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Hamidreza Omidvar

Ting Sun

Sue Grimmond

Dave Bilesbach

Andrew Black

*See next page for additional authors*

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**Authors**

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# Surface Urban Energy and Water Balance Scheme (v2020a) in vegetated areas: parameter derivation and performance evaluation using FLUXNET2015 dataset

Hamidreza Omidvar<sup>1</sup>, Ting Sun<sup>1</sup>, Sue Grimmond<sup>1</sup>, Dave Bilesbach<sup>2</sup>, Andrew Black<sup>3</sup>, Jiquan Chen<sup>4</sup>, Zexia Duan<sup>5</sup>, Zhiqiu Gao<sup>5,6</sup>, Hiroki Iwata<sup>7</sup>, and Joseph P. McFadden<sup>8</sup>

<sup>1</sup>Department of Meteorology, University of Reading, Reading, RG6 6ET, UK

<sup>2</sup>Biological Systems Engineering Department, University of Nebraska, Lincoln, NE, 68588, USA

<sup>3</sup>Faculty of Land and Food System, University of British Columbia, Vancouver, BC, V6T 1Z4, CA

<sup>4</sup>Center for Global Change and Earth Observation, Department of Geography, Michigan State University, East Lansing, MI, 48824, USA

<sup>5</sup>Collaborative Innovation Centre on Forecast and Evaluation of Meteorological Disasters, School of Atmospheric Physics, Nanjing University of Information Science and Technology, Nanjing, 210044, China

<sup>6</sup>State Key Laboratory of Atmospheric Boundary Layer Physics and Atmospheric Chemistry, Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing, 100029, China

<sup>7</sup>Department of Environmental Science, Faculty of Science, Shinshu University, Nagano 390-8621, Japan

<sup>8</sup>Department of Geography, University of California, Santa Barbara, CA, 93106, USA

**Correspondence:** Ting Sun (ting.sun@reading.ac.uk) and Sue Grimmond (c.s.grimmond@reading.ac.uk)

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**Abstract.** To compare the impact of surface–atmosphere exchanges from rural and urban areas, fully vegetated areas (e.g. deciduous trees, evergreen trees and grass) commonly found adjacent to cities need to be modelled. Here we provide a general workflow to derive parameters for SUEWS (Surface Urban Energy and Water Balance Scheme), including those associated with vegetation phenology (via leaf area index, LAI), heat storage and surface conductance. As expected, attribution analysis of bias in SUEWS-modelled  $Q_E$  finds that surface conductance ( $g_s$ ) plays the dominant role; hence there is a need for more estimates of surface conductance parameters. The workflow is applied at 38 FLUXNET sites. The derived parameters vary between sites with the same plant functional type (PFT), demonstrating the challenge of using a single set of parameters for a PFT. SUEWS skill at simulating monthly and hourly latent heat flux ( $Q_E$ ) is examined using the site-specific derived parameters, with the default NOAH surface conductance parameters (Chen et al., 1996). Overall evaluation for 2 years has similar metrics for both configurations: median hit rate between 0.6 and 0.7, me-

dian mean absolute error less than  $25 \text{ W m}^{-2}$ , and median mean bias error  $\sim 5 \text{ W m}^{-2}$ . Performance differences are more evident at monthly and hourly scales, with larger mean bias error (monthly:  $\sim 40 \text{ W m}^{-2}$ ; hourly  $\sim 30 \text{ W m}^{-2}$ ) results using the NOAH-surface conductance parameters, suggesting that they should be used with caution. Assessment of sites with contrasting  $Q_E$  performance demonstrates how critical capturing the LAI dynamics is to the SUEWS prediction skills of  $g_s$  and  $Q_E$ . Generally  $g_s$  is poorest in cooler periods (more pronounced at night, when underestimated by  $\sim 3 \text{ mm s}^{-1}$ ). Given the global LAI data availability and the workflow provided in this study, any site to be simulated should benefit.

## 1 Introduction

The Surface Urban Energy and Water Balance Scheme (SUEWS, Grimmond et al., 1986, 1991; Grimmond and Oke, 1991; Järvi et al., 2011) is widely used to simulate urban sur-

face energy and hydrological fluxes, with heat and water released by anthropogenic activities accounted for (Grimmond et al., 1986; Grimmond, 1992). SUEWS characterises the heterogeneity of urban surfaces allowing an integrated mix of seven land covers within a grid cell (neighbourhood scale:  $O(0.1\text{--}10\text{ km})$ ) of impervious (buildings, paved) and pervious (evergreen trees/shrubs, deciduous trees/shrubs, grass, soil, water) types. Although SUEWS has been evaluated in cities around the globe (e.g. Karsisto et al., 2016; Ward et al., 2016; Ao et al., 2018; Kokkonen et al., 2018; Harshan et al., 2018) with varying mixes of integrated impervious–pervious land covers, its performance has not been comprehensively examined in fully vegetated areas that commonly occur adjacent to cities.

One common and demanding application of urban climate models, including SUEWS, is to examine the very well-known canopy-layer urban heat island effects – parts of cities are often warmer than their surroundings at night – and to understand the causes (Oke, 1973, 1982). This requires both the “rural” context – usually characterised by pervious land cover – and the urban area of focus to be simulated appropriately ideally using the same modelling framework. As SUEWS v2020a (Tang et al., 2021) can diagnose near-surface meteorology in the roughness sub-layer and canopy layer (e.g. air temperature and humidity at 2 m a.g.l. (above ground level), wind speed at 10 m a.g.l.), it is essential to ensure that any urban–rural comparison in these diagnostics has the proper rural skill and parameters (i.e. coefficient values used in parameterisations).

For meso-scale numerical weather prediction (NWP) of an urban region, both rural and urban areas need to be simulated. With plans to couple SUEWS to a meso-scale model (e.g. Weather Research and Forecasting (WRF); Skamarock and Klemp, 2008), most regions have extensive areas that have completely pervious grid cells. As these need to be simulated using a consistent surface scheme, it is essential to have appropriate parameters for these areas.

Central to the SUEWS biophysics is the Penman–Monteith approach (Penman, 1948; Monteith, 1965) with a Jarvis-type (Jarvis, 1976) surface moisture conductance (Grimmond and Oke, 1991). Parameters for different types of urban areas (e.g. land cover differences) and regions (e.g. high latitude or mid-latitude) have been derived. However, both limited observations and lack of a standard workflow for deriving parameters remain a constraint. This is evident in the availability of conductance- and storage-heat-flux-related parameters (e.g. Järvi et al., 2011, 2014; Ward et al., 2016). Other land surface schemes have parameters for a wide range of plant functional types (PFTs) (e.g. NOAH within WRF; Chen et al., 1996; Chen and Dudhia, 2001) but are often derived from a small number of observational sites, and their widespread applicability is unexamined. For example, NOAH largely adopted values from the HAPEX-MOBILHY observational program (Andre et al., 1986) following Noilhan and Planton (1989).

FLUXNET (Baldocchi et al., 2001) is a global network of sites that monitor surface–atmosphere exchanges (e.g. carbon, water, and energy turbulent fluxes using the eddy covariance technique). These data provide unprecedented possibilities to advance process-based land surface modelling, through both development (e.g. Stöckli et al., 2008) and evaluation (e.g. Zhang et al., 2017). Extensive analysis of FLUXNET datasets for the variety of terrestrial PFTs have considered various surface atmosphere controls (e.g. albedo: Cescatti et al., 2012; latent heat flux: Ershadi et al., 2014; spatiotemporal representativeness: Chu et al., 2017; Villarreal and Vargas, 2021; energy balance closure: Franssen et al., 2010; landscape heterogeneity: Göckede et al., 2008; Stoy et al., 2013) to enhance understanding of land–atmosphere interactions. As such, this is an ideal data source for deriving widely applicable parameters and assessing performance of SUEWS over different land cover types.

In this work, we develop general workflows (Fig. 1) to derive vegetation-related parameters associated with phenology, the storage heat flux and surface moisture conductance and comprehensively examine model skill in modelling latent heat fluxes. We briefly review the key vegetation biophysics schemes in SUEWS (Sect. 2), describe the FLUXNET2015 (Pastorello et al., 2020) and auxiliary datasets used (Sect. 3), and outline the workflows for deriving parameters (Sect. 4). To assess the quality of the derived parameters the SUEWS-modelled latent heat flux is evaluated (Sect. 5). Model parameters related to surface conductance are derived for NOAH at the PFT level (Appendix A) as well as those related to surface roughness based on the FLUXNET2015 dataset at the site level (Appendix B). Other model parameters derived following workflows (Sect. 4) are also provided (Appendix C). The source code, input data and model simulations analysed are provided in Sun et al. (2021).

## 2 SUEWS model

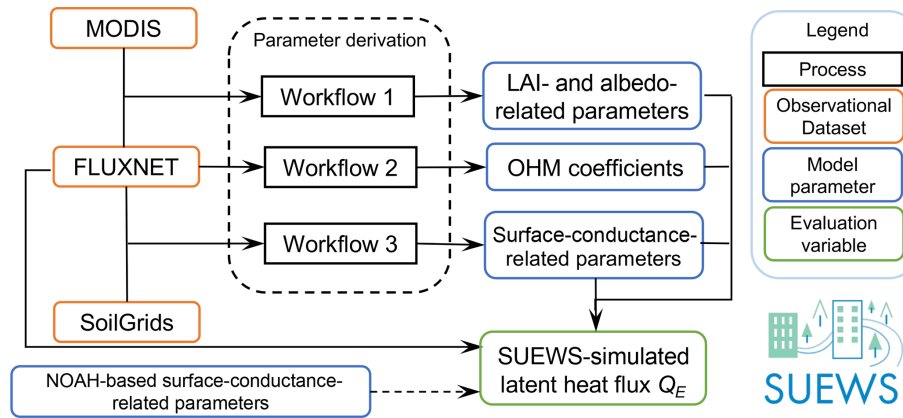
### 2.1 Overview of SUEWS physics for vegetated areas

The Surface Urban Energy and Water Balance Scheme (SUEWS) is a local-scale land surface model for simulating the surface energy and hydrological fluxes (Grimmond and Oke, 1986, 1991; Järvi et al., 2011, 2014; Offerle et al., 2003; Ward et al., 2016) without requiring specialised computing facilities. It has been extensively evaluated and applied in many cities (Lindberg et al., 2018, their Table 3; Sun and Grimmond, 2019, their Table 1). Other details of how SUEWS computes the surface energy, water and carbon fluxes are given in recent model description papers (Järvi et al., 2011, 2019; Ward et al., 2016).

The surface energy and water balances are directly linked by the turbulent latent heat flux ( $Q_E$ ) or its mass equivalent evaporation ( $E$ ) (Grimmond and Oke, 1986, 1991):

$$Q^* + Q_F = Q_H + Q_E + \Delta Q_S, \quad (1)$$





**Figure 1.** Overview of workflows to derive parameters and to undertake and to evaluate simulations. Acronyms are defined in Sects. 2 and 3. More details are provided in Figs. 3, 5 and 6.

$$P + I_e = E + R + \Delta S, \tag{2}$$

where  $Q^*$  is the net all-wave radiation flux;  $Q_F$  is the anthropogenic heat flux;  $Q_H$  is the turbulent sensible heat flux;  $\Delta Q_S$  the net storage heat flux; and  $P$ ,  $I_e$ ,  $\Delta S$  and  $R$  are precipitation, external water use, net change in the water storage (e.g. canopy, soil moisture, water bodies) and runoff, respectively. The sites selected in this work are assumed to have no irrigation, so  $I_e$  is assumed to be  $0 \text{ mm s}^{-1}$ .

In SUEWS, a modified Penman–Monteith equation (Penman, 1948; Monteith, 1965) is used to compute  $Q_E$  with an expectation in cities that the anthropogenic heat flux ( $Q_F$ ) is greater than zero (Grimmond and Oke, 1991):

$$Q_E = \frac{s(Q^* + Q_F - \Delta Q_S) + \frac{\rho c_p \text{VPD}}{r_a}}{s + \gamma \left(1 + \frac{r_s}{r_a}\right)}. \tag{3}$$

However, with our current focus on extensive (non-urban) pervious areas  $Q_F$  is assumed to be  $0 \text{ W m}^{-2}$ . The atmospheric state is obtained from the slope of saturation water vapour pressure curve with respect to air temperature ( $s$ ,  $\text{Pa K}^{-1}$ ), density of air ( $\rho$ ,  $\text{kg m}^{-3}$ ), specific heat of air at constant pressure ( $c_p$ ,  $\text{JK}^{-1} \text{kg}^{-1}$ ), vapour pressure deficit (VPD, Pa), psychrometric “constant” ( $\gamma$ ,  $\text{Pa K}^{-1}$ ) and the aerodynamic resistance for water vapour ( $r_a$ ,  $\text{s m}^{-1}$ ).

Under given ambient meteorological conditions (e.g. incoming solar radiation  $K_\downarrow$ , air temperature  $T_a$ , humidity) at an extensive vegetated site,  $Q_E$  from this method is sensitive to the estimation of available energy (i.e.  $Q^* - \Delta Q_S$ ), aerodynamic resistance  $r_a$  and surface resistance  $r_s$ . Hence, the critical vegetation-related parameters (Table 1) are addressed with these caveats and/or assumptions:

- Surface emissivity  $\epsilon_0$  and canopy water storage capacity  $S_i$  are assumed to be the same as reported in Ward et al. (2016).
- Aerodynamic resistance  $r_a$  is highly dependent on aerodynamic parameters that vary with canopy height ( $H_c$ )

and leaf area index (LAI) (Kent et al., 2017a, b; Appendix B). The temporally varying  $H_c$  is obtained from FLUXNET2015 (Sect. 2.2.3). LAI varies with phenology (Sect. 2.2.1).

## 2.2 $Q_E$ -related sub-schemes in SUEWS

### 2.2.1 Leaf area index (LAI) and radiation

In SUEWS, leaf growth is triggered by reaching a critical growing-degree-day (GDD) threshold ( $T_{\text{base,GDD},i}$ ), and similarly for leaf fall by senescence degree days (SDDs,  $T_{\text{base,SDD},i}$ ) using daily ( $d$ ) mean air temperatures ( $T_d$ ) based on the previous day ( $d - 1$ ) for each vegetation type  $i$  (one of evergreen trees, deciduous trees and grass). For forests and grass we use the following (Järvi et al., 2011):

$$\text{LAI}_{d,i} = \begin{cases} \min \left( \text{LAI}_{\text{max},i}, \text{LAI}_{d-1,i}^{\omega_{1,\text{GDD},i}} \text{GDD}_{d,i} \omega_{2,\text{GDD},i} + \text{LAI}_{d-1,i} \right), & T_{\text{base,SDD},i} < T_{d-1} < T_{\text{base,GDD},i} \\ \max \left( \text{LAI}_{\text{min},i}, \text{LAI}_{d-1,i}^{\omega_{1,\text{SDD},i}} (1 - \text{SDD}_{d,i}) \omega_{2,\text{SDD},i} + \text{LAI}_{d-1,i} \right), & T_{\text{base,GDD},i} < T_{d-1} < T_{\text{base,SDD},i}, \end{cases} \tag{4}$$

with  $\omega_{1/2,\text{GDD/SDD},i}$  curve factors needing to be derived.  $T_{d-1}$  is derived from the daily maximum ( $T_a^{\text{max}}$ ) and minimum ( $T_a^{\text{min}}$ ) air temperature of the previous day:

$$T_{d-1} = \frac{T_a^{\text{max}} + T_a^{\text{min}}}{2}. \tag{5}$$

For each site and vegetation type  $i$ , the maximum and minimum LAI values ( $\text{LAI}_{\text{max},i}$ ,  $\text{LAI}_{\text{min},i}$ ) and  $T_{\text{base,GDD}}$  and  $T_{\text{base,SDD}}$  are determined for each site (Sect. 4.1). For sites at higher latitude (e.g.  $> 60^\circ$ ), other characteristics – such as day length and photo period – are helpful to account for corresponding LAI controls (Bauerle et al., 2012; Gill et al., 2015).

**Table 1.** Parameters and the first process they are used in by SUEWS (i.e. most impact multiple variables). Sources (S) include this study (\*), Ward et al. (2016) (W16) and FLUXNET2015 (F15, Pastorello et al., 2020) where values are given or used in individual equations (Eq.). Two key phenology periods are related to growing and senescence degree days (GDD, SDD).

