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## Optimized reference spectrum for rating the façade sound insulation

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1 Objectively determined single-number-quantities (SNQs) describing the airborne  
2 sound insulation of a façade should correspond to the subjective perception of annoy-  
3 ance to road traffic sounds transmitted through a façade. The reference spectra for  
4 spectrum adaptation terms  $C$  and  $C_{tr}$  in standard ISO 717-7 (International Orga-  
5 nization for Standardization, 2013) are not based on psycho-acoustic evidence. The  
6 aim of this study was to develop reference spectra which result in SNQs that explain  
7 well the subjective annoyance of road traffic sounds transmitted through a façade.  
8 Data from a psycho-acoustic experiment were used [Hongisto *et al.*, J. Acoust. Soc.  
9 Am., 144(2), 2018, 1100–1112], and it included annoyance ratings for road traffic  
10 sounds (five different spectrum alternatives) attenuated by the façade (twelve differ-  
11 ent sound insulation spectrum alternatives), rated by 43 participants. The reference  
12 spectrum for each road traffic spectrum was found using mathematical optimization.  
13 The performance of the acquired SNQs was estimated with nested cross-validation.  
14 The SNQs determined with the optimized reference spectra performed better than  
15 the existing SNQs for two road traffic spectra out of five and for an aggregate of  
16 the five road traffic sound types. The results can be exploited in the development of  
17 standardized SNQs.

## 18 I. INTRODUCTION

19 Every day, road traffic noise affects many people: in Europe, more than 100 million  
20 people are exposed to adverse road traffic noise levels which are associated with health effects  
21 ([European Environment Agency, 2017](#)). Exposure to environmental noise level exceeding  
22 certain limit values has been found to cause annoyance, sleep disturbance, tinnitus, cognitive  
23 impairment, and an increased risk of cardiovascular diseases ([World Health Organization,](#)  
24 [2011](#)). The incidence of the effects depends on the noise exposure levels. [Brink \*et al.\*,](#)  
25 [2019](#) found that the percentage of highly annoyed persons due to road traffic noise indoors  
26 increased from 3 to 46% as  $L_{\text{den}}$  (day-evening-night level) outdoors increased from 30–35 to  
27 75–80 dB.

28 To ensure healthy living and working environments, the maximum indoor and outdoor  
29 sound levels are guided in many countries with legislation. For example, WHO recommends  
30 that the A-weighted equivalent sound pressure level  $L_{\text{Aeq}}$  should be below 30 dB for bedrooms  
31 during nighttime ([World Health Organization, 1999](#)). However, each country follows their  
32 own regulations. Buildings should be designed in such a way that low indoor sound levels  
33 can be attained. This requires adequate sound insulation of the façade.

34 The sound reduction index (SRI) of a façade can be measured using standardized mea-  
35 surement procedures in existing buildings by ISO 16283-3 standard ([ISO 16283-3, 2016](#)).  
36 In laboratory conditions, the SRI of a single façade element can be determined by ISO  
37 10140-2 standard ([ISO 10140-2, 2010](#)). The measurements are carried out in one-third oc-  
38 tave bands. Single-number-quantities (SNQs) reduce the one-third octave band data from

39 the SRI measurements to a single number. They enable easier comparison between different  
40 constructions and facilitate the imposition of building regulations. Standard ISO 717-1 (ISO  
41 717-1, 2013) determines the calculation of SNQs for airborne sound insulation in buildings  
42 and building elements, such as the weighted sound reduction index  $R_w$ . It is based on com-  
43 paring the measured SRIs to a standardized reference curve, and by determining the sum of  
44 so-called unfavorable deviations (the measured value is lower than the value of the curve).

45 ISO 717-1 enables different frequency ranges for the calculation: the normal frequency  
46 range 100–3150 Hz, and three enlarged frequency ranges 50–3150 Hz, 50–5000 Hz, and  
47 100–5000 Hz. A reliable determination of the SRIs at low frequencies requires a special  
48 measurement procedure as SRI depends strongly on the measurement position being lower  
49 at the corners than in the middle of the room (Keränen *et al.*, 2019).

50 ISO 717-1 also includes two spectrum adaptation terms,  $C$  and  $C_{tr}$ , to take into account  
51 different spectra of environmental and living noises. The spectrum adaptation term is added  
52 to  $R_w$ . The reference spectrum for  $C$  is A-weighted pink noise and it is meant for living  
53 noise (living activities, children playing) as well as for certain kinds of traffic noises (railway  
54 traffic at medium and high speed, highway road traffic at higher speeds than 80 km/h,  
55 jet aircraft at short distance), and factory emission noise (medium- and high-frequency  
56 noise emissions). The reference spectrum for  $C_{tr}$  is A-weighted urban traffic noise, and it  
57 is meant for noise sources such as traffic noise (urban road traffic, railway traffic at low  
58 speeds, propeller driven aircraft), disco music, and factory emission noise (low and medium  
59 frequency noise emissions). The calculation of the SNQs with a spectrum adaptation term  
60 is based on determining the A-weighted level difference of the source sound pressure levels

61 and the receiver sound pressure levels (source sound pressure level subtracted by the SRI of  
62 the façade).

63 It should be noted that the spectra applied in ISO 717-1 are political choices at a certain  
64 stage of their development in 1996. According to [Rindel, 2017](#), the method presented in the  
65 revised ISO 717-1 in 1996 was a combination of two methods used in Germany and France.  
66 In the harmonization process, the adaptation spectrum  $C_{tr}$  was adopted from the Nordtest  
67 Method NT ACOU 061 ([Nordtest, 1987](#)). The spectrum had been composed of two physical  
68 measurement sets, and was not especially aimed for their current use. No psycho-acoustic  
69 experimental evidence was used in the derivation process either. Scientific work is needed  
70 to further develop SNQs which explain the annoyance of road traffic noise transmitting to  
71 dwellings. Objectively determined SNQs should explain the subjective perception of annoy-  
72 ance and rank different façades according to their subjective order of acoustic performance.  
73 In other words, if road traffic noise is experienced more annoying through façade A than  
74 façade B, then the SNQ value should be lower for façade A than for façade B. The perfor-  
75 mance of different SNQs have been studied with psycho-acoustic experiments only in a few  
76 studies, despite the fact that sound insulation of façades is globally dimensioned using those  
77 SNQs ([Bailhache et al., 2014](#); [Hongisto et al., 2018](#)).

