

1 Surface modification of upconverting
2 nanoparticles by layer-by-layer assembled
3 polyelectrolytes and metal ions

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15 **KEYWORDS:** layer-by-layer; nanoparticles; polyelectrolytes; lanthanides; NaRF₄;
16 upconversion luminescence

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24 **ABSTRACT**

25 Modifying and protecting the upconversion luminescence nanoparticles is important for
26 their potential in various applications. In this work we demonstrate successful coating of the
27 nanoparticles by a simple layer-by-layer method using negatively charged polyelectrolytes
28 and neodymium ions. The layer fabrication conditions such as number of the bilayers,
29 solution concentrations and selected polyelectrolytes were studied to find the most suitable
30 conditions for the process. The bilayers were characterized and the presence of the desired
31 components was studied and confirmed by various methods. In addition, the upconversion
32 luminescence of the bilayered nanoparticles was studied to see the effect of the surface
33 modification on the overall intensity. It was observed that with selected deposition
34 concentrations the bilayer successfully shielded the particle resulting in stronger
35 upconversion luminescence. The layer-by-layer method offers multiple possibilities to control
36 the bilayer growth even further and thus gives promises that the use of upconverting
37 nanoparticles in applications could become even easier with less modification steps in the
38 future.

39

40 **INTRODUCTION**

41 In upconversion luminescence low energy radiation (usually near infra-red, NIR) is converted
42 into higher energy radiation such as UV-vis range (300–700 nm)[1]. This can be obtained
43 using combinations of lanthanide ions such as Yb^{3+} - Er^{3+} or Yb^{3+} - Tm^{3+} . It has several
44 potential applications ranging from medical imaging [2] and biomedical assays [3] into
45 wavelength conversion in solar cells [4] and enhancement of photosynthesis [5]. Especially
46 the biomedical applications benefit from the low autofluorescence of upconversion materials
47 and the possibility to use challenging matrices such as whole blood which limits the use of
48 conventional UV excited labels because of its strong absorption below 600 nm [3]. Using

49 NaYF₄ as a host material is common because of its hexagonal structure (β -NaRF₄; P6₃/m
50 (#176), Z: 1.5 [6, 7]) that has superior upconversion luminescence compared to that of the
51 cubic structure (α -NaRF₄; Fm $\bar{3}$ m (#225), Z: 2 [7]) [6, 8]. Although, the hexagonal structure
52 has the strongest upconversion luminescence it still has some major drawbacks such as low
53 quantum yield and considerable quenching of upconversion luminescence when in direct
54 contact with water [9, 10].

55 Recently, the research on these materials has focused on shielding the upconversion
56 luminescence of the materials by creating core-shell structures to prevent quenching by
57 surface defects and water or cross-relaxation processes between used lanthanide ions [11, 12].
58 Shielding the upconversion materials, especially those used in biomedical applications, is
59 vital because their tendency to disintegrate in solutions and thus quenching the luminescence
60 [13, 14]. In addition, neodymium has been studied as an absorber instead of ytterbium
61 because of the advantages it has in biomedical use. These advantages involve shifting the
62 excitation wavelength from 980 to 808 nm resulting deeper penetration range in the tissue
63 and less overheating due to laser absorbance [15–17]. Neodymium is then transferring the
64 absorbed excitation energy through the lattice via Yb³⁺ ions that are more efficient in feeding
65 the emitting ions (*e.g.* Er³⁺ or Ho³⁺). This can be done with using core-shell structure having
66 absorbing and emitting ions at the close range [18] or introducing an inert energy migration
67 layer between the layers where the absorbing Nd³⁺ and emitting ion are located [19]. Using
68 neodymium as an absorber alongside with ytterbium could also benefit having more
69 absorption lines to gather energy more efficiently for the upconversion.

70 One option to coat wide range of different materials is to prepare oppositely charged layers
71 with layer-by-layer method [20–22]. This method has been used for coating solid surfaces
72 and creating thin bilayer films of polymers but it is also possible to use it for coating
73 nanoparticles [23–25]. The bilayers are usually made with oppositely charged

74 polyelectrolytes but it is also possible to build them with negatively charged polyelectrolyte
75 and positive metal ions as a cationic component [26, 27].

76 Different polymers and surfactants have been studied with upconversion nanoparticles partly
77 because of their effect on nanoparticles colloidal stability, but also because they help the
78 further use in biomedical field by adding more contacting sites to attach linking components.

79 One of the remaining problems is that the intensity of upconversion luminescence quenches
80 significantly when surfactants are used and the particles are introduced into aqueous solvents
81 [28]. Recently, it was demonstrated that coating $\text{NaYF}_4:\text{Yb}^{3+},\text{Tm}^{3+}$ nanoparticles by

82 poly(acrylic acid) enabled attachment of Cu^{2+} onto the particle surface which subsequently
83 was able to coordinate into molecules [29]. To the authors' knowledge no investigations has
84 been made to see how the layer-by-layer formation on to the nanoparticle surface using metal
85 cations (in our case lanthanide ions) and polyelectrolytes is obtained. Also it was in our
86 interest to observe if the upconversion luminescence can be maintained or even enhanced by
87 using the lanthanide ions as sensitizers in bilayer assemblies on the material surface, thus
88 mimicking the core-shell structure.