Category	Symbol	Definition	Value	Eq.	S
Leaf area index (LAI)	LAI <sub>min</sub>	Minimum LAI	Table C1	(3)	*
	LAI <sub>max</sub>	Maximum LAI	Table C1	(3)	*
	T <sub>base,GDD</sub>	Base temperature for SDD	Table C1	(4)	*
	T <sub>base,SDD</sub>	Base temperature for GDD	Table C1	(4)	*
	GDD <sub>full</sub>	Ending GDD at LAI <sub>max</sub>	Table C1	(5)	*
	SDD <sub>full</sub>	Ending SDD at LAI <sub>min</sub>	Table C1	(5)	*
	ω <sub>1(2),GDD(SDD)</sub>	Curve factors used in the LAI model dependent on GDD (SDD)	Table C1	(3)	*
Radiation	α <sub>LAImin</sub>	Albedo at LAI <sub>min</sub>	Table C1	(6)	*
	α <sub>LAImax</sub>	Albedo at LAI <sub>max</sub>	Table C1	(6)	*
	ε <sub>0</sub>	Emissivity	EveTr 0.98	DecTr 0.98 Grass 0.93	
Storage heat flux	a <sub>1</sub> , a <sub>2</sub> , a <sub>3</sub>	Objective Hysteresis Model (OHM) coefficients	Table C2	(7)	*
Aerodynamic resistance	H <sub>c</sub>	Vegetation height	F15 varies		F15
	z <sub>0m</sub>	Roughness length for momentum	Sect. 2.2.3/Appendix B	(9)/Eq. (B1)	*
	z <sub>d</sub>	Zero plane displacement	Sect. 2.2.3/Appendix B	(9)/Eq. (B1)	*
Surface resistance	g <sub>max</sub>	Maximum surface conductance	Table C3	(14)	*
	G <sub>K</sub>	Solar-radiation-related parameter	Table C3	(15)	*
	G <sub>q,base</sub> , G <sub>q,shape</sub>	Specific-humidity-related parameters for base value and curve shape	Table C3	(16)	*
	G <sub>T</sub>	Air-temperature-related parameter	Table C3	(17)	*
	T <sub>H</sub> , T <sub>L</sub>	Temperature limits for switching off evaporation	Table C3	(17)	*
	G <sub>θ</sub> Δθ <sub>WP</sub>	Soil-moisture-related parameter Soil moisture deficit at wilting point	Table C3 Table C3	(18) (18)	 *
Water storage	S <sub>i</sub>	Canopy water storage capacity (mm)	EveTr 0.8	DecTr 1.3 Grass 1.9	(21) W16

LAI influences several processes in SUEWS – such as dynamics of surface conductance (later in Sect. 2.2.4) and albedo – the latter varies with daily LAI between a minimum (α<sub>LAImin</sub>) and maximum (α<sub>LAImax</sub>) by vegetation type:

$$\alpha_{d,i} = \alpha_{d-1,i} + (\alpha_{LAI,max,i} - \alpha_{LAI,min,i}) \times \frac{LAI_{d,i} - LAI_{d-1,i}}{LAI_{max,i} - LAI_{min,i}} \quad (6)$$

We note the SUEWS urban snow module (Järvi et al., 2014) is not used in this work, so we focus on snow-free conditions. This may bias some modelled α and subsequent fluxes, but evaluating the snow module is a large task in its own right.

Within SUEWS the albedo is used with the observed incoming shortwave radiation and longwave radiation to obtain Q\*. In the current analyses, the observed incoming longwave (L<sub>↓</sub>) and modelled outgoing longwave radiation (L<sub>↑</sub> = (1 - ε<sub>0</sub>)L<sub>↓</sub> + ε<sub>0</sub>σT<sub>s</sub><sup>4</sup>, where ε<sub>0</sub> is the surface emissivity; σ is the Stefan Boltzmann constant, W m<sup>-2</sup> K<sup>-4</sup>; and T<sub>s</sub> is the surface temperature, K) are used. Table 1 gives the emissivity values used.

### 2.2.2 Storage heat flux

Storage heat flux ΔQ<sub>S</sub> is simulated with the objective hysteresis model (OHM, Grimmond et al., 1991):

$$\Delta Q_S = \sum_i f_i \left[ a_{1,i} Q^* + a_{2,i} \frac{\partial Q^*}{\partial t} + a_{3,i} \right], \quad (7)$$

where f<sub>i</sub> is the plan area (or three-dimensional fraction area, Grimmond et al., 1991; Grimmond and Oke, 1999) fraction of surface i, a<sub>1–3</sub> are the OHM coefficients (Sect. 4.2), and t is time.

### 2.2.3 Aerodynamic resistance

Aerodynamic resistance r<sub>a</sub> is obtained from Järvi et al. (2011) and van Ulden and Holtslag (1985):

$$r_a = \frac{\left[ \ln \left( \frac{z_m - z_d}{z_{0m}} - \psi_m(\zeta) \right) \right] \left[ \ln \left( \frac{z_m - z_d}{z_{0v}} - \psi_v(\zeta) \right) \right]}{\kappa^2 u}, \quad (8)$$

where z<sub>m</sub> is the measurement height for mean wind speed (u) and κ the von Kármán constant (0.4 assumed); the aerodynamic parameters z<sub>d</sub> (zero plane displacement height) and z<sub>0m</sub> (roughness length for the momentum) are estimated as a

function of canopy height  $H_c$  (Garratt, 1992; Grimmond and Oke, 1999):

$$z_{0m} = f_0 H_c, \tag{9}$$

$$z_d = f_d H_c, \tag{10}$$

with  $f_0$  and  $f_d$  being vegetation-based coefficients (see Appendix B for derivation details). The stability parameter  $\zeta$  ( $= (z_m - z_d)/L$ ) depends on the Obukhov length  $L$ . The atmospheric stability functions of momentum ( $\psi_m$ ) and water vapour ( $\psi_v$ ) for unstable conditions are (Campbell and Norman, 1998)

$$\psi_v = 2 \ln \left[ \frac{1 + (1 - 16\zeta)^{1/2}}{2} \right],$$

$$\psi_m = 0.6\psi_v, \tag{11}$$

and for stable conditions (Campbell and Norman, 1998; Högström, 1988)

$$\psi_v = -4.5 \ln(1 + \zeta),$$

$$\psi_m = -6 \ln(1 + \zeta). \tag{12}$$

### 2.2.4 Surface resistance ( $r_s$ ) or conductance ( $g_s$ )

For completely wet surfaces, the surface resistance  $r_s$  is assumed to be  $0 \text{ s m}^{-1}$  (i.e. potential evaporation is calculated from Eq. 3). Otherwise  $r_s$ , or its inverse, surface conductance  $g_s$ , is parameterised with a Jarvis-type formulation (Jarvis, 1976) in SUEWS (Grimmond and Oke, 1991; Järvi et al., 2011; Ward et al., 2016):

$$r_s^{-1} = g_s = \sum_i (g_{\max,i} \cdot f_i \cdot g(\text{LAI}_i)) g(K_\downarrow) g(\Delta q) g(T_a) g(\Delta\theta_{\text{soil}}), \tag{13}$$

where  $g_s$  is determined from the  $i$ th land cover areally weighted maximum surface conductance ( $g_{\max,i}$ ) (with  $f_i = 1$  for a “homogeneous” site) and environmental ( $x$ ) rescaling functions ( $g(x)$ ) ranging between  $[0, 1]$ , including the following:

- Leaf area index (LAI) (Ward et al., 2016).

$$g(\text{LAI}_i) = \frac{\text{LAI}_i}{\text{LAI}_{\max,i}}, \tag{14}$$

which is relative to the maximum LAI of land cover  $i$  ( $\text{LAI}_{\max,i}$ ). For bare soil surfaces (i.e. no vegetation), when LAI is irrelevant  $g(\text{LAI}_i) = 1$ .

- Incoming shortwave radiation ( $K_\downarrow$ ) (Stewart, 1988).

$$g(K_\downarrow) = \frac{\frac{K_\downarrow}{G_K + K_\downarrow}}{\frac{K_{\downarrow,\max}}{G_K + K_{\downarrow,\max}}}, \tag{15}$$

where the  $G_K$  parameter modifies the  $K_\downarrow$  response, relative to  $K_{\downarrow,\max}$  the maximum observed incoming shortwave radiation ( $= 1200 \text{ W m}^{-2}$ ): when  $K_\downarrow$  approaches

$G_K$ ,  $g(K_\downarrow)$  reaches 50% of  $g_{s,\max}$  ( $\frac{K_{\downarrow,\max}}{G_K + K_{\downarrow,\max}}$ )<sup>-1</sup> (i.e.  $g_{s,\max}$  normalised by  $\frac{K_{\downarrow,\max}}{G_K + K_{\downarrow,\max}}$ ). At night  $g(K_\downarrow)$  goes to 1.

- Specific humidity deficit ( $\Delta q$ ) (Ogink-Hendriks, 1995).

$$g(\Delta q) = G_{q,\text{base}} + (1 - G_{q,\text{base}}) G_{q,\text{shape}}^{\Delta q}, \tag{16}$$

where the specific-humidity-related parameters are for the “base”  $G_{q,\text{base}}$  and curve shape  $G_{q,\text{shape}}$ : the former indicates the limit of  $g(\Delta q)$  when  $\Delta q$  approaches extremely large values, while the latter determines the curvature of the  $g(\Delta q)$  (e.g. Fig. 9c).

- Air temperature ( $T_a$ ) (Stewart, 1988).

$$g(T_a) = \frac{(T_a - T_L)(T_H - T_a)^{T_c}}{(G_T - T_L)(T_H - G_T)^{T_c}}, \tag{17}$$

where  $T_c = \frac{T_H - G_T}{G_T - T_L}$  is a function of the lower ( $T_L$ ) and upper ( $T_H$ ) limits when the evaporation occurs, and  $G_T$  the optimal temperature for evaporation to reach its potential maximum.

- Soil moisture deficit ( $\Delta\theta_{\text{soil}}$ , difference between soil water capacity and soil moisture content) (Ward et al., 2016).

$$g(\Delta\theta_{\text{soil}}) = \frac{1 - \exp(G_\theta(\Delta\theta_{\text{soil}} - \Delta\theta_{\text{WP}}))}{1 - \exp(-G_\theta \Delta\theta_{\text{WP}})}. \tag{18}$$

Both the wilting point ( $\Delta\theta_{\text{WP}}$ ) and a soil-type-dependent parameter ( $G_\theta$ ) vary with soil and plant type.

Appendix A gives the equivalent form used in the NOAH model for Eq. (13).

SUEWS has a running water balance that accounts for the multiple surface types. The amount of water on the canopy of each surface ( $C_i$ ) (Grimmond and Oke, 1991) is used to vary the surface resistance between dry and wet ( $r_s = 0 \text{ s m}^{-1}$ ) by replacing  $r_s$  with  $r_{ss}$  (Shuttleworth, 1978):

$$r_{ss} = \left[ \frac{W}{r_b(s/\gamma + 1)} + \frac{(1 - W)}{r_s + r_b(s/\gamma + 1)} \right]^{-1} - r_b(s/\gamma + 1), \tag{19}$$

where  $W$  is a function of the relative amount of water present on each surface to its water storage capacity ( $S_i$ , Table 1):

$$W = 1 \quad C_i \geq S_i,$$

$$W = \frac{K - 1}{K - S_i/C_i} \quad C_i < S_i. \tag{20}$$

$K$  depends on the aerodynamic and surface resistances:

$$K = \frac{(r_s/r_a)/(r_a - r_b)}{r_s + r_b(s/\gamma + 1)}, \tag{21}$$

where  $r_b$ , the boundary layer resistance, is a function of friction velocity  $u_*$  (Shuttleworth, 1983):

$$r_b = 1.1u_*^{-1} + 5.6u_*^{\frac{1}{3}}. \tag{22}$$

Equations (19)–(22) ensure that the surface resistance  $r_{ss}$  has a smooth transition from 0 (a completely wet surface) to  $r_s$  (a dry surface).

### 3 Global observational datasets used

We use three global datasets FLUXNET2015 (Pastorello et al., 2020), MODIS (Myneni et al., 2015) and SoilGrids (Hengl et al., 2014) to derive the parameters. The FLUXNET2015 surface energy fluxes and other meteorology observations are used for three purposes: to derive parameter values, force simulations and evaluate simulations. The remotely sensed (MODIS) derived LAI products are used for the LAI-related parameters. To derive soil-moisture-related parameters the SoilGrids data are used. Unlike the FLUXNET2015 data, the latter two datasets are spatially continuous.

#### 3.1 FLUXNET2015

The FLUXNET2015 dataset (Pastorello et al., 2020) is the newest version of the FLUXNET data products. The gap-filled dataset includes 212 flux sites from around the world. Although the FLUXNET focus is on local-scale ecosystem  $\text{CO}_2$  eddy covariance (EC) fluxes, it also includes water and energy EC fluxes plus other meteorological and biological data. The biosphere–atmosphere exchange dataset contains more than 1500 site years for the period to the end of 2014. The open-source package ONEFlux (Open Network-Enabled Flux processing pipeline; <https://github.com/fluxnet/ONEFlux>, last access: 4 November 2021) is used to produce FLUXNET2015 (Pastorello et al., 2020).

Half-hourly observations (Table 2) are used from 38 sites (Table 3) in three regions (Fig. 2). These sites are selected to meet the following criteria (number of remaining sites that met the criteria):

1. *Sites with CC-BY 4.0 license (206/212).*
2. *Data availability (56/206).* This requires both MODIS LAI data (available from 2002, Sect. 3.2) and long-term continuity (defined here as  $\geq 3$  years for the multiple needs).
3. *Model capacity (38/56).* The SUEWS v2020a LAI scheme is forced with only air temperature and not other variables (e.g. rainfall), which may strongly influence phenology at some sites (Appendix D). Hence, these sites are excluded.

Unfortunately, no datasets are left after selection based on the above criteria for some regions (Fig. 2), including Africa, Asia and South America.

As SUEWS allows any grid cell to have three vegetation or plant functional types (PFTs), with the sub-type or properties varying between grids, we subdivide the 38 sites into three classes using IGBP (Table 3) (code, number of sites):

**Table 2.** FLUXNET2015 (Pastorello et al., 2020) variables used in this work to derive parameters (P), to force (F) model simulations and to evaluate (E) models.

Variable	Unit	Description	Usage
$H_c$	m a.g.l.	Canopy height	P
$K_{\uparrow}$	$\text{W m}^{-2}$	Outgoing solar radiation	P
$K_{\downarrow}$	$\text{W m}^{-2}$	Incoming solar radiation	P, F
$L_{\uparrow}$	$\text{W m}^{-2}$	Outgoing longwave radiation	P
$L_{\downarrow}$	$\text{W m}^{-2}$	Incoming longwave radiation	F
$P$	$\text{mm h}^{-1}$	Precipitation rate	P, F
PA	Pa	Station atmospheric pressure	P, F
$Q^*$	$\text{W m}^{-2}$	Net all-wave radiation	P
$Q_E$	$\text{W m}^{-2}$	Latent heat flux	P, E
$Q_H$	$\text{W m}^{-2}$	Sensible heat flux	P
RH	%	Relative humidity	P, F
$T_a$	$^{\circ}\text{C}$	Air temperature	P, F
$u$	$\text{m s}^{-1}$	Wind speed	P, F
$u_*$	$\text{m s}^{-1}$	Friction velocity	P
VPD	Pa	Vapour pressure deficit	P
$\theta$	$\text{m}^3 \text{m}^{-3}$	Volumetric soil water content	P

- a. *Evergreen trees/shrubs (EveTr, 13).* Evergreen needle-leaf forests (ENF, 12), evergreen broadleaf forests (EBF, 1).
- b. *Deciduous trees/shrubs (DecTr, 11).* Mixed forests (MF, 2), deciduous broadleaf forests (DBF, 5), open shrublands (OSH, 1), woody savannas (WSA, 1), savannas (SAV, 2).
- c. *Grass (14).* Grasslands (GRA, 8), croplands (CRO, 6).

