1	Detection of human rhinoviruses by reverse
2	transcription strand invasion based amplification method
3	(RT-SIBA)
4	Kainulainen Veera ¹ , Sonja Elf ² , Petri Susi ³ , Minna Mäki ² , Anne Pitkäranta ^{4, 5} , Janne O. Koskinen ⁶
5	Riitta Korpela, ¹ . <i>Kevin E. Eboigbodin</i> ^{2#}
6	¹ Department of Pharmacology, Faculty of Medicine, University of Helsinki, Helsinki, Finland
7	² Research and Development, Orion Diagnostica Oy, P. O. BOX 83, FI-02101 Espoo,
8	Finland
9	³ Institute of Biomedicine, Faculty of Medicine, University of Turku, Turku, Finland
10	⁴ Faculty of Medicine, University of Helsinki, Helsinki, Finland
11	⁵ Department of Otorhinolaryngology, Helsinki University Hospital, Helsinki, Finland
12	⁶ Research and Development, ArcDia International Oy Ltd, Turku, Finland
13	
14	#corresponding author
15	To whom correspondence should be addressed: Kevin E. Eboigbodin, ¹ Research and
16	Development, Orion Diagnostica Oy, P.O. Box 83, FI-02101, Espoo, Finland, Tel:
17	+358509665879, E-mail: k.e.eboigbodin@gmail.com
18	ORCID:0000-0002-8262-8226
19	

21 **ABSTRACT**

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23 Background: Rhinovirus (RV), a major cause of respiratory infection in humans, imposes an 24 enormous economic burden due to the direct and indirect costs associated with the illness. 25 Accurate and timely diagnosis is crucial for deciding the appropriate clinical approach and minimizing unnecessary prescription of antibiotics. Diagnosis of RV is extremely challenging 26 27 due to genetic and serological variability among its numerous types and their similarity to 28 enteroviruses. 29 **Objective:** We sought to develop a rapid nucleic acid tests that can be used for the detection of 30 Rhinovirus within both laboratory and near patient settings 31 Study design: We developed and evaluated a novel isothermal nucleic acid amplification method 32 called Reverse Transcription Strand Invasion-Based Amplification (RT-SIBA) to rapidly detect 33 Rhinovirus from clinical specimens. 34 **Result:** The method, RT-SIBA, detected RV in clinical specimens with high analytical sensitivity (96%) and specificity (100%). The time to positive result was significantly shorter 35 36 for the RV RT-SIBA assay than for a reference RV nucleic acid amplification method (RTqPCR). 37 38 *Conclusion:* The rapid detection time of the RV SIBA assay, as well as its compatibility with portable instruments, will facilitate prompt diagnosis of infection and thereby improve patient 39 care. 40 41

42 Abbreviations

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- 44 Keywords
- 45 Rhinovirus; virus; RT-SIBA, diagnostics; isothermal; amplification; point-of-care

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49 **1. BACKGROUND**

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Upper respiratory tract infection (URTI), which includes the common cold, is the most 52 prevalent human illness. Rhinoviruses (RVs) are responsible for more than one-half of URTIS 53 ^{1, 2}. RVs are positive-sense, single-stranded RNA viruses that belong to genus *Enterovirus* of 54 family *Picornaviridae*. RVs comprise three species, *Rhinovirus* A, B, and C, and types within 55 are commonly referred to by species letter and number (e.g. rhinovirus A2; RV-A2). To date, 56 over 170 rhinovirus types have been identified, displaying high genetic and antigenic 57 variability ^{2, 3}. RV infection carries an enormous economic burden due to the direct and 58 59 indirect costs associated with the illness. In addition, to causing common cold, these viruses are the causative agents of many cases of severe pneumonia in the elderly and 60 immunocompromised patients, as well as exacerbation of chronic obstructive pulmonary 61 disease and asthma ^{1, 4, 5}. Moreover, rhinovirus infection increases susceptibility to bacterial 62 infection, e.g., by disrupting epithelial cell barrier function and promoting bacterial adhesion 63 and internalization into epithelia ^{6, 7}. 64

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Because respiratory infections can be caused by a variety of viral or bacterial pathogens, accurate and timely diagnosis is crucial for optimal management and treatment. Diagnosis of RVs is challenging due to genetic and antigenic variability among the types, as well as presentation of similar signs or symptoms in patients infected by bacterial or other viral

