizontal lines represent the medians, the boxes represent the IQR and the end of the  
24 whiskers or the points of the outliers represent the minimum and maximum values.

### 26 **Figure 3. Association of volumetric parameters and innate immune cell activation with** 27 **clinical disability.**

28 Smaller brain (A) and NAWM volume (B) and larger T1 lesion load (C) associate with worse  
29 clinical disability measured with EDSS. Higher  $^{11}\text{C}$ -PK11195 DVR in the NAWM associates  
30 with worse disability (D) and disease severity (E). In addition, higher radioligand binding in the  
31 perilesional area correlates with worse disability (F). Innate immune cell activation at lesion rim

1 (G) or within T1 lesions (H) do not associate with EDSS. Here, ROIs encompassing the entire  
2 combined lesion volume or combined perilesional volume were evaluated.

3

#### 4 **Figure 4. Fractions of lesion types among multiple sclerosis subgroups**

5 Proportions of the lesion subtypes differ between patients with EDSS < 4 and  $\geq$  4 (Fisher's exact  
6 test  $P < 0.001$ ) (A) but not between RRMS and SPMS groups (B). Proportions of the lesion  
7 subtype volumes are different between patients with EDSS < 4 and  $\geq$  4 (Fisher's exact test  $P <$   
8  $0.001$ ) (C), and in RRMS vs. SPMS ( $P < 0.001$ ) (D). The width of the bar represents the number  
9 of lesions (A and B) or lesion volumes (C and D) within the patient subgroup, and the height of  
10 the bar represents the percentage of the lesion type in question (A and B) or the volume  
11 percentage of the lesion type (C and D), with the exact percentage marked in the respective box.  
12 The total lesion numbers in the respective groups were: 1020 among patients with EDSS < 4 (69  
13 patients) and 490 among patients with EDSS  $\geq$  4 (22 patients), 1020 among RRMS (67 patients),  
14 490 among SPMS (24 patients). The total lesion volumes in the respective groups were: 251 cm<sup>3</sup>  
15 among patients with EDSS < 4 and 259 cm<sup>3</sup> among patients with EDSS  $\geq$  4, 240 cm<sup>3</sup> among  
16 RRMS and 269 cm<sup>3</sup> among SPMS.

17

#### 18 **Figure 5. Rim-active lesion load correlates with clinical disability and brain volume**

19 The volume of rim-active lesions associates with higher clinical disability (A) and greater brain  
20 atrophy (B). The associations remain significant after multiple linear regression model.

21

#### 22 **Figure 6. Innate immune cell activation at rim is variable but more prevalent among 23 patients with advanced disease**

24 The number of active voxels at the rim was higher among secondary progressive compared to  
25 relapsing-remitting patients (A) and in patients with EDSS  $\geq$  4 compared to those with lower  
26 EDSS (B). The number of active voxels at rim correlated with EDSS-measured disability (C) and  
27 with MSSS-assessed disease severity (D). E demonstrates the great variability in both size and  
28 the degree of TSPO binding of rim-active lesions.

