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Estimating the cost consequence of the early use of botulinum toxin in post stroke spasticity: Secondary analysis of a randomised controlled trial

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ABSTRACT

Objective. To estimate the cost-consequence of treating spasticity early with botulinum toxin in the acute stroke-unit.

Design. Secondary cost-consequence analysis, using data from a double-blind randomized-controlled trial.

Setting. Single-centre specialised stroke-unit.

Subjects and Interventions. Patients with Action Research Arm Test Grasp score of less than 2 and who developed spasticity within six-weeks of a first stroke were randomised to receive injections of: 0.9% sodium-chloride solution (placebo) or onabotulinumtoxin-A (treatment).

Main measures. Resource use costs were calculated for the study. Mean contracture costs for each group were calculated. The Barthel Index and Action Research Arm Test were used to generate a cost-per-unit of improvement.

Results. There were no significant differences associated with early treatment use. Mean contracture cost for the treatment group was £817 and for the control group was £2298 (mean difference=-£1481.1(95%CI -£2893.5, -£68.7) (p=0.04). The cost-per-unit of improvement for the Barthel Index was -£1,240 indicating that the intervention costs less and is more effective. The cost-per-unit of improvement for the Action Research Arm Test was -£450 indicating that the intervention costs less and is more effective.

Conclusions. Treating spasticity early in stroke patients at risk of contractures with botulinum toxin leads to a significant reduction in contracture costs. The cost per improvement of Barthel and Action Research Arm Test indicate that the intervention costs less and is more effective.

Trial Registration:EudraCT(2010-021257-39) and ClinicalTrials.gov-Identifier:NCT01882556.

Keywords

Stroke, Spasticity, Botulinum toxin, Economic evaluation

INTRODUCTION

The cost of managing stroke places a significant burden on the individual, families, and the economy.¹ These costs significantly increase in stroke patients who develop complications such as spasticity, contractures, pain, and pressure sores.^{2,3} There is a growing body of evidence that demonstrates that spasticity occurs early following stroke.⁴ In patients who do not recover useful arm function, this is likely to lead to contractures, pressure sores and pain.⁴

Botulinum toxin is one treatment that can reduce spasticity⁵ but it is not routinely offered to acute stroke patients. A possible reason for this could be the perception that this drug is expensive.⁶ Apart from one cost-effectiveness study from the results of a randomised controlled trial⁶, economic studies have either used retrospective cohort analysis² or economic modelling using expert opinion.^{7,8}

We have previously demonstrated that screening and treating spasticity early, on first presentation after a first stroke in patients who have no useful arm function, with Botulinum toxin prevents contractures, reduces pain, and does not interfere with functional recovery (EUBoSS Trial).⁵ This current paper uses results from this randomised controlled trial to investigate the cost-consequences of using botulinum toxin early in the management of spasticity following stroke.

Using data from the previous trial we aim to

1. Identify the major cost drivers in the management of patients with post stroke spasticity and contractures.
2. Conduct a cost-consequences Analysis of Botulinum toxin in the management of patients with post stroke spasticity and contractures.

METHODS

This study reports on the cost-consequences of the early use of botulinum toxin in post stroke spasticity. The trial was approved by North West - Greater Manchester South Ethics Committee Reference number 10/H1003/111. It was registered with EudraCT (2010-021257-39) and at: ClinicalTrials.gov-Identifier: NCT01882556.

The protocol⁹ and the results related to effectiveness have previously been published.⁵ In brief, in this double-blind placebo-controlled study, patients with an Action Research Arm Test grasp-score \leq 2 and who developed spasticity within six-weeks of a first stroke were randomised to receive injections of either 0.9% sodium-chloride solution (placebo) or onabotulinumtoxin-A (treatment). In addition to a range of measures of impairment and hand function, the Barthel Index, and Action Research Arm Test were measured at six months post stroke.⁹

Further, details regarding participants use of health services were documented at two, four, six and twelve-weeks following treatment and at six-months post stroke. These included GP visits, hospital visits and admissions. Current medication use and any changes from discharge were documented. Treatments to manage contractures were also recorded. Patients were encouraged to document such activities in a diary and this additional informal questioning was completed at each review to ensure all information was provided.

