

Blinding and Sham Control Methods in Trials of Physical, Psychological, and Self-Management Interventions for Pain (Article I): a Systematic Review and Description of Methods

Authors

David Hohenschurz-Schmidt^{1*}, Dr Jerry Draper-Rodi², Prof Lene Vase³, Dr Whitney Scott^{4,5}, Prof Alison McGregor⁶, Dr Nadia Soliman¹, Andrew MacMillan², Axel Olivier¹⁰, Cybill Ann Cherian¹¹, Daniel Corcoran¹², Dr Hilary Abbey², Sascha Freigang¹³, Jessica Chan¹⁰, Jules Phalip¹⁴, Lea Nørgaard Sørensen¹⁵, Maite Delafin¹⁶, Margarida Baptista¹⁷, Naomi R Medforth¹⁸, Nuria Ruffini¹⁹, Stephanie Skøtt Andresen²⁰, Sylvain Ytier²¹, Dorota Ali²², Harriet Hobday²³, Anak Agung Ngurah Agung Adhiyoga Santosa²⁴, Dr Jan Vollert^{1,7,8,9}, Prof Andrew SC Rice¹

Affiliations

¹ Pain Research, Dept. Surgery & Cancer, Faculty of Medicine, Imperial College, Chelsea & Westminster Hospital campus, 369 Fulham Road London, London SW10 9NH, UK

² Research Centre, University College of Osteopathy, 275 Borough High Street, London SE1 1JE, UK

³ Aarhus University, Department of Psychology and Behavioural Sciences, Section for Psychology and Neuroscience, Bartholins Allé 11, Building 1350, 316; 8000 Aarhus C, DK

⁴ Health Psychology Section, Department of Psychology, Institute of Psychiatry, Psychology and Neuroscience, King's College London, London, United Kingdom

⁵ INPUT Pain Management Unit, Guy's and St Thomas' NHS Foundation Trust, London, United Kingdom

⁶ Human Performance Group, Dept. Surgery & Cancer, Faculty of Medicine, Imperial College, White City Campus, 80–92 Wood Lane, London W12 0BZ, UK

⁷ Division of Neurological Pain Research and Therapy, Department of Neurology, University Hospital of Schleswig-Holstein, Campus Kiel, Germany

⁸ Department of Anaesthesiology, Intensive Care and Pain Medicine, University Hospital Muenster, Germany

⁹ Neurophysiology, Mannheim Center of Translational Neuroscience (MCTN), Medical Faculty Mannheim, Heidelberg University, Germany

¹⁴ Résidence les Etrangers, 60 bis Place de la Victoire, 63150 La Bourboule, France

¹⁶ The Penn Clinic, Hertfordshire, Hatfield, United Kingdom

¹⁰ Faculty of Medicine, Imperial College London, London, England

¹⁷ Wolfson Centre for Age Related Diseases, Department of Psychology, Institute of Psychiatry, Psychology and Neuroscience, King's College London, London, United Kingdom

¹⁸ no institutional affiliations, London, United Kingdom

²⁴ no institutional affiliations, Denpasar, Indonesia.

²¹ no institutional affiliations, Vevey, Switzerland

¹¹ Khalifa University, Abu Dhabi, United Arab Emirates

¹³ Department of Neurosurgery, Medical University Graz, Auenbruggerplatz 29, Graz 8036 Austria

¹⁵ Department of Occupational Medicine, Danish Ramazzini Centre, Aarhus University Hospital, Denmark

¹⁹ National Centre Germany, Foundation C.O.M.E. Collaboration, Berlin, 10825, Germany

²⁰ Department of Psychology and Behavioural Sciences, Aarhus University, Bartolins Allé 11, Building 1350, 8000 Aarhus C, DK.

²² Genetic and Developmental Psychiatry Centre, Institute of Psychiatry, Psychology and Neuroscience, King's College London, London, United Kingdom

²³ Institute of Psychiatry, Psychology and Neuroscience, King's College London, London, United Kingdom

*** Corresponding author details:**

Email: d.hohenschurz-schmidt19@imperial.ac.uk

URL: <https://www.imperial.ac.uk/people/d.hohenschurz-schmidt19>

phone: +44 (0)20 3315 8816

Postal: as above

Conflict of interest and further declarations

We have no conflict of interest to declare in relation to this work.

This work has not been presented publicly or published elsewhere. Data have been used to inform a guideline development process, results of which have not been publicised yet.

All listed authors have contributed substantially to the project and fulfil ICMJE criteria.

No approval from an ethics committee was required.

Introduction

The opioid crisis and the insufficiency of many widely used pain treatments highlight the need for non-pharmacological and non-surgical pain therapies [118,1,97,94]. Such therapies include cognitive-behavioural approaches, exercise and rehabilitation, manual therapies, acupuncture, mind-body techniques such as yoga, devices such as ultrasound and light therapy, electrical therapies, and education; referred to as physical, psychological, and self-management therapies (PPS) from here on. Current guidelines recommend various non-drug therapies as a first-line treatment for low back and chronic musculoskeletal pain [24,97,125]. However, most recommendations are based on low or moderate quality evidence [138], a widespread concern in PPS interventions (e.g., [67,49,100,64,46,7,136]). A lack of high-quality research means that the role of many of these therapies in the prevention, treatment, and management of pain is unclear. This lack of high-quality data is partly due to methodological difficulties specific to efficacy and mechanistic trials of PPS for pain, mainly centred around issues of placebo-control and blinding [33,32,102].

Placebo interventions in clinical trials are conceptualised as “*a control intervention with similar appearance as the experimental treatment, but void of the components in the experimental intervention whose effects the trial is designed to evaluate*” [87]. Recognising that, in non-pharmacological trials, such control interventions are not usually ‘inert’, the term ‘sham intervention’ is used in this context [112,84]. Sham controlling a trial is desirable when specific and context-related treatment effects are to be distinguished (efficacy trials), to test the effects of particular treatment components (mechanistic trials), and to reduce bias by allowing for blinding of participants, and ideally researchers and clinical personnel [132,78]. Blinding or masking refers to the attempt to conceal group allocation or study hypotheses from study participants, therapists or researchers [58], so that expectation effects and manipulation of trial procedures do not undermine internal validity [158]. Notably, the prominent role of blinding in clinical trials is debated [9,59,116,161]. Irrespectively, there are many scenarios in which controlling for placebo effects is considered important, including pain research due to the arguable susceptibility of subjective symptoms to placebo [167,160,153], and to address the question of whether treatments are efficacious beyond context-dependent effects [60,130,9,92].

In non-pharmacological RCTs, sham-controlling is more challenging than in drug studies and blinding is more difficult [33,7] because care providers are often an integral part of the treatment and cannot be blinded. The complex participatory nature of these interventions often precludes the design of control conditions that feel authentic to patients. Notable exceptions are device-delivered therapies, where the sham simply involves detuned devices [31]; surgery where much work on sham controls is conducted and which benefits from general anaesthesia for blinding [159,71,53,22]; and acupuncture, employing needling in non-acupuncture points or non- or low-level penetrating sham needles, resulting in reasonable opportunity for participant blinding [148,34,36]. These therapies are therefore not discussed here.

In all other areas of PPS interventions, however, unifying criteria for the development, implementation and reporting of dedicated control interventions for efficacy and mechanistic trials are lacking. Instead, trials of cognitive-behavioural interventions, rehabilitation, exercise, mind-body therapies, physical and manual therapies often resort to waitlist controls as comparators or different therapeutic modalities, arguing that “blinding is not possible” [33]. However, comparisons to no-treatment arms lead to exaggerated effect sizes [114,61] and comparative effectiveness designs commonly address different research questions than efficacy and mechanistic trials [65,170,56]. In 2007, it was found that sham

interventions in non-pharmacological RCTs did not frequently resemble the experimental treatment [31], arguably increasing unblinding risk. In particular, non-matching controls do not reliably distinguish specific treatment effects from context-dependent effects [31,148,124]. The concept of ‘structural equivalence’ was proposed to enhance matching between control and experimental treatments [21]. Further, a range of features for which conditions should be similar or even ‘indistinguishable’ was introduced, from the number of treatments, to procedural steps in the application of interventions, to the personal interactions with therapists and staff [77,62,124,16,36,35]. Recently, reporting guidelines for sham interventions were published, encompassing many of these features [85]. There is, however, no evidence-base and unifying framework that specifies which theoretical, practical, and ethical considerations should guide researchers in the development, implementation, and evaluation of control interventions in efficacy and mechanistic trials.

To inform such guidance applicable across PPS interventions, a comprehensive overview of currently employed sham interventions and other methods to enhance blinding is needed. This systematic review of methods aimed to identify common and less common control intervention designs in RCTs of PPS for a clinical population of patients with pain. Further, we provide a detailed similarity assessment across 25 features for which matching between control and experimental treatments has been said to be important, allowing for comparisons between therapy types. Also, we identify studies that report on blinding effectiveness and control intervention validation studies. In a parallel publication (ref article 2 – Note: *reference will be added once parallel paper is accepted*), the potential impact of these control methods on trial results are formally examined.

Methods

A systematic review of methods was conducted and is reported according to the PRISMA 2020 statement [120].

Protocol and registration

The protocol was registered with the International Prospective Register of Systematic Reviews (PROSPERO, registration ID: CRD42020206590). The material here presented is the first part of this protocol (including results of the following analyses: Descriptives and subgroups; Trial reporting; Degree of similarity between control intervention and treatment; Blinding indices), a second article includes the meta-analysis (ref article 2 – Note: *reference will be added once parallel paper is accepted*).

Eligibility criteria

This review included RCTs of PPS interventions for adults living with pain, irrespective of gender, underlying pathology or pain severity and duration. At least one pain-related primary outcome measure had to be reported. PPS included all forms of manual and physical therapy, exercise and rehabilitation therapy, conversation-based and psychological therapies, body-mind, spiritual, religious, other non-material healing practices, web-based therapies, relaxation, and educational interventions (the latter two were classified as ‘self-management’ here). To be eligible, trials had to employ a sham control intervention (or ‘attention’ or ‘placebo control’).

Table 1: Eligibility criteria for inclusion into the systematic review.

[INSERT TABLE 1 HERE]

Table 1:

Population	Interventions	Comparator	Outcomes	Design	Timeframe
<i>Included:</i>					
Any pain Adults	Physical Therapies Psychological interventions Self-Management	Placebo - Sham - Attention controls	Pain-related primary	RCTs	January 2008 – 24 November 2021
<i>Excluded:</i>					
Experimental pain	Surgery Devices Acupuncture Meridian therapy	Other designated intervention groups (comparative effectiveness) Waitlist / no-treatment Treatment as usual		Pilot and feasibility RCTs (as defined by the primary study authors)	

Excluded were studies where pharmacological or drug interventions formed the mainstay of treatment and studies of surgical or otherwise invasive interventions. Further, all therapies relying on the permanent introduction of some form of matter into the body were excluded. Due to specific considerations and solutions to the sham-control problem in device and needle-based therapies [31,148,34,36], studies from these categories were also not eligible. Implanted and externally applied devices, all acupuncture modalities, and therapies based on assumed reflex points or energy meridians were excluded.

We excluded non-randomised studies, observational studies, cross-sectional studies, case-control, case-series, and case-report studies. Pilot or feasibility RCTs were excluded, except for validation studies assessing the sham interventions in an adult population of patients with pain, irrespective of employing pain-related outcomes.

For included studies, trial protocols were consulted where available and required for additional method information.

The first reporting guideline for non-pharmacological therapy trials was published in February 2008 [32]. Therefore, this review systematically assessed studies published from 2008 onwards.

Data sources

The following databases were searched from 2008 to November 2021 (initial search conducted 23 June 2020, then updated; latest search: 24 November 2021): MEDLINE, EMBASE, PsychInfo, the Cochrane Database of Systematic Reviews, the Cochrane Central Register of Controlled Trials (CENTRAL), NIH

Clinicaltrials.gov, AMED (Allied and Complementary Medicine), CINAHL (nursing and allied health), the Physiotherapy evidence database (pedro.org.au), ostmed.dr (ostmed-dr.oclc.org), osteopathic research web (osteopathic-research.com), and the index to chiropractic literature (chiroindex.org).

Search strategy

The search strategy was built around the following keywords, developed based on existing literature and with database experts, and is provided in full for each database in the digital supplemental materials (Supplemental Digital Content 1, spreadsheet including search results).

Pain OR painful conditions AND Physical, Psychological, Self-management therapies (specific therapy and technique names) AND placebo control OR sham control OR attention control AND controlled clinical trials. Limit: 2008-present.

Study selection

Eligibility screening was performed in duplicate by two independent reviewers drawn from a pool of specifically trained research contributors. Disagreements were resolved by a third reviewer. The screening was first performed based on study title and abstract. Full-text eligibility was assessed in a second step.