29

**Table 1 Demographic information and imaging**

		HC	MS	HC vs. MS	RRMS	SPMS	RRMS vs. SPMS	EDSS < 4	EDSS ≥ 4	EDSS < 4 vs. EDSS ≥ 4
Subjects	n	18	91		67	24		69	22	
Female	N <sub>F</sub>	13	70	0.8	54	16	0.17	53	17	1
	%	72	77		81	67		77	77	
Age (y)	Mean	42.9	44.9	0.5	42.6	51.2	< 0.001	43.2	50.2	0.007
	SD	11.4	9.67		8.73	9.53		9.18	9.38	
Years since MS onset	Median		12.1		10.0	17.7	< 0.001	10.3	16.0	0.001
	IQR		7.25 - 15.8		6.55 - 12.0	12.5 - 22.0		6.64 - 12.0	12.3 - 22.7	
Disease modifying										
No therapy	n (%)		43 (47)		24 (36)	19 (79)	< 0.001	27 (39)	16 (73)	0.007
	Moderate efficacy	n (%)	48 (53)		43 (64)	5 (21)		42 (61)	6 (27)	
NAWM volume (cm <sup>3</sup> )	Mean	492	457	0.014	466	433	0.074	470	416	0.002
	SD	46.9	64.3		62.8	63.4		59.5	62.2	
NAWM volume (PF)	Mean	0.35	0.33	0.007	0.33	0.32	0.075	0.34	0.31	0.016
	SD	0.027	0.034		0.027	0.050		0.027	0.049	
Cortical GM volume (cm <sup>3</sup> )	Mean	464	434	0.048	443	408	0.004	444	402	0.001
	SD	60.8	46.8		42.0	50.9		40.8	51.5	
Cortical GM volume (PF)	Mean	0.33	0.31	0.002	0.32	0.30	0.025	0.32	0.30	0.037
	SD	0.022	0.025		0.020	0.033		0.020	0.033	
TI lesions > 27 mm <sup>3</sup>	N <sub>TI</sub>		1857		1215	642		1208	649	
	..per patient	N <sub>TI</sub> /n	20.4		18.1	26.8		17.5	29.5	
TI lesion volume (mm <sup>3</sup> )	Median		2.81		2.35	8.18	< 0.001	2.35	9.18	< 0.001
	IQR		1.48 - 7.60		1.17 - 4.30	4.35 - 17.5		1.20 - 4.37	4.53 - 18.2	
EDSS	Median		3.0		2.5	6.0	< 0.001	2.5	6.0	< 0.001
	IQR		2.0 - 3.5		2.0 - 3.0	3.9 - 6.5		2.0 - 3.0	5.1 - 6.5	
MSSS	Median		3.90		3.45	6.24	< 0.001	3.17	6.85	< 0.001
	IQR		2.39 - 5.20		2.24 - 4.74	3.81 - 9.12		2.15 - 4.74	4.35 - 9.1	

\*Within 2 months prior the PET scanning. Gender and therapy comparison p-values are from Fisher's exact test. All other tests are Wilcoxon rank-sum test due to non-normality of the data. EDSS = expanded disability status scale, GM = grey matter, HC = healthy control, IQR = Interquartile range, MSSS = Multiple Sclerosis Severity Score, MS = multiple sclerosis, NAWM = normal appearing white matter, RRMS = relapsing remitting multiple sclerosis, SD = standard deviation, SPMS = secondary progressive multiple sclerosis.

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4

1 **Table 2 TI lesions according to innate immune cell activation in various clinical MS subgroups**