Resource use costs associated with the study were summarized into relevant categories and valued in £ sterling using a price year of 2017/18. The costs were determined from national published sources of unit costs British National Formulary,¹⁰ National Health Service reference costs (NHS 2017/2018) and estimated contracture costs.¹¹

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3 The Barthel Index and Action Research Arm Test were used to generate a cost per
4 unit of improvement in each of the measures. A one-way sensitivity analysis was
5 undertaken (using IBM SPSS 27), to assess the extent of potential changes in the
6 main cost parameters and outcomes of the treatment using the mean difference,
7 lower and upper bounds of the confidence intervals. The 95% confidence interval of
8 net cost and changes in outcomes were used to generate a series of potential
9 scenarios and explore the changes in the estimated cost per unit of improvement.
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23 RESULTS

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26 Between January 2012 and December 2013 ninety-three participants were
27 randomised and received injections (see figure 1: CONSORT diagram). The
28 treatment group (n=45) and control groups (n=48) were similar at baseline.⁵
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32 Intervention cost

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35 The costs relating to the intervention arm of the trial of were summed to give the total
36 cost per participant (NHS costs). Where possible, unit costs for the UK were applied
37 (e.g., Personal Social Services Research Unit, British National Formulary¹⁰) to
38 increase generalisability. These are reported in table 1.
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46 Resource use analysis is presented in Table 2. There were no significant
47 differences when the costs associated with all prescribed drugs were assessed
48 between groups at discharge, three months, and six months. The calculated costs
49 associated with the initial admission and subsequent admissions for both groups
50 were analysed, and no statistically significant difference was identified. The mean
51 total costs associated with hospitalisations were £21,577 in the treatment group and
52 £21,389 in the control group (Mean difference £187.6 95%CI -£3989.3, £4364.5).
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3 When all costs were combined no statistically significant differences were identified
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5 (Table 2).
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8 The mean contracture cost for the treatment group was £817 while the costs for the
9 control group was £2298. This was statistically significant ($p=0.04$) with a mean
10 difference of -£1481.1 (95%CI -£2893.5, -£68.7).
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15 The change in Barthel Index scores between baseline and six months was lower in
16 the control group (Mean 7.3 (SD 6.0)) compared to the treatment group (mean 8.1
17 (SD 5.0)). The mean difference was 0.8 and not statistically significant (95%CI -1.5,
18 3.3, $p = 0.47$).
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26 Sensitivity Analysis

27 A one-way sensitivity analysis was undertaken (Table 3) to assess the extent of
28 potential changes in the main cost parameters and outcomes of the treatment using
29 the mean difference, lower and upper bounds of the confidence intervals (Table 4).
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36 When the base case results of the costs of the intervention are used with the base
37 case results of the Barthel scores, the cost per unit of improvement was -£1,240
38 indicating that the intervention costs less and is more effective.
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44 When the lower 5% bound of net cost (-£5,867 is used with the upper 95% bound of
45 net utility (3.297), then the intervention is again seen as costing less and more
46 effective -£1,780) (see Table four). When the base case results of the costs of the
47 intervention are used with the base case results of the Action Research Arm Test
48 scores, the cost per unit of improvement was -£450 indicating that the intervention
49 costs less and is more effective.
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3 When the lower 5% bound of net cost (-£5,867) is used with the upper 95% bound of
4 net utility (10.71), then the intervention is again seen as costing less and more
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8 effective -£548) (see Table five).
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10 **DISCUSSION**

