

1 **An age- and sex-specific dietary guidelines index is a valid measure of diet quality in an**
2 **Australian cohort during youth and adulthood.**

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4 Johanna E Wilson^a, Leigh Blizzard^a, Seana L Gall^a, Costan G Magnussen^{a,b}, Wendy H
5 Oddy^a, Terence Dwyer^{a,c}, Alison J Venn^a, Kylie J Smith^{a,*}

6

7 ^a Menzies Institute for Medical Research, University of Tasmania, Private Bag 23, Hobart,
8 Tasmania, Australia, 7001

9 ^b Research Centre of Applied and Preventive Cardiovascular Medicine, University of Turku,
10 Turku, Finland, FIN-20520

11 ^c The George Institute for Global Health, University of Oxford, Oxford, UK, OX1 3QX

12

13 *Corresponding author: Dr Kylie J Smith, Menzies Institute for Medical Research

14 University of Tasmania, Private Bag 23, Hobart. Tasmania, Australia, 7001. Email:

15 k.j.smith@utas.edu.au, Phone: +61 3 6226 7780 , Fax: +61 3 6226 7704

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16 **Abbreviations**

17 ASHFS; Australian Schools Health and Fitness Survey

18 BMI; Body mass index

19 CDAH: Childhood Determinants of Adult Health

20 DGI; Dietary Guidelines Index

21 FFQ; Food frequency questionnaire

22 FHQ; Food habits questionnaire

23 HDL; High-density lipoprotein

24 HOMA-IR; Homeostatic Model Assessment of Insulin Resistance

25 kJ; Kilojoule

26 LDL; Low-density lipoprotein

27 MJ; Megajoule

28 NDSS; National Dietary Survey of Schoolchildren

29 PCA; Principal components analysis

30 SES; Socioeconomic status

31 **Abstract**

32

33 Measuring diet quality over time is important due to health impacts, but to our knowledge, a
34 Dietary Guideline Index (DGI) with consistent scoring across childhood/adolescence (youth)
35 and adulthood has not been validated. We hypothesized that a DGI that reflected age- and
36 sex-specific guidelines would be a valid measure of diet quality in youth and adulthood. The
37 DGI is based on the 2013 Australian Dietary Guidelines to reflect current understanding of
38 diet quality, and comprises nine indicators, with a maximum score of 100 points. DGI scores
39 were calculated for participants of the Australian Childhood Determinants of Adult Health
40 study, which included a 24-hour food record during youth (1985, n=5043, age: 10-15 years),
41 and a 127-item food frequency questionnaire during adulthood (2004-06, n=2689, age: 26-36
42 years). We evaluated construct validity (distribution of scores, principal components analysis,
43 correlation with nutrient density of intakes) and criterion validity (linear regression with
44 population characteristics). DGI scores were multidimensional in underlying structure and
45 normally distributed. Among youth, a lower DGI was significantly associated ($p<0.05$) with
46 smoking, and lower academic achievement and socioeconomic status. DGI scores were
47 negatively correlated with energy, sugar and fat, and positively correlated with fiber, protein
48 and micronutrients. Among adults, a lower DGI was associated with lower education and
49 self-reported health, and higher waist circumference, insulin resistance, and total and LDL
50 serum cholesterol. The DGI is an appropriate measure of diet quality in youth and adulthood
51 as higher scores reflect nutrient-dense, rather than energy-dense intake, and discriminate
52 between population characteristics consistent with the literature.

53	Keywords
54	Nutritional quality
55	Adolescent
56	Socioeconomic factors
57	Metabolic syndrome
58	Validity
59	Child

60 **1. Introduction**

61

62 Understanding diet quality over the life course is important due to associations between diet
63 and highly prevalent health conditions including obesity related illness and mental disorders
64 [1, 2]. Diet in observational studies is often assessed using a dietary index, which applies pre-
65 conceived concepts of diet quality such as evidence-based dietary guidelines, to calculate an
66 overall score from food and drink intake [3]. An advantage over methods such as dietary
67 pattern analysis, is that an index is a standard measure that compares what participants are
68 eating to what is recommended for good health, and allows comparison of diet quality over
69 time and between populations [4].

70

71 Dietary guidelines differ between countries according to food cultures, but commonly aim to
72 achieve adequate nutrition and reduce risk of diet related disease [5]. Similar to other western
73 countries such as the US and UK, the Australian Dietary Guidelines are food-based
74 guidelines encouraging intakes of core food groups (“eat more”: vegetables, fruit,
75 wholegrains, reduced fat dairy, and lean meat), while recommending limited intake of
76 discretionary foods (“eat less”: alcohol and foods high in saturated fat, added sugar or salt)
77 [6]. The Australian “eat more” and “eat less” guidelines differ in the number of
78 recommended servings by sex and age group but are otherwise consistent from the age of two
79 years onwards. However, existing validated Australian dietary guideline indexes for
80 children/adolescents (youth) and adults are not directly comparable due to their different
81 composition [7-10]. Validation of a consistent index for application among both youth and
82 adults is lacking in Australia and internationally [11, 12]. Furthermore, although analyses of
83 data collected several years or even decades ago is common in epidemiology, there has been

84 little focus on the challenges posed in applying dietary indexes retrospectively. Whereas
85 other data types are objective (e.g. weight), dietary measures require interpretation if
86 researchers wish to examine effects of overall diet quality rather than single food groups or
87 nutrients.

88

89 The aim of this study was to revise a previously validated Australian dietary guidelines index
90 and evaluate its appropriateness as a measure of diet quality in both youth and adulthood in
91 an Australian cohort. Our index, hereafter referred to as the DGI, reflects the evidence-based
92 2013 Australian Dietary Guidelines to assess food intake against a current understanding of a
93 healthy and nutritionally adequate diet. Appropriateness of the index was determined by
94 evaluating measures of construct validity as to whether the DGI adequately reflects variation
95 in diet quality according to measured intake, and is associated with dietary and population
96 characteristics as would be predicted based on existing literature [13, 14]. We hypothesized
97 that our Dietary Guidelines Index (DGI), which reflected age- and sex-specific guidelines,
98 would be a valid measure of diet quality in both childhood/adolescence (youth) and
99 adulthood. To test the hypothesis, we used several validation methods recognized within
100 nutritional epidemiology [13]. Construct validity was evaluated by distribution of index
101 scores, index dimensionality (whether variation in dietary intake among the sample is
102 explained by more than one linear combination of components), and in youth, associations
103 between DGI and nutritional quality of diet. A further type of construct validity, concurrent
104 criterion validity, was evaluated by assessing discrimination between population
105 characteristics including sociodemographic variables, and cardio-metabolic risk factors by
106 DGI scores according to known differences, such as the social gradient of diet in which lower
107 diet quality is often associated with lower socioeconomic status (SES) [13-15]. Internal
108 consistency of the index was also examined.

