

# Comparison of absolute neutrophil to CD4 lymphocyte values as a marker of immunosuppression in cancer patients on cytotoxic chemotherapy

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## Abstract

**Background:** The absolute neutrophil count (ANC) is currently used to assess immune status of patients on cytotoxic therapy. The CD4 lymphocytes have also been shown to be of importance in protection against opportunistic infections. In people of African descent a low baseline ANC has been recorded and the currently accepted neutropaenic threshold may not be appropriate.

**Objective:** This study was aimed at comparing the change in ANC to CD4 lymphocyte count in adult cancer patients following chemotherapy.

**Patients and methods:** Eighty chemotherapy-naive patients with various malignancies had their ANC and CD4 lymphocyte counts done at days 0 and 12 of the first cycle of various chemotherapeutic regimens. The paired sample t-test was done to assess the significance between these values. Socio-demographic data was obtained using questionnaires.

**Results:** ANC and CD4 pre-chemotherapy differed significantly from their post-chemotherapy values ( $p=0.001$  for both parameters). The CD4 count showed significant reduction in patients with Non-Hodgkin's lymphoma ( $p=0.043$ ), colorectal carcinoma ( $p=0.037$ ) and other malignancies ( $p=0.030$ ), while the ANC did not. Patients who had received COPP for Hodgkin's lymphoma also had significant CD4 depletion ( $p=0.037$ ).

**Conclusion:** The CD4 lymphocyte count may be a more suitable parameter than ANC, for monitoring immuno-depletion in cancer patients on cytotoxic chemotherapy. Further studies are required to validate these findings, especially in the Negroid population.

**Keywords:** cancer, chemotherapy, immunosuppression, absolute neutrophil count, CD4 lymphocytes

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## Introduction

Cytotoxic chemotherapeutic agents are widely known to cause immunosuppression, the extent of which is routinely ascertained by the absolute neutrophil count (ANC). This blood parameter has been proven to relate positively with the probability of developing infections.<sup>1</sup> However other blood parameters, like CD4 lymphocyte count has also been proven to positively correlate with the tendency to develop opportunistic and life threatening infections. Some studies in cancer patients on chemotherapy have shown that those that develop marked CD4 lymphopaenia ( $< 450$  cells/ $\mu$ L)

have increased mortality irrespective of their absolute neutrophil count.<sup>2,3</sup> Normal reference value for CD4+T lymphocyte count in the Caucasian population is 400 – 1500 cells/ $\mu$ L, while a mean absolute CD4 count of  $665.6 \pm 246.8$  and 95% confidence interval (C.I) 588.7 – 742.5 cells/ $\mu$ L were obtained in a Tanzanian study of which majority were blacks<sup>4</sup> However studies done in Nigeria reported a mean CD4+T lymphocyte count of 830 cells/ $\mu$ L, with a range of 514 – 1207 cells/ $\mu$ L, in healthy adult.<sup>5</sup>

Several studies have shown that individuals of African descent have lower total white cell and ANC compared to Caucasians.<sup>6</sup> The accepted neutropaenic threshold of  $1.5 \times 10^9/L$  is therefore obviously not appropriate for people of negroid descent whose lower reference limit is ANC  $1.3 \times 10^9/L$ .<sup>6,7</sup> Neutropenia remains the most common predisposing factor to infections in cancer patients.<sup>1,8,9</sup> The accepted definition of neutropenia is an absolute neutrophil count  $\leq 1.5 \times 10^9/L$ ,<sup>8</sup> though in our

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environment with observed lower absolute neutrophil counts, a value of  $1.2 \times 10^9/L$  may be considered, being the lower limit of our reference range. Therefore the use of a different or an additional parameter maybe required to actually discern those who are actually immune-suppressed in this group.

