

1 **Ellagitannins from the Onagraceae Decrease the Performance of Generalist and Specialist**
2 **Herbivores**

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15

16 **Abstract**

17 Phenolics have a known role in defences against herbivores, but the defensive function of
18 specific groups of phenolics is still poorly understood. For example, ellagitannins (a type of
19 hydrolysable tannin) are predicted to decrease insect herbivore performance, but the effect of
20 different types of ellagitannins on generalist and specialist herbivores has rarely been assessed.
21 Here, we test the effects of the dominant oligomeric ellagitannins of *Oenothera biennis* and other
22 Onagraceae on herbivore performance. We fed artificial diet containing between 1 and 100 mg/g
23 of polyphenol fractions with varying amounts and compositions of dimeric oenothetin B, the
24 trimeric oenothetin A and larger oligomers, to one generalist (*Spodoptera exigua*) and one
25 specialist (*Schinia florida*) insect herbivore species. We compared the effects of these
26 ellagitannin fractions on herbivore performance to the effects of artificial diet containing total
27 phenolic extracts from *O. biennis*, which contained these ellagitannins as well as many additional
28 phenolic metabolites including flavonoid glycosides and caffeic acid derivatives. Both the
29 ellagitannin fractions and *O. biennis* phenolic extracts had strong negative effects on *S. exigua*
30 and *S. florida* performance, with stronger effects on the generalist herbivore. Differences
31 between the effects of ellagitannin fractions were small and dependent on insect life stage. The
32 defensive effects of these ellagitannins were large, with concentrations as low as 0.1% leading to
33 lethality. These results highlight the important defensive function of ellagitannins against
34 specialist and generalist herbivores and the need to characterize the effects of these understudied
35 phenolics.

36 **Key Words**-Chemical ecology, bioassay, oenothetin, phenolics, *Spodoptera exigua*, tannins.

37

38 **Introduction**

39 Plant secondary metabolites play a large role in defending plants against herbivores. Yet, despite
40 the importance of many secondary metabolites in defence (Denno and McClure 1983; Fritz and
41 Simms 1992; Johnson 2011; Rosenthal and Berenbaum 1991; Wallace 1976; Walters 2011), it is
42 often difficult to demonstrate the defensive function of secondary metabolites. For example, a
43 meta-analysis of 72 quantitative genetics studies failed to find a consistent effect of genetic
44 variation in the concentration of secondary metabolites on herbivore performance (Carmona et
45 al. 2011). This study identified important limitations of previous work examining the defensive
46 function of secondary metabolites, such as the difficulty of establishing the effects of secondary
47 metabolites that have diverse classes of compounds (e.g. phenolics, alkaloids, glucosinolates)
48 with many biological functions. Similarly, the toxicity of chemical defences may be due to
49 additional factors that are difficult to quantify in genetically-based tests, such as synergism
50 between compounds and compound diversity. Focusing on the effects and modes of action of a
51 few, structurally similar compounds could yield more accurate tests of the defensive function of
52 secondary metabolites (Carmona et al. 2011; Salminen and Karonen 2011). We take such an
53 approach to understand the defensive function of macrocyclic ellagitannin oligomers, an
54 abundant type of hydrolysable tannin found in many Onagraceae species and other plant species
55 that has been associated with putative defensive function against insect herbivores (Agrawal et
56 al. 2012; Johnson et al. 2009).

57 Tannins are among the most widespread group of secondary compounds and are
58 ubiquitous in plants. Tannins are broadly divided into condensed tannins (proanthocyanidins)
59 and hydrolysable tannins, which include both simple gallic acid derivatives, gallotannins, and
60 ellagitannins (Niemetz and Gross 2005; Quideau and Feldman 1996; Salminen and Karonen

61 2011). Many of these compounds are recognized for their role in plant defences against insect
62 herbivores and pathogens (Harborne and Williams 2000; Salminen and Karonen 2011). The
63 defensive function of tannins may be associated with the ability to bind proteins in the guts of
64 herbivores, thereby limiting nutrient uptake (Feeny 1976). With insect herbivores, especially
65 lepidopterans with alkaline guts, tannins more likely cause oxidative stress in the insect gut
66 (Appel 1993; Salminen and Karonen 2011), including oxidative damage to nutrients (Felton and
67 Summers 1995). Additionally, ellagitannins might act as an anti-feedant in which their presence
68 makes the food unpalatable. Here we focus on the defensive role of ellagitannins, which are the
69 dominant group of tannins found in many plant families (Johnson et al. 2014; Moilanen et al.
70 2015; Salminen et al. 2001; Salminen et al. 2002; Salminen et al. 2004; Yarnes et al. 2006).

