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# Mechanistic insight into the CO oxidation reaction at pure, Nb-doped and Mo-doped medium size Pt clusters



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<i>Keywords:</i> Clusters DFT Calculations CO Oxidation Bimetallic catalyst Heterogeneous catalysis	Density Functional Theory (DFT) simulations have been performed to study the CO oxidation reaction on a pure $Pt_{18}$ cluster, and on Nb- and Mo-doped NbPt <sub>17</sub> and MoPt <sub>17</sub> clusters. The results show that a specially stable conformation of the pure cluster causes a sizable reduction of CO adsorption energy. Substituting one Pt atom by Nb or Mo has noticeable effects, charge transfer to the surface Pt atoms and destabilization of the special Pt <sub>18</sub> ground state conformation, which result in an enhacement of CO binding for the doped cluster. Finally, molecular oxygen binds strongly to pure and Nb- or Mo-doped clusters, and easily dissociates and reacts with co-adsorbed CO. with reaction barriers not exceeding 0.8 eV.

#### 1. Introduction

Platinum nanocatalysts have multiple applications. To name a few, these nanocatalysts are widely used in proton exchange membrane fuel cells (PEM-FCs) [1,2], and are relevant to oxygen reduction, hydrogen oxidation, and hydrogen evolution reactions [3]. The high catalytic activity for the decomposition of hydrogen peroxide suggest the use of Pt nanoparticles as antioxidants against oxidative chemical compounds [4]. The application of platinum catalysts for the high-yield oxidation of methane to metanol has also been reported [5]. It is well know that particle size has a critical impact on the performance of the catalysts [6], and new and unique effects appear in the subnanometer region, when the particle size is reduced up to a few atoms [7,8]. For a number of reactions involving oxidation, unexpected catalytic properties are observed at extremely small sizes [9-17]. In these systems, both the electronic structure and the geometrical features vary in very complex ways with cluster size, and are quite different from either bulk Pt or large nanoparticles with fcc packing [18-22]. For potential practical uses in various oxidation reactions, one of the issues most widely studied is the susceptibility to carbon monoxide poisoning [23] (that is, the accumulation of CO on most of the active sites of the Pt particle making impossible adsorption of other relevant reactants). This gas is usually present in trace amounts as a contaminant element in many fuels, and it is extremely important to develop new catalysts with improved resistance to CO poisoning.

One of the most promising ways of overcoming these problems is by

doping the Pt catalysts with small quantities of other transition metals. While for large particles, the mechanisms behind a weakening of the Pt-CO bond by adding impurities have been extensively studied [24,25], the influence of dopants on the catalytic properties of small Pt<sub>N</sub> clusters is poorly understood. Recently, experiments on CO reactivity of Pt<sub>N</sub> clusters (N=13-23), both pure and doped with various 4d elements [26, 27], have shown that the presence of some impurities (Mo, Nb) causes a sizable drop in CO binding energy to the cluster. In these clusters, the origin of such enhanced tolerance towards CO poisoning seems to be related to a sizable charge transfer from the dopant to the Pt atoms, which produces important changes in the electronic structure of the cluster. Doping the Pt nanoparticles with germanium also provides an effective way to mitigate CO poisoning [28].

These results have motivated us to analyze the mechanisms for CO removal on these clusters, during oxidation reactions, by studing in detail the CO oxidation reaction to  $CO_2$  in platinum clusters doped with Nb or Mo. This reaction has been intensively studied for many small clusters of various transition metals [29,30], with the results showing drastic changes of reactivity with cluster size [31,32]. In particular, the catalytic oxidation of CO on Pt systems has been investigated in several works. Different reaction conditions have been tested on solid Pt surfaces [33–35] and theoretical calculations have been performed as well [36]. In the case of pure platinum clusters, Heiz et al. [37] have found a sharp increase in reactivity for Pt<sub>N</sub> clusters with more than 15 atoms. Beniya et al. have also found large activity variations for Pt<sub>N</sub> clusters in the rage N=10-20 [38]. Vendelbo et al. [39] noticed periodic changes in

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the CO oxidation rate on unsupported Pt nanoparticles, and demonstrated that this oscillatory behavior is correlated to the dynamical periodic refacetting of the nanoparticles. Different experimental techniques have been employed to analyze the oxidation of CO on supported Pt nanoprticles [40–42]. Single-atom catalytic oxidation of CO has also been investigated. Feng et al. [43] studied Pt single-atom catalytic oxidation of CO for Pt adsorbed on CeO<sub>2</sub> and Pt adsorbed on Ga-doped CeO<sub>2</sub>. Different mechanisms were observed in the two systems, and vacancies formed near the Ga impurities were found to play an important role in activating the oxygen molecule. Work on Pt nanoalloys is scarce. Lian et al. [44] have performed DFT simulations to study the CO oxidation reaction in mixed Pt<sub>3</sub>M clusters (M = Pt, Ni, Mo, Ru, Pd, Rh), finding strong differences in the activation barriers for different dopants.

To gain additional insight into the changes induced by the presence of an impurity in the CO oxidation activity of small Pt<sub>N</sub> clusters, we have studied in detail all the steps of the reaction in both a pure and a Nbdoped Pt<sub>18</sub> cluster. Also, we have tested with some additional simulations the effect on the reaction of changing the dopant to Mo, another impurity which has been observed to affect the CO binding energies. We have chosen such cluster size for several reasons; first, previous theoretical studies have focussed on either very small  $Pt_N$  clusters [45–49] or large nm-sized Pt nanoparticles [50]. Second, this cluster size (around 20 atoms) coincides with both the large increase in reactivity observed by Heiz et al. [37] for pure Pt clusters, and with the size range where Ferrari et al. [26] have found a larger influence of the presence of Nb on the binding of CO to the cluster. Finally, as it will be discussed later, the Pt<sub>18</sub> cluster has some interesting properties, as an intrinsically high stability due to a magic triangular prism shape, which has an important influence on the reactivity of this cluster towards CO binding. We will show that oxidation of CO to  $CO_2$  by coadsorbed  $O_2$  on  $Pt_{18}$  and  $NbPt_{17}$ , and the elimination of CO2 are relatively easy due to the strongly favourable reaction energies and moderate activation barriers for these processes.

