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2	pithelial cells	

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23 Abstract

24 The human lung cell A549 is susceptible to infection with a number of respiratory 25 viruses. However, A549 cells are resistant to Severe Acute Respiratory Syndrome-26 Coronavirus-2 (SARS-CoV-2) infection in conventional submerged culture, and this would 27 appear to be due to low expression levels of the SARS-CoV-2 entry receptor: angiotensin-28 converting enzyme-2 (ACE2). Here, we examined SARS-CoV-2 susceptibility to A549 29 cells after adaptation to air-liquid interface (ALI) culture. A549 cells in ALI culture yielded 30 a layer of mucus on their apical surface, exhibited decreased expression levels of the 31 proliferation marker KI-67 and intriguingly became susceptible to SARS-CoV-2 infection. 32 We found that A549 cells increased the endogenous expression levels of ACE2 and 33 TMPRSS2 following adaptation to ALI culture conditions. Camostat, a TMPRSS2 34 inhibitor, reduced SARS-CoV-2 infection in ALI-cultured A549 cells. These findings 35 indicate that ALI culture switches the phenotype of A549 cells from resistance to 36 susceptibility to SARS-CoV-2 infection through upregulation of ACE2 and TMPRSS2. 37 **Keywords** 38

39 SARS-CoV-2; A549 cells; Air-liquid interface; ACE2; TMPRSS2; Viral entry

40 **1. Introduction**

41 Severe Acute Respiratory Syndrome-Coronavirus-2 (SARS-CoV-2) causes severe 42 respiratory diseases in humans. The virus spike (S) protein is a glycoprotein localized on 43 SARS-CoV-2 virion surface and triggers viral entry and membrane fusion in target cells 44 through the interaction with host factors: entry receptors and proteases [1]. To date, two 45 type I transmembrane proteins have been identified as entry receptors for SARS-CoV-2: 46 angiotensin-converting enzyme-2 (ACE2) and neuropilin-1 (NRP1) [2-4]. In particular, the 47 interaction between ACE2 and S protein has attracted attention, because emerging SARS-48 CoV-2 variants possess amino acid substitutions in the receptor binding domain of S 49 protein which can increase the binding affinity to human ACE2 [5]. In addition, the 50 exogenous expression of ACE2 confers SARS-CoV-2 susceptibility to cells which are 51 normally resistant to infection [6,7]; therefore, ACE2 is a major determinant of SARS-52 CoV-2 susceptibility. On the other hand, certain host proteases proteolytically activate (or 53 prime) S protein for membrane fusion. For example, TMPRSS2 is a host serine protease 54 which act as a priming enzyme of SARS-CoV-2 S protein. TMPRSS2 mediates direct 55 fusion of SARS-CoV-2 virion on the plasma membrane and facilitates SARS-CoV-2 entry 56 [2,8]. Collectively, cells expressing both ACE2 and TMPRSS2 are highly permissive to 57 SARS-CoV-2 entry. 58 Airway epithelial cells are positive for ACE2/TMPRSS2 and are primary targets of in 59 vivo infection of SARS-CoV-2 [9,10]. Primary human airway epithelial cells can be 60 cultured and used for basic research studies and antiviral development [11-13]. In 61 experiments, primary airway epithelial cells are differentiated and maintained under an air-

62	liquid interface under (ALI) culture condition, which allows cells to grow on microporous
63	membranes in culture inserts with air exposure and culture medium through the basolateral
64	side. It has been reported that 96% of expressed genes represent similar expression patterns
65	between brushed biopsy of nasal epithelial cells and those cultured under ALI conditions
66	[14]. In comparison with conventional submerged culture condition where cells are grown
67	on a plastic surface with overlayed culture medium, primary airway epithelial cells in ALI
68	culture recapitulate the transcriptional profile of <i>in vivo</i> airway epithelia [15]. The ALI
69	culture method is a more physiologically relevant way for infection of primary airway
70	epithelial cells and provides an appropriate ex vivo respiratory model.
71	A549 and Calu-3 cells are human lung-derived epithelial cells and widely used for
72	studies of virus infection. In contrast to Calu-3 cells, only limited entry and growth of
73	SARS-CoV-2 occurs in A549 cells [7]. The decreased susceptibility of A549 cells to
74	SARS-CoV-2 infection was attributed to the low expression level of ACE2 and the retinoic
75	acid-inducible gene-I (RIG-I)-mediated antiviral response [1,16]. These studies were
76	performed by inoculation of SARS-CoV-2 to cells under submerged culture conditions. In
77	contrast, these cell lines can be cultured and differentiated under ALI conditions. ALI-
78	cultured A549 cells are used for cell-based toxicity assays by inhalation exposure of
79	exhausts, nanoparticles and chemical compounds [17-19]. A549 cells exert different
80	expression profiles of genes involved in cellular processes such as those in the cell cycle,
81	DNA damage repair and transporters between in submerged and ALI cultures [20,21]. In
82	the present study, we compared the susceptibility of A549 cells to SARS-CoV-2 infection
83	under these culture conditions.