71 Recent work has shown that ellagitannins may be the most bioactive class of tannins,
72 although different ellagitannin compounds exhibit six-fold variation in both oxidative activity
73 (Moilanen and Salminen 2008), as well as in protein precipitation capacity (Karonen et al. 2015).
74 The effect of ellagitannins on insect herbivores has been confirmed by feeding herbivores low to
75 medium-level concentrations (15 mg/g) of ellagitannin mixtures (pedunculagin and pentagalloyl
76 glucose) (Barbehenn et al. 2009), and by placing high concentrations of individual ellagitannins
77 (50 mg/g of vescalagin) in artificial insect diet fed to generalist and specialist herbivores (Roslin
78 and Salminen 2008). These analyses experimentally showed the potential importance of
79 ellagitannins in defence, but they did not compare varying levels of the major compounds
80 present in plant chemical defence profiles.

81 Field experiments have shown considerable variation in the concentrations of different
82 macrocyclic ellagitannin oligomers within and between species of the Onagraceae (Anstett et al.
83 2015; Johnson et al. 2009; Parker et al. 2012; Baert et al. 2017). For example, there is large

84 heritable variation within *Oenothera biennis* for the concentrations of the ellagitannin
85 compounds oenothetin A (trimer of tellimagrandin I) and its precursor oenothetin B (dimer of
86 tellimagrandin I) (Anstett et al. 2015; Johnson et al. 2009; Parker et al. 2012). Larger oligomers
87 (with up to eleven subunits) also occur within *O. biennis* (Karonen et al. 2010; Salminen et al.
88 2011) and in other species of the Onagraceae such as *Epilobium angustifolium* (Baert et al. 2015,
89 2017). The variation in the concentrations of macrocyclic oligomers has been shown to impact
90 insect herbivore performance (Agrawal et al. 2012; Anstett et al. 2015). Specifically, higher
91 levels of oenothetin A were negatively genetically correlated with damage by specialist flower
92 and fruit herbivores across 137 genotypes of *O. biennis*, while its precursor oenothetin B was
93 positively genetically correlated with increased herbivory (Anstett et al. 2015). *Oenothera*
94 *biennis* also evolved greater levels of oenothetin A in response to selection by specialist seed
95 predators (Agrawal et al. 2012). While a negative effect of oenothetin A on herbivores was
96 implicated by these studies, these results were correlative and a direct experimental confirmation
97 of the defensive function of these ellagitannins is still needed.

98 Here we experimentally test the defensive function of the most abundant ellagitannins in
99 *O. biennis* on generalist and specialist insect herbivore species. We compare the defensive role of
100 macrocyclic ellagitannin oligomers to the effects of the total composition of phenolics from *O.*
101 *biennis*, which includes flavonoids, caffeic acid derivatives and ellagitannins. We compare the
102 defensive function of ellagitannins to total phenolic extracts by experimentally varying the
103 concentrations of these phenolic compounds within artificial diet fed to one generalist insect
104 herbivore species (*Spodoptera exigua*), and one specialist insect herbivore species (*Schinia*
105 *florida*). Using a series of these feeding trial experiments, we ask: (1) Do macrocyclic oligomeric
106 ellagitannins decrease the performance of generalist and specialist insect herbivores? (2) How do

107 fractions with varying amounts of oenothain B, oenothain A, and larger oenothain oligomers,
108 differ in their effects on herbivores? (3) How do the antiherbivore effects of these ellagitannins
109 compare to the effects of the total mixture of phenolic compounds present in *O. biennis*? Our
110 results provide direct experimental evidence that macrocyclic ellagitannin oligomers are a potent
111 chemical defence against generalist and specialist insect herbivores of *O. biennis*.

112 **Methods and Materials**

113 **Study System** *Oenothera biennis* L. (common evening primrose, Onagraceae) is a biennial
114 herbaceous plant that grows in open habitats throughout eastern North America. This species is
115 consumed by a diverse community of insect herbivores (Johnson and Agrawal 2005; Johnson
116 and Agrawal 2007), and contains a diversity of defensive chemicals including a large
117 concentration of ellagitannins (>5% dry weight) (Agrawal et al. 2012; Anstett et al. 2015;
118 Johnson et al. 2009). *Oenothera biennis* also experiences a genetically based trade-off between
119 its two most abundant ellagitannins, oenothain B and oenothain A (Anstett et al. 2015; Johnson
120 et al. 2009). Oenothain B and A are typically the dominant ellagitannins in *Oenothera spp*,
121 whereby oenothain B contains two subunits of tellimagrandin I and oenothain A contains three
122 subunits (Fig. 1). These compounds contain a large number of phenolic rings with oxidatively
123 important groups (such as hexahydroxydiphenoyl groups) that can cause oxidative stress and
124 damage to nutrients in the alkaline guts of insects (Appel 1993; Barbehenn et al. 2006; Salminen
125 and Karonen 2011; Salminen et al. 2011).

