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Khitan Studies

1. The graphs of the khitan small script 3. The consonants, 3.3 The oral velar and uvular consonants*

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ABSTRACT

In the fifth part of this series of papers the authors investigate the way how the Khitan Small Script (KSS) rendered the oral velar and uvular consonants stops in initial position.

KEY WORDS

Khitan, Khitan Small Script, Khitan velar and uvular consonants.

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In this paper we continue our former investigations¹ on the Khitan Small Script (KSS). As a point of departure we accept the readings of Chinggeltei, Wu Yingzhe and Jiruhe as they are given in their newly published three volumes monograph (CWJ, 2017), but give the most important earlier readings and make remarks in cases where the readings of CWJ are or may be problematic. Our procedure is the same as in our earlier papers. First we give examples of the Khitan transcription in KSS of Chinese words (titles, personal names, geographical names). This is followed, if extant, by Chinese loanwords in Khitan, and finally some examples are introduced from the Khitan original lexical stock². A discussion of the nasals will follow in one of the next papers.

VELAR AND UVULAR CONSONANTS IN INITIAL POSITION

In volume 64 (2011) of *Acta Orientalia Hung.*, Yasunori Takeuchi published an important paper with the title 'Kitan Transcriptions of Chinese Velar Initials' (Takeuchi 2011), where he also summarized some of the earlier researches. Our approach is somewhat different and follows a system started in 2016 (see Róna-Tas 2016, 2017, Wu and Róna-Tas 2019, Wu and Róna-Tas 2020). Takeuchi only dealt with a restricted number of initials, we will treat all known ones and will try to place the oral velars and uvulars in the system of Khitan graphs and the sound system reflected by it. Our approach is also different from that of Shimunek (2017).

The system of Romanisation accepted by the Research Group in Höhhot includes the following Latin letters: $\langle g \rangle$, $\langle k \rangle$, $\langle q \rangle$ and $\langle x \rangle$, $\langle h \rangle$. We have, however, call the attention, to the fact that the Latin letters used in the process of Romanisation are not phonetic symbols. In the best case we have to use them as code letters. This is the reason why we put them into $\langle h \rangle$

We distinguish *glyphs*, *alloglyphs* and *heteroglyphs*. An *alloglyph* is a variant, very similar to the basic glyph, which represents the same value as the basic glyph. A *heteroglyph* is a different symbol which represents the same value as the basic glyph. For instance 'e' is represented by $\langle e \rangle \gg (348)$, $\langle e_2 \rangle \gg (109)$, $\langle e_3 \rangle \gg (264.1)$ all three are alloglyphs, while in case of 'en' the glyphs $\langle e \rangle \gg (140)$ and $\langle e \rangle \gg (100)$ and $\langle e \rangle \gg (100)$ are all heteroglyphs.

Let us first have a look to all glyphs or graphs³ beginning with velars or uvulars:

G:

Earlier the following glyphs were read with an initial <g>- but corrected by CWJ: <gi> 3 (146, CWJ: <math><es>), $<gi_2> 3 (336, CWJ: <math><ul_2>)$, $<go_2> 4 (304, CWJ: <math><ul_2>)$, <giu> 4 (160, CWJ) has no reading).

- ¹ See the papers cited in the References under Róna-Tas, as well as Wu and Róna-Tas.
- ² The authors are grateful for the various useful suggestions of Marc Miyake.
- ³ Earlier Róna-Tas used the term *graph*, which he changed to *glyph* to be in accordance with the usage of other colleagues.
- 4 C read <k>.
- ⁵ A read <ba>, K read <ge>.
- ⁶ A read <gwo>, C read <k>.
- ⁷ C read .
- 8 WJ <pú>, C <po>, K <pú>, A <gui>, Sh17 <pu>.



H:

The following changes were made: <ha> \pm (066 CWJ no reading), <har₄> \pm (287 CWJ: <xar>), <ho> \pm (076, CWJ: <or>), <hó> \pm (309, CWJ: <bod>). Earlier Kane read <hoid> Ξ (009) as a contraction of <hoi> and the plural <d> (Kane 2009: 36), <hor₂> \pm (328, CWJ: <kei>), <hor₃> \pm (035.2 former number (381), CWJ new number, no reading), <hor> \uparrow (046, CWJ no reading).

K:

<k> \$\mathbf{x}\$ (283), <kei> $\mathbf{\pm}$ (328)10, <ki> $\mathbf{\pm}$ (163)11, <ku> \hbar (178), \hbar <ku°> (178.1, earlier 419), <kú₂> \hbar (233), <kus> $\mathbf{\pm}$ (042)12. 7 glyphs, out of which one is an alloglyph. Interesting is \hbar (233), where the right side is the same as \hbar (178), and the left occurs in other glyphs.

Earlier **π** (039) was read by Kane as <kai>¹³, CWJ has no reading.

Q:

<qa> 本 (053), <qid> 关 (365), <qo> 为 (168), <qó> 欠 (169), <qu> 余 (246), $<q\acute{u}>$ 丸 (118), 6 glyphs.

Earlier the following glyphs were read with <q>, but were changed by CWJ: <qi>= (323 CWJ: <te>), <qú>= (117 CWJ: no reading>), <qur>= (014 CWJ: <hu₂>).