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13 We have previously demonstrated that the early use of botulinum toxin reduced
14 spasticity and contractures after stroke and that the effects lasted for approximately
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17 12-weeks.⁹ In this study we have demonstrated that the additional treatment with
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20 botulinum toxin does not lead to an increase in cost associated with managing these
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23 patients.
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26 This indicates that the early use of botulinum toxin for post stroke spasticity can be
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28 cost saving to the NHS when assessed in terms of gain in activity of daily living
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30 (Barthel Index) and arm function (total Action Research Arm Test). This result
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32 contrasts with a previously reported cost-effectiveness data that suggested that base
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34 case incremental cost-effectiveness ratio for botulinum toxin type A plus therapy was
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36 £93,500.⁶ However, it is possible that the magnitude of difference can be explained
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39 by the differences in the two studies:
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- 42 a. The previous study measured response to treatment using the Modified
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44 Ashworth Score (an invalidated measure of spasticity)¹² that has previously
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46 been show to underestimate treatment effects.¹³
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- 49 b. The previous study treatment was initiated in patients who are likely to have
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51 established spasticity and/or contractures (mean time to treatment 46 weeks
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53 post stroke)⁶ This contrasts with the current study where treatment was
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55 initiated in patients who presented with spasticity prior to contractures being
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57 established (mean time to treatment 14 days post stroke).⁵
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3 c. The previous study primarily focussed on improving function using a
4 combination of therapy and botulinum toxin⁶ and in the current study,⁵ the
5 primary focus was to prevent contractures.
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10 d. In the current study all patients had an Action Research Arm Test of 0 to 2 at
11 injection,⁵ whereas in the previous study 45% of the participants had an
12 Action Research Arm Test great than three.⁶
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18 There are two main limitations in this study. The first is that the study had a small
19 sample size and was not powered to assess cost-effectiveness. As a result, some
20 data was not recorded and is therefore absent from the analysis. For example, the
21 number of therapist contacts following discharge to the community was not recorded.
22 A further limitation is that this study has only been able to assess the health costs
23 rather than additional social costs. While the study recorded details about the
24 number of paid social care services participants received, unpaid family care was not
25 recorded. Secondly, the patients were not monitored longer term, so we have had to
26 estimate the costs associated with treating contractures. Currently evidence
27 suggests contractures are likely to be common⁶ and are often not managed well.
28 The costs for long-term management of contractures can be high. It may involve
29 treating repeated infections with antibiotics, the treatment of pressure sores and
30 surgery for debridement or to reduce discomfort and allow for basic hygiene. For the
31 purposes of this study, we have used a mean cost for the long-term management of
32 contractures of £9193.¹¹ We feel that this is a realistic cost that includes the full direct
33 and indirect costs of contracture management.
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55 This study is the first randomised controlled study using botulinum toxin early to
56 analyse the potential cost-effectiveness of trying to manage contractures. It provides
57 data that suggests that the perceived expense of botulinum toxin early after stroke
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3 before any contractures have developed may help reduce health costs longer term.
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5 Clinicians, often subconsciously, make decisions based on the cost of treatment on a
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7 daily basis. This is a form of rationing services which clinicians are often unaware
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9 they make. It is uncomfortable to clinicians who want to be patient-centred but must
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11 balance this ideal, with remaining service-centred to ensure patient flow through their
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13 service. We hope that this study helps to ease just one of the many cost-based
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15 treatment decisions that clinicians must make.
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20 Treating spasticity early, in stroke patients at risk of contractures, with botulinum
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22 toxin does not lead to a significant increase in cost associated with managing these
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24 patients. Future powered studies should now focus on the long-term cost-
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26 effectiveness associated with treatment involving botulinum toxin and ensure all
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28 social costs are included to allow for a more meaningful result.
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32 **Clinical Messages**

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35 The early use of botulinum toxin, as soon as spasticity is identified, appears to be
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37 cost neutral.
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40 Mean contracture costs for the treatment group were £817 while the costs for the
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42 control group were £2298 (p=0.04).
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45 Both base case results for cost per improvement of Barthel and Action Research
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47 Arm Test scores indicate that the intervention costs less and is more effective.
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Author Contribution

CL and ADP conceived the study, CL acquired the funding with input from ADP, CL designed the study and acted as blinded assessor and co-ordinated the study. IH itemised the resource costs and carried out statistical analysis and, with input from CP interpreted the results. CL wrote the first draft of the report with IH assisting with the result section. All authors reviewed and agreed the final draft.