	All	RRMS	SPMS	RRMS vs. SPMS	EDSS < 4	EDSS ≥ 4	EDSS < 4 vs ≥ 4	
<b>Number of patients, n</b>	<b>91</b>	<b>67</b>	<b>24</b>		<b>69</b>	<b>22</b>		
Patients with rim-active lesions, n (%)	63 (69)	41 (61)	22 (92)		43 (62)	10 (91)		
Patients with inactive lesions, n (%)	85 (93)	65 (97)	20 (83)		67 (97)	18 (82)		
Patients with overall-active lesions, n (%)	87 (96)	64 (96)	23 (96)		66 (96)	21 (95)		
<b>Average number of lesion subtypes per patient (median. IOR)</b>	<b>Total</b>	<b>12 (7-22)</b>	<b>11 (7-22)</b>	<b>20 (10.5-22)</b>	<b>0.063</b>	<b>12 (7-20)</b>	<b>20.5 (9-23)</b>	<b>0.073</b>
	Rim-active	2 (0-4)	1 (0-3)	3 (1-4)	0.029	1 (0-3)	3 (1.25-4)	0.005
	Inactive	5 (2.5-7.5)	5 (3-7)	4 (1.75-10.5)	0.8	5 (3-7)	4 (1.25-11)	0.5
	Overall	6 (3-11.5)	6 (2-11)	7 (3-14.5)	0.051	6 (2-11)	7 (3.25-20.5)	0.033
<b>Proportions of lesion subtypes (median. IOR)</b>	Rim-active	13 (0-21)	10 (0-20)	19 (11-26)	0.009	10 (0-20)	23 (12-28)	0.001
	Inactive	38 (21-56)	40 (23-61)	27 (12-46)	0.029	40 (24-60)	23 (12-41)	0.004
	Overall	48 (35-60)	47 (33-60)	51 (39-67)	0.3	45 (33-60)	52 (43-65)	0.11
<b>Average lesion subtype volumes per patient (median. IOR)</b>	<b>Total</b>	<b>2.21</b>	<b>1.77</b>	<b>7.20</b>	<b>&lt; 0.001</b>	<b>1.77</b>	<b>7.92</b>	<b>&lt; 0.001</b>
	Rim-active	0.13 (0-0.4)	0.06 (0-0.2)	0.41 (0.15-0.8)	< 0.001	0.06 (0-0.2)	0.47 (0.25-0.8)	< 0.001
	Inactive	0.40 (0.14-0.7)	0.41 (0.17-0.7)	0.26 (0.11-0.4)	0.5	0.40 (0.18-0.7)	0.25 (0.10-0.4)	0.5
	Overall	1.42 (0.29-2.8)	1.18 (0.22-2.4)	5.95 (2.51-9.7)	< 0.001	1.23 (0.22-2.8)	6.32 (1.93-10.7)	0.002
<b>Proportions of lesion subtype (median. IOR)</b>	Rim-active	4.3 (0-16)	2.4 (0-10)	13 (3.2-34)	0.001	2.4 (0-10)	13 (5.4-39)	< 0.001
	Inactive	18 (4.4-38)	23 (6.8-56)	4.9 (1.0-22)	0.002	23 (6.8-51)	4.3 (1.0-21)	0.003
	Overall	67 (43-83)	68 (40-82)	65 (50-86)	0.5	69 (41-84)	64 (46-81)	0.8
<b>Average number of active voxels</b>	Rim-active	92 (47-140)	74 (43-114)	158 (76-240)	0.022	73 (42-114)	210 (90-330)	0.003

Wilcoxon rank-sum test has been used to compare the groups due to non-normality of the data. All significant differences in comparisons remained significant after multiple comparison correction, using the false discovery rate method for the number of different tests in the groups being compared (n = 15). Lesion volumes in ml (cm<sup>3</sup>), unless otherwise stated. Only white matter parts of the lesions with > 75 % of the volume in white matter are included. Only lesions with core and rim volumes > 27 cm<sup>3</sup> in white matter are included. Values are as median