X:

<xa> \checkmark (130, former reading: <ha>), <xar> \checkmark (287, former reading: <har $_>$), <xe> \checkmark (268, former readings: <el, il, xi, hai>), <xo> 8 (057 former readings: <xuo, ho>), <xu> # (036, former reading: <hu>), <xua> \checkmark (143, former reading: <hua>), 6 glyphs.

The first interesting feature is the similarity of the glyphs <g> \hbar (334), <ku> \hbar (178), <ku> \hbar (178.1) and <ku $_3>$ ϵ h (233). Kane (2009: 56–57) wrote a small article on glyph \hbar (178), its identification and research history. The glyph is to be read /ku/ or /kü/ and has the meaning 'man, person' (Mo $k\ddot{u}m\ddot{u}n$, MMo $k\ddot{u}$ ' $\ddot{u}n$). The glyph \hbar (178) has a dotted variant, earlier numbered (427) in WJ, and later as (178.1) by CWJ. This is important, because in case of the dotted pairs it is sure that the dot, in most cases, denotes masculine gender; however, in cases where the dot is absent it may denote feminine or masculin gender or may be due only to negligence. In other words the absence of the dot is ambigous. The glyph \hbar (233) was earlier transcribed <kú>, later by CWJ <ku $_2>$. The glyph has on its right side the glyph \hbar (178), and on its left side the diacritic sign which we separated in the analysis of the labials. (Wu and Róna-Tas 2019: 49–50).

¹⁴ In the overwhelming majority of the cases it can be shown, that the dot denotes grammatical gender. In a few cases the dot may have other functions.



⁹ Kane gave <nen> as mnemonic.

¹⁰ WJ <hong>, K <on>, Sh17 <γoη>.

¹¹ C <x, k>, A <hiae, kiae>.

¹² A <man>.

¹³ No reading, K treated it as mnemonic.

The glyph \hbar (334) \leq g> seems to have the same basic sign with a small dash above, and denotes the voiced or unaspirated pair of \hbar (178).

First we have to analyze a selection of Chinese words transcribed with <g> by the Khitan small script:

<G> in word and syllable initial position

Table 1. Khitan <g> in transcriptions of Chinese

Romanisation	Glyph	Chinese	LMCh	EMCh	LMCh	Sources, occurrances, frequency
<g.m>¹⁶</g.m>	九叉 (334.133)	jin 金 'metal, gold'	kim	kim	kim	DiX19-13/15, +18x
<g.ün>17</g.ün>	九亦 (334.329)	jun 軍 'army'	kyn	kyn	kün	DiX19-13/15
<g.ün></g.ün>	九亦 (334.329)	jun 郡 'prefecture'	khyn	gun	gün	DiX10-14. The above and this together +137x
<g.ing>¹⁸</g.ing>	九同 (334.303)	jing 京 'capital'	kiajŋ	kiajŋ	king	Lang1-6, Dao 13-1, +18x
<g.u.on>19</g.u.on>	九表示 (334.131.154)	guan 管 'to be in charge of, manage'	kuan	kwan	kuon	Zhong33-3 only once
<go.n>²⁰</go.n>	₩ ☎ (319.251)	guan 觀 'to watch'	kuan	kwan	kon	Zhong8-13, + 12x
<g.ung<sub>2>²¹</g.ung<sub>	九太 (334.106)	gong 公 'prince'	kəwŋ	kəwŋ	küŋ	Zhong4-6, +18x
<g.iu.ung></g.iu.ung>	九丙次 (334.019.345)	gong 宮 'palace'	kiwŋ	kuwŋ	kiüŋ	Lang 2-9, +20x, K112,
<g.ui></g.ui>	九火 (334.262)	guo 國 'state'	kuðk	kwək	kuj	Lang5-12, +131x, K89,
<g.ui.i>²²</g.ui.i>	九火犬 (334.262.339)	gui 貴 'noble'	kyj	kuj	kuj	Gu21-1, Xiang32-8
<g.ung>²³</g.ung>	九水 (334.345)	gong 功 'merit'	kəwŋ	kəwŋ	küŋ	Ling5-15 +113x

²³ In: gongchen 功臣 'meritorious offical, a title'.



¹⁶ In: Jinsujun 金肅軍, transcribed as **九又 今丙 九**亦 (334.133 244.019 334.329) <g_m s.iu g.ün> '*Gimsiugüm, a placename'; Chin Jinsujun 金肅軍 a name of a settlement acc. to the Liaoshi' (DiX19-14, WJ 97), mentioned under the year 1050 (WF: 590).

¹⁷ In the same geographical name as above, also in titles for 'military' as in 'military governor' *tongjun shixiang* 統 軍使相.

¹⁸ On <g.ing> 'capital', see Róna-Tas 2019.

¹⁹ In: Guanzhong 管仲 in the personal name of Xiao Zhonggong 蕭仲恭, transcribed as 九ゑふ [Э太坎] (344.131.154 147.106 273) <g.u.on ju.ung, un>, genitive case CWJ 966.

²⁰ In: guanchashi 觀察使 transcribed as 黃 希 永 (319.261 375 180) < go.n.ca.šī>, also in: <tai ci.eu.un go.n ca.an cu.n>, Chin taizhou guancha yuan 泰州觀察院 'the supervising office of Taizhou Prefecture' (DiX20-1/5), WJ 97.