89 In this work, the upconverting $\beta\text{-NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$ nanoparticles synthesized with a modified
90 [30] high-temperature co-precipitation synthesis [31] were coated with either polyphosphate
91 (PP) or poly(acrylic acid) (PAA) and Nd^{3+} ions to form bilayers. Both of the polyelectrolytes
92 were primarily chosen because of their probability to adhere on neodymium ions, but also
93 because of polyphosphates possibility to enhance the luminescence properties [32] and the
94 use of poly(acrylic acid) in further modifying the particles for applications [33]. The number
95 of bilayers and the (ionic) concentrations of used solutions were studied to see how they
96 affect the formation of bilayers.

97

98 **EXPERIMENTAL SECTION**

99 **Reagents.** Potassium polyphosphate (PP, potassium metaphosphate (KO₃P)_n, ABCR),
100 Poly(acrylic acid) (M_w ≈ 100,000, PAA, (C₃H₄O₂)_n, 35 wt-% in H₂O, Aldrich), sodium
101 chloride (NaCl 99.5 %, J.T. Baker), rare earth(III) chloride hexahydrate (RCl₃·6H₂O R: Y,
102 Yb, Er 99.99% and Nd 99.9 % purity with respect to other rare earths, Sigma-Aldrich) and
103 hydrochloric acid (HCl 37 % puriss p.a., Sigma-Aldrich). Absolute ethanol was used as
104 received.

105

106 **Materials preparation.** The β-NaYF₄:Yb³⁺,Er³⁺ nanomaterials were prepared with the
107 synthesis procedure reported previously [30] using dopant concentrations of x_{Yb}: 0.17 and
108 x_{Er}: 0.03. Coating solutions were prepared with 5/10/20 mM (monomer concentration of
109 polyelectrolytes) of polyelectrolyte (polyphosphate or poly(acrylic acid)) solubilized in
110 aqueous solution of 0.05, 0.1 or 0.2 M NaCl. Similarly, an aqueous solutions of 5/10/20 mM
111 NdCl₃·6H₂O were prepared in quartz distilled H₂O. pH of the solutions was unadjusted and
112 *ca.* 5.

113

114 **Removal of the oleic acid surface.** The oleic acid was removed from the nanoparticle
115 surface with a modified procedure [29, 34] where nanoparticles were suspended in 1 ml of
116 absolute ethanol and the pH was adjusted with concentrated HCl (pH < 2). Suspension was
117 ultrasonicated for 60 minutes and after that centrifuged (50 000g, 10 min). The procedure was
118 repeated twice. Oleate free nanoparticles were then dried in a desiccator for further
119 characterization.

120

121 **Layer-by-layer coating of the nanoparticles.** The coating cycle of the core particles
122 involved the particles (dry powder, *ca.* 50 mg) to be dispersed in the desired coating solution
123 (usually 10 mM of polyelectrolyte, referring to monomer concentrations (PAA, PP) or NdCl₃,

124 total volume 1 ml), ultrasonicated for two minutes and then collected via centrifuging
125 (50 000g, 10 min). After removing the coating solution the particles were washed two times
126 to remove unattached material using quartz distilled H₂O and collected via centrifuging. The
127 charged layers were deposited in similar manner until desired amount of bilayers was
128 achieved. After the final layer the nanoparticles were dried in a desiccator and characterized.

129

130 **Characterization** The core particle structure was determined at room temperature with the
131 X-ray powder diffraction (XRD) using a Huber G670 image plate Guinier camera (Cu K_{α1}
132 radiation, 1.5406 Å) with a 2θ range of 4-100° (step 0.005°). Data collection time was 30 min
133 and 10 data reading scans of the image plate. From this data the crystallite size of the core
134 material was calculated with the Scherrer formula [35] using reflections (002) and (200) for
135 the thickness and width of the hexagonal faces, respectively. The particle morphologies were
136 determined with a JEM-1400 Plus transmission electron microscope (TEM) equipped with
137 OSIS Quemesa 11Mpix bottom mounted digital camera. The used acceleration voltage was
138 120 kV or 40 kV depending on the grid used. Before the measurements the samples were
139 prepared dispersing the nanomaterials into ethanol and then drying a drop on the surface of a
140 copper grid (120 kV) or graphene oxide coated copper grid (40 kV).

141 The presence of the polyelectrolytes was studied with Fourier transformed infrared spectra
142 (FT-IR) using Bruker Vertex 70 using MVP Star Diamond setup using 32 scans between 450
143 and 4500 cm⁻¹. The resolution was 4 cm⁻¹. The surface ions were probed with reflection
144 spectra measured using Avantes Avaspec-2048×14 fiber spectrometer between 350 and 1000
145 nm. As a light source a 60 W incandescent light bulb was used and the measurements were
146 done with integration time of 400 ms and 30 scans. The elemental composition of the
147 products was studied with X-ray fluorescence spectroscopy (XRF) using PANalytical
148 Epsilon 1 apparatus using its internal Omnian calibration with an average of four scans. The