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ACCEPTED MANUSCRIPT



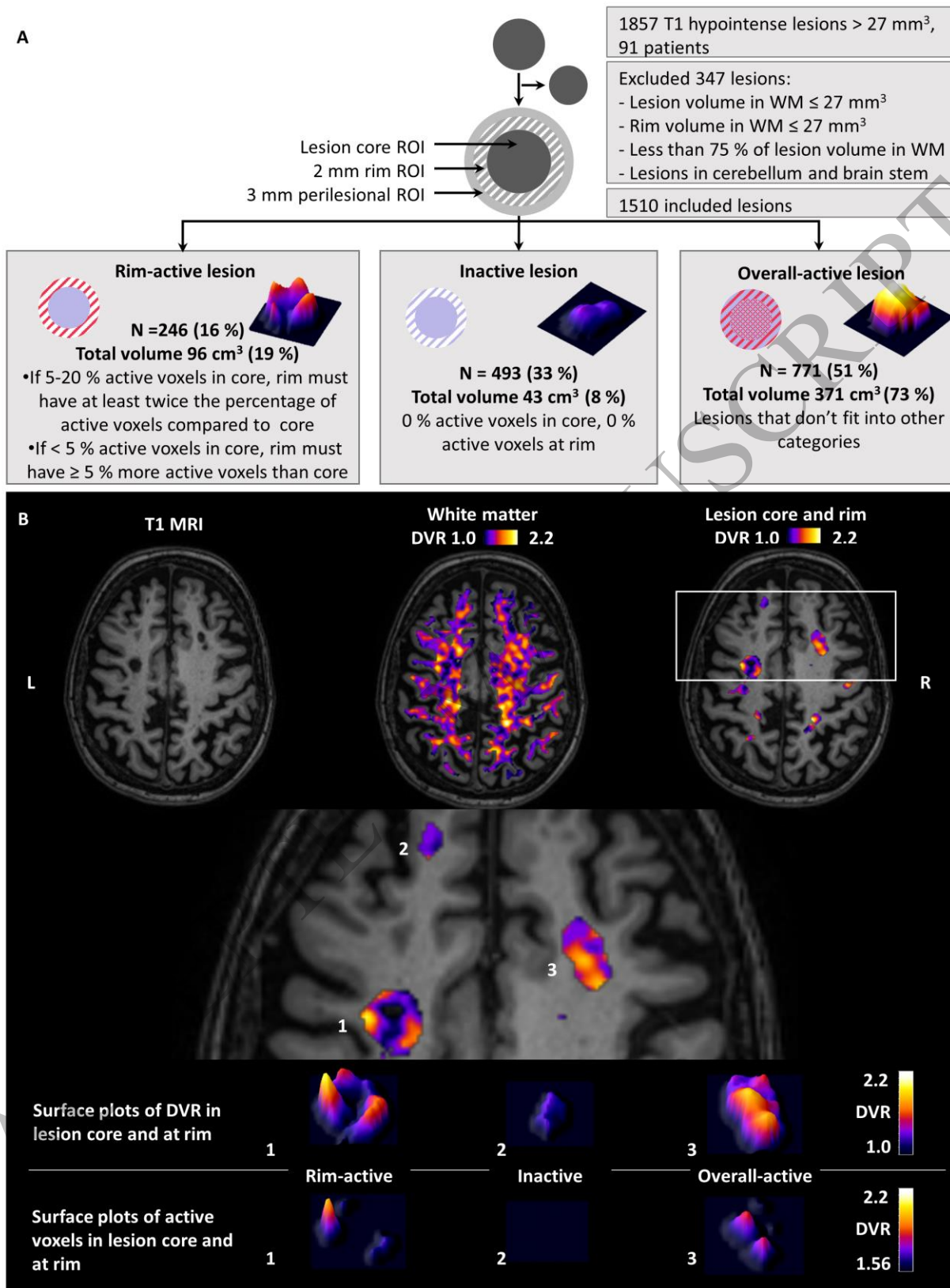


Figure 1  
165x218 mm (0.2 x DPI)