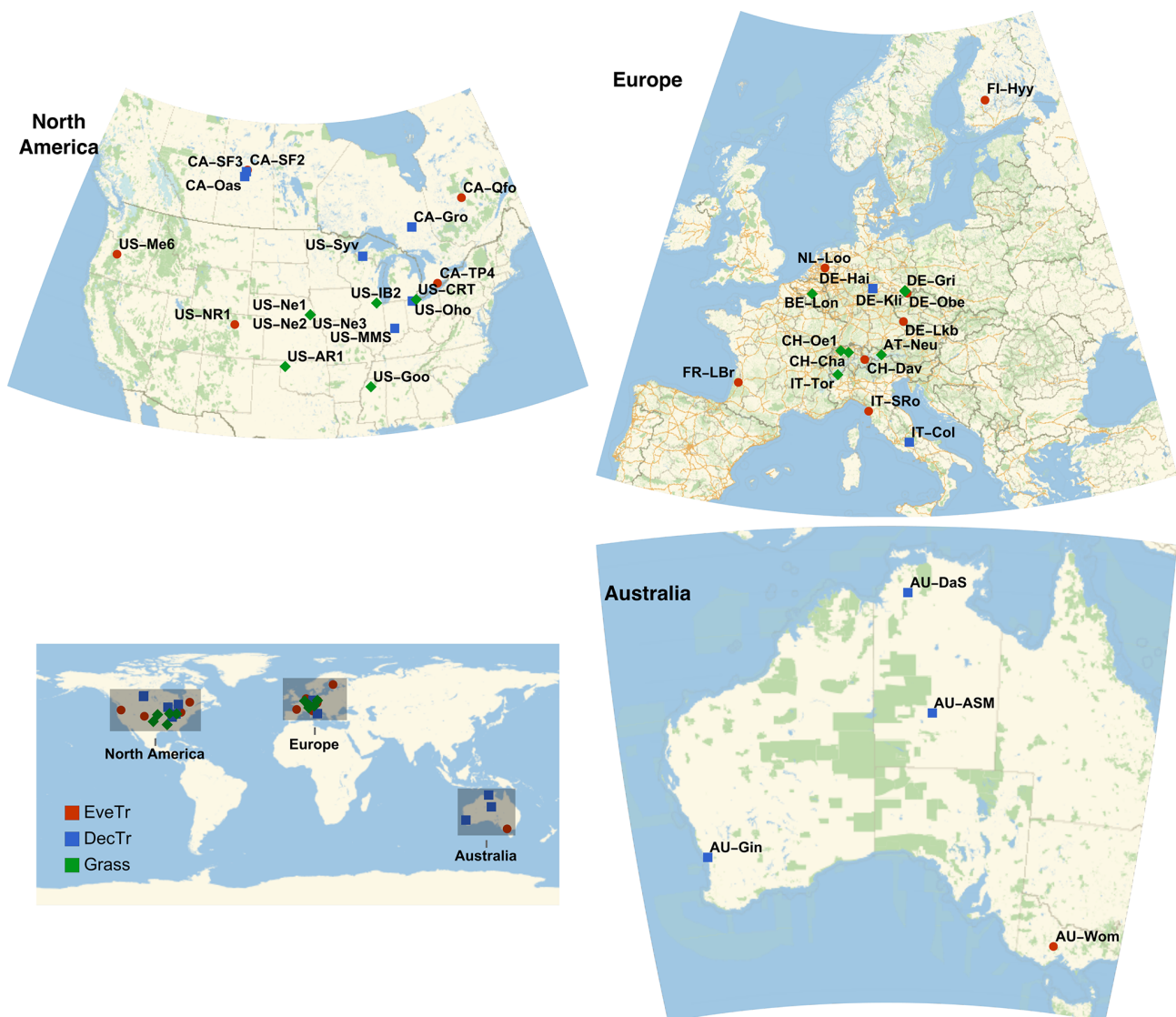
The landscape heterogeneity of many FLUXNET EC flux measurements sites have been systematically examined by Stoy et al. (2013) using satellite imagery. Of the sites they examined, they found them to be located within homogeneous parts of the targeted PFT, but the larger landscape ( $\sim 20$  km) may have considerable variability. As a FLUXNET site is typically assigned to one PFT for land surface model development and evaluation (e.g. Stöckli et al., 2008; Zhang et al., 2017; Chu et al., 2021), we configure each as a homogeneous grid cell and assume  $f_i = 1$ .

#### 3.2 MODIS LAI

The NASA Moderate Resolution Imaging Spectroradiometer (MODIS; Nishihama et al., 1997) four-day composite product MCD15A3H (Myneni et al., 2015) with 500 m resolution is treated as “observed” LAI. Product data are available from 2002. We use the Fixed Sites Subsetting and Visualization Tool (ORNL DAAC, 2018) for the FLUXNET dataset to extract the MCD15A3H time series. To obtain a daily time series we linearly interpolate between values, for parameter derivation (Sect. 4.1).

**Table 3.** Key information about the FLUXNET2015 sites (Pastorello et al., 2020, and their DOI reference used in this work; site name, country name, with altitude above sea level, a.s.l.) or anemometer sensor height above ground level (a.g.l.). More details about the simulation and analyses given in Sect. 5.1. The land cover type as defined based on IGBP (International Geosphere–Biosphere Programme) by the FLUXNET curators (https://fluxnet.org/data/badm-data-templates/igbp-classification/, last access: 4 November 2021) with the crops (CRO) being as follows: 1 – rotation: cereal, potato, sugar beet (Moureaux et al., 2006); 2 – rotation: winter barley, rapeseed, winter wheat, maize and spring barley at DE-Kli (Prescher et al., 2010); 3 – continuous maize (https://doi.org/10.18140/FLX/1440084); 4 – rotation: maize and soybean (https://doi.org/10.18140/FLX/1440085); 5 – rotation: maize and soybean (https://doi.org/10.18140/FLX/1440086). The SUEWS recommended vegetation or PFT class (informed by IGBP) data as used in this paper are given in Sun et al. (2021).

Site	Latitude (°)	Longitude (°)	Altitude (m a.s.l.)	Measurement height 5m (m a.g.l.)	Parameter derivation period	Evaluation period	Temporal resolution (min)	No. of valid entries	IGBP	SUEWS	DOI
AT-Neu	47.1167	11.3175	970	3.0	2005–2012	2002–2004	30	33 582	GRA	Grass	https://doi.org/10.18140/FLX/1440121
AU-ASM	-22.283	133.249	607	11.7	2013–2014	2010–2012	30	33 710	SAV	Dec Tr	https://doi.org/10.18140/FLX/1440194
AU-DaS	-14.1593	131.388	73	21.0	2011–2014	2008–2010	30	34 022	SAV	Dec Tr	https://doi.org/10.18140/FLX/1440122
AU-Gin	-31.3764	115.714	51	15.0	2011–2011	2011–2013	30	28 766	WSA	Dec Tr	https://doi.org/10.18140/FLX/1440199
AU-Wom	-37.4222	144.094	705	30.0	2013–2014	2010–2012	30	34 434	EBF	Eve Tr	https://doi.org/10.18140/FLX/1440207
BE-Lon	50.5516	4.74623	167	2.7	2007–2014	2004–2006	30	34 486	CRO <sup>1</sup>	Grass	https://doi.org/10.18140/FLX/1440129
CA-Gro	48.2167	-82.1556	340	43.3	2006–2014	2003–2005	30	32 669	MF	Dec Tr	https://doi.org/10.18140/FLX/1440034
CA-Oas	53.6289	-106.198	530	39.0	1995–2010	2002–2004	30	34 885	DBF	Dec Tr	https://doi.org/10.18140/FLX/1440043
CA-Qfo	49.6925	-74.3421	382	24.0	2006–2010	2003–2005	30	32 980	ENF	Eve Tr	https://doi.org/10.18140/FLX/1440045
CA-SF2	54.2539	-105.878	520	9.1	2005–2005	2002–2004	30	31 701	ENF	Eve Tr	https://doi.org/10.18140/FLX/1440047
CA-SF3	54.0916	-106.005	540	20.0	2005–2007	2002–2004	30	34 618	OSH	Dec Tr	https://doi.org/10.18140/FLX/1440048
CA-TP4	42.7102	-80.3574	184	28.0	2005–2014	2002–2004	30	34 533	ENF	Eve Tr	https://doi.org/10.18140/FLX/1440053
CH-Cha	47.2102	8.41044	393	2.4	2006–2014	2005–2007	30	34 480	GRA	Grass	https://doi.org/10.18140/FLX/1440131
CH-Dav	46.8153	9.85591	1639	35.0	2005–2014	2002–2004	30	24 456	ENF	Eve Tr	https://doi.org/10.18140/FLX/1440132
CH-Oe1	47.2858	7.73194	450	1.2	2005–2008	2002–2004	30	34 768	GRA	Grass	https://doi.org/10.18140/FLX/1440135
DE-Gri	50.95	13.5126	385	3.0	2007–2014	2004–2006	30	34 659	GRA	Grass	https://doi.org/10.18140/FLX/1440147
DE-Hai	51.0792	10.4522	430	42.0	2005–2012	2002–2004	30	35 028	DBF	Dec Tr	https://doi.org/10.18140/FLX/1440148
DE-Kli	50.8931	13.5224	478	3.5	2007–2014	2004–2006	30	33 933	CRO <sup>2</sup>	Grass	https://doi.org/10.18140/FLX/1440149
DE-Lkb	49.0996	13.3047	1308	9.0	2012–2014	2009–2011	30	29 726	ENF	Eve Tr	https://doi.org/10.18140/FLX/1440214
DE-Obe	50.7867	13.7213	734	30.0	2011–2014	2008–2010	30	33 872	ENF	Eve Tr	https://doi.org/10.18140/FLX/1440151
FI-Hyy	61.8474	24.2948	181	24.0	2005–2014	2002–2004	30	30 979	ENF	Eve Tr	https://doi.org/10.18140/FLX/1440158
FR-LBr	44.7171	-0.7693	61	41.5	2005–2008	2002–2004	30	34 364	ENF	Eve Tr	https://doi.org/10.18140/FLX/1440163
IT-Col	41.8494	13.5881	1560	32.0	2005–2014	2002–2004	30	22 918	DBF	Dec Tr	https://doi.org/10.18140/FLX/1440167
IT-Sro	43.7279	10.2844	6	22.5	2005–2012	2002–2004	30	26 961	ENF	Eve Tr	https://doi.org/10.18140/FLX/1440176
IT-Tor	45.8444	7.57806	2160	2.7	2008–2014	2008–2010	30	33 126	GRA	Grass	https://doi.org/10.18140/FLX/1440237
NL-Loo	52.1666	5.74356	25	26.0	2005–2014	2002–2004	30	34 098	ENF	Eve Tr	https://doi.org/10.18140/FLX/1440178
US-AR1	36.4267	-99.42	611	2.8	2012–2012	2009–2011	30	35 024	GRA	Grass	https://doi.org/10.18140/FLX/1440103
US-CRT	41.6285	-83.3471	180	2.0	2014–2014	2011–2013	30	34 895	CRO <sup>3</sup>	Grass	https://doi.org/10.18140/FLX/1440117
US-Goo	34.2547	-89.8735	87	4.0	2005–2007	2002–2004	30	30 848	GRA	Grass	https://doi.org/10.18140/FLX/1440070
US-IB2	41.8406	-88.241	226.5	3.8	2005–2011	2004–2006	30	34 339	GRA	Grass	https://doi.org/10.18140/FLX/1440072
US-Me6	44.3233	-121.608	998	12.0	2013–2015	2010–2012	30	32 141	ENF	Eve Tr	https://doi.org/10.18140/FLX/1440099
US-MMS	39.3232	-86.4131	275	46.0	2005–2014	2002–2004	60	17 508	DBF	Dec Tr	https://doi.org/10.18140/FLX/1440083
US-Ne1	41.1651	-96.4766	361	3.0	2005–2013	2002–2004	60	17 450	CRO <sup>3</sup>	Grass	https://doi.org/10.18140/FLX/1440084
US-Ne2	41.1649	-96.4701	362	3.0	2005–2013	2002–2004	60	17 407	CRO <sup>4</sup>	Grass	https://doi.org/10.18140/FLX/1440085
US-Ne3	41.1797	-96.4397	363	3.0	2005–2013	2002–2004	60	17 351	CRO <sup>5</sup>	Grass	https://doi.org/10.18140/FLX/1440086
US-NR1	40.0329	-105.546	3050	21.5	2005–2014	2002–2004	30	35 023	ENF	Eve Tr	https://doi.org/10.18140/FLX/1440087
US-Oho	41.5545	-83.8438	230	32.0	2007–2013	2004–2006	30	31 180	DBF	Dec Tr	https://doi.org/10.18140/FLX/1440088
US-Syv	46.242	-89.3477	540	36.0	2005–2014	2002–2004	30	27 276	MF	Dec Tr	https://doi.org/10.18140/FLX/1440091



**Figure 2.** Location of FLUXNET sites (Table 3) coded by into three land cover types: deciduous trees (DecTr), evergreen trees (EveTr) and grass (Grass). Source of base map: © OpenStreetMap contributors, 2021. Distributed under the Open Data Commons Open Database License (ODbL) v1.0.

### 3.3 Soil information

The SoilGrids (Hengl et al., 2014) database provides soil properties (i.e. organic carbon, bulk density, cation exchange capacity (CEC), pH, soil texture fractions and coarse fragments) at seven depths (0, 0.05, 0.15, 0.30, 0.60, 1.00 and 2.00 m), as well as a bedrock depth prediction, World Reference Base (WRB) and USDA soil classes. We use the SoilGrids250m (Hengl et al., 2017) version, with its  $\sim 280$  raster layers, to obtain the parameters (Table 4) to derive soil moisture deficit at wilting point ( $\Delta\theta_{WP}$  in mm) for soil-moisture-related calculations (e.g. Eq. 18).

The difference in soil moisture between field capacity ( $\theta_{FC}$  in mm) and wilting point ( $\theta_{WP}$  in mm) are used with param-

eters defined as follows (Saxon and Rawls, 2006):

$$\Delta\theta_{WP} = \theta_{FC} - \theta_{WP} = (W_{FC} - W_{WP})(1 - f_{CF})d_r \quad (23)$$

with

$$W_{FC} = k_{FC} + \left(1.283 \cdot k_{FC}^2 - 0.374 \cdot k_{FC} - 0.015\right), \quad (24)$$

using the weight fractions of sand  $f_{sand}$ , clay  $f_{clay}$  and organic matter  $f_{OM}$ :

$$\begin{aligned} k_{FC} = & -0.251 \cdot f_{sand} + 0.195 \cdot f_{clay} + 0.011 \cdot f_{OM} \\ & + 0.006 \cdot (f_{sand} f_{OM}) - 0.027 \cdot (f_{clay} f_{OM}) \\ & + 0.452 \cdot (f_{sand} f_{clay}) + 0.299 \end{aligned} \quad (25)$$

**Table 4.** Soil-related parameters obtained from the SoilGrids (Hengl et al., 2014) database for each site (Table 3) at 250 m resolution for seven depths (Sect. 3.3). Values for each site (Table 3) are given in Sun et al. (2021).

Parameter	Unit	Description
$d_t$	mm	Soil depth
$f_{CF}$	$m\ m^{-3}$	Coarse fragment fraction
$f_{clay}$	$kg\ kg^{-1}$	Clay fraction
$f_{sand}$	$kg\ kg^{-1}$	Sand fraction
$f_{silt}$	$kg\ kg^{-1}$	Silt fraction
$f_{OM}$	$kg\ kg^{-1}$	Organic carbon fraction
$\rho_{bulk}$	$kg\ m^{-3}$	Bulk density

and

$$W_{WP} = k_{WP} + (0.14 \cdot k_{WP} - 0.02), \tag{26}$$

where

$$k_{WP} = -0.024 \cdot f_{sand} + 0.487 \cdot f_{clay} + 0.006 \cdot f_{OM} + 0.005 \cdot (f_{sand} f_{OM}) - 0.013 \cdot (f_{clay} f_{OM}) + 0.068 \cdot (f_{sand} f_{clay}) + 0.031. \tag{27}$$

#### 4 Parameter derivation for vegetated land covers: workflows and results

##### 4.1 Leaf area index (LAI) and albedo-related parameters

LAI is a key phenology parameter in SUEWS; it moderates albedo ( $\alpha$ ) and therefore surface radiative exchanges. LAI changes also modify both aerodynamic roughness parameters (roughness length  $z_0$ , zero plane displacement height  $z_d$ ) (e.g. Kent et al., 2017a, b) impacting aerodynamic resistance ( $r_a$ ) and surface resistance ( $r_s$ ). LAI directly moderates  $Q_E$  and canopy interception capacity, which modifies when potential evaporation occurs and aspects of the water balance.

As the SUEWS LAI equation (Eq. 4) makes global optimisation techniques numerically challenging to derive all the required parameters, we take a two-step approach (Fig. 3).