Data extraction

The data extraction process also required a minimum of two independent reviewers. Discrepancies were resolved through discussion or by a third independent reviewer.

Publications reporting multiple sham controls were extracted independently for each pair of intervention and sham, with data from an active intervention arm used twice for comparisons with control interventions if required. Where a single placebo control group acted as comparator for multiple active interventions, data were extracted from the active intervention that most resembled the control intervention.

Data extraction was trialled using a sample of potentially eligible studies. Data extraction was performed by volunteer reviewers with at least a Masters-level qualification in a biomedical subject and a minimum time commitment of three hours per week on the project. Training in systematic review methods, trial design, and the use of online platforms was provided by the lead investigator (DHS) prior to starting data extraction. Results of the pilot testing informed the final approach to data extraction, with detailed annotations for extraction items available to reviewers, and reliability monitored throughout [79].

Data extraction domains were bibliographic data, general study design, trial reporting, sham control and blinding methods, trial results, and risk of bias (the latter two are reported in a separate publication, Ref).

Data analysis

Descriptive analysis and subgroups

This publication reports the qualitative part of the data synthesis, providing an overview of blinding methods used in the field of PPS therapies for pain, including basic description of sham interventions, their development and reported rationale, the similarity between control and active interventions, compliance with relevant reporting guidelines (notably the intervention description and blinding items of the Consolidated Standards of Reporting Trials (CONSORT) extension for nonpharmacological trials [30], and reports of blinding effectiveness. Apart from providing these data for the entire sample, data were sub-grouped by therapy type where appropriate. Given the size and complexity of this review, results of a formal risk of bias (RoB) assessment (Sterne et al., 2019) and analyses including pain-related and other outcome data, are reported in a parallel article (Ref) to ensure sufficient interpretation.

Meta-analysis: Similarity index and ratings

A high degree of similarity between control and test intervention is commonly assumed to be a desirable feature of controlled efficacy and mechanistic trial designs [145,21,107,36,62,77,124,16]. Whilst some authors have used concepts of 'indistinguishability' and 'structural equivalence' to denote different levels of similarity [21,107,124], we drew on such work to define 25 features across which control and treatment interventions may be compared. Assessed features are listed in figures 1 and 2 and were based on a review of the following pertinent literature: [16,21,30,35,36,41,48,62,77,86,124,127,142,145].

Similarity ratings were based on the reviewers' evaluation of how similar individual items were between active and sham interventions. Specifically, 'Yes' (similar) and 'No' (dissimilar) evaluations were rated as 2 and -2, respectively. 'Probably Yes' and 'Probably No' were awarded 1 and -1 points, and 0 points were given for each item that could not be rated due to insufficient information. Non-applicable items were not rated. Also, each trial's total ratings were divided by the number of rated items to produce a single value, encompassing similarity across all applicable items. This is for illustrative purposes only as it is unclear whether all items can be weighted equally. Values of the item-specific group averages and the overall similarity average range from -2 (dissimilar across all studies / rated items) to 2 (similar). Data for individual items and the overall index were synthesised as means and standard deviations for each therapy group.

Meta-analysis: reports of blinding success and blinding indices

During data extraction, we identified studies indicating the effectiveness of the employed blinding methods, for example by having patients guess their group allocation or rate the treatment credibility. Methodological detail and self-reported blinding effectiveness of these studies are reported descriptively. Where group guesses were reported in a manner that allowed for the calculation of Bang's blinding index (BI), the index was calculated for active and control groups individually [20]. Specifically, absolute numbers or the percentages of participants per group guessing their allocation correctly, incorrectly, or being unsure were extracted. A ratio of Bang's BI was calculated as Hedge's g for each comparison between test and control group [50].

Results

Sample description

The flowchart in Figure 1 provides an overview of the study selection process and table 2 of the reviewed trials' characteristics. Data were extracted from 194 publications (plus protocols where

available), reporting 198 sham control interventions. Manual therapy trials dominated, followed by psychological and rehabilitation trials. Most commonly, patients with musculoskeletal pain were treated.

Figure 1: PRISMA flow diagram of the systematic search and selection process. The total number of included studies differs from the number of test treatment / control comparisons due to trials with multiple sham controls or single sham controls used as comparators for multiple active arms. In total, 198 unique sham interventions were included, one of which used twice for a comparison with an active arm, and reported in 194 publications. A complete search strings per database and a list of all studies excluded at the full-text screening stage are provided in the Supplementary Digital Content 1.

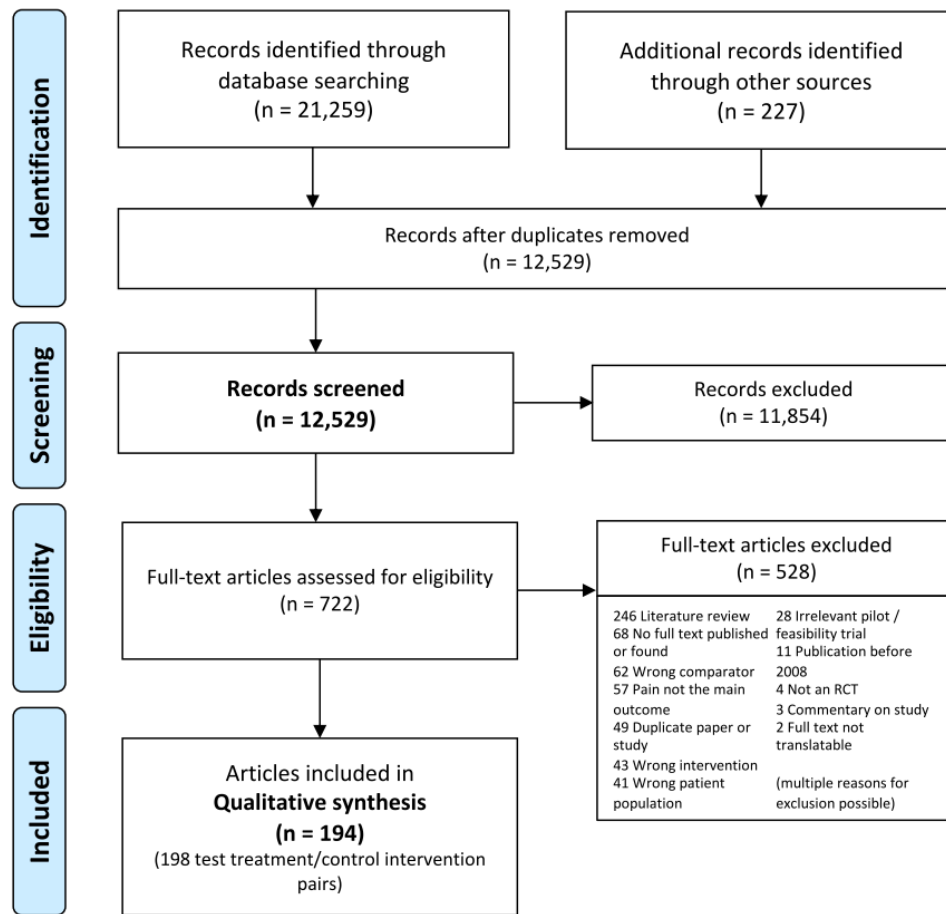


Table 2: Overview of included studies. The types of therapies, intervention complexity, and pain population are provided for the entire sample. Special cases: In one trial, data from the active intervention group was used twice to compare it with two different sham controls: Bialosky et al. (2014) used a ‘standard’ and an ‘enhanced’ sham control. Three publications reported more than one trial: D’Souza et al. (2008) studied two groups with different types of headaches, and Assefi et al.’s (2008) publication included two active interventions and a matching sham control each. Finally, Sharpe et al. (2012) reported two trials in a single publication, which were treated entirely independently here. In general, only patients that informed the present analyses are counted in this table, patients were not counted twice, and analyses of reporting refer to individual trials.

* Each intervention or sham intervention was counted, irrespective of whether the trial was a single-arm cross-over trial. † So-called attention controls were not counted as active comparator, only experimental conditions that were clearly assessed because they were deemed potentially effective alternatives (comparative effectiveness intention). ‡ Intervention complexity: Single-step or single-technique interventions were judged as ‘simple’, irrespective of how often these were applied, and others as complex. N = 194 publications with 198 comparisons between treatment and a sham control.

[INSERT TABLE 2 HERE]

Table 2:

Therapy types	n of studies	%
Manual therapy with spinal manipulation	48	24.2
Craniosacral therapy and gentle myofascial release	22	11.1
Other Manual Therapy	64	32.3
Rehabilitation / Physiotherapy	22	11.1
Self-management	5	2.5
Cognitive-behavioural and other psychotherapy	27	13.6
Spiritual / energetic / esoteric healing	8	4.0
Other	2	1.0
Intervention complexity ⁺	n	%
Simple	112	56.6
Complex	86	43.4
Pain descriptor	n	%
Musculoskeletal pain	121	61.1
Diffuse chronic pain	18	9.1
Cancer-related pain	6	3.0
Visceral pain	5	2.5
Neuropathic pain	5	2.5
Pregnancy-related pain	1	0.5
Not specified	1	0.5
Sample size at randomization	Median	Q1 / Q3
Overall sample size (all trial arms combined)	64	40 / 101
Sample size per trial arm (only groups included in review)	27	19.5 / 46
Registered trial protocol available	n	%
Registered	114	57.9
Group design		
Parallel-group	163	92.9
Cross-over	14	7.1
Number of study conditions per trial*	n	%
2	139	71.6
3	46	23.7
4	8	4.1
5	1	0.5
6	1	0.5

Additional non-sham comparators included	n	%
Active comparator (comparative effectiveness) [±]	29	14.9
No treatment / waitlist	20	10.3
Usual care / treatment-as-usual	13	6.7

Validation studies

We included eight validation studies of sham control interventions tested in patients with pain [76,93,55,149,37,111,48,73].

Placebo and sham control intervention designs

The CONSORT statement asks researchers to describe “[t]he interventions for each group with sufficient details to allow replication, including how and when they were actually administered.” [132,30]. In our sample of 198 sham control interventions, 67% complied with this reporting item and provided a description of *the control intervention* whilst 77% did for the experimental treatment.

Table 3 provides an overview of the main features of all reviewed sham interventions, categorised by therapy type (see Supplemental Digital Content 2 for table providing classification at study level).

Table 3: Overview of employed placebo control interventions per therapy type. N = 198.

[INSERT TABLE 3 HERE]

Table 3:

Therapy type	Total N	Placebo control / Sham interventions	N	%
Manual therapy with spinal manipulation	49	Manual, simulated manoeuvre	30	61.2
		Manual, soft touch	9	18.4
		Manual, technique to different area	1	2.0
		Disabled device	6	12.2
		Rest time-control	1	2.0
		Other (therapist attention, general anaesthesia)	2	4.1
Craniosacral therapy and gentle myofascial release	22	Manual, simulated manoeuvre	2	9.1
		Manual, soft touch	8	36.4
		Disabled device	10	45.5
		Other (low-strength static magnets, active joint movement)	2	9.1
Other manual therapy	63	Manual, simulated manoeuvre	21	33.3
		Manual, soft touch	19	30.2
		Manual, technique to different area	1	1.6
		Manual, simulated manoeuvre & to different area	1	1.6
		Disabled device	16	25.4
		Other (time-attention control, therapist attention, active joint movement, 2x low-pressure algometry)	5	7.9
	22	Exercise, nonspecific	8	36.4

Rehabilitation / Physiotherapy		Exercise, key components altered	1	4.5
		Disabled device	5	22.7
		Manual, simulated manoeuvre	1	4.5
		Manual, soft touch	1	4.5
		Educational attention control	5	22.7
		Other (nonspecific visualisation, rest + therapist attention)	2	9.1
Cognitive- behavioural and other psychotherapy	27	Multicomponent therapist interaction	11	40.7
		Educational attention control	7	25.9
		Cognitive task, nonspecific	2	7.4
		Writing attention control	2	7.4
		Other (relaxing music, nonspecific visualisation, open-label saline injection, nonhypnotic relaxation suggestions and white noise, nonspecific video and sound)	5	18.5
Spiritual / energetic / esoteric healing	8	Simulated hands-off manoeuvre (actor)	7	87.5
		Simulated hands-on manoeuvre (actor)	1	12.5
Self-management	5	Rest time-control	2	40.0
		Educational attention control	1	20.0
		Website, nonspecific	1	20.0
		Other (white noise)	1	20.0
Other	2	Other (white noise, headphones without sound)	2	100.0

Similarity between experimental and sham interventions

Conceptually, 29% of all studies explicitly reported matching or controlling for certain intervention components but the degree to which sham control interventions resembled the tested intervention varied widely.