70 respiratory pathogens. Furthermore, rhinoviruses grow slowly in cell culture, and RV-C is 71 currently uncultivable ^{8, 9}. Development of serology- and antibody-based detection methods has been hampered due to structural diversity of RV types and slowness of the immune 72 73 response to generate specific antibodies. Thus, real-time reverse transcription-polymerase 74 chain reaction (RT-PCR) remains the only sensitive method for diagnosis of RVs ^{10, 11}. RT-PCR is often targeting the highly conserved 5' noncoding region (NCR) of RV types (RV 75 NCR). However, because the RV NCR region is highly similar between entero- and 76 rhinoviruses, most RT-PCR methods cannot distinguish between rhinoviruses and other 77 78 members of the *Enterovirus* genus ¹². Consequently, commercial RV RT-PCR tests report 79 combined results as Rhinovirus + Enterovirus. Furthermore, RT-qPCR requires thermal cyclers and skilled personnel, which are costly and require laboratory environments, limiting 80 its use in field or point-of-care applications. Isothermal nucleic acid amplification methods 81 82 obviate the need for thermal cyclers because the reactions are performed at low and constant 83 temperature.

84 **2. OBJECTIVE**

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In this study, we developed an isothermal nucleic acid amplification method for the detection of RVs. This method, reverse-transcription strand invasion–based amplification (RT-SIBA), was previously shown to be useful for the diagnosis of infectious diseases ¹³⁻¹⁵. In RT-SIBA reactions, RNA is first reverse transcribed into cDNA, and then immediately amplified and detected under isothermal reaction conditions (Figure 1). The SIBA method relies on separation of the target duplex by a recombinase-coated invasion oligonucleotide (IO),

92 allowing the primers to bind and DNA polymerase to extend the target sequence. The 93 continuous strand separation and primer extension lead to exponential amplification of the 94 target RNA under constant temperature. RT-SIBA detected RV in clinical samples with high 95 analytical sensitivity and specificity comparable to diagnostic RT-qPCR methods.

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98 3. STUDY DESIGN

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100 3.1. Microbial strains and clinical specimens

101 RV-A60 and RV-B17 were used as positive controls to establish the analytical sensitivity of 102 the RT-SIBA assay. The 600-bp of the 5' noncoding region (NCR) of RV-A60 and RV-B17 were 103 cloned into their respective pEX-A2 plasmid. (Eurofins, Germany) These plasmid were used as 104 controls for the quantification of RV-A60 and RV-B17 viral RNA using qRT-PCR. A total of 19 microbes (other than rhinoviruses) commonly found in respiratory specimens were used to 105 106 determine the analytical specificity of the assay. A total of 26 respiratory clinical isolates (21 107 rhinovirus, 3 coronavirus, and 2 enterovirus) were from collections of University of Turku 108 (Turku, Finland). A total of 50 retrospective nasopharyngeal (NP) swab specimens were 109 obtained from Helsinki University Hospital (Finland), 26 of which were positive for RV. The 110 specimens were handled and tested anonymously. The ethical approvals as well as patients' consent were obtained to collect and use the specimens for development of diagnostic 111 112 methods and applications. The clinical isolates and the specimens were used to evaluate clinical performance of both the RV SIBA and RV RT-PCR assays. All specimens were 113

obtained and used in accordance with the bioethics policies of Helsinki University Hospitaland University of Turku.

116 3.2. Nucleic acid extraction

RNA was extracted from viral strains, clinical isolates, and NPS specimens using the QIAamp
Viral RNA Mini Kit (Qiagen, Germany). DNA was extracted from microbial strains using the
QIAamp DNA Mini Kit (Qiagen). All extractions were conducted in accordance with the
manufacturer's protocols.

121 3.3. RT-SIBA Rhino A and B assay design

RV 5' NCR sequences were retrieved from GenBank and aligned to identify conserved 122 123 regions. The RT-SIBA assay was designed to detect approximately 65 nucleotides in the 124 conserved 5' NCR of the rhinovirus genome. The conserved 5' NCR RV used for the RT-125 SIBA assay was also selected to have sufficient mismatches with respect to enterovirus (EV) 126 5' NCR sequences allowing better differentiation of EV and RV. A set of primers and invasion oligonucleotides (IOs) were designed to amplify this target region. The RT-SIBA assay used 127 one forward primer, one reverse primer, and one IO each to detect serotypes A and B. The 128 129 serotype RT-SIBA oligonucleotides were approximately 95% identical to those for serotype C (rhinovirus C26). The 5'-end of the IO includes poly-cytosine nucleotides (poly-C) that are 130 non-complementary to the target region (seeding region), which promotes optimal coating of 131 the IO by the recombinase ¹⁶⁻¹⁸. Furthermore, the 3'-end of the IO are modified with 2'-O-132 133 methyl RNA nucleotides in order to prevent IO DNA polymerase extension. The oligonucleotides used in this study were purchased from Integrated DNA Technologies 134

(Leuven, Belgium). Primers and IOs were purified by reverse-phase HPLC and PAGE,
respectively. The sequences of oligonucleotide used in this study are provided in Table 1.