84	
85	2. Materials and Methods
86	2.1. Cells
87	Calu-3 cells (ATCC, Manassas, VA) were maintained in Eagle's Minimum Essential
88	Medium (MEM) supplemented with 10% fetal bovine serum (FBS). A549 (RIKEN BRC,
89	Tsukuba, Japan) and Vero-TMPRSS2 [22] cells were maintained in Dulbecco's Modified
90	Eagle's Medium (DMEM) supplemented with 10% FBS. All cells were incubated at 37°C
91	with 5% CO ₂ . Generation of human ACE2- and TMPRSS2-expressing cells were described
92	previously [22]. A549 cells stably expressing ACE2 and TMPRSS2 were selected in the
93	presence of puromycin (for ACE2) or blasticidin S (for TMPRSS2).
94	
95	2.2. Viruses
96	SARS-CoV-2 WK-521 strain (EPI_ISL_408667) were provided by Dr. Saijyo and Dr.
97	Shimojima (National Institute of Infectious Diseases, Tokyo, Japan). The original stock
98	was prepared by inoculation of Vero-TMPRSS2 cells and titrated by plaque assay as
99	previously described [22].
100	
101	2.3. Immunoblotting
102	Cells were lysed in lysis buffer (1% NP-40; 20 mM Tris-HCl, pH 7.5; 150 mM NaCl;
103	and 5 mM EDTA) supplemented with cOmplete ULTRA protease inhibitor cocktail (Roche
104	Diagnostics, Indianapolis, IN). Cell lysate was analyzed by SDS-PAGE and
105	immunoblotting using anti-ACE2 (4355T, Cell Signaling Technology, Danvers, MA) or

 $\mathbf{5}$

106	anti-TMPRSS2 (ab92323, Abcam, Cambridge, UK) antibodies. HRP-conjugated anti- β -
107	actin antibody (PM053-7, MBL, Tokyo, Japan) was used to detect the loading control.
108	Immune complexes were detected using HRP-conjugated anti-rabbit IgG secondary
109	antibody and the Immobilon Western Chemiluminescent HRP Substrate (Millipore; Merck,
110	Darmstadt, Germany).
111	

111

112 2.4. A549 cell culture in the air-liquid interface (ALI)

113 Millicell culture inserts for 24-well plate with a 0.4 µm pore size polyethylene terephthalate 114 (PET) membrane and 0.3 cm² culture area (Millipore; Merck) were coated with type I 115 collagen. A549 cells were seeded onto the insert at 100,000 cells and cultured in DMEM 116 supplemented with 10% FBS for 24 h. Culture medium in the apical and basal chambers 117 were then replaced with PneumaCult ALI medium (STEMCELL Technologies, Vancouver, 118 Canada). On day 3 after seeding, the cells were subjected to air-lift by removing medium 119 from both the apical and basal chambers but supplying fresh PneumaCult ALI medium only 120 in the basal chamber. Cells were maintained in ALI for at least an additional 21 days. The 121 culture medium in the basal chamber was changed every 3 days and the apical area was 122 washed with the culture medium every 7 days.

123

124 2.5. Cell staining

125 A549 cells in the culture insert were fixed with 10% phosphate-buffered formalin before

126 ALI culture or after ALI culture for 21 days. Clipped cells with PET membranes were

127 embedded in paraffin, sectioned at 4 µm-thickness and mounted on Platinum PRO micro

slide glass (Matsunami Glass, Osaka, Japan). Slides were stained with hematoxylin and
eosin (H&E) and periodic acid-schiff (PAS) staining (MUTO Pure Chemicals, Tokyo,
Japan).

131

132 2.6. Multi-cycle growth of SARS-CoV-2

133 For assays in submerged culture, cells in 24-well plates were inoculated with SARS-CoV-2 134 at a multiplicity of infection (MOI) of 1 (Fig. 1A), 10 (Fig. 1A-1C) or 0.1 (Fig. 1G and 1H) 135 for 1 h. Cells were then washed with PBS to remove unabsorbed viruses and cultured in 136 fresh medium with 2% FBS. The culture supernatants for virus titration were harvested at 137 the indicated time points. For assays in ALI culture, ALI-cultured A549 cells were infected 138 at the apical surface with SARS-CoV-2 at 50,000 plaque forming unit (pfu) in 100 μ l of 139 culture medium. After 1 h of incubation, the apical area of cells was washed twice with 140 PBS, and then cells were maintained under ALI culture conditions. At the indicated time 141 points, the mucus fluids containing progeny viruses in the apical area were suspended by 142 incubation with 200 µl of culture medium for 30 min. The harvested fluids were subjected 143 to virus titration.