78 [Hongisto et al., 2018](#) studied how 25 different SNQs explained the subjective annoyance  
79 (43 participants) of road traffic sound (five spectrally different alternatives) transmitted  
80 through a façade (twelve spectrally different alternatives). The composition of the road  
81 traffic sounds was S1: Light vehicles, urban street, 50 km/h, S2: Light vehicles, motorway,  
82 80 km/h, S3: Light vehicles, motorway, 100 km/h, S4: Only heavy vehicles, urban street,

83 60 km/h, and S5: Both heavy and light vehicles, urban street, 60 km/h. The sound spectra  
84 of the road traffic sounds on the outer surface of the façade are presented in Fig. 1. The  
85 spectrum of S5 corresponded to ISO 717-1 (ISO 717-1, 2013) spectrum for calculation of  
86  $C_{tr}$ . Also, the scaled ISO 717-1 spectrum for  $C$  is shown in Fig. 1. Hongisto *et al.*, 2018  
87 concluded that a well performing SNQ depends on the spectrum of the road traffic sound.  
88  $R_w + C_{50-3150}$  was found sufficient for most road traffic types. Bailhache *et al.*, 2014 studied  
89 how twelve different SNQs explained the subjective ratings (24 participants) of exterior noise  
90 (seven alternatives) transmitted through a façade (ten alternatives). The exterior noise types  
91 were: pass-by of a plane, traffic in a busy street, construction works, church bell ringing,  
92 loud voices, pass-by of a scooter, and pass-by of an ambulance. In the second part of their  
93 study, they found that for road traffic sound type ("traffic in a busy street"),  $R_w + C_{100-3150}$   
94 performed the best among those SNQs studied. Torija *et al.*, 2011 studied the relationship  
95 between traffic noise annoyance and indoor sound levels. The participants (100) rated the  
96 annoyance to road (highway and local road) and railway noises transmitted through a façade.  
97 They found a reduced number (16 out of 27) of one-third octave bands to be relevant for  
98 annoyance of traffic noise. However, they studied only one façade type. Myllyntausta *et al.*,  
99 2020 studied how the road traffic noise transmitted through two façades having different SRI  
100 spectrum affected sleep. They found suggestive evidence that  $R_w + C_{50-3150}$  would better  
101 explain sleep disturbance than  $R_w + C_{tr}$ . However, they studied only two façades and one  
102 road traffic spectrum. There is a need for studying the best reference spectrum for road  
103 traffic noise based on psycho-acoustic evidence as well as to study the suitable frequency  
104 range. The analysis should be conducted with different types of noise spectra.

105 Mathematical optimization has been used twice to derive adequate reference spectra,  
106 first for airborne sound insulation of partitions by [Virjonen \*et al.\*, 2016](#), and then for impact  
107 sound insulation of floors by [Kylliäinen \*et al.\*, 2019](#). The reference spectra were derived  
108 by constructing a nonlinear optimization problem with constraints and solving it with the  
109 Sequential Least Squares Programming method, SLSQP. The optimization method has not  
110 been previously used to determine the adequate reference spectra for the airborne sound  
111 insulation of façades.

112 The purpose of the study was to develop reference spectra which lead to SNQs that  
113 explain well the subjective annoyance towards road traffic sounds transmitted through a  
114 façade. Another purpose was to find the relevant frequency range employed to reach the  
115 best conformance between the subjective annoyance and the resulting SNQ. It also attempts  
116 to answer the question: is there a need for several spectrum adaptation terms or could some  
117 general solution be found, which would perform well for all kinds of road traffic spectra from  
118 heavy-weight vehicles driving in urban speeds to lightweight vehicles driving in highways?

## 119 II. MATERIALS AND METHODS

### 120 A. Experimental data

121 Experimental data from a previously published psycho-acoustic experiment were used  
122 ([Hongisto \*et al.\*, 2018](#)). Forty-three volunteers (28 women, 15 men, age between 21 and 50  
123 years) participated in the experiment. They rated different road traffic sounds, one partic-  
124 ipant at a time, in a furnished experimental room, built for psycho-acoustic experiments.



125 The experimental sounds were played through two active loudspeakers at 1.5 m height, and  
126 one subwoofer on the floor. The background noise level of the room was 20 dB  $L_{Aeq}$  between  
127 50 and 5000 Hz.

128 The experiment contained 60 sounds. They were prepared from outdoor recordings in-  
129 cluding periods of both steady-state and intermittent road traffic. Five different sound types  
130 having different traffic content and traffic speeds were used (shown in Fig. 1). The outdoor  
131 sound samples were filtered according to the SRI of the façade elements. Twelve spectrally  
132 different alternatives were used, and their SRIs based on laboratory tests according to ISO  
133 140-3 (ISO 140-3, 1995) are presented in Fig. 2. Various SNQ values, determined from the  
134 SRIs, are presented in Table I for the façade elements. The levels outside the façade were  
135 adjusted between 68 and 77 dB  $L_{Aeq}$ . The resulting listening levels of the experimental  
136 sounds were thus audible, as well as realistic for residential dwellings (12–46 dB  $L_{Aeq}$ ).