149 qualitative thermal behavior of the layered materials was studied with one measurement per
150 sample using a TA Instruments SDT Q600 TGA-DSC apparatus. The measurements were
151 made between 35 and 600 °C with heating rate of 10 °C/min using flowing air sphere (100
152 ml/min). The error for weight in this setup is *ca.* 1 %. Zeta potential for studying the layer
153 formation was measured using Malvern Zetasizer Nano-ZS equipment with three parallel
154 measurements. The concentration of aqueous solutions was 100 µg/ml with pH of *ca.*6.
155 The upconversion luminescence spectra with 973 nm excitation were measured at room
156 temperature with an Avantes Avaspec HS-TEC spectrometer using the average of 20 scans. A
157 fiber-coupled continuous NIR laser diode IFC-975-008-F (Optical Fiber Systems) with
158 973 nm ($10\,270\text{ cm}^{-1}$) was used as an excitation source with current power of 5000 mA. Dry
159 nanomaterials were held inside a rotating capillary tube. After the sample a short-pass filter
160 with a cutoff of 750 nm (Newport) was used to exclude excitation radiation. The emission
161 was collected at a 90° angle to the excitation and directed to the spectrometer with an optical
162 fiber. For the 808 nm excited upconversion luminescence a continuous NIR fiber laser
163 WSLX-808-004-H (Wave spectrum) at 808 nm ($12\,380\text{ cm}^{-1}$) was used as an excitation
164 source with current power of 4500 mA. The measurement system and conformation were the
165 same as with the measurements done with 973 nm excitation with an exception that after the
166 sample a 700 nm filter (Newport) was used.

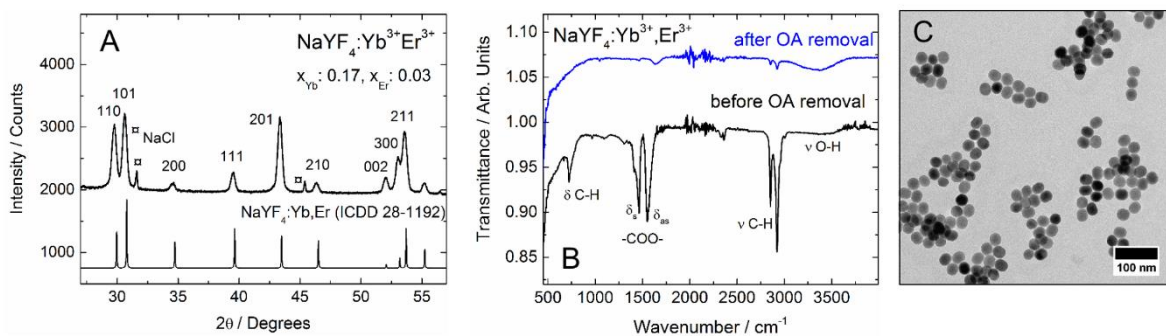
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168 **RESULTS AND DISCUSSION**

169 **Defining the core particles**

170 The core nanoparticles were successfully prepared with previously reported synthesis method
171 using 1-octadecene and oleic acid [30] and were of pure hexagonal form (Figure 1). The
172 average crystallite size of the used $\beta\text{-NaYF}_4\text{:Yb}^{3+},\text{Er}^{3+}$ core material was 22 ± 1 nm for the h00
173 and 29 ± 2 nm for the 00l planes (Table S1). TEM images of the core nanoparticles revealed

174 that they are evenly sized and nearly spherical which makes them good candidates for the
 175 layering process as the surface is equal for possible attaching sites. The removal of the oleic
 176 acid on the as-prepared particle surface before the layer-by-layer treatments was confirmed
 177 by FT-IR measurements (Figure S1). The distinguishable vibrations of oleic acid between
 178 1400-1500 cm^{-1} [34] have disappeared from the washed materials suggesting successful
 179 removal of the oleic acid surfactant. It is possible that some un-capped oleic acid is still
 180 present in the dried material as small amounts of C-H vibrations are visible near 2900 cm^{-1} .



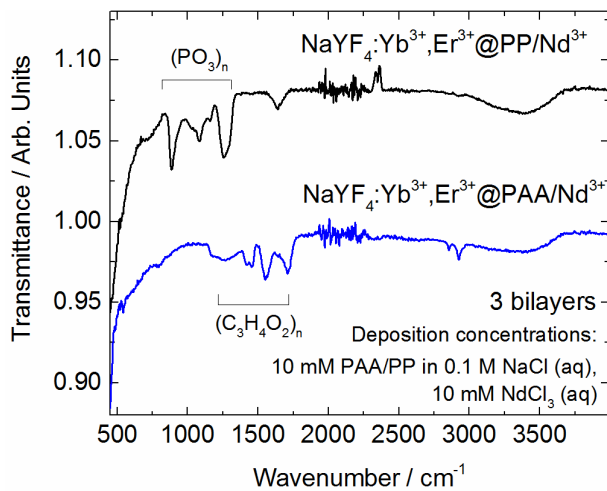
181
 182 **Figure 1.** The X-ray diffraction pattern with a hexagonal reference [7] (A), FT-IR spectra
 183 before and after the oleic acid (OA) removal (B) and a TEM image (C) of the nanoparticles
 184 used as a core material. The scale bar represents 100 nm.

185
 186 **Investigating the layer-by-layer formation**

187 The formation of the polyelectrolyte-neodymium bilayers was studied by various methods as
 188 in most cases only either part of the studied bilayer was visible.