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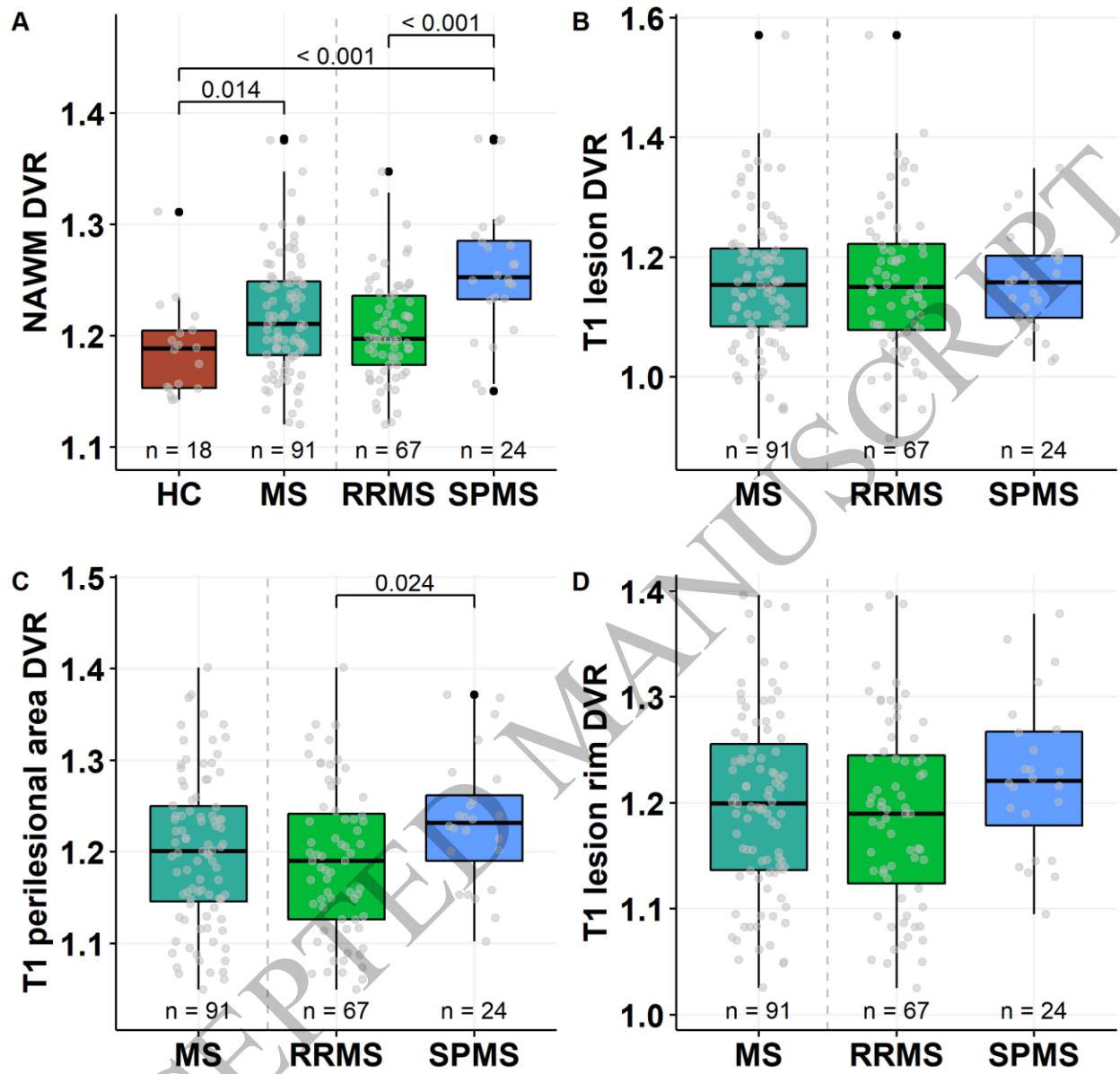


Figure 2  
165x165 mm (0.2 x DPI)