The average similarity between experimental and sham intervention per trial was 0.88 (SD \pm 0.66) across all rated features. Assessment of individual features showed that some items were frequently designed to match the active intervention, whilst this was rare for others (figure 2, table with statistical detail provided in Supplemental Digital Content 3). For most items, however, confidence intervals were large. Overall ratings were different between simple and complex intervention trials ($t(1,195) = 4.67, p < 0.0001$), with comparisons between simple interventions and their shams being on average 0.4 points more similar (0.24 – 0.6 95% CI).

Notable therapy-specific differences existed for overall similarity ratings ($F(7,190) = 5.28, p < 0.001$), with physiotherapy/rehabilitation trials having significantly lower average ratings (0.37 ± 0.77) than spinal manipulation trials ($1.07 \pm 0.54, p < 0.001$), other manual therapies (excluding craniosacral therapy) ($0.93 \pm 0.6, p = 0.008$), and trials of spiritual or energetic therapies ($1.52 \pm 0.42, p < 0.001$). Figure 3 provides therapy-specific similarity ratings across all assessed features. A table with statistical detail is provided as supplement (Supplemental Digital Content 3).

Figure 2: Similarity between tested intervention and placebo control intervention for all included studies. Items were rated if applicable to individual studies (N provided in Supplemental Digital Content 3), with the following possible ratings and corresponding numerical values: Yes = 2, Probably

Yes = 1, Not reported = 0, Probably No = -1, No = -2. Full squares indicate Means and Standard Deviations (SD) are provided as error bars. The empty square represents the overall mean across all items and studies. N = 198.

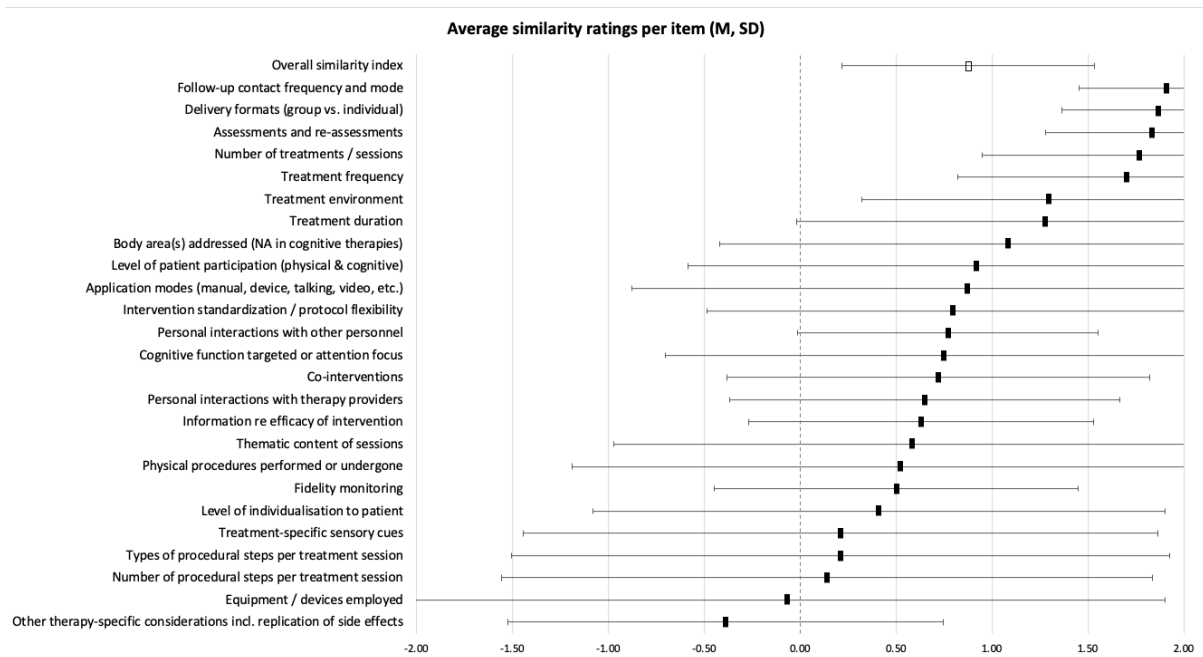
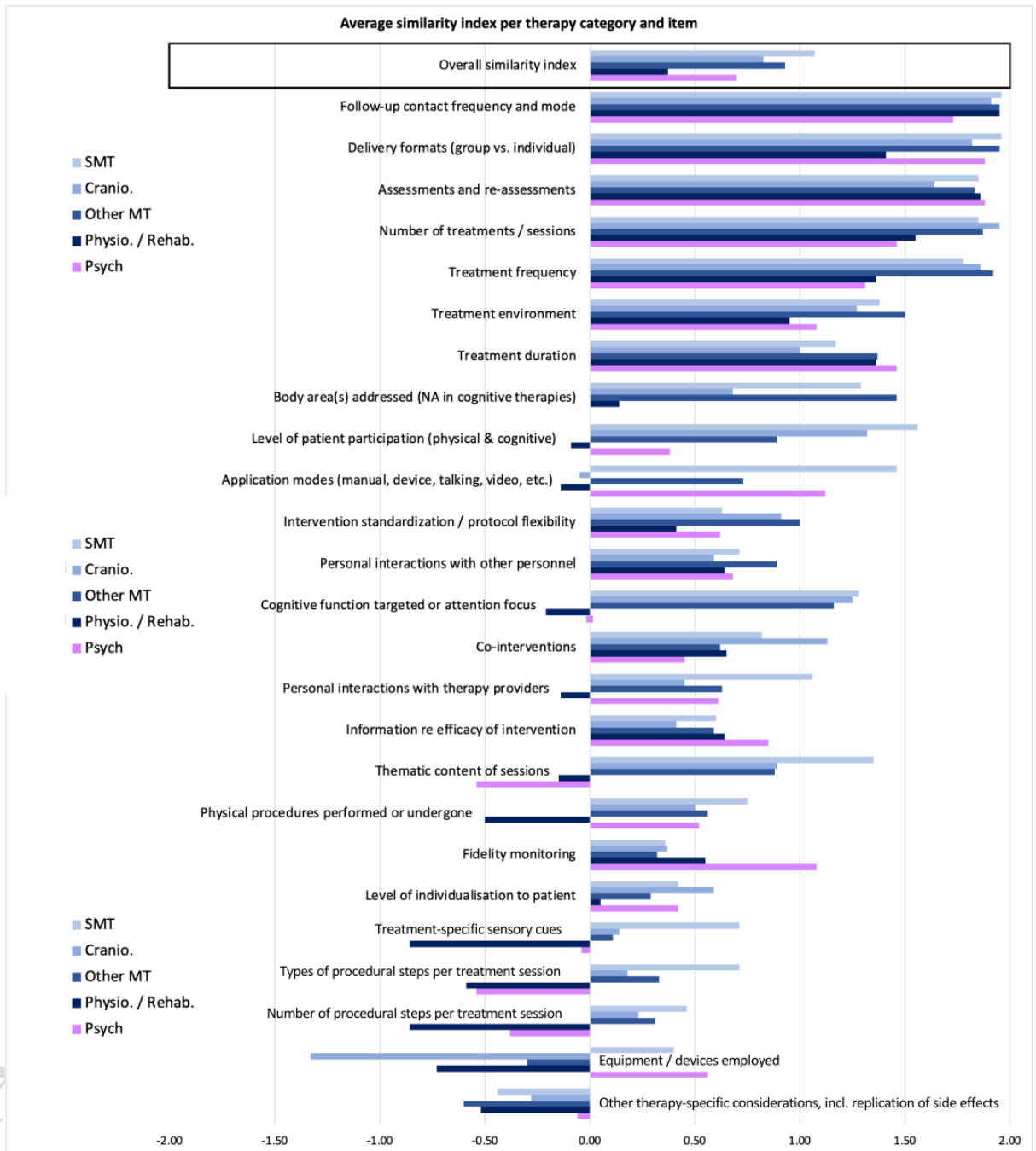


Figure 3: Average similarity ratings categorized by therapy type, comparing active and control interventions across 25 features. The overall mean across all items is provided in the top row. The end of each bar indicates the average rating. Measures of variability are provided in a supplementary table (Supplemental Digital Content 3) and the number of trials per group in the overview table 3.



Provider-related similarity

Interventions in the test and control groups were delivered by the same (set of) providers in at least 120 (59%) of all trials (clearly reported). Different providers were used in at least 32 (16%) trials, and this could not be ascertained or was not relevant due to treatment automation in a further 46 (23%).

In trials where it was clearly reported that different providers were employed, we further assessed if these were matched for expertise (e.g., educational background), experience (e.g., years in practice), behaviour, and if trial-specific training had been similar between groups (Table 4).

Table 4: Matching of provider-related factors. Assessed in trials for which it could be ascertained that different providers were employed for active and control interventions. $N = 32$.

[INSERT TABLE 4 HERE]

	Provider expertise		Provider experience		Provider behaviour		Trial-specific provider training	
	n	%	n	%	n	%	n	%
Matched	7	21.9	3	9.4	12	37.5	9	28.1
Not matched	18	56.3	16	50.0	5	15.6	9	28.1
Not reported	7	21.9	13	40.6	14	43.8	11	34.4

Additional features of placebo control interventions

Within the trials, methods of enhancing patients' expectations of a therapeutic effect included describing potential benefits of the sham intervention. Relatedly, some trials informed patients that only effective treatments were studied, either directly or by naming the sham control intervention differently (e.g., a "physical modality" [104]). Providers' positive expectations were modified, for example, by not informing them that the sham device was disabled or that simple touch could have beneficial effects (Table 5).

Table 5: Additional features of placebo control design and implementation. Total $n = 178$, unless otherwise indicated. Note that the provided assessments are dependent on author reporting.

[INSERT TABLE 5 HERE]

Table 5:

Feature	Reported in		
	n	%	
(Mis)Informing patients that only efficacious treatments are investigated	32	16.2	
Deliberately enhancing patients' expectations of therapeutic benefit (other means)	16	8.1	
Only enrolling treatment-naïve patients	30	15.2	
Enhancing control <i>provider</i> expectations of therapeutic benefit	9	4.8	Not applicable in 10 automated interventions (n = 189)
Providing specific training to the therapists to deliver the control intervention	43	22.8	Not applicable in 10 automated interventions (n = 189)

Sham control intervention development and theory

We examined the reporting of processes and theoretical considerations underpinning the development of each sham control intervention. Where reported, information on development processes or theoretical considerations were brief, often no more than a half-sentence. Theoretical considerations included justifying why certain elements of a sham intervention were chosen or omitted. Overall, many studies provided no indication how the design of the control intervention was informed (Table 6).

Table 6: Reporting of placebo control development processes and theoretical considerations. Total n = 178, unless indicated otherwise.

[INSERT TABLE 6 HERE]

Table 6:

Sham control development	Reported in		Comment
	n	%	
Processes involved in the development of the sham control intervention	90	45.5	29 (14.6%) in the text and with some explanation 61 (30.8%) provided a reference to previous work
Specific testing of the control intervention (incl. feasibility or validation phase, either as part of the trial or externally)	22	11.6	Not applicable in 8 validation studies (n = 190)
Consulting with patient, practitioners, and / or public involvement groups	4	2.0	
Consideration of ethical aspects of respective sham intervention	14	7.1	
Theory	n	%	
Theoretical considerations in the design of the sham control intervention	59	29.8	
Any hypothesized active (or specific) components of the treatment under investigation	160	80.8	
Any hypothesized non-specific (or common) components of the treatment under investigation	58	32.6	
Matching or controlling of the non-specific (or common) factors between therapy and sham	58	29.3	

Blinding

Assessing compliance with a relevant CONSORT reporting item, 53% of all included studies reported the blinding status of *all* involved stakeholders (patients, providers, outcome assessors and statisticians). An additional 36% reported the blinding status for some of the above. Although trials were designed to blind patients to group allocation in 75% of cases, information on patient blinding was not provided in 13% of reports, and 12% of sham-controlled RCTs reported that the trial was not designed to blind

participants to the nature of the intervention received or the group allocated to. Whilst trial reports were often ambiguous on the specific circumstances, it appears that in these instances patients may have been aware of the group they had been allocated to, often because the two interventions were very dissimilar. They were, however, in most instances likely not aware that the control intervention was a sham control with no supposed effect on outcomes [11,5,40,45,44,104,133,101,91,10,110]. Consequently, these trials were sham-controlled but employed deception as to the nature of the comparator intervention. In other instances [6,13,57,70,89,95,131,152,156,157], control interventions were employed that were not thought to be entirely inert but have circumstantial effects on outcomes. Almost exclusively, these latter were so-called attention controls for cognitive-behavioural interventions.