189 From the FT-IR spectra of the materials coated with poly(acrylic acid), the fingerlike
 190 vibrations of PAA ranging from 1000 to 1750 cm^{-1} could be observed with all of the
 191 materials that should contain the polyelectrolyte (Figure 2, S2) [36]. These vibrations were
 192 also distinguishable from those of oleic acid when the unwashed oleic acid capped material is
 193 compared to the layered materials. The C-H vibrations at 2900 cm^{-1} were observed with all
 194 materials, but they are also present in the spectra of the core material indicating that even

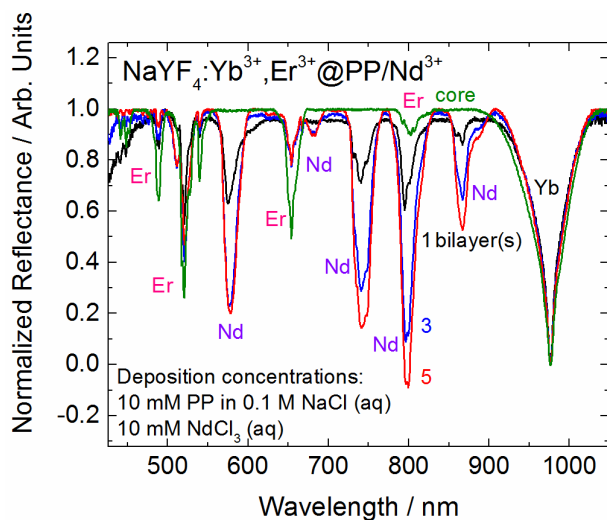
195 though the characteristic oleic acid vibrations are nearly invisible there might be traces of it
 196 left. When the core materials were layered by polyphosphate (PP), vibrations from the
 197 polyelectrolytes shifted to lower wavenumbers being at 900-1400 cm^{-1} . These vibrations were
 198 present in all of the materials that should contain polyphosphate. With increasing amount of
 199 bilayers it seemed that the vibrations for polyelectrolytes grew stronger which could indicate
 200 that there is increasing amount of polyelectrolytes on the surface.



201
 202 **Figure 2.** FT-IR spectra of the $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$ with three bilayers of PP/Nd^{3+} or PAA/Nd^{3+}
 203 prepared with deposition concentrations of 10 mM PAA/PP in 0.1 M NaCl (aq) and 10 mM
 204 NdCl_3 (aq).

205
 206 The lanthanides have distinguishable absorption bands from each other. This makes it
 207 possible to probe the lanthanides present in the material surface using reflectance
 208 spectroscopy. The core materials have absorption bands that can be pointed directly either to
 209 Er^{3+} (490, 520, 540 650 and 800 nm) and Yb^{3+} (975 nm) ions. After the layer-by-layer
 210 process absorptions that can be assigned for Nd^{3+} ions (575, 740, 795 and 870 nm) arise.
 211 When the reflection spectra was normalized to Yb^{3+} absorption it was observed that the
 212 absorption lines specific to neodymium increased with increasing amount of bilayers while
 213 the absorptions that can be pointed to Er^{3+} remained the same or weakened (Figure 3, S3).

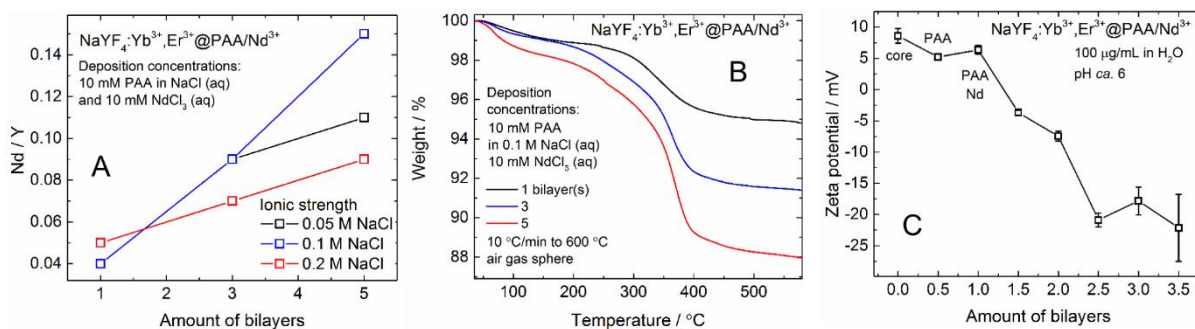
214 This suggests that there is increasing amount of neodymium present on the surface when the
215 amount of bilayers is increasing.



216
217 **Figure 3.** Reflectance spectra of the NaYF₄:Yb³⁺,Er³⁺ core and 1, 3 and 5 bilayers of PP/Nd³⁺
218 prepared with deposition concentrations of 10 mM PP in 0.1 M NaCl (aq) and 10 mM NdCl₃
219 (aq).

220
221 From the X-ray fluorescence spectroscopy it was possible to confirm the presence of the
222 neodymium in the materials. Using different ionic strengths of NaCl for polyelectrolyte
223 solutions in the layering process the difference in the amount of attached neodymium could
224 be observed when compared to yttrium amount. It seemed that using 0.1 M ionic
225 concentration of NaCl would be optimal for getting the maximum amount of Nd³⁺ ions on to
226 the surface (Figure 4A, Table S2-S3). The pH of the polyelectrolyte solutions is expected to
227 play more important role in the loading of Nd³⁺ ions than the ionic concentration because the
228 latter only affects the packing of the polyelectrolyte chain [37] whereas the pH affects to the
229 ionization of the polyelectrolyte chain. However, as the polyelectrolyte chain opens it could
230 offer more attaching sites for Nd³⁺ ions compared to more compact chain which might result
231 this increase. When the nanoparticles were coated by polyphosphate the amount of phosphor
232 on the surface could also be seen increasing with increasing amount of bilayers from the XRF

233 but the results are not as reliable as for the lanthanides since the phosphor is either out of the
 234 detection range of the equipment or the amount of phosphor is too low for efficient detection
 235 during the first bilayers. This could be observed from the materials that had polyphosphate
 236 related vibrations in the IR spectra but no phosphor observed in the XRF. However, it seemed
 237 that also for the layering of the polyphosphate the 0.1 M NaCl is optimal ionic concentration.



