

1 **Archaeological and historical materials as a means to explore Finnish crop** 2 **history**

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14

15 **Abstract**

16 In Northern Europe, barley (*Hordeum vulgare* L.) has been cultivated for almost 6000 years.
17 Thus far, 150-year-old grains from historical collections have been used to investigate the
18 distribution of barley diversity and how the species has spread across the region. Genetic
19 studies of archaeobotanical material from agrarian sites could potentially clarify earlier
20 migration patterns and cast further light on the origin of barley landraces. In this study, we
21 aimed to evaluate different archaeological and historical materials with respect to DNA
22 content, and to explore connections between Late Iron Age and medieval barley populations
23 and historical samples of barley landraces in north-west Europe. The material analysed
24 consisted of archaeological samples of charred barley grains from four sites in southern
25 Finland, and historical material, with 33 samples obtained from two herbaria and the seed
26 collections of the Swedish museum of cultural history.

27

28 The DNA concentrations obtained from charred archaeological barley remains were too low
29 for successful KASP genotyping confirming previously reported difficulties in obtaining
30 aDNA from charred remains. Historical samples from herbaria and seed collection confirmed
31 previously shown strong genetic differentiation between two-row and six-row barley. Six-row

32 barley accessions from northern and southern Finland tended to cluster apart, while no
33 geographical structuring was observed among two-row barley. Genotyping of functional
34 markers revealed that the majority of barley cultivated in Finland in the late 19th and early
35 20th century was late-flowering under increasing day-length, supporting previous findings
36 from northern European barley.

37

38 **Keywords:** aDNA, archaeobotany, barley, genetic diversity, *Hordeum vulgare*, KASP,
39 landraces

40

41

42 **Introduction**

43 Barley (*Hordeum vulgare* L.) is one of the founder crop species of Neolithic agriculture
44 (Zohary et al. 2012). Its domesticated form was derived from wild populations of *Hordeum*
45 *vulgare* ssp. *spontaneum* (K. Koch) Asch. & Graebn., a species occurring in North Africa, the
46 Middle East, parts of the Indian subcontinent, and south-west China (e.g. Lister & Jones
47 2012). Early indications of domesticated barley have been documented from several
48 archaeological sites in South-west Asia, dated to ca. 10,500-9,550 cal BC (Zohary et al.
49 2012). According to molecular evidence, however, barley seems to have been domesticated
50 more than once, with independent origins in the Fertile Crescent and in Central Asia (Morrell
51 & Clegg 2007). Additional origins have been suggested in Morocco (Igartua et al. 2013) and
52 on the East Asian Tibetan Plateau (Wang et al. 2016). As barley can withstand many different
53 climatic and soil conditions, it subsequently spread over vast areas and became a principal
54 dietary grain. Today it is cultivated worldwide, in temperate areas as a summer crop and in
55 tropical areas as a winter crop (von Bothmer et al. 2003).

56

57 Wild *H. vulgare* ssp. *spontaneum* has rachises with triplets composed of one fertile spikelet
58 and two reduced ones, a form that is known as two-rowed barley. While the earliest
59 domesticated populations were two-row forms similar to wild barley, mutations with three
60 fertile spikelets per rachis segment, known as six-row barley and with increased number of
61 seeds on each spike, occurred during the early stages of domestication (Lister & Jones 2012;
62 Zohary et al. 2012). With the cloning and identification of the *Vrs1* gene as being responsible
63 for row-type, it was shown that causative mutations leading to a loss of function of the *Vrs1*
64 gene and a six-row phenotype occurred independently in several populations (Komatsuda et
65 al. 2007). There is further morphological diversity within six-row barley, which is sometimes
66 subdivided into different species, such as the four-rowed form *H. vulgare* ssp. *tetrastichum*
67 with fertile lateral spikelets on lax ears, and the six-rowed form *H. vulgare* ssp. *hexastichum*
68 with dense and short (ca 4.5 cm) ears, which in cross-section is star-like (e.g. in Finnish
69 tähtiöhra “star-barley”; Heinonen 2009, also Gadd 1770). However, the morphological
70 delimitation between four-row and six-row barley is unclear, and they are not formally
71 distinguished as separate taxa (Soreng 2003).

72

73 In Northern Europe barley has been cultivated for almost 6000 years (Kirleis et al. 2012;
74 Alenius et al. 2017), and it has been a key crop in many parts of Fennoscandia (Finland,
75 Norway and Sweden) (Engelmark 1992). From the Neolithic and Bronze Age, both naked and

76 hulled barley are recovered from archaeological assemblages, but the naked form is more
77 common (Behre 1983; Jacomet & Kreuz 1999; Jacomet 2006; Kirleis et al. 2012). However,
78 from the end of the Bronze Age hulled barley dominates in the archaeological material
79 (Grabowski 2011; Stika & Heiss 2013).

80
81 The first evidence of farming in Finland includes archaeobotanical finds of naked barley
82 dated to 1690-1260 cal BC (radiocarbon date with 1 σ probability; Pihlman & Seppä-Heikka
83 1985; Vuorela & Lempiäinen 1988; Asplund 2008), and barley grains have also been found at
84 later Iron Age and Medieval sites (e.g. Taivainen 2004, 2007; Vuorinen 2009; Raninen 2013;
85 Lempiäinen-Avci et al. 2017). Climatic conditions allow barley cultivation throughout
86 Finland, and in the north barley was occasionally cultivated on slash-and-burn fields
87 (Grotenfelt 1922). The northernmost recorded barley cultivation in Finland is at Tsuolisjärvi
88 (Suolijärvi) in Inari (69° 28'N) (Elfving 1897).

89
90 It is not known whether the early archaeological finds of barley in Finland were of the two-
91 rowed or six-rowed form. Historical records show that both forms were cultivated in parallel
92 from at least AD 1700 onwards (Gadd 1770), although in Sweden two-row barley was
93 described as a novel type in the 17th century (Leino 2017). By the 1920s six-rowed barley
94 had been almost completely replaced by four-row and two-row barley varieties in Southern
95 Finland (Sauli 1927). Today, the majority of the barley cultivated in Finland is of the two-row
96 type favoured in brewing, but cultivars of six-row barley (*H. vulgare* ssp. *hexastichum*) are
97 used successfully for cultivation in the northernmost areas (Heinonen 2009).

98
99 According to historical records, grain was imported from abroad during the first decades of
100 the 20th century. For example, grain was imported to Inari from Kirkenes and Reisivuono in
101 the Finnmark area in northern Norway (Sauli 1927; Heinonen 2009). However, the import of
102 cereals has also been strictly regulated in the past in Finland, especially during the 19th
103 century, when Finland was under Russian rule (e.g. Herstad 2000; Lunden 2004). Barley was
104 imported from Sweden and Estonia to southern Finland, when frost had destroyed the crop,
105 e.g. in 1811-1812 and 1867-1868 (Lindström 1905; Åström 1980), but otherwise Finland was
106 dependent on domestic barley production or imports from Russia (Åström 1980). It is not
107 known whether imported barley was used only for food, fodder and brewing or also as seed
108 grain. Possibly as a result of connections to the east, at the beginning of the 20th century

109 barley varieties in eastern Finland, such as Viborg province, were morphologically similar to
110 northern Russian varieties (Sauli 1927).

111

112 Phylogeographic studies have proved useful in exploring past patterns of migration in wild
113 species (Awise 2009), and the spread of cultivation and seed trade in domestic species (e.g.
114 Papa et al. 1998; Jones et al. 2012; Leino et al. 2013; Jones et al. 2013; Roullier et al. 2013).
115 In Fennoscandia, 150-year-old grains from historical collections have been useful in
116 understanding the distribution of barley diversity. A latitudinally-structured genetic diversity,
117 first detected in Sweden (Leino & Hagenblad 2010), was later shown to be shared across all
118 of Fennoscandia (Forsberg et al. 2015). However, the time depth of these phylogeographical
119 patterns, based on material from the late 19th century, is unknown.

120

121 Archaeobotanical material from early agrarian sites could potentially clarify early migration
122 patterns and systems of barley trade and exchange, as well as cast light on the origin of barley
123 landraces. While data on geographical location and morphological characteristics of barley
124 based on archaeobotanical findings and historical documents can only give a scattered picture
125 of the origin and spread of barley cultivation, aDNA may cast light on growth traits and
126 genetic relatedness between specimens of cultivated crops (Jones et al. 2008b; Palmer et al.
127 2009).