##### 4.1.1 Approximating growing stages using an asymmetric Tukey window function

The Tukey or cosine-tapered window (TW) is used in signal processing applications when data need to be processed in

short segments. It is defined as follows (Bloomfield, 2000):

$$TW(x, a) = \begin{cases} 1 & (0 < a < 1 \wedge a - 2x - 1 \leq 0 \wedge a + 2x - 1 \leq 0) \\ \frac{1}{2}(\cos(2\pi x) + 1) & \vee (a = 1 \wedge x = 0) \\ \frac{1}{2} \left( \cos \left( \frac{2\pi \left( -\frac{a}{2} + x + \frac{1}{2} \right)}{a} \right) + 1 \right) & \vee \left( a \leq 0 \wedge -\frac{1}{2} \leq x \leq \frac{1}{2} \right) \\ \frac{1}{2} \left( \cos \left( \frac{2\pi \left( \frac{a}{2} + x - \frac{1}{2} \right)}{a} \right) + 1 \right) & a > 1 \wedge -\frac{1}{2} \leq x \leq \frac{1}{2} \\ 0 & 0 < a \leq 1 \wedge x \geq -\frac{1}{2} \wedge a - 2x - 1 > 0 \\ & 0 < a \leq 1 \wedge a + 2x - 1 > 0 \wedge x \leq \frac{1}{2} \\ & |x| > \frac{1}{2}, \end{cases} \tag{28}$$

where  $x$  is the independent variable and  $a$  is the curve shape factor. We propose an asymmetric form of the Tukey window (aTW) to approximate the intra-annual LAI dynamics:

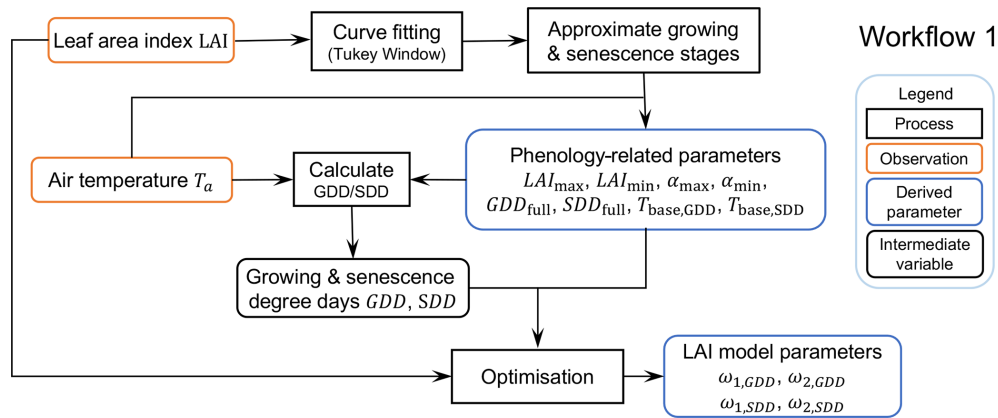
$$aTW(x, a, b, x_0, l) = \begin{cases} TW\left(\frac{x-x_0}{l}, a\right) & x < x_0, \\ TW\left(\frac{x-x_0}{l}, b\right) & x \geq x_0, \end{cases} \tag{29}$$

where  $b$  is a curve shape factor for different segments,  $x_0$  the segment parameter and  $l$  the rescaling factor.

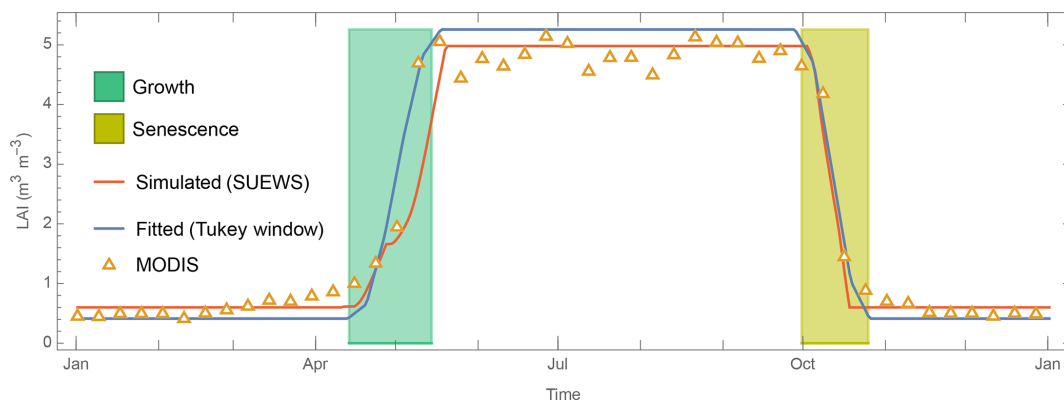
To demonstrate this we use the US-MMS site (Table 3), to fit the intra-annual LAI dynamics using an aTW curve (blue line, Fig. 4) to determine different phenological stages (shading, Fig. 4) and subsequently derive several related parameters:

- LAI<sub>min</sub>. 5th percentile of LAI values before the growth and after the senescence.
- LAI<sub>max</sub>. 75th percentile of LAI values after the growth and before the senescence.
- $T_{base,GDD}$ . 99th percentile of air temperatures before the growth.
- $T_{base,SDD}$ . 10th percentile of air temperature after the growth and before the senescence.
- GDD<sub>full</sub>. GDD at the end of growth based on  $T_{base,GDD}$ .
- SDD<sub>full</sub>. SDD at the end of senescence based on  $T_{base,SDD}$ .
- $\alpha_{min}/\alpha_{max}$ . 10th/90th percentile of daily albedo values after the growth and before the senescence. A daily albedo is calculated from 30 or 60 min FLUXNET observations of incoming and outgoing shortwave radiation for the period 10:00 to 14:00 LST (local standard time). To remove outliers a clustering method is applied (ClusterClassify of Mathematica v12.3.1, Wolfram Research, 2020). For example, at some high-latitude sites (e.g. CA-Oas) snow occurs, the winter values are based on data from shortly after senescence to shortly before growth (next spring) and the clustering approach removes the snow period albedo values.





**Figure 3.** Workflow 1 (Fig. 1) for deriving LAI- and albedo-related parameters. Related Jupyter notebooks are provided in Sun et al. (2021).



**Figure 4.** Intra-annual LAI dynamics at US-MMS multi-year (2002–2014) ensemble median derived from MODIS observations (open triangle, Sect. 3.2) and simulated by SUEWS temperature-based LAI scheme (Eq. 4) (orange line) with Tukey window fit (blue line, Sect. 4.1) using to derive the leaf-on or growth period (green shading) and senescence (yellow shading) periods.

For evergreen and deciduous trees,  $\alpha_{LAI,min}$  ( $\alpha_{LAI,max}$ ) in Eq. (6) typically corresponds to  $\alpha_{min}$  ( $\alpha_{max}$ ), while for grassland a reverse relation holds (i.e.  $\alpha_{LAI,min}$  corresponds to  $\alpha_{max}$  and vice versa; see Cescatti et al., 2012, for a detailed analysis of albedo dynamics at FLUXNET sites).

#### 4.1.2 Deriving curve factors used in SUEWS LAI scheme

With the parameters derived in step 1, we can determine the curve factors  $\omega_{1/2,GDD/SDD}$  by minimising the bias between MODIS observed (open triangle, Fig. 4) and SUEWS-simulated (red line, Fig. 4) LAI values.

The derived LAI-related parameters for the 38 FLUXNET sites vary between different land cover groups (Table 5, Fig. 5). The derived  $LAI_{max/min}$  results are consistent with those reported in the literature (Asaadi et al., 2018). For EveTr sites, the large contrast between  $LAI_{max}$  and  $LAI_{min}$  in the ENF sites analysed here is consistent with MODIS derived LAI for ENF, which has larger seasonal variability than EBF (Heiskanen et al., 2012), but some of this is caused by

a known issue of particularly low winter values (Garrigues et al., 2008).

Given the global availability of MODIS LAI and reanalysis-based air temperature datasets, we suggest the LAI-related parameters be derived following this workflow (Fig. 3) to set parameters for SUEWS simulations. This can be done independent of the availability of flux tower observations.

#### 4.2 Storage heat flux coefficients

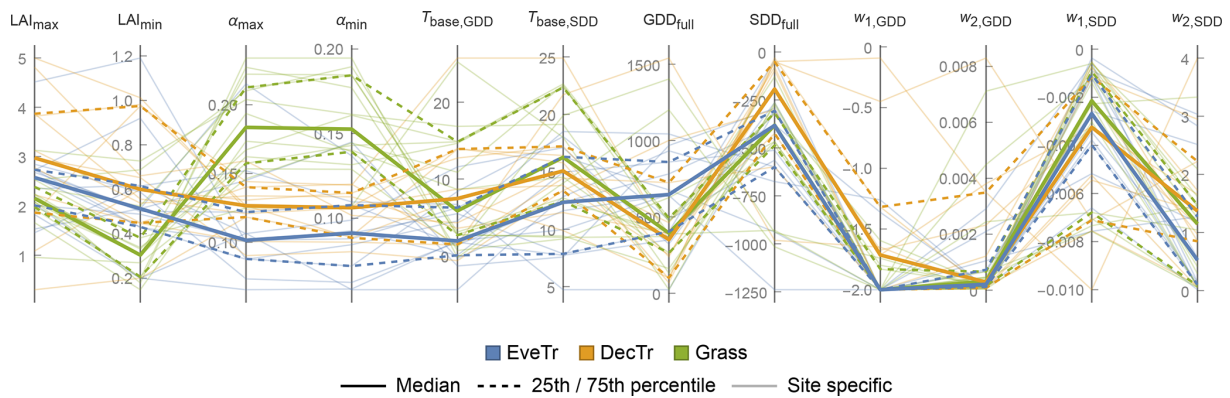
To calculate the storage heat flux  $\Delta Q_s$ , the required OHM coefficients (Eq. 7) can be determined from observed net all-wave radiation and observed storage heat flux, using ordinary linear regression. As the FLUXNET sites chosen are considered to be homogeneous, we derive coefficients for each site.

Ideally the storage heat flux measurements would include each of the components that are heating and cooling down on a daily basis, such as the soil, trunk, branches, leaves and air volume in a forest (e.g. McCaughey et al., 1985; Oliphant et al., 2004). However, these measurements are unavailable



**Table 5.** Inter-site variability within the three vegetation classes of LAI- and albedo-related parameters (Eq. 4, Sect. 4.1) shown by mean and standard deviation. For individual site and PFT parameters see Appendix C (for digital version see Sun et al., 2021). Median and interquartile range (IQR) see Fig. 5.

	$\alpha_{\min}$ [-]	$\alpha_{\max}$ [-]	$LAI_{\max}$ [m <sup>2</sup> m <sup>-2</sup> ]	$LAI_{\min}$ [m <sup>2</sup> m <sup>-2</sup> ]	$GDD_{\text{full}}$ [°C d <sup>-1</sup> ]	$SDD_{\text{full}}$ [°C d <sup>-1</sup> ]
EveTr	0.093 ± 0.007	0.113 ± 0.010	2.46 ± 0.20	0.55 ± 0.07	625 ± 83	-501 ± 87
DecTr	0.102 ± 0.004	0.125 ± 0.006	2.9 ± 0.4	0.66 ± 0.07	475 ± 137	-273 ± 89
Grass	0.156 ± 0.007	0.185 ± 0.009	2.15 ± 0.13	0.35 ± 0.06	484 ± 102	-482 ± 74
	$T_{\text{base,GDD}}$ [°C]	$T_{\text{base,SDD}}$ [°C]	$\omega_{1,\text{GDD}}$ [-]	$\omega_{1,\text{SDD}}$ [-]	$\omega_{2,\text{GDD}}$ [-]	$\omega_{2,\text{SDD}}$ [-]
EveTr	2.8 ± 1.2	12.5 ± 1.2	-1.89 ± 0.07	-0.0031 ± 0.0006	0.00067 ± 0.00023	0.96 ± 0.31
DecTr	5.9 ± 1.7	14.7 ± 1.1	-1.54 ± 0.20	-0.0043 ± 0.0009	0.0018 ± 0.0009	1.55 ± 0.35
Grass	9.9 ± 2.1	16.9 ± 1.3	-1.93 ± 0.04	-0.0031 ± 0.0007	0.0012 ± 0.0006	1.05 ± 0.26



**Figure 5.** Variation in LAI-related parameters (12 labelled vertical lines, Sect. 2.2.1) within three land cover classes (colour) showing median (thick line), interquartile range (IQR, 25th and 75th percentiles, dashed lines) and site-specific values (thin lines).

in the FLUXNET2015 dataset. Hence, we calculate a residual flux  $\Delta Q_{S,\text{res}} = Q^* - (Q_H + Q_E)$  by assuming energy balance closure. This has the problem of accumulating the net measurement errors in this term (Grimmond et al., 1991; Grimmond and Oke, 1999).

The derived OHM coefficients (Fig. 6) can be determined by season (Anandakumar, 1999; Ward et al., 2016; Sun et al., 2017). We distinguish warm (“summer”) and cold (“winter”) seasons using months (summer: Northern Hemisphere JJA; Southern Hemisphere: DJF; winter: DJF (JJA), respectively). For simplicity, we omit periods when LAI may be changing rapidly. If the daily mean air temperature is warmer (cooler) than the annual mean of daily median temperature, then summer (winter) OHM coefficients are used in the simulations.

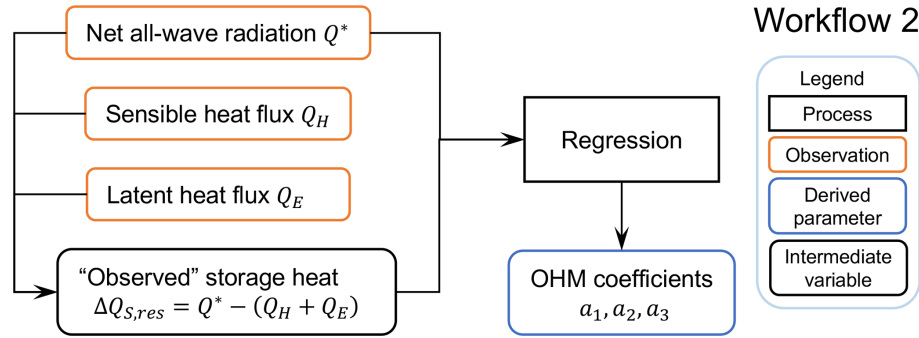
The OHM coefficients derived for the 38 FLUXNET sites (Table 6, Fig. 7) vary between land cover types and seasons. For each land cover type,  $a_1$  and  $a_3$  are notably larger in winter than in summer while the seasonal difference in  $a_2$  is relatively small. Thus the overall fraction of heat stored does not vary much, but the diurnal hysteresis effect is weaker in winter. These results are consistent with previous analytical results (Sun et al., 2017). Within each PFT, there is larger

variability in  $a_2$  and  $a_3$  (cf.  $a_1$ ), notably for evergreen and deciduous trees, suggesting using the most appropriate site values (e.g. medians) may improve predictions of the storage heat flux. In addition to the values derived here, we note that more detailed  $\Delta Q_S$  observations are available for vegetated sites to derive such OHM coefficients (e.g. McCaughey, 1985; Oliphant et al., 2004).

### 4.3 Surface-conductance-related parameters

As the Jarvis-type formulation of stomatal and surface conductance is widely used for many land cover types, many parameter sets exist (e.g. Stewart, 1988; Grimmond and Oke, 1991; Ogink-Hendriks, 1995; Wright et al., 1995; Bosveld and Bouten, 2001; Järvi et al., 2011). Hoshika et al.’s (2018) comprehensive meta-analysis of published Jarvis-type stomatal conductance parameter values includes major woody and crop plants broadly similar to PFTs examined here.

Conventionally, the surface conductance parameters are derived by minimising the bias between the parameterised (Eq. 14) and so-called “observed” values derived from an in-



**Figure 6.** Workflow 2 (Fig. 1) to derive OHM coefficients. Related notebooks are provided in Sun et al. (2021).

**Table 6.** As Table 5, but for OHM-related parameters (Sect. 4.2). See Fig. 7 for comparison and Table C2 for site-specific values.

	$a_1$ [-]		$a_2$ [h]		$a_3$ [ $\text{W m}^{-2}$ ]	
	Summer	Winter	Summer	Winter	Summer	Winter
EveTr	$0.294 \pm 0.027$	$0.49 \pm 0.04$	$0.140 \pm 0.023$	$0.110 \pm 0.021$	$-12.7 \pm 3.0$	$-4.8 \pm 2.8$
DecTr	$0.312 \pm 0.021$	$0.396 \pm 0.035$	$0.122 \pm 0.019$	$0.166 \pm 0.019$	$-12.9 \pm 2.8$	$-11.0 \pm 4.0$
Grass	$0.318 \pm 0.020$	$0.62 \pm 0.06$	$0.079 \pm 0.013$	$0.046 \pm 0.011$	$-14.9 \pm 2.0$	$-4.1 \pm 2.5$

verse form of the Penman–Monteith equation (Eq. 3):

$$\frac{1}{g_s} = r_s = \left[ \frac{s}{\gamma} \frac{Q_H}{Q_E} - 1 \right] r_a + \frac{\rho c_p \text{VPD}}{\gamma Q_E}. \quad (30)$$

This requires the surface be dry (Sect. 2.2.4) which we define as being without recorded rainfall in 24 h.