238

239 **Figure 4.** XRF analysis (A), thermal analysis (B) and zeta potential (C) of the

240 $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}@PAA/\text{Nd}^{3+}$ with different amount of bilayers.

241

242 Thermal analysis was used to observe the poly(acrylic acid) on the nanoparticle surface as it
 243 can be burned off using an artificial air sphere while the particle itself still remains intact at
 244 500 °C. With increasing amount of PAA the weight loss was larger suggesting successful
 245 layering of the nanoparticles (Figure 4B, S4). If the weight loss was plotted against the
 246 layering cycles it could be seen that as with the other methods, ionic concentration of 0.1 M
 247 NaCl is the most effective in forming bilayers. Thermal analysis was also made from the
 248 materials where the layering was studied within half bilayers (Figure S5). From those curves
 249 it could be observed that when the polyelectrolyte layer is introduced the weight loss
 250 increases. When the complementary positively charged ion layer is added the weight loss
 251 decreases suggesting that the Nd^{3+} ions are now present in the bilayer and remain in the
 252 sample pan as the polyelectrolyte is burned off. If the materials were layered with PP there
 253 were no significant changes in the mass curve of the nanoparticles as it remained unchanged

254 during the heating. However, it was observable that there were still some organic residues
255 especially in the first bilayers of the materials, as well as different amounts of interlocked
256 water residue due to the layering cycles.

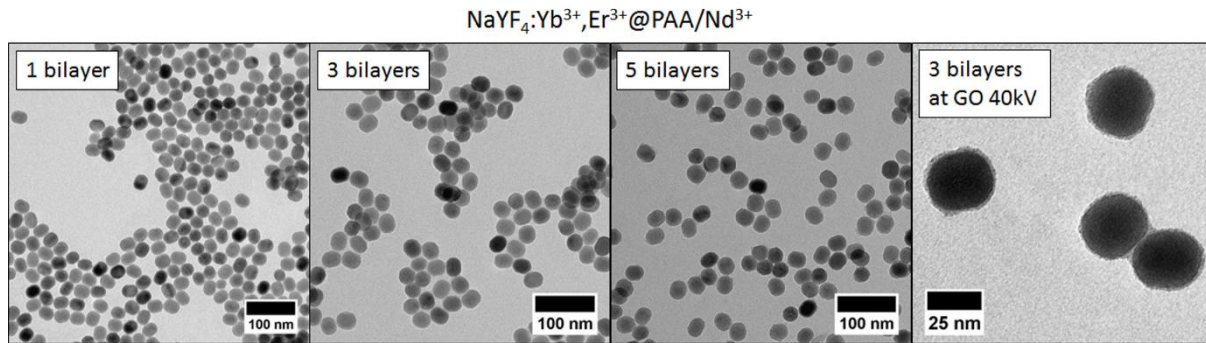
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258 The zeta potential measurements were made to probe the success of the layering cycles as
259 both anionic and cationic components should be visible during the measurement. In
260 comparison to the core nanoparticle zeta potential there was a decrease in zeta potential
261 already within the first negative polyelectrolyte layer as well as the expected rise for the
262 complementary positive neodymium layer (Figure 4C). The drop after each added
263 polyelectrolyte layer was larger than the subsequent rise, suggesting that even though the
264 positive ions are added on to the surface there is not enough of them to overpower the overall
265 larger negative charge coming from the polyelectrolyte. Having the zeta potential varying
266 only little during the first two bilayers also indicates that the polyelectrolyte layers might not
267 cover the particle fully until the third polyelectrolyte layer is introduced at 2.5 bilayers.

268

269 Finally, the possible aggregation of the nanoparticles during the layering cycles was studied
270 with TEM imaging with the materials layered with PAA and neodymium. The increasing
271 amount of bilayers or the modification of the ionic concentration of the polyelectrolyte
272 solutions did not seem to have a great effect in the nanoparticle aggregation and they seem to
273 be mostly independent (Figure 5). However, the possible layers of PAA are not clearly
274 distinguishable from the images even though the nanoparticle surface seems to get blurry and
275 could indicate that there are some changes. To get further look on the PAA layers an
276 additional imaging was made with lower voltage (40 kV) using graphene oxide copper grids.
277 From this image the PAA layer can be seen more clearly (Figure 5, S6) being *ca.* 2 nm with
278 the material having three bilayers. It also shows that even though the nanoparticles do not

279 look aggregated in the TEM images with higher voltage, there is probably also some
280 interlocked particles obtained after the layering. Also the graphene oxide grid can have an
281 effect in aggregating or piling the particles together that is not seen in the other images.