Providers were blinded in a minority of 3% of trials, but the methods to achieve double-blinding are noteworthy: Ajimsha et al. (2014) and Moraska et al. (2017) did not inform practitioners that the employed ultrasound machine was non-functional. In another case, the control intervention was provided by family members who read to the patients, essentially providing an attention control without knowing about its rationale in the trial context [51]. A similar strategy was applied to practitioners by Vitiello et al. [151], with providers delivering an educational attention control not knowing that it was the trial's control condition. In a further 7% of trials, provider blinding was of no concern, as, for example, automated or pre-recorded interventions were studied.

Blinded outcome assessment was reported for 58% of studies. A further 24% exclusively employed patient-reported outcome measures, thus ensuring blinded outcome reporting where patient blinding was successful. Unblinded outcome assessment was reported in 6% of trials and information on blinding status of outcome assessors was not reported in 11% of trials. The separation of treatment provider and outcome assessor roles was another common method to enhance internal trial validity, reported in 67% of trials (not performed in 6% and not reported in 28%).

Whether or not the statistical analysis was blinded was rarely reported (69% not reported), with 21% of trials reporting blinded, and 10% unblinded, statistical analysis.

Reports of blinding effectiveness and patient expectancy

Out of 198 control interventions, 150 (76%) were most likely designed to blind participants to the received intervention. Only in 35 (23%) of these cases did researchers evaluate whether participants blinding had been successful, which included all but one of the eight sham control validation studies. Blinding was mainly assessed by patients guessing their group allocation, and occasionally via treatment credibility as proxy. The methods to analyse and interpret blinding success were highly variable.

In 19 reports, blinding indices were provided or data were reported in a manner that allowed for calculating Bang's index. Only 4 studies reported unsuccessful participant blinding as per their own criteria; all others reported successful blinding or provided descriptive data without judgement. Details and results are reported in a supplementary table (Supplemental Digital Content 4).

Two small cross-over studies assessed blinding effectiveness [76,141]. In cross-over designs, patients can directly compare experimental and sham treatments, arguably making it easier to correctly guess group allocation. However, there was no indication of less successful blinding in the second phase of the Hall et al. trial (2008) [76]. Teys et al. (2008) indicated successful blinding but did not provide useful data for independent assessment [141].

The time points of blinding assessment differed, with most trials obtaining ratings after the first session or after the end of the treatment. Few studies monitored blinding throughout the course of a longer trial [47,103]. Notably, however, 22 other studies (11%) reported that their sham intervention had been tested previously.

Apart from reporting on blinding success, 29 studies (14.6%) assessed the patients' expectation of treatment benefit, albeit in a very heterogeneous manner, or their satisfaction with the received interventions (9 studies overlapping with those reporting on blinding success). Occasionally, this was reported as a proxy for successful blinding but more commonly to study potential influences of patient expectancy on clinical outcomes. Further detail is provided in Supplementary Digital Content 2.

Discussion

We analysed 198 sham control interventions and compared them to respective experimental treatments, identifying a range of common control intervention designs. We found notable gaps in reporting important information about the development, rationale, and validation of employed sham controls, complicating the assessment of control intervention quality as well as the replication of methods by future researchers. Blinding effectiveness was also rarely reported and, if so, was done in a variety of ways. The large and heterogeneous sample studied here allows for a nuanced discussion of control and blinding methods in PPS trials for pain.

Based on the concepts of 'structural equivalence' and 'indistinguishability' [21,107,124], we provided a detailed assessment of the similarity between control and experimental interventions. In our sample, similarity was prioritised for features concerned with the extent and timing of treatments and outcome assessments and the delivery format. The environments in which control and experimental interventions took place were also similar on average, but the variability was larger and non-reporting contributed to lower ratings. Many other compared features were less commonly matched between groups. These concerned the patient experience (e.g., treatment-specific sensory cues such as touch or sound, attention focus during interventions, personal interactions with providers and staff), procedural aspects of interventions (individualisation to patients, similarity and complexity of physical procedures performed, devices used in the application of control but not experimental treatments, use of co-interventions), and research-related aspects (e.g., differences in fidelity monitoring). Further, developing closely matched control interventions is less common for complex intervention studies.

Challenges of control intervention design

The findings illustrate the intricacies of designing adequate control interventions in efficacy and mechanistic trials. For example, the closer control interventions are matched to experimental treatments, the more challenging the necessary mechanistic considerations become. In manual therapy trials, concerns regarding the supposed inherent benefits of human touch [109] may lead authors to consider non-touch control interventions. Interestingly, whilst massage- or mobilisation-based treatments and craniosacral therapies are often compared to detuned ultrasound or other devices [46], the field of spinal manipulation research has opted against such an approach [48,111,124,149]. Mechanistic studies of spinal manipulation have focussed on the 'click' phenomenon and thrust forces [75,122,123]. Contrastingly, in non-thrust techniques the supposed mechanism is less clear-cut or more subtle, leaving more room for the potential role of touch [27,26]. The use of actors was the preferred control

intervention in RCTs of energetic / spiritual healing practices [14,19,29,42,128,129,134], likely again explained by mechanistic considerations where the healer themselves is the mechanism / medium through which healing occurs [140].

Relatedly, in a trial of guided imagery for pain relief [18], the patient's attention focus on the breath and away from the pain experience is an integral part of the treatment and will thus not be matched. As such, it is unclear whether an optimal control should direct attention to something else non-pain related (as would be the case in a general health education programme used as attention control) or not manipulate attention at all (as in the given example, using 'rest' as sham control). The question of attention focus also applies to physical and manual therapy trials. Some included control interventions involved treatment of or exercises for non-affected body parts [54,90,121,113,143,73], producing a mismatch with the experimental treatment where patients were likely to focus on painful body areas.

In psychological intervention research, the complexity of treatment mechanisms has probably contributed to a relative sparsity of controlled efficacy or mechanistic trials. Instead, psychological interventions such as cognitive-behavioural therapy (CBT) are often compared to treatment-as-usual or no-treatment controls [61], against which they show small to moderate effects [165]. Existing studies with active comparators, few of which qualified as sham or attention controls in our review, only show very small effects on pain and disability [165]. Indeed, 'specific' (e.g., behaviour change) and 'common' (e.g., the therapeutic relationship) treatment mechanisms are often linked and difficult to isolate in psychological interventions [155] and elsewhere [96,147]. As an alternative approach, mediation analyses have been used within trials of active psychological treatments to advance understanding of purported mechanisms of change [144,117].

Whilst the challenges for sham controlled psychological intervention trials are certainly immense, there are mechanistic theories that could guide control intervention development [108,4,38,39]. Further, our review demonstrates that high-similarity control interventions are feasible [152,70,89], likely providing more insight into treatment efficacy and mechanism than unmatched active comparator treatments such as education, relaxation, or exercise [164]. Additionally, many manual therapy [28,2,99,25,63,166,169,15,17,37,74,88,105,150,139,141,135,73,119] and some exercise trials [83,69] found promising solutions to the sham control problem, creating largely similar control interventions through the consideration of mechanistic treatment rationales and the mimicking of main contextual treatment aspects. This approach may in turn inspire development in other therapy fields, including psychological interventions.

The above examples of touch, attention focus, and active comparator treatments also illustrate another challenge of controlled efficacy RCTs in PPS research: It is unclear what the implications for a trial are if the employed control intervention is considered a treatment in its own right under different circumstances, such as cognitive distraction, non-specific exercise, generic education, provider support, or touch. Calling control interventions 'sham' rather than 'placebo control' acknowledges that these may not be as clearly 'inert' as a sugar pill. Nevertheless, the question remains if the effect sizes expected in pharmacotherapy research can realistically be demanded from sham-controlled RCTs of PPS interventions, given the potentially considerable effects produced by complex sham comparators [61].

What constitutes an appropriate control intervention can be informed by placebo research [146] and may depend on the trial's objectives. If the aim is to create similar levels of patient expectations of benefit, then studies need to explore if this can be achieved with very dissimilar controls [168,68,126] or even unblinded designs [163]. If the aim is to control for context effects or study treatment

mechanisms, then a careful matching is likely beneficial [124]. Blinding to sham allocation alone may also be achieved with very dissimilar but equally credible interventions, as illustrated by two reviewed trials that assessed blinding success [23,52]. But this approach is unreliable [154] and blinding is likely helped by intervention similarity [35]. Measuring potential outcome mediators such as expectancy and blinding status is laudable but uncommon, and so is the testing of control interventions in pilot studies. In the absence of such information, readers of a trial can only put themselves into the patients' shoes and ask if this control intervention would feel credible and effective to them [82]. In our parallel publication, we further-assess the impact of matched or non-matched controls on trial outcomes and discuss potential 'giveaways' that may undermine the blinding success of even well-designed control interventions.

For further inspiration for control interventions and examples from a given group of therapies, the reader is referred to the comprehensive supplementary table (Supplemental Digital Content 2) where each trial and its control design is categorised, and to the supplementary table on reported blinding effectiveness (Supplemental Digital Content 4).

Additional blinding considerations

Blinding of treatment providers was very rare in the included trials (reported in 3%). Arguably, however, the potential for unblinded therapists to undermine participant and staff blinding is considerable, and so is their capacity for producing different contextual effects between groups [98]. Especially in studies where providers spend substantial time with patients, it appears reasonable to suspect that providers might 'compensate' for providing control treatment by changes in behaviour, and possibly additional advice or other contraventions of trial protocols. It is inherently challenging to achieve provider blinding, especially when a trial is delivered in a real-world clinical setting. However, unless non-blinded providers are prepared for situations in which their natural inclination to help might contravene trial requirements, a trial's internal validity is at risk [98,106,164].

Where patient blinding to group allocation is an objective of the control intervention, the assessment of whether blinding was successful appears reasonable. In our sample, 25% of the relevant studies did examine this, some of which, however, were validation studies of new control interventions. Many of the recent arguments against such assessments and against blinding overall [9,161] may not apply to the studied patient population and group of therapies. For example, unblinding due to dramatic treatment efficacy is unlikely in musculoskeletal pain and physical, psychological, and self-management interventions, and adverse effects are less common [43]. The practical argument against blinding, however, namely that it may simply not be possible in such complex interventions [161], does warrant some consideration: This review has clearly shown that trial researchers and funders in pain research perceive there to be a need for sham controlled and blinded trials, especially across the manual therapies. As Anand, et al. (2020) rightly point out, there are research areas in which placebo effects are likely and where the case for the superiority of an intervention over a sham control has not yet been fully examined. On the other hand, emerging conflicting evidence regarding the impact of blinding status and blinding success warrants further scientific attention [12,116,66].

The diversity and sometimes sophistication of employed control interventions, plus the existence of multiple successfully blinded trials, demonstrates that patient blinding is a feasible, if challenging task. The complexity of the task, however, does lead to considerable research expense and, in the absence of best-practice standards for control interventions in efficacy and mechanistic trials, likely also research waste due to non-credible control interventions [82]. Comparative effectiveness studies are the obvious alternative to sham-controlled RCTs in complex interventions but their adequacy needs to be considered

in the light of the research question, existing evidence of efficacy, and the availability of suitable active comparator treatments [164]. Given the need for larger sample sizes in such trials, it further appears questionable whether these designs are always more economical than a well-designed explanatory RCT [16,81].

Reporting

Insufficient reporting of blinding methods has been identified as a problem before and has not seemed to improve [72,162,8]. Recently, a checklist specific to the reporting of placebo controls was published (Template for Intervention Description and Replication (TIDieR) – Placebo) [85]. Albeit not formally assessed in our review because data extraction was completed prior to the publication of TIDieR-Placebo, we suspect that most procedural items of the reporting checklist are complied with in PPS trials (What was provided as part of the control intervention, via which delivery modes, when and how much; items 1, 3, 4, 6, 8, and 9). However, we showed the reporting of provider characteristics to be deficient in trials where control and active interventions were not delivered by the same set of providers. Notably, TIDieR-Placebo requires little information on provider behaviour (only expertise, but not potential behaviour matching), an element for which we identified a large need for improved reporting. As for the theoretical background and rationale of the control intervention, TIDieR-Placebo asks researchers to “[d]escribe any rationale, theory, or goal of the elements essential to the placebo/sham intervention.” We were able to ascertain that information to this effect was only provided in about a third of the studies. Even so, this was rarely sufficient to understand the relevant theoretical considerations regarding the control intervention design, including the purpose of employing a sham control in this specific trial (blinding to group allocation, controlling for contextual effects, both), or to isolate the specific treatment components of the experimental treatment. Knowing the trial authors’ reasoning allows readers to assess the appropriateness of the control intervention [164].

Whilst reporting guidance for intervention components only became available in 2014 [80], reporting guidelines for general trial features have been available longer. Specifically, the 2008 publication of the first CONSORT statement for nonpharmacological intervention RCTs [32,30] is the reason why we included studies published from then onwards. Irrespectively, reporting of the two major items relevant to this review’s objectives – the detailed description of the control intervention (66%) and reporting of the blinding status of all involved stakeholders (51%) – requires some improvement.