137 3.4. RV SIBA reaction

RT-SIBA reactions were performed using the SIBA reagent kit (Orion Diagnostica Oy, Espoo, 138 139 Finland) with the addition of 16 U of GoScript Reverse Transcriptase (Promega, Madison, 140 USA). UvsX and Gp32 were used at 0.25 mg/ml and 0.4 mg/ml, respectively. The reactions 141 were initiated with 10 mM magnesium acetate. Forward and reverse primers were used at final concentrations of 200 and 400 nM, respectively. SIBA reactions were optimized for rapid 142 detection of types A and B by determining the optimal IO concentration and ratio of A and B 143 144 IOs. Both IOs were tested at final concentrations of 100, 200, 300, and 400 nM. The optimal ratio of IOs was used for subsequent RV RT-SIBA experiments. 145

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SIBA products were detected using SYBR Green 1 (dilution, 1:100,000; Thermo Fisher Scientific, Waltham, MA, USA). The standard SIBA reaction volume was 20 µl, including 2 µl of template. Reactions were incubated at 41°C for 60 min, and fluorescence readings were taken at 60 s intervals on a Bio-Rad CFX 96 (Bio-Rad Laboratories). A melting curve profile (40–95°C) was generated after each amplification reaction to further verify that the reaction products were specific.

153 3.5. Rhinovirus RT-PCR

The performance of the RT-SIBA assay was compared to highly sensitive RT-PCR assay for
 the detection of entero- and rhinoviruses ¹⁰. This assay uses entero+rhinovirus-specific 5'

NCR primers for RT-PCR followed by rhinovirus-specific LNA probes for specific detection of rhinoviruses. One-step RT-PCR reactions were performed using the Express One-Step SuperScript® qRT-PCR SuperMix Kit (Thermo Fisher Scientific). Primers and probes were used at 600 nM and 100 nM, respectively. Reaction products were detected on a CFX 95 PCR instrument (Bio-Rad Laboratories, Finland). The following thermal cycling protocol was used: 50°C for 15 min (cDNA synthesis), 95°C for 2 min (reverse transcription and UDG inactivation), and 50 cycles of 95°C for 15 s and 60°C for 60 s (PCR amplification).

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164 **4. RESULTS**

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166 4.1. Optimization of the RV SIBA assay

167 The rhino RT-SIBA uses two sets of oligonucleotides (one for RV-A and one for RV-B), allowing the detection of the members of species Rhinovirus A and or Rhinovirus B within the 168 same reaction tube. We reasoned that these sets of oligonucleotides, particularly the IOs, 169 170 could compete among themselves, resulting in sub-optimal amplification conditions. Therefore, we sought to determine the optimal concentrations of RV-A and RV-B IO (RV-A-171 172 IO and RV-B-IO) that would efficiently amplify both RV-A and and RV-B RNA in the same 173 reaction tube. To this end, we conducted the RV RT-SIBA assay using 100–400 nM of each 174 IO, and determined the effect of IO concentration on the detection time of RV A and B types. 175

The amounts of time required to obtain a positive result from 1000 copies of RV-A and RV-BRNA per reaction are shown in Figure 2. A result was considered positive when the

178 fluorescence signal exceeded the background signal. The amount of time to obtain a positive result for RV-A decreased (i.e., the amplification rate was faster) as the concentration of RV-179 A-IO increased from 100 to 400 nM. However, an increase in the concentration of RV-A-IO 180 181 also led to a dramatic increase in the time taken to detect RV-B virus (i.e., the amplification 182 rate for RV-B RNA was slower). Similarly, increasing the RV-B-IO concentration from 100 to 400 nM decreased the detection time for RV-B RNA but increased the detection time for RV-183 184 A RNA. These results suggested that RV-A-IO and HRV-B-IO compete for reaction components. Based on optimization results, we performed subsequent experiments using RV-185 A-IO and RV-B-IO at final concentrations of 200 and 300 nM, respectively. These 186 187 concentrations allowed for optimal detection of RV-A and -B in the same reaction tube.