This study therefore aims to examine the changes that occur in absolute neutrophil and CD4 lymphocyte count in cancer patients due to disease and or due to chemotherapy. It will also try to compare the changes in these parameters for the different types of cancer as well as various chemotherapeutic regimens, and possibly detect the most immunotoxic combinations.

### Methods

The incidence of cancer patients in adults in University of Nigeria teaching hospital (UNTH) Enugu from January to December 2007, was calculated to be 0.052, using data obtained from the hospital cancer registry to obtain a sample size of 76 (approximated to 80). This was a prospective analysis of eighty adult cancer patients who were assessed in a cross-sectional manner. The patients had histologically diagnosed malignancies, and were treated with cytotoxic chemotherapeutic agents. They were recruited in a non-randomized consecutive sampling pattern. Patients, who had been exposed to any form of cytotoxic therapy or had been diagnosed with any infection, were excluded from the study. CD4 positive lymphocyte count and absolute neutrophil count was carried out on all respondents who gave informed consent, on days 0 and 12 of the first cycle. Day 12 was chosen because this was the known neutrophil nadir for most cytotoxic chemotherapeutic agents. The CD4 lymphocyte count was obtained using an automated Partec Cyflow® 2000 CD4 cell counter, while the absolute leucocyte count was obtained using an automated 5-part differential Mythic 22 haematology

analyzer. The socio-demographic data of the patients was assessed from a questionnaire. Ethical approval was obtained from the UNTH health research and ethics review board.

Data obtained in this study was analyzed using statistical package for social sciences (SPSS) 17.0; frequency distribution data was generated for the different variable responses and displayed as tables and figures. Kruskal-Wallis normality test was carried out prior to analysis and normal distribution was observed in patients age, ANC and CD4 counts. Inferential analysis was done using the Paired sample t -Test and significant p value was set at  $P < 0.05$ , with a 95% confidence interval.

### Hypothesis

This study hypothesizes that CD4 lymphocyte count is a more sensitive marker of immunosuppression due to cytotoxic chemotherapy, than ANC which is being routinely used.

### Results

The mean ANC pre-and post-chemotherapy were  $3.7 \pm 2.2 \times 10^9/L$  and  $2.5 \pm 1.6 \times 10^9/L$  ( $p=0.01$ ). The mean CD4 lymphocyte count obtained pre-and post-chemotherapy were  $594 \pm 357$  cells/ $\mu L$  and  $412 \pm 224$  cells/ $\mu L$  ( $p=0.01$ ). There were significant differences in both the ANC and CD4 lymphocyte counts after chemotherapy compared to the pre-chemotherapy values.

The mean pre-chemotherapy ANC for males was  $3.8 \pm 2.3 \times 10^9/L$  and  $3.7 \pm 2.1 \times 10^9/L$  for females, while the mean pre-chemotherapy CD4 lymphocyte count was  $534 \pm 326$  cells/ $\mu L$  for males and  $625 \pm 371$  cells/ $\mu L$  for females. The mean post-chemotherapy ANC for males was  $2.7 \pm 2.1 \times 10^9/L$  and  $2.4 \pm 1.3 \times 10^9/L$  for females, while their CD4 counts were  $364 \pm 175$  cells/ $\mu L$  for males and  $436 \pm 243$  cells/ $\mu L$  for females, post-chemotherapy.