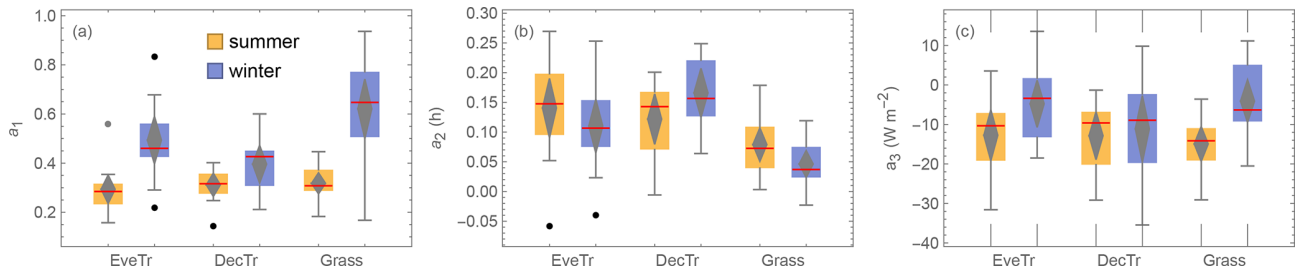
However, as the optimisation may not return values because of the complexity in Eq. (13) and the challenge of interpreting the derived parameter values, we adopt Matsumoto et al.’s (2008) approach to derive these parameters. Rather than using all the data combinations for  $g_s$ , the upper boundary of each forcing variable component (e.g.  $g(K_{\downarrow})$ ) is considered as the response for unconstrained conditions. Specifically, the workflow is as follows (Fig. 8):

1. Calculate aerodynamic resistance  $r_a$  (Eq. 8) with roughness length  $z_{0m}$  and displacement height  $z_d$  derived from observed wind speed under neutral conditions (Appendix B).
2. Calculate “observed” surface conductance  $g_{s,obs}$  (Eq. 30).
3. Remove outliers from  $g_{s,obs}$  data (step 2) iteratively (i.e. values larger than the 98th percentile until difference between 98th and 99th percentiles is  $< 1 \text{ mm s}^{-1}$ ). The remainder are used for deriving parameters.
4. Determine the upper boundaries of  $g_s$  curves with each component variable. To demonstrate this we use the US-MMS site (Fig. 9). First, original data are binned (sizes:  $50 \text{ W m}^{-2}$  for  $K_{\downarrow}$ ,  $2^\circ\text{C}$  for  $T_a$ ,  $2 \text{ kg kg}^{-1}$  for  $\Delta q$  and

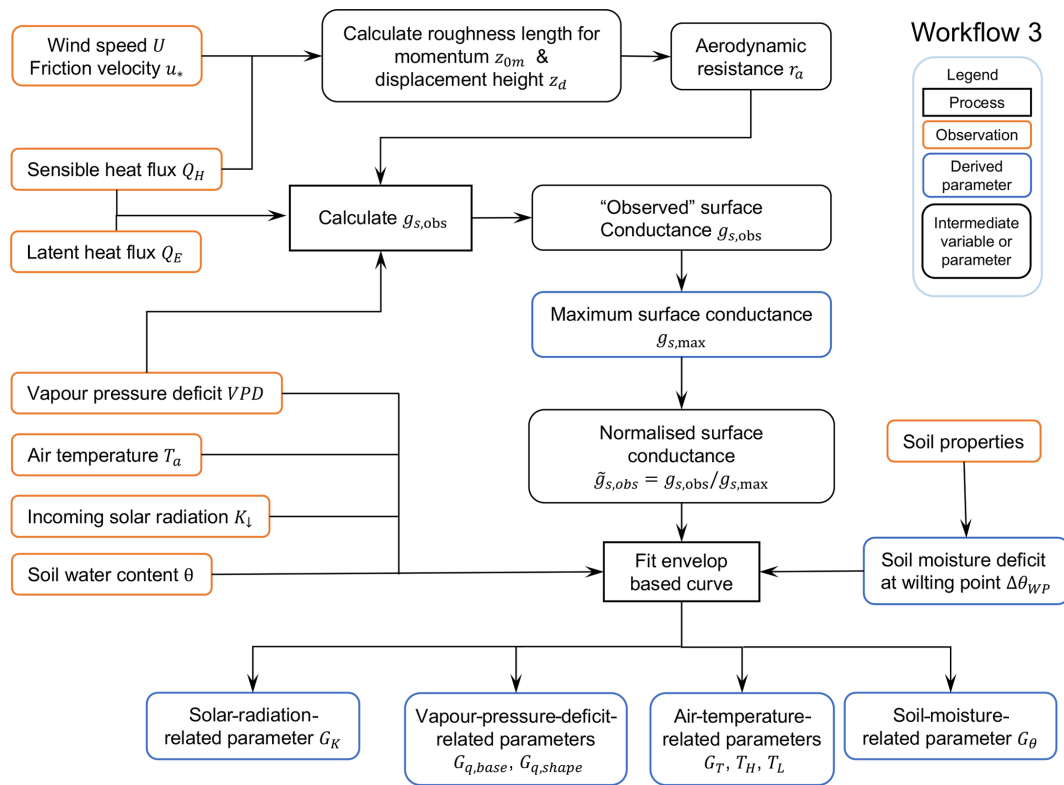
$10 \text{ mm}$  for  $\Delta\theta$ ), the 95th percentiles of these bins are sampled 100 times (bootstrapped) to determine anchor points (red dots, Fig. 9). Second, the parameters are fit to the  $g_s$  related curves (Eqs. 14–17) using the anchor points using NonlinearModelFit of Mathematica v12.3.1 (Wolfram Research, 2008).

The derived surface conductance parameters for the 38 FLUXNET sites (Tables 7 and C3) have different intra-PFT variability based on the IQR (dotted lines, Fig. 10) and demonstrates the benefit of the observations and of deriving site values when possible. It may help in selecting appropriate PFTs from other sources (e.g. NOAA values in Appendix A). The  $g_{max}$  results are consistent with Hoshika et al. (2018) in terms of inter-PFT ordering (Grass > EveTr and DecTr). The grass and crop values are comparable (Table C3) to Hoshika et al. (2018); however, our derived deciduous trees values are smaller ( $22$  vs.  $31 \text{ mm s}^{-1}$ ) and evergreen trees values larger ( $20$  vs.  $12 \text{ mm s}^{-1}$ ).

A consistent  $G_{q,shape}$  (Eq. 16) value (0.9 units) is obtained for all sites, implying potential for improvements to the  $g(\Delta q)$  relation between  $g_s$  and  $\Delta q$  (e.g. other formulations  $g_s(\Delta q)$  in Matsumoto et al., 2008) in future SUEWS development. This would be beneficial as there is a clear “plateau” observed for low  $\Delta q$  (Fig. 9c). Similar issues are found in  $g(\Delta\theta)$  for soil-moisture-related parameters. Although the parameters derived here are the “best” fit to the  $g_s$  forms in SUEWS v2020a, for each component variable multiple  $g_s$  formulations exist with a range of variable fitting performance (e.g. Fig. A1 in Ward et al., 2016). Here, we focus on *deriving the parameters* rather than *proposing new or more appropriate formulations*.



**Figure 7.** Boxplots showing variability of OHM coefficients between land covers (EveTr, DecTr and Grass) and seasons (summer and winter): (a)  $a_1$  (b)  $a_2$  and (c)  $a_3$ . Boxes (25th and 75th percentiles) and whiskers (5th and 95th percentiles), with median (red line) and mean (middle grey diamond, with 95 % confidence level (top and bottom) values, and outliers (dots).



**Figure 8.** Workflow 3 (Fig. 1) for deriving surface-conductance-related parameters. Related notebooks are provided in Sun et al. (2021).

The solar-radiation-related  $G_K$  parameter is linked to the level of incoming solar radiation needed for evapotranspiration to occur. Given incoming solar radiation intensity varies with latitude, we see  $G_K$  generally decreases polewards (Fig. 11a), suggesting geographical location could be used as a proxy for deriving  $G_K$ .

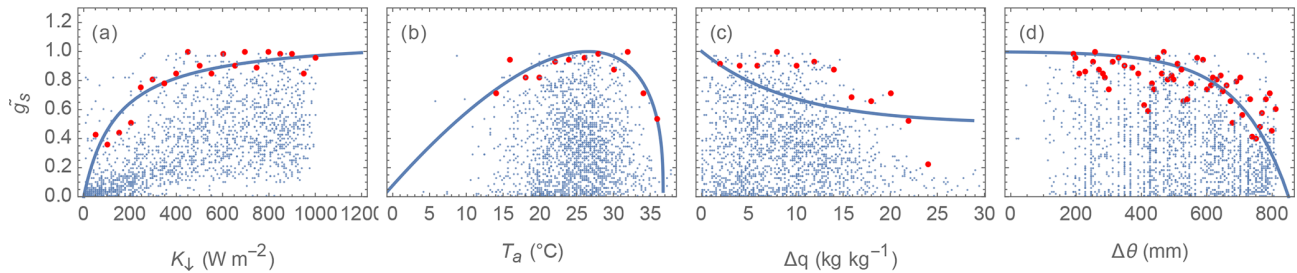
The air-temperature-related  $G_T$  parameter indicates the optimal temperature for evapotranspiration to reach its probable maxima.  $G_T$  appears to have a negative relation with latitude, but the two other temperature parameters ( $T_L$  and  $T_H$ ) have a very weak (or no) relation with latitude (Fig. 11b). This suggests a universal temperature range between  $T_L$  and

$T_H$  might be applicable across different sites while  $G_T$  should be determined on a site-by-site basis.

## 5 SUEWS performance in vegetated areas

### 5.1 SUEWS configuration and evaluation

SUEWS v2020a (Tang et al., 2021) is evaluated using its Python wrapper SuPy v2021.3.18 (Sun and Grimmond, 2019) with parameters (Table 1) and gap-filled 30 or 60 min meteorological forcing data (Table 2) based on FLUXNET2015 dataset. Simulations are conducted, with forcing data interpolated to a 5 min time step (Ward et al.,



**Figure 9.** Derived relations (blue lines) between normalised surface conductance  $\tilde{g}_s$  and (a) incoming solar radiation  $K_{\downarrow}$ , (b) air temperature  $T_a$ , (c) specific humidity deficit  $\Delta q$ , and (d) soil moisture deficit  $\Delta\theta$  based on anchor data points (red dots) after bootstrapping of observations (blue dots) for an example site (US-MMS).

**Table 7.** As Table 5, but for surface-conductance-related parameters (Sect. 4.3). See Fig. 10 and Appendix C.

	$g_{\max}$ [mm s <sup>-1</sup> ]	$G_K$ [W m <sup>-2</sup> ]	$G_T$ [°C]	$T_L$ [°C]	$T_H$ [°C]	$G_{q,\text{base}}$ [-]	$G_{q,\text{shape}}$ [-]	$G_{\theta}$ [-]	$\Delta\theta_{\text{WP}}$ [mm]
EveTr	20.5 ± 1.7	62 ± 5	10.3 ± 1.8	-13 ± 4	41.4 ± 2.0	0.391 ± 0.033	0.9	0.033 ± 0.009	511 ± 75
DecTr	21.2 ± 2.5	100 ± 23	18.0 ± 4.0	-18 ± 5	38.0 ± 1.5	0.439 ± 0.024	0.9	0.029 ± 0.010	521 ± 58
Grass	38.6 ± 2.8	87 ± 13	26.1 ± 1.9	-13 ± 5	40.1 ± 2.2	0.467 ± 0.033	0.9	0.048 ± 0.010	521 ± 54

2016), for 3 years (Table 3, *Evaluation period*) starting in mid-winter. The first year is discarded to allow for model spin-up. The two subsequent years are evaluated when observed latent heat flux data are available. In these model runs, the  $z_{0m}$  and  $z_{dm}$  values used are derived (Appendix B) by LAI state using observations for each LAI season (approximately nine values per site; see Sun et al. (2021) for values). All other parameters (Table 1) are determined for the *parameter derivation period* indicated in Table 3. At one site (AU-Gin), there are insufficient data for independent evaluation period from the parameter derivation period.

For the periods with 30 or 60 min  $Q_E$  EC observations ( $Y_{\text{obs}}$ ) available, the 5 min simulated values ( $Y_{\text{mod}}$ ) are averaged to 30 or 60 min to evaluate the  $j$  cases between 1 and the number of data points ( $N$ ). The following metrics are used:

1. *Hit rate (HR)*.

$$\text{HR} = \frac{\sum_{j=1}^N H(\delta_{Y,j} - |Y_{\text{mod},j} - Y_{\text{obs},j}|)}{N}, \quad (31)$$

with Heaviside step function  $H$  defined by

$$H(x) = \begin{cases} 0, & x < 0, \\ 1, & x \geq 0, \end{cases} \quad (32)$$

and the threshold  $\delta_{Y,j}$  being a value dependent on evaluation variable  $Y$ .

In particular,  $\delta_{Y,j}$  for  $Q_E$  is determined as a function of net all-wave radiation  $Q^*$  following Hollinger and Richardson (2005) to be  $\delta_{Y,j} = 0.1Q_j^* + 10$  (in W m<sup>-2</sup>) based on measurement uncertainties.

2. *Mean absolute error (MAE)*.

$$\text{MAE} = \frac{\sum_{j=1}^N |Y_{\text{mod},j} - Y_{\text{obs},j}|}{N} \quad (33)$$

3. *Mean bias error (MBE)*.

$$\text{MBE} = \frac{\sum_{j=1}^N (Y_{\text{mod},j} - Y_{\text{obs},j})}{N} \quad (34)$$

Both the MAE and MBE would ideally be 0 (with units of parameter and variable assessed), whereas if the HR = 1 it indicates all model predictions fall within the acceptable threshold set, while HR = 0 would suggest none are within the acceptance threshold.

A performance score PS as a function for each metric ( $x$ ; i.e. HR, MAE, |MBE|) is used to rank the sites:

$$\text{PS} = \frac{1}{N} \sum_{k=1}^N (w_x \tilde{x})_k, \quad (35)$$

where  $\tilde{x}$  is the rescaled ranking score of a given metric after being ranked from poorest to best, and  $w_x$  is a weight associated with the temporal analysis type  $k$  which varies from 1 to  $N$  (number of component periods; e.g.  $N = 24$  for hourly results). Equal weights (1/3) are used in the PS calculations for HR, MAE and |MBE|.

## 5.2 Impacts of model parameters on model performance

Given the many parameters in SUEWS, first we assess the relative importance of the parameters. We assume in this analysis our derived parameters (Sect. 4) are “perfect”, so we

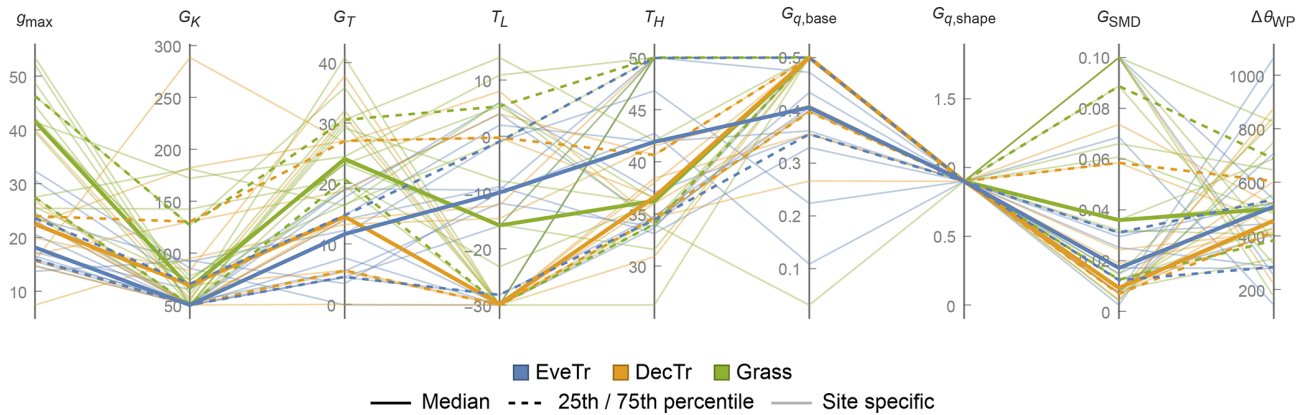


Figure 10. As Fig.5, but for surface-conductance-related parameters.