109

110 **2. Methods and materials**

111

112 **2.1 Study design and sample**

113

114 In 1985, the Australian Department of Community Services and Health conducted the
115 Australian Schools Health and Fitness Survey (ASHFS) of schoolchildren aged 7-15 years. A
116 two-stage probability design was used to achieve a nationally representative sample across all
117 Australian states and territories, with 109 schools participating (90.1% response rate) [16]. A
118 sample size target of 500 students of each sex at each of the age levels 7 to 15 years was
119 determined to be able to permit estimates from the questionnaire data that were within 10%
120 of the population means. The overall student response rate from the 12578 students
121 approached was 67.6% (N=8498) [16]. Students aged 10-15 years (N=5589) were invited to
122 participate in the concurrent National Dietary Survey of Schoolchildren (NDSS).

123

124 During 2001-02, ASHFS participants were traced and invited to take part in the Childhood
125 Determinants of Adult Health (CDAH) study, resulting in enrolment of 5170 (61.0%)
126 participants [17]. During 2004-06, the first CDAH follow-up was conducted comprising
127 questionnaires (n=3967) and study clinics for physical measurements (n=2410).

128

129 The State Directors General of Education approved the ASHFS, and signed parental consent
130 was required. The CDAH study protocol was approved by the Southern Tasmanian Health
131 and Medical Ethics Committee, operating in accordance with National Health and Medical

132 Research Council requirements, and all participants gave informed written consent. All
133 protocols conformed to the ethical guidelines of the 1975 Declaration of Helsinki.

134

135 **2.2 Measurements**

136

137 **2.2.1 Dietary measures in youth**

138

139 In 1985, NDSS participants recorded the time and estimated amount of each food or drink
140 item consumed during a 24-hour period. Trained data collectors showed students in groups of
141 four or five how to measure and record their intake in the food record booklet with the aid of
142 circles, rulers, and metric cups and spoons. The students did a practice exercise and the 24-
143 hour recording period started immediately after the session. When the food records were
144 collected, each student was interviewed to check and clarify the entries.

145

146 Survey design, collection and processing of NDSS data was coordinated by the Department
147 of Community Services and Health with assistance from the Dieticians Association of
148 Australia [18]. Energy, and macro and micronutrient compositions were determined in 1985
149 according to the edible weight of each item (e.g. peeled orange), from a specially compiled
150 database based on the British *McCance and Widdowson's The composition of foods*, and
151 adjusted for differences in Australian foods with *Metric tables of composition of Australian*
152 *foods* [19-21].

153

154 To score the DGI, all items consumed were converted to a proportion of a standard serving as
155 defined in the 2013 Australian Dietary Guidelines [6]. Servings were determined by grams
156 for the core food groups (fruit, vegetables, grains, lean meats/fish or alternatives, and dairy or
157 alternatives), with the exception of cooked grains which were calculated by kilojoules
158 (450kJ) due to variations in weight [6]. For example, if a participant reported consuming 60g
159 of toast at breakfast, this was equated to 1.5 standard 40g servings of bread. For mixed dishes
160 (e.g. casserole, stir-fry) or discretionary items (high in saturated fat, or added sugars or salt,
161 e.g. processed meats, cakes, potato chips, sugar-sweetened drinks), one serving was defined
162 as a portion equivalent to 600kJ [6]. For example, a 1200kJ serving of hot potato chips
163 equated to two servings of discretionary food.

164

165 Total daily energy density of food intake was calculated by a participant's total daily energy
166 in kJ, divided by their total daily grams of food consumed. Energy adjusted daily macro and
167 micronutrient density intakes for each participant were calculated as total daily nutrient
168 values divided by total daily energy intake, reported as units per 1MJ (1000kJ).

169

170 **2.2.2 Dietary measures in adulthood**

171

172 Participants completed a 127-item food frequency questionnaire (FFQ), and a food habits
173 questionnaire (FHQ) which were modified versions of those previously used in the 1995
174 National Nutrition Survey and based on validated FFQ and FHQ developed for Australian
175 populations [22, 23]. The multiple choice FFQ asked for the average number of times each
176 food or beverage was consumed daily, weekly, or monthly during the previous 12 months.
177 Nine response options ranged from "Never or less than once a month" to "6+ times per day".

178 Daily equivalents were calculated for each FFQ item, assuming one standard serving was
179 consumed at each eating occasion [7, 24]. For example, if a participant responded that they
180 ate fresh fish “Once per week”, their daily serving of fresh fish was calculated as one seventh
181 of a 100g standard serving of fish (28.3g). Average daily servings of fruit and vegetables
182 were estimated from two multiple-choice questions in the FHQ that asked participants to
183 report how many servings of fruit and vegetables they usually consumed each day and
184 provided examples of serving sizes. The FHQ also asked about type of milk usually
185 consumed (e.g. full fat, reduced fat), type of spread usually used, and how often fat was
186 trimmed from meat. Energy intake was not calculated as the FFQ only collected data on the
187 frequency of consumption, not the amount consumed.

188

189 **2.2.3 DGI composition**

190

191 The DGI comprises nine indicators reflecting the 2013 Australian Dietary Guidelines.
192 Current guidelines were used for interpretability of results, as they reflect current
193 understanding of food-based nutritional intakes required for good health. The indicators and
194 scoring criteria are described in detail in **Table 1**. Seven indicators, worth 10 points each,
195 related to recommended minimum intakes (dietary variety, vegetables, fruit, grains, lean
196 meats and alternatives, low fat dairy and alternatives, water). Two indicators were for
197 limiting intake of discretionary foods (worth 20 points) and replacing saturated fats with
198 unsaturated fats (10 points). Previous versions of the adult DGI included separate indicators
199 for alcohol, added sugar, and added salt [7, 10]. However, in our DGI, these were combined
200 into the single discretionary foods indicator, consistent with the youth index, as processed
201 foods may be high in combinations of these ingredients. The maximum possible DGI score

202 was 100, with a higher score indicating higher diet quality. As outlined in **Table 1**, cut-offs
203 for achieving maximum and minimum scores for each indicator were determined according
204 to the age- and sex-specific serving recommendations, or the nutritional quality of the food
205 (e.g. proportion of wholegrains to all grains) [6, 25, 26]. Proportionate scores were given for
206 partially meeting recommendations, for example if a participant reported one daily serving of
207 fruit rather than the recommended minimum of two serves, they received five of the potential
208 10 points.