188 4.2. Analytical sensitivity and specificity of the HRV SIBA and RT-PCR assay

The analytical sensitivities of the RV SIBA and RT-PCR assays were elucidated using 189 190 quantified RNA extracted from rhinovirus A60 and B17. These experiments were performed in three independent replicates by adding serial dilutions of RNA (from 1 to 10⁵ copies per 191 reaction). Each RNA dilution was performed in triplicate, and the results are shown in Figure 192 193 3 and Table 2. The RV SIBA assay detected as little as 10 copies of either rhinovirus A or B 194 RNA. The RV SIBA assay detected 1000 copies of RV RNA in less than 30 min. In comparison, the lowest concentration of RV-A and RV-B RNA detected by RT-PCR was 1000 195 196 and 100 copies, respectively. Furthermore, we performed Probit regression analysis to determine the limited of detection (LOD) for both RV RT-SIBA and RV RT-PCR, which is the 197 concentration of RV RNA that were detected 95% of the time. Serial dilutions of quantified 198 199 RV-A RNA and RV-B RNA from 10⁴ to 10⁰ copies were used. Each RNA dilution were

performed using eight replicates. The LODs of RV RT-SIBA, for RV-A RNA and RV-B RNA
were 31 and 15 copies per reaction, respectively. Meanwhile, The LODs of RV RT-PCR, for
RV-A RNA and RV-B RNA were 662 and 17 copies per reaction, respectively. Thus, the RV
RT-SIBA assay was more sensitive than the RV RT-PCR for detection of purified RV RNA
particularly RV-A RNA. Furthermore, the time to positive results was significantly shorter for
RV RT-SIBA than for RV RT-PCR, which took approximately 2 h.

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The analytical specificities of the RV RT-SIBA and RV-RT-PCR assays were determined by challenging the assays with DNA or RNA extracted from 19 microbial strains commonly found in respiratory specimens (Table 2). None of these 19 microbes were detected by either assay, indicating that both assays are highly specific for the detection of RV.

4.3. Evaluation of HRV SIBA and RT-PCR assays using clinical isolates and NPS specimens

We compared the performance of RV SIBA and RT-PCR assays using RNA extracted from 213 26 respiratory clinical isolates containing 21 rhinoviruses, 3 coronaviruses and 2 214 enteroviruses (Table 3). Both the RV SIBA and RT-PCR assays only detected RV clinical 215 isolates, and did not cross-react with coronaviruses or enteroviruses. The RV SIBA assay 216 detected 20 of 21 RV isolates, whereas RT-PCR detected 21 of 21 HRV isolates.

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We further evaluated the performance of both the RV SIBA and RT-PCR assays using 50 retrospective NPS specimens previously determined to be positive(N=26) or negative (N=24) for RV. The results are shown in Table 4. The RV SIBA assay detected 25 of 26 RV-positive specimens, whereas RV RT-PCR detected 26 of 26 RV-positive specimens. None of the

222 negative RV NPS specimens was detected by the RV SIBA assay, whereas RV RT-PCR 223 returned a positive result for one of the negative specimens. Based on comparisons with the previous results, the sensitivities and specificities of RV detection were 96% (95% CI: 81-224 225 100%) and 100% (95% CI: 86–100%), respectively, for the RV SIBA assay, and 100% (95% 226 CI: 87–100%) and 100% (95% CI: 96–100%), respectively, for the RV RT-PCR assay. This suggests that the RV SIBA assay is more specific, but slightly less sensitive, than RV RT-227 228 PCR for the detection of NPS specimens. However, the RV SIBA assay had a significantly shorter time to positive result than the RV RT-PCR assay. 229

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231 **5. DISCUSSION**

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Diagnosis of RV is extremely challenging due to genetic and serological variability among its 233 numerous types and their similarity to enteroviruses^{8,9}. Nucleic acid amplification test 234 (NAAT), i.e., RT-PCR, remains the most sensitive method for rhinovirus detection. These 235 phenomena are responsible for inconsistent performance of most previously reported RV RT-236 237 PCR assays ¹². Furthermore, due to the requirement for thermal cycling instruments and skilled personnel, RT-PCR reactions are costly. Alternative NAAT techniques, such as 238 isothermal nucleic acid amplification test (iNAAT), aim to overcome the problem of expensive 239 240 instrumentation.