128

129 Unfortunately, in most cases poor preservation of DNA hampers the use of archaeological
130 grain for genetic studies (Nistelberger et al. 2016). Archaeological grain is most commonly
131 preserved in a charred state. Successful molecular studies of charred plant remains have been
132 reported (Bunning et al. 2012), though concerns have been raised about the feasibility of
133 obtaining indigenous genetic data from such remains (Nistelberger et al. 2016). At the very
134 least the utility of charred grains will depend on extent of charring, which may be impossible
135 to estimate from the appearance of the grain (Bunning et al. 2012). Cereals preserved under
136 waterlogged conditions might be more amenable to aDNA analysis, but such remains are
137 scarce (Palmer et al. 2012). Desiccated archaeobotanical grains are superior for DNA analyses
138 (e.g. Mascher et al. 2016; Hagenblad et al. 2017). At Finnish archaeological sites waterlogged
139 testa of grains from medieval or younger sites have been found, but like in most of Europe
140 cereals preserved in a desiccated state are largely absent.

141

142 Extant Finnish landraces of barley have been well studied, e.g. by Elfving (1897), Grotenfelt
143 (1922), Sauli (1927), Ahokas & Poukkula (1999), and Heinonen (2009). The phenological
144 variation for example in maturation period between different landraces suggests that the
145 origin of southern Finnish four-row barley may be different from that of the northern Finnish
146 forms of barley (Heinonen 2009). Landraces with different maturation periods may result
147 from import of grains to Lapland both from north and south, and from subsequent mixing of
148 populations. Clear genetic differences between barley from northern and southern
149 Scandinavia have also been reported (Leino & Hagenblad 2010; Forsberg et al. 2015; Leino
150 2017).

151
152 Our aim in this study was to evaluate different archaeological and historical materials with
153 respect to DNA content, and if possible explore connections between Late Iron Age and
154 Medieval barley populations and historical samples of barley landraces. We combined genetic
155 data from earlier studies (Forsberg et al. 2015) with analysis of novel samples of historical
156 barley from the eastern part of Fennoscandia. The eastern parts of Finland have been
157 relatively poorly sampled in earlier studies, but the area is important for discovering possible
158 eastern introduction routes into Finland.

159

160 **Materials and methods**

161 *Archaeological and historical samples*

162 Archaeological samples of charred grains of *Hordeum vulgare* were derived from four sites in
163 southern Finland: Raisio Mulli, Espoo Mankby, Pirkkala Tursiannotko, and Hattula
164 Retulansaari (Table 1). At the Raisio Mulli site excavations were carried out in 1994–1997.
165 Mulli is a Late Iron Age and Early Medieval village, inhabited during the 10th to late 13th
166 century cal AD (Vuorinen 2009). Espoo Mankby is a medieval village dating to the 12th to
167 mid-16th century cal AD, where excavations were carried out in 2007–2013 (Lempiäinen-
168 Avci et al. 2017). Archaeological studies at Pirkkala Tursiannotko have been conducted since
169 2010, and the site dates to cal AD 800–1100 (Raninen 2013). The Hattula Retulansaari site
170 was excavated in 2005 and is dated to cal AD 700–900 (Taivainen 2004, 2007).
171 Archaeobotanical samples from the study sites were stored at the Turku University
172 Herbarium. All samples consisted of morphologically intact charred cereal grains.

173

174 Historical specimens were obtained from three different sources: two herbaria and one seed
175 collection. One grain per spike, in total 14, was sampled from whole plants mounted on

176 herbarium sheets and stored at the Turku University Herbarium and the Botanical Museum of
177 the Finnish Museum of Natural History, Helsinki (both denoted ML below) (Table 2). The
178 oldest herbarium specimens date from 1870, and only specimens that were collected before
179 the 1920s, i.e. before breeding and cultivation of improved cereal cultivars became
180 widespread, were used in the study. From the seed collection of the Swedish museum of
181 cultural history (Nordiska Museet; NM; Leino et al. 2009) six Finnish specimens were
182 chosen. The specimens, consisting of 2-5 dl of grain, were harvested in 1882 and have been
183 stored in their original glass jars since collection. From each specimen, six individual grains
184 were chosen. Since individual grains from the same jar originate from the same harvested
185 field they were treated as one population, henceforth named an accession. Data on the origin
186 of the historical material was obtained from the herbarium and from seed jar labels (Table 2).

187

188 Genotyping data from nine Finnish barley accessions, consisting of six accessions from the
189 seed collections of the Mustiala Agricultural Museum (MU; Leino 2010), two extant
190 accessions from NordGen (NGB) and one accession from the Swedish museum of cultural
191 history (NM), represented by six individual seeds each were obtained from Forsberg et al.
192 (2015). Genotypes from three previously unpublished accessions, the majority of which had
193 been genotyped for five or six individuals, genotyped alongside the accessions from Forsberg
194 et al. (2015), were also included (Table 2).

195

196 *DNA extraction*

197 DNA extractions of the archaeological samples were carried out on single grains in a
198 dedicated, chambered ancient DNA facility at the University of Warwick, Coventry, UK. No
199 work with modern barley DNA had been performed in the lab, nor any PCRs. Suitable
200 precautions were taken to avoid introducing foreign contaminants. Extractions were
201 performed according to Palmer et al. (2009), but with five days of incubation in the extraction
202 buffer and without the Amicon® concentration step. An additional wash step of the spin
203 columns using acetone was also included. Quantification of DNA was performed using
204 Qubit® dsDNA HS Assay Kit (Life technologies). From historical specimens, DNA was
205 extracted at Linköping University according to Leino et al. (2009).

206

207 *PCR amplification*

208 Amplification of the P6 loop within the *trnL* locus in the archaeological samples was
209 performed with semi-nested PCR using M13-tagged primers (Taberlet et al. 2007; Willerslev

210 et al. 2007). Each reaction contained 1 U of DreamTaq DNA polymerase (Thermo Scientific),
211 1 x DreamTaq Buffer (Thermo Scientific), 0.2 mM of each dNTP (Thermo Scientific), 0.1
212 μ M of forward primer (trnLg with M13F-tag;
213 TGTAACGACGGCCAGTGGGCAATCCTGAGCCAA), 0.1 μ M of reverse primer
214 (trnLh with M13R-tag; CAGGAAACAGCTATGACCTTGAGTCTCTGCACCTATC) and 1
215 μ l of DNA template. In addition, 2 μ g/ μ l of BSA (Thermo Scientific) was included in the first
216 round of PCR. For the second PCR amplification only primers matching the M13 tag were
217 used (M13F: TGTAACGACGGCCAGT and M13R: CAGGAAACAGCTATGACC
218 respectively).

219
220 PCR conditions were as follows: initial denaturation for 2.5 min at 94°C; 25 cycles of 94°C
221 for 30 s, 56°C for 30 s and 72°C for 15 s; and a final elongation at 72°C for 8 min. Conditions
222 for the second PCR were: initial denaturation for 2.5 min at 94°C; 8 cycles of 94°C for 30 s,
223 68°C for 30 s with a decrease of 1°C per cycle, and 72°C for 20 s; 20 cycles of 94°C for 30 s,
224 60°C for 30 s and 72°C for 20 s; and a final elongation at 72°C for 10 min. The success of the
225 PCRs was assessed on a 3 % agarose gel pre-stained with SYBR Safe (Invitrogen), and
226 samples of sufficient quality were sent to Macrogen Europe, Netherlands, for sequencing.

227

228 *SNP genotyping*

229 Genotyping of all samples for 97 SNPs was carried out by LGC Genomics, using the KASP
230 assay method (He et al. 2014; Semagn et al. 2014), which has previously been used
231 successfully on desiccated archaeological samples (Hagenblad et al. 2017). All archaeological
232 samples were genotyped four times: duplicates of the undiluted DNA sample, as well as 1:5
233 and 1:10 dilutions of the sample. Historical samples were genotyped a single time.
234 Genotyping was also attempted on extraction blanks from the respective DNA extractions,
235 and extraction blanks from the archaeological DNA extractions were included in duplicates:
236 undiluted and 1:10 dilution.

237

238 Of the 97 SNPs, 90 were derived from the BOPA1 array (Kota et al. 2008). The remaining
239 seven markers were located in causative or associated SNPs of the functional genes *Vrs1*
240 (positions A40>F.S.; F75>L; E152>F.S.) (Komatsuda et al. 2007), *int-c* (nucleotide 124)
241 (Ramsay et al. 2011), *Ppd-H1* (SNP48) (Jones et al. 2008a), *HvNAM-2* (nucleotide 798) (Cai
242 et al. 2013) and *Lhcb1* (nucleotide 907) (Xia et al. 2012).

243

244 *Data analysis*

245 The 90 SNPs derived from the BOPA1 array were used to assess the samples for genetic
246 structure. All individuals failing genotyping, and individuals with more than 15 % missing
247 genotypes (among the 90 BOPA1 SNPs), were removed from further analysis leaving a final
248 dataset consisting of 114 individuals from 19 accessions and 8 separate herbarium specimens.