282
283 **Figure 5.** TEM images of the NaYF₄:Yb³⁺,Er³⁺@PAA/Nd³⁺ with 1, 3 and 5 bilayers prepared
284 with deposition concentrations of 10 mM PAA in 0.1 M NaCl (aq) and 10 mM NdCl₃ (aq).
285 The scale bar represents 100 (1, 3 and 5 bilayers with 120 kV) and 25 nm (3 bilayers with 40
286 kV).

287

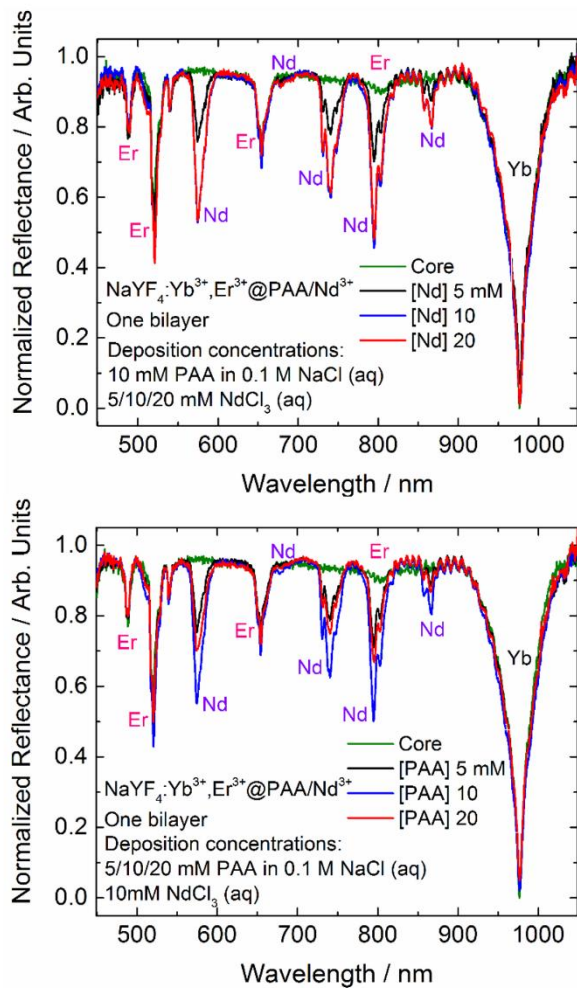
288 **Effect of the deposition concentrations**

289 The differences in the bilayer formation with modified polyelectrolyte and neodymium
290 concentrations was studied by preparing only one bilayer on top of the nanoparticles. Both of
291 the used component concentrations were varied between 5, 10 and 20 mM while keeping the
292 other unmodified at 10 mM. The ionic concentration of polyelectrolyte solutions was kept at
293 0.1 M with NaCl.

294

295 The FT-IR spectra showed no significant change in any of the vibrations of the materials
296 made with modified concentrations, only the specific vibrations of PAA and PP were present
297 as they are with the materials having more bilayers (Figure S3). However, with the reflection
298 spectra there was more variation in the neodymium absorption within the materials (Figure

299 6). With both polyelectrolytes it seemed that lowering the neodymium concentration to 5 mM
300 weakened the absorption indicating that there were less neodymium present on the surface.



301
302 **Figure 6.** Reflectance spectra of the $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$ core and one bilayer of $\text{PAA}/\text{Nd}^{3+}$
303 prepared with deposition concentrations of 5, 10 and 20 mM while keeping the other fixed at
304 10 mM.
305
306 However, with increasing the concentration to 20 mM there is no more increase. This could
307 mean that there is no more available binding sites left for the neodymium ions in the
308 polyelectrolyte matrix even though there are more ions present in the solution. Using PAA as
309 a polyelectrolyte it seemed that having 10 mM concentration was the most efficient in
310 attaching neodymium ions. With the 5 and 20 mM PAA concentration the absorption lines of

311 Nd^{3+} were much weaker. With PP there was a slight increase in neodymium absorption
312 throughout the increase in the polyelectrolyte concentration.

313

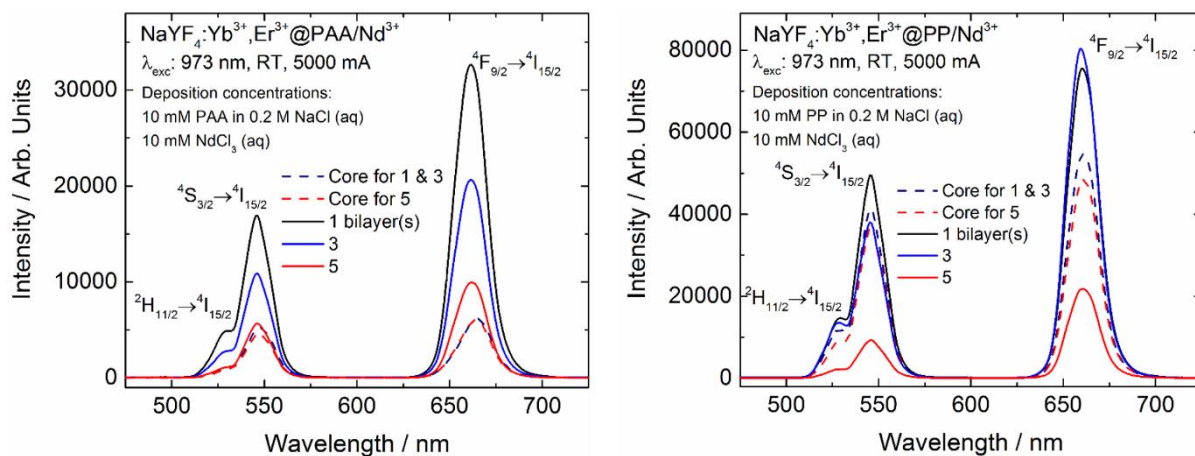
314 The XRF analysis of the materials prepared with modified concentrations confirm the same
315 observations as with the reflectance spectra. Thermal analysis of the materials using PAA
316 with modified neodymium concentrations showed that there was a slight decrease in the
317 weight loss suggesting the increasing amount of neodymium present. Also when the PAA
318 concentration was modified there was an increase in the weight loss indicating the increasing
319 amount of polyelectrolyte in the materials. With materials layered using PP there were no
320 self-evident trends that could indicate anything on the properties of the layers.