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In this study, we developed and evaluated an iNAAT, RT-SIBA, for rapid detection of RV. RTSIBA uses a reverse transcriptase, recombinase, and recombinase-coated oligonucleotide to

catalyze separation of a specific target sequence within the RV genome. This setup enables
target-specific RV primers to exponentially amplify the target sequence under isothermal
conditions. This method was previously shown to be a rapid and highly sensitive technique
for the detection of infectious diseases ^{13-15, 19, 20}. The RV SIBA assay uses two sets of
oligonucleotides, allowing for detection of RV-A and RV-B in a single reaction tube.

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250 We compared the performance of our RV SIBA assay with that of a previously published RV RT-PCR method ¹⁰. We found that the RV SIBA assay was more sensitive for the detection 251 of low copy numbers of quantified RV RNA than the RV RT-PCR assay. In addition, we 252 253 compared the performance of the RV SIBA and HRV RT-PCR assays using clinical isolates and NPS specimens. On the NPS specimens, the sensitivity and specificity of the RV SIBA 254 assay were 96% and 100%, respectively, whereas those of the RV RT-PCR assay were 255 256 100% and 100%, respectively. Thus, RV SIBA assay exhibited slightly lower sensitivity than 257 RV RT-PCR for the detection of RV from NPS specimens. The time to positive results was 258 significantly shorter for RV SIBA than for the RV RT-PCR assay. A loop-mediated 259 isothermal amplification method (LAMP) for the detection of RV had previously been reported²¹. Both SIBA and LAMP displayed similar performance with respect to the detection 260 of RV from clinical specimens. However, SIBA displayed a faster time the LAMP particular 261 262 for the detection of Rhinovirus B virus.

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The results of RV SIBA and RV RT-PCR were well correlated. However, to further evaluate the performance of both RV assays, it will be necessary to test a larger set of clinical specimens. Another limitation of our RV SIBA assay is that it was not tested with RV-C, mainly

due to the unavailability of well-characterized RV-C types. The oligonucleotides used in our 267 268 assay share approximately 95% identity with RV-C26, suggesting that the assay could also detect RV-C types. However, it may be necessary to include additional oligonucleotides to 269 facilitate optimum detection of RV-C. Because the RV SIBA assay can be performed at low 270 271 and constant temperature, the reactions can be performed using relatively small and 272 inexpensive fluorescence readers. The shorter detection time of the RV SIBA assay, along 273 with its compatibility with portable devices, will facilitate timely diagnosis of infection, thereby 274 improving patient care and helping in avoiding unnecessary use of antibiotics.

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284 **Conflict of interest**

- SE, MM and KE are employees of Orion Diagnostica Oy. All SIBA patents/patent applications
- are owned by Orion Diagnostica Oy. SE and KE are named inventors in SIBA patents
- applications. VK, PS, AP, JK, RK declare no conflict of interest.
- 288 **Ethical approval:** The ethical approvals as well as patients' consent were obtained to collect
- and use the specimens for development of diagnostic methods and applications
- 290

292 Table 1. Oligonucleotides used for RV SIBA assay

Name	Sequence 5'> 3'			
HRV-A F-primer	TGCACTAGCTGCAGGGTTA			
HRV-A R-primer	GTGTGCTCACTTTGAG			
HRV-B F-primer	GTCTCAAGGCTCCAGGGTTT			
HRV-B R-primer	GTGTGCTTAATTCTGAG			
HRV-A IO	CCCCCCCCCCCCAGGGTTAAGGTTAGCCACATTCAGGGGmCmCmGmGmAmGmGmAmCmUmCmA			
HRV-B IO	CCCCCCCCCCCCAGGGTTTAGGTTAGCCGCATTCAGGGGmCmCmGmGmAmGmGmAmCmUmCmA			
For invasion oligonucleotide (IO), bold sequences denote non-homologous seeding regions. mA, mC, mG, and mU denote 2'-O-methyl RNA nucleotides. F, forward; R, reverse; HRV, Human Rhinovirus; SIBA, strand invasion–based amplification; HRV-A assay is designed to detect the sequence between position 421 and 483 within the genome of the Human rhinovirus 60 strain ATCC VR-1473 (GenBank: FJ445133.1); HRV-B assay is designed to detect the sequence between position 429 and 493 within the genome of the Human rhinovirus 17 (GenBank: AF542419.1)				
293				