137 The participants rated the sounds with respect of loudness and annoyance. Annoyance  
138 ratings were used in the present study to determine the optimal reference spectra for the  
139 tested road traffic sound types, because annoyance is the most usual health impact of noise.  
140 The participants rated the annoyance by answering the question "How annoying is the  
141 sound?" using an 11-step response scale from 0 to 10. The extremes were verbally labeled  
142 by 0: "Not at all annoying", and 10: "Extremely annoying". The participants were also  
143 given the option via a checkbox to indicate if they could not hear the sound at all. Only  
144 0.7% of the ratings were marked as inaudible. The distribution of the annoyance ratings for  
145 all façade elements and road traffic sound types are presented in Fig. 3.

146 **B. Formulation of the optimization problem**

147 The reference spectrum optimization procedure was introduced by [Virjonen \*et al.\*, 2016](#).  
 148 They optimized the reference spectrum for SNQ rating airborne sound insulation for living  
 149 sounds. The same procedure was also exploited in [Kylliäinen \*et al.\*, 2019](#). They optimized  
 150 the reference spectrum for SNQ rating impact sound insulation for several natural impact  
 151 sounds. The same optimization procedure deployed in the above-mentioned studies was also  
 152 used in the present study.

153 The optimal reference spectrum was calculated for each sound type  $S_1, \dots, S_5$ , sepa-  
 154 rately. The resulting optimal reference spectra were named as  $L_{S_1}, \dots, L_{S_5}$ . To find a  
 155 reference spectrum performing well for road traffic noise in general, the ratings from all  
 156 sound types were averaged, and a reference spectrum was sought. The resulting optimal  
 157 aggregate reference spectrum was named as  $L_{S_{1-5}}$ . For each sound type, the goal was to find  
 158 such a reference spectrum  $L$  that a linear fit between the mean subjective ratings and the  
 159 resulting SNQ values was optimal. A SNQ can be calculated from ([ISO 717-1, 2013](#))

$$x_i = 10 \lg \frac{\sum_{j=K_1}^{K_2} 10^{L_j/10}}{\sum_{j=K_1}^{K_2} 10^{(L_j - R_{ij})/10}}. \quad (1)$$

160 Here  $K_1$  and  $K_2$  determine the included one-third octave frequency bands,  $L_j$  is the level of  
 161 the reference spectrum at frequency band  $j$ , and  $R_{ij}$  is the SRI for the façade element  $i$  at  
 162 frequency band  $j$ . The reference spectrum was normalized to 0 dB, i.e.,

$$10 \lg \sum_{j=K_1}^{K_2} 10^{L_j/10} = 0 \text{ dB}. \quad (2)$$

163 To obtain a smoother solution, the maximum level difference between adjacent frequency  
164 bands  $\delta$  was limited to 3 dB. The best frequency band range was selected within four options:  
165 50–3150 Hz, 50–5000 Hz, 100–3150 Hz, and 100–5000 Hz. The frequency band range was  
166 selected using leave-one-out cross-validation (LOOCV) (Varma and Simon, 2006). The  
167 formulation of the optimization problem as well as the cross-validation scheme are explained  
168 in detail in the supplementary materials<sup>1</sup>.

### 169 C. Solution to the optimization problem

170 The optimal reference spectra were solved using an algorithm for finding the minimum  
171 of a constrained nonlinear multivariable function. The solution process of the optimization  
172 problem is explained in detail in supplementary materials. The reference spectrum for  
173 urban traffic noise to calculate  $C_{tr}$  from ISO 717-1 (ISO 717-1, 2013) was chosen as the  
174 initial guess from which the algorithm started to proceed. The calculations were also made  
175 with two other initial guesses (Fig. 4) to test the convergence of the algorithm. Practically  
176 the same reference spectra were attained with the three initial guesses.

### 177 D. Uncertainty of the reference spectrum

178 To estimate the uncertainty of the optimized reference spectrum, bootstrap sampling  
179 (Chernik, M. R., 2008) was exploited. In bootstrapping, sampling is made with replacement,  
180 thus each datum can appear in the sample more than once. A sample from the participants  
181 ( $n_{participants} = 43$ ) was drawn, and the optimal reference spectrum was determined using this  
182 bootstrap sample. For each frequency band, the difference between the optimized reference

183 spectrum level acquired with the bootstrap sample, and the original sample, was calculated.  
184 The procedure was repeated 1500 times. For each frequency band, from the 1500 differences,  
185 the 2.5% and 97.5% quantiles were determined. This gave an estimation of the empirical  
186 95% confidence intervals.

## 187 E. Estimation of the model performance

188 As the data set is rather small, it is beneficial to deploy it as a whole when finding  
189 the best model. However, this leaves no data for testing the performance of the selected  
190 model. How well would the ratings given by people outside the group of the participants (of  
191 similar distribution e.g. of ages and genres) fit with the SNQs acquired with the optimized  
192 reference spectrum? To answer this, nested leave-one-out cross-validation (nested LOOCV)  
193 was used to estimate the model performance for all optimized reference spectra. Nested  
194 cross-validation (nested CV) ([Varma and Simon, 2006](#)) gives an estimation of how a model  
195 performs with data, that has not been a part of the model selection process ("model" in  
196 this case means the total process: optimizing the reference spectrum with frequency range  
197 selection). If the same data were used for the training of a model, as well as to estimate the  
198 performance of the model, this would result in an over-optimistic estimation. To overcome  
199 this, in nested cross-validation, the parameters (here: the frequency range) of the model  
200 are selected within the inner CV loop. The selected model is then tested in the outer  
201 CV loop. Different optimal frequency range may be found in different rounds of the CV.  
202 The variation of the optimal parameters in the nested CV also gives information on the  
203 stability of the selected model. The squared Pearson's correlation coefficient was used as

204 the estimation parameter  $r^2$ . The Wilcoxon signed ranks test was used to test whether the  
205 estimation parameters for the SNQs acquired with the optimized reference spectra differed  
206 from the values obtained for the standardized SNQs.