209

210 **2.2.4 Covariates in youth**

211

212 The ASHFS questionnaire included questions on demographics, lifestyle, health attitudes,
213 and sport and exercise history. Trained data collectors administered the questionnaires to
214 small groups of four or five students and assisted with reading or explaining questions as
215 needed. The following data were used in this analysis: age in years; ever smoked (never, less
216 than 10 cigarettes, 10 or more cigarettes); breakfast eating (usually eat, don't usually eat),
217 self-reported health status (very good, good, average, poor, very poor), and total hours of
218 physical activity per week calculated from physical activity to, from, outside and during
219 school over the previous week.

220

221 Socioeconomic status (SES) quarters (high, medium-high, medium-low, low) were
222 determined by postal area code of place of residence according to the Australian Bureau of
223 Statistics Socio-Economic Index for Areas and 1981 census data [27]. Scholastic level was
224 reported by each student's school (excellent, above average, average, below average, poor).

225

226 Height and weight were measured with participants wearing light clothing and no shoes or
227 socks. Height was measured to the nearest 0.1cm using KaWe height tape or rigid measuring
228 tape. Weight was measured to the nearest 0.5 kg using beam or medical spring scales. BMI
229 was calculated as $\text{weight (kg)} / (\text{height (m)})^2$.

230

231 **2.2.5 Covariates in adulthood**

232

233 Participants were mailed questionnaires, which were returned at study clinics or by post.
234 Collected data included age in years, marital status (married/living as married,
235 single/separated/divorced), highest level of education (university, vocational, school),
236 occupational status (professional, non-manual (e.g. office work), manual, not working),
237 smoking status (never/ex, current smoker), and self-reported health status (very good, good,
238 average, poor, very poor). Total hours of physical activity per week were measured using the
239 validated International Physical Activity Questionnaire long form (IPAQ) [28].

240

241 Weight was measured to the nearest 0.1kg in light clothing using Heine portable digital
242 scales, and height was measured to the nearest 0.1cm with a Leicester stadiometer. Waist
243 circumference was measured to the nearest 0.1cm with non-stretch tape at the narrowest point
244 between the lower costal border and iliac crest. BMI was calculated as above. For those who
245 did not attend clinics, BMI was calculated from self-reported height and weight and a
246 correction factor applied [29].

247

248 Systolic and diastolic blood pressure (mmHG) were measured from the right brachial artery
249 using the Omron HEM907 digital automatic monitor (Omron Healthcare Co, Ltd, Kyoto,
250 Japan), after the participant had been sitting quietly for five minutes. The mean of three
251 readings was used. Insulin, glucose, total cholesterol, HDL cholesterol, and triglycerides were
252 measured from blood samples collected after an overnight fast [30]. An Olympus AU5400
253 automated analyzer (Olympus Optical, Tokyo, Japan) was used to measure fasting insulin
254 (mIU/l); glucose (mmol/l); total and HDL cholesterol (mmol/l) and triglycerides (mmol/l)
255 [30]. LDL cholesterol was calculated using the Friedewald equation [31]. Insulin resistance
256 was estimated using the homoeostatic model assessment (HOMA-IR) index [32]. In 2009,
257 samples from female participants that had been stored at -70°C since 2004-06, were analyzed
258 for serum folate using a chemiluminescent microparticle folate binding protein assay on an
259 Abbott Architect Analyzer (Abbott, IL, USA) [33].

260

261 **2.3 Statistical analyses**

262

263 Analysis was stratified by sex. To assess construct validity and distribution of DGI scores, at
264 each time point, means \pm standard deviations (SD) and percentiles were calculated for the
265 overall population and each sex. Also at each time point, principal components analysis
266 (PCA) on the nine index indicators assessed underlying dimension that explain variation in
267 DGI score [13, 14]. Orthogonal varimax rotation was applied for interpretability of
268 uncorrelated components. The number of components were selected based on visual
269 examination of the scree plot, and eigenvalues >1 . Cronbach's coefficient α assessed internal
270 consistency between index indicators, which were standardized due to the difference in scale

271 for the discretionary foods indicator (20 points compared to 10 points for the other indicators)
272 [34].

273

274 Linear regression was used to determine significance (P -value <0.05) of cross-sectional
275 associations at each time-point between DGI and energy and nutrient density of intakes
276 (construct validity) and cohort characteristics (criterion validity). Multiple imputation and
277 inverse probability weighting were used to account for missingness of data at baseline and
278 loss to follow-up [35]. Where necessary, transformations (e.g. logarithmic) of the response
279 variable were used to remove skewness. Beta coefficients (β) and 95% confidence intervals
280 (CI) are reported for associations between DGI and population characteristics, adjusted for all
281 other characteristics relevant to each time-point to minimize potential confounding. Among
282 youth, the covariates were age, SES quarter, scholastic level, breakfast eating, smoking, self-
283 reported health, BMI, physical activity, and total energy intake. Among adults, the covariates
284 were age, education level, occupational status, marital status, smoking, self-reported health,
285 BMI and physical activity. Correlation coefficients (r) and level of significance are reported
286 for the associations between DGI and nutrient density of intakes among youth, and cardio-
287 metabolic risk factors among adults.

288

289 Analysis was performed with Stata Version 15.0 (StataCorp, College Station, Texas, 2017).

290

291 **3. Results**

292

293 **3.1 Participants**

294

295 Of the 5589 10-15 year-old ASHFS participants, N=5043 (90.2%) completed the NDSS 24-
296 hour food record. Reasons for non-completion included refusal to participate, absence from
297 school on the day of food record distribution or collection, or did not return the food record.
298 No participants were excluded based on reported energy intake due to the variation that could
299 occur during a single 24-hour period.