#### 2. Computational setup

Density Functional Theory (DFT) calculations were carried out using the projector augmented-wave method, as implemented in the GPAW code [51,52]. Each cluster was placed at the center of a large cubic cell  $(18 \text{ Å} \times 18 \text{ Å} \times 18 \text{ Å})$  with the spacing of the real-space three-dimensional grid set to 0.2 Å. Convergency tests with cubic cells of sizes up to 25 Å were performed (see the table T1 in the supplementary material), showing that at 18 Å the binding energies of CO and O<sub>2</sub> to the cluster are converged with a precision of approximately eV. 0.01 Exchange-correlation effects were modelled with the PBE functional [53,54]. The pseudopotentials for Pt, Nb, C and O included, respectively, 10, 5, 6 and 8 valence electrons. In the case of Pt, the pseudopotential includes scalar-relativistic effects. Spin-polarized calculations were carried out for all species, to take into account magnetization of the Pt atoms. As it will discussed later, the absorption of either CO or O<sub>2</sub> species can affect the magnetization state of the cluster in quite complex ways. The convergence threshold for the eigenstate energies was set to  $5 \cdot 10^{-7}$ eV/e<sup>-</sup>. Structure optimization was carried out using the BFGS (Quasi--Newton) algorithm [55] until forces on the atoms were below 0.01 eV/Å.

Energy barriers were calculated by a constrained minimization method, involving several simulations where the reaction coordinate (either O-O or C-O distances) was restricted at increasingly smaller values, while allowing the rest of the system to freely relax [36]. Transition structures were characterized as energy maxima in the reaction profile, testing various candidate conformations for the critical value of the reaction coordinate, until the barrier height was obtained with at least a precision of 0.05 eV.

#### 3. Results

#### 3.1. CO adsorption at Pt<sub>18</sub> and NbPt<sub>17</sub>

Prior to the catalysis study, we have performed an extensive isomer search to identify the most stable conformation for both Pt<sub>18</sub> and NbPt<sub>17</sub>. The most stable structures are shown in Fig. 1. In the supplementary material (Figures S1, S2, S3 and S4), the equilibrium structures for other alternative conformations, as well as their relative energies, are shown. In this isomer search, we prepared a large number of diverse candidate structures, studing almost every possible reasonable packing type of the atoms within the cluster (icosahedral, simple cubic, face-centered cubic, and combinations of those). For Pt<sub>18</sub>, in agreement with previous studies by Kumar et al. [18], the most stable conformation is a triple stack of equilateral Pt<sub>6</sub> triangular subunits (Fig. 1a). Interestingly, our isomer search shows that such triangular conformation is a very stable and "magical" shape, as any other competing conformation lies more than 1 eV higher in energy. This fact simplifies a lot the isomer search in the case of the pure cluster. Among the other isomers, we have selected a conformation based on two stacked rectangular Pt<sub>9</sub> units (Fig. 1b) for performing additional simulations of the binding of CO, to check the effect of cluster structural features and spin on reactivity. It is interesting to observe that for a fixed cluster size, varying the type of atomic packing and symmetry results on huge variations of the total magnetic moment, which decreases from  $8\mu_B$  to  $0-1\mu_B$ .

In the case of NbPt<sub>17</sub>, the isomer search becomes a problem much more complex, which involved both testing the most stable isomers for Pt<sub>18</sub>, with one Pt atom substituted by Nb, and also many other uniquely new conformations. Interestingly, the results in Figures S2, S3 and S4 show that the simple substitution of Pt by Nb on the triple stacked triangle (conformer 13) in Fig. S3) leads to a not very stable structure. In general, there is a strong tendency for the Nb atom to be located at the center of the cluster, and any atempt to move the impurity towards the surface has a high energetic cost (as the results for isomers 25, 26 and 27 in Figure S4 show). This effect has been previously reported by Ferrari et al. [26] for a series of 4d impurities (Mo, Nb, etc...) on platinum clusters of medium sizes. When we restrict the isomer search to conformations with a central Nb atom, the number of candidate structures to explore is drastically reduced, and it is now much probable to locate a conformation which can be the true global minimum. As lowest energy structures of NbPt17, we found 2 almost degenerate conformations (with a difference in total energy less than 0.01 eV), shown in Fig. S2 as conformers 1) and 2). The former (structure S2-1) is actually a transformation of the triple stacked triangle, with the central triangle distorted (expanded) in order to accomodate a Nb atom at the center. The latter (structure S2-2), is an octahedral structure, also with a Nb atom at its center, and with one of the edge Pt atoms missing. For the catalysis studies, we decided to choose isomer S2-1) (the one shown in Fig. 1c), as it is the conformation which has more structural similarities with the triangular structure of pure Pt<sub>18</sub> and it is more likely to be produced in experiments.