**Table 1: Mean Values of ANC and CD4 Counts Recorded in Different Malignancies Pre- and Post- Chemotherapy**

Diagnosis	Freq (N)	Parameter	Day 0 $\pm$ STD	Day 12 $\pm$ STD	t- Test (p value)
1.Ca Breast	36	ANC ( $\times 10^9/L$ )	3.577 $\pm$ 2.340	2.326 $\pm$ 1.500	3.436( $p<0.01$ )*
		CD4 (cells/ $\mu L$ )	612.97 $\pm$ 329.17	428.06 $\pm$ 239.17	5.782( $p<0.001$ )*
2.NHL	8	ANC ( $\times 10^9/L$ )	3.650 $\pm$ 2.646	2.088 $\pm$ 0.640	2.045 (p =0.08)
		CD4 (cells / $\mu L$ )	642.00 $\pm$ 261.19	513.50 $\pm$ 272.51	2.460( $p=0.04$ )*
3.Hodgkin's Lymphoma	13	ANC ( $\times 10^9/L$ )	3.700 $\pm$ 2.357	2.729 $\pm$ 2.729	1.359 (p = 0.20)
		CD4 (cells/ $\mu L$ )	501.54 $\pm$ 441.90	351.46 $\pm$ 190.53	1.766 (p =0.10)
4.Multiple Myeloma	7	ANC ( $\times 10^9/L$ )	4.000 $\pm$ 1.780	2.114 $\pm$ 0.780	3.770( $p = 0.01$ )*
		CD4 (cells/ $\mu L$ )	566.00 $\pm$ 439.04	410.43 $\pm$ 177.75	0.993 (p = 0.36)
5.Colorectal Ca	6	ANC ( $\times 10^9/L$ )	3.817 $\pm$ 1.508	3.250 $\pm$ 1.060	1.619 (p=0.17)
		CD4 (cells/ $\mu L$ )	641.83 $\pm$ 325.17	374.50 $\pm$ 189.30	2.818 (p = 0.04)*
6. Ca Cervix	1	NOT APPLICABLE			
7. Other Cancer	9	ANC ( $\times 10^9/L$ )	3.778 $\pm$ 1.651	2.711 $\pm$ 1.657	2.131 (p = 0.07)
		CD4 (cells/ $\mu L$ )	524.89 $\pm$ 376.09	324.00 $\pm$ 180.11	2.635( $p= 0.030$ )*

\* Statistically Significant,  $p < 0.05$

STD = Standard Deviation; Freq = Frequency; ANC = absolute neutrophil count

CD4 = CD4 positive lymphocyte count

Ca = Cancer; NHL = Non -Hodgkin's Lymphoma

In all 36/80 (45%) cases of breast cancer were seen. The patients' ages ranged from 26-69 years with a mean age of 48 years, and this consisted of 35/36 (97%) fe-

males and 1/36 (3%) male patient. Most of the patients (58.3%) presented with advanced disease, while 15/36 (41.7%) of them presented with the early stage of the disease.

**Table 2: Observed Mean ANC and CD4 counts with different Cytotoxic Regimen**

Drug Regimen	Frequency (N)	Parameters (Mean value)	Day 0 ± STD	Day 12 ± STD	t-Test (p value)
1.(C&A)	30	ANC	3.757± 2.431	2.352±1.585	3.633(p <0.001)*
		CD4	621.70± 374.33	442.70± 255.26	5.083 (p <0.001)
2. C &A + Cisplatin	4	ANC	3.600 ± 0.990	2.100 ± 0.707	1.250 (p= 0.43)
		CD4	668.00 ± 178.19	271.50 ± 6.36	3.263 (p=0.19)
3. CHOP	6	ANC	2.840 ± 1.552	1.860± 279.65	1.850 (p = 0.14)
		CD4	728.20 ± 287.37	584.00± 279.65	1.850(p=0.14)
4. C & Epirubicin	3	ANC	2.700± 1.697	2.800± 0.424	-0.067 (p= 0.96)
		CD4	665.50± 169.00	280.50± 53.03	2.452(p = 0.96)
5. COPP	6	ANC	4250± 2.089	4.083± 3.647	0.144(p=0.89)
		CD4	378.00± 189.83	261.67± 133.98	2.828(p=0.04)*
6. C & A + 5-FU	3	ANC	3.880± 3.705	1.195± 1.563	0.721(p = 0.60)
		CD4	942.50± 300.52	623.00± 42.43	1.751(p=0.33)
7. ABVD	7	ANC	2.950 ± 2.765	1.547± 0.696	1.418(p=0.22)
		CD4	452.50± 443.85	352.17± 48.49	0.613(p = 0.567)
8. M&P	4	ANC	3.167± 1.914	1.633 ±0.513	1.403 (p = 0.3)
		CD4	536.00 ± 151.09	503.67± 176.47	0.462(p=0.69)
9. C,Mtx & 5-FU	5	ANC	2.550± 0.495	1.850 ± 0.919	2.333(p = 0.26)
		CD4	538.50± 293.45	467.50± 408.00	0.877(p=0.54)
10. M,P &T.	3	ANC	4.100. ± 2.263	2.100 ± 0.707	1.818 (p = 0.32)
		CD4	948.50± 755.90	452.50± 65.76	0.854(p = 0.55)
11. FCA	5	ANC	3.750 ± 1.863	2.900± 1.236	2.142 (p =0.12)
		CD4	605.75 ±361.84	304.50± 89.44	1.915(p= 0.15)
12. Others	4	ANC	4.288 ± 2.189	2.935 ± 1.269	2.973(p < 0.01)*
		CD4	527.82 ± 374.54	380.29 ± 224.36	3.048 (p = <0.01)*