²¹ In: gongzhu 公主 'princess'.

²² In: *Guide Zhou* 貴徳州, 九失失 今万 秋ち (334.262.339 247.020 162.067) <g.ui.i t.ey ci.eu> 'a place name, the name of the prefecture'.

<g.ui.in>²⁴</g.ui.in>	九 火雨	Guiyin 瑰引	kuaj	kwəj	kuj	DiX13-8
	(334.262.018)		jin	jin	jin	
<g.ia.ém>²⁵</g.ia.ém>	九才圣	jian 監	kja:m	kaɨm/	kjam	Xiang10-3
	(344.335.270)	'supervise'		k€:m		

From the above we can conclude that Khitan <g> transcribed always contemporary Liao Chinese unaspirated strong velar stop, mostly /*k/, but in case of *jun* 郡 'prefecture', the Khitan <g> is reflecting either EMCh /g/ or LMCh /kĥ/.

Loanwords in Khitan with <g>:

gu 九ゑ (334.131) <g.u> 'jade' (K:2, 103, occurs 21 times in Index), *Liaoshi gu-wen* 古穩, cf. Ju *guwen*, *guwu*, Ma *gu* 'jade' (Kane 1989: 351), Mo *ogiu*, *giu*, *oyun*, *oyuu*, Da *guu* 'glass', see also Tib *g-yu* 'turkois', Chin *yu* 玉, MCh *ŋywk*, EMCh *ŋuawk*, Old Chin **ngjowk* (<**ng*(*r*)*jok*, Baxter 1992: 806). This word is not a loanword in the narrow sense, rather a cultural Wanderwort borrowed several times. The Khitan word itself is in any case very old.

ging 九司 (334.303) <g.ing> 'capital' ← Chin *jing* 京, LMCh, EMCh *kiajŋ*, the Khitan form reflects a LCh *king*;

Khitan words with <g>

gür 九夾 (334.097) <g.úr> 'state'(K3, 88, 118, DiX15-3, Xiang5-1, Index 53x), pl. güres 九夾全 (334.097.244, Index 11x) <g.úr.s>, genitive case is *güren* 九夾和 (334.097.140) <g.úr.én> Index 77x. [cf. MMo *gür* in: *gürkan* 'title of the Karakhitan Emperor' (SH), Mo *gür*(e) 'a crowd of people', Da *gurun* 'country, nation', Khitan \rightarrow Ma *gürün*.] Khitan *gür* is an old Mongolic word and not related to Chin *guo* 國, EMCh *kwək* as was supposed by Kane (K167) and WJ, who wrote: 'Ultimately it cannot be ruled out that there is a connection with Chinese 國 *guo* <*kw>k id.' (WJ74).'

genir えわえ (334.140.144) <g.en.ir> 'sad' (K114, DiX38-13, Xiang34-1, Index 56x) [cf. Mo gunig 'sadness' < *guni- 'to grieve', gunira- 'to be or become sad, grieved', Khal guni-, gunig. CWJ read (144) as <ir,>, and thus the word <g.en.ir> earlier <g.en.un>-.

genir cawun 九 和 及 本 条 杰 本 (334.140.144 162.197 070.273) <g.en.ir₂ c.ah w.un> 'eulogy, Chin aice 哀冊, 'mourning tablet', wen 文 'document'.

The word **genire** occurs 24 times in the Index. In 8 cases it ends in $\langle e_2 \rangle$ 券 (109) and in 16 cases in $\langle e_2 \rangle$ 券 (348). The two glyphs are alloglyphs and do not occur in the same inscription.



²⁴ In: Chin Guiyin 瑰弓 (a name (DiX13-8).

²⁵ In: Chin dujian 都監 'chief supervisor' (Xiang10-3).

genire todģa'ar 九 为 爻 芬 令 币 並 本 (334.140.144.348 247.016.051.123) < g.en.ir₂.e t.od.ha.ar> 'participated at the funeral' (Xiang43-4).

<K> in word and syllable initial position

Table 2. Khitan <k> in transcriptions of Chinese

Romanisation	Glyph	Chinese	LMCh	EMCh	LCh	Sources
<k.ai></k.ai>	収中	kai 開 'open,	khaj	khəj	khaj	Ling6-17 +9x (K17)
	(283.122)	begin' ²⁶				
<ki.ēn></ki.ēn>	业寿 (163.073)	xian 縣 'district,	xhjyan	үшеп	kyen	Lang2-6 +13x
		county'27				(K264, 7.057)
<ki.ēn></ki.ēn>	业寿 (163.073)	xuan 玄 'black,	xhjyan	γwεn	kyen	Nan5-20
		dark' ²⁸				
<ku.ung></ku.ung>	几水 (178. 345)	kong 孔 ²⁹	khowŋ	khɔwŋ	khūng	Yu15-51, Di1-6
<ku.ung></ku.ung>	几水 (178.345.)	kong 控 ³⁰	khəwŋ	khəwŋ	khung	Xiang52-38 K56
<k.ey></k.ey>	収页 (283.020)	kai 開	khaj	khəj	khaj	Nan1-34
		ʻopen, begin ^{'31}				

As we see the <k-> is rendering in most cases an aspirated strong velar stop /k'/, or an aspirated strong velar fricative, the aspiration of which may be voiced /xh/. From this we can learn that KSS <k> stood for a /k'/.