**Fig. 1.** Equilibrium structures and magnetic moments of  $Pt_{18}$  (in two different conformations; the most stable one is structure a) and NbPt<sub>17</sub> clusters. The Nb impurity in represented by a green sphere. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Next, we have performed an extensive investigation of the adsorption of CO on every cluster under study, considering every inequivalent binding site. Fig. 2 shows the CO binding energies at  $Pt_{18}$ , calculated using the formula:

$$E_{b}(CO) = E(CO) + E(Pt_{18}) - E(CO / Pt_{18})$$
(1)

For this cluster we have studied CO adsorption at both the stacked triangles (upper panel of Fig. 2) and the stacked squared conformations (lower panel of Fig. 2). In the first case, we find that conformer a) is the most stable adsorption site for CO, with the carbon monoxide molecule bridging two Pt atoms at one side of the triangular prism. This configuration is only 0.05 eV more stable than conformer b), with CO slightly displaced to a location on top of a Pt atom at the edge of the prism. At other alternative binding sites (conformers c-f) the CO binding energy is even lower (around 1.5 eV or less). This means that coadsorption of several CO molecules will become increasingly less favoured, and the cluster is therefore more resistant to poisoning by CO. The bridge and on-top adsorption positions of CO are in agreement with the results of the X-ray Photoelectron Spectroscopy (XPS) [56], and Infrared Reflection Absorption Spectroscopy (IRAS) analysis [57] of the adsorption of CO on Pt(110) surfaces, and vibrational spectroscopy analysis of the adsorption of CO on Pt(111) surfaces [58,59].

It is important to comment on the relatively low value of the CO binding energy (1.77 eV) for this cluster. In a previous study [26], we



**Fig. 2.** Equilibrium structures, binding energies and magnetic moments for CO adsorption at a  $Pt_{18}$  cluster. The upper panel shows conformations of CO at a triangular prism isomer, and the lower panel configurations of CO adsorbed at an stacked square isomer. White, gray and red spheres represent Pt, C and O atoms, respectively. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

found for pure cationic Pt clusters of comparable size (Pt<sup>+</sup><sub>19</sub>) much higher CO binding energies, of the order of 2.4 eV. Apparently, the overall high intrinsic stability of this Pt<sub>18</sub> isomer (much more stable than any other conformation, and perhaps related to its high spin state) causes a significant drop in CO binding energy. In the figures, we report the value of the cluster's magnetic moment after CO binding. In the case of the triangular prism isomer, CO adsorption at every binding site causes a decrease from  $8\mu_B$  (the magnetic moment of the clean cluster) to  $5-6\mu_B$ . The results for the stacked squared conformation (lower panel of Fig. 2) show a higher CO binding energy for this isomer (2.04 eV). This confirms the fact that the relatively low reactivity of the triangular prism isomer is strongly linked to its special shape, and as soon as we depart from that shape reactivity rises.

Now we analyze in detail the effect caused by CO adsorption on the magnetism of the platinum cluster. In general, binding of CO (and, as we will see later, other molecules as well) results in a marked decrease of the local magnetic moment at the host Pt atoms. In panels a) and b) of Fig. 3, we have respectively plotted the local spin density (difference between electron density components with up and down spins) for both bare triangular Pt<sub>18</sub> and for CO adsorbed to this cluster in its more stable conformation. Two facts are worth noticing: first, the spin density is in general quite uniformly distributed over all the atoms (corners, edges, etc.) of the Pt<sub>18</sub> cluster. Second, the presence of CO results in a marked drop of spin density at the host Pt sites. As a result of this drop, the overall magnetization of the cluster decreases from  $8\mu_B$  to  $5-6\mu_B$ . This effect of decreasing magnetization at the CO binding host sites does not always lead to the trivial result of an overall cluster magnetization drop; in the case of the stacked square isomer of  $Pt_{18}$ , we found a very interesting exception. Panel c) of Fig. 3 shows the spin density of this squared conformation with CO adsorbed. Although the magnetization at the host Pt sites is also low, for this conformation we found that CO adsorption results in a marked increase of the total magnetization of the cluster, which rises from 0 to  $4\mu_B$ .

To gain additional insight into the features of CO binding to these clusters, we have also analyzed the densities of electronic states (DOS). Fig. 4 shows the spin-polarized DOS for  $Pt_{18}$  with triangular prism conformation (first panel), Pt<sub>18</sub> with stacked square confirmation (second panel) and for the most stable conformation of CO bonded to Pt<sub>18</sub> with either triangular prism (third panel) or stacked square shape (fourth panel). For the bare cluster (with either triangular or square conformation) the almost full d-band has a relatively high DOS around the Fermi level. For the triangular conformer, those frontier orbitals split into two contributions, with spin up just below the Fermi level (and therefore occupied) and another one with spin down just above the Fermi level, giving rise to the large magnetic moment of the cluster. A small 0.12 eV gap opens at the Fermi level, a feature which causes the cluster to become more stable and less reactive. In the case of the square conformation, both spin up and down contributions lie just below the Fermi level, with a null total spin. Adsorption of CO to this square conformer results in a symmetry break-up, and Jahn-Teller effects are then largely responsible for the displacement of some spin-down states above the Fermi level and the associated final net magnetic moment of the cluster with CO adsorbed. On the other hand, the third panel of Fig. 4 shows that adsorption of CO on the Pt<sub>18</sub> triangular prism structure induces the shift of some spin up states from below to above the Fermi energy, with the ensuing lowering of the magnetic moment. In this figure, we have also plotted the projection of the DOS into the CO molecular orbitals, as well as three dimensional plots of the CO-Pt<sub>18</sub> hybrid orbitals. Comparison with the molecular orbitals of free CO indicates that the  $5\sigma$  orbital is strongly shifted down in energy, with a quite sizable degree of hybridization with the d orbitals of the two host Pt atoms.