\*Statistically Significant, (p < 0.05)

C = Cyclophosphamide; M = Melphalan; P = Prednisolone; Mtx = Methotrexate  
T = Thalidomide; FAC = 5 -FU, Adriamycin, Cisplatin; 5-FU = 5-Fluorouracil;  
C = Cyclophosphamide; O = Oncovin (Vincristine); P = Procarbazine; A = Adriamycin  
H = Hydroxodaunorubicin; P = Prednisolone; B = Bleomycin; V = Vinblastine; D = Dacarbazine

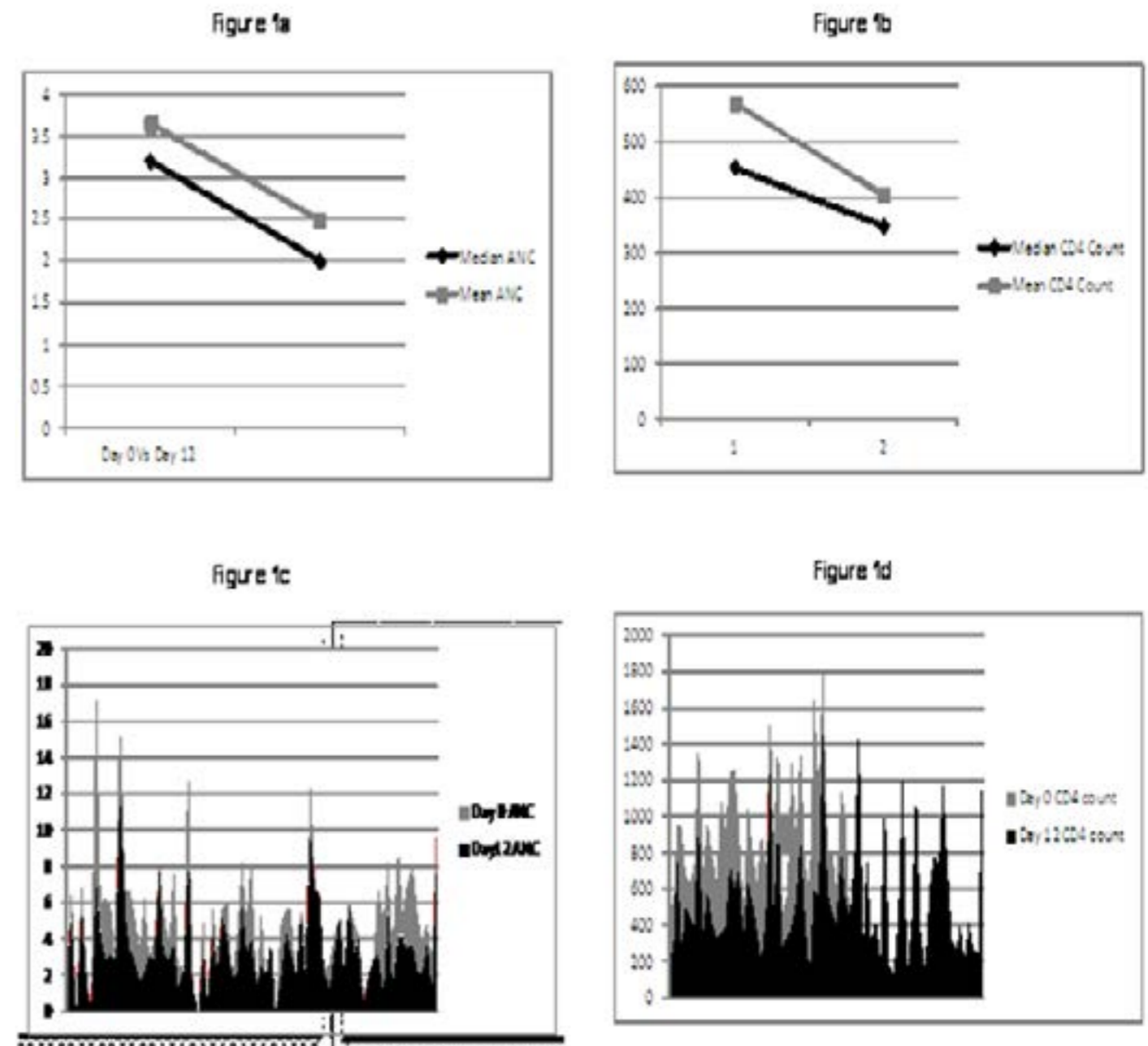
Eight (8/80) (10%) of the patients had non-Hodgkins lymphoma (NHL), 6/8 (75%) of these were males while 2/8 (25%) were females and their ages ranged from 18-67 and a mean age of 39.13 years. Six (75%) of the patients presented with the early stages of the disease (stage I & II), while 2/8 (25%) presented with the later stages of the disease.