321

322 **Investigating the luminescence properties**

323 As the core nanoparticles have upconversion luminescence properties the effect of the
324 layering to its emission intensity was studied by both 973 and 808 nm excitation. When the
325 upconversion was excited through the Yb^{3+} ions with the 973 nm ($10\,280\text{ cm}^{-1}$) excitation the
326 ionic strength of the used polyelectrolyte played a crucial role. Using PAA with 0.05 M NaCl
327 the luminescence intensity weakens with increasing amount of bilayers. However, with
328 higher ionic strength the luminescence obtained from the all bilayers is stronger than from the
329 core materials suggesting that the layering protects the core particle sufficiently to hinder the
330 energy loss from the surface (Figure 7, S7). This protection is probably due to the more dense
331 packed polyelectrolytes as a result of the increasing ionic strength and their ability to cover
332 the surface more efficiently thus removing possible H_2O and COO^- groups resulting in
333 enhanced luminescence. Neodymium ions do not have energy levels corresponding with the
334 973 nm excitation so they are unlikely to absorb the excitation energy before it gets to the
335 core. However, they have strong probability to absorb the emission obtained from erbium and

336 offer non radiative routes resulting in loss of upconversion luminescence when the amount of
337 bilayers is increased.



338
339 **Figure 7.** Upconversion luminescence spectra excited with 973 nm of the NaYF₄:Yb³⁺,Er³⁺
340 nanoparticles with bilayers of PAA/Nd³⁺ (left) and PP/Nd³⁺ (right) prepared with deposition
341 concentrations of 10 mM PAA/PP in 0.2 M NaCl (aq) and 10 mM NdCl₃ (aq).

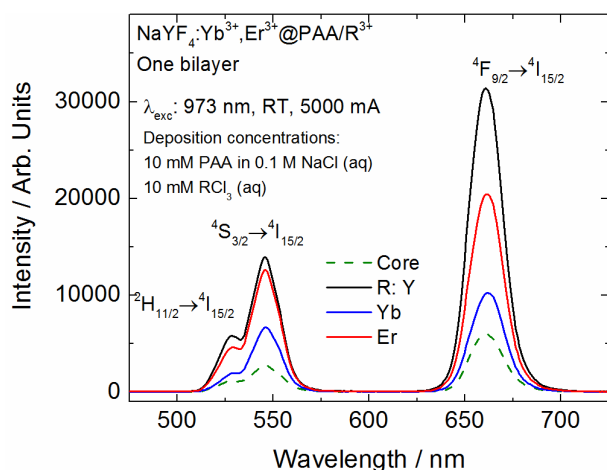
342
343 With the PP bilayers it seemed that with all ionic strengths used the upconversion
344 luminescence is increased after the first bilayer but increasing the bilayer amount results in
345 weakening luminescence (Figure 7). This enhancement has been observed also in other
346 luminescent particles such as ZnS:Mn²⁺ and is due to surface passivation of the nanoparticles
347 [32]. It seems that the protection of the polyelectrolyte layer is competing with the energy
348 transfers, and thus energy loss, made possible by Nd³⁺ ions in the surface [38]. This is due to
349 obtaining upconversion luminescence within the core materials Yb³⁺-Er³⁺ energy transfer.
350 The competition to gain the visible upconversion luminescence arises from the large surface-
351 to-volume ratio of the nanoparticles along with the probability of the Yb-Yb energy
352 migration within the nanoparticles to the surface [10]. This energy migration could result in
353 losing the upconversion luminescence to any impurities present at the nanoparticle surface
354 instead of visible luminescence from Er³⁺. So the neodymium ions might have an double role,

355 either act as an absorber of the obtained emission or preventing the possibility for the
356 migrating energy to find the emitting ion altogether.

357

358 The deposition concentrations had an impact on the obtained upconversion luminescence
359 intensity and the 10 mM concentration yielded the strongest emission in all cases. However,
360 when one bilayer was prepared by the ions used in the core lattice a straightforward trend was
361 observed with both polyelectrolytes used. With inert yttrium the upconversion luminescence
362 is strongest suggesting good shielding of the upconversion luminescence energy transfer
363 processes (Figure 8). This is in good agreement with the core-shell material studies where the
364 shell is manufactured from the same fluoride material as the core [16, 39]. Also when the
365 active ions, ytterbium and erbium, were added to the bilayer a similar enhancement in
366 upconversion luminescence was observed. With yttrium the enhancement was strongest and
367 with ytterbium weakest. This could be expected due to the facts that the yttrium is in
368 charge of the energy transfer processes in upconversion luminescence and it can absorb the
369 excitation energy before it gets to the upconverting core. The same applies for erbium even
370 though it seems that its probability to absorb the excitation is weaker or that some of the
371 absorbed energy is again converted to emission. However, yttrium does not have energy
372 levels interacting with excitation nor emission pathways making the luminescence more
373 efficient.