The results for CO adsorption at various binding sites on the most stable NbPt<sub>17</sub> cluster are shown in Fig. 5. The most stable conformation corresponds to CO bonded to one of the Pt atoms in the central atomic plane (the one which contains Nb and six Pt atoms almost surrounding



**Fig. 3.** Spin density plots for a) Clean  $Pt_{18}$  (triangular prism isomer), b) CO adsorbed on the triangular prism isomer of  $Pt_{18}$  and c) CO adsorbed on the stacked square isomer of  $Pt_{18}$ . The red three-dimensional contours plot a constant value of  $0.01 \text{ e}^-/\text{Å}^3$ . Superimposed, coloured curves (values given in color bar at one side) show cuts of equal spin density on a plane which cuts through the centers of the Pt atoms on a cluster's face. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article )

it), with a rather high (2.05 eV) binding energy. Other stable conformations, (b) and (c), have also quite high binding energies, close to 2 eV. Comparing these binding strenghts with the highest CO binding energy for CO at triangular prism Pt<sub>18</sub> (less than 1.8 eV) it becomes clear that the effect of Nb doping is to increase the strength of CO-Pt bonds. This occurs because the insertion of the Nb atom in the interior of the Pt cluster distorts substantially the very stable triangular prismatic structure, producing a structure less symmetric and more reactive. Finally, it is worth noticing that the least stable conformation in Fig. 5 corresponds to CO bonded to three Pt atoms. Such "hollow" adsorption sites are strongly disfavoured against more stable conformations with CO on top of Pt atoms or bridging two Pt sites.

As in the case of pure Pt<sub>18</sub>, we have plotted in Fig. 6 the DOS for both clean NbPt<sub>17</sub> and the cluster with a CO molecule adsorbed. In the plots we show the projection of DOS onto the Nb d orbitals and onto the CO molecular orbitals. In both cases, a part of the Nb orbitals are located around -3 eV (well above the Fermi level) and the rest of the Nb orbitals are strongly hybridized with the Pt orbitals. This means that a substantial part of the Nb electronic charge is transferred to the platinum dband. From the total electronic density, we have calculated the Bader charges [60], obtaining net positive charges on the Nb atom for NbPt<sub>17</sub> and CO-NbPt<sub>17</sub>; specifically, the Nb atoms have a deficit of 1.73 and 1.71 electrons, respectively (see Figure S5 in the supplementary material). The transferred charge is distributed more or less uniformly on the surface Pt atoms, with each of them gaining about 0.10 electrons. The charge transfer from Nb to the Pt d-band results on a larger degree of filling of this band. The analysis of the hybridized CO-Pt states show some differences with respect to the results for pure  $Pt_{18}$ . First, the two  $1\pi$  levels are degenerate (instead of being split in two as for Pt<sub>18</sub>), a fact that can be attributed to the different type of coordination (on top of Pt for NbPt<sub>17</sub>, and with CO bridging to Pt atoms for Pt<sub>18</sub>). Second, and more

important, the separation between the  $4\sigma$  and  $5\sigma$  states is smaller in CO-NbPt<sub>17</sub> as compared to CO-Pt<sub>18</sub> (2.33 eV versus 3.20 eV).

#### 3.2. O<sub>2</sub> adsorption at Pt<sub>18</sub> and NbPt<sub>17</sub>

For the CO oxidation reaction, another key step is the adsorption (and subsequent dissociation) of molecular oxygen at the clusters. In the same way as for CO, we have performed an extensive study of the stability of the O<sub>2</sub> molecule adsorbed at various sites of either Pt<sub>18</sub> or NbPt<sub>17</sub>. The results are shown in Fig. 7. In all cases, O<sub>2</sub> has a strong preference towards conformations bridging two Pt atoms. For Pt<sub>18</sub>, the most stable adsorption site involves two Pt atoms along a lateral edge of the triangular prism, which agrees with the predictions from the calculations of Wang et al. [61] At this location, O<sub>2</sub> binds quite strongly, with more than 1.5 eV binding energy. Interestingly, displacing the molecule to other conformations on faces around the cluster results in a sharp decrease of its stability, by amounts between 0.5 and 1 eV. As it happened in the case of CO, adsorption of the cluster, from  $8\mu_B$  up to  $4-6\mu_B$ .

When  $O_2$  is bonded to  $Pt_{18}$  at its more stable conformation, the O-O bond length expands to 1.40 Å (with respect to a value of 1.23 Å for gasphase  $O_2$ ). Previous DFT simulations of  $O_2$  adsorption at other transition metal clusters [61,62] indicate that values of O-O bond lengths around 1.32 Å are characteristic of oxygen binding in a superoxo  $O_2^-$  electronic state, whereas expansion of O-O bond length up to 1.40-1.45 Å indicate adsorption of  $O_2$  in a peroxo  $O_2^{-2}$  electronic state. Our computed value of 1.40 Å then suggests that the oxygen molecule can attain a peroxo state when binding to  $Pt_{18}$ . The upper panel of Fig. 8 (where we show the total and  $O_2$ -projected DOS for the  $O_2$ -Pt<sub>18</sub> complex) further confirms this fact. After adsorption, the oxygen  $1\pi^*$  antibonding states (strongly



**Fig. 4.** Spin-polarized densities of electronic states (DOS) for clean  $P_{t_{18}}$  with triangular prism shape (first panel), clean  $Pt_{18}$  with stacked square shape (second panel), CO adsorbed to  $Pt_{18}$  with triangular prism shape (third panel) and CO adsorbed to  $Pt_{18}$  with stacked square shape (fourth panel). Black and red lines represent, respectively, spin up and down contributions to the DOS. Dashed blue lines show the position of the Fermi energy. In the case of CO andsorbed to  $Pt_{18}$ , green lines show the projection of the DOS into carbon and oxygen s and p atomic orbitals. Insets show three-dimensional shapes of some of the mixed CO-cluster molecular orbitals. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

hybridized to Pt d states) are almost completely filled, indicating an almost complete peroxo  $O_2^{-2}$  state. The analysis of Bader charges (see data in Figure S5 of supplementary material) indicates the gain of around 0.3 electrons by each of the oxygen atoms of  $O_2$ . Such charge is transferred mostly by the two Pt atoms bonded to the oxygen molecule.