126 We used larvae of the herbivorous moth *Spodoptera exigua* (Noctuidae: Lepidoptera) as a
127 model to study the defensive effects of phenolics and ellagitannins on a generalist caterpillar.
128 *Spodoptera exigua* is frequently used in laboratory experiments because of its extremely

129 generalized diet, which includes >37 plant families (Normark and Johnson 2011). *Spodoptera*
130 *exigua* eggs were acquired from Benzon Research (Carlisle, PA, USA), and were reared on a
131 soy-flour and wheat germ-based diet (Beet Armyworm Diet, Sutherland Products, Mayodan, NC,
132 USA). The insects were maintained in a colony with >100 mating individuals for 2-3 generations
133 before being utilized in experiments.

134 *Schinia florida* (Noctuidae: Lepidoptera) larvae have a specialized diet that includes the
135 flowers and fruits of *O. biennis* and close relatives (Sargent 1969). High levels of ellagitannins
136 and in particular oenothien A are correlated with decreased damage to *O. biennis* (Agrawal et al.
137 2012; Anstett et al. 2015), making this moth a useful model system to test the direct effects of
138 ellagitannins on specialist insect herbivores. Second and third instar *Schinia florida* were
139 collected from the field in August 2016 in Mississauga and King City, ON, Canada, and used
140 directly in feeding experiments. Our attempts to have earlier instars feed on artificial diet were
141 unsuccessful and previous attempts to rear colonies of *S. florida* have never been successful (A.
142 Agrawal Pers. Comm.). We were successful at getting *S. florida* to feed on Beet Army Worm
143 diet without any apparent aversion starting at the 2nd instar, and these larvae were able to
144 successfully complete development until pupation.

145 **Experimental Design** To test for the effect of phenolics and the differences in the defensive
146 function of ellagitannin compounds, we selected two genotypes known to have high levels of
147 oenothien B and low concentrations of oenothien A, and two genotypes with high concentrations
148 of oenothien A and low concentrations of oenothien B (Table S1). These genotypes also
149 contained a diversity of ~70 other phenolic compounds, which included flavonoid glycosides and
150 caffeic acid derivatives (Salminen unpublished data). Seeds were germinated on moistened filter
151 paper within sealed petri dishes exposed to a full spectrum of sunlight. Forty seedlings of each

152 genotype were grown for six months in a growth chamber (Convicon CMP6050, Winnipeg,
153 Canada). The chamber was set to a 16:8 h day:night cycle with a 25 °C day: 20 °C night
154 temperature regime, a ramp rate of 1 °C/h, and 500 $\mu\text{mol}/\text{m}^2/\text{s}$ of light intensity during the day.
155 Fully expanded, non-senescent leaves were collected and stored in a -80° C freezer before
156 phenolic extraction. Previous work on *Oenothera biennis* found that the constitutive
157 concentration of total phenolics grown in a controlled environment varied between 45 and 160
158 mg/g (Anstett et al. 2016) depending on the genotype, which falls within the range of the
159 concentration of total phenolics observed from plants growing in the field (Anstett et al. 2015).

160 We purified individual fractions of oenothain oligomers, excluding other types of
161 phenolics and many classes of ellagitannins to specifically test the effects of oenothain B,
162 oenothain A, and higher oligomers from the confamilial plant species *Epilobium angustifolium*
163 (Onagraceae) as outlined in Baert et al. (2015). Importantly, macrocyclic tellimagrandin I–based
164 ellagitannins are identical and interchangeable between *E. angustifolium* and *O. biennis* (Baert et
165 al. 2015; Baert et al. 2016; Karonen et al. 2010; Salminen et al. 2011). Briefly, these fractions
166 were obtained by maceration of *E. angustifolium* flower tissue in an 80% aqueous acetone
167 solution for 48 h followed by filtering and lyophilizing. This procedure was carried out many
168 times yielding hundreds of grams of extract. A fractionation step was then carried out by putting
169 a mixed slurry containing flower extract through four elutions of water (fraction I),
170 methanol/water (1:1, v:v, fraction II), methanol (fraction III), and finally, acetone/water (4:1, v:v,
171 fraction IV), using Sephadex LH-20 gel in a Büchner funnel. Methanol and acetone was then
172 evaporated and the remaining solutions were lyophilized again. The final fractions used in the
173 experiments contained only ellagitannins and no other phenolics. Fraction II was enriched for

174 oenothien B, whereas fraction IV was enriched for oenothien A plus larger oligomers of
175 tellimagrandin I (Table S2).