144

145 2.7. Quantitative reverse-transcription PCR (qRT-PCR)

146 Total RNA was extracted from cells with TRIzol (Invitrogen; Themo Fisher Scientific,

147 Waltham, MA) and Direct-zol RNA MiniPrep kit (Zymo Research, Irvine, CA). The RNA

samples were analyzed by qRT-PCR with Thunderbird Probe One-step Probe qRT-PCR Kit

149 (Toyobo, Osaka, Japan). Probe and primers targeting SARS-CoV-2 nucleocapsid (N) gene

150 were used for viral RNA quantification and described previously as N2 set [23]. The

- 151 following Tagman Gene Expression Assays (Applied Biosystems; Thermo Fisher
- 152 Scientific) consisting of predesigned probe and primers were used for host gene expression
- 153 analysis: KI-67 (Hs01032443_m1), ACE2 (Hs01085333_m1), NRP1 (Hs00826128_m1),
- 154 Cathepsin L (Hs00964650_m1), TMPRSS2 (Hs00237175_m1) and β-actin
- 155 (Hs99999903 m1). Negative samples were included in the analysis with Ct values set at
- 156 the limit of detection value (Ct=50). RNA levels were normalized to β -actin and calculated
- 157 by the $\Delta\Delta$ Ct method.
- 158

159 2.8. Virus infection assay with the TMPRSS2 inhibitor, camostat mesylate.

160 ALI-cultured A549 cells were infected at the apical surface with SARS-CoV-2 at 250,000

161 pfu in the presence of 50 µM camostat mesylate (FUJIFILM Wako Pure chemical, Osaka,

- 162 Japan) for 2 h. The apical area of cells was washed three times with PBS and then cells
- 163 were maintained under ALI culture for 4 h. At 6 h post-infection (hpi), cells were mixed
- 164 with TRIzol reagent and subjected to RNA extraction and qRT-PCR assay as described above.
- 165
- 166

167 **3. Results**

168 3.1. The susceptibility of A549 cells to SARS-CoV-2 infection

- 169 A549 and Calu-3 cells were cultured in conventional submerged condition and
- 170 inoculated with SARS-CoV-2 at different MOIs. The infectious virus titers and virus RNA
- 171 levels were increased in Calu-3 cells infected with SARS-CoV-2 in a time-dependent

172 manner (Fig. 1A and 1B). In contrast, SARS-CoV-2 replication was barely detectable in 173 A549 cells even at a high MOI using virus titration and qRT-PCR assays (Fig. 1A and 1C). 174 It has been reported that exogenous expression of ACE2 renders A549 cells susceptible to 175 SARS-CoV-2 infection [24-26]. We also generated A549 cells with overexpression of 176 ACE2 and/or TMPRSS2 (A549-A2, -T2 and -A2T2 cells). The enhanced expression of the 177 transduced genes was validated by qRT-PCR and immunoblotting (Fig. 1D-1F). 178 Immunoblotting analysis revealed that the full-length of ACE2 protein was present in the 179 lysate of A549-A2 but not in that of A549-A2T2 (Fig. 1F), consistent with a previous 180 report that ACE2 is processed by TMPRSS2 but maintains its receptor activity for SARS-181 CoV [27]. SARS-CoV-2 replication was observed exclusively in ACE2-overexpressing 182 A549 cells (A549-A2 and A549-A2T2 cells) (Fig. 1G and 1H). The virus titers in A549-183 A2T2 cells were higher than those in A549-A2 at 24 and 48 hpi (Fig. 1G), indicating that 184 TMPRSS2 facilitates SARS-CoV-2 infection in the presence of ACE2 in A549 cells. 185 186 3.2. The susceptibility of A549 cells under ALI-culture to SARS-CoV-2 infection

Primary human nasal and bronchial epithelial cells can be differentiated under ALI
culture conditions. The differentiated epithelial cells are susceptible to SARS-CoV-2
infection and used as an *ex vivo* model to study SARS-CoV-2 infection in the human
airway [11-13]. We examined the impact of ALI culture on the susceptibility of A549 cells
to SARS-CoV-2 infection. A549 cells were seeded onto culture inserts and fed commercial
differentiation medium only in the basal chamber for ALI culture (Fig. 2A). A549 cells
formed a monolayer in the insert under submerged culture with maintenance medium of