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For Peer Review

Table 1. Summary of all intervention costs

Cost Items	Unit cost	Total cost	Unit cost source/Description	Comments
Therapist (Band 6)	£45	£2,025	PSSRU (2019) Band 6 - Page 121	Based on (n = 45)
Drug cost	£250	£11,250	Drug prices vary between trusts (depending on local negotiations), so we are using a mid-estimate of £250	The total dose was 160 units divided between 6 muscles. Since the vials can only be used for one patient and they only come in 200 unit vials the lowest actual cost is an estimated £250. Based on (n = 45)
		£13,275	Cost per intervention participant	£295

Table 2. Between group analysis of resources and costs

Measure	Intervention	N	Mean	Standard Dev	Mean Difference and 95% Confidence Interval of the Difference	p-value
Drug Cost 3 months (£)	Treatment	41	320	365.8	-20.7 (-251.67, 210.4)	0.859
	Control	45	341	656.6		
Drug Cost 6 months (£)	Treatment	40	333	396.1	-23.6 (-267.3, 220.0)	0.847
	Control	43	356	673.6		
Drug Cost Discharge from Hospital (£)	Treatment	42	342	363.2	-23.0 (-237.6, 191.5)	0.831
	Control	46	365	607.1		
Total Drug Costs (£)	Treatment	42	971	1094.9	-60.1 (-721.8, 601.6)	0.857
	Control	46	1032	1885.8		
Length of Stay (days)	Treatment	45	59.3	33.4	-1.4 (-14.2, 11.4)	0.828
	Control	48	60.7	28.6		
Cost of Stay (£)	Treatment	45	19897	9833.8	321.4 (-3477.9, 4120.6)	0.867
	Control	48	20219	8601.0		
Readmitted length of stay (days)	Treatment	13	15.6	18.2	4.3 (-9.5, 18.2)	0.522
	Control	11	11.3	13.6		
Readmitted cost of stay (£)	Treatment	45	1679	4223.9	508.9 (-1056.6, 2074.5)	0.501
	Control	48	1170	3351.3		
Combined length of stay (days)	Treatment	45	63.8	36.4	0.5 (-13.1, 14.1)	0.939
	Control	48	63.3	29.5		
Overall length of stay costs (£)	Treatment	45	21577	11075.2	187.6 (-3989.3, 4364.5)	0.929
	Control	48	21389	9165.5		
Intervention Costs (£)	Treatment	45	295	0.0	-	-
	Control	48	0	0.0		
Contracture Costs (£)	Treatment	45	817	2645.7	-1481.1 (-2893.5, -68.7)	0.040
	Control	48	2298	4022.8		
Overall Costs (£)	Treatment	45	23595	11539.7	-1080.5 (-5867.2, 3706.3)	0.655
	Control	48	24676	11682.4		

Table 3: Incremental cost of intervention using the Barthel Score

Parameter	Incremental cost of intervention	Incremental Barthel Score	Reduction in cost/Increase in cost per unit of improvement
Baseline	-£1080.5 (-£5867.2, £3706.3)	0.87 (-1.55, 3.29)	-£1,240
Upper 95% bound of net cost	£3,706	3.297	£1,124
Upper 95% bound of net utility			
Upper 95% bound of net cost	£3,706	-1.555	-£2,383
Lower 5% bound of net utility			
Lower 5% bound of net cost	-£5,867	-1.555	£3,773
Lower 5% bound of net utility			
Lower 5% bound of net cost	-£5,867	3.297	-£1,780
Upper 95% bound of net utility			

Table 4: Incremental cost of intervention using the Action Research Arm Test Score

Parameter	Incremental cost of intervention	Incremental ARAT Score	Reduction in cost/Increase in cost per unit of improvement
Baseline	-£1080.5 (-£5867.2, £3706.3)	2.4 (-6.00, 10.71)	-£450
Upper 95% bound of net cost	£3,706	10.71	£346
Upper 95% bound of net utility			
Upper 95% bound of net cost	£3,706	-6.00	-£618
Lower 5% bound of net utility			
Lower 5% bound of net cost	-£5,867	-6.00	£978
Lower 5% bound of net utility			
Lower 5% bound of net cost	-£5,867	10.71	-£548
Upper 95% bound of net utility			