176 Insect diet was made to contain varying concentrations of either ellagitannin fraction II,
177 ellagitannin fraction IV, or the total phenolic extracts. The ellagitannin fraction diets contained 0,
178 1.0, 2.5, 5.0, 10.0, 50.0, and 100.0 mg/g (dry weight) of either fraction II or IV (i.e., 0 to 10% of
179 ellagitannins per unit mass of diet). The total phenolic extract diets contained a concentration of
180 0, 1.0, 2.5, 5.0 or 10.0 mg/g (dry weight) of total phenolics (i.e., 0 to 1% of phenolics per unit
181 mass of diet). Greater phenolic concentrations were not included because concentrations >10
182 mg/g resulted in 100% mortality for the generalist caterpillar. Total phenolics extracts, as well
183 as ellagitannin fractions, were applied to the food mixture suspended in ddH₂O (Milli-Q
184 Reference System, Millipore). Approximately 4 mL of food was made for each insect every five
185 days by mixing insect food with boiling water at a ratio of 0.7 g to 3 mL. After heating and
186 stirring for 2 min, 1 mL of water containing the appropriate amount of total phenolic extract or
187 ellagitannin was added. Controls only received water. This mixture was heated and stirred for 1.5
188 minutes and then transferred to small plastic cups. These cups were left to cool and set prior to
189 commencing the feeding assay. We analyzed the diet containing phenolics and ellagitannins
190 using ultraperformance liquid chromatography connected to diode array and mass spectrometry
191 detectors (UPLC-DAD-MS/MS), which confirmed that our diet preparation did not affect the
192 diversity and concentration of phenolics in the food (data not shown).

193 Two feeding experiments were conducted to study the effects of phenolics and
194 ellagitannins on *S. exigua*. One experiment assessed the effects of total phenolic extracts on
195 herbivore performance, and the other assessed the effects of ellagitannin fractions on herbivore
196 performance. In each experiment, fresh food was prepared for every live caterpillar on days 0, 5,

197 10 and 15. Measurements were made across these same time intervals to allow for an equivalent
198 comparison and high replication among both herbivore species and across the different
199 treatments. For each replicate, one freshly hatched caterpillar was transferred to each food
200 container on day 0 and the caterpillar was subsequently allowed to feed and develop. To assess
201 the effects of phenolics on the generalist caterpillar's performance, we had five replicate
202 caterpillars per phenolic concentration (1.0, 2.5, 5.0, and 10.0 mg/g), for each of the four *O.*
203 *biennis* plant genotypes. Additionally, we had 32 control generalist caterpillars (for the 0 mg/g
204 levels of total phenolics) (see Table S3 for degrees of freedom in each analysis). The second
205 experiment investigated the effects of ellagitannin fractions. For each fraction we had 10
206 replicate caterpillars per concentration (1.0, 2.5, 5.0, 10.0, 50.0, 100.0 mg/g) and 15 generalist
207 caterpillars received the control diets (0 mg/g) (see Table S4 for degrees of freedom in each
208 analysis).

209 Similar experiments were performed on the specialist *S. florida* caterpillars. Food was
210 prepared every 5 days, and we prepared additional food on day 20 to accommodate the longer
211 developmental time of the specialist caterpillars. For the feeding assays that used total phenolic
212 extracts, we had three replicate caterpillars for each of two genotype treatments (see Table S5 for
213 degrees of freedom in each analysis). For the feeding assay that used ellagitannin fractions in the
214 diet, we had five replicate caterpillars for each concentration (1, 5, 10, and 50 mg/g) of both
215 ellagitannin fractions, plus five control caterpillars (0 mg/g) (see Table S6 for degrees of
216 freedom in each analysis). This lower replication compared to the experiments involving *S.*
217 *exigua* was due to the practical constraints of obtaining a sufficient number of wild caught *S.*
218 *florida* caterpillars for experiments.