### 207 III. RESULTS

208 For each road traffic sound type, the reference spectrum  $L$  in Eq. (1) was optimized, and  
209 the most relevant frequency range was selected using leave-one-out cross-validation.

210 The mean annoyance over all participants versus the resulting optimized SNQ values are  
211 shown in Fig. 5. The mean annoyance versus the best performing existing SNQs are also  
212 shown for each sound type.

213 A valid solution was found for each sound type (the stopping criterion was met before the  
214 maximum number of iterations, see supplementary materials for details), and the algorithm  
215 ended up in the same minimum with three different initial spectra.

216 The optimized reference spectra  $L_{S1}, \dots, L_{S5}$  for road traffic spectra  $S1, \dots, S5$ , and  
217 the optimized aggregate reference spectrum  $L_{S1-5}$  together with their empirical confidence  
218 intervals are presented in Fig. 6.

219 The estimation of the predicting performance of the optimized spectra, and standardized  
220 SNQs for each sound type are presented in Table II. Also, one non-standardized SNQ is  
221 included, as it performed well in the study of [Hongisto et al., 2018](#), namely Energy Average  
222  $EA_{50-5000}$  by [Park et al., 2008](#). The best performing existing SNQs are marked with bold face  
223 for each sound type. If the difference between the SNQ acquired with the optimized reference  
224 spectrum and the best performing existing SNQ was statistically significant ( $p < 0.05$ ), the

225 SNQ acquired with the optimized reference spectrum is marked with an asterisk. Table III  
226 shows the frequency ranges, which were most often selected as the best in nested LOOCV  
227 for each road traffic sound type.

## 228 IV. DISCUSSION

### 229 A. Main results

230 Fig. 5 shows the squared Pearson's correlation coefficients for the best performing existing  
231 SNQs for each sound type. The correlations were already very high, when considering  
232 the average annoyance over all participants. The optimized reference spectrum resulted in  
233 slightly higher correlations for each sound type. Fig. 5 shows the result of optimization  
234 of the reference spectra with all the available data. To estimate the model performance  
235 with data, which has not been a part of the model selection process, nested LOOCV was  
236 used. The ratings of one participant were left as test data, one participant in turn, and  
237 the rest were used to derive the model. This resulted in 43 model performance estimations.  
238 Table II shows how the SNQs acquired with the optimized reference spectra performed on  
239 average when each model was compared with the ratings given by the test participant left  
240 outside the model. Again, with the SNQs acquired with the optimized reference spectra,  
241 the squared Pearson's correlation coefficients were slightly improved for each road traffic  
242 sound type when compared with the existing SNQs. The differences for the estimation  
243 parameters between the optimized and existing SNQs were statistically significant ( $p < 0.05$ )  
244 for the sound types S2, S3 and the aggregate sound type S1–5.

245 Sound type S1 included only light vehicles on an urban street with 50 km/h speed.  
246 ISO 717-1 suggests  $C_{tr}$  for such purpose, however, the optimized reference spectrum for  
247 sound type S1 conforms well with the reference spectrum for  $C$  (Fig. 6). The squared  
248 Pearson’s correlation coefficients were the same up to two decimal places between  $R_{S1}$  and  
249  $R_w + C_{50-3150}$ , and  $R_w + C_{50-5000}$ .

250 Sound types S2 and S3 included also only light vehicles on a motorway with 80 and 100  
251 km/h speeds, respectively. ISO 717-1 suggests  $C$  for highway road traffic noise with speeds  
252 higher than 80 km/h. The spectra for S2, and S3 did conform better with the reference  
253 spectrum for  $C$  than  $C_{tr}$ . They still had lower values than the  $C$  spectrum in the middle  
254 frequencies, roughly from 125–500 Hz, especially for the sound type S3.

255 Sound type S4 included only heavy vehicles on an urban street with 60 km/h speed.  
256 Such roads hardly exist but [Hongisto et al., 2018](#) found it important to cover all possible  
257 spectra that road traffic noise could contain, even during short moments such as the pass-by  
258 of a single heavy vehicle. The values of  $L_{S4}$  were rather close to the reference spectrum for  
259  $C_{tr}$  at low frequencies 50–125 Hz. The confidence intervals were clearly wider for sound  
260 type S4 than for the other sound types. The reference spectrum for urban road traffic noise  
261 suggested by ISO 717-1,  $C_{tr}$ , was well within the confidence intervals for sound type S4.  
262 The performance of  $R_{S4}$  remained rather low compared with other sound types (Table II).

263 Sound type S5 included both light and heavy vehicles on an urban street with 60 km/h  
264 speed, and its sound level spectrum on the façade surface was adjusted to meet with  $C_{tr}$   
265 spectrum. That is, the sound represents the standardized urban road traffic noise of ISO  
266 717-1 standard and deserves special attention. Compared to the reference spectrum for  $C_{tr}$ ,

267  $L_{S5}$  had clearly lower values for frequency bands lower than 500 Hz. Again,  $L_{S5}$  conformed  
268 better with the reference spectrum for  $C$ .