Thirteen 13/80 (16.25%) of the cases had hodgkin's lymphoma, aged 18-65 years with a mean age of 38 years. Of these 9/13 (69.2%) presented with the early stages of the disease, while 4/13(30.8%) had the advanced stages of the disease. Seven (53.8%) of them were males while 6/13(46.2%) were females.

In all, 7/80 (8.75%) of the patients had multiple myelo-

ma, of whom 4/7(57.1%) were males and 3/7 (42.9%) were females. Their ages ranged from 31 – 75years, with a median age of 58 years. Majority of the patients, 6/7 (85.7%) presented with advanced disease (Durie & Salmon stage III or IIb), while only one patient had early disease. There was a total of 6/80 with colorectal ca (7.5% patients in all, 3/6 (50%) males and 3/6 (50%) females. Their ages ranged from 32-80 years, with a median age of 46 years, and 4/6 (66.7%) had early disease, while 2/6 (33.3%) had late disease. Only one case of ca was seen in a 48 year old woman, who had a pre-and post - chemotherapy ANC of  $7.8 \times 10^9/L$  and  $3.9 \times 10^9/L$  respectively, and CD4 count of 1258 cells/ $\mu L$  and 805 cells/ $\mu L$ , respectively. For this single outcome the test for statistical significance could not be applied.