219 In all experiments, we collected data on live caterpillar mass and survival throughout
220 each experiment. Starting on day 5, caterpillars were weighed every 5 days to determine the
221 effects of the treatments on caterpillar growth. Specialist caterpillars were also weighed at day
222 zero because they varied in initial size; generalist caterpillars were placed on dishes immediately
223 after hatching from eggs and were too small to weigh. Caterpillar survival was tracked for every
224 caterpillar until pupation or death.

225 **Statistical Analysis** We used analysis of variance and analysis of covariance to test how the
226 concentration of phenolics, *O. biennis* genotype, and ellagitannin fraction affected the
227 performance of the generalist and specialist herbivores. Caterpillar mass was $\log(x+1)$
228 transformed to improve the fit of the residuals from statistical models to the assumptions of
229 normality and homogeneity of variance. Similarly, the concentration of phenolics and
230 ellagitannin fractions were also $\log(x+1)$ transformed. Initial mass was used as a covariate for all
231 analyses involving the specialist caterpillar. First, we carried out comparisons between controls
232 and mid-level phenolic concentrations (5 and 10 mg/g treatments), and between controls and
233 mid-level oenothien concentrations (5 and 10 mg/g). These data were analyzed using the *Anova*
234 command in the Car package of R (R Core Development Team 2018). The objective of this
235 analysis was to give a general assessment of the effects of medium to high concentrations of
236 chemical defences on herbivore performance. Next, we tested how insect mass at each individual
237 time period and mortality were affected by treatment group (genotype or fraction) and
238 concentration of phenolics. These analyses were also carried out using the *Anova* command in
239 the Car package of R.

240 **Results**

241 **Generalist Herbivore Performance, Total Phenolic Extracts** *Spodoptera exigua* caterpillars
242 fed control diets (0 mg/g) strongly outperformed caterpillars that consumed diets containing any
243 amount of total phenolics (Fig. 2A, Table S3). Control caterpillars had substantially greater mass
244 ($P < 0.001$, Fig. S1A), and greater survival ($P < 0.001$, Fig. 3A), than those fed 5 to 10 mg/g of
245 phenolics (Table S3). Even the lowest concentrations of total phenolic extracts (1 mg/g, 0.1%
246 total phenolics) caused a dramatic decrease in insect mass, compared to the control diet (Fig.
247 2A). Increased concentrations of phenolics did not lead to further reductions in caterpillar
248 performance (Fig. 2A, Table S3), indicating that total phenolics had a clear negative effect on
249 caterpillar performance. For example, while caterpillar survival was nearly 100% on control
250 diets, no caterpillars survived to pupation when feeding on diet containing any concentration of
251 total phenolics (Fig. 3A). Plant genotypes varied in their effects on the mass of caterpillars (Fig.
252 S2A, Table S3). Genotype and total phenolic concentration also interacted to affect caterpillar
253 mass (Table S3). Caterpillars showed lower mass with increased total phenolic concentrations
254 for all genotypes except genotype 751 (high oenothien B), which was associated with increased
255 performance of caterpillars at higher total phenolic levels (Fig. S2B).

256 **Generalist Herbivore Performance, Ellagitannin Fractions** Diets containing ellagitannin
257 fraction II or ellagitannin fraction IV reduced the performance of the generalist caterpillar
258 compared to control diets (Fig. 2B, Table S4). Control caterpillars had greater mass ($P < 0.001$)
259 and survival ($P < 0.001$, Fig. S1B) than caterpillars fed diet containing mid concentrations of
260 oenothien ellagitannins (5 and 10 mg/g) (Fig. S1B, Table S4). When considering all
261 concentrations at day 5, caterpillars exposed to fraction II (i.e. enriched for oenothien B) had
262 20% greater mass than caterpillars exposed to fraction IV (i.e. enriched for oenothien A and
263 higher oligomers) ($P = 0.02$; Fig. S2C). At day 10, caterpillars exposed to fraction IV had 40%

264 greater mass than caterpillars exposed to fraction II, although this effect was only marginally
265 significant ($P = 0.05$). Higher concentrations of both fractions of oenothain ellagitannins led to
266 lower caterpillar mass (Fig. 2B). The effect of ellagitannin fraction and the fraction X
267 concentration interaction were not significant (Table S4). Caterpillars exposed to higher
268 concentrations of either ellagitannin fraction had lower survival ($P = 0.001$, Fig. 3B), with no
269 caterpillars surviving above concentrations of 2.5 mg/g (0.025% ellagitannin fractions). There
270 was no significant effect of ellagitannin fraction or fraction X concentration interaction on the
271 survival of generalist caterpillars (Table S4).