300 In adulthood 2868 participants returned the CDAH dietary questionnaires, however 82
301 females were excluded due to pregnancy and a further 97 participants were excluded due to
302 missing >10% of FFQ item responses or key responses in the FHQ e.g. type of milk usually
303 consumed. DGI was calculated for the remaining N=2689 participants. Of the adults with a
304 DGI score, n=2135 attended CDAH clinics for physical and fasting blood measurements.

305 Participants missing covariate measures were excluded from the population characteristics
306 linear regression analyses (youth: n=508; adulthood: n=275). Therefore, the samples used for
307 the linear regression of DGI scores with population characteristics was n=4535 in youth, and
308 n=2414 in adulthood.

309

310 **3.2 Construct validity**

311

312 The evaluation of associations with score distribution, nutrient densities (youth only), PCA
313 and Cronbach's coefficient analysis was performed on the full DGI sample (youth, n=5043;
314 adulthood, n=2689).

315

316 DGI was normally distributed at both time points, although with a slight right skew, more
317 apparent in youth (youth skewness: 0.43; adult skewness: 0.20). DGI means and percentiles
318 are shown in **Table 2**. Among youth, the mean \pm standard deviation (SD) DGI was 43.9 \pm
319 11.9 for females and 45.3 \pm 12.2 for males. Among adults, the mean \pm SD DGI was 58.5 \pm
320 11.3 for females and 51.3 \pm 11.0 for males.

321

322 Regression correlation coefficients between youth DGI and nutrient density intakes are
323 reported in **Table 3**. Total energy density (total kJ/total grams of food), sugar, total fat,
324 saturated fat, monounsaturated fat and carbohydrate intake densities were negatively
325 correlated with DGI, while protein, fiber, polyunsaturated fat and cholesterol were positively
326 correlated. All measured micronutrients, except retinol among females, were positively
327 correlated with DGI.

328

329 The PCA indicated there were four components underlying the youth DGI, cumulatively
330 explaining 61.2% of the variation, while in adulthood there were three components
331 cumulatively explaining 53.6% of the variation. The eigenvalues, variance explained, and
332 factor loadings $>|2|$ for these components are shown in **Table 4**. The Cronbach's coefficient α
333 was 0.47 for youth and 0.68 for adults.

334

335 **3.3 Concurrent criterion validity**

336

337 Associations between DGI and sociodemographic, lifestyle, and weight status characteristics,
338 adjusted for all other reported variables are shown for youth (1985) in **Table 5**. In the

339 adjusted analyses, significant linear trends were observed between lower DGI and lower self-
340 reported health among males, and lower SES, lower scholastic achievement and smoking
341 status among both males and females. A lower DGI was also associated with being younger,
342 lower self-reported health and not usually eating breakfast among males, and slightly fewer
343 hours of weekly physical activity among females. There was no association between DGI and
344 BMI or total energy intake.

345

346 Fully adjusted associations, adjusted for all other reported variables, between DGI and
347 population characteristics are reported for adults (2004-06) in **Table 6**. Linear trends were
348 observed between lower DGI and lower level of education and self-reported health. A lower
349 DGI was associated with smoking among females but not males. There was no significant
350 association between DGI and age group, marital status, or weekly physical activity for either
351 sex. In the unadjusted analysis, a lower DGI was associated with lower occupational status
352 (linear trend males: $\beta=-1.43$, 95% CI: -2.13, -0.72; females: $\beta=-1.14$, 95% CI: -1.71, -0.57).
353 This association was attenuated in the adjusted analysis and the only significant difference
354 remaining was a lower DGI score among males employed in manual work compared with
355 those in professional roles (Table 6). A weak inverse association between DGI and BMI
356 among women ($\beta=-0.13$, 95% CI: -0.25, -0.01) was also attenuated after adjustment.

357

358 Cross-sectional linear regression results between adult DGI and cardio-metabolic risk factors
359 are reported for CDAH clinic participants in **Table 7**. For both sexes, DGI was negatively
360 correlated with waist circumference and fasting total cholesterol, LDL cholesterol, insulin
361 and HOMA-IR score. Among males, DGI was also negatively correlated with diastolic blood

362 pressure, while among females DGI was negatively correlated with triglycerides, and
363 positively correlated with HDL cholesterol and serum folate.

364

365 **4. Discussion**

366

367 This study demonstrates that retrospective application of the DGI is a valid measure of diet
368 quality among youth and adults in this cohort. The correlations with nutrient density of
369 intakes in youth and distribution of DGI scores affirms the hypothesis that our age- and sex-
370 specific index reflects variation in dietary intake and importantly, reflects higher scores for
371 nutrient dense, not energy dense dietary intake. The DGI also appropriately discriminates
372 between groups based on sociodemographic and lifestyle characteristics in both youth and
373 adulthood. For example, associations between DGI and SES, smoking, scholastic level in
374 youth, and education in adulthood, reflect known differences based on existing empirical
375 research, particularly the “social gradient” of diet where higher diet quality is associated with
376 indicators of higher SES [13, 15, 36]. Among adults, higher diet quality was negatively
377 correlated with several cardio-metabolic risk factors (total cholesterol, LDL cholesterol,
378 insulin, waist circumference, triglycerides (women only), and diastolic blood pressure (men
379 only)), reflecting health outcomes the dietary guidelines are designed to achieve [6].

380

381 Evidence of construct validity supports the use of the DGI as a diet quality measure. In both
382 youth and adulthood, DGI was normally distributed and of a sufficient range to allow
383 meaningful differences in scores. The PCA demonstrates that the index is multidimensional
384 and variation in the data is explained by more than one linear combination of index
385 indicators. Four components in youth, and three components in adulthood have eigenvalues

386 greater than one, meaning they account for at least the same amount of variance as a single
387 variable and therefore have structure. This suggests that variations in scores may arise from
388 different combinations of DGI indicators. For example, among youth, one component had
389 high loadings for the fruit, vegetables and lean meat/alternatives indicators, while another had
390 high loadings for grains, discretionary foods, and dairy/alternatives. The Cronbach's
391 coefficient α for internal consistency of scores was low for the youth DGI indicators, but
392 approached the generally recognized level of adequacy (0.7) for the adult DGI indicators and
393 was consistent with other dietary indices such as the Healthy Eating Index [13]. The
394 multidimensional aspect of diet explored through the PCA could contribute to the low α , as a
395 participant would not necessarily score at consistent levels across all indicators due to
396 individual dietary preferences. The particularly low α in childhood may be due to the single
397 24-hour food record and high amount of variation between indicators at the individual level,
398 as well as the food context of 1985 affecting scores in some indicators (e.g. use of reduced fat
399 milk was less common).