269 All in all, the optimized reference spectra  $L_{S1}$ ,  $L_{S2}$ ,  $L_{S3}$ , and  $L_{S5}$  for sound types S1, S2,  
270 S3, and S5 including light vehicles were rather similar, and closer to the reference spectrum  $C$   
271 than  $C_{tr}$ . The optimized reference spectrum for sound type S4 including only heavy vehicles  
272 was closer to  $C_{tr}$  at low frequencies, however, this finding has very little meaning. The reason  
273 is that the relative share of heavy vehicles is usually under 20%. Although the pass-by sound  
274 level of a heavy vehicle is 5 to 10 dB higher than the pass-by sound level of light vehicles,  
275 the overall sound level and spectrum shape is dominated by light vehicles. The sound type  
276 S4 would be relevant only in roads having low traffic rates where the traffic consists mainly  
277 of single pass-bys, and the proportion of heavy vehicles is high. Such situation takes place in  
278 some main roads during night-time. In such rare cases the single pass-bys of heavy vehicles  
279 mainly explain the annoyance reactions. In most cases, when road traffic noise is an issue,  
280 the traffic density is so high that single pass-bys are not distinguishable and the spectrum  
281 resembles sound type S5 which is a mixture of light and heavy vehicles. In such cases, the  
282 reference spectrum of  $C$  was very close to the optimized reference spectra. It seems that  
283 spectrum  $C$  covers most of the sound types in real environments, and the actual need for  
284  $C_{tr}$  may be negligible.

285 According to Table III, different optimal frequency ranges were found for different road  
286 traffic spectra. Sound types S1, S2, and S3 had only light vehicles but different speeds (50,  
287 80, 100 km/h, respectively). For S1 and S2, the optimal frequency range was 50–3150 Hz,  
288 and for S3, 100–5000 Hz. For sound type S3, the optimal frequency range started from 100



289 Hz, which was expected, as the sound levels were very low for the lowest frequency bands.  
290 Sound types S4 and S5 were composed of vehicles driving at 60 km/h speed on an urban  
291 street but S4 had only heavy vehicles and S5 both light and heavy vehicles. The optimal  
292 frequency range for S4 was 50–5000 Hz, and for S5, 50–3150 Hz. The selection of optimal  
293 frequency range was rather stable: the same optimal frequency range was selected as the  
294 best in clear majority of the rounds of the nested LOOCV.

## 295 B. Method

296 The same optimization scheme as used in the present study, has been deployed for airborne  
297 sound insulation (Virjonen *et al.*, 2016) and impact sound insulation (Kylliäinen *et al.*, 2019).  
298 In the present study, the method was further developed to select the suitable frequency range  
299 for each optimized reference spectrum. Also, the interpretation of the results was improved:  
300 nested cross-validation was utilized to evaluate the performance of the selected model. In  
301 the previous studies, the optimized reference spectra ended up in a larger improvement of  
302 the squared correlation coefficient when compared with existing SNQs than in the present  
303 study. This was expected, as the correlations were already rather good with the standardized  
304 SNQs. Yet, a statistically better solution was found for two sound types. The present study  
305 confirmed that the spectrum adaptation term  $C$  is an adequate descriptor for most road  
306 traffic sounds, and there is not much room for improvement, unlike in the cases with airborne  
307 and impact sound. The optimization method deployed here is well-suited and recommended  
308 for this kind of purposes, where physical parameters are tuned to correspond the subjective  
309 experience.

### 310 C. Strengths and limitations

311 The generalization of the reference spectrum depends on the representativeness of the  
312 subjective data: the chosen road traffic sound spectra, the façade structures, the playback  
313 levels of the test sounds, and the background noise levels. Different choices in producing the  
314 subjective data might have led into different reference spectra. However, [Hongisto \*et al.\*,  
315 2018](#) and [Bailhache \*et al.\*, 2014](#) as well as [Myllyntausta \*et al.\*, 2020](#) performed independent  
316 studies and obtained similar results regardless of different selection of the above mentioned  
317 factors, which suggests that the data used in our study would be sufficiently representative  
318 taking into account the fact that current standardized reference spectra are not based on  
319 any psycho-acoustic evidence.

320 All the experimental sounds were played at relevant levels, i.e. the sound level on the outer  
321 surface of the façade was set to a realistic level. Also, the full range of experimental sounds,  
322 which affects the subjective rating scale, was the same for all sound types. Because of this,  
323 it was possible to acquire the optimized aggregate reference spectrum  $L_{S1-5}$  by averaging the  
324 ratings for all sound types S1, . . . , S5. However, the relative importance of each road traffic  
325 sound was thus equal. As the spectrum varies according to the road traffic sound type, and  
326 the proportion of different sound types varies according to the place, there might not be  
327 a descriptive general composition of sounds which would fit each situation. The optimal  
328 reference spectrum would probably look different if the sound types were weighted in some  
329 other way.

330 Also, the intensity of the short-term variations of noise level over time affect the rated  
331 annoyance (Brink *et al.*, 2019). According to Hongisto *et al.*, 2018, "the inherent temporal  
332 variation of the A-weighted SPL due to pass-by sounds was small but non-existent" for the  
333 experimental sounds. Thus, the results apply for the experimental samples used, and with  
334 different temporal variation, the results may have been different.

## 335 V. CONCLUSIONS

336 In this study, reference spectra which result in SNQs that explain well the subjective  
337 annoyance of road traffic sounds transmitted through facade were developed. The reference  
338 spectra were determined using psycho-acoustic experimental data and mathematical opti-  
339 mization. The optimization scheme, previously utilized with airborne sound insulation of  
340 partitions (Virjonen *et al.*, 2016) and impact sound insulation of floors (Kylliäinen *et al.*,  
341 2019), was further developed to select the most suitable frequency range and to evaluate the  
342 performance of the selected models. The resulting optimized SNQs performed better than  
343 the existing standardized SNQs of ISO 717-1, 2013 and ASTM E1332-10a, 2010 for two road  
344 traffic sound types out of five and for an aggregate of the five road traffic sound types, even  
345 though the performance of the existing SNQs was already rather good.

346 The frequency range of 50–3150 Hz was selected most often as the best frequency range.  
347 The selection of the most relevant frequency range was rather stable, the same frequency  
348 range was selected in clear majority of the cross-validation rounds for each road traffic sound  
349 type.