249
250 The data from the 90 BOPA1 SNPs was analysed for geographic structure using the software
251 *STRUCTURE* and Principal Component Analysis (PCA). *STRUCTURE* (v 2.3.4) was run
252 using the haploid setting, and a model with correlated allele frequencies and admixture. The
253 software was run with a burn-in length of 20,000 iterations followed by 50,000 iterations for
254 estimating the parameters, with 10 repeated runs at each level of predetermined clusters (K)
255 ranging from 1 to 15. The software *CLUMPP* (v 1.1.2) (Jakobsson & Rosenberg 2007) was
256 used to compare the outcome of individual runs with the Greedy algorithm for $4 < K < 6$ and
257 with the LargeKGreedy algorithm for $K \geq 6$. The number of clusters best describing the data
258 was evaluated from the *CLUMPP* H' values and ΔK calculated according to Evanno et al.
259 (2005). Results were visualized using *DISTRUCT* (v 1.1) (Rosenberg 2004). PCA was carried
260 out using the command *prcomp* in the software R (v 2.5.0). In the PCA, the data were
261 analyzed both at the accession and the individual level and the numbers of copies of each
262 allele at each locus were treated as independent variables.

263

264 **Results**

265 *DNA analysis of archaeological samples*

266 DNA quantity, evaluated by a fluorometric assay, suggested DNA concentrations ranging
267 from 0.03-3.22 ng/ μ l for the archaeological samples (Table 1). Neither of the negative
268 controls extracted in parallel with the grain samples contained detectable levels of DNA,
269 verifying that the DNA detected in the samples originated from the grains.

270

271 To determine the source of the DNA, a semi-nested PCR targeting *trnL* was carried out.
272 Amplification was detected in 17 out of 27 samples, but not in any of the extraction or
273 negative PCR controls. Sequencing of the 10 PCR-products of sufficient quality for further
274 analysis (Table 1), followed by MegaBLAST comparison with the full nucleotide sequence
275 database at NCBI, showed that the *trnL* sequences did not match those expected from barley.
276 Some sequences instead generated partial hits against plant genera expected to be found in
277 and around the excavation sites. We therefore concluded that the amplifying DNA originated

278 from contaminating DNA, quite possibly *in situ* contamination, rather than endogenous barley
279 DNA from the charred samples.

280

281 *Genotyping success of archaeological and historical samples*

282 Genotyping was carried out on archaeological, herbarium, and seed collection samples.
283 Genotyping of all markers failed for all extraction controls, verifying the absence of
284 contaminating DNA. Genotyping also failed for all SNPs for all archaeological samples. This
285 was the case for both undiluted samples and for the different dilutions. Of the herbarium
286 specimens, genotyping was successful for all but six. Four specimens failed for all SNPs and
287 an additional two specimens had a limited genotyping success rate, failing to genotype in 86
288 and 41 SNPs respectively (Table 2). Among the samples obtained from the seed collection
289 only a single individual failed genotyping for all SNPs, while two samples failed to genotype
290 42 and 38 SNPs respectively (Table 2).

291

292 *Functional markers*

293 At the *PpdH1* only the individuals from the accession NM360 carried the responsive allele
294 resulting in early flowering at increased day-length. At *Lhcb1* the majority of the seed
295 collection individuals (with the exception of all individuals of NM297 and one individual of
296 NM288) carried the markers associated with a high number of grains per spike, while all
297 herbarium specimens except ML24 carried the marker associated with low numbers of grains
298 per spike. At *HvNAM-2*, all individuals except ML2 and the individuals of the accession
299 NM310 carried the marker associated with high grain protein content.

300

301 Genotyping known causative mutations in the *Vrs1* and *Int-c* genes, determining row-type,
302 revealed all successfully genotyped samples to be monomorphic at *Vrs1* A40F_S_ (deletion)
303 and *Vrs1* F75L (C). ML2, ML4, ML8, ML13, ML17 and NM310 all carried the *Vrs1* deletion
304 (*Vrs1* E152F_S) known to cause the six-row phenotype. These results are congruent with
305 phenotyping as six-row barley, with exception for ML2 and NM310 which were phenotyped
306 as two-row. In addition, a single individual from the accession NM288 also carried the
307 deletion associated with a six-row phenotype. The *Vrs1* E152F_S deletion genotype was
308 completely correlated with the *Int-c*_SNP124 for which the genotype G co-occurred with the
309 deletion. The genotyping thus suggests the remaining accessions to be two-row barley.

310

311

312 *Analysis of genetic structure*

313 In the PCA based on the different accessions (accession-level PCA), treating the single
314 herbarium specimens as separate accessions, two- and six-row barley formed distinct clusters
315 along the first principal component, with six-row accessions to the right and two-row barleys
316 to the left (Figure 1). The accessions ML2 and NM310 carried the deletion in *Vrs1* known to
317 cause the six-row phenotype, but clustered with the two-row barleys along the first principal
318 component. ML2 and NM310 were instead separated from all other accessions along the
319 second principal component (Figure 1).

320

321 Individual level PCA showed the same two-row or six-row division along the first principal
322 component, with the second principal component dividing NM310 individuals (light green in
323 Figure 2) and ML2 (purple in Figure 2) from all other two-row individuals. Most accessions
324 showed some degree of clustering with other individuals from the same accession, though
325 none as much as NM310. For the accession NM288 (light green in Figure 2) most individuals
326 clustered among the two-row barleys, except the single individual carrying the *Vrs1* deletion
327 which was instead located among the six-row barleys. A single individual of NGB321 (not
328 genotyped for *Vrs1* or *Int-c*, blue in Figure 2) clustered among the two-row barleys, while the
329 remaining individuals clustered among the six-row barleys.

330

331 Analysis of each row-type separated the extant NGB321 from all other six-row barleys, while
332 the extant NGB27 did not deviate from the historical six-row barleys. Accession-level PCA
333 showed a strong clustering according to specimen source (herbarium, extant or the different
334 seed collections) among the six-row barleys (Figure 3A), and to a lesser extent among the
335 two-row barley (Figure 3B). In an individual-level PCA, six-row herbarium barleys clustered
336 apart from seed collection six-row barleys, while the herbarium two-row barley ML24
337 showed high similarity to seed collection two-row barleys (data not shown). The two-row
338 accessions separated by the second principal component in Figure 1, ML2 and NM310,
339 continued to cluster apart in PCA of two-row barleys only (data not shown). Only the
340 individual-based two-row PCA showed evidence of geographic structure, with PC2 being
341 significantly correlated with latitude after removal of the outlying accession NM279
342 (Pearson's product-moment correlation PC2 vs latitude $p < 0.05$, for all other comparisons $p >$
343 0.05). PCA for the six-row barley showed indications of geographic structure. In the
344 accession-based PCA, PC1 was significantly correlated with longitude (Pearson's product-
345 moment correlation $p < 0.05$ with NGB321 included and $p < 0.001$ with NGB321 excluded),

346 and PC2 was significantly correlated with latitude (Pearson's product-moment correlation $p <$
347 0.01 both with NGB321 included and excluded). In the individual-level PCA after the
348 exclusion of NGB321, latitude of origin was significantly correlated with PC2 (Pearson's
349 product-moment correlation $p < 0.001$).

350

351 In *STRUCTURE* analysis of all individuals, both ΔK and CLUMPP H values supported two
352 clusters, with the observed division along the first principal component in the PCA
353 corresponding to the division of two-row and six-row (Figure 4). In accession NM288 the
354 individual clustering among the six-row individuals again clustered among the six-row
355 barleys, and in NGB321 the single individual clustering among the two-row individuals again
356 clustered among the two-row barleys. Apart from this all accessions clustered completely as
357 either two-row or six-row barley.

358

359 Among the six-row barley ΔK and CLUMPP H values supported two as the number of
360 clusters best describing the genetic diversity. At this level the herbarium samples and the
361 majority of the accession MU52 formed a cluster together with individuals from NGB27,
362 NM264, NGB321 and MU55 (supplementary file 1). Increasing the number of clusters further
363 (with continued high ΔK and CLUMPP H values) resulted in one cluster for MU52 and
364 NGB27, one for the herbarium samples, one for the remaining MU accessions, and mixed
365 ancestry for NGB321 and NM264 (Figure 5). This resulted in geographic structuring with a
366 northern and a southern cluster and a third cluster occurring primarily in the southeast.

367

368 For the two-row barley ΔK and CLUMPP H values suggested four or five as the number of
369 clusters best describing the genetic diversity. At $K = 4$, NM310 formed one cluster. MU6
370 clustered completely in a second cluster together with the majority of NM297 and NM278,
371 while a third cluster was comprised of NM294 and a major part of NM292 and NM279.
372 NM291 formed a fourth cluster. The herbarium specimens ML2 and ML24 showed mixed
373 ancestry and most accessions consisted of individuals belonging to different clusters and
374 individuals with mixed ancestry. Overall, the two-row accessions showed no evidence of
375 geographic structuring (Figure 6).