194	DMEM containing 10% FBS (non-ALI), while the cells increased in number with a
195	multilayered structure in ALI culture (Fig. 2B). A549 cells in ALI culture yielded a layer of
196	mucus which was synthesized by the cells and detected by PAS staining (Fig. 2C). The
197	expression levels of the proliferation marker KI-67 was markedly decreased in A549 cells
198	in ALI culture (Fig. 2D) as previously reported [18]. SARS-CoV-2 was inoculated onto the
199	apical surface of A549 cells adapted to ALI culture (ALI day 21). A549 cells under
200	submerged culture (non-ALI) was used as a control. Viral replication and progeny viruses
201	were observed only in A549 cells under ALI conditions (Fig. 2E and 2F), indicating that
202	ALI culture confers susceptibility of SARS-CoV-2 to infection of A549 cells.
203	
204	3.3 Upregulated expression of ACE2 and TMPRSS2 in A549 cells in ALI-culture
205	ALI-culture reportedly upregulates the expression of ACE2 in primary human
206	tracheobronchial epithelial cells [28]. Therefore, we compared the expression levels of
207	ACE2 between A549 cells in submerged non-ALI and ALI cultures. After 21 days of ALI
208	culture, the ACE2 level was markedly elevated more than 200-fold above non-ALI culture
209	(Fig. 3A). In contrast, a consistent expression level of another SARS-CoV-2 entry receptor,
210	NRP1, was observed in A549 cells through the culture period (from day 0 to day 21, Fig.
211	3B). We also examined the expression levels of host proteases, cathepsin and TMPRSS2,
212	which are responsible for SARS-CoV-2 S protein priming in membrane fusion. The
213	increased expression of both proteases was observed in ALI-cultured A549 cells (Fig. 3C
214	and 3D). Notably, TMPRSS2 expression levels were more than three orders of magnitude
215	higher after 21 days of ALI culture (Fig. 3D). In order to investigate the impact of increased

expression of TMPRSS2 on SARS-CoV-2 entry, we examined the inhibitory effect of
camostat, a TMPRSS2 inhibitor, on SARS-CoV-2 entry into the cells. Camostat inhibited
viral entry into ALI-cultured A549 cells (Fig. 3E), indicating that TMPRSS2 facilitates
SARS-CoV-2 entry in ALI-cultured A549 cells.

220

221 4. Discussion

222 ALI culture conditions allow the differentiation of primary airway epithelial cells 223 under the similar condition as the physiological state. Human lung-derived cells A549 and 224 Calu-3 can also be differentiated under ALI culture and are used as models for 225 toxicological and pharmacological studies with exhausts, nanoparticles and chemical 226 compounds [17-19]. Here, we applied ALI-cultured A549 cells for experiments with 227 SARS-CoV-2. In contrast to A549 cells in submerged culture, A549 cells in ALI-culture 228 permitted SARS-CoV-2 infection and yielded sufficient level of progeny virus without 229 exogenous gene expression. These results indicated that ALI-culture switches the 230 phenotype of A549 cells from resistance to susceptibility to SARS-CoV-2 infection. 231 A549 is a human lung carcinoma-derived cell line and widely used in virological 232 studies. This cell line showed limited endogenous ACE2 expression level and was non-233 permissive to SARS-CoV-2 infection under submerged culture conditions (Fig. 1F-1H) [7]. 234 Our study and other studies demonstrated that exogenous expression of human ACE2 235 mediates SARS-CoV-2 entry and renders A549 cells permissive for SARS-CoV-2 infection 236 [24-26]. Upregulation of endogenous ACE2 level is the possible primary cause of the 237 susceptibility of ALI-cultured A549 cells to SARS-CoV-2 infection. ALI culture also

increased the expression level of TMPRSS2 in A549 cells, which activates the S protein

and facilitates cellular entry of SARS-CoV-2. Since camostat, a TMPRSS2 inhibitor,

240 reduced SARS-CoV-2 entry into ALI-cultured A549 cells, TMPRSS2 plays a role in

241 SARS-CoV-2 infection in the cells.

242 One limitation of our study is that we could not infer the mechanism of ACE2 and

243 TMPRSS2 upregulations under ALI culture conditions. In contrast to ACE2 and

244 TMPRSS2, the level of another SARS-CoV-2 receptor NRP1 was consistent between cells

in submerged- and ALI-culture. ACE2 expression is reportedly upregulated by ALI culture

in primary human tracheobronchial epithelial cells [28]. These findings indicate that ALI

culture increases the expression levels of specific host factors involved in SARS-CoV-2