Conclusions

Overall, our findings call attention to the need for more guidance on the design of control interventions and blinding methods in mechanistic and efficacy trials, informed by current practice and common challenges in the field of psychological, physical, and self-management intervention research. Currently, sham controls range from closely resembling the test treatment to highly dissimilar, with differences between therapy groups. Especially physiotherapy and certain kinds of manual therapies employ dissimilar controls. Despite being a primary objective of most sham control interventions, it is infrequently reported whether participant blinding was effective.

Future recommendations for sham control interventions need to begin with a consideration of whether a sham-controlled RCT is the adequate design for a given research question and, if so, what the phenomena to be controlled for are. Control intervention development is likely improved by being theory-driven. Here, insights from placebo research may be useful and we examine the link between sham similarity and trial outcomes in a second publication of this review (Ref). Feasibility testing may

be helpful to ascertain whether a control intervention can achieve its objectives. To be useful for end-users, the reporting standard of control procedures needs to be enhanced.

Whilst the complexity of the task may mean that research efforts cannot be directly compared to pharmacological RCTs and that alternative designs may have to be considered, our review clearly demonstrated the feasibility of successful blinding by means of dedicated complex control interventions in large-scale RCTs of PPS therapies.

Acknowledgements

We thank all additional volunteers who did not complete the training or the screening and data extraction, the participants from a parallel Delphi process who were presented with the systematic review and provided feedback on methods, and Prof Ben Colagiuri's group for facilitating the blinding index calculation.

The PhD position supporting this work was funded by the Alan and Sheila Diamond Charitable Trust.

Conflict of Interest statement

The authors have no conflict of interest to report regarding this work.

List of Supplemental Digital Content

Supplemental Digital Content 1

Complete search string for each database, search tracker of hits and duplicates per database search, and list of all studies excluded at the full-text screening stage with reasons. Provided as excel spreadsheet. xlsx file

Supplemental Digital Content 2

Detailed overview table of included studies and their methods – provided as separate excel spreadsheet, xlsx file

Supplemental Digital Content 3

Statistical detail regarding the level of similarity between sham and active interventions, including a table for the entire sample and another categorised per therapy type. doc file

Supplemental Digital Content 4

Overview table of studies reporting on blinding effectiveness, including statistical detail, Bang's BI, and its ratio between groups. Overview table of studies reporting data on expectation of benefit. doc file

Author's accepted version

References

- [1] Abbasi J. Robert Kerns, PhD: Researching Nondrug Approaches to Pain Management. *JAMA* 2018;319:1535–1537.
- [2] Aghabati N, Mohammadi E, Pour Esmail Z. The effect of therapeutic touch on pain and fatigue of cancer patients undergoing chemotherapy. *Evidence-based complementary and alternative medicine : eCAM* 2010;7:375–81.
- [3] Ajimsha MS, Binsu D, Chithra S. Effectiveness of myofascial release in the management of plantar heel pain: a randomized controlled trial. *Foot (Edinburgh, Scotland)* 2014;24:66–71.
- [4] Åkerblom S, Perrin S, Rivano Fischer M, McCracken LM. The Mediating Role of Acceptance in Multidisciplinary Cognitive-Behavioral Therapy for Chronic Pain. *The Journal of Pain* 2015;16:606–615.
- [5] Albert HB, Manniche C. The efficacy of systematic active conservative treatment for patients with severe sciatica: a single-blind, randomized, clinical, controlled trial. *Spine* 2012;37:531–42.
- [6] Allen KD, Oddone EZ, Coffman CJ, Datta SK, Juntilla KA, Lindquist JH, Walker TA, Weinberger M, Bosworth HB. Telephone-Based Self-management of Osteoarthritis. *Annals of Internal Medicine* 2010;153:570–579.
- [7] Alvarez G, Núñez-Cortés R, Solà I, Sitjà-Rabert M, Fort-Vanmeerhaeghe A, Fernández C, Bonfill X, Urrutia G. Sample size, study length and inadequate controls were the most common self-acknowledged limitations in manual therapy trials: A methodological review. *Journal of Clinical Epidemiology* 2020;0. doi:10.1016/j.jclinepi.2020.10.018.
- [8] Alvarez G, Solà I, Sitjà-Rabert M, Fort-Vanmeerhaeghe A, Gich I, Fernández C, Bonfill X, Urrutia G. A methodological review revealed that reporting of trials in manual therapy has not improved over time. *Journal of Clinical Epidemiology* 2020;121:32–44.
- [9] Anand, R, Norrie, J, Bradley, JM, McAuley, DF, Clarke, M. Fool's gold? Why blinded trials are not always best. *BMJ* 2020:16228.
- [10] Andrae SJ, Andrae LJ, Richman JS, Cherrington AL, Safford MM. Peer-Delivered Cognitive Behavioral Training to Improve Functioning in Patients With Diabetes: A Cluster-Randomized Trial. *The Annals of Family Medicine* 2020;18:15–23.
- [11] Arcos-Carmona IM, Castro-Sanchez AM, Mataran-Penarrocha GA, Gutierrez-Rubio AB, Ramos-Gonzalez E, Moreno-Lorenzo C. [Effects of aerobic exercise program and relaxation techniques on anxiety, quality of sleep, depression, and quality of life in patients with fibromyalgia: a randomized controlled trial]. *Efectos de un programa de ejercicios aerobicos y tecnicas de relajacion sobre el estado de ansiedad, calidad del sueno, depresion y calidad de vida en pacientes con fibromialgia: ensayo clinico aleatorizado* 2011;137:398–401.
- [12] Armijo-Olivo S, Dennett L, Arienti C, Dahchi M, Arokoski J, Heinemann AW, Malmivaara A. Blinding in rehabilitation research: empirical evidence on the association between blinding and

- treatment effect estimates. *American journal of physical medicine & rehabilitation* 2020;99:198–209.
- [13] Ashar YK, Gordon A, Schubiner H, Uipi C, Knight K, Anderson Z, Carlisle J, Polisky L, Geuter S, Flood TF, Kragel PA, Dimidjian S, Lumley MA, Wager TD. Effect of Pain Reprocessing Therapy vs Placebo and Usual Care for Patients With Chronic Back Pain: A Randomized Clinical Trial. *JAMA Psychiatry* 2021. doi:10.1001/jamapsychiatry.2021.2669.
- [14] Assefi N, Bogart A, Goldberg J, Buchwald D. Reiki for the treatment of fibromyalgia: a randomized controlled trial. *Journal of alternative and complementary medicine (New York, NY)* 2008;14:1115–22.
- [15] Attali T-V, Bouchoucha M, Benamouzig R. Treatment of refractory irritable bowel syndrome with visceral osteopathy: Short-term and long-term results of a randomized trial. *Journal of Digestive Diseases* 2013;14:654–661.
- [16] Aycock DM, Hayat MJ, Helvig A, Dunbar SB, Clark PC. Essential considerations in developing attention control groups in behavioral research. *Res Nurs Health* 2018;41:320–328.
- [17] Baconnier P, Vial B, Vaudaux G, Vaudaux G, Bosson J-L. Evaluation of microkinesitherapy effectiveness in post-traumatic cervicalgia: a new approach applied to previous data. *Manual Therapy, Posturology & Rehabilitation Journal* 2019;17:1–4.
- [18] Baird CL, Murawski MM, Wu J. Efficacy of guided imagery with relaxation for osteoarthritis symptoms and medication intake. *Pain management nursing: official journal of the American Society of Pain Management Nurses* 2010;11:56–65.
- [19] Baldwin AL, Vitale A, Brownell E, Kryak E, Rand W. Effects of Reiki on Pain, Anxiety, and Blood Pressure in Patients Undergoing Knee Replacement: A Pilot Study. *Holist Nurs Pract* 2017;31:80–89.
- [20] Bang H, Ni L, Davis CE. Assessment of blinding in clinical trials. *Controlled Clinical Trials* 2004;25:143–156.
- [21] Baskin TW, Tierney SC, Minami T, Wampold BE. Establishing Specificity in Psychotherapy: A Meta-Analysis of Structural Equivalence of Placebo Controls. *Journal of Consulting* 2003;71:973–979.
- [22] Beard DJ, Campbell MK, Blazeby JM, Carr AJ, Weijer C, Cuthbertson BH, Buchbinder R, Pinkney T, Bishop FL, Pugh J, Cousins S, Harris IA, Lohmander LS, Blencowe N, Gillies K, Probst P, Brennan C, Cook A, Farrar-Hockley D, Savulescu J, Huxtable R, Rangan A, Tracey I, Brocklehurst P, Ferreira ML, Nicholl J, Reeves BC, Hamdy F, Rowley SC, Cook JA. Considerations and methods for placebo controls in surgical trials (ASPIRE guidelines). *The Lancet* 2020;395:828–838.
- [23] Bennell K, Wee E, Coburn S, Green S, Harris A, Staples M, Forbes A, Buchbinder R. Efficacy of standardised manual therapy and home exercise programme for chronic rotator cuff disease: randomised placebo controlled trial. *BMJ* 2010;340:c2756.
- [24] Bernstein IA, Malik Q, Carville S, Ward S. Low back pain and sciatica: summary of NICE guidance. *BMJ* 2017;356:i6748.

- [25] Beselga C, Neto F, Alburquerque-Sendín F, Hall T, Oliveira-Campelo N. Immediate effects of hip mobilization with movement in patients with hip osteoarthritis: A randomised controlled trial. *Manual Therapy* 2016;22:80–85.
- [26] Bialosky JE, Beneciuk JM, Bishop MD, Coronado RA, Penza CW, Simon CB, George SZ. Unraveling the Mechanisms of Manual Therapy: Modeling an Approach. *J Orthop Sports Phys Ther* 2017;48:8–18.
- [27] Bialosky JE, Bishop MD, Price DD, Robinson ME, George SZ. The mechanisms of manual therapy in the treatment of musculoskeletal pain: A comprehensive model. *Manual Therapy* 2009;14:531–538.
- [28] Bialosky JE, Bishop MD, Price DD, Robinson ME, Vincent KR, George SZ. A randomized sham-controlled trial of a neurodynamic technique in the treatment of carpal tunnel syndrome. *The Journal of orthopaedic and sports physical therapy* 2009;39:709–23.
- [29] Bliddal H, Christensen R, Hojgaard L, Bartels EM, Ellegaard K, Zachariae R, Danneskiold-Samsøe B. Spiritual healing in the treatment of rheumatoid arthritis: An exploratory single centre, parallel-group, double-blind, three-arm, randomised, sham-controlled trial. *Evidence-based Complementary and Alternative Medicine* 2014;2014:269431.
- [30] Boutron I, Altman DG, Moher D, Schulz KF, Ravaud P, for the CONSORT NPT Group. CONSORT Statement for Randomized Trials of Nonpharmacologic Treatments: A 2017 Update and a CONSORT Extension for Nonpharmacologic Trial Abstracts. *Ann Intern Med* 2017;167:40.
- [31] Boutron I, Guittet L, Estellat C, Moher D, Hróbjartsson A, Ravaud P. Reporting Methods of Blinding in Randomized Trials Assessing Nonpharmacological Treatments. *PLOS Medicine* 2007;4:e61.
- [32] Boutron I, Moher D, Altman DG, Schulz KF, Ravaud P, for the CONSORT Group. Extending the CONSORT Statement to Randomized Trials of Nonpharmacologic Treatment: Explanation and Elaboration. *Ann Intern Med* 2008;148:295.
- [33] Boutron I, Tubach F, Giraudeau B, Ravaud P. Blinding was judged more difficult to achieve and maintain in nonpharmacologic than pharmacologic trials. *Journal of Clinical Epidemiology* 2004;57:543–550.
- [34] Braithwaite FA, Walters JL, Li LSK, Moseley GL, Williams MT, McEvoy MP. Effectiveness and adequacy of blinding in the moderation of pain outcomes: Systematic review and meta-analyses of dry needling trials. *Peerj* 2018;6:e5318.
- [35] Braithwaite FA, Walters JL, Moseley GL, Williams MT, McEvoy MP. Towards more credible shams for physical interventions: A Delphi survey. *Clinical Trials* 2020:1740774520910365.
- [36] Braithwaite FA, Walters JL, Moseley GL, Williams MT, McEvoy MP. Towards more homogenous and rigorous methods in sham-controlled dry needling trials: Two Delphi surveys. *Physiotherapy* 2019. doi:10.1016/j.physio.2019.11.004.
- [37] Brose SW, Jennings DC, Kwok J, Stuart CL, O’Connell SM, Pauli HA, Liu B. Sham Manual Medicine Protocol for Cervical Strain-Counterstrain Research. *PM&R* 2013;5:400–407.