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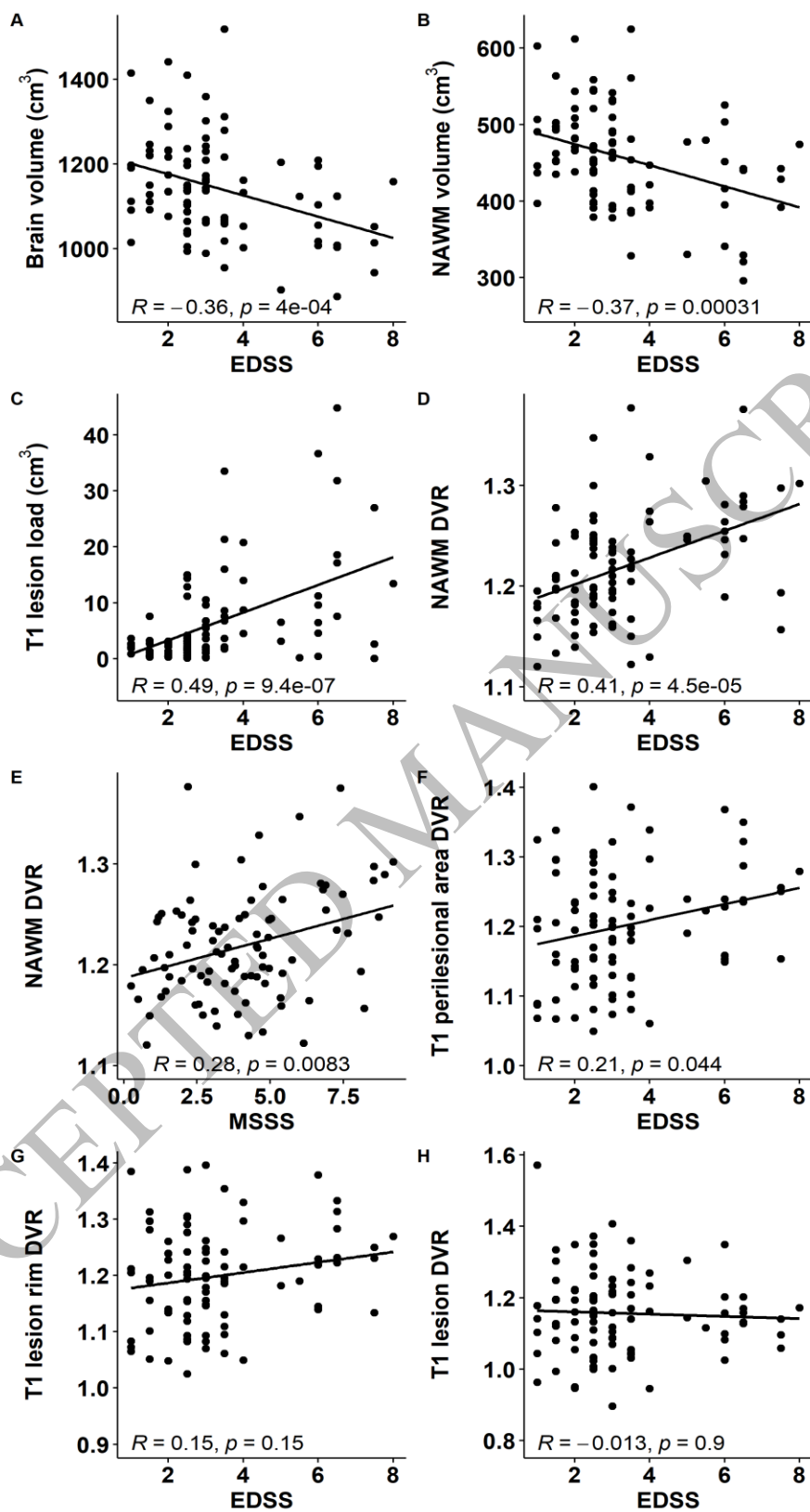


Figure 3  
116x229 mm (0.2 x DPI)