In the case of NbPt<sub>17</sub>, O<sub>2</sub> also binds quite strongly, with a maximum binding energy of 1.42 eV. This value is only 0.15 eV smaller than the corresponding one for Pt<sub>18</sub>, meaning that the tendency towards oxidation is not greatly affected by the presence of the impurity. For the configuration in Fig. 7e, the O-O bond distance is 1.41 Å, meaning again that the adsorbed oxygen molecule is in a peroxo electronic state. This is further confirmed by the analysis of the DOS shown in the lower panel of Fig. 8, with very similar features to the case of  $O_2$  adsorbed on  $Pt_{18}$ ; both the binding energy of each oxygen orbital and their degree of hybridization with Pt d states. Also, examining the various values of the binding energies at different sites, we find again an important variability of around 0.5-1 eV. Finally, the calculated Bader charges show a very similar extra charge to be present in the adsorbed O<sub>2</sub> molecule. A close examination of charges around the O2-NbPt17 complex shows that charge to O<sub>2</sub> is also mainly donated by the Pt atoms bonded to O<sub>2</sub> and, quite surprisingly, the positive charge on the Nb impurity is not



**Fig. 5.** Equilibrium structures and binding energies for CO adsorption at a NbPt<sub>17</sub> cluster. In all cases, the magnetic moment is zero. The green sphere represent the Nb atom. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



**Fig. 6.** Spin-polarized densities of electronic states (DOS) for clean NbPt<sub>17</sub> and CO adsorbed to NbPt<sub>17</sub>. Magenta and green lines show partial projections of the DOS into Nb and CO orbitals, respectively. Insets show 3D shapes of some of the mixed CO-cluster molecular orbitals. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

modified at all after oxygen adsorption.

#### 3.3. CO and O<sub>2</sub> coadsorption at Pt<sub>18</sub> and NbPt<sub>17</sub>

Before studying reaction pathways and energy barriers for  $CO_2$  formation at these clusters, we have performed extensive simulations of the CO and  $O_2$  molecules co-adsorbed around each of the clusters under study, in order to determine the most stable initial states for the reaction. In the case of Pt<sub>18</sub>, Fig. 9 shows the relaxed structures, binding energies and magnetic moments for all the configurations studied. For the binding energy, we report on each case two quantities: first, the total



**Fig. 7.** Equilibrium structures and binding energies for  $O_2$  adsorption at  $Pt_{18}$  and  $NbPt_{17}$  clusters. In the case of  $O_2$  adsorbed at  $NbPt_{17}$ , the magnetic moment is always zero.



**Fig. 8.** Spin-polarized densities of electronic states (DOS) for  $O_2$  adsorbed at  $Pt_{18}$  and  $NbPt_{17}$  clusters. Magenta and green lines show partial projections of the DOS into Nb and  $O_2$  orbitals, respectively. Insets show three-dimensional shapes of some of the mixed  $O_2$ -cluster molecular orbitals. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

binding energy  $E_b$ (total), that is, the energy gain after the consecutive adsorption of CO and O<sub>2</sub>, with respect to the clean cluster and both gasphase molecules:



Fig. 9. Equilibrium structures, binding energies and magnetic moments for coadsorption of CO and  $O_2$  at a  $Pt_{18}$  cluster.

$$E_b(\text{total}) = E(\text{CO}, g) + E(\text{O}_2, g) + E(\text{Pt}_{18}, g) -E(\text{CO}/\text{O}_2/\text{Pt}_{18})$$

Second, we report, depending on the conformation,  $E_b(O_2)$  (the binding energy of  $O_2$  with CO preadsorbed on the cluster), or  $E_b(CO)$  (the binding energy of CO with  $O_2$  preadsorbed on the cluster).

In order to search for suitable starting points for the CO oxidation reaction, we have considered two types of conformations: first, with CO in its more stable binding site, and O2 at various sites around the preadsorbed CO. Second, having O<sub>2</sub> located at its most stable site, we have relaxed several alternative positions of CO around the preadsorbed O<sub>2</sub>. In the first case (conformations a, b, c, in Fig. 9) CO and O<sub>2</sub> bind in very close proximity, sharing one Pt atom. Interestingly, we find a sizable cooperative effect, as the O<sub>2</sub> binding energy with CO preadsorbed is higher than the corresponding binding energy in the absence of CO (at the same binding site). Cooperativity for coadsorption is highest for conformer (a), with an enhancement on  $O_2$  binding of 0.20 eV. As it should be expected, the magnetic moment of the Pt<sub>18</sub> cluster decreases (in a varying amount) upon succesive absorption of the CO and O2 species. The second case (conformations d, e, f) represents more stable configurations, with total binding energies above 3 eV. For each of these, there is only a very small competitive effect (0.08 eV or less) upon CO and O<sub>2</sub> coadsorption, and the total binding energy is approximately equal to the sum of individual CO and O<sub>2</sub> adsorption energies. The most stable case (f) corresponds, of course, to the combination of the most stable conformations for either CO and O<sub>2</sub>.