350 The results can be exploited in the development of standardized SNQs.

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## 361 FOOTNOTES AND REFERENCES

362 <sup>1</sup>See Supplementary materials at [URL will be inserted by AIP] for the formulation and solving the opti-  
363 mization problem as well as the details of the cross-validation scheme.

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TABLE I. The values of the existing SNQs [dB] for the façade elements W1–W12.  $R_w$  and its spectrum adaptation variations were determined according to [ISO 717-1, 2013](#).  $OITC$  was determined according to [ASTM E1332-10a, 2010](#).  $EA_{50-5000}$  was determined according to [Park et al., 2008](#).

SNQ	W1	W2	W3	W4	W5	W6	W7	W8	W9	W10	W11	W12
$R_w$	49.8	61.5	59.3	50.5	41.4	32.5	50.5	45.7	40.3	40.7	32.7	34.2
$R_w + C_{50-3150}$	47.0	58.5	54.4	44.2	40.1	30.5	48.5	43.4	37.5	39.2	30.9	33.2
$R_w + C_{50-5000}$	48.0	59.3	55.4	45.2	40.6	31.5	49.4	44.1	38.4	40.0	31.8	34.1
$R_w + C_{100-3150}$	47.0	59.7	55.2	48.3	40.2	30.6	48.7	43.8	37.6	39.3	31.0	33.2
$R_w + C_{100-5000}$	48.0	60.5	56.1	49.2	40.7	31.5	49.6	44.5	38.5	40.0	31.9	34.1
$R_w + C_{tr,50-3150}$	42.8	50.0	46.4	33.1	38.5	28.7	43.8	37.4	32.0	35.9	26.9	31.9
$R_w + C_{tr,50-5000}$	43.0	50.1	46.5	33.3	38.6	28.8	44.0	37.6	32.2	36.0	27.1	32.1
$R_w + C_{tr,100-3150}$	42.9	55.5	49.3	43.0	39.8	28.9	45.9	40.0	32.4	36.6	27.0	31.9
$R_w + C_{tr,100-5000}$	43.1	55.7	49.5	43.2	39.9	29.1	46.0	40.2	32.6	36.7	27.2	32.1
$EA_{50-5000}$	39.7	40.7	37.9	23.8	33.1	26.6	36.1	30.0	26.4	30.9	22.9	32.8
$OITC$	41.2	51.7	44.9	34.9	37.8	29.1	44.4	37.7	29.7	34.9	24.8	32.4



TABLE II. Estimation of the performance of the SNQs acquired with the optimized reference spectra and existing SNQs. The value describes the average squared Pearson’s correlation coefficient between a participant’s ratings and SNQs, for each road traffic sound type S1, S2, S3, S4, S5, and their aggregate S1–5. The best performing existing SNQs are marked with bold face. If the difference between the SNQ acquired with the optimized reference spectrum and the best existing SNQ was statistically significant ( $p < 0.05$ ), the estimation value for the optimized SNQ is marked with an asterisk.

Sound type	S1	S2	S3	S4	S5	S1–5
<b>Existing SNQs</b>						
$R_w + C_{50-3150}$	<b>0.745</b>	0.779	0.787	0.479	0.730	0.870
$R_w + C_{50-5000}$	<b>0.745</b>	<b>0.780</b>	0.790	0.478	<b>0.731</b>	<b>0.871</b>
$R_w + C_{100-3150}$	0.728	0.766	<b>0.795</b>	0.429	0.701	0.839
$R_w + C_{100-5000}$	0.727	0.767	<b>0.797</b>	0.428	0.701	0.840
$R_w + C_{tr,50-3150}$	0.684	0.699	0.648	0.587	0.714	0.828
$R_w + C_{tr,50-5000}$	0.685	0.699	0.649	0.587	0.715	0.829
$R_w + C_{tr,100-3150}$	0.707	0.732	0.736	0.465	0.697	0.820
$R_w + C_{tr,100-5000}$	0.707	0.733	0.736	0.465	0.698	0.821
$EA_{50-5000}$	0.444	0.454	0.370	<b>0.601</b>	0.522	0.582
$OITC$	0.663	0.675	0.628	0.552	0.692	0.793
<b>Optimized SNQs</b>						
$R_{S1}$	0.750					
$R_{S2}$		0.794*				
$R_{S3}$			0.828*			
$R_{S4}$				0.624		
$R_{S5}$					0.747	
$R_{S1-5}$						0.892*

TABLE III. The best frequency ranges selected for each road traffic sound type. The Frequency range column shows the frequency range, which was selected as the best in most cases. Also the percentage of rounds for which it was selected as the best, is shown.

Sound type	Frequency range [Hz]	%
S1	50-3150	79
S2	50-3150	72
S3	100-5000	100
S4	50-5000	100
S5	50-3150	100
S1-5	50-3150	79

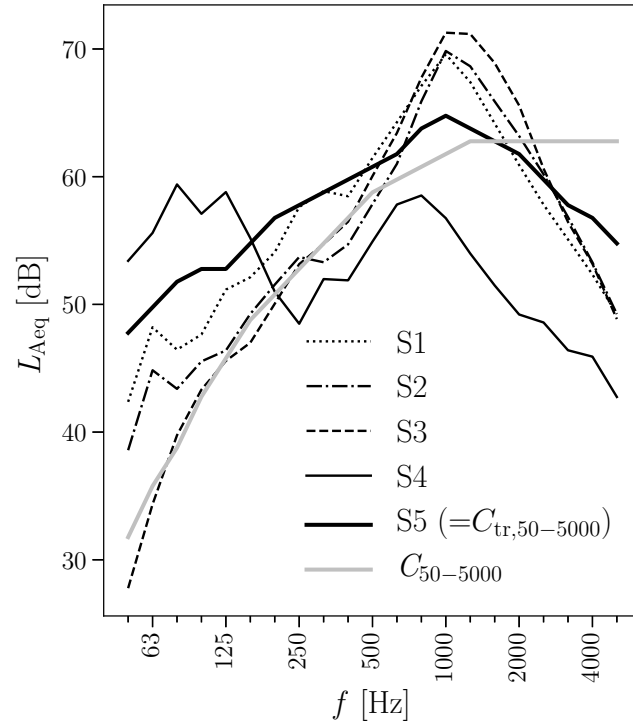


FIG. 1. A-weighted equivalent sound pressure level,  $L_{Aeq}$ , for one-third octave band frequencies  $f$  from 50 to 5000 Hz for all sound types S1, ..., S5 on the outer surface of the façade. Sound type S5 spectrum conformed with  $C_{tr, 50-5000}$ . Also scaled  $C_{50-5000}$  is shown.