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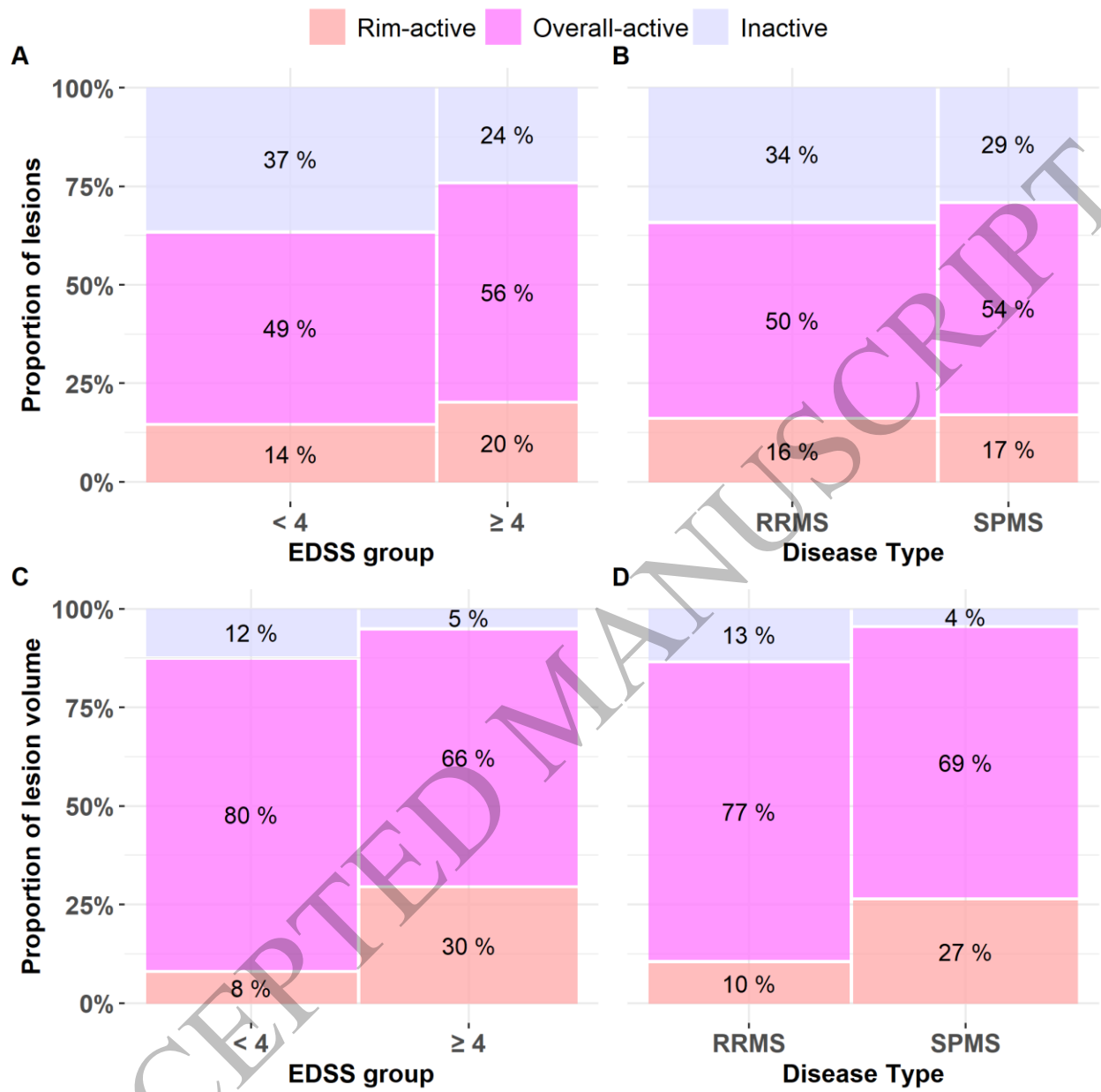


Figure 4  
165x165 mm (0.2 x DPI)

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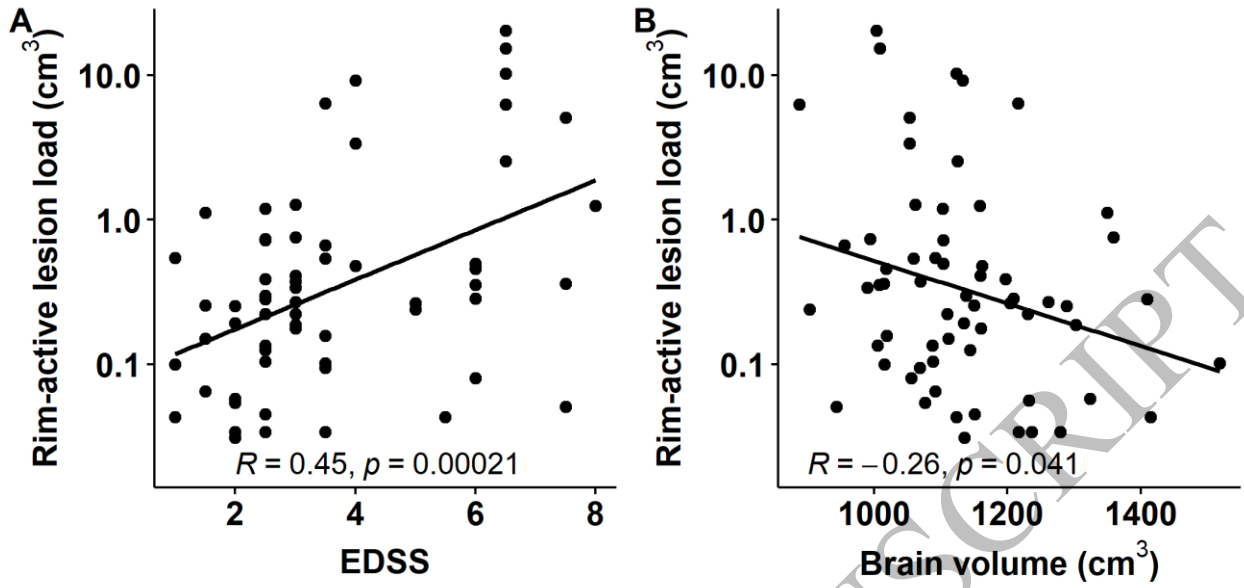