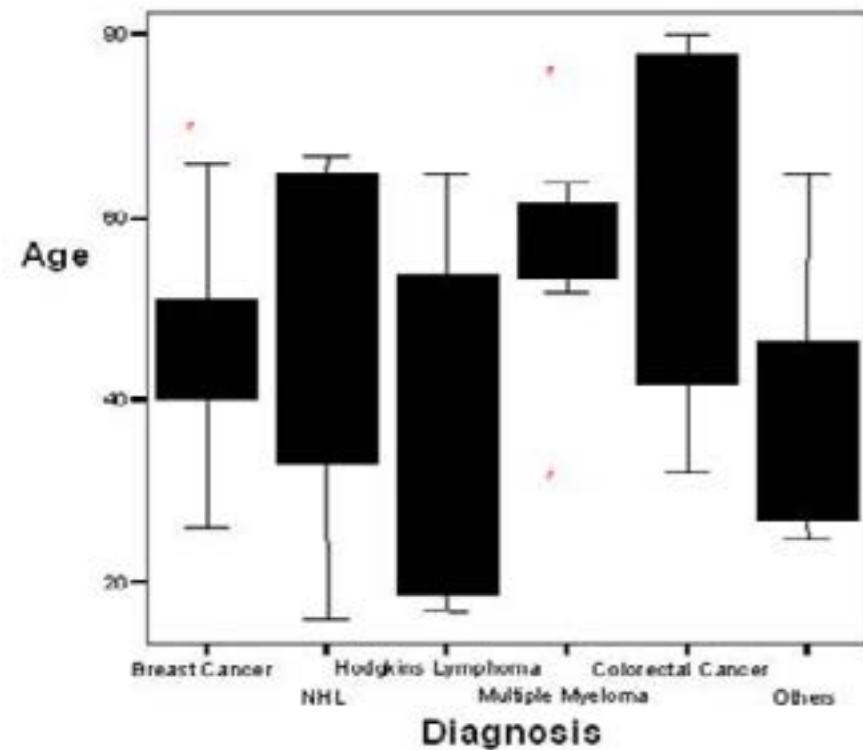
**Figure 1a-d: Changes in the ANC and CD4 counts noted in respondents**



The other malignancies recorded were ovarian carcinoma, seminomas, osteosarcoma, malignant fibrous histiocytoma, liposarcoma, squamous cell carcinoma and cancers of the larynx, stomach, urinary bladder, and rhabdomyosarcomas. These malignancies were less

frequently seen, each contributing less than 3% of all cases seen. This category consisted of 9/80 (11.25%) of the patients, their ages ranged from 25-72 years, with a median age of 44 years. Five 5/9 (55.6%) of the patients presented with early disease, while 4/9 (44.4%) had advanced cancers.

**Figure 2: Age and gender distribution of respondents**



## Discussion

In this study the patients were mainly adults between 18 and 80 years, with a median age incidence of 45 years. This supports the general knowledge that malignancies can occur in any age group. It is however noteworthy that the population used in this study is not representative of the overall cancer population in adults patients, since only patients whose malignancies were amenable to chemotherapy were recruited. However a comparison of the changes observed in absolute neutrophil count to that of the CD4 lymphocyte count can still be deduced from the result of this study. Majority of the affected patients were females (66.3%), this is similar to the data on sub-Saharan Africa from the World cancer 5 year prevalence study<sup>10</sup>, but data from the study done by Borg & Ray- Coquard et al had more of males (56%).<sup>2</sup> This was probably due to the proportion of breast can-

cer patients recruited, as this has been noted to be the most prevalent cancer in most studies in adults.

The most common malignancy treated with chemotherapy according to this study was breast cancer, this is known to be most common malignancy in women in Nigeria, while for the males the most common is carcinoma of the prostate. This is supported by the study done by Ojo et al, in Parkins data on the West African sub-region,<sup>11</sup> for international agency for research on cancer in collaboration with WHO and Adelusola KA in South West Nigeria.<sup>12</sup> Hodgkin's lymphoma was the second most prevalent malignancy in this study; however this is not supported by any previous cancer prevalence study, and is most likely attributable to the fact that not all cancer patients were recruited in this study, but only those who were booked for chemotherapy.