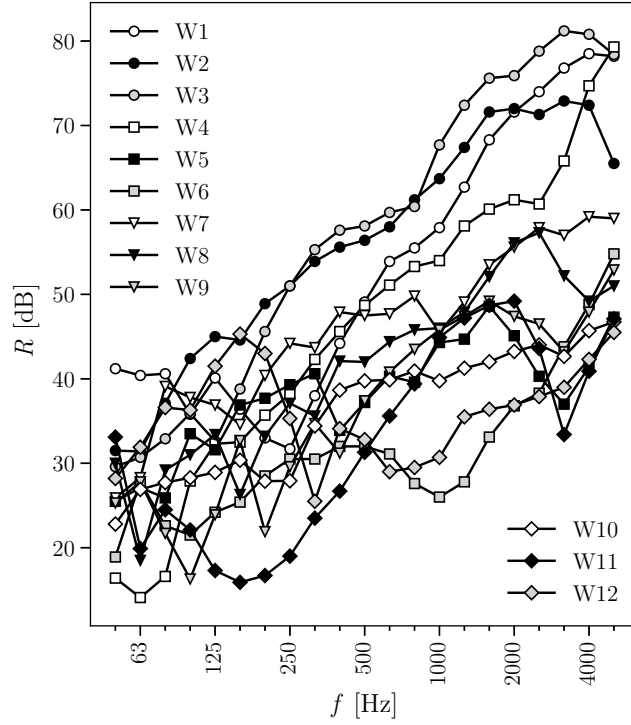


FIG. 2. Sound reduction indices  $R$  for one-third octave band frequencies  $f$  from 50 to 5000 Hz for the façade elements W1, ..., W12.

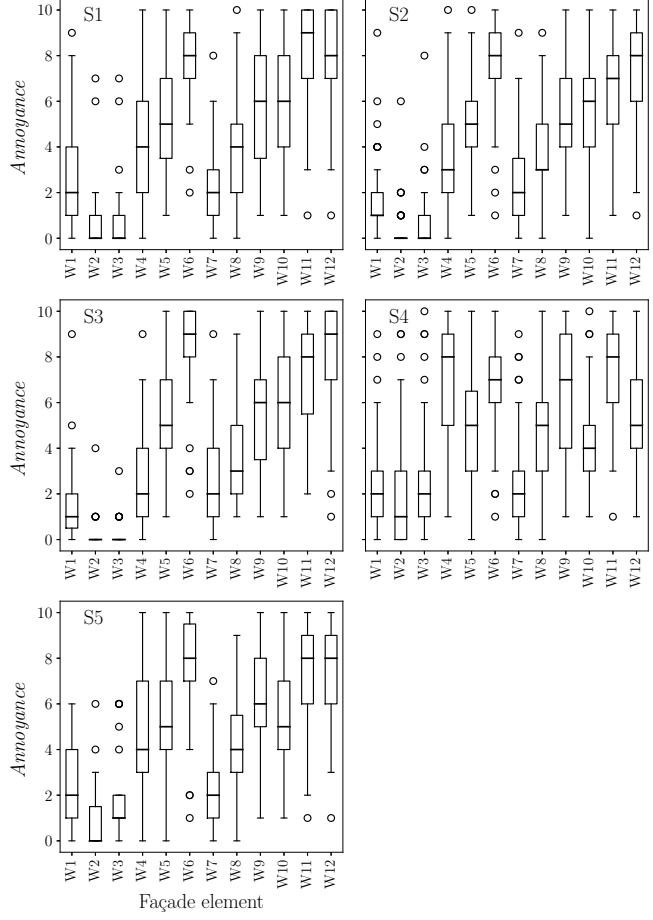


FIG. 3. Distribution of the annoyance ratings for each façade element with each road traffic sound type S1, . . . , S5. The horizontal line within the box presents the median Q2. The box extends from the lower quartile Q1 to upper quartile Q3 values of the annoyance ratings. The lower bound of the whiskers is the first datum greater than  $Q1 - 1.5 \cdot (Q3 - Q1)$ . The upper bound of the whiskers is the last datum smaller than  $Q3 + 1.5 \cdot (Q3 - Q1)$ . Outliers (outside the whiskers) are marked with circles.

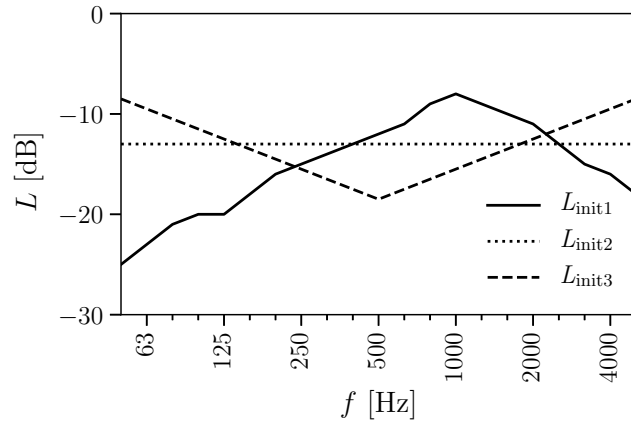


FIG. 4. Three tested initial spectra, from which the optimization algorithm started to proceed. The levels  $L$  are shown at one-third octave band frequencies  $f$  from 50 to 5000 Hz.  $L_{init1}$  is the reference spectrum for  $C_{tr}$  in [ISO 717-1, 2013](#).