272 **Specialist Herbivore Performance, Total Phenolic Extracts** The effects of total phenolics was
273 tested on multiple metrics of performance of the specialist caterpillar *Schinia florida*. Overall,
274 variation in the concentration of total phenolics did not strongly affect caterpillar mass (Fig. 2C).
275 Caterpillar mass did not significantly differ between caterpillars fed control diet versus those fed
276 5-10 mg/g of phenolics (Table S5). However, survival of caterpillars was 80% on control diets
277 versus 17% on diet containing 5 to 10 mg/g of total phenolics ($P = 0.01$) (Fig. 3C). Phenolic
278 concentration and plant genotype did not affect caterpillar mass or survival when it was treated
279 as a continuous variable, indicating the negative effects of phenolics on the survival of the
280 specialist caterpillar was caused by the clear toxic effects of total phenolic extracts (Table S5).
281 Initial caterpillar mass was a significant predictor for some variables (Table S5).

282 **Specialist Herbivore Performance, Ellagitannin Fractions** The specialist *S. florida* was also
283 negatively impacted by the ellagitannin fractions (Fig. 2D, Table S6). Caterpillars feeding on the
284 control diet had greater mass (Table S6) and they experienced higher survival ($P < 0.001$), when
285 compared to caterpillars feeding on diet with 5 to 10 mg/g (i.e. “mid” concentration) of
286 ellagitannin fractions (Fig S1D). The concentration of oenothain ellagitannins had a significant

287 effect on caterpillar mass on day 5, with caterpillars having 45% greater mass in the fraction II
288 treatment when compared to the fraction IV treatment ($P = 0.049$; Fig. 2D). By day 10,
289 caterpillars fed fraction II had only marginally greater mass (24% greater) than those fed fraction
290 IV ($P = 0.07$). There was also a marginally non-significant interaction between fraction and
291 concentration on day 10 ($P = 0.08$), which was caused by proportionally greater caterpillar mass
292 at higher oenothien B concentrations. There were no significant fraction effects on specialist
293 caterpillar mass on day 15, which is likely due to reduced statistical power caused by lower
294 replication; there were concentration effects on caterpillar mass on day 20 ($P = 0.03$, Fig. 2D).
295 Finally, for caterpillar survival there was also a significant interaction between concentration and
296 ellagitannin fraction ($P = 0.04$; Fig. 3D). Specifically, caterpillars experienced greater survival
297 when feeding on diet containing 1 and 5 mg/g of fraction IV compared to caterpillars feeding on
298 fraction II, whereas caterpillars experienced greater survival on the fraction II treatment
299 compared to the fraction IV treatment on day 20 (Fig. 3D). All variables were significantly
300 predicted by initial mass (Table S6).

301 **Discussion**

302 Our results lead to several important conclusions about the role of hydrolysable tannins as
303 defences against insect herbivores, which directly address our research questions. First, we found
304 direct evidence that macrocyclic ellagitannin oligomers have large negative effects on the
305 performance of generalist and specialist insect herbivores (Question 1). Second, differences
306 between fractions rich in smaller or larger oligomers were small and dependent on the insect's
307 life stage (Question 2). Finally, total phenolics had a greater negative impact on the generalist
308 caterpillar, whereas the ellagitannin fractions mostly had a greater negative impact on the

309 specialist caterpillar (Question 3). Here we discuss these results and compare them to previous
310 work on ellagitannins and herbivore specialization.

311 **Effects of Ellagitannins on Herbivore Performance** The evidence for ellagitannins having a
312 direct negative effect on insect herbivore performance is now considerable. It was previously
313 shown that total ellagitannins and the individual ellagitannin compound vescalagin decreased
314 herbivore performance (Barbehenn et al. 2009; Roslin and Salminen 2008). As well, total
315 ellagitannins and the ellagitannin oenothien A have been associated with decreased herbivory
316 using correlative approaches (Agrawal et al. 2012; Anstett et al. 2015; Johnson et al. 2009;
317 McArt et al. 2013). Here we experimentally show that mixtures of oenothien B, oenothien A, and
318 larger oligomers decrease herbivore performance of both one generalist and one specialist
319 herbivorous moth species. The effects of these ellagitannins were large, whereby even small
320 concentrations (0.25-0.5% of tissue dry weight) resulted in large negative and often lethal effects
321 on the generalist and specialist caterpillars (Fig. 2, Fig. 3). Our results provide some of the
322 strongest direct evidence that ellagitannins are an important anti-herbivore defence, and justify
323 their use as a model for understanding the defensive effects of compounds that potentially create
324 oxidative damage, anti-feedant effects or less likely protein precipitation on insects (Appel 1993;
325 Barbehenn et al. 2008; Salminen and Karonen 2011).