297 Table 2. Analytical sensitivity and average detection time of RT-SIBA vs. RT-PCR for the detection

- 298 of RV
- 299

300	RNA copy number/	RT-SIBA		RT-PCR	
301	reaction	Average amount of time taken		Average cycle threshold	
302		to achieve positive results (min)		to achieve positive results (Ct)	
303					
304		HRV-A	HRV-B	HRV-A	HRV-B
305	10 ⁵	20	20	25	22
306	10 ⁴	23	24	29	26
307	10 ³	25	28	34	29
308	10 ²	28	31	ND	33
309	10 ¹	40	34	ND	ND
310	0	ND	ND	ND	ND
311					
312					

Table 3. List of microbes used for cross-reactivity testing

Microbial strains	HRV SIBA	HRV PCR	
	result	result	
Rhinovirus A60	+	+	
Rhinovirus B17 VR-1663	+	+	
Enterovirus 71 ATCC VR-1432	-	-	
Streptococcus pyogenes NCTC 9994	-	-	
Streptococcus dysgalactiae ATCC 12388	-	-	
Streptococcus pneumoniae ATCC 6305	-	-	
Streptococcus agalactiae ATCC 12386	-	-	
Escherichia coli ATCC 25922	-	-	
Klebsiella pneumoniae	-	-	
Neisseria sicca 29193	-	-	
Neisseria meningitides BAA 335	-	-	
Staphylococcus aureus ATCC 6538	-	-	
Staphylococcus epidermidis 2954	-	-	
Parainfluenza virus 1 ATCC VR-94	-	-	
Coronavirus ATCC-VR-740	-	-	
Adenovirus 1 ATCC VR-1	-	-	
Adenovirus 7 ATCC VR-7	-	-	
Human respiratory syncytial virus A ATCC VR-1540	-	-	

Human respiratory syncytial virus B ATCC VR-1400	-	-
Influenza A VR-1736	-	-
Influenza B VR-1813	-	-

Microbial strains*	HRV SIBA	HRV PCR	
	result	result	
HRV-A1b	+	+	
HRV-A2	-	+	
HRV-A12	+	+	
HRV-A16	+	+	
HRV-A20	+	+	
HRV-A30	+	+	
HRV-A34	+	+	
HRV-A40	+	+	
HRV-A44	+	+	
HRV-A58	+	+	
HRV-A66	+	+	
HRV-A74	+	+	
HRV-A78	+	+	
HRV-A80	+	+	
HRV-A85	+	+	
HRV-A90	+	+	
HRV-A95	+	+	
HRV-B14	+	+	

HRV-B26	+	+
HRV-B52	+	+
HRV-B99	+	+
CV-B3	-	-
CV-B4	-	-
CV-A9	-	-
EV-11	-	-
EV-30	-	-

324 *HRV, human rhinovirus; CV, coronavirus; EV, enterovirus.

Table 5. Clinical performance of RV SIBA assay vs. RT-PCR assay

for detection of RV virus types

	HRV SIBA		HRV PCR	
	Positive	Negative	Positive	Negative
Positive	25	1	26	0
Negative	0	24	1	23
Total no. of samples 50		0	50	
Sensitivity (95% CI)	96% (81–100)		100% (87–100.0)	
Specificity (95% CI)	100 % (86–100)		100 % (86–100)





333 Figure 1. Rhinovirus amplification by reverse-transcription strand invasion-based amplification (RT-

SIBA). 1) Rhinovirus RNA is reverse transcribed to cDNA by the reverse transcriptase enzyme 2) SIBA amplification requires an invasion oligonucleotide (IO) and two target-specific primers. 3) Single strand binding protein, Gp32 binds to oligonucleotides in order to reduce the formation of secondary structures. The recombinase protein, UvsX, coats the IO displacing the bound Gp32. 4) The recombinase-IO complex invades and separates the target duplex. 5) This allows target-specific primers to bind and extend the target via the action of a DNA polymerase. 6) This leads to the synthesis of two copies of the target duplex. 7) The continuous recombinase-mediated target duplex separation and DNA polymerase extension process leads to an exponential amplification under isothermal conditions.

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- 345 Figure 2. Optimization of RV SIBA reaction conditions using different invasion oligonucleotide (IO)
- 346 concentrations. (A) Amplification of 1000 copies of rhinovirus A60 RNA. (B)
- 347 amplification of 1000 copies of rhinovirus B17 RNA; HRV-A-IO, rhinovirus A invasion
- 348 oligonucleotide; RV-B-IO, rhinovirus B invasion oligonucleotide.
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- 351



355 Figure 3. Sensitivity of RV SIBA assay for detection of rhinoviruses (RVs). (A)

356 Rhinovirus A60 RNA. (B) rhinovirus B17 RNA; NTC, no template control.

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