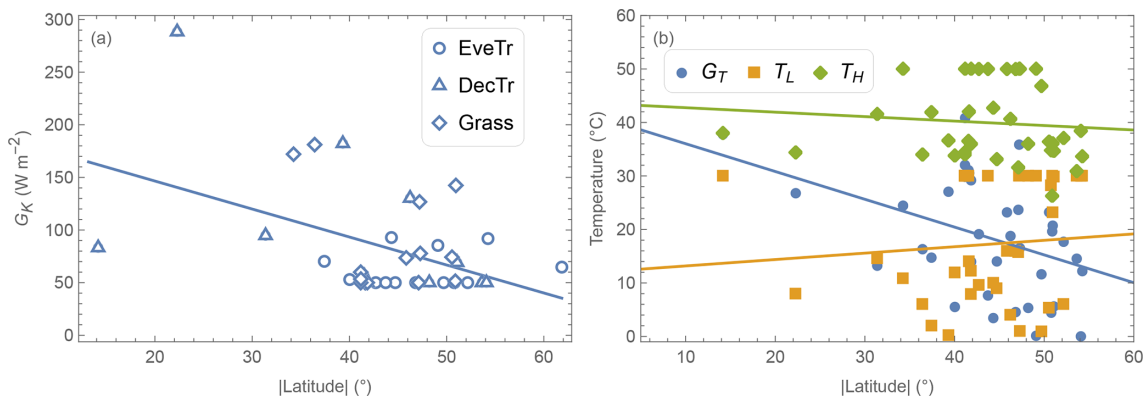


Figure 11. Relations between absolute latitude and derived parameters: (a) solar-radiation-related  $G_K$  by PFT (symbol) and (b) temperature-related  $G_T$ ,  $T_L$  and  $T_H$ . Lines are derived by ordinary linear regression. See text for notation definitions.

can undertake a sensitivity analysis (McCuen, 1974; Beven, 1979) of the Penman–Monteith equation (i.e. Eq. 3, denoted by PM hereafter):

$$\Delta Q_E = PM(AE + \Delta AE, r_a + \Delta r_a, r_s + \Delta r_s) - Q_E, \quad (36)$$

where  $AE = Q^* - \Delta Q_S$  is the available energy, incorporating parameter influences related to LAI, albedo and OHM. Similarly, multiple parameters influence the resistance terms. In Eq. (36) the prefix  $\Delta$  indicates bias terms. For simplicity, we consider the direct impacts only (i.e. secondary impacts from parameters inter-dependence are ignored). Expanding Eq. (36) in Taylor series, gives the following:

$$\Delta Q_E \approx PM'(AE) \cdot \Delta AE + PM'(r_a) \cdot \Delta r_a + PM'(r_s) \cdot \Delta r_s, \quad (37)$$

where  $PM'(x)$ , the first-order derivative of  $x$ , indicates the sensitivity of modelled  $Q_E$ . Note “ $\approx$ ” implies the approximation of  $\Delta Q_E$  as the sum of bias from the chosen parameters. To examine the influences of different parameters in model performance, we use two non-dimensional metrics derived from Eq. (37):

1. *Sensitivity coefficient* (SC) (McCuen, 1974).

$$SC = PM'(x) \cdot \frac{x}{Q_E} = \frac{\partial Q_E}{\partial x} \cdot \frac{x}{Q_E} \approx \frac{\Delta Q_E / Q_E}{\Delta x / x} \quad (38)$$

gives the fractional change in  $x$  causing a change in  $Q_E$ , indicating a relative sensitivity of PM to  $x$ . For instance,  $SC = 0.5$  suggests a 20 % increase in  $x$  may increase  $Q_E$  by 10 % ( $= 20 \% \times 0.5$ ).

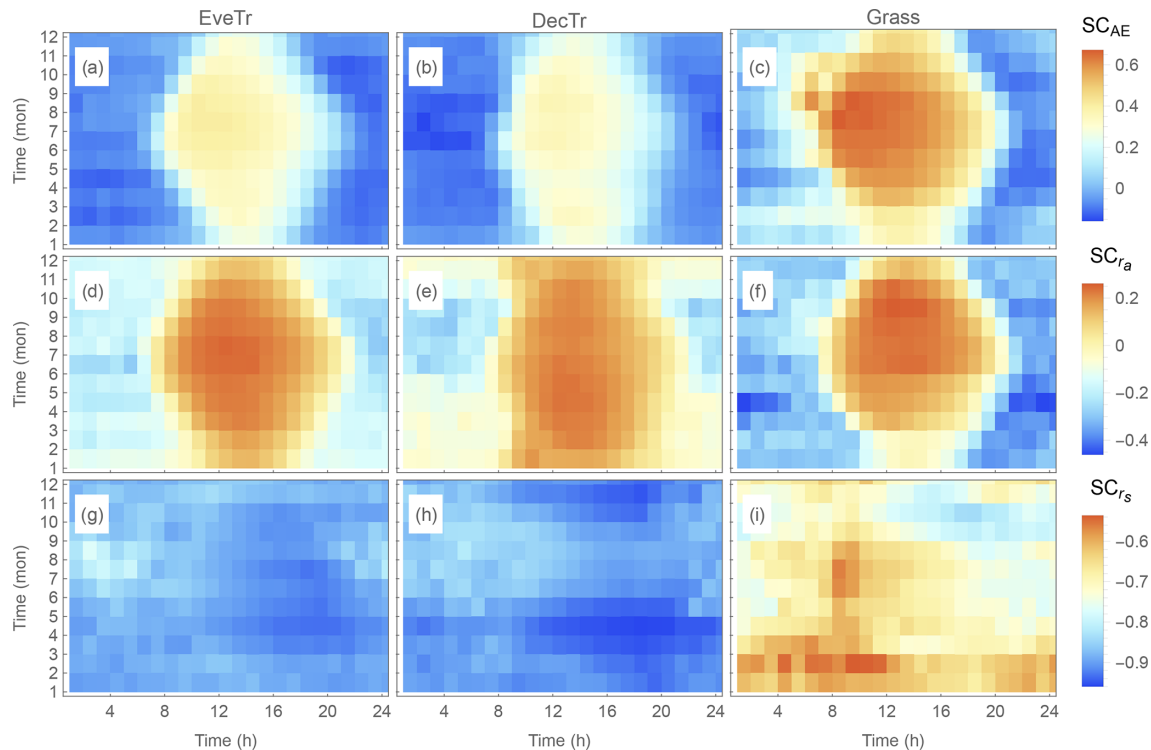
2. *Attribution fraction* (AF). quantifies the fraction of model bias derived from a given parameter  $x$ :

$$AF = PM'(x) \cdot \frac{\Delta x}{\Delta Q_E}. \quad (39)$$

Ideally, the sum of all AF contributors would equal 1, but as we omit inter-dependence of impacts of parameters, this may not occur. However, comparing the different contributors is indicative of their relative importance in modelled  $Q_E$ .

Both  $SC_{AE}$  and  $SC_{r_a}$  generally show a similar type of pattern (Fig. 12a–f) with seasonal and diurnal variations for the three PFTs. During warm periods (summer and noon), with





**Figure 12.** Temporal variation in median (colour) sensitivity coefficient (SC, Eq. 38) of (a–c) available energy (AE, Sect. 5.1), (d–f) aerodynamic resistance ( $r_a$ ) and (g–i) surface resistance ( $r_s$ ) for (a, d and g) evergreen trees (EveTr), (b, e and h) deciduous trees (DecTr), and (c, f and i) grassland and crops (Grass).

an increase in  $\Delta AE$  and  $\Delta r_a$  it is found to lead to larger positive bias in modelled  $Q_E$ , whereas in cooler periods (winter and night-time) the  $\Delta AE$  and  $\Delta r_a$  is found to increase the negative bias.

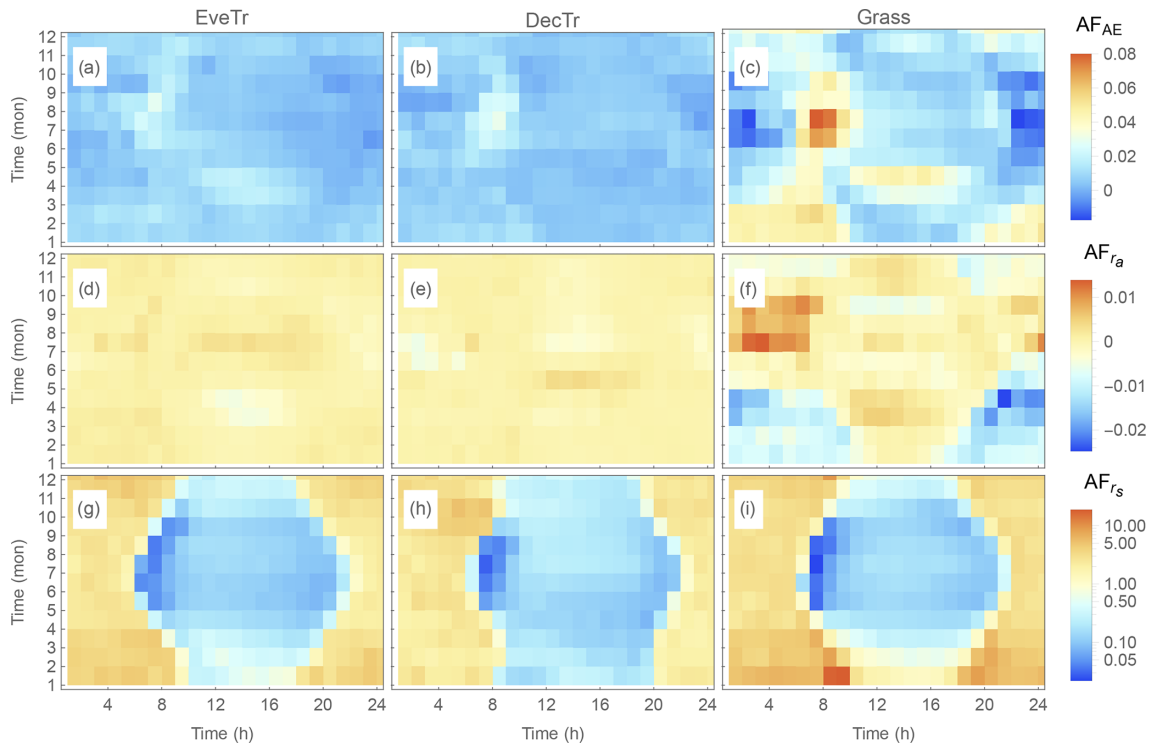
However, the temporal patterns in  $SC_{r_s}$  differ (Fig. 13g–i) from those in  $SC_{AE}$  (Fig. 13a–c) and  $SC_{r_a}$  (Fig. 13d–f): the  $SC_{r_s}$  values are always negative, and consistently larger in magnitude (cf.  $SC_{AE}$  and  $SC_{r_a}$ ), implying a particularly strong sensitivity of  $Q_E$  to  $r_s$ . This is consistent with Beven (1979), who found it to dominate the modelled summertime  $Q_E$  sensitivity in the PM framework.

The relative (cf. total) bias from the parameters is assessed in modelled  $Q_E$  at monthly and hourly temporal scales using the median AF (Fig. 13).  $AF_{r_s}$  is larger than both  $AF_{AE}$  and  $AF_{r_a}$ ; i.e.  $r_s$  imposes a dominant influence in modelled  $Q_E$  bias. There is more temporal variability in  $AF_{r_s}$  (cf.  $AF_{AE}$  and  $AF_{r_a}$ ) with cooler periods (morning and evening, whole winter) generally having values greater than 1, indicating the bias in  $r_s$  dominates modelled  $Q_E$ . As  $AF_{r_s}$  is still generally larger than  $\sim 0.3$  (except for transitional periods in summer, 08:00–09:00 LST, when  $AF_{r_s} \lesssim 0.3$ ),  $r_s$  remains an important control on modelled  $Q_E$ . These results together indicate that it is critical to assign accurate  $r_s$  to obtain accurate estimates of  $Q_E$ .

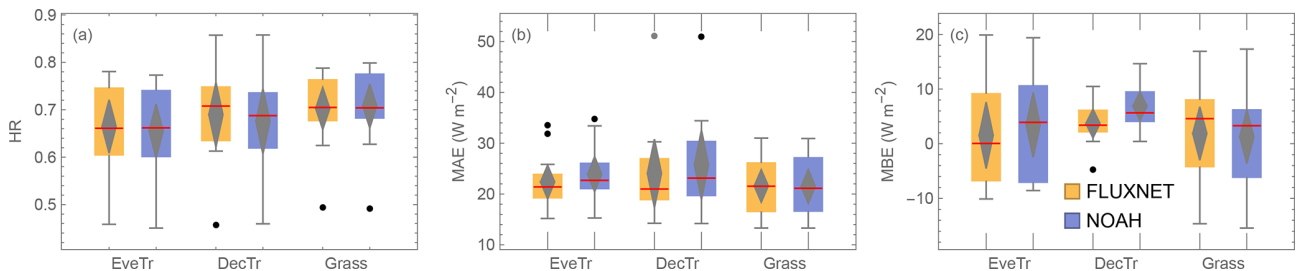
### 5.3 Evaluation of SUEWS-simulated $Q_E$ with two different sources of $g_s$ parameters

Given the critical importance of surface resistance to model performance in  $Q_E$  (Sect. 5.2), we assess the impact of two different sources of  $g_s$  parameters (keeping all other site parameters the same, with the values as indicated in Sect. 5.1): (i) site-specific values derived from FLUXNET2015 data (Sect. 4) and (ii) PFT-specific NOAH values modified for SUEWS (Appendix A). Errors in the other derived parameters (e.g. LAI-related parameters, storage heat flux coefficients via available energy) will impact both sets of results, but they are assumed to be equal, allowing the impacts of using site- and PFT-specific  $g_s$  parameters on SUEWS-simulated  $Q_E$  to be assessed. Given NOAH is extensively used in NWP systems (e.g. WRF, Skamarock and Klemp, 2008), the result also allows the applicability of NOAH-based  $g_s$  parameters at FLUXNET sites to be assessed.

Analysis using 2 years of  $Q_E$  EC flux data (after a 1-year spin-up) uses three metrics (Sect. 5.1). The overall median results are similar between the two sets of parameters across the 38 sites split into the three PFTs (Fig. 14, red lines). The median HRs are between 0.6 and 0.7, median MAEs are less than  $25 \text{ W m}^{-2}$ , and median MBEs are  $\sim 5 \text{ W m}^{-2}$ . At the Grass site, HR and MAE (Fig. 14a and b) performance is



**Figure 13.** As Fig.12, but the attribution fraction (AF, Eq. 39). Note  $AF_{r_s}$  scale is logarithmic.



**Figure 14.** Simulated  $Q_E$  using two sets of parameters (colour, FLUXNET – Table C3, NOAH – Table A1 assigned based on Table 3 PFT class) at 38 sites (boxplots, as Fig. 9, subdivided into three land cover classes: EveTr, DecTr and Grass) evaluated for 2 years with observed 30 or 60 min fluxes using three metrics (Sect. 5.1): (a) HR, (b) MAE and (c) MBE.

very similar, suggesting the NOAH-based parameters could be used for these sites at annual scales as a first-order proxy.