In Fig. 10, we show the results for CO and  $O_2$  coadsorption at NbPt<sub>17</sub>. As in the Pt<sub>18</sub> case, we have focussed on two alternatives: first, placing CO on two of its more stable locations, with  $O_2$  around it (conformations a-g). Second, placing  $O_2$  on its more stable location, and co-adsorbing CO at various sites around the cluster (conformations h-k). In general, we find a similar behaviour as in the Pt<sub>18</sub> case, with a very weak competivive effect for CO- $O_2$  coadsorption in most conformations, and some few cases with moderate cooperative effects; this is the case for conformer (j), which is also the most stable configuration, with a total binding energy of 3.56 eV.

# 3.4. CO oxidation reaction at Pt<sub>18</sub> and NbPt<sub>17</sub>

Finally, we discuss the reaction pathways and energy barriers for  $CO_2$  formation from coadsorbed CO and  $O_2$  on either of the two clusters under study. For each cluster, we have studied two alternate Langmuir-Hinshelwood reaction pathways, starting from different initial  $CO/O_2$  coadsorption conformations. We have also performed some test simulations (see Figure S6 in the supplementary material) to check if an Eley-



Fig. 10. Equilibrium structures, binding energies and magnetic moments for coadsorption of CO and  $O_2$  at a NbPt<sub>17</sub> cluster.

Rideal mechanism can take place, with CO directly reacting from the gas phase with oxygen atoms preadsorbed at the cluster. It turns out that such pathway is completely unfeasible, as any approaching CO molecule will strongly prefer to bind to any available Pt site first, rather than form a bond with a preadsorbed O atom. The reason behind this behaviour lies on the high stability (above 1.5 eV) of the CO-Pt bonds. The results for the Langmuir-Hinshelwood reaction pathways at Pt<sub>18</sub> are shown in Figs. 11 and 12. In the first case we have taken conformation (a) in Fig. 9 as the starting point for the reaction (with CO bonded at its more stable location, and O<sub>2</sub> located next to CO, sharing one Pt atom). The first step



Fig. 11. Reaction energies and energy barriers for  $CO_2$  formation at a  $Pt_{18}$  cluster (first pathway). Insets show the relaxed structures of all the intermediate and transition states.

of the reaction involves dissociation of the adsorbed oxygen molecule into two adsorbed oxygen atoms placed at Pt-Pt bridge sites neighbour to the CO molecule. We obtain a moderate 0.81 eV energy barrier for this process, being this the limiting step of the whole reaction (that is, the one characterized by the highest barrier). The barrier maximum occurs at a distance of 2.0 Å in the elongated oxygen-oxygen bond (see inset labeled TS1 in Fig. 11). At this point, it is important to remember that an alternative pathway, involving direct reaction between CO and intact O2, leading to formation of an adsorbed O-C-O-O complex, could perhaps compete with the pathway shown in Fig. 11 (for small clusters of other transition metals, as gold for example, this is actually the case [63,64]). In order to rule out such possibility, we have performed some test simulations for that process, finding that it can only take place by overcoming quite high energy barriers (well above 1 eV). Therefore, CO<sub>2</sub> formation must necessarily take place only after previous dissociation of O<sub>2</sub>. Finally, it is interesting to compare the moderate barrier height for O-O dissociation to the large O2-Pt18 binding energy (of the order of 1.5 eV). It is quite possible that, upon adsorption of O<sub>2</sub>, the large release of thermal energy associated to the formation of the O-Pt bonds could lead to an spontaneous activation of the O-O bond and to the dissociation of O<sub>2</sub> inmmediately after its adsorption.

The intermediate state with dissociated O<sub>2</sub> is approximately 0.5 eV more stable than the initial state with adsorbed molecular O<sub>2</sub>, meaning that there is a moderate tendency towards the partial oxidation of the surface of the Pt<sub>18</sub> cluster. Then, the second reaction step involves diffussion of one of the adsorbed oxygen atoms which reacts with the neighbour CO. The height of this second barrier is smaller (0.43 eV) and its maximum occurs when the reacting oxygen is located at a distance of 1.9 Å from the C atom (see inset labeled TS2 in Fig. 11). After overcoming the barrier, the atoms relax towards a final conformation with the CO2 molecule forming two bonds with Pt atoms in the cluster, and with the carbon atom in sp<sup>2</sup> hybridization, forming and angle of approximately 120° with the oxygen atoms. CO<sub>2</sub> formation is energetically favourable, and the overall binding energy of the adsorbed CO2 (plus a coadsorbed oxygen adatom) with respect to gas-phase CO and O2 is around 4 eV. This result is consistent with the work of Su and coworkers [58], who had earlier found that CO oxidation on the surface of the Pt metal is very exothermic.

The final reaction step involves desorption of  $CO_2$  to the gas phase. This process takes place throught breaking of the O-Pt bond (see inset labelled TS3 in the figure), which only requires to overcome a small barrier of 0.28 eV. Once the TS is reached, relaxation of the structure produces a spontaneous breaking of the remaining C-Pt bond, and desorption of  $CO_2$ .

Next, we will consider an alternate pathway for the reaction, based



Fig. 12. Reaction energies and energy barriers for  $CO_2$  formation at a  $Pt_{18}$  cluster (second pathway). Insets show the relaxed structures of all the intermediate and transition states.