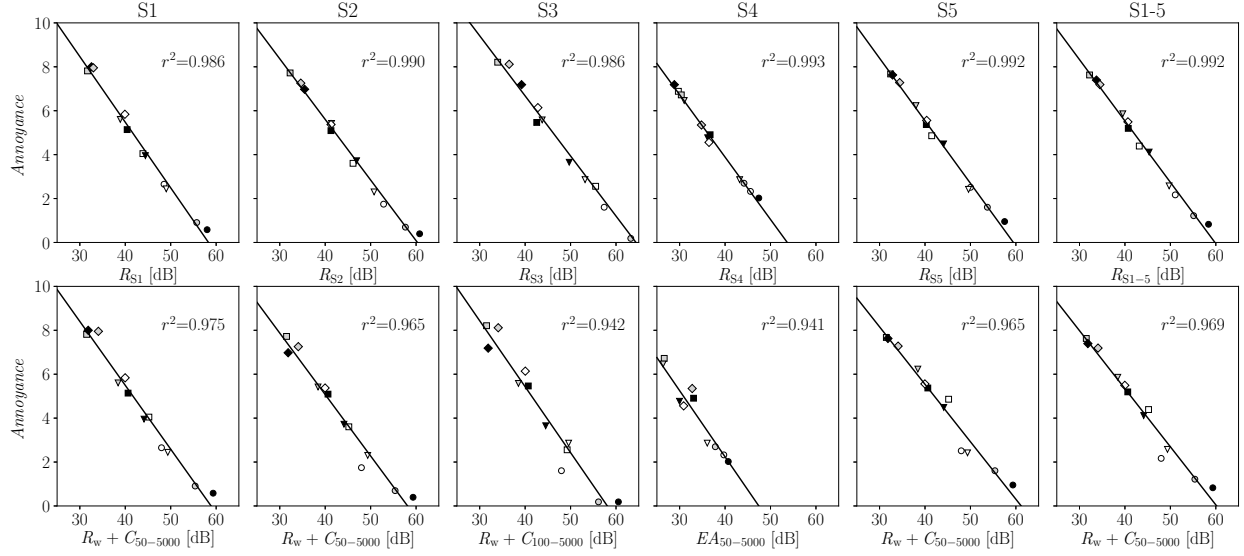


FIG. 5. Above: Mean annoyance versus the SNQs acquired with the optimized SNQs (optimized with the whole data). Below: Mean annoyance versus best performing existing SNQs. Squared Pearson’s correlation coefficient for each linear fit is also shown. The different markers identify the façades W1–W12, see legend in Fig. 2.

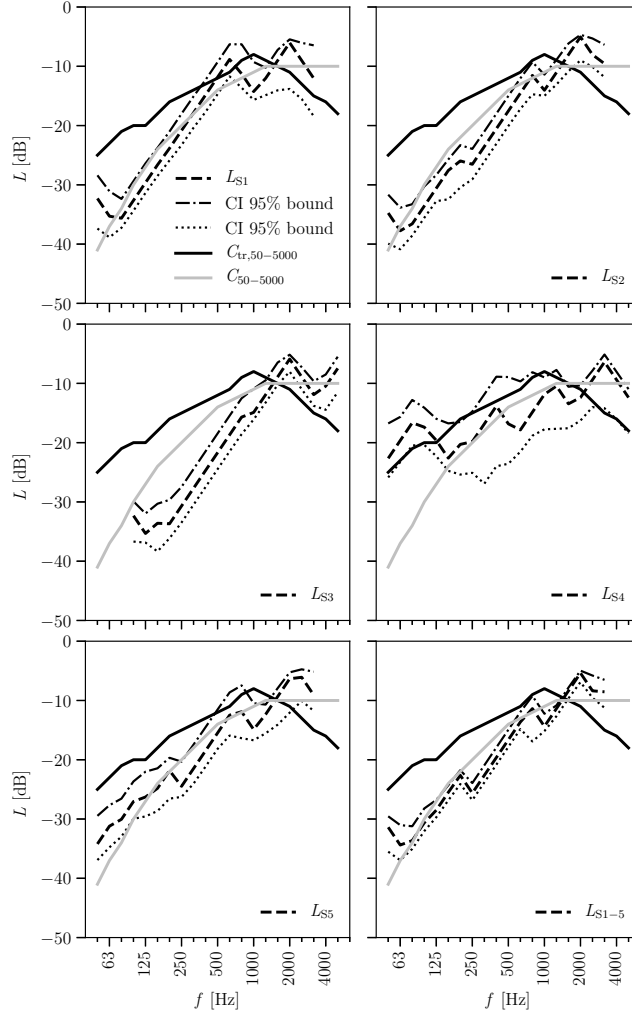


FIG. 6. Optimized reference spectrum  $L$  for each road traffic sound type  $S1, \dots, S5$ .  $L_{S1-5}$  is the aggregate reference spectrum acquired with the average annoyance rating from all road traffic sound types  $S1, \dots, S5$ . Reference spectra for  $C_{tr}$  and  $C$  by ISO 717-1 (ISO 717-1, 2013), and empirical 95% confidence intervals are also shown.  $S1$ : Light vehicles, urban street, 50 km/h,  $S2$ : Light vehicles, motorway, 80 km/h,  $S3$ : Light vehicles, motorway, 100 km/h,  $S4$ : Heavy vehicles, urban street, 60 km/h,  $S5$ : Both heavy and light vehicles, urban street, 60 km/h.