400

401 Characterization of diet on nutritional quality was consistent with a previous cross-sectional
402 study among children where a DGI based on the 2003 Australian dietary guidelines was
403 positively associated with fiber and protein intake, and negatively associated with sugar and
404 saturated fat intake [8]. The negative correlations between DGI and carbohydrates, sugars,
405 total fat, saturated fat, and monounsaturated fat reflects the indicators for reduced fat dairy,
406 lean meat, and limiting discretionary foods. The slight positive correlation of DGI with
407 cholesterol may be due to points for overall servings of dairy, meat and eggs irrespective of
408 fat composition. The strong positive correlation between DGI and fiber intakes reflects the
409 emphasis on high-fiber foods in the fruit, vegetables and wholegrains indicators. The inverse
410 correlation with energy density, and lack of association between DGI and overall energy

411 intake, indicates that the index appropriately distinguishes between energy-dense and
412 nutrient-dense intake [8].

413

414 Although nutrient and energy composition were not calculated in adulthood due to the non-
415 quantitative aspects of the FFQ, serum folate in women was associated with higher DGI.

416 Folate is found in whole foods such as legumes and dark leafy greens, which contribute
417 positively to the DGI. High serum folate cannot be attributed to folic acid fortification of
418 bread flour as this became mandatory in Australia in 2009 and was not in place at the time of
419 the 2004-06 data collection.

420

421 Means of the DGI were low in both youth and adulthood. This is consistent with previous
422 studies that have highlighted low intakes of fruit, vegetables, and water as areas of concern,
423 particularly among children [8, 37-40]. Different food contexts in 1985 compared to 2013,
424 may have contributed to low youth scores. However, this study evaluates quality of the
425 reported diet as number of servings deemed appropriate for good health, not cognizant
426 adherence to guidelines on the part of participants. Therefore, retrospective application is no
427 more problematic than using a Mediterranean dietary index in non-Mediterranean
428 populations. Temporal context would influence associations with population characteristics
429 such as SES if concepts around healthy diets had changed considerably or if there was
430 insufficient variation in diet (e.g. if processed and convenience foods were not in use at the
431 time). However, the adequate intake of the core food groups and limiting saturated fat and
432 added sugars were key components of the 1982 Dietary Guidelines for Australians [41]. We
433 would therefore expect that groups previously demonstrated to exhibit healthier diets of wider

434 variety and whole foods (e.g. higher SES, non-smokers, breakfast eaters), would have higher
435 DGI, as we saw in our analysis.

436

437 Our observed associations with population characteristics showed good agreement with
438 previous studies. In cross-sectional analyses among children, the 2003 DGI for Children and
439 Adolescents was positively associated with markers of SES [8], while poorer overall diet
440 quality has been associated with poorer academic achievement [42], and among adolescents,
441 smoking has been cross-sectionally associated with unhealthy dietary habits [43, 44]. Among
442 adults, higher index scores arising from the 2003 and 2013 versions of the DGI were
443 associated with higher education and SES [45], higher health-related quality of life [46], not
444 smoking, and lower obesity and cardio-metabolic risk [7, 10, 47, 48]. In our study, the social
445 gradient of diet was reflected in the strong associations between DGI and education level,
446 with adults who had school as their highest education level having a DGI score ~6 points
447 lower than university educated adults. Correlations between higher DGI and lower waist
448 circumference and lower diastolic blood pressure (males only), was consistent with prior
449 applications of Australian DGIs [47, 48]. In adulthood, women had a significantly higher
450 mean score than men, which accords with other Australian and international dietary guideline
451 studies [7, 11, 13, 48, 49]. This could be influenced by gender differences in education,
452 occupation, social factors and health consciousness. In this particular cohort, women have
453 been shown to be more likely to meet healthy lifestyle guidelines than men [50].

454

455 Limitations of this study were as follows. Firstly, the methods of dietary measurement may
456 have introduced bias. Dietary data from free-living individuals in the community is often
457 biased, mainly towards under-reporting of intakes [51]. Also, the FFQ used in adulthood only

458 collected data on the frequency of consumption, not serving sizes. The difference in mean
459 scores by sex may reflect women having greater dietary variety or instances of eating partial
460 servings of foods, as opposed to more standard servings. However, frequency alone has been
461 shown to explain the major variance in food intake, and having participants estimate portion
462 sizes can be problematic and introduce measurement error [52]. In youth, only a single 24-
463 hour food record was taken, whereas repeat or multi-day food records are the preferred
464 approach [53], as they may be more reflective of usual diet and strengthened discrimination
465 between group characteristics. Instead, as with the premise of the original “snapshot” study,
466 we have relied on the large sample size to represent average intakes in the population. For the
467 purposes of assessing construct validity of the index using the nutritional composition of the
468 food and beverage items actually consumed, the data is fit for purpose.

469 A second limitation was that although the sample was nationally representative of Australian
470 schoolchildren at baseline, the large loss to follow-up may have had some effect on the
471 associations with population characteristics among adults in the concurrent criterion validity
472 analysis. However, despite the adult sample being of higher SES than the general population,
473 our sample remained diverse with a range of characteristics. Bias was partially mitigated with
474 inverse probability weighting. Furthermore, as those lost to follow-up are more likely to have
475 lower SES [54], observed associations may be more conservative than if the full cohort was
476 included.

477 A possible third limitation was the structure of the DGI and point allocation to each of the
478 indicators. To an extent, this was an arbitrary process, with a maximum of 10 points allocated
479 to each indicator apart from the discretionary foods indicators which had a maximum of 20
480 points. It may be that some food groups or dietary practices warrant higher weighting than
481 others, but this would require further extensive empirical research and sensitivity analyses
482 which is beyond the scope of this study.

483 Our study also had several strengths. Although the differing dietary measurement methods
484 used in youth and adulthood may limit inferences of diet quality over time for this particular
485 cohort, our results indicate that the DGI is adaptable to different dietary data and collection
486 methods. Another strength is validation of a food-based index that captures important nutrient
487 and non-nutrient qualities of diet, which may have synergistic health effects [5]. The study
488 sample is quite large, and the unique dataset includes a range of sociodemographic, lifestyle,
489 and objective physical measures, facilitating evaluation of the DGI in the same cohort of
490 individuals in two distinct life phases. To our knowledge, this is the first study to assess the
491 appropriateness of a uniformly structured diet quality index for use among children,
492 adolescents and adults.