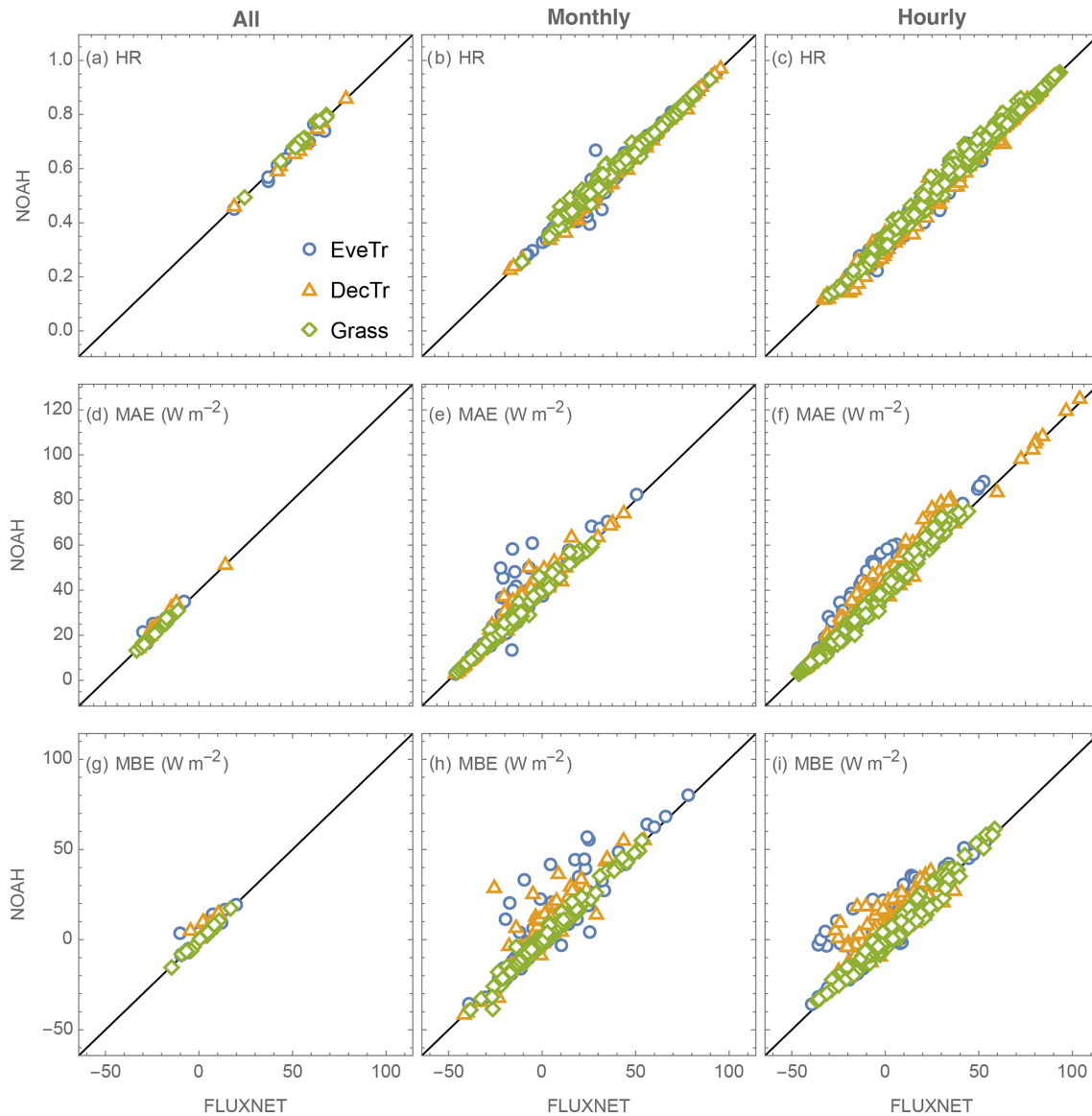
Evaluation using three different time periods (annual, monthly and hourly) shows differences in performance between using the FLUXNET2015 and NOAH-based parameters (Fig. 15). The HR is similar for all three temporal scales (Fig. 15a–c) for the three site types (colour). Both the MAE (Fig. 15d–f) and MBE (Fig. 15g–i) indicate better model performance can be obtained using the FLUXNET2015-based parameters (i.e. not above the 1 : 1 line). When using the NOAH parameters (Fig. 15h and i), some monthly MBEs are  $\sim 40 \text{ W m}^{-2}$  larger at EveTr sites for 8 of 156 cases and 5 of 312 for DecTr sites. Similarly, at the hourly scale the NOAH MBEs are on occasions  $\sim 30 \text{ W m}^{-2}$  larger (4 of 132 EveTr cases and 6 of 264 DecTr cases). However, the NOAH re-

sults have similar metrics at Grass sites. This suggests at the EveTr and DecTr sites, the NOAH-based  $g_s$  parameters may on occasion be less appropriate, suggesting that the individual sites’ values may be better.

#### 5.4 Evaluation of SUEWS-simulated $Q_E$ and key parameters at sites with contrasting model performance

Given the results in Sect. 5.3, the performance of individual sites using the FLUXNET2015-derived parameters (Sect. 4) at monthly (Fig. 16) and hourly (Fig. 17) timescales are investigated.

As expected (Sect. 5.2), the HR values are consistently better at all sites during cooler (winter) than warmer (sum-



**Figure 15.** Relation between NOAH and FLUXNET of (rows) three evaluation metrics for (columns) three temporal scales (all,  $n = 38$  sites but different number of samples per site, Table 3; monthly,  $n = 456 = 38$  sites  $\times$  12 months; and hourly,  $n = 912 = 38$  sites  $\times$  24 h). Data points are colour coded by land cover class.

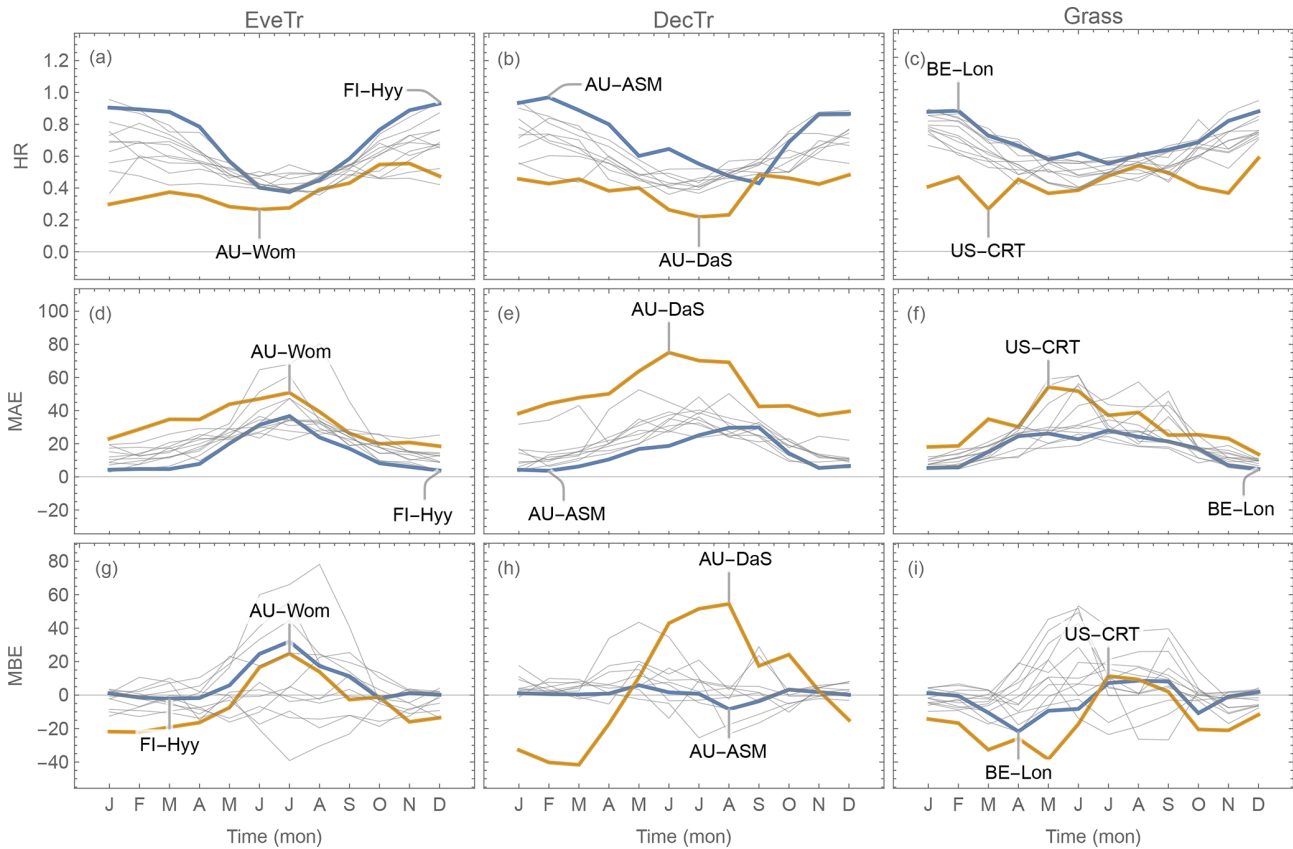
mer) seasons (Fig. 16a–c), and similarly for night rather mid-day time periods (Fig. 17a–c). Given the consistency in MAE and HR (Figs. 16 and 17d–f) patterns, the sites identified to be simulated the “best” (blue) and “poorest” (orange) are the same (Sect. 5.1).

However, using the MBE different sites are selected. For example, monthly MBE at AU-ASM stays close to zero throughout the year while at AU-DaS it varies between  $-40$  and  $60 \text{ W m}^{-2}$  (Fig. 16h). The largest intra-month MBE range for an EveTr site is  $87.1 \text{ W m}^{-2}$ , which occurs at FR-LBr. The equivalent range for DecTr sites is larger ( $96.1 \text{ W m}^{-2}$  at AU-DaS) but smaller at Grass sites ( $69.6 \text{ W m}^{-2}$ ; US-Ne3). The intra-hourly MBE ranges

are smaller than intra-monthly values, with a DecTr and a Grass site having a larger range than the largest EveTr site (AU-DaS ( $61.9 \text{ W m}^{-2}$ ), US-Goo ( $60.0 \text{ W m}^{-2}$ ), FR-LBr ( $53.1 \text{ W m}^{-2}$ ), respectively).

To investigate  $Q_E$  performance relative to key parameters ( $LAI$ ,  $r_a$  and  $g_s$ ) we select the sites with contrasting results from each PFT to understand the drivers. The hourly and monthly ranked performances (Eq. 35) are broadly consistent within each PFT (Fig. 18). Sites with higher hourly scores generally have better monthly scores, except for IT-SRo within the EveTr cohort. It has the highest hourly PS (0.86) but is ranked fourth based on  $PS_{\text{monthly}}$  (0.64), whereas the highest  $PS_{\text{monthly}}$  (0.91) is for FI-Hyy which also has the





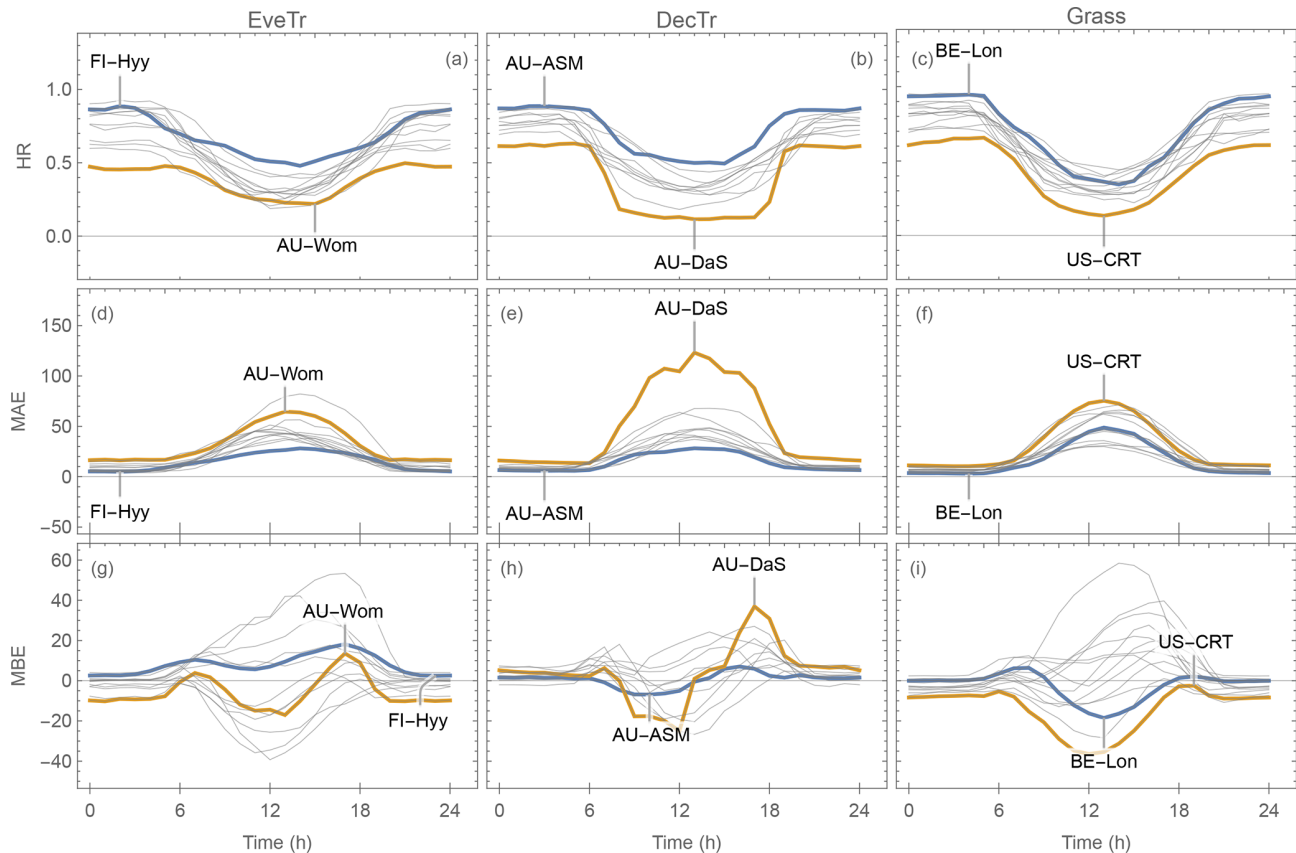
**Figure 16.** Variation in evaluation metrics (Sect. 5.2) based on 30 or 60 min  $Q_E$  data by month using the derived parameters based on FLUXNET2015 dataset (Tables C1–C3): (a–c) HR, (d–f) MAE, (g–i) MBE by sites grouped into three PFTs, (a, d and g) EveTr, (b, e and h) DecTr and (c, f and i) Grass with sites of best (blue) and poorest (orange) performance highlighted and others in grey (indicated by PS: see text and Eq. 35 for details). Note Southern Hemisphere sites are offset by 6 months (Sect. 5.1), so “general” seasons are consistent across sites.

second rank  $PS_{\text{hourly}}$  (0.83). To select sites for further analysis we rank based on the mean of monthly and hourly PS results. The six sites chosen are the best and poorest sites for the three PFTs (i.e. extremes in Fig. 18, highlighted in Figs. 16 and 17).

Comparing the contrasting site  $Q_E$  performance (best vs. poorest) for the three PFTs (Figs. 19 vs. 20; 21 vs. 22; 23 vs. 24), we identify the skill of capturing the annual LAI dynamics is crucial to seasonal model performance (Figs. 19–24a). At the “best” sites (except for BE-Lona, a Grass site whose performance is more controlled by surface conductance  $g_s$  skill and shall be discussed later) the phenology generally has the correct timing, while at the poorest onsets of some stages are missed (e.g. Fig. 22a). Timing appears to be more critical than magnitude, as although the LAI magnitude at AU-ASM has a large bias in year 2 ( $0.5 \text{ m}^2 \text{ m}^{-2}$ , Fig. 21a) the phenology timing is well captured. This result for a first rank site (i.e. best performance) implies the rescaling nature of LAI in parameterisation of albedo (Eq. 6) and surface conductance (Eq. 14) plays an important role. This indicates the importance of assigning appropriate LAI pa-

rameters, notably those influencing the timing (i.e. temperature thresholds  $T_{\text{base,GDD}}$  and  $T_{\text{base,SDD}}$  in Eq. 4), in SUEWS modelling  $Q_E$  at vegetated sites.

As expected (Sect 5.2), SUEWS performance is critically impacted by surface conductance  $g_s$  skill (Figs. 19–24b–e vs. j–m): sites and seasons with better model  $g_s$  skill (i.e. simulations and observations closer) show overall better performance (e.g. for Grass sites, BE-Lon vs. US-CRT, cf. Figs. 23c and 24c).  $g_s$  is better modelled in warmer (JJA and SON) than cooler seasons (MAM and DJF). At night,  $g_s$  is generally underestimated, by a similar order of magnitude to that in cooler seasons ( $\sim 3 \text{ mm s}^{-1}$ ). These results, consistent with SUEWS results for two UK urban sites (Ward et al., 2016), suggest improvements are needed in the Jarvis-type  $g_s$  parameterisation during cooler periods. Given the method adopted here of using summertime observations, as used by many other land surface models (e.g. NOAH – Chen and Dudhia, 2001; HTESSEL – Balsamo et al., 2009), it is implied that the widely adopted Jarvis-type  $g_s$  parameterisations and/or related parameter values (see Sect. 4.3) may be biased towards vegetation canopies in warmer periods. The



**Figure 17.** As Fig. 16, but for diurnal cycles using local standard time.

“cool” bias in modelled  $g_s$  found here, and in earlier SUEWS work (e.g. Ward et al., 2016), should be considered a more common issue beyond the SUEWS model. Given the needs in long-term climate modelling, systematic biases should be removed, suggesting other land surface models that adopt the Jarvis-type  $g_s$  parameterisation might need revisions as well.

The aerodynamic resistance  $r_a$  is modelled well at all sites (Figs. 19–24f–i), with nocturnal biases larger (e.g. underestimate of  $\sim 50 \text{ s m}^{-1}$  at AT-Neu, Fig. 23f–i). This good performance may be largely attributed to the use of local growth-stage-derived aerodynamic roughness parameters (Appendix B) rather than estimated using a morphometric model (e.g. based on canopy height). To estimate  $z_{0m}$  and  $z_d$  using Eqs. (9) and (10) the  $f_0$  and  $f_d$  parameters can be derived using Sun et al. (2021) for different growing stages with the FLUXNET2015 data when canopy heights are available. The largest intra-PFT variability occurs for “Grass” sites (Fig. B1).