on starting from a conformation with O<sub>2</sub> bonded at its most stable location, and CO placed nearby (see Fig. 12). As in the previous case, we have also performed some tests for the direct reaction between CO and intact O<sub>2</sub>, again with negative results (barriers above 1 eV). This means that the reaction again must necessarily start with dissociation of O2. This step is now slightly easier, with a barrier of 0.60 eV. After breaking of the O-O bond (at an O-O distance of 2.0 Å in the transition state), we found that the structure can relax to two alternate conformations for the oxygen adatoms: one involving both of them at Pt-Pt bridge sites along one edge of the triangular prism, and another where one of the oxygen atoms binds on top of a Pt corner site. It is slightly more probable for the system to relax towards the first conformation, since it is slightly more stable (and this is the one plotted in Fig. 12). Next, diffusion of the oxygen adatom towards the Pt corner site requires to overcome a barrier of 0.77 eV (labelled TS2 in Fig. 12). This movement of the oxygen atom to a Pt on-top location is necessary to activate it, making easier the subsequent reaction with CO (we have checked that the interaction between a bridging oxygen atom and CO leads to much larger barriers). The third barrier, involving the approach between CO and the O atom, is the highest one (0.85 eV) and becomes the rate-limiting step for the whole reaction pathway. As in the previous case, we also find a C-O distance of 2.0 Å at the transition state. The last stage, similarly to the previous case, requires a small barrier (0.14 eV) for the final desorption of CO<sub>2</sub>. The comparison of Figs. 11 and 12 reveals that the barriers for the oxidation of CO are similar, so the reaction can take place starting from different initial configurations in which the CO and O2 reactants are positioned nearby.

Next, we discuss the results for similar reaction pathways in the case of the NbPt<sub>17</sub> cluster. As in the case of Pt<sub>18</sub>, we have considered two situations, with different initial conformations for co-adsorbed CO and O<sub>2</sub>. In the first case (Fig. 13), CO is adsorbed as the conformer (b) in Fig. 5, and O<sub>2</sub> is later adsorbed next to CO, (the configuration shown in Fig. 10c), with an overall initial binding energy of 3.17 eV. As in the case of Pt<sub>18</sub>, attempts of displacing CO towards an intact O<sub>2</sub> molecule result in quite high barriers of more than 1 eV, so again the initial reaction step must be the dissociation of O2. Such dissociation takes place with a moderate barrier of 0.68 eV, with a O-O distance at the transition state of 2.1 Å. In the final intermediate state, one of the oxygen atoms relaxes to a Pt-Pt bridge position close to CO, sharing with it one Pt atom. That is, to react with CO, this oxygen adatom must diffuse to a neighbour Pt-Pt bridge site, from where it will finally form the new C-O bond. The activation energy for the CO bond formation is moderate (0.60 eV), and again a metastable  $CO_2$  complex is formed, with  $sp^2$  bonding and two bonds to Pt atoms. Final desorption of CO2 is again easy, with a very

small reaction barrier of only 0.15 eV.

The results for the second reaction pathway for CO<sub>2</sub> formation at NbPt<sub>17</sub> are shown in Fig. 14. Now we start from conformation (h) in Fig. 10, with O<sub>2</sub> initially adsorbed at its more stable location, and CO is also bonded at a quite stable site, in close proximity to O<sub>2</sub>. In this case, O<sub>2</sub> dissociation takes place with a slightly lower barrier of 0.61 eV; interestingly, now the transition state is reached at a longer O-O distance of 2.5 Å. This is probably related to the fact that, at the TS, one of the oxygen atoms must pass on top of the Pt edge atom before reaching its final position on a hollow site between three Pt atoms (see inset TS1 in Fig. 14). From such hollow site, the oxygen adatom needs to be activated to a Pt-Pt bridge location in order to react with CO, with an associated barrier of 0.73 eV, the highest one along this reaction pathway. The final desorption of  $\ensuremath{\text{CO}}_2$  requires again to break the O-Pt bond, a process mediated by a moderate barrier of 0.23 eV. Again the comparison of the two reaction paths of Figs. 13 and 14 reveals that the oxidation of CO can take place by starting from different NbPt17 isomers and different configurations of the coadsorbed CO and O<sub>2</sub> species.

### 3.5. CO oxidation reaction at MoPt<sub>17</sub>

After studying in detail the effects of the presence of a Nb impurity, we have performed similar simulations for a MoPt<sub>17</sub> cluster, that is, an 18-atoms cluster with a Mo impurity instead of Nb. Experiments by Ferrari et al. [26] indicate that the presence of Mo also produces a sizable reduction of the binding strength of CO to medium-sized Pt clusters. Since the presence of Mo apparently affects CO binding in a similar way as to the presence of Nb does, we have checked the effect of replacing the Nb impurity by Mo in the most relevant steps of the CO oxidation reaction.

In the first place, we have selected a few of the most stable conformations for NbPt<sub>17</sub>, relaxing those structures after substituting the Nb impurity by a Mo one. The results, shown in Figure S7 of the suplementary material, demostrate that the replacement of Nb by Mo does not result in a significant change of the energy ordering of the confirmations studied; again, the two most stable isomers for NbPt<sub>17</sub> have almost identical energies in the MoPt<sub>17</sub> case. For that reason, we have again selected the same isomer as in the NbPt<sub>17</sub> case to study the coadsorption and reaction of CO and O<sub>2</sub>.

Fig. 15 shows the binding energies of either CO or  $O_2$  to the MoPt<sub>17</sub> cluster, at their most stable binding sites. Again, changing the dopant does not result in large changes of the relative energetic ordering between different conformations; however, there is a small general increase (of the orden of 0.1-0.2 eV) of the binding energies (both in the



Fig. 13. Reaction energies and energy barriers for  $CO_2$  formation at a NbPt<sub>17</sub> cluster (first pathway). Insets show the relaxed structures of all the intermediate and transition states.



**Fig. 14.** Reaction energies and energy barriers for  $CO_2$  formation at a NbPt<sub>17</sub> cluster (second pathway). Insets show the relaxed structures of all the intermediate and transition states.