³¹ In the title *kaiguogong* 開國公 'dynasty founding duke' transcribed as お万 九火 九水 Nan1-34 (also K191, note16). The glyph 主 (328), earlier read as < hong., is now read by CWJ as < kei>.



²⁶ In the title *kaifu yitong sansi*, *Chin* 開府儀同三司 <k.ai pu ngi.i tu.ng s.am.si> 'commander unequalled in honour', (Ling6-17, Zhong21-40) see K17, a variant: Zhong5-16, Pu4-16: <k.ai fu ngi.i t.ung s.am.sï>. In Nan1 we find <k.ei> for *kai* 開 see below. According to K68-69 also in the title *kaiguobai* 開國伯 <k.ai g.ui b.ai>, but this title occurs only with ★ + <h.ai> in Gu2-22 and Zhen22-30 as <h.ai g.ui. b.ai₂, see below. See also in the title *qishui xian kaiguo nan* 漆水縣開國男 (K263, 7.037) <s.i š.oi ki.ēn k.ai g.ui na am> (Hong8-17), in Xian1-17 a part of this title occurs as: <[l.a.an l.ing] ki.ēn h.ai g.ui na.am>.

²⁷ In such expressions as <s.in c.ing <u>ki.ēn</u>> Chin *Xincheng xian* 新城縣 'District of Xincheng' or <ta.ang.en <u>ki.ēn</u>> 'District of Tang' (Lang2-5), <ú s.ing k.ien> 'the district of Wuqin' (Yu14-33/34, see also K264 No7.055), etc.

²⁸ In Xuanzu 玄祖 'name of an emperor' (Nan5-20, K193n, WF399).

²⁹ In the Chinese name *Kongning* 孔寧 (K123) ル ス 伏 <ku.ung.iń> (178.345.222) occurring in the following places: <xu.ur qid.un.i <u>ku.ung.iń</u> mo.u s.in.iń l.bul.uh> (Yu15-51), <ya deu.un s.in ong on go.er.en <u>ku.ung.iń</u> t.il.e tai. ba.en n.ra.de RECORD.ge.en>(Di1-6, also in Di2-7).

³⁰ In the name Kongguli 控骨里 transcribed as 凡本女 (178.345.097) <ku.un.úr> occurs in Xu52-38, further in Yu10-39,12-49, 17-8., 19-27, 20-14, Guang22-5, Gao16-28, Di20-26, Chao7-13, Wu10-38, Hu4-28 as a name or as a part of a name, see also K56. The word which occurs 12 times, in some cases seems to be a personal name. If so, see Mong qonggur 'fallow, chesnut (of a horse)' or qonggur 'dear, darling, openhearted', DaE kɔŋgɔ:r'fallow', see also Turkic qongur (WOT: 560-562). The word for colour and for 'kind, nice, darling etc.' are of the same origin, they are the result of a semantic split.

Khitan words with <k->

kem \$ 4 (283.257) < k.em> 'imperial edict, Chin chi 勅 (K94-95, Sh3 267) | Mo. kem 'measure, term', to keme- 'to speak', MMo ke'e-, Mo ge-'to say', Da no data, Index 67x;

kem tegü 玖 ֏ 숙 (283.257 247.165)<k.em te.gu> 'the edict says' (Xu32-9, K69, 95).

kiú em.n x 内 石 (283.289 021.251) 'younger sister of the mother (?), xiao yi mu 小姨母 (gen.)' (Gu12-19);

ku 凡 (178) <ku> 'man, person' (occurs 328 times in the corpus), genitive 凡スタ (178.372.273) <ku.u.un> (occurs 151 times in the corpus see also K56, WJ75) | Mo kümün > küwün > kün, Da huu, dial kuu 'person', DaE xu:. The Dahur data show that the Khitan word has been shortened to *kü. The glyph 凡 (178.1, former 427) <ku°> occurs only four times: Ren16-20, Chao3-27 in isolation, and in the word 凡点本 <ku°.ol.ha.ai> (Jue41-30) and 凡点与 <ku°.ol.en,> in Yu43-30. The function of the dot here is not clear.

In some cases one has the impression that 几 (178) <ku> has the function of an emphatic particle: Sui gui ong yadeu gor jieni daudu ku 全 失 九 失 点 表 力 包 药 和 关 八 分 几

(244.263 334.263 071 335 101 168 152.140.339 204 249 178)

<s.ui gu.i ong ia deu gor jieni dau.du ku>

[he had the title] Wang of the Sui State, and <u>he</u> was the middle one of the three brothers' (Xiang2-16/24).

em ku 丙 凡 (021.178) <em ku> 'wife'. Written separately, not in one box. E.g.:

又 丙 几 业关 九麥 分蚕伏 本化当 丙 几 炙牛 九麥 分蚕伏 <GREAT em ku p.i.g.e pu.ši.ń ci.ur.én em ku š.l g.e pu.iši> '[his] first wife was Lady Bige, his second wife was Lady Šelege' (Xiang12-31/36, 13-1-6). By the way, the two ladies were sisters, <au hiu>, elder and younger sister.