- [38] Burns JW, Nielson WR, Jensen MP, Heapy A, Czlapiński R, Kerns RD. Specific and general therapeutic mechanisms in cognitive behavioral treatment of chronic pain. *J Consult Clin Psychol* 2015;83:1–11.
- [39] Burns JW, Van Dyke BP, Newman AK, Morais CA, Thorn BE. Cognitive behavioral therapy (CBT) and pain education for people with chronic pain: Tests of treatment mechanisms. *Journal of Consulting and Clinical Psychology* 2020;88:1008–1018.
- [40] Buttagat V, Narktro T, Onsrira K, Pobsamai C. Short-term effects of traditional Thai massage on electromyogram, muscle tension and pain among patients with upper back pain associated with myofascial trigger points. *Complementary Therapies in Medicine* 2016;28:8–12.
- [41] Campbell MK, Entwistle VA, Cuthbertson BH, Skea ZC, Sutherland AG, McDonald AM, Norrie JD, Carlson RV, Bridgman S, KORAL study group. Developing a placebo-controlled trial in surgery: issues of design, acceptability and feasibility. *Trials* 2011;12:50.
- [42] Carneiro EM, Barbosa LP, Bittencourt AC, Hernandez CG, Timoteo RP, Almeida C de O, Borges M de F. Effects of Spiritist “passe” (Spiritual healing) on stress hormone, pain, physiological parameters and length of stay in preterm newborns: a randomized, double-blind controlled trial. *J Complement Integr Med* 2018;15.
- [43] Carnes D, Mars TS, Mullinger B, Froud R, Underwood M. Adverse events and manual therapy: A systematic review. *Manual Therapy* 2010;15:355–363.
- [44] Castro-Sanchez AM, Mataran-Penarrocha GA, Arroyo-Morales M, Saavedra-Hernandez M, Fernandez-Sola C, Moreno-Lorenzo C. Effects of myofascial release techniques on pain, physical function, and postural stability in patients with fibromyalgia: a randomized controlled trial. *Clinical Rehabilitation* 2011;25:800–13.
- [45] Castro-Sanchez AM, Mataran-Penarrocha GA, Sanchez-Labraca N, Quesada-Rubio JM, Granero-Molina J, Moreno-Lorenzo C. A randomized controlled trial investigating the effects of craniosacral therapy on pain and heart rate variability in fibromyalgia patients. *Clinical Rehabilitation* 2011;25:25–35.
- [46] Cerritelli F, Verzella M, Cicchitti L, D’Alessandro G, Vanacore N. The paradox of sham therapy and placebo effect in osteopathy. *Medicine (Baltimore)* 2016;95. doi:10.1097/MD.0000000000004728.
- [47] Chaibi A, Benth JS, Tuchin PJ, Russell MB. Chiropractic spinal manipulative therapy for migraine: a three-armed, single-blinded, placebo, randomized controlled trial. *Eur J Neurol* 2017;24:143–153.
- [48] Chaibi A, Šaltytė Benth J, Bjørn Russell M. Validation of Placebo in a Manual Therapy Randomized Controlled Trial. *Sci Rep* 2015;5:11774.
- [49] Chen W, Yang G, Liu B, Manheimer E, Liu J-P. Manual Acupuncture for Treatment of Diabetic Peripheral Neuropathy: A Systematic Review of Randomized Controlled Trials. *PLOS ONE* 2013;8:e73764.
- [50] Colagiuri B, Sharpe L, Scott A. The Blind Leading the Not-So-Blind: A Meta-Analysis of Blinding in Pharmacological Trials for Chronic Pain. *The Journal of Pain* 2019;20:489–500.

- [51] Collinge W, Kahn J, Walton T, Kozak L, Bauer-Wu S, Fletcher K, Yarnold P, Soltysik R. Touch, Caring, and Cancer: randomized controlled trial of a multimedia caregiver education program. *Support Care Cancer* 2013;21:1405–1414.
- [52] Costa LOP, Maher CG, Latimer J, Hodges PW, Herbert RD, Refshauge KM, McAuley JH, Jennings MD. Motor control exercise for chronic low back pain: a randomized placebo-controlled trial. *Physical Therapy* 2009;89:1275–86.
- [53] Cousins S, Blencowe NS, Tsang C, Chalmers K, Mardanpour A, Carr AJ, Campbell MK, Cook JA, Beard DJ, Blazeby JM. Optimizing the design of invasive placebo interventions in randomized controlled trials. *BJS (British Journal of Surgery)* 2020;107:1114–1122.
- [54] Cunali PA, Almeida FR, Santos CD, Valdrichi NY, Nascimento LS, Dal-Fabbro C, Tufik S, Bittencourt LR. Mandibular exercises improve mandibular advancement device therapy for obstructive sleep apnea. *Schlaf & Atmung [Sleep & breathing]* 2011;15:717-727.
- [55] Curtis P, Gaylord SA, Park J, Faurot KR, Coble R, Suchindran C, Coeytaux RR, Wilkinson L, Mann JD. Credibility of low-strength static magnet therapy as an attention control intervention for a randomized controlled study of CranioSacral therapy for migraine headaches. *Journal of alternative and complementary medicine (New York, NY)* 2011;17:711–21.
- [56] Dal-Ré R, de Boer A, James SK. The design can limit PRECIS-2 retrospective assessment of the clinical trial explanatory/pragmatic features. *Journal of Clinical Epidemiology* 2020. doi:10.1016/j.jclinepi.2020.03.027.
- [57] Davis MC, Zautra AJ. An online mindfulness intervention targeting socioemotional regulation in fibromyalgia: results of a randomized controlled trial. *Annals of behavioral medicine : a publication of the Society of Behavioral Medicine* 2013;46:273–84.
- [58] Day SJ, Altman DG. Blinding in clinical trials and other studies. *BMJ* 2000;321:504.
- [59] Drucker AM, Chan A-W. Blindsided: challenging the dogma of masking in clinical trials. *BMJ* 2020;368:m229.
- [60] Dworkin RH, Turk DC, Peirce-Sandner S, Burke LB, Farrar JT, Gilron I, Jensen MP, Katz NP, Raja SN, Rappaport BA, Rowbotham MC, Backonja M-M, Baron R, Bellamy N, Bhagwagar Z, Costello A, Cowan P, Fang WC, Hertz S, Jay GW, Junor R, Kerns RD, Kerwin R, Kopecky EA, Lissin D, Malamut R, Markman JD, McDermott MP, Munera C, Porter L, Rauschkolb C, Rice ASC, Sampaio C, Skljarevski V, Sommerville K, Stacey BR, Steigerwald I, Tobias J, Trentacosti AM, Wasan AD, Wells GA, Williams J, Witter J, Ziegler D. Considerations for improving assay sensitivity in chronic pain clinical trials: IMMPACT recommendations. *PAIN* 2012;153:1148–1158.
- [61] Faltinsen E, Todorovac A, Bruun LS, Hróbjartsson A, Gluud C, Kongerslev MT, Simonsen E, Storebø OJ. Control interventions in randomised trials among people with mental health disorders. *Cochrane Database of Systematic Reviews* 2022. doi:10.1002/14651858.MR000050.pub2.
- [62] Felson DT, Redmond AC, Chapman GJ, Smith TO, Hamilton DF, Jones RK, Holt CA, Callaghan MJ, Mason DJ, Conaghan PG. Recommendations for the conduct of efficacy trials of treatment devices for osteoarthritis: a report from a working group of the Arthritis Research UK

- Osteoarthritis and Crystal Diseases Clinical Studies Group. *Rheumatology (Oxford)* 2016;55:320–326.
- [63] Fernandez-Carnero J, Sierra-Silvestre E, Beltran-Alacreu H, Gil-Martinez A, La Touche R. Neural Tension Technique Improves Immediate Conditioned Pain Modulation in Patients with Chronic Neck Pain: A Randomized Clinical Trial. *Pain medicine (Malden, Mass)* 2019;20:1227–1235.
- [64] Finnegan-John J, Molassiotis A, Richardson A, Ream E. A Systematic Review of Complementary and Alternative Medicine Interventions for the Management of Cancer-Related Fatigue. *Integr Cancer Ther* 2013;12:276–290.
- [65] Ford I, Norrie J. Pragmatic Trials. *New England Journal of Medicine* 2016;375:454–463.
- [66] Freed B, Williams B, Situ X, Landsman V, Kim J, Moroz A, Bang H, Park JJ. Blinding, sham, and treatment effects in randomized controlled trials for back pain in 2000–2019: A review and meta-analytic approach. *Clinical Trials* 2021;18:361–370.
- [67] Fregni F, Imamura M, Chien HF, Lew HL, Boggio P, Kaptchuk TJ, Riberto M, Hsing WT, Battistella LR, Furlan A. Challenges and Recommendations for Placebo Controls in Randomized Trials in Physical and Rehabilitation Medicine. *Am J Phys Med Rehabil* 2010;89:160–172.
- [68] Frisaldi E, Shaibani A, Benedetti F. Why We should Assess Patients' Expectations in Clinical Trials. *Pain Ther* 2017;6:107–110.
- [69] Ganderton C, Semciw A, Cook J, Moreira E, Pizzari T. Gluteal Loading Versus Sham Exercises to Improve Pain and Dysfunction in Postmenopausal Women with Greater Trochanteric Pain Syndrome: A Randomized Controlled Trial. *J Womens Health (Larchmt)* 2018;27:815–829.
- [70] Garland EL, Manusov EG, Froeliger B, Kelly A, Williams JM, Howard MO. Mindfulness-oriented recovery enhancement for chronic pain and prescription opioid misuse: Results from an early-stage randomized controlled trial. *Journal of Consulting and Clinical Psychology* 2014;82:448–459.
- [71] George A, Collett C, Carr A, Holm S, Bale C, Burton S, Campbell M, Coles A, Gottlieb G, Muir K, Parroy S, Price J, Rice A, Sinden J, Stephenson C, Wartolowska K, Whittall H. When should placebo surgery as a control in clinical trials be carried out? *Bulletin* 2016;98:75–79.
- [72] Golomb BA, Erickson LC, Koperski S, Sack D, Enkin M, Howick J. What's in Placebos: Who Knows? Analysis of Randomized, Controlled Trials. *Ann Intern Med* 2010;153:532.
- [73] González AC, Berenguer SB, Luque Mañas JM, Martin-Pintado-Zugasti A. Validation of a sham novel neural mobilization technique in patients with non-specific low back pain: A randomized, placebo-controlled trial. *Musculoskeletal Science and Practice* 2021;53:102378.
- [74] Guimaraes JF, Salvini TF, Siqueira ALJ, Ribeiro IL, Camargo PR, Albuquerque-Sendin F. Immediate Effects of Mobilization With Movement vs Sham Technique on Range of Motion, Strength, and Function in Patients With Shoulder Impingement Syndrome: Randomized Clinical Trial. *J Manipulative Physiol Ther* 2016;39:605–615.
- [75] Gyer G, Michael J, Inklebarger J, Tedla JS. Spinal manipulation therapy: Is it all about the brain? A current review of the neurophysiological effects of manipulation. *Journal of Integrative Medicine* 2019. doi:10.1016/j.joim.2019.05.004.