Figure 5  
165x80 mm (0.2 x DPI)

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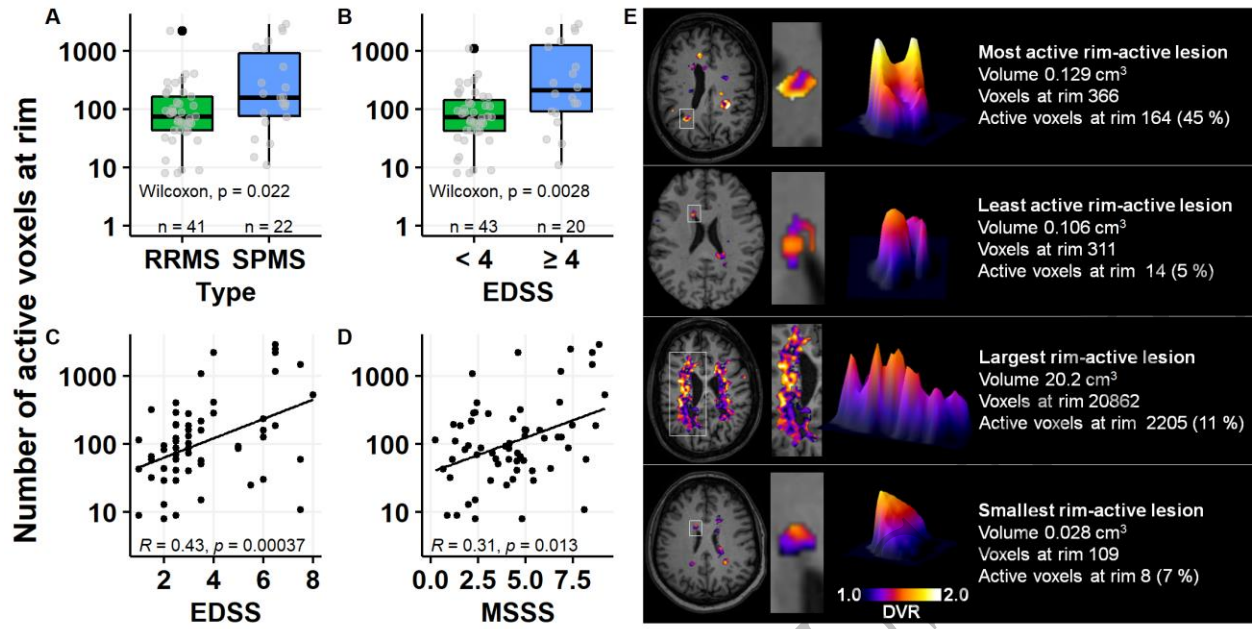


Figure 6  
165x85 mm (0.2 x DPI)

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2 Brain Communications

3 BRAINCOM-2021-194

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5 Abbreviated summary

6 This study describes in vivo phenotyping of multiple sclerosis lesions using TSPO-PET. Nylund et al.  
7 report that SPMS patients displayed high proportion of rim-active lesions, indicating greater innate  
8 immune cell activation at the chronic lesion edge. The methodology offers a new tool for individual  
9 assessment of smouldering MS lesion burden.

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