<H> in Word and syllable initial position

Table 3. Khitan <h> in the transcriptions of Chinese

Romanisation	Glyph	Chinese	LMCh	EMCh	LCh	Source
<hor></hor>	方 (046)32	helu 曷魯 ³³	xhat luŏ	yat lɔ	γot	Xiang5-31, 7-30, K40
<hor></hor>	內 (047)	helu 曷魯				Gu5-26, Zhen42-17, Nan8-41, 37-4, K40
<hong></hong>	主 (075)	huang 皇 ³⁴ 'emperor'	xhuaŋ	ywaŋ	үоŋ	Xing2-10, DiX3-23/27 and 131 x, K95
<heu></heu>	介 (250)	hou 后 ³⁵ 'empress'	xhəw	үәw	үәw	Ren4-5, 8-3, 14-4 and in 3 more places
<hu.un></hu.un>	列火 (151.273)	hun 混 ³⁶ 'mix'	xhun,	үwən	yun	Xu2-3
<h.ai></h.ai>	メキ (340.122)	kai 開 'open, begin' ³⁷	khaj	khəj	khaj	Gu2-22, Zhen22-30
<h.ung></h.ung>	 	kong 空 ³⁸	khəwŋ	khəwŋ	khung	Zhong5-38 K264, 7.042
<h.ui></h.ui>	大米 (340.263)	hui 徽 ³⁹	xyj,	хиј	хиј	Cha8-26, K264, 7.058
<h.iu></h.iu>	兴 两 (340.019)	xiu 休40	xiw	xuw	xju	Xiang16-33

As we see the glyphs beginning with <h> are used to render contemporary Chinese $/xh/ </\gamma/$ and $/k^h/$ and in special cases, before /i/ also /x/.

⁴⁰ In the name Xiu Ge 休哥 written as **太丙** 九 ***** (340.019 334.348) < h.iu g.e> (Xiang16-33), also glossed by CWJ with xiu 秀 (It should be 休, it is a printing mistake), but this only refers to the present pronunciation. Its earlier pronunciations were LCh siw, EMCh suw.



 $^{^{32}}$ For the glyph 1 (046) CWJ gives no reading. It has to have a pronunciation similar to its dotted variant \oplus (047).

³³ In: Heluben 曷魯本, a frequent name in Liaoshi, written in KSS as 有母伏 (047.311.222) <hor.b.n>, more precisely the Khitan name is transcribed by Chinese. It occurs also as <hor.u> Chin Helu 曷魯 'a personal name in the Liaoshi', see 丙亥 (047.131) Xiang5-31 (WJ149).

³⁴ In: huangdi 皇帝 'Emperor', Khitan m.ch (075.037) < hong.di>.

³⁵ In: huang taihou 皇太后 'empress dowager' (K96, WJ 72) 生なか <hong tai heu> (328.374.250), and in the title huanghou 皇后 'Empress', まか <hong.heu>.

³⁶ In the title shangfu huntong junwang 尚父混同郡王 transcribed as 又気や列火男水九ホ杰 (028.199.241. 151.273.202.345 334.329.071) <š.ang pu hu.un tu.ung g.ün ong> (Xu2-3).

³⁷ In: the title *kaifu yitong sansi*, *Chin* 開府儀同三司 <h.ai pu ngi.i tu.ng s.am.si>'commander unequalled in honour', see above with <k.ai>. According to K68-69 the title *kaiguobai* 開國伯 is spelled <k.ai g.ui b.ai>, but this title occurs only with <h.ai> in Gu2-22 and Zhen22-30 as <h.ai g.ui. b.ai₂ . See also in the title *qishui xian kaiguo nan* 漆水縣開國男 (K263, 7.037) <s.i š.oi ki.ēn <u>k.ai</u> g.ui na am> (Hong8-17), but in Xian1-17 a part of this title occurs as: <[l.a.an l.ing] ki.ēn <u>h.ai</u> g.ui na.am>. The part of titles with the meanig 'founding' occurs with <h> many times, also in the title *Qishuixian kaiguobo which is in Gu2-22* <*s.i š.ui ki.ēn <u>h.ai</u> g.ui b.ah>, where it is the equivalent of Chin kai* 開 and in many other cases, where <h.ai g.ui> has the meaning 'founder of the state' 開國 and similar.

³⁸ In shou sikong 守司空 transcribed as <[i.ri] š.eu si h.ung> in: Zhong5-38.

Chinese loanwords with <h>

hongdi 主 **王** (075.037) <hong.di> 'Emperor' (K 95, D3-23/27, Index 11 times) | ← Chin *huangdi* 皇帝, LMCh *xhuaŋ tiaj*, EMCh *ywaŋ*. The glyph **±** (075) was earlier mixed up with the glyph **±** (328), which is now read by CWJ as <kei>.

hongdi-in/en 主王雨/和 (075. 037.018/140) genitive (Index 13x, K95).

hongheu 主介 (075.250) <hong.heu> 'Empress' (K96) (← LChin *hongheu), Chin huanghou 皇后, LMCh xhuan, EMCh ywan, LMCh xhaw, EMCh yaw. See <hong tai heu> (Ren4-5, 8, Guang5-5, Jue23-51), <hong heu> (Ren 14-4).