Also other more common malignancies noted in other cancer prevalence studies, like cancer of the cervix and prostate were not usually treated with chemotherapy in their early stages. Non-Hodgkin's lymphoma was the third most common malignancy in this study and this is supported by findings of other studies in adult populations in Nigeria.<sup>10</sup> About half of the patients in this study presented with early stages of their malignancies, this not supported by the finding of Borg's group in cancer patients in France,<sup>2</sup> where more than 65% of the patients presented with advanced disease.

The mean values of neutrophil and CD4 lymphocytes recorded before and after chemotherapy in this study was found to be  $3.7$  and  $2.5 \times 10^9/L$ ;  $594$  and  $412$  cells/ $\mu L$ , respectively which were all within the normal reference ranges for Nigerians except the day 12 CD4 lymphocyte count. The changes in these parameters from the pre-chemotherapy values were both statistically significant. This is similar to the findings of Khan et al in his study on solid tumours,<sup>13</sup> and may be an indicator that the CD4 count is a more appropriate index of immunosuppression within the first few days post-chemotherapy.

In breast cancer patients mean absolute neutrophil counts pre-and post-chemotherapy were both within the normal reference range for Nigerians. This is similar to the results obtained in studies by Silber et al who observed a first cycle of ANC nadir of  $2.1 - 9.2 \times 10^9/L$ ,<sup>14</sup> and another study done by Rivera et al who obtained values of  $2.3 - 9.9 \times 10^9/L$ .<sup>15</sup> Studies done in Negroes in Africa had revealed lower neutrophil counts and this has been shown to be also true for black population elsewhere.<sup>6,7,16</sup> Worthy of note also is the fact that Ezeilo deduced from his study that neutropenia in Africans was non-genetic, but this was contrary to observations by Hershman et al, of neutropenia in African American females.<sup>6,16</sup> However the change in absolute neutrophil count in these patients was found to be significant, as had been seen in previous studies. The pre-chemotherapy mean CD4 count was within normal adult reference values, but this dropped to a significantly lower value post-chemotherapy, as has been noted in other studies.

Lymphomas have been shown to have additional immunosuppressive effects in affected patients; this may be greater on the humoral than the cellular aspect of

patients' immune system which this study is focused on. The change in CD4 lymphocyte count in these patients was found to be significant ( $p=0.043$ ). Most of the patients in this group received cyclophosphamide, hydroxodaunorubicin, oncovin and prednisolone (CHOP) chemotherapy, which contained cyclophosphamide and daunorubicin. In the study done by Mackall et al significant depreciation of both the ANC and CD4 counts were observed, however the NHL patients in this study received higher doses of chemotherapy (cyclophosphamide  $1.6g/m^2$ , doxorubicin  $40mg/m^2$ , methotrexate  $6.72g/m^2$  and vincristine  $1.5mg/m^2$ ).<sup>3</sup> Though in these studies a low baseline CD4 count was recorded, which was not supported by our study. However we noted again that amongst patients with NHL the CD4 count was a better and more suitable marker of immunosuppression than the ANC, showing a significant change of  $p < 0.05$ . Individuals with Hodgkin's lymphoma in this study had normal baseline mean CD4 counts as previous studies had shown. This was more in the patient group who received cyclophosphamide, oncovin, procarbazine, prednisolone (COPP), and lesser with the patients who were given adriamycin, bleomycin, vinblastine and dacarbazine (ABVD). Previous reports show that ABVD is less toxic to the gonads, and thus cause less infertility than COPP, findings of this study however suggests that it may actually be less immunotoxic. This may be due to the 14 day treatment with oral procarbazine compared to the single parenteral dose of dacarbazine.