376

377

378

379 **Discussion**

380 *Genetic analysis of archaeobotanical DNA*

381 Recent advances in methods for DNA extraction and sequencing has allowed the field of
382 aDNA genetics to flourish, especially with regard to studies of human remains, but also
383 concerning archaeological specimens of other animal species (e.g. Slatkin & Racimo 2016;
384 MacHugh et al. 2016). Successful DNA analysis of archaeological plant remains has proved
385 more elusive. The rapid decomposition of most plant materials, in addition to the presence of
386 PCR inhibitors, has meant specimens suitable for aDNA analysis have rarely been recovered
387 (Gugerli et al. 2005; Brown et al. 2015). Studies of barley aDNA have been reported from
388 desiccated samples found at Mediterranean and North African sites (Palmer et al. 2009;
389 Mascher et al. 2016; Hagenblad et al. 2017). However, in Europe, including Fennoscandia,
390 genetic studies of archaeological plant specimens are still largely absent, likely due to the fact
391 that most archaeobotanical grains are preserved in a charred state. Although such specimens
392 are abundant, successful aDNA analysis of charred seeds seems to be highly dependent on the
393 extent of charring (Palmer et al. 2012; Nistelberger et al. 2016).

394

395 The DNA concentrations obtained from the charred specimens in this study ranged from 0.03
396 ng/µl to 3.22 ng/µl. However, *trnL* sequencing could not confirm the presence of barley
397 DNA, and instead, returning BLAST hits from plant species likely to grow at the site
398 suggested contaminating DNA of *in situ* origin. KASP genotyping has previously proved
399 successful for genotyping of desiccated historical and ancient barley seeds (Lister et al. 2013;
400 Hagenblad et al. 2017), but yielded no successful amplification in the charred barley seeds
401 studied here. Previous attempts to genetically analyse charred archaeological barley remains
402 from Kaupang, Norway, with next generation sequencing (NGS) has similarly met with no
403 success (Nistelberger et al. 2016). In fact, Nistelberger et al. (2016) showed that preservation
404 of DNA in charred grains is typically too low for utilizing the samples in aDNA studies, and
405 suggested that earlier reports of endogenous DNA are likely to be false. Seeds preserved in
406 desiccated or waterlogged conditions will be a more promising source of aDNA (Brown et al.
407 2015) but in Fennoscandia, such materials are scarce. Instances where desiccated materials in
408 particular can be found will therefore be all the more valuable for aDNA studies. As
409 archaeological sites in Europe typically do not contain desiccated grains, this type of material
410 instead has to be searched for in buildings, graves or similar structures where grains have
411 been kept dry and protected from predation.

412

413 *Herbaria and other historical collections*

414 Genetic analyses of grains from herbaria and seed collections, stored under dry conditions,
415 have proved to be a valuable complement to the analysis of both extant plants and
416 archaeobotanical remains in studies of agrarian history (Leino et al. 2009; Lister et al. 2008;
417 Palmer et al. 2012). The specimens studied here, collected over a period of almost 40 years
418 around the turn of the last century, had in general sufficient DNA quality to permit genetic
419 analysis. Although some specimens had a poor genotyping success rate, the majority of
420 samples had favourable genotyping results regardless of age, confirming the previously found
421 amenability of dry-stored historical samples for genetic analysis. Our study also supports
422 previous studies, finding KASP to be a cost-effective method for genetic analyses of aged
423 DNA (Lister 2013, Hagenblad et al. 2017).

424

425 Simulation studies have shown that although 90 markers can be sufficient for detecting
426 differentiation among regions using *STRUCTURE*, uneven sampling can have adverse effects
427 on the detection of differentiation (Nelson & Anderson 2013). Increasing the number of
428 sampled individuals has also been shown to increase the potential to detect population
429 differentiation (Willing et al. 2012). When it comes to genetic studies of historical specimens,
430 a large sample size will only be possible in rare instances, such as the seed collection of the
431 Swedish Museum of Cultural History, where large number of grains from different
432 individuals are available from each accession (Leino et al. 2009).

433

434 All of the historical accessions except NM288 contained a single row-type (either two-row or
435 six-row). Characterisation of the *Vrs1* gene has identified three mutations that result in a six-
436 row phenotype (Komatsuda et al. 2007). However, these three mutations will not by
437 themselves explain all variation in row-type: six-row individuals may be wildtype for all three
438 *Vrs1* mutations, and two-row individuals may carry deletions in *Vrs1* (Aslan et al. 2015). We
439 found that the *Vrs1* and *Int-c* genotypes of the accession NM310 and the specimen ML2
440 indicated that they should be six-row barleys. However, in the *STRUCTURE* analysis and in
441 PCA along the first principal component they clustered among two-row barleys. Phenotypic
442 analysis of NM310 and ML2 confirmed that they had the two-row phenotype. Like Aslan et
443 al. (2015), we conclude that *Vrs1* and *Int-c* genotyping is unable to reliably predict row-type,
444 and that substantially divergent genotypes exist among two-row barley.

445

446 Genotyping of functional markers can provide clues regarding phenotypic traits which are not
447 possible to discern from the appearance of seeds or rachides. For example, we can conclude
448 that the majority of barley cultivated in Finland in the late 19th and early 20th century was
449 late-flowering under increasing day-length, supporting previous findings from northern
450 European barley (Jones et al. 2008a; Lister et al. 2009; Aslan et al. 2015).

451

452 *Genetic structure in Finnish landrace barley*

453 Our Finnish landrace barley samples confirmed previously shown strong genetic structuring
454 between two-row and six-row barley (e.g. Malysheva-Otto et al. 2006, 2007; Kolodinska
455 Brantestam et al. 2007; Yahiaoui et al. 2008; Leino & Hagenblad 2010). Forsberg et al.
456 (2015), studying six-row barley, found a latitudinal geographic structure across Fennoscandia.
457 Despite using less than a third of the markers used by Forsberg et al. (2015) we could repeat
458 some of the results with significant geographic structure in PCA for six-row barley. In
459 *STRUCTURE* analysis, more northern and southern accessions tended to cluster apart from
460 each other as in Forsberg et al. (2015). The herbarium material formed a separate cluster that
461 did not seem to correspond to any cluster detected in Forsberg et al. (2015). This might either
462 reflect a more recent introduction of six-row barley (the herbarium specimens were mostly 20
463 years younger than the seed collection specimens) or a previously undetected older type. The
464 herbarium samples are mostly from the south-west corner of Finland, a geographic region not
465 covered by Forsberg et al. (2015).

466

467 For two-row barley, evidence of geographic structuring of genetic diversity was largely
468 absent. However, the geographical area sampled for two-row barley was also much smaller
469 than for six-row barley. Detection of geographical structure might also be obscured by the
470 admixture of landraces with introduced cultivars (mass selections) of two-row barley from
471 Central Europe. Such cultivars of two-row barley were commonly marketed in Fennoscandia
472 in the late 19th century (Leino 2017). Although two-row barley has been cultivated in Finland
473 for more than 300 years (Gadd 1770; Onnela 2004), barley cultivation in Finland was mainly
474 based on four- and six-row landraces until the beginning of the 20th century. Since then two-
475 row barley has become the favoured type for cultivation in Finland, not least for use in
476 brewing (Heinonen 2009).

477

478 In spite of the absence of geographical structure, our PCA of two-row barley showed a clear
479 separation between NM310 and ML2, and the remaining accessions and samples. Jones et al.

480 (2011) detected a similar separation among their two-row barley accessions, which seemed to
481 primarily separate spring barley from winter barley. Neither of our historical samples had
482 their growth habit recorded, but it seems likely that the separation along principal component
483 two is the result of differences in growth habit. Future studies genotyping the *VRN* locus,
484 controlling growth habit, with a larger number of accessions and individuals are needed to
485 better evaluate the presence of genetic structuring in two-row Finnish barley.

486

487 **Conclusions**

488 We confirm previously reported difficulties in obtaining indigenous DNA from charred
489 archaeological remains. Instead, we stress the importance of finding specimens preserved in
490 waterlogged or preferably desiccated conditions. When such archaeological remains are not
491 available, historical samples from herbaria or seed collections may fulfil a useful purpose.
492 Based on such materials, Finnish six-row barley showed strong geographic clustering, likely
493 due to climate adaptation over long time. In contrast, in Finnish two-row barley – a younger and
494 more rarely cultivated crop – genetic structuring does not seem to be linked to geography.