**Fig. 15.** Equilibrium structures and binding energies for adsorption of either CO (configurations a-e) or  $O_2$  (configurations f-j) at a  $MOPt_{17}$  cluster. Excepting structure (j), magnetic moments are always zero. The yellow sphere represents the Mo impurity. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

CO case and in the  $O_2$  case) for the MoPt<sub>17</sub> cluster. This means that doping with Mo results in a slightly larger cluster reactivity, as compared to the Nb case.

Then, we have performed aditional simulations of the coadsorption of CO and  $O_2$  and their mutual reaction at the  $Pt_{17}Mo$  cluster. To establish a more direct comparison with the  $Pt_{17}Nb$  case, we have again reproduced the same reaction pathway reported in Fig. 14, which involves coadsorption of CO and  $O_2$  at their most stable conformations, respectively. Overall, the results (shown in Fig. 16) are very similar to the ones obtained for  $Pt_{17}Nb$ . The main barriers (for  $O_2$  dissociation and for the O-CO bond formation) are just a bit larger than the ones found in the  $Pt_{17}Nb$  case. Such small increase is caused by the slightly stronger



Fig. 16. Reaction energies and energy barriers for  $CO_2$  formation at a  $MOPt_{17}$  cluster. Insets show the relaxed structures of all the intermediate and transition states.

binding energies of both O<sub>2</sub> and CO.

# 4. Conclusions

In this manuscript, we have studied in detail the CO oxidation reaction at a Pt<sub>18</sub> cluster and a Nb-doped NbPt<sub>17</sub> cluster. In the case of the pure Pt<sub>18</sub> cluster, we found that the cluster structure can strongly influence the adsorption of carbon monoxide. The triangular prism conformation of the Pt<sub>18</sub> ground state (much lower in energy than any other isomer) makes the cluster less reactive towards CO (by 0.3 eV, compared to a stacked square isomer). The high spin state of the triangular prism isomer, and also a small energy gap opening at the Fermi level, seem to be related to such smaller reactivity. Also, for both isomers, we found important variations of CO binding energy (up to 0.5 eV or more) at the different surface sites of the Pt<sub>18</sub> cluster. The markedly lower binding energy of CO at other surface sites will result in a lower tendency of the cluster to be poisoned by CO. In the case of NbPt<sub>17</sub>, the presence of the Nb atom at a central location produces a significant distortion of the triangular prism structure; also, the Nb atom transfer a sizable amount of charge to the surrounding Pt atoms. The combined result of these two effects is to raise the CO binding energy at the most stable site up to 2.05 eV, a value very similar to the one found for the Pt<sub>18</sub> stacked square isomer. Besides, for the NbPt<sub>17</sub> cluster, we also found a sizable variability of the CO binding energy around the cluster surface, with values as low as 1.2-1.3 eV.

Molecular O<sub>2</sub> is quite strongly adsorbed on either Pt<sub>18</sub> or NbPt<sub>17</sub>, with an adsorption energy at the most stable binding sites of the order of 1.5 eV. Interestingly, adsorption is slightly more stable at the pure Pt<sub>18</sub> cluster, meaning that the reduced reactivity of this cluster only affects CO adsorption. As it happened for CO, the O<sub>2</sub> binding energies vary a lot by placing the molecule on other Pt surface sites, and they can decrease up to values of around 0.6-0.7 eV.

Since the  $\mathrm{O}_2$  binding energy is only slightly smaller than the CO binding energy, it is reasonable to conclude that under standard reaction conditions both Pt18 and NbPt17 clusters will not be completely poisoned by CO, and co-adsorption of both CO and O<sub>2</sub> adsorbates will easily take place. In some cases, we have even found weak cooperative effects which favour coadsorption at neightbouring sites. After coadsorption, for both pure and doped clusters formation of CO<sub>2</sub> requires to overcome several moderate activation barriers, with the highest ones being of the order of 0.7-0.8 eV. In all cases studied, it is necessary to first dissociate the O<sub>2</sub> molecule, prior to the formation of the C-O bond in CO<sub>2</sub>. In this respect, our results differ from the ones obtained by Lian et al. [44] for very small mixed Pt<sub>3</sub>M clusters, where is was found that direct reaction between CO and intact O2 was preferred. Finally, for both Pt18 and NbPt<sub>17</sub> the final reaction step involves desorption of a CO<sub>2</sub> complex bonded to two Pt atoms, with the C atom showing  $sp^2$ -type bonding; this step proceeds easily in all cases, with small barriers of 0.25 eV or less.

Overall, the results show that in both pure and Nb-doped  $Pt_N$  clusters of medium size,  $CO_2$  can be readily produced at these catalysts. Initial binding of CO seems to be influenced by structural effects (we found that the very stable shape of pure  $Pt_{18}$  reduces CO adsorption energy), and doping by Nb has the main effect of causing very important deformations to the cluster. Also, it was found that the presence of the Nb dopant has the additional effect of completely removing the magnetization of the platinum cluster. Finally, the comparison of the results obtained for NbPt<sub>17</sub> and for MoPt<sub>17</sub> demonstrates that the presence of a Mo impurity instead of a Nb one causes similar modifications to the structure and reactivity of the pure Pt cluster. The only noticeable difference between the two dopants is a very small enhancement of the reactivity towards CO and  $O_2$  binding in the Mo case, with changes in binding energies of approximately 0.1-0.2 eV.

### CRediT authorship contribution statement

L.M. Molina: Conceptualization, Methodology, Software,

Validation, Formal analysis, Investigation, Data curation, Visualization, Writing – original draft, Writing – review & editing. C. Arranz-Simón: Conceptualization, Methodology, Software, Formal analysis, Investigation, Data curation, Visualization. J.A. Alonso: Conceptualization, Methodology, Formal analysis, Investigation, Writing - original draft, Writing - review & editing, Funding acquisition, Project administration, Supervision.

### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Supplementary material

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