493

494 In conclusion, this study provides evidence that our DGI, aligned to age- and sex-specific
495 dietary guidelines, is a nuanced and appropriate measure of diet quality in youth and
496 adulthood as higher scores reflect nutrient-dense, rather than energy-dense intake, and
497 discriminate between population characteristics consistent with the literature. Furthermore,
498 our results indicate that retrospective application of the DGI to our data collected prior to the
499 release of the current Australian Dietary Guidelines is appropriate, with the DGI reflecting
500 variations in the cohort's diet according to current evidence-based understanding of diet
501 quality. The DGI provides an interpretable measure of overall diet with which to assess
502 associated factors over time. Further research using dietary indexes in cohorts from youth
503 into adulthood is needed, particularly longitudinal studies using consistent and repeat dietary
504 measurement methods at each follow-up. This would help determine associations between
505 diet quality, sociodemographic factors, and health outcomes over the life course, and work to
506 support and inform future dietary guidelines.

507

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509

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656

Table 1. Age and sex specific Dietary Guidelines Index (DGI) scoring matrix, based on the 2013 Australian Dietary Guidelines

Dietary Guideline	Indicator and Description	Max score	Criteria for maximum score by sex and age in years								Criteria for minimum score
			Boys			Girls			Men	Women	
			9-11	12-13	14-18	9-11	12-13	14-18	19-50	19-50	
Adequate intake											
1. Variety of nutritious foods.	1. Intake of foods from each of the five core food groups.	10	Two points for a serving from each of the five core food groups. <1 serving receives appropriate proportion of the 2 points.								0 serves from any of the core food groups
2. Vegetables, including legumes/beans.	2. Servings of vegetables per day including legumes/beans.	10	≥5	≥5.5	≥5.5	≥5	≥5	≥5	≥6	≥5	0 servings
3. Fruit.	3. Servings of fruit per day (max 125ml 100% fruit juice, one serving of dried/sweetened fruit).	10	≥2	≥2	≥2	≥2	≥2	≥2	≥2	≥2	0 servings
4. Grains, mostly whole-grain and/or high fiber.	4a. Servings of breads and cereals per day.	5	≥5	≥6	≥7	≥4	≥5	≥7	≥6	≥6	0 servings
	4b. Wholegrains as a proportion of total grains. ^a	5	100%	100%	100%	100%	100%	100%	100%	100%	0%
5. Lean meat and poultry, fish, eggs, tofu, nuts/seeds, and legumes/beans.	5a. Servings of meats or alternatives per day (excluding processed meats).	5	≥2.5	≥2.5	≥2.5	≥2.5	≥2.5	≥2.5	≥3	≥2.5	0 servings
	5b. Lean meats/ alternatives to total meat/ alternatives.	5	100%	100%	100%	100%	100%	100%	100%	100%	0%
6. Dairy and/or alternatives, mostly reduced fat.	6a. Servings per day of total dairy or alternatives.	5	≥2.5	≥3.5	≥3.5	≥3	≥3.5	≥3.5	≥2.5	≥2.5	0 servings
	6b. Reduced fat dairy or alternatives to total dairy or alternatives.	5	100%	100%	100%	100%	100%	100%	Skim, low, or reduced fat milk or alternatives		0% Whole milk

7. Drink plenty of water ^b	7a. Servings per day of fluids, excluding alcohol. ^c	5	≥6	≥6	≥7	≥5	≥5	≥6	≥10	≥8	0 servings	
	7b. Proportion of water to total fluid intake per day, excluding alcohol. ^c	5	≥50%	≥50%	≥50%	≥50%	≥50%	≥50%	≥50%	≥50%	0%	
Limit intake												
8. Limit intake of saturated fat, alcohol and added salt and sugars.	8. Servings per day of foods high in saturated fat, added sugars or salt. ^d Alcohol was included for adults.	20	≤1.5	≤1.5	≤2.5	≤1.5	≤1.25	≤1.25	≤1.5	≤1.25	Males 9-13/19-50: >3, Males 14-18: >5, Females 9-11: >3, Females 12-50: >2.5	
9. Replace saturated fats with unsaturated fats.	Child: 9. kJ from healthy fats/oils as proportion of total fats/oils.	5	80% ^e					80%	80%	80%	80%	Usually
	Adult: 9a. Trimming fat from meat. 9b. Type of spread usually used.		5									Spreads low in saturated fat
Total:		100										

^aChildhood: included bread and breakfast cereals. Adulthood: calculated for bread only. This was due to the different data available from the different measurement methods.

^bThe water intake cut-offs are based on Nutrient Reference Values for Australia and New Zealand and the proportion of water to total fluids was derived by McNaughton et al. from the US Beverage Guideline Panel recommendations [7,25,26].

^cChildhood: tea and coffee excluded from overall fluid intake as not recommended for children.

^dAdditional servings of discretionary choices are only recommended for active, taller children or adults, or older children in the age range, and where possible extra foods should be eaten from the five core food groups [6]. Therefore, the number of servings for the maximum score for discretionary items is less than or equal to half the recommended servings for the age group and sex.

^e80% is used as the maximum, in recognition that eggs and cheese which contain saturated fat are included in recommended food groups [6].

Nutrient Reference Values for Australia and New Zealand recommended that saturated and trans fat comprise no more than 10% of daily energy intake [26].

Table 2. DGI means and percentiles for the youth (1985) and adult (2004-06) populations

DGI	N	Means \pm SD	Percentile								
			1st	5th	10th	25th	50th	75th	90th	95th	99th
Youth	5043	44.6 \pm 12.0 ^a	19.9 ^b	26.2	30.2	36.3	43.6	51.6	61.0	66.9	75.9
Female	2507	43.9 \pm 11.9	19.2	26.3	30.0	35.8	42.6	50.5	60.3	66.4	75.8
Male	2536	45.3 \pm 12.2	20.9	26.1	30.9	36.9	44.3	52.9	61.7	67.3	76.7
Adulthood	2689	55.2 \pm 11.7	29.7	36.5	40.3	47.0	54.8	62.8	69.9	75.6	85.7
Female	1462	58.5 \pm 11.3	34.3	40.3	44.1	50.8	58.4	65.6	72.9	78.8	87.0
Male	1227	51.3 \pm 11.0	27.4	34.4	37.7	43.7	50.8	58.0	65.7	70.0	78.7

^aMeans \pm SD of Dietary Guidelines Index (DGI) score with possible range 0-100.