495

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500

501 **Conflict of interest**

502 The authors declare that they have no conflict of interest on the content of manuscript and
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504

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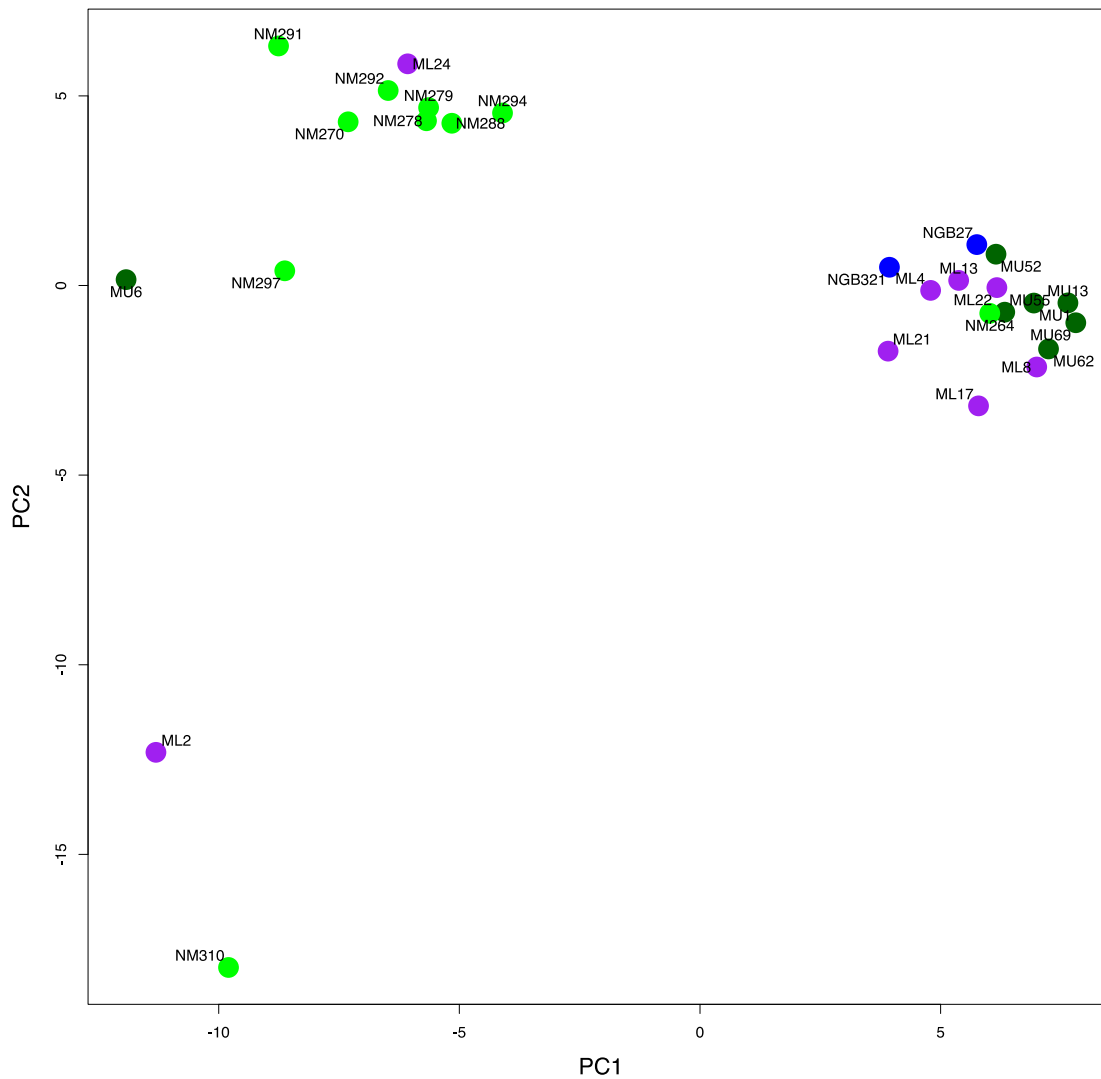


Fig 1. Principal component analysis of allele frequencies for 90 loci from the BOPA1 array, with accessions and individual herbarium specimens treated as separate populations. Each data point represents a separate accession identified by accompanying accession number. Dark green denotes accessions from Mustiala Agricultural College, blue denotes accessions from the Nordic Genetic Resource Center, light green denotes accessions from the Swedish museum of cultural history and purple denotes herbarium specimens. The first principal component explains 27.59 % of the genetic variation and the second principal component 14.53 %.

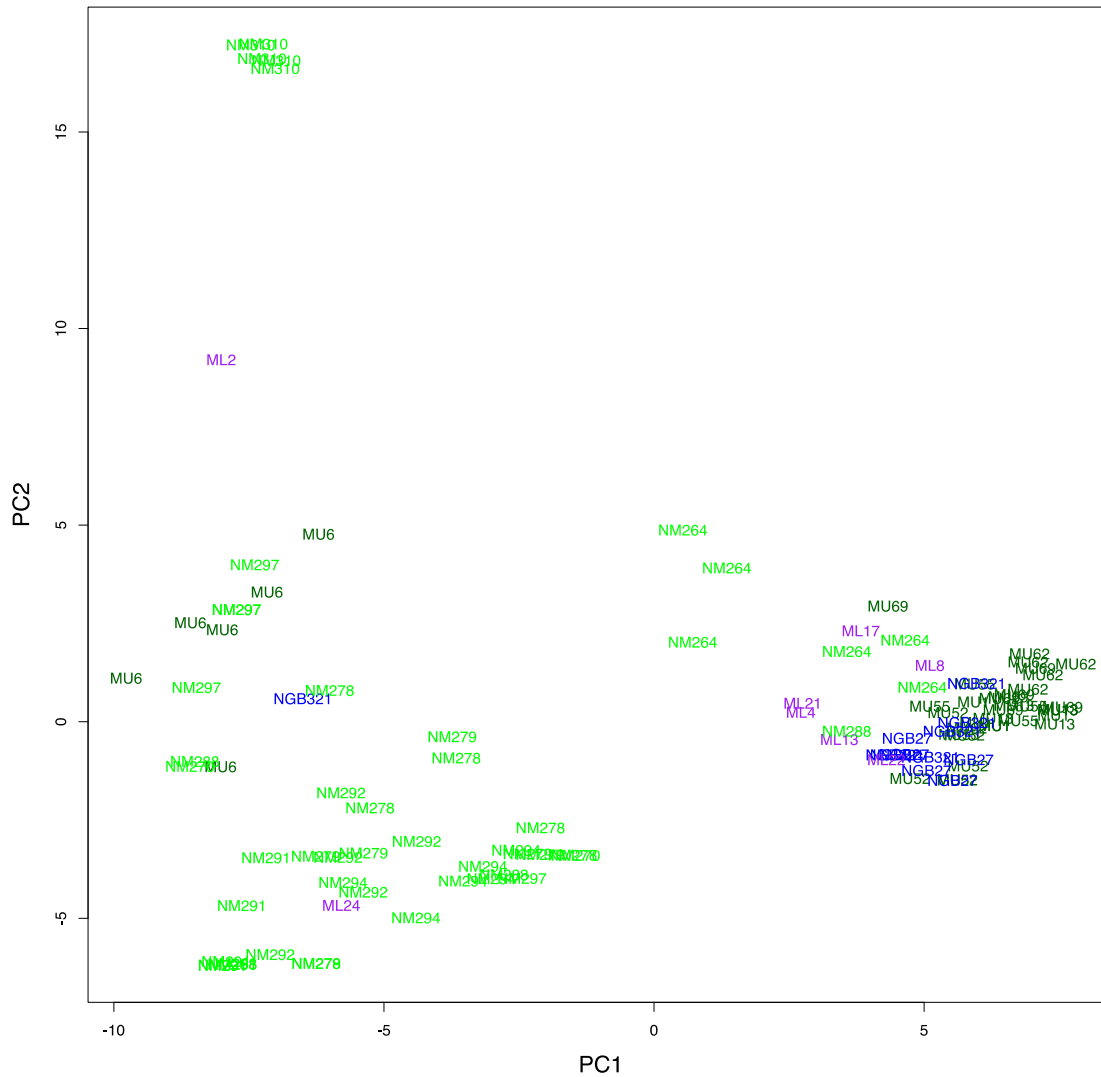


Fig 2. Principal component analysis of the genotypes of the individual specimens for 90 loci from the BOPA1 array, with the accession number given for each individual. Dark green denotes accessions from Mustiala Agricultural College, blue denotes accessions from the Nordic Genetic Resource Center, light green denotes accessions from the Swedish museum of cultural history and purple denotes herbarium specimens. The first principal component explains 19.50 % of the genetic variation and the second principal component 11.97 %.