248 infection and this event is not specific for the A549 cell line. Qiao et al. have currently

249 proposed that the androgen receptor enhances expression levels of both ACE2 and

250 TMPRSS2 in lung epithelial cells through the binding to their promoter regions [29].

251 Investigation of the relationship between androgen receptor and ACE2/TMPRSS2

expression event in A549 cells may provide insights into the mechanism of ACE2 and

253 TMPRSS2 upregulations in ALI culture.

254 In conclusion, this study demonstrated that ALI culture confers SARS-CoV-2

susceptibility to A549 cells. Unlike primary human airway cells, ALI-cultured A549 cells

are free from donor variation and established easily. ALI-cultured A549 cells thus could be

used as an alternative model to primary human airway cells for investigation of the inhaled

258 exposure of SARS-CoV-2 and the evaluation of antivirals against SARS-CoV-2.

259

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268

269 Declaration of competing interest

- 270 The authors K.U., S.T., T.S., and A.S. are employees of Shionogi & Co., Ltd. Other authors
- 271 declare no competing interests.

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361 Figure Legends

362 Fig. 1. Infectivity of SARS-CoV-2 in A549 cells under submerged culture condition

363 (A) Growth curves of SARS-CoV-2 in A549 and Calu-3 cells. Viral titers in the culture

- 364 supernatant were determined using a plaque assay. (B and C) SARS-CoV-2 RNA levels in
- 365 Calu-3 cells (B) and A549 cells (C) were quantified by qRT-PCR and were normalized to
- 366 the expression levels of β -actin. (D and E) Expression levels of exogenously transduced
- 367 ACE2 (D) and TMPRSS2 (E) were quantified by qRT-PCR and normalized to the
- **368** expression levels of β -actin. (F) Expression of exogenously transduced proteins was
- 369 detected by Western Blotting with antibodies specific to the proteins indicated on the left.
- 370 The full-length and cleaved forms of TMPRSS2 are indicated by close and open
- arrowheads, respectively. Asterisks indicate nonspecific bands that cross-reacted with anti-
- 372 TMPRSS2 antibody. (G) Growth curves of SARS-CoV-2 in A549 cells expressing ACE2
- and TMPRSS2. Viral titers in the culture supernatant were determined using a plaque
- assay. (H) The levels of SARS-CoV-2 N gene at 72 hpi were quantified by qRT-PCR and
- 375 normalized to the expression levels of β -actin. The values in the graphs are expressed as the
- 376 mean \pm SD of triplicate samples.
- 377

Fig. 2. Infectivity of SARS-CoV-2 in A549 cells under air-liquid interface culture condition

- 380 (A) Schematic representation of the ALI culture system. A549 cells were seeded onto a
- 381 culture insert with growth medium (non-ALI condition). The medium at the apical chamber
- 382 was then removed to start the ALI culture (ALI day 0). Cells were maintained for another

383	21 days by supplying ALI medium to the basal chamber (ALI day 21). (B and C) A549
384	cells in non-ALI and ALI day 21 were examined by hematoxylin and eosin (H&E) (B) and
385	periodic acid-schiff (PAS) staining (C). Mucus in cells displayed purple color after PAS
386	staining. Scale bars = 100 $\mu m.$ (D and E) Relative levels of KI-67 (D) and SARS-CoV-2 N
387	(E) were measured by qRT-PCR and normalized to the expression levels of β -actin. (F)
388	Growth curves of SARS-CoV-2 in A549 cells in non-ALI and ALI culture conditions. The
389	mucus fluids in the apical area were suspended in culture medium and subjected to viral
390	titration using a plaque assay. The values in the graphs are expressed as the mean \pm SD of
391	triplicate samples. * $p < 0.05$, *** $p < 0.001$, two-tailed Student's <i>t</i> -test.
392	
393	Fig. 3. Gene expression levels of host factors involved in SARS-CoV-2 entry
394	(A-D) Relative expression levels of ACE2 (A), NRP1 (B), cathepsin L (C) and TMPRSS2
395	(D) in A549 cells during the adaptation to ALI culture were measured by qRT-PCR. β -actin
396	mRNA levels were used as the reference control. (E) ALI-cultured A549 cells were
397	infected with SARS-CoV-2 in the presence of 50 μ M camostat. Viral RNA levels at 6 hpi
398	were measured by qRT-PCR and normalized to the expression levels of β -actin. The values
399	in the graphs are expressed as the mean \pm SD of triplicate samples. ** $p < 0.01$, two-tailed
400	Student's <i>t</i> -test.

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Figure 1
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Figure 2



Figure 3