- [76] Hall S, Lewith G, Brien S, Little P. An exploratory pilot study to design and assess the credibility of a sham kinesiology treatment. *Forschende Komplementarmedizin* (2006) 2008;15:321–6.
- [77] Hart T, Bagiella E. Design and Implementation of Clinical Trials in Rehabilitation Research. *Archives of Physical Medicine and Rehabilitation* 2012;93:S117–S126.
- [78] Higgins JPT, Altman DG, Gotzsche PC, Juni P, Moher D, Oxman AD, Savovic J, Schulz KF, Weeks L, Sterne JAC, Cochrane Bias Methods Group, Cochrane Statistical Methods Group. The Cochrane Collaboration’s tool for assessing risk of bias in randomised trials. *BMJ* 2011;343:d5928–d5928.
- [79] Higgins JPT, Thomas J, Cumpston M, Li T, Page MJ, Welch VA eds. *Cochrane Handbook for Systematic Reviews of Interventions*, Version 6.0. 2nd ed. Chichester, UK: John Wiley & Sons, 2019 Available: www.training.cochrane.org/handbook. Accessed 2 Sep 2019.
- [80] Hoffmann TC, Glasziou PP, Boutron I, Milne R, Perera R, Moher D, Altman DG, Barbour V, Macdonald H, Johnston M, Lamb SE, Dixon-Woods M, McCulloch P, Wyatt JC, Chan A-W, Michie S. Better reporting of interventions: template for intervention description and replication (TIDieR) checklist and guide. *BMJ* 2014;348. doi:10.1136/bmj.g1687.
- [81] Hohenschurz-Schmidt D, Kleykamp BA, Draper-Rodi J, Vollert J, Chan J, Ferguson M, McNicol E, Phalip J, Evans SR, Turk DC, Dworkin RH, Rice ASC. Pragmatic trials of pain therapies: a systematic review of methods. *PAIN* 2021;Articles in Press. doi:10.1097/j.pain.0000000000002317.
- [82] Hohenschurz-Schmidt D, Vollert J, Vogel S, Rice ASC, Draper-Rodi J. Performing and interpreting randomized clinical trials. *Journal of Osteopathic Medicine* 2021. doi:10.1515/jom-2020-0320.
- [83] Holmgren T, Hallgren HB, Öberg B, Adolfsson L, Johansson K. Effect of specific exercise strategy on need for surgery in patients with subacromial impingement syndrome: randomised controlled study. *BMJ* 2012;344. doi:10.1136/bmj.e787.
- [84] Howick J. The relativity of ‘placebos’: defending a modified version of Grünbaum’s definition. *Synthese* 2017;194:1363–1396.
- [85] Howick J, Webster RK, Rees JL, Turner R, Macdonald H, Price A, Evers AWM, Bishop F, Collins GS, Bokelmann K, Hopewell S, Knottnerus A, Lamb S, Madigan C, Napadow V, Papanikitas AN, Hoffmann T. TIDieR-Placebo: A guide and checklist for reporting placebo and sham controls. *PLOS Medicine* 2020;17:e1003294.
- [86] Hróbjartsson A, Emanuelsson F, Skou Thomsen AS, Hilden J, Brorson S. Bias due to lack of patient blinding in clinical trials. A systematic review of trials randomizing patients to blind and nonblind sub-studies. *Int J Epidemiol* 2014;43:1272–1283.
- [87] Hróbjartsson A, Miller F. Chapter 2: Blinding in Nonpharmacological Randomized Controlled Trials. In: Boutron I, Ravaud P, Moher D, editors. *Randomized Clinical Trials of Nonpharmacologic Treatments*. Boca Raton: Chapman and Hall/CRC, 2011.
- [88] Hudson R, Richmond A, Sanchez B, Stevenson V, Baker RT, May J, Nasypany A, Reordan D. Innovative treatment of clinically diagnosed meniscal tears: a randomized sham-controlled trial of

- the Mulligan concept “squeeze” technique. *Journal of Manual and Manipulative Therapy* 2018;26:254–263.
- [89] Ilgen MA, Bohnert AS, Chermack S, Conran C, Jannausch M, Trafton J, Blow FC. A randomized trial of a pain management intervention for adults receiving substance use disorder treatment. *Addiction (abingdon, england)* 2016;111:1385-1393.
- [90] Ishiyama H, Inukai S, Nishiyama A, Hideshima M, Nakamura S, Tamaoka M, Miyazaki Y, Fueki K, Wakabayashi N. Effect of jaw-opening exercise on prevention of temporomandibular disorders pain associated with oral appliance therapy in obstructive sleep apnea patients: A randomized, double-blind, placebo-controlled trial. *Journal of prosthodontic research* 2017;61:259–267.
- [91] Izgu N, Gok Metin Z, Karadas C, Ozdemir L, Metinarikan N, Corapcıoglu D. Progressive Muscle Relaxation and Mindfulness Meditation on Neuropathic Pain, Fatigue, and Quality of Life in Patients With Type 2 Diabetes: A Randomized Clinical Trial. *Journal of Nursing Scholarship* 2020;52:476–487.
- [92] Katz N, Dworkin RH, North R, Thomson S, Eldabe S, Hayek SM, Kopell BH, Markman J, Rezai A, Taylor RS, Turk DC, Buchser E, Fields H, Fiore G, Ferguson M, Gewandter J, Hilker C, Jain R, Leitner A, Loeser J, McNicol E, Nurmikko T, Shipley J, Singh R, Trescot A, van Dongen R, Venkatesan L. Research design considerations for randomized controlled trials of spinal cord stimulation for pain: IMMPACT/ION/INS recommendations. *Pain* 2021.
- [93] Kawchuk GN, Haugen R, Fritz J. A true blind for subjects who receive spinal manipulation therapy. *Archives of Physical Medicine and Rehabilitation* 2009;90:366–8.
- [94] Kerns RD, Brandt CA, Peduzzi P, for the NIH-DoD-VA Pain Management Collaboratory. NIH-DoD-VA Pain Management Collaboratory. *Pain Medicine* 2019;20:2336–2345.
- [95] Khodneva Y, Richman J, Andreae S, Cherrington A, Safford MM. Peer Support Intervention Improves Pain-Related Outcomes Among Rural Adults With Diabetes and Chronic Pain at 12-Month Follow-Up. *The Journal of rural health: official journal of the American Rural Health Association and the National Rural Health Care Association* 2020. doi:10.1111/jrh.12422.
- [96] Kirsch I. Are drug and placebo effects in depression additive? *Biological Psychiatry* 2000;47:733–735.
- [97] Kligler B, Bair MJ, Banerjea R, DeBar L, Ezeji-Okoye S, Lisi A, Murphy JL, Sandbrink F, Cherkin DC. Clinical Policy Recommendations from the VHA State-of-the-Art Conference on Non-Pharmacological Approaches to Chronic Musculoskeletal Pain. *J GEN INTERN MED* 2018;33:16–23.
- [98] Koes BW. How to evaluate manual therapy: value and pitfalls of randomized clinical trials. *Man Ther* 2004;9:183–184.
- [99] Kogure A, Kotani K, Katada S, Takagi H, Kamikozuru M, Isaji T, Hakata S. A randomized, single-blind, placebo-controlled study on the efficacy of the arthrokinematic approach-hakata method in patients with chronic nonspecific low back pain. *PLoS ONE* 2015;10:e0144325.

- [100] Kumar S, Beaton K, Hughes T. The effectiveness of massage therapy for the treatment of nonspecific low back pain: a systematic review of systematic reviews. *Int J Gen Med* 2013;6:733–741.
- [101] Kumar SP. Efficacy of segmental stabilization exercise for lumbar segmental instability in patients with mechanical low back pain: A randomized placebo controlled crossover study. *North American Journal of Medical Sciences* 2011;3:456–461.
- [102] Lavazza C, Galli M, Abenavoli A, Maggiani A. Sham treatment effects in manual therapy trials on back pain patients: a systematic review and pairwise meta-analysis. *BMJ Open* 2021;11:e045106.
- [103] Lee K, Lewis GN. Short term relief of multisite chronic pain with Bowen Therapy: A double-blind, randomized controlled trial. *Journal of Bodywork and Movement Therapies* 2020;24:271–279.
- [104] Lehtola V, Korhonen I, Airaksinen O. A randomised, placebo-controlled, clinical trial for the short-term effectiveness of manipulative therapy and acupuncture on pain caused by mechanical thoracic spine dysfunction. *International Musculoskeletal Medicine* 2010;32:25–32.
- [105] Lewis C, Khan A, Souvlis T, Sterling M. A randomised controlled study examining the short-term effects of Strain-Counterstrain treatment on quantitative sensory measures at digitally tender points in the low back. *Manual Therapy* 2010;15:536–41.
- [106] London AJ. Equipoise in Research: Integrating Ethics and Science in Human Research. *JAMA* 2017;317:525–526.
- [107] Machado LAC, Kamper SJ, Herbert RD, Maher CG, McAuley JH. Imperfect placebos are common in low back pain trials: a systematic review of the literature. *Eur Spine J* 2008;17:889–904.
- [108] McCracken LM, Morley S. The Psychological Flexibility Model: A Basis for Integration and Progress in Psychological Approaches to Chronic Pain Management. *The Journal of Pain* 2014;15:221–234.
- [109] McGlone F, Cerritelli F, Walker S, Esteves J. The role of gentle touch in perinatal osteopathic manual therapy. *Neuroscience & Biobehavioral Reviews* 2017;72:1–9.
- [110] Messier SP, Mihalko SL, Beavers DP, Nicklas BJ, DeVita P, Carr JJ, Hunter DJ, Lyles M, Guermazi A, Bennell KL, Loeser RF. Effect of High-Intensity Strength Training on Knee Pain and Knee Joint Compressive Forces Among Adults With Knee Osteoarthritis: The START Randomized Clinical Trial. *JAMA* 2021;325:646–657.
- [111] Michener LA, Kardouni JR, Sousa CO, Ely JM. Validation of a sham comparator for thoracic spinal manipulation in patients with shoulder pain. *Manual Therapy* 2015;20:171–5.
- [112] Moerman DE. Against the “placebo effect”: A personal point of view. *Complementary Therapies in Medicine* 2013;21:125–130.
- [113] Mohamed AA, Jan Y-K, Sayed WHE, Wanis MEA, Yamany AA. Dynamic scapular recognition exercise improves scapular upward rotation and shoulder pain and disability in patients with adhesive capsulitis: a randomized controlled trial. *Journal of Manual & Manipulative Therapy* 2020;28:146–158.

- [114] Mohr DC, Ho J, Hart TL, Baron KG, Berendsen M, Beckner V, Cai X, Cuijpers P, Spring B, Kinsinger SW, Schroder KE, Duffecy J. Control condition design and implementation features in controlled trials: a meta-analysis of trials evaluating psychotherapy for depression. *Transl Behav Med* 2014;4:407–423.
- [115] Moraska AF, Schmiede SJ, Mann JD, Butryn N, Krutsch JP. Responsiveness of Myofascial Trigger Points to Single and Multiple Trigger Point Release Massages: A Randomized, Placebo Controlled Trial. *Am J Phys Med Rehabil* 2017;96:639–645.
- [116] Moustgaard H, Clayton GL, Jones HE, Boutron I, Jørgensen L, Laursen DLT, Olsen MF, Paludan-Müller A, Ravaud P, Savović J, Sterne JAC, Higgins JPT, Hróbjartsson A. Impact of blinding on estimated treatment effects in randomised clinical trials: meta-epidemiological study. *BMJ* 2020;368. doi:10.1136/bmj.l6802.
- [117] Murillo C, Vo T-T, Vansteelandt S, Harrison LE, Cagnie B, Coppieters I, Chys M, Timmers I, Meeus M. How do psychologically based interventions for chronic musculoskeletal pain work? A systematic review and meta-analysis of specific moderators and mediators of treatment. *Clinical Psychology Review* 2022;94:102160.
- [118] National Center for Complementary and Integrative Health (NCCIH). Nonpharmacologic Management of Pain. NCCIH 2016. Available: <https://nccih.nih.gov/about/strategic-plans/2016/Nonpharmacologic-Management-Pain>. Accessed 15 Nov 2019.
- [119] Nguyen C, Boutron I, Zegarra-Parodi R, Baron G, Alami S, Sanchez K, Daste C, Boisson M, Fabre L, Krief P, Krief G, Lefèvre-Colau M-M, Rannou F. Effect of Osteopathic Manipulative Treatment vs Sham Treatment on Activity Limitations in Patients With Nonspecific Subacute and Chronic Low Back Pain: A Randomized Clinical Trial. *JAMA Internal Medicine* 2021. doi:10.1001/jamainternmed.2021.0005.
- [120] Page MJ, McKenzie JE, Bossuyt PM, Boutron I, Hoffmann TC, Mulrow CD, Shamseer L, Tetzlaff JM, Akl EA, Brennan SE, Chou R, Glanville J, Grimshaw JM, Hróbjartsson A, Lalu MM, Li T, Loder EW, Mayo-Wilson E, McDonald S, McGuinness LA, Stewart LA, Thomas J, Tricco AC, Welch VA, Whiting P, Moher D. The PRISMA 2020 statement: An updated guideline for reporting systematic reviews. *Journal of Clinical Epidemiology* 2021;0. doi:10.1016/j.jclinepi.2021.03.001.
- [121] Paungmali A, Joseph LH, Silitertpisan P, Pirunsan U, Uthaikhup S. Lumbopelvic Core Stabilization Exercise and Pain Modulation Among Individuals with Chronic Nonspecific Low Back Pain. *Pain pract* 2017;17:1008–1014.
- [122] Pickar JG. Neurophysiological effects of spinal manipulation. *The Spine Journal* 2002;2:357–371.
- [123] Potter L, McCarthy C, Oldham J. Physiological effects of spinal manipulation: a review of proposed theories. *Physical Therapy Reviews* 2005;10:163–170.
- [124] Puhl AA, Reinhart CJ, Doan JB, Vernon H. The quality of placebos used in randomized, controlled trials of lumbar and pelvic joint thrust manipulation—a systematic review. *Spine J* 2017;17:445–456.
- [125] Qaseem A, Wilt TJ, McLean RM, Forciea MA, for the Clinical Guidelines Committee of the American College of Physicians. Noninvasive Treatments for Acute, Subacute, and Chronic Low

Back Pain: A Clinical Practice Guideline From the American College of Physicians. *Ann Intern Med* 2017;166:514.