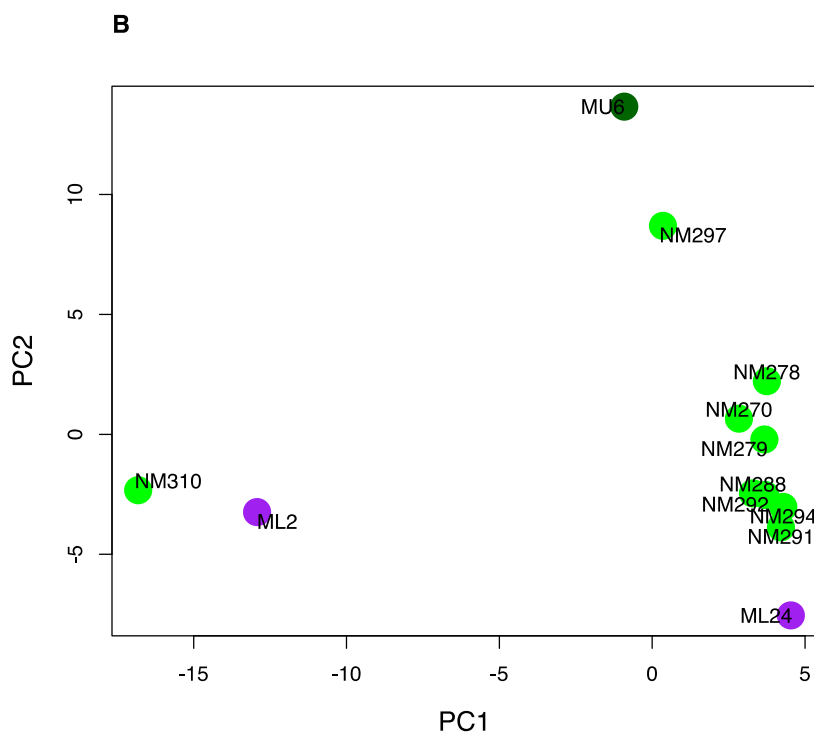
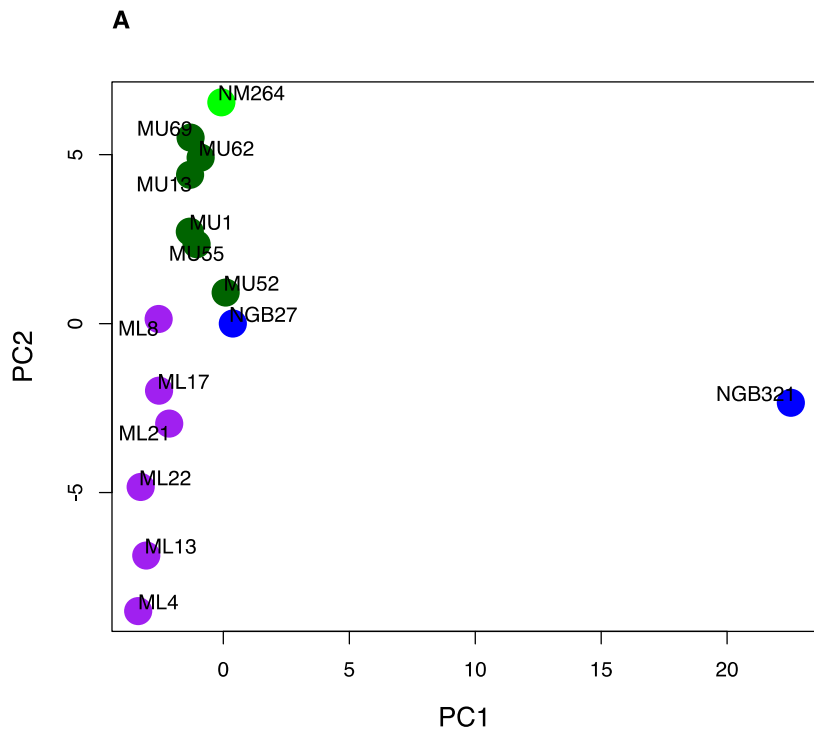


Fig 3. Principal component analysis of allele frequencies for 90 loci from the BOPA1 array, with accessions and individual herbarium specimens treated as separate populations. Dark green denotes accessions from Mustiala Agricultural College, blue denotes accessions from the Nordic Genetic Resource Center, light green denotes accessions from the Swedish museum of cultural history and purple denotes herbarium specimens. A) Six-row barley accessions. The first principal component explains 28.79 % of the genetic variation and the second principal component 14.85 %. B) Two-row barley accessions. The first principal

component explains 28.84 % of the genetic variation and the second principal component 19.15 %.

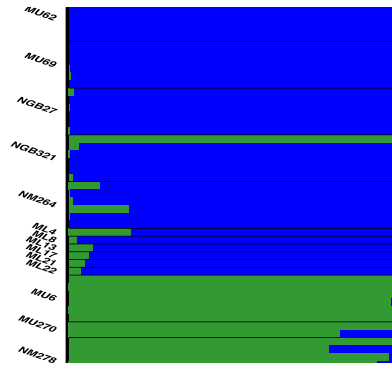


Fig 4. Results of structure analysis of all accessions, regardless of row-type. Each vertical line corresponds to one individual where the proportion of each colour corresponds to the extent to which the individual has been designated to the particular cluster represented by that colour. Six-row barley accessions cluster predominantly in the blue group and two-row accessions in the green group.

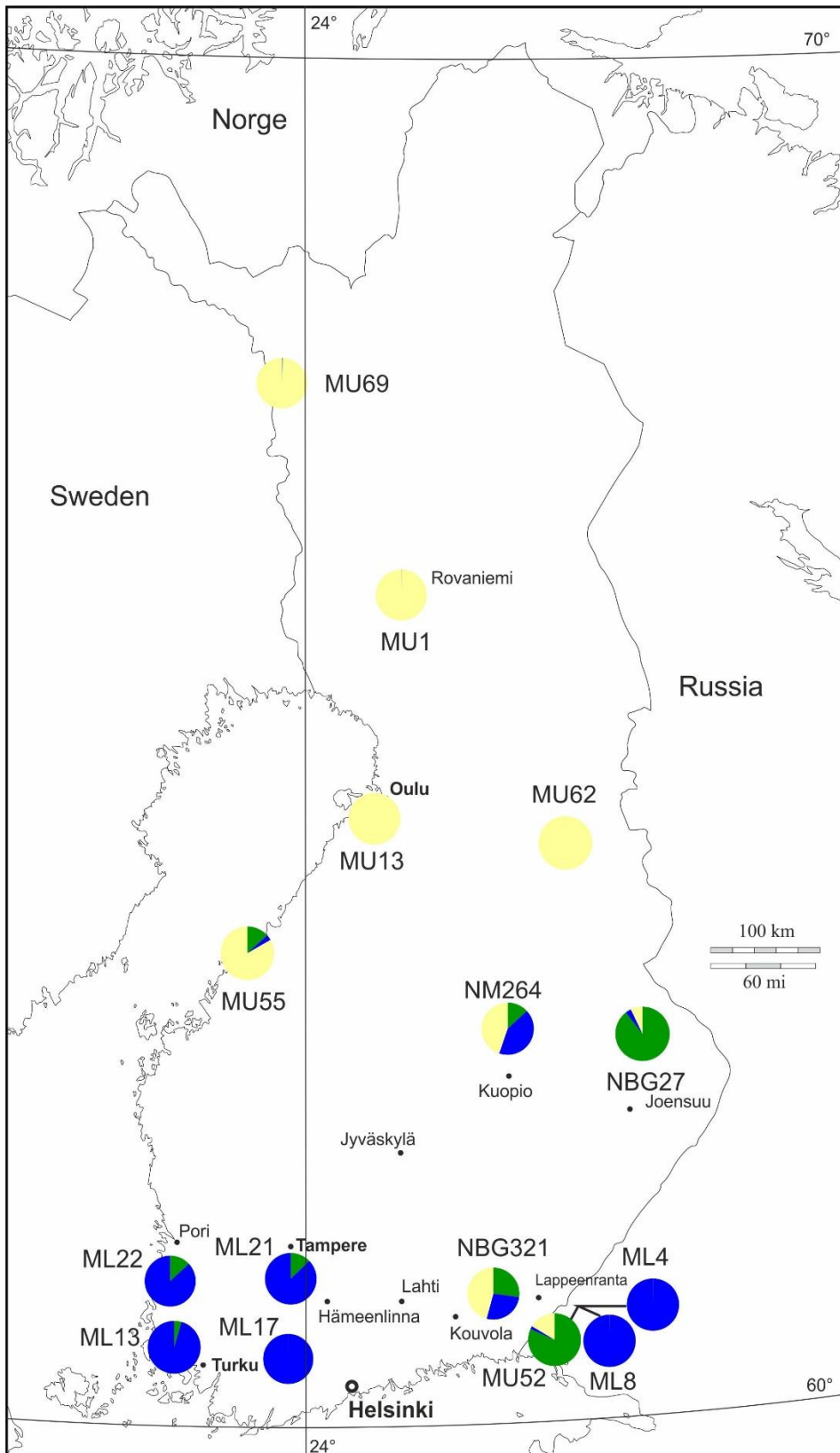


Fig 5. Results of structure analysis of all six-row individuals, assigned to three clusters, represented by different colours in the figure. The proportion of each colour corresponds to the extent to which the individuals from each accession have been designated to the particular cluster represented by that colour.