^bPercentiles of the Dietary Guidelines Index (DGI) score with possible range 0-100.

Table 3. Correlations between DGI scores and daily nutrient density intakes among youth.

	Male (n=2536)	Female (n=2507)
	r^a	r^a
Total energy density (kJ/g)	-0.346***	-0.332***
Nutrient densities		
Carbohydrates (g/MJ)	-0.042*	-0.079***
Sugars (g/MJ)	-0.062**	-0.061**
Starch (g/MJ)	0.026	-0.014
Fiber (g/MJ)	0.417***	0.403***
Protein (g/MJ)	0.366***	0.404***
Total fats (g/MJ)	-0.150***	-0.142***
Saturated fat (g/MJ)	-0.260***	-0.241***
Monounsaturated fat (g/MJ)	-0.161***	-0.169***
Polyunsaturated fat (g/MJ)	0.140***	0.125***
Cholesterol (mg/MJ)	0.146***	0.124**
Vitamin C (mg/MJ)	0.171***	0.122***
Calcium (mg/MJ)	0.179***	0.229***
Iron (mg/MJ)	0.354***	0.355***
Zinc (mg/MJ)	0.320***	0.349***
Thiamin (mg/MJ)	0.188*	0.266***
Riboflavin (mg/MJ)	0.186***	0.241***
Niacin (mg/MJ)	0.237***	0.236***
Magnesium (mg/MJ)	0.421***	0.453***
Beta-carotene (µg/MJ)	0.252***	0.244***
Retinol (µg/MJ)	0.039*	0.064

*P-value<0.05

**P-value<0.01

***P-value<0.001

^aCorrelation coefficient from univariate linear regression.

Table 4. Results of Principal Components Analysis on the Youth (1985) and Adult (2004-06) Dietary Guidelines Index (DGI) scores.

DGI indicator	Youth (n=5043) components				Adult (n=2689) components		
	1	2	3	4	1	2	3
Dietary variety	0.63 ^a				0.53		-0.25
Vegetables	0.52		-0.26		0.38		0.26
Fruit	0.31			-0.51	0.43		
Grains and cereals			0.72		0.38		
Lean meats/alternatives	0.48				0.33	0.29	
Dairy/alternatives		-0.60	0.44			0.53	
Drink plenty of water				0.77	0.34	-0.21	0.24
Limit discretionary foods		0.34	0.37	-0.20			0.87
Limit saturated fat		0.69				0.76	
Eigenvalue ^b	2.02	1.35	1.09	1.05	2.69	1.12	1.01
Variance explained (%) ^c	21.0	14.7	13.5	12.0	27.8	13.9	12.0

^aTo highlight the strongest associations, only factor loadings $>|0.2|$ are shown.

^bOnly components with eigenvalues >1 were extracted.

^cPercentage of common variance explained by the corresponding component.

Table 5. Youth participant population characteristics (1985) and multivariate associations with Dietary Guidelines Index (DGI) scores.

Variable	Male			Female		
	n	Means ± SD	β ^a (95% CI)	n	Means ± SD	β (95% CI)
SES ^b						
High	519	46.4 ± 12.2 ^c	Reference	569	45.1 ± 12.1	Reference
Medium high	640	44.4 ± 11.7	-2.15 (-3.56, -0.75)	713	43.0 ± 11.8	-1.77 (-3.16, -0.38)
Medium low	891	45.7 ± 12.2	-1.16 (-2.51, 0.19)	936	44.1 ± 11.5	-0.41 (-1.75, 0.92)
Low	214	41.7 ± 11.9	-4.69 (-6.65, -2.74)	198	41.5 ± 12.0	-3.75 (-5.91, -1.58)
Linear trend			P-value=0.001			P-value=0.048
Usually eat breakfast						
Yes	1973	45.4 ± 12.1	Reference	1855	44.2 ± 11.7	Reference
No	291	43.0 ± 11.6	-2.30 (-3.76, -0.83)	416	42.1 ± 12.4	-1.21 (-2.64, 0.21)
Scholastic level						
Excellent	153	48.3 ± 11.4	Reference	280	46.4 ± 11.6	Reference
Above average	556	46.9 ± 12.3	-1.19 (-3.25, 0.88)	730	44.7 ± 11.8	-1.26 (-2.97, 0.45)
Average	1015	44.5 ± 11.8	-2.83 (-4.78, -0.89)	874	43.3 ± 11.8	-2.39 (-4.09, -0.69)
Below average	416	43.2 ± 12.1	-3.80 (-6.00, -1.59)	306	42.0 ± 11.7	-3.69 (-5.70, -1.68)
Poor	124	44.5 ± 13.0	-2.94 (-5.90, 0.03)	81	40.5 ± 12.1	-4.58 (-7.91, -1.24)
Linear trend			P-value<0.001			P-value<0.001
Self-reported health						
Very good	791	46.5 ± 12.2	Reference	787	45.0 ± 11.7	Reference
Good	1023	44.9 ± 12.1	-1.17 (-2.32, -0.01)	974	43.5 ± 11.8	-0.95 (-2.13, 0.23)
Average	412	43.4 ± 11.7	-2.11 (-3.58, -0.63)	483	42.6 ± 12.0	-1.43 (-2.88, 0.01)
Poor	31	38.3 ± 9.4	-5.13 (-9.60, -0.66)	23	41.4 ± 11.0	-0.04 (-5.44, 5.36)
Very poor	7	44.2 ± 15.6	-2.54 (-11.26, 6.18)	4	46.7 ± 10.6	1.61 (-8.98, 12.21)
Linear trend			P-value=0.001			P-value=0.070
Ever smoked						
Never	1110	45.8 ± 12.3	Reference	1228	44.7 ± 11.8	Reference
<10 cigarettes	793	44.6 ± 11.4	-1.11 (-2.22, 0.00)	706	43.1 ± 11.6	-1.38 (-2.58, -0.19)