Khitan words with h-:

hewür 介夾 (250.097) <heu.úr> 'spring' (Xiang29-23, Index 29x, K46, 119, 211) | Mo qabur, Da haure 'spring', DaE xaur. The word is a strongly palatalized pair of Mo qabur. The first <u> ending <xeu> has to represent a semivowel /u/.

hu'u 重 ♀ (014.245) <hu₂.ú> 'person in charge of, in control of' (K24, 89, 102, 192, DiX10-10, Xiang1-25, 35-28). Sh3,267 read <qu.ru>, Index <hu,.ú> 74x, Wu Index read (014) <hu>.

hurer 对化炎(151.236.341) <hu.ur.er> 'third (masculine)', Index 30x,

huren 列化与 (151.236.100) <hu.ur.én,> 'third (feminine)', Index 1x,

huren 对化与 (151.236.361) <hu.ur.en> 'third (feminine)', Index 8x.

hedün 太凡文 (340.179.144) <he.du.un> 'horizontal' | Mo köndelen, köndülen 'across, horizontal', cf. Da hedele- 'cross (river), ferry, ford', DaE xundul 'horizontal, rafter' (the -nd- cluster everywhere preserved, in SH once küdelen (SH 166, noted by Haenisch 1939: 105), but corrected by Ligeti 1971: 118, and in line 5117 by de Rachewiltz to köndelen, also köndelen in Altan Tobči (ed. Ligeti 1974: 123), to *kedü- 'cross', see Da, cf. kedür- 'to wear a garment over one's shoulder (i.e. across)', kedürge ogosur 'saddle strap (put across the saddle, later other ropes)'.

qahan hedün ger daudu ayen 本立天 X ルヌ 地谷 スタ キカ <qa.ha.an h.dú.ún go.er dau.dū ay.en>' of the khan's middle uncles of the horizontal tents' (Xiang1-1/5),

qahan hedün ger zoqu ay <qa.ha.an h.dú.ún go.er zo.qu ay> 'of the younger uncles of the horizontal tents of the khan' (K102)⁴².

The graph (188) \mathbb{M} is treated by CWJ as a logograph for SMALL, Kane (102) mentioned it as mnemonic for Chinese $zhou / \mathbb{M}$, it occurs in the word zoqu, see K58, Chinggeltei related the word to ba of baga, Aisin Gioro read *od and odji. The reading of (188) is uncertain. The adjective form with -qu/qo is frequent. Index has SMALL.qó 64 times, SMALL.qu 12 times, see also WJ 316. One expect a word for 'young' here, rather than 'small' perhaps a word which may be connected to Mongol jalagu 'young'. Anyhow, since we are not sure about the pronunciation of \mathbb{M} , it is difficult to give its precise meaning.



⁴¹ Earlier the glyph (259) was read as <hur>, but is now read by CWJ <hut>.

hese X **♦** (340.244) <h.se> 'region, area, side' (K113, DiX47-19, Xiang29-36, 31-7, Index 23x) | cf. Mo *keseg* 'part, division, department', Da *heshe* 'one part' < **kes* 'part, piece'. With the locative suffix -de:

关 X 全 友 <HEAVEN h.s.de> (Xuan24-25, Zhong48-20)

九化 六央支杏百 六全矢 <g.ri h.ui.ir.ge,.y h.s.de> (Zhen23-12)

<X> in word and syllable initial position

Table 4. Khitan X in Chinese transcriptions

Romanisation	Glyph	Chinese	LMCh	MCh	LCh	Source
<xa>/<xa.ia></xa.ia></xa>	ス (130)/ スオ (130.135)	xia ⊤⁴³	xhja:	γα i /γε:	үа	Xu13-25, Nan1-25, 23-22, Song10-12, K264, 7.066
<xa.ia></xa.ia>	ネォ (130.135)	Xia 夏 ⁴⁴	γhja:	γα i /γε:	γia	Dao24-20, Yu18-66, Zhen26-25, K50
<xua></xua>	え (143)	hua 華 ⁴⁵	xhwa:	γwaɨ/ γwε:,	уwа	Gu7-17,K119
<xu></xu>	玌 (036)	hu 虎 ⁴⁶	хиð	хэ	хи	Xiang16-33

At present we have not enough material to make any definitive statement. It looks like that Khitan <x> is rendering a Chinese fricative.

Khitan words with initial x-

xomu 奏行 (057.220) <xo.mú> 'coffin of an emperor' (22 times in Index, K87,), <GREAT xo.mú> 'the great catalpa coffin' (Xiang33-6, K205)| see Róna-Tas (forthcoming)⁴⁷.

xomuen 奏行为 (057.220-140) <xo.mu.en> genitive case,

xomuer 奏行荟 (057.220.341) <xo.mu.er> accusative case,

xuur 兆 ៧ (036.177) <xu.ur> 'the Khitan name of the Liao river'. On the details see Róna-Tas (forthcoming).