326 **Effects of Chemical Defences on Generalist Versus Specialist Herbivores** While oenothien A,
327 oenothien B and higher oenothien oligomers are important defences against herbivores, they
328 exist within the context of a wider range of anti-herbivore chemical defences. Although these are
329 potent compounds, it is possible that other compounds, such as polyphenol oxidases, can act in
330 conjunction with ellagitannins to generate higher negative impacts on certain herbivores (Kim et
331 al. 2018). This is true for the generalist *S. exigua*, which showed much lower survival when

332 feeding on diet with just 1 mg/g of total phenolics (0% survival) compared to an equivalent
333 concentration of the ellagitannin fractions (74% survival: the results from both fractions) (Fig.
334 3A,B). However, other herbivores may be particularly impacted by just one group of
335 compounds. This was the case for the specialist *S. florida*, which experienced lower survival
336 when feeding on diet with 10 mg/g of the ellagitannin fractions (0% survival: both fractions
337 combined), compared to caterpillars feeding on 10 mg/g total phenolic treatment (33% survival;
338 all genotypes combined) (Fig. 3C, Fig. 3D). However, total phenolics were more harmful at
339 lower concentrations (Fig. 3C; Fig. 3D). Therefore, while the generalist insect may be more
340 impacted by a wider variety of chemical defences, individual compounds may be more effective
341 against the specialist caterpillar *S. florida*, especially if these compounds are at moderate to high
342 concentrations. We interpret these comparisons between *S. exigua* and *S. florida* with an
343 abundance of caution because the methods used for each insect species differed in important
344 ways which may have influenced our results. Moreover, only one generalist and one specialist
345 insect were tested, we caution that it is still unclear if these results are due to differences in diet
346 breadth or differences between the two species unrelated to host specialization.

347 **Limitations** There are four limitations to this study which require consideration when
348 interpreting our results. First, *S. florida* were collected from only a single geographic region
349 (Ontario, Canada). *Oenothera biennis* is known to have lower levels of chemical defences in this
350 region, and particularly lower concentrations of oenothetin A (Anstett et al. 2015), making it
351 possible that the *S. florida* collected may be less adapted to oenothetin A and increased phenolics
352 in general. This issue needs to be further explored by characterizing the genetic diversity of *S.*
353 *florida* resistance to phenolics and oenothetin ellagitannins across multiple regions. Second, *S.*
354 *florida* were placed into the experiment as second and third instar larvae, rather than as recently

355 hatched first instar larvae. If it had been possible to use neonate *S. florida* caterpillars, we may
356 have seen higher mortality. We do not think these limitations are a major concern because earlier
357 mortality would likely increase the effects we observed. Third, the oxidative effects of
358 ellagitannins may be increased in the presence of other metabolites and polyphenol oxidases.
359 While this experiment used enriched fractions of ellagitannins placed into diet, previous work
360 performed in vitro still found strong oxidative activity for these purified compound classes
361 (Barbehenn et al. 2006). Additionally, the results of our study agree with previous conclusions
362 from field experiments about the effects of ellagitannins on herbivores, which found oenothien A
363 was associated with decreased insect herbivore damage (Agrawal et al. 2012; Anstett et al.
364 2015). Finally, the use of an artificial diet formulated for *S. exigua* could have caused nutritional
365 stress for *S. florida* and potentially increased susceptibility to phenolics. This possibility is
366 unlikely to have altered our conclusions because: i) all *S. florida* caterpillars experienced the
367 same base diet; ii) caterpillars were able to complete development on the control diet; and iii)
368 caterpillars showed clear responses to variation in the concentration of ellagitannins. Overall, our
369 results and conclusions are robust to these caveats.

370 **Conclusions** Our findings provide clear and compelling support for the defensive function of
371 macrocyclic ellagitannins against generalist and specialist insect herbivores. This study does not
372 find strong evidence that variation in the composition of ellagitannins strongly influences
373 defences against herbivores as previously claimed (Agrawal et al. 2012; Anstett et al. 2015).
374 Future studies should investigate the physiological mechanisms underlying the defensive
375 function of these compounds in insect guts to further characterize the purported effects of
376 oxidative stress, anti-feedancy, and possibly protein precipitation on insect survival and
377 fecundity. Additionally, characterization of the genes involved in the biosynthesis of oenothien

378 B, oenothien A, and high oligomer ellagitannins would present a major advance in the
379 biochemistry, genetics and evolution of ellagitannin chemistry, and its potential applications.