374 This emphasizes the suggestion that the quenching with increasing bilayers could be a result
375 from the increasing amount of neodymium ions absorbing either the upconversion
376 luminescence obtained from erbium or via non-radiative routes before finding the emitting
377 erbium ions. However, the interplay between the shielding properties of polyelectrolyte
378 layers and the quenching properties of neodymium ions is still unclear and needs further
379 investigations in the future.



380

381 **Figure 8.** Upconversion luminescence spectra excited with 973 nm of the NaYF₄:Yb³⁺,Er³⁺

382 nanoparticles with bilayers of PAA/R³⁺ (R: Y, Yb, Er) prepared with deposition

383 concentrations of 10 mM PAA in 0.1 M NaCl (aq) and 10 mM RCl₃ (aq).

384

385 The upconversion luminescence excited by 808 nm (12 380 cm⁻¹) was studied to see if the

386 addition of neodymium could provide an alternative route to excite the upconversion

387 materials. With varying ionic strength the upconversion luminescence obtained from the

388 layered materials is weaker than by the core materials itself. The upconversion luminescence

389 can also be seen to weaken with increasing amount of bilayers (Figure S8). This suggests that

390 the increasing amount of Nd³⁺ ions on the surface structure is absorbing the excitation and

391 losing it through non-radiative relaxation pathways. The decrease is not as intense with

392 materials layered by PAA than those with PP on the surface.

393 With the varying concentrations of deposition solutions no trends were observed with the 808

394 nm excited upconversion luminescence and the overall luminescence obtained was weak.

395 When the core ions were layered with the polyelectrolytes it could be observed that there is

396 an increase in the upconversion luminescence obtained from the materials. The enhancement

397 was similar with both polyelectrolytes and with all ions used suggesting that the enhancement

398 probably arises only from the surface protection of the materials because of the used ions

399 only erbium has energy levels to match the excitation energy.

400 The data obtained does not give possibility to confirm mechanism of the observed quenching.
401 However, as the neodymium itself has wide range of energy levels it is possible that parts of
402 excited energy is lost through non radiative pathways. In the future more investigations to
403 determine the reason for the energy loss is needed.

404

405 **CONCLUSIONS**

406 A successful new surface modification of the upconverting nanoparticles was possible using
407 the simple layer-by-layer method using negatively charged polyelectrolytes and positively
408 charged neodymium ions. In addition, the upconversion luminescence intensity of the core
409 particles was increased with selected layering parameters. These surface modifications of the
410 nanoparticles give new insight not just for the future of luminescent particle design but also
411 adds a new chapter to layer-by-layer designs. Using the layer-by-layer method brings
412 multiple possibilities to vary layering further by either changing the conditions of the
413 polyelectrolytes or varying the positively charged component and offers the possibility to
414 eliminate steps needed for bioconjugation in biomedical use [3].

415 Taking the studied conditions into consideration, the ionic strength of 0.1 M was the most
416 efficient in producing the bilayers with both polyelectrolytes and neodymium as they can be
417 seen increasing in the materials after increasing bilayers. Modifying the solution
418 concentration did not have any significant effect on the formed bilayers. However, it seems
419 that with PAA as the polyelectrolyte the concentration of 10 mM is the optimum if one
420 considers the amount of the attached neodymium on the surface.

421 Using PAA as the polyelectrolyte it was possible to enhance the upconversion luminescence
422 excited with 973 nm with selected layering parameters. This is due to shielding of the surface
423 and thus preventing the energy losses from the surface impurities. Similar enhancement was
424 observed with small number of bilayers of PP. However, the PP itself does not give further

425 attaching sites to conjugate the particles for applications. For this reason using PAA on the
426 surface would be more suitable for further applications [33]. Using neodymium in the
427 bilayers did not give the desired energy transfer with the 808 nm excitation even though
428 upconversion luminescence could be observed from the materials.

429 In the future, the knowledge gained from this research will be used to optimize the layering
430 process further and the mechanisms behind the upconversion processes in these systems will
431 be investigated in detail. Also to benefit from the surface modifications in the biomedical use,
432 thorough investigations to ensure the stability of the bilayer modifications will be carried out.

433

434 **SUPPLEMENTARY INFORMATION**

435 Supplementary information including additional characterization data for the materials such
436 as FT-IR spectra, reflection spectra, XRF results, thermal analysis, TEM images and
437 upconversion luminescence spectra can be found in the online version.

438

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447

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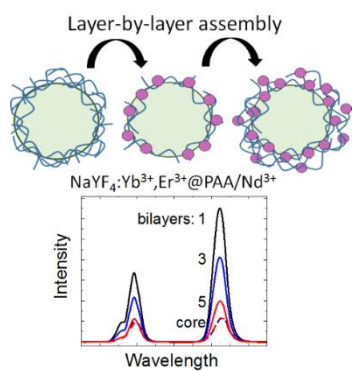
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560 **GRAPHICAL ABSTRACT**



561