- ⁴⁴ In: Xi Xia 西夏 'the name of the Tangut state', transcribed as <Xa.ia g.ur> ネォ 九夾 (130.335 334.097) (Dao24-20, Yu18-66, also K50) where <g.ur> is 'state'. In Zhen 26-25 the expression ネオ な行子 立 キ <xa.ia n.mú.l₂.ha.ai> has to be an adverbial verbal phrase, but its meaning is unknown.
- ⁴⁵ In: Huayan Nu 華嚴奴 'a name' (K119) transcribed as **ヌ 女な 公え** (143 264.270 251.131) <xua ng.ēm n.u > (Gu7-17). CWJ gives as gloss hua 华, Nu is a clan name.
- ⁴⁶ In the expression long <u>hu</u> 龍虎, which is an abbreviation of longhu weishang jiangjun 龍虎衛上將軍 'supreme general of the dragon and tiger guards' (see K99, WJ174), transcribed as <l.iúng xu> 中用北 (261.181 036) (Xiang16-33), [former in WJ173 read as <l.iúng hu>].
- ⁴⁷ The stem is *xomu* and *xomur* is the accusative case, so the former comparison with Mong *qayirčag* has to be abandoned.



<Q> in word and syllable initial position

Table 5. Khitan Q in transcriptions of Chinese⁴⁸

Romanisation	Glyph	Chinese	LMCh	EMCh	LCh	Source
<qa.an></qa.an>	本 釆 (053.011)	han 韓 ⁴⁹	xhan	yan	kan	Xiang15-33
<qó></qó>	欠 (169)	gu ±50	kuð	kэ	ko	Xu17-3, Yu51-50, 56-15
<qó></qó>	欠 (169)	gu 姑 ⁵¹	kuð	kэ	ko	see note 49
<qu></qu>	余 (246)	gu 古	kuð	kэ	ku	Guang35-14, Song11-11
<qid></qid>	又 (365)	qi 契 ⁵²	khit	khit	kit	see note 51

Table 6. Q in Khitan words transcribed by Chinese

Romanisation	Glyph	Chinese	LMCh	EMCh	LCh	Source
<0p>	カ (168)	he 褐 ⁵³	xhat	γat	ka	Index 17x
<qatun></qatun>	슜 (333)	ke 克 ⁵⁴	khəŏk	khək		Index 13x
<qa></qa>	本 (053)36	ke □	kha	kha		Index 46x
<qudug></qudug>	条 (277)	gu 胡55	xhuð	үэ		

⁵⁶ In: hudugu 胡覩古, the Chinese transcription of Khitan qudug according to Kane (K68). The Chinese transcription according to Wu is hu dou/du gu 胡都 or 睹古.



⁴⁸ As Marc Miyake correctly remarked, the second, third and fifth examples occur in Khitan names transcribed into Chinese, but just such examples show the system of the transcription.

⁵² In the ethnonym Qidan. Since the name is of non-Chinese (most probably of Turkic) origin, here the Chinese transcription may not be contemporary with the other transcriptions dealt with.

⁵³ In niehe 捏褐 the Chinese transcription of Khitan 伏力 (222.168) <ńia.qo> 'dog' in the Liaoshi (Index 17 times, K2, 19, 93).

⁵⁴ In *kedun* 可敦 the transcription of Khitan *qatun* in the *Liaoshi*. The title is older than the Khitan texts, and so is the Chinese transcription.

⁵⁵ In the various forms of the title *qagan* written as **本** (053) <qa>, as **本 来** (053.011) <qa.an>, and as **本 未 来** (053.051.011) <qa.ha.an>. The title is usually transcribed in the Chinese sources as *kehan* 可汗. The title is much older than the Khitan texts and occurs in the Chinese sources since the 4th century.

Khitan words with q-

qa, qa'an, qahan, qatun see note 49.

qabu 本生 (053.196) <qa.bu> 'ancestor?' (Shimunek 2017: 267, in Xuan6-31). Index reads <qa-abu> and it occurs 3 times in: Xu31-14, Yu26-53 and Song23-15. The expression in Xuan6-31 is 本生 (053.196.356) <qa.abu.te>, and occurs also in Nan29-42, Song7-23 Qing4-13 and 7-60. The meanings of *qabu* and *qabute* are uncertain.

qahas 本 本 冬 (053.051.174) <qa.ha.as> 'name of the heavenly branch (Index 9x), equated with Chin *yin* 寅, 'tiger' in the expression 'blue tiger year', Chin *jiayin* 甲寅, where *jia* 甲 corresponds to Mo *köke* 'blue'⁵⁷.

The word occurs in the following places:

siauqu qahas ai 全步失 巫亚条 + <s.iau.qú qa.ha.as ai> 'blue tiger year' (Lang5-2);

White qahas ai 永 本文 本 < WHITE qa.ha.as ai > 'white tiger year' (Zhen38-14);

liauqu qahas ai 平考夫 本 生 <l.iau.qú qa.ha.as ai> 'red tiger year' (Nan13-39).

モ 山 本立冬 中 宅 圣 艾 モ 山 本立冬 尹 <FOUR GOLD qa.ha.as ai TEN TWO MONTH FOUR GOLD qa.ha.as DAY> 'fourth yellow tiger year twelfth month, fourth yellow tiger day' (Nu3-14)

承 本立冬 生平久 至 艾 中考文 今 カ <WHITE qa.ha.as p.ul.uh EIGHT MONTH l.iau.qú t.qo.a or.l.bir > 'white tiger intercalary eight month, red chicken first day of the lunar month' (Tai4-8)

承 本 支 後 欠 子 公 □□ □□ < har qa.ha.as ńa.qó.ju tai pu.un XX.XX> 'the black tiger dog...' (Pu24-25).