In patients diagnosed with multiple myeloma, only the change in ANC was significant and the day 12 ANC was lower than the Caucasian reference values, but still within the normal reference range in Nigerians. The mean pre-chemotherapy ANC and CD4 counts were within normal range, this is similar to the findings by Borg's group, who recorded a median CD4 counts of  $650$  cells/ $\mu L$  and ANC of  $5.1 \times 10^9/L$ .<sup>2</sup> However in this study melphalan-based combinations were used for majority of the patients, while in Borg study other dexamethasone-base combinations were preferred. Though similar outcomes were recorded in both studies, it must be noted that in Borg's study some of the patients had been exposed to previous chemotherapeutic treatment and the pre-chemotherapy values were assessed on day 5 of the cycle.

Only one patient with cervical cancer was recruited into this group, this low rate may be attributable to the preference for other modes of treatment, mostly radiotherapy and surgery. Use of cytotoxic chemotherapeutic agents is usually reserved for the very advanced cases; the outcome from this group was therefore too few to be analyzed.

The pre-chemotherapy mean CD4 count in patients with other malignancies was the second lowest, after Hodgkin's lymphoma, also the change in this parameter was found to be significant. The individuals in this group had a wide variety of malignancies viz; osteosarcoma, liposarcoma, squamous cell carcinoma, histiocytoma, seminoma, as well as cancer of the larynx, ovaries, stomach and urinary bladder. However the change in blood parameters within each patient, irrespective of the differences between individual patients (in terms of diagnosis of chemotherapy regimen used) is still a worthy indicator of the degree of immunosuppression in each group of patients. These patients also received a wider variety of drugs compared to other groups; though both parameters were depressed as expected the CD4 count proved to be more sensitive of the immunosuppressive effect of these drugs.

The CD4 lymphocyte was generally found to be significantly reduced in more patient groups than the ANC, and this may be an indication of the suitability of this parameter.

#### Effects of different chemotherapeutic agents on ANC and CD4 Count

The patients in this study were exposed to a wide range of cytotoxic drugs based on their various diagnoses. However some of these individuals with similar diagnosis in some instances received different chemotherapeutic regimen. The differences in regimen given were based on the stage of the disease or its aggressiveness, age of the patient, presence of other co-morbidities, or performance status, as well as the individual biases of the managing team. This has offered an, albeit less than ideal, opportunity to compare the extent of immunosuppression caused by these various combinations, and perhaps an opportunity to identify some "worse offending" drugs or combinations. However as previously noted the change in each of these immunological parameters within each individual still remains a valid means of comparison irrespective of the differences

in chemotherapeutic agents used. Single agent cancer chemotherapy is rarely practiced and ethical issues limit studies of this kind with respect to isolating the individual effect of these drugs.

The patients who received cyclophosphamide and adriamycin showed changes in both their mean ANC and CD4 counts, however only the ANC was significantly reduced. The dose of adriamycin in this regimen was 50mg/m<sup>2</sup>, as opposed to the dose in ABVD which is 25mg/m<sup>2</sup>, which seemed to cause less immuno-toxicity. This is similar to the findings of Borg et al where the doses of some of the drugs rather than the individual agents themselves were found to be the causative factor. Doses of Adriamycin in excess of 90mg/m<sup>2</sup> or cyclophosphamide  $\geq$  1g/m<sup>2</sup> per course have been implicated as a cause of immunosuppression.<sup>15</sup>

Other chemotherapeutic agents used were basically for less frequent malignancies, advanced or aggressive disease. These combinations are generally thought to be as toxic as they are potent and it is therefore not surprising that they significantly lower the ANC and CD4 counts as found in this study.

#### Conclusion

This study confirms that anti-cancer chemotherapy causes significant depletion of neutrophils as well as CD4 lymphocytes in adults with malignancies. However we observed that CD4 lymphocyte count are depleted more significantly, than ANC in patients diagnosed with several malignancies. Also COPP seems to cause more significant CD4 depletion than other combinations used to treat lymphoma. This may be of more importance in people of Negroid descent with a lower baseline ANC. It may be necessary to embark on a more elaborate and extensive study in order to determine the authenticity of these findings in larger patient group.

#### Conflict of interest:

The authors declare no conflict of interest.

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