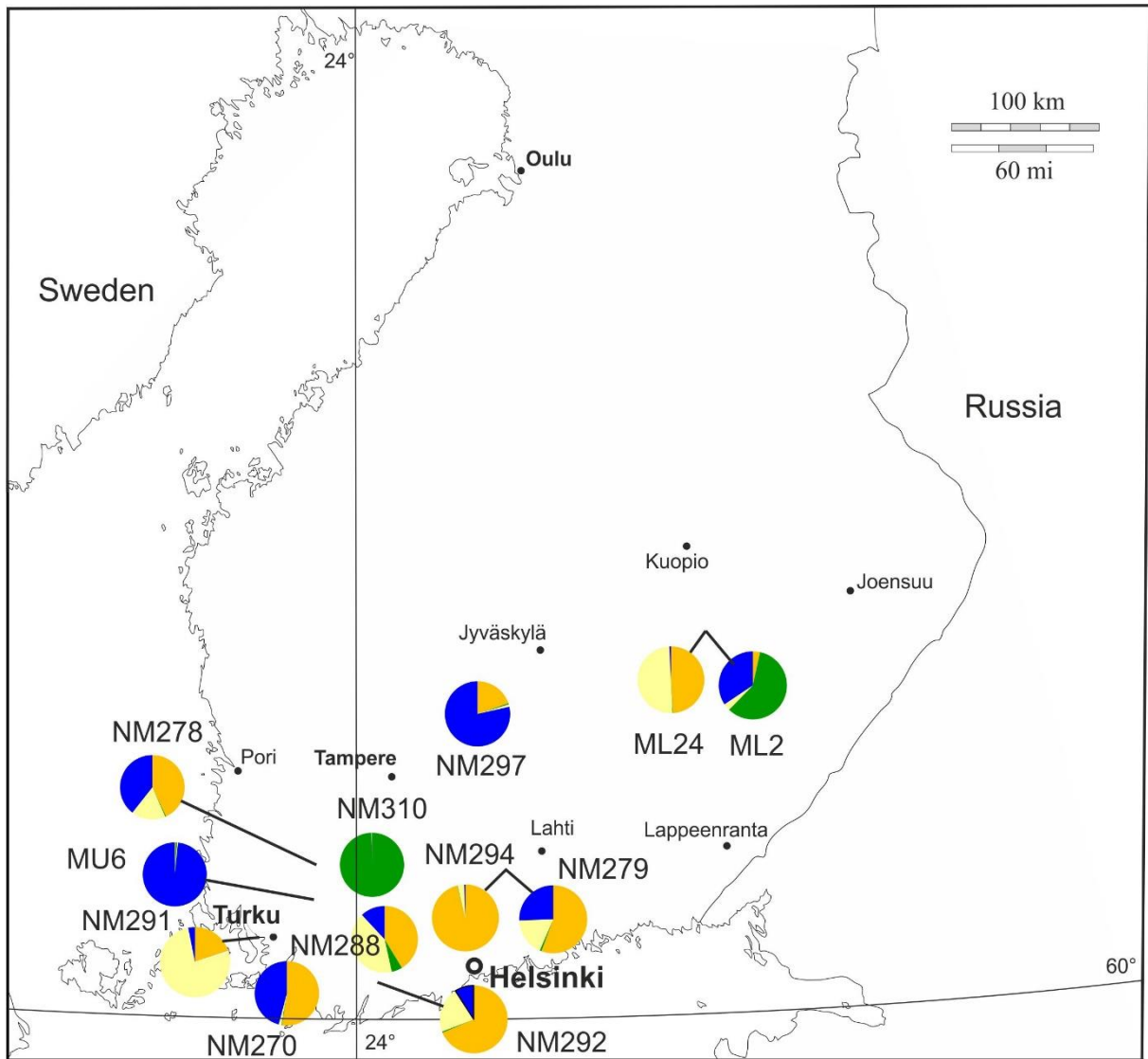


Fig 6. Results of structure analysis of all two-row individuals, assigned to four clusters, represented by different colours in the figure. The proportion of each colour corresponds to the extent to which the individuals from each accession have been designated to the particular cluster represented by that colour.

Table 1. Information about archaeological samples studied

Designation	Location	Latitude	Longitude	Age	DNA concentration (ng/ μ l)	PCR products
HV10_RM_1	Raisio Mulli	60° 28' 13" N	22° 11' 31" E	AD 980 - 1220	0.53	Yes*
HV10_RM_2	Raisio Mulli	60° 28' 13" N	22° 11' 31" E	AD 980 - 1220	0.30	Faint
HV10_RM_3	Raisio Mulli	60° 28' 13" N	22° 11' 31" E	AD 980 - 1220	0.14	No
HV10_RM_4	Raisio Mulli	60° 28' 13" N	22° 11' 31" E	AD 980 - 1220	0.35	Faint
HV10_RM_5	Raisio Mulli	60° 28' 13" N	22° 11' 31" E	AD 980 - 1220	0.41	No
HV10_RM_6	Raisio Mulli	60° 28' 13" N	22° 11' 31" E	AD 980 - 1220	0.03	Yes*
HV10_RM_7	Raisio Mulli	60° 28' 13" N	22° 11' 31" E	AD 980 - 1220	0.62	No
HV10_RM_8	Raisio Mulli	60° 28' 13" N	22° 11' 31" E	AD 980 - 1220	0.03	Yes*
HV10_RM_9	Raisio Mulli	60° 28' 13" N	22° 11' 31" E	AD 980 - 1220	0.03	No
HV10_RM_10	Raisio Mulli	60° 28' 13" N	22° 11' 31" E	AD 980 - 1220	0.28	Faint
HV24_EM_1	Espoo Mankby	60° 28' 13" N	22° 11' 31" E	AD 1500	0.20	No
HV24_EM_2	Espoo Mankby	60° 28' 13" N	22° 11' 31" E	AD 1500	0.20	Faint
HV24_PT	Pirkkala Tursiannotko	61° 26' 59" N	23° 32' 53" E	AD 800-1000	1.23	Faint
HV23_PT	Pirkkala Tursiannotko	61° 26' 59" N	23° 32' 53" E	AD 800-1000	2.51	Double bands

HV22_PT_1	Pirkkala Tursiannotko	61° 26' 59" N	23° 32' 53" E	AD 800-1000	1.87	Faint double bands
HV22_PT_2	Pirkkala Tursiannotko	61° 26' 59" N	23° 32' 53" E	AD 800-1000	0.17	No
HV22_PT_3	Pirkkala Tursiannotko	61° 26' 59" N	23° 32' 53" E	AD 800-1000	0.10	No
HV22_PT_4	Pirkkala Tursiannotko	61° 26' 59" N	23° 32' 53" E	AD 800-1000	0.66	Yes*
HV20_PT_1	Pirkkala Tursiannotko	61° 26' 59" N	23° 32' 53" E	AD 800-1000	1.62	Yes*
HV20_PT_2	Pirkkala Tursiannotko	61° 26' 59" N	23° 32' 53" E	AD 800-1000	3.22	Yes*
HV08_HRY_1	Hattula Retulansaari Ylikartano	61° 10' 26" N	24° 19' 11"	AD 700-900	0.48	Yes*
HV08_HRY_2	Hattula Retulansaari Ylikartano	61° 10' 26" N	24° 19' 11"	AD 700-900	0.35	No
HV08_HRY_3	Hattula Retulansaari Ylikartano	61° 10' 26" N	24° 19' 11"	AD 700-900	0.24	Yes*
HV08_HRY_4	Hattula Retulansaari Ylikartano	61° 10' 26" N	24° 19' 11"	AD 700-900	0.16	Yes*

HV08_HRY_5	Hattula Retulansaari Ylikartano	61° 10' 26" N	24° 19' 11" E	AD 700-900	0.88	No
HV08_HRY_6	Hattula Retulansaari Ylikartano	61° 10' 26" N	24° 19' 11" E	AD 700-900	0.11	No
HV08_HRY_7	Hattula Retulansaari Ylikartano	61° 10' 26" N	24° 19' 11" E	AD 700-900	0.87	Yes*

* PCR product used for sequencing

Table 2. Information about historical samples studied

Designation	Source	Location	Latitude	Longitude	Age	Row type	No individuals	Average success rate (variance) ***
ML1	Herbarium http://mus.utu.fi/TFA.146792	Karelia Ladogensis, Soanlahti, Valjakka *	62° 1' 60" N	31° 3' 0"E	1902	Six-row	1	0
ML2	Herbarium http://mus.utu.fi/TFA.146784	Savonia borealis, Kangaslampi	62° 17' 27" N	28° 15' 3" E	1893	Two-row	1	0.959
ML4	Herbarium http://mus.utu.fi/TFA.146783	Karelia Ladogensis, Jääski, Hyppölä *	61° 2' 00" N	28° 56' 00"E	1913	Four-row	1	0.990
ML8	Herbarium http://mus.utu.fi/TFA.146782	Åland, Lumparland	60° 7' 3" N	20° 15' 39" E	1906	Four-row	1	1
ML13	Herbarium http://mus.utu.fi/TFA.379943	Reso	60° 29' 16" N	22° 10' 49" E	1912	Four-row	1	1
ML16	Herbarium http://mus.utu.fi/TFA.420295	Gamla Karleby	63° 50' 16" N	23° 7' 42" E	1913	Four-row	1	0.113
ML17	Herbarium http://mus.utu.fi/TFA.420294	Somero	60° 37' 3" N	23° 30' 47" E	1920	Four-row	1	0.979
ML18	Herbarium http://mus.utu.fi/TFA.420293	Tavastehus	60° 58' 44" N	24° 30' 12" E	1872	Six-row	1	0
ML19	Herbarium http://mus.utu.fi/TFA.420292	Kangasala	61° 27' 48" N	24° 4' 12" E	1917	Six-row	1	0