There are two not clear cases in Jue44-24 and Pu9-36.

Before we summarize our results, some remarks on the glyphs with <q-> are in order.

The glyph <qa> \triangle (053) occurs in the various forms of the Khitan name for qagan: <qa> (46x), plural: <qa.as> only in genitive case <qa.as.en> 3x, <qa.an> (26x), <qa.ha.an> (1x), locative-directive <qa.ha.de>; once, its genitive case: <qa.ha.on> once, the plurals <qa.ha.ad> 7x, <qa. ha.as> 9x, its accusative <qa.ha.ad.er> 4x.

The glyph <qid> $\cancel{\xi}$ (365) is used in the name Khitan: <qid> 1x, plural <qid.s> 6x, genitive case <qid.i> 24x. The form <qid.i $r_2>$ is a collective form with the suffix $+r^{58}$, it occurs 6 times, its genitive case <qid.i r_2 ,i> occurs 15 times and the accusative case <qid.i r_3 ,e> occurs once.

The glyph <qo> \not (168) occurs only 9 times in the corpus as initial of a word, but in final position it is very frequent. The glyph <qó> \not (169) occurs only 3 times as independent item: Xing24-17, Yu35-71 and Wu51-8. Out of the three in the last two they are only orthographically independent, in fact part of other words or names.

The glyph <qú> \cancel{x} (118) occurs 15 times as initial, but is also very frequent as suffix. The four glyphs: <qo> \cancel{x} (168), <qó> \cancel{x} (169), <qu> \cancel{x} (246) and the above mentioned <qú> \cancel{x} (118)

⁵⁸ The same suffix may be in *nahaner* <na.ha.án.er> 'uncles', but there are serious problems with the reading of <ir_x> ₹, Róna-Tas thinks now that it has to be rather read <un>.



⁵⁷ According to Kane (K41, 122) *qagan as 'king of the animals'. The word for 'tiger' is Mo bars (← OT bars ← Persian). In this place the year of tiger may be perhaps misplaced and used for the year of pig? In the Secret History of the Mongols we have gakai jil'the year of the pig. Khitan 'pig' corresponding to the Chin cyclical period hai 亥 is in Khitan "ii 失 <ui>'yig'. See Mo gaqai, pl. gaqas, all QG languages have q-, also Mgr, Dgx have q- and none of them have a final nasal (see Nugteren 2011: 336). Thus a connection of Khitan qahas with Mo gaqai has to be excluded. Also Mo qaġas 'half' has for the time being to be excluded because of the semantics.

form adjectives. They form ordinals (see Kane 2009: 143), occur with color names to form adjectives, e.g. <l.iau.qú> 'red' etc.

Summarizing our results we can state that there exists an asymmetry in the rendering of the velars and uvulars. We find $\langle g-:k-,h-:x-$ and q->. The opposition $\langle g>:\langle k>$ is clear; we have the same case as with the bilabials and dentals. $\langle g>$ is frequent and renders an unaspirated stop while $\langle k>$ is less frequent and used for an aspirated stop. Both $\langle h/$ and $\langle x/$ are most probably uvular fricatives and $\langle h>$ may have been voiced or unvoiced lenis $\langle g/$, while $\langle x>$ unvoiced fortis fricative $\langle x/$. While $\langle x/$ may have been used in originally front vocalic words and $\langle y/$ in back vocalic ones, this distinction seems to have been blurred.

Though our results are not very far from those of Takeuchi (2011), we claim that the basic difference in the opposition of the Khitan oral velar stops is the presence and absence of the post-consonantal aspiration. Of secondary importance may have been that the unaspirated unit was produced as a lenis or lax stop, while the aspirated as a fortis stop. In the case of the fricatives this second feature became relevant.

ABBREVIATIONS

A = Aisin Gioro 2012

Bur = Buriat

C = Chinggeltei 2002

Chin = Chinese

CWJ = Chinggeltei, Wu Yingzhe and Jiruhe 2017

Da = Dahur, according to Martin 1961

DaE = Dahur, according to Enkhbat 1984

Dgx = Dongxiang, according to H. Nugteren 2011

EMCh = Early Middle Chinese according to Pulleyblank 1991

Index = The Index of CWJ

K = Kane 2009

Kalm = Kalmuck

KSS = Khitan Small Script

LCh = Liao Chinese

LMCh = Late Middle Chinese according to Pulleyblank 1991

Ma = Manchu

Mgr = Monguor according to Smedt—Mostaert (933

MMo = Middle Mongolian

Mo = Mongolian

Ord = Ordos

QG = Qinghai-Gansu languages, see H. Nugteren 2011

Sh17 = Shimunek 2017

SH = de Rachewiltz 2004

Tib = Tibetan

WJ = Wu Yingzhe - Juha Janhunen (2010)

WF = Wittfogel - Chêng (1949)



WOT = Róna-Tas and Berta (2011) **WJ** = Wu – Janhunen (2010)

For the abbreviations of the sigla of the inscriptions written in KSS see Apatóczky and Róna-Tas 2019: 266–268.

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