≥10 cigarettes Linear trend	361	44.0 ± 12.7	-2.39 (-4.03, -0.75) <i>P</i> -value=0.003	337	42.2 ± 12.1	-2.29 (-4.05, -0.53) <i>P</i> -value=0.003
Age (years)	2264	12.4 ± 1.7 ^d	0.39 (0.05, 0.74)	2271	12.4 ± 1.7	-0.18 (-0.56, 0.21)
BMI (kg/m ²)	2264	18.9 ± 2.9	-0.08 (-0.27, 0.12)	2271	19.2 ± 2.9	0.08 (-0.13, 0.30)
Physical activity (hours/week)	2264	8.3 ± 7.4	-0.03 (-0.10, 0.03)	2271	7.0 ± 6.8	0.08 (0.00, 0.17)
Total energy (MJ)	2264	9.6 ± 3.6	0.10 (-0.06, 0.26)	2271	7.6 ± 2.4	0.07 (-0.16, 0.30)

^aβ is the difference in DGI calculated from linear regression of the DGI score as the outcome against the variable characteristic as the predictor, adjusted for all other variables in the table. Statistical significance of *P*<0.05 highlighted in bold.

^bArea-level socioeconomic status.

^c Means ± SD of Dietary Guidelines Index (DGI) score with possible range 0-100.

^dMeans ± SD of variable.

Table 6. Adult participant population characteristics (2004-06) and multivariate associations with Dietary Guidelines Index (DGI) scores.

Variable	Male			Female		
	n	Means ± SD	β ^a (95% CI)	n	Means ± SD	β (95% CI)
Highest education						
University	425	54.7 ± 10.9 ^b	Reference	614	61.2 ± 10.2	Reference
Vocational	384	49.8 ± 10.2	-4.33 (-6.12, -2.55)	335	57.8 ± 11.4	-3.03 (-4.66, -1.39)
School	278	48.1 ± 10.6	-6.13 (-8.02, -4.25)	378	54.5 ± 11.3	-6.08 (-7.76, -4.40)
Linear trend			P-value<0.001			P-value<0.001
Occupation						
Professional	639	52.7 ± 10.9	Reference	654	60.1 ± 10.1	Reference
Non-manual	83	49.3 ± 9.8	-1.14 (-3.58, 1.30)	713	56.6 ± 11.7	0.41 (-1.33, 2.15)
Manual	323	48.7 ± 10.8	-2.00 (-3.72, -0.29)	936	55.9 ± 13.1	-0.21 (-3.70, 3.27)
Not in workforce	42	54.6 ± 10.1	3.30 (-0.09, 6.69)	198	57.3 ± 11.9	0.14 (-1.69, 1.98)
Linear trend			P-value=0.304			P-value=0.962
Marital status						
Living as single	348	51.9 ± 11.7	Reference	401	59.0 ± 11.4	Reference
Living as married	739	51.0 ± 10.6	-0.76 (-2.28, 0.76)	926	58.2 ± 11.1	-1.12 (-2.51, 0.27)
Self-reported health						
Very good	182	54.7 ± 10.7	Reference	213	63.1 ± 10.0	Reference
Good	436	51.7 ± 10.9	-2.29 (-4.28, -0.31)	576	59.1 ± 10.5	-3.69 (-5.39, -1.98)
Average	382	49.9 ± 10.6	-3.58 (-5.69, -1.46)	445	56.6 ± 11.6	-5.58 (-7.47, -3.70)
Poor	74	48.3 ± 10.5	-5.50 (-8.71, -2.28)	81	53.3 ± 11.6	-7.80 (-11.09, -4.52)
Very poor	13	48.7 ± 13.8	-5.12 (-10.9, 0.66)	12	49.0 ± 13.7	-12.69 (-21.11, -4.26)
Linear trend			P-value<0.001			P-value<0.001
Smoking status						
Never/Ex	826	51.9 ± 10.9	Reference	1038	59.7 ± 11.0	Reference
Current smoker	261	49.5 ± 10.7	-0.71 (-2.42, 1.00)	289	53.9 ± 10.7	-3.37 (-4.91, -1.84)
Age (years)	1087	31.7 ± 2.6 ^c	0.09 (-0.17, 0.34)	1327	31.5 ± 2.6	0.20 (-0.04, 0.44)

BMI (kg/m ²)	1087	26.4 ± 4.3	0.01 (-0.15, 0.17)	1327	25.0 ± 5.3	0.06 (-0.06, 0.17)
Physical activity (hours/week)	1087	13.2 ± 9.0	0.04 (-0.04, 0.12)	1327	12.5 ± 8.1	0.01 (-0.06, 0.09)

^a β is the difference in DGI calculated from linear regression of the DGI score as the outcome against the variable characteristic as the predictor, adjusted for all other variables in the table. Statistical significance of $P < 0.05$ highlighted in bold.

^b Means ± SD of Dietary Guidelines Index (DGI) score with possible range 0-100.

^c Means ± SD of variable.

Table 7. Correlations between DGI score and cardio-metabolic risk factors among adult participants 26-36 years old.

Variable	Male		Female	
	n	r ^a	n	r ^a
Waist circumference (cm) ^b	1023	-0.080*	1100	-0.079*
Blood pressure (mmHG) ^b				
Systolic	1025	-0.010	1099	-0.046
Diastolic	1025	-0.087*	1099	0.040
Fasting blood				
Triglycerides (mmol/L)	1029	-0.033	1106	-0.075*
Total cholesterol (mmol/L)	1029	-0.147***	1106	-0.097**
HDL-C ^c (mmol/L)	1029	0.046	1106	0.067*
LDL-C ^d (mmol/L) ^b	1016	-0.166***	1102	-0.118***
Insulin (mIU/L) ^b	1025	-0.095**	1096	-0.145***
Glucose (mmol/L) ^b	1027	-0.010	1105	-0.036
HOMA-IR ^{b,e}	1024	-0.093**	1095	-0.141***
Folate (nmol/L) ^{b,f}	–	–	904	0.258***

P*-value<0.05 *P*-value<0.01 ****P*-value<0.001

^aCorrelation coefficient from univariate linear regression.

^bSome participants were missing data for these measures, therefore the total is not 1029 for males and 1106 for females.

^cHigh-Density Lipoprotein cholesterol.

^dLow-Density Lipoprotein cholesterol.

^eHomeostatic Model Assessment of Insulin Resistance index: (fasting glucose x fasting insulin)/22.5.

^fSerum folate was only measured among females.