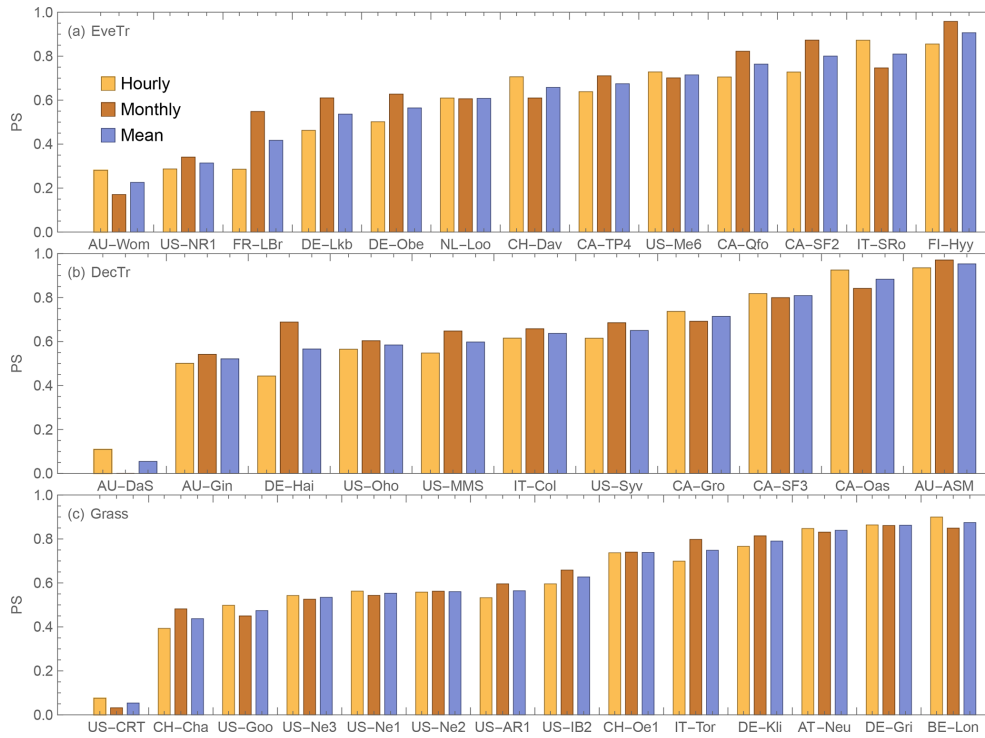
## 6 Concluding remarks

In this work, we derive parameters for SUEWS for fully vegetated land covers that are commonly found in background (“rural”) contexts of cities, where SUEWS has been

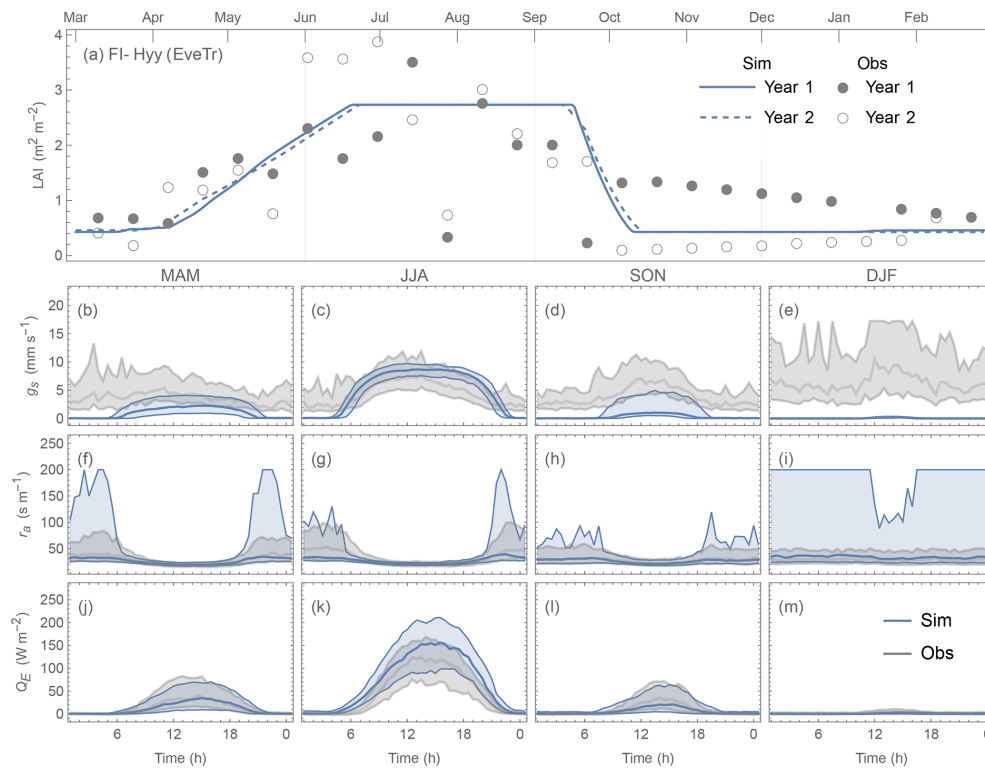
widely used to model urban climates. To facilitate derivation of SUEWS parameters we provide workflows in Jupyter notebooks (Sun et al., 2021) for leaf area index (LAI), albedo, Objective Hysteresis Model (OHM) coefficients, aerodynamic roughness parameters and surface conductance ( $g_s$ ). We use these to determine parameters at 38 vegetated FLUXNET sites in North America, Europe and Australia. Using the derived parameters, we assess the performance of SUEWS in predicting latent heat flux ( $Q_E$ ) at different temporal scales (monthly and hourly).

The following conclusions were made:

- Where observations are available, we recommend determining local parameters, as derived parameters vary within PFT (Appendix C). The tools provided here are designed to facilitate this (Sect. 4).
- Given the global availability of MODIS LAI and reanalysis-based air temperature datasets (e.g. ERA5), it is feasible to derive site-by-site LAI parameters for SUEWS (Sect. 4.1).
- OHM coefficients for modelling storage heat flux derived here show clear seasonality: summertime (i.e. days warmer than annual median air temperature)  $a_1$  and  $a_3$  are smaller than their wintertime counterparts,



**Figure 18.** Performance score (PS, Eq. 35, higher value better) using FLUXNET2015 derived parameters for sites (Table 3) from three PFT: (a) EveTr, (b) DecTr and (c) Grass.



**Figure 19.** FI-Hyy (EveTr, PS = 0.92) performance: (a) annual LAI, (b–e)  $g_s$  (in seasonal ensemble of diurnal cycles: median values in bold lines, while interquartile ranges in shadings), (f–i)  $r_a$  and (j–m)  $Q_E$ . Note the same colouring of simulations for sites with best and poorest model performance and 6-month offset in annual cycles are applied for consistency with Figs. 16 and 17.

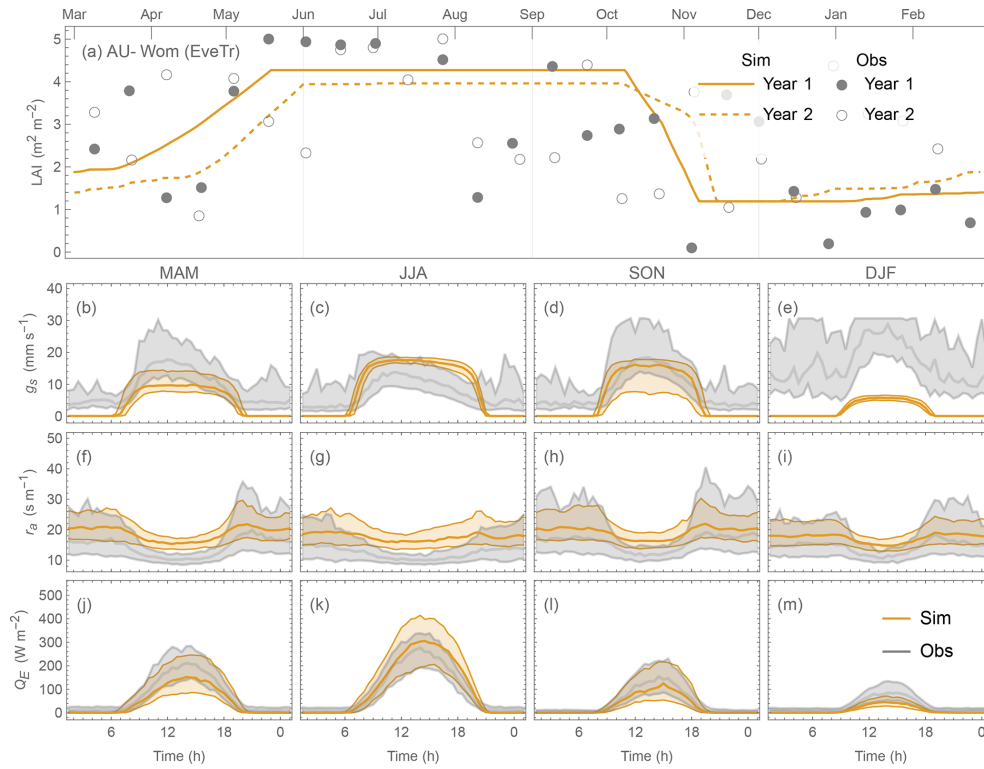


Figure 20. As Fig. 19, but for AU-Wom (EveTr, PS = 0.18).

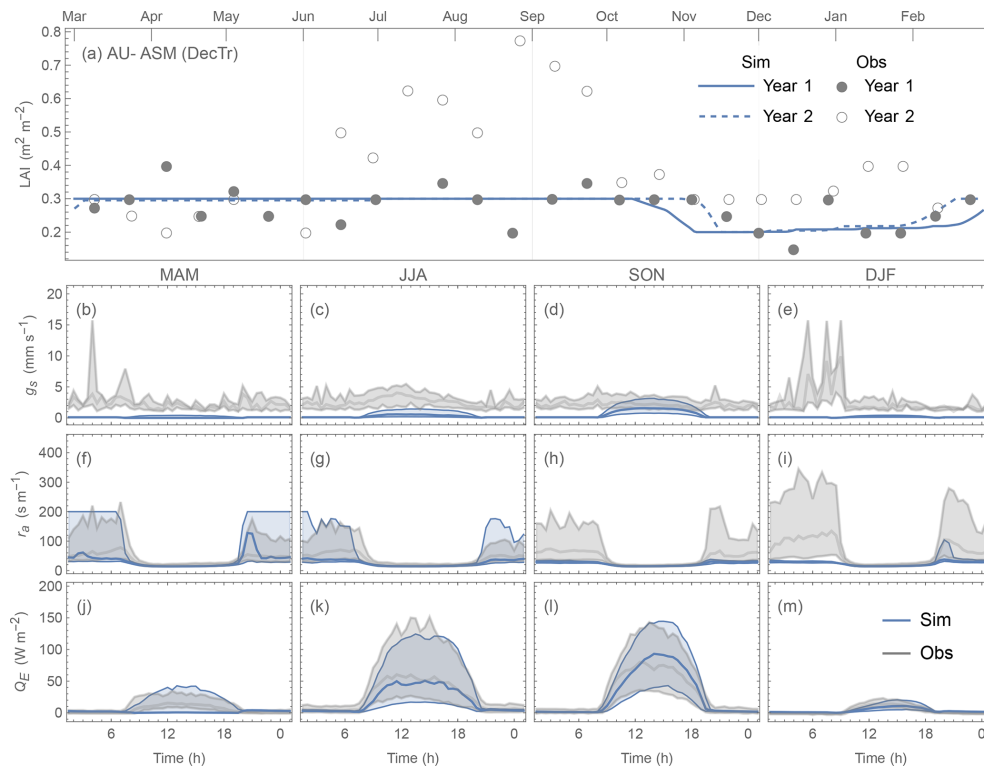


Figure 21. As Fig. 19, but for AU-ASM (DecTr, PS = 0.96).

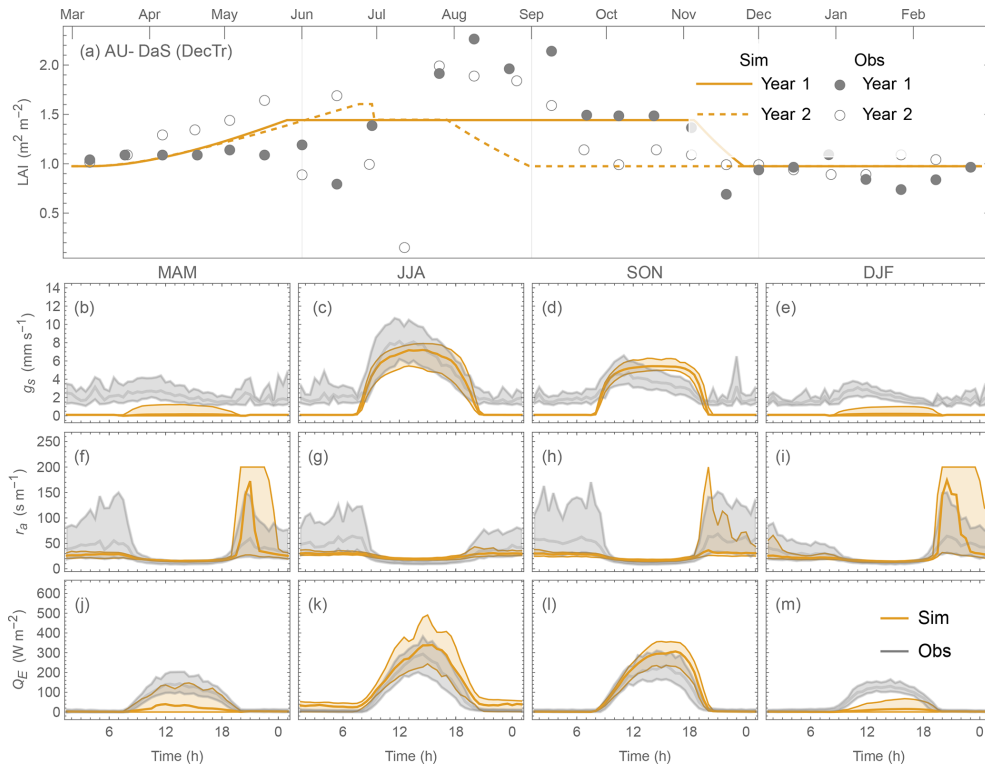


Figure 22. As Fig. 19, but for AU-DaS (DecTr, PS = 0.04).

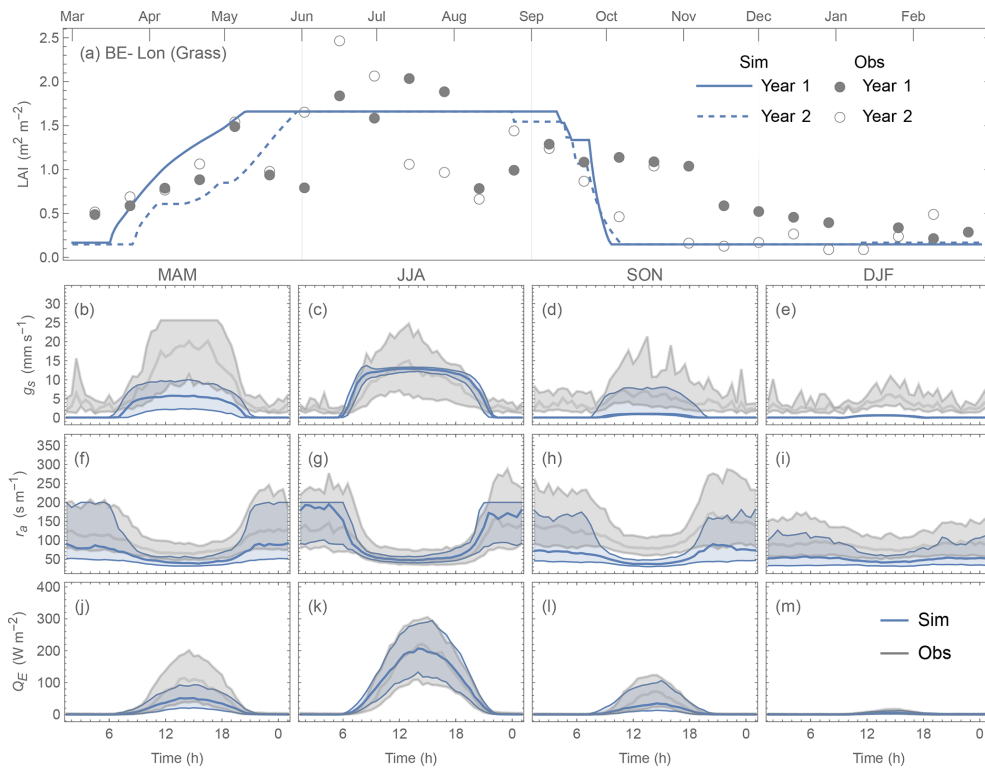