- [126] Rief W, Nestoriuc Y, Mueller EM, Hermann C, Schmidt K, Bingel U. Generic rating scale for previous treatment experiences, treatment expectations, and treatment effects (GEEE). *G-EEE* 2021. doi:10.23668/psycharchives.4717.
- [127] Safer DL, Hugo EM. Designing a Control for a Behavioral Group Therapy. *Behavior Therapy* 2006;37:120–130.
- [128] Sagkal Midilli T, Ciray Gunduzoglu N. Effects of Reiki on Pain and Vital Signs When Applied to the Incision Area of the Body After Cesarean Section Surgery: A Single-Blinded, Randomized, Double-Controlled Study. *Holistic Nursing Practice* 2016;30:368–378.
- [129] Santana LC, Puerta PP, Gomez I de B, Montoro AA, Marco PL, Espinos ID. REIKI efficacy of therapy in improving pain, fatigue, quality of life and its impact on activities of women daily living suffering from fibromyalgia. *Nure Investigación* 2013;32:14p–14p.
- [130] Saxena S, Weerasuriya C, Shanahan F. The Placebo Effect: is achieving trial success all in our minds? 2017:17.
- [131] Schmidt S, Grossman P, Schwarzer B, Jena S, Naumann J, Walach H. Treating fibromyalgia with mindfulness-based stress reduction: Results from a 3-armed randomized controlled trial. *PAIN®* 2011;152:361–369.
- [132] Schulz KF, Altman DG, Moher D. CONSORT 2010 Statement: updated guidelines for reporting parallel group randomised trials. *BMJ* 2010;340:c332.
- [133] Schwerla F, Bischoff A, Nurnberger A, Genter P, Guillaume J-P, Resch K-L. Osteopathic treatment of patients with chronic non-specific neck pain: a randomised controlled trial of efficacy. *Forschende Komplementarmedizin (2006)* 2008;15:138–45.
- [134] Shaybak E, Abdollahimohammad A, Rahnama M, Masinaeinezhad N, Azadi-Ahmadabadi C, Firouzkohi M. The effect of reiki energy healing on CABG postoperative chest pain caused by coughing and deep breathing. *Indian Journal of Public Health Research and Development* 2017;8:305–310.
- [135] Simoni G, Bozzolan M, Bonnini S, Grassi A, Zucchini A, Mazzanti C, Oliva D, Caterino F, Gallo A, Da Roit M. Effectiveness of standard cervical physiotherapy plus diaphragm manual therapy on pain in patients with chronic neck pain: A randomized controlled trial. *Journal of Bodywork and Movement Therapies* 2021;26:481–491.
- [136] Skelly AC, Chou R, Dettori JR, Turner JA, Friedly JL, Rundell SD, Fu R, Brodt ED, Wasson N, Kantner S, Ferguson AJR. Noninvasive Nonpharmacological Treatment for Chronic Pain: A Systematic Review Update. Rockville, MD: Agency for Healthcare Research and Quality (AHRQ), 2020 doi:10.23970/AHRQEPCER227.
- [137] Sterne JAC, Savović J, Page MJ, Elbers RG, Blencowe NS, Boutron I, Cates CJ, Cheng H-Y, Corbett MS, Eldridge SM, Emberson JR, Hernán MA, Hopewell S, Hróbjartsson A, Junqueira DR, Jüni P, Kirkham JJ, Lasserson T, Li T, McAleenan A, Reeves BC, Shepperd S, Shrier I, Stewart

- LA, Tilling K, White IR, Whiting PF, Higgins JPT. RoB 2: a revised tool for assessing risk of bias in randomised trials. *BMJ* 2019;366:14898.
- [138] Stochkendahl MJ, Kjaer P, Hartvigsen J, Kongsted A, Aaboe J, Andersen M, Andersen MØ, Fournier G, Højgaard B, Jensen MB, Jensen LD, Karbo T, Kirkeskov L, Melbye M, Morsel-Carlsen L, Nordsteen J, Palsson TS, Rasti Z, Silbye PF, Steiness MZ, Tarp S, Vaagholt M. National Clinical Guidelines for non-surgical treatment of patients with recent onset low back pain or lumbar radiculopathy. *Eur Spine J* 2018;27:60–75.
- [139] Surenkok O, Aytar A, Baltaci G. Acute effects of scapular mobilization in shoulder dysfunction: a double-blind randomized placebo-controlled trial. *Journal of Sport Rehabilitation* 2009;18:493–501.
- [140] Teut M, Stöckigt B, Holmberg C, Besch F, Witt CM, Jeserich F. Perceived outcomes of spiritual healing and explanations - a qualitative study on the perspectives of German healers and their clients. *BMC Complement Altern Med* 2014;14:240.
- [141] Teys P, Bisset L, Vicenzino B. The initial effects of a Mulligan's mobilization with movement technique on range of movement and pressure pain threshold in pain-limited shoulders. *Manual Therapy* 2008;13:37–42.
- [142] Tough EA, White AR, Richards SH, Lord B, Campbell JL. Developing and Validating a Sham Acupuncture Needle. *Acupunct Med* 2009;27:118–122.
- [143] Tramontano M, Pagnotta S, Lunghi C, Manzo C, Manzo F, Consolo S, Manzo V. Assessment and Management of Somatic Dysfunctions in Patients With Patellofemoral Pain Syndrome. *The Journal of the American Osteopathic Association* 2020;120:165–173.
- [144] Turner JA, Anderson ML, Balderson BH, Cook AJ, Sherman KJ, Cherkin DC. Mindfulness-based stress reduction and cognitive-behavioral therapy for chronic low back pain: similar effects on mindfulness, catastrophizing, self-efficacy, and acceptance in a randomized controlled trial. *Pain* 2016;157:2434–2444.
- [145] Turner JA, Deyo RA, Loeser JD, Von Korff M, Fordyce WE. The importance of placebo effects in pain treatment and research. *JAMA* 1994;271:1609–1614.
- [146] Vase L. Can Insights From Placebo and Nocebo Mechanism Studies Help Improve Randomized Controlled Trials? *Clinical Pharmacology & Therapeutics* 2019;0. doi:10.1002/cpt.1580.
- [147] Vase L, Amanzio M, Price DD. Nocebo vs. Placebo: The Challenges of Trial Design in Analgesia Research. *Clinical Pharmacology & Therapeutics* 2015;97:143–150.
- [148] Vase L, Baram S, Takakura N, Takayama M, Yajima H, Kawase A, Schuster L, Kaptchuk TJ, Schou S, Jensen TS, Zachariae R, Svensson P. Can Acupuncture Treatment Be Double-Blinded? An Evaluation of Double-Blind Acupuncture Treatment of Postoperative Pain. *PLOS ONE* 2015;10:e0119612.
- [149] Vernon HT, Triano JJ, Ross JK, Tran SK, Soave DM, Dinulos MD. Validation of a novel sham cervical manipulation procedure. *The spine journal : official journal of the North American Spine Society* 2012;12:1021–8.

- [150] Villalta Santos L, Lisboa Cordoba L, Benite Palma Lopes J, Santos Oliveira C, Andre Collange Grecco L, Bovi Nunes Andrade AC, Pasin Neto H. Active Visceral Manipulation Associated With Conventional Physiotherapy in People With Chronic Low Back Pain and Visceral Dysfunction: A Preliminary, Randomized, Controlled, Double-Blind Clinical Trial. *J chiropr med* 2019;18:79–89.
- [151] Vitiello MV, McCurry SM, Shortreed SM, Balderson BH, Baker LD, Keefe FJ, Rybarczyk BD, Von Korff M. Cognitive-Behavioral Treatment for Comorbid Insomnia and Osteoarthritis Pain in Primary Care: The Lifestyles Randomized Controlled Trial. *J Am Geriatr Soc* 2013;61:947–956.
- [152] Vitiello MV, Rybarczyk B, Von Korff M, Stepanski EJ. Cognitive behavioral therapy for insomnia improves sleep and decreases pain in older adults with co-morbid insomnia and osteoarthritis. *Journal of clinical sleep medicine : JCSM : official publication of the American Academy of Sleep Medicine* 2009;5:355–62.
- [153] Vollert J, Cook NR, Kaptchuk TJ, Sehra ST, Tobias DK, Hall KT. Assessment of Placebo Response in Objective and Subjective Outcome Measures in Rheumatoid Arthritis Clinical Trials. *JAMA Netw Open* 2020;3:e2013196.
- [154] Walker BF, Hebert JJ, Stomski NJ, Losco B, French SD. Short-term usual chiropractic care for spinal pain: a randomized controlled trial. *Spine* 2013;38:2071–8.
- [155] Wampold BE. How important are the common factors in psychotherapy? An update. *World Psychiatry* 2015;14:270–277.
- [156] Wang C, Schmid CH, Hibberd PL, Kalish R, Roubenoff R, Roness R, McAlindon T. Tai Chi is effective in treating knee osteoarthritis: a randomized controlled trial. *Arthritis and Rheumatism* 2009;61:1545–53.
- [157] Wang C, Schmid CH, Roness R, Kalish R, Vinh J, Goldenberg DL, Lee Y, McAlindon T. A Randomized Trial of Tai Chi for Fibromyalgia. *New England Journal of Medicine* 2010;363:743–754.
- [158] Wartolowska K, Beard D, Carr A. Blinding in trials of interventional procedures is possible and worthwhile. *F1000Res* 2018;6:1663.
- [159] Wartolowska K, Judge A, Hopewell S, Collins GS, Dean B, Rombach I, Brindley D, Savulescu J, Beard DJ, Carr AJ. Use of placebo controls in the evaluation of surgery: systematic review. *BMJ* 2014;348:g3253.
- [160] Wartolowska KA, Gerry S, Feakins BG, Collins GS, Cook J, Judge A, Carr AJ. A meta-analysis of temporal changes of response in the placebo arm of surgical randomized controlled trials: an update. *Trials* 2017;18:323.
- [161] Webster RK, Bishop F, Collins GS, Evers AWM, Hoffmann T, Knottnerus JA, Lamb SE, Macdonald H, Madigan C, Napadow V, Price A, Rees JL, Howick J. Measuring the success of blinding in placebo-controlled trials: Should we be so quick to dismiss it? *J Clin Epidemiol* 2021.
- [162] Webster RK, Howick J, Hoffmann T, Macdonald H, Collins GS, Rees JL, Napadow V, Madigan C, Price A, Lamb SE, Bishop FL, Bokelmann K, Papanikitas A, Roberts N, Evers AWM. Inadequate description of placebo and sham controls in a systematic review of recent trials. *European Journal of Clinical Investigation* 2019;49:e13169.

- [163] von Wernsdorff M, Loeff M, Tuschen-Caffier B, Schmidt S. Effects of open-label placebos in clinical trials: a systematic review and meta-analysis. *Scientific Reports* 2021;11:3855.
- [164] Williams AC de C, Fisher E, Hearn L, Eccleston C. Evidence-based psychological interventions for adults with chronic pain: precision, control, quality, and equipoise. *PAIN* 2021;160:2149–2153.
- [165] Williams AC de C, Fisher E, Hearn L, Eccleston C. Psychological therapies for the management of chronic pain (excluding headache) in adults. *Cochrane Database of Systematic Reviews* 2020. doi:10.1002/14651858.CD007407.pub4.
- [166] Wolny T, Linek P. Neurodynamic Techniques Versus “Sham” Therapy in the Treatment of Carpal Tunnel Syndrome: A Randomized Placebo-Controlled Trial. *Arch Phys Med Rehabil* 2018;99:843–854.
- [167] Wood L, Egger M, Gluud LL, Schulz KF, Juni P, Altman DG, Gluud C, Martin RM, Wood AJG, Sterne JAC. Empirical evidence of bias in treatment effect estimates in controlled trials with different interventions and outcomes: meta-epidemiological study. *BMJ* 2008;336:601–605.
- [168] Younger J, Gandhi V, Hubbard E, Mackey S. Development of the Stanford Expectations of Treatment Scale (SETS): A tool for measuring patient outcome expectancy in clinical trials. *Clinical Trials* 2012;9:767–776.
- [169] Zemadanis K, Betsos T, Mandalidis D. The short and long-term effect of weight-bearing mobilization-with-movement (MWM) and automobilization-MWM techniques on pain and functional status in patients with hip osteoarthritis. *INTERNATIONAL JOURNAL OF PHYSIOTHERAPY* 2017;4:160–167.
- [170] Zwarenstein M, Treweek S, Loudon K. PRECIS-2 helps researchers design more applicable RCTs while CONSORT Extension for Pragmatic Trials helps knowledge users decide whether to apply them. *Journal of Clinical Epidemiology* 2017;84:27–29.