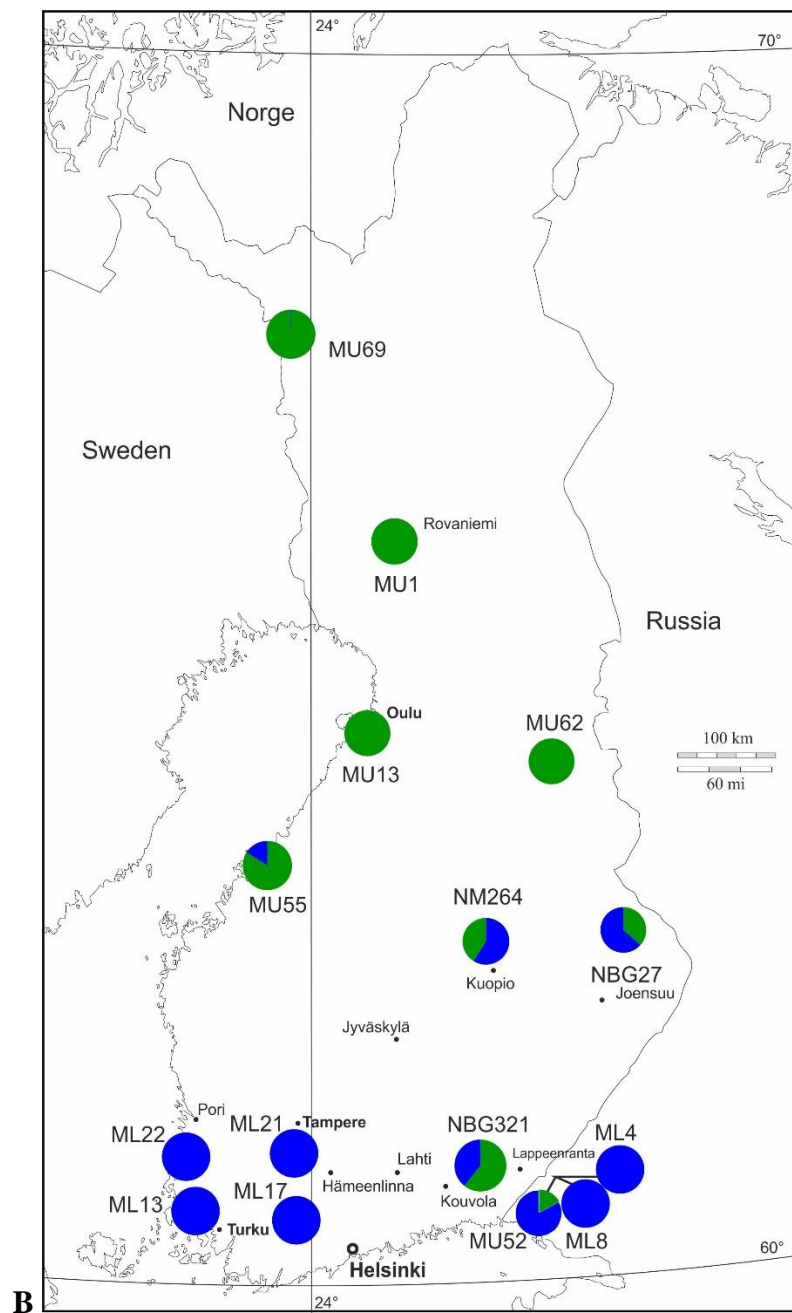
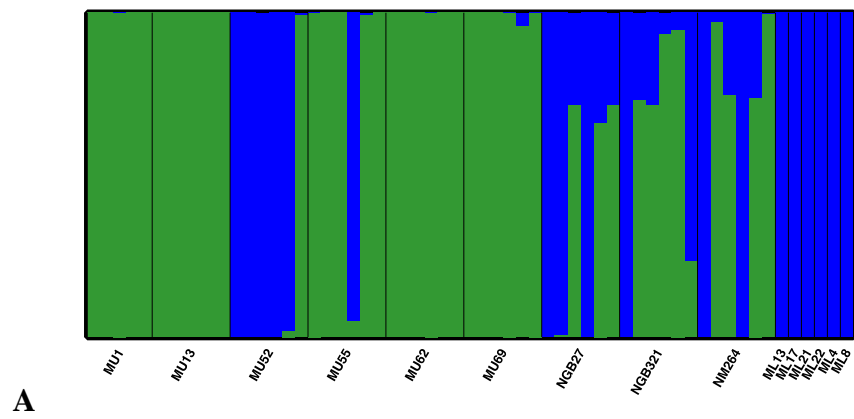
ML20	Herbarium http://mus.utu.fi/TFA.420291	Hausjärvi, Torhola	60° 48' 5" N	25° 3' 49" E	1918	Six-row	1	0.371
ML21	Herbarium http://mus.utu.fi/TFA.420290	Tavastland, Akkas, Toijala	61° 10' 24" N	23° 50' 21" E	1915	Six-row	1	0.918
ML22	Herbarium http://mus.utu.fi/TFA.420289	Satakunta, Wuojoki	61° 13' 7" N	21° 40' 6" E	1896	Four-row	1	1
ML23	Herbarium http://mus.utu.fi/TFA.420288	Borgå, Emsalo	60° 17' 48" N	25° 36' 8" E	1921	Six-row	1	0
ML24	Herbarium http://mus.utu.fi/TFA.420287	Mäntsälä, Nikinoja	60° 48' 29" N	25° 11' 54" E	1913	Two-row	1	0.979
NM264**	Seed collection	Mattila	63° 15' N	27° 28' E	1882	Six-row	6	
NM270	Seed collection	Piikis	60° 16' N	22° 18' 36" E	1882	Two-row	2	
NM278	Seed collection	Kemppi, Alastaro	60° 57' 7" N	22° 51' 42" E	1882	Two-row	6	
NM279	Seed collection	Laitila, Kärkölä	60° 52' 7" N	25° 16' 38" E	1882	Two-row	6	
NM288	Seed collection	Ingeris, St Bertils	60° 26' 59" N	23° 14' 16" E	1882		5	0.919 (0.030)
NM291	Seed collection	Artukais, Reso	60° 29' 9" N	22° 10' 8" E	1882	Two-row	6	0.986 (0.000)
NM292	Seed collection	Mättilä, Kisko	60° 15' 53" N	23° 26' 49" E	1882	Two-row	5	0.902 (0.022)
NM294	Seed collection	Laitiala, Kärkölä	60° 52' 7" N	25° 16' 38" E	1882		6	0.988 (0.000)
NM297	Seed collection	Mattila, Längelmäki	61° 43' 45" N	24° 48' 0" E	1882		5	0.814 (0.160)
NM310	Seed collection	Mustiala	60° 49' N	23° 46' 11" E	1882	Two-row	5	0.957 (0.000)
MU1**	Seed collection	Rovaniemi	66° 28' 48" N	25° 43' 12" E	1890s	Six-row	5	
MU6	Seed collection	Koski	60° 39' 7" N	23° 8' 18" E	1890s	Two-row	6	
MU13**	Seed collection	Oulunsalo	64° 55' 48" N	25° 24' E	1890s	Six-row	6	
MU52**	Seed collection	Jääski	61° 1' 48" N	28° 55' 12" E	1890s	Six-row	6	
MU55**	Seed collection	Vielvis, Kelviä	63° 51' N	23° 27' E	1890s	Six-row	6	
MU62**	Seed collection	Hyrnsalmi	64° 43' 48" N	28° 28' 12" E	1890s	Six-row	6	
MU69**	Seed collection	Muonionniska	67° 57' N	23° 39' E	1890s	Six-row	6	

NGB27**	Extant	Sarkalahti	61° 1' 48" N	27° 19' 48" E		Six-row	6	
NGB321**	Extant	Törmälä	63° 10' 48" N	30° 1' 12" E		Six-row	6	

* Nowadays Russia

** Genotyped for Forsberg et al. 2015

*** Data for previously not genotyped samples



Supplementary file 1. Results of *STRUCTURE* analysis of all six-row individuals, assigned to two clusters. The coloured clusters do not correspond to those depicted in figure 4. A)

Distruct plot with each individual depicted by a vertical line segmented into up to two coloured sections. The length of each section is proportional to the estimated membership coefficient (Q) of the individual accession to each one of the two clusters. Thin black vertical lines separate different accessions. B) Map showing the location and proportional cluster membership of the different accessions.