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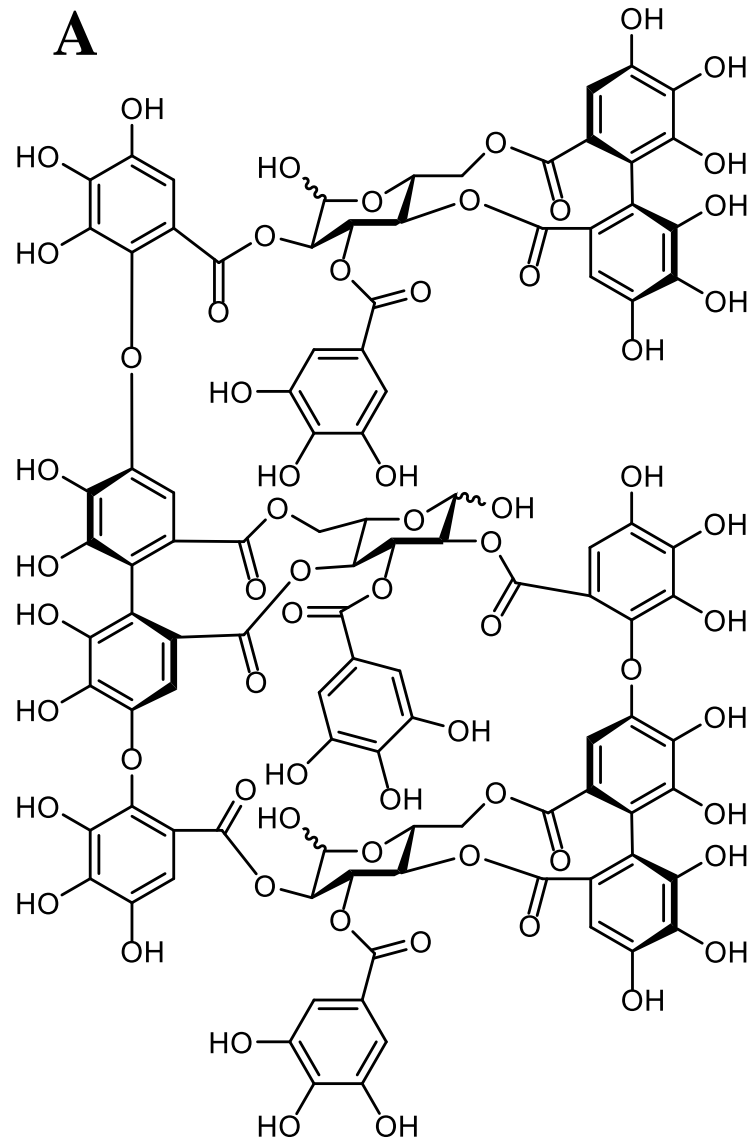
489 **Figure Headings**

490 **Fig. 1** Chemical structures of the two dominant ellagitannins present in *Oenothera biennis*. (A)
491 Trimer oenothetin A and (B) dimer oenothetin B.

492 **Fig. 2** Time-series of the mass of generalist *Spodoptera exigua* fed diet containing (A) total
493 phenolics or (B) oenothetin, and the specialist *Schinia florida* fed diet containing (C) total
494 phenolics or (D) oenothetin. Mass is given on a log scale for *S. exigua* and *S. florida* to aid in
495 visualization. Lines represent the best fit line from linear regression. Individual data points have
496 been removed to reduce clutter and make the overall trends clearer. Data are only present up to
497 day 10 for the control, because all caterpillars in this treatment pupated before day 15.

498 **Fig. 3** Survival results for bioassay experiments with generalist *Spodoptera exigua* when fed diet
499 containing (A) total phenolics or (B) oenothetin, and the specialist *Schinia florida* fed diet
500 containing (C) total phenolics or (D) oenothetin. Each point indicates the mean survival value.
501 Bars indicate standard error. For (D) results are split into two fractions with varying amounts of
502 oenothetin A, oenothetin B, and higher oligomers (see Table S2). Survival is from the entire
503 experiment is displayed. Total phenolics and ellagitannin fractions are given in dry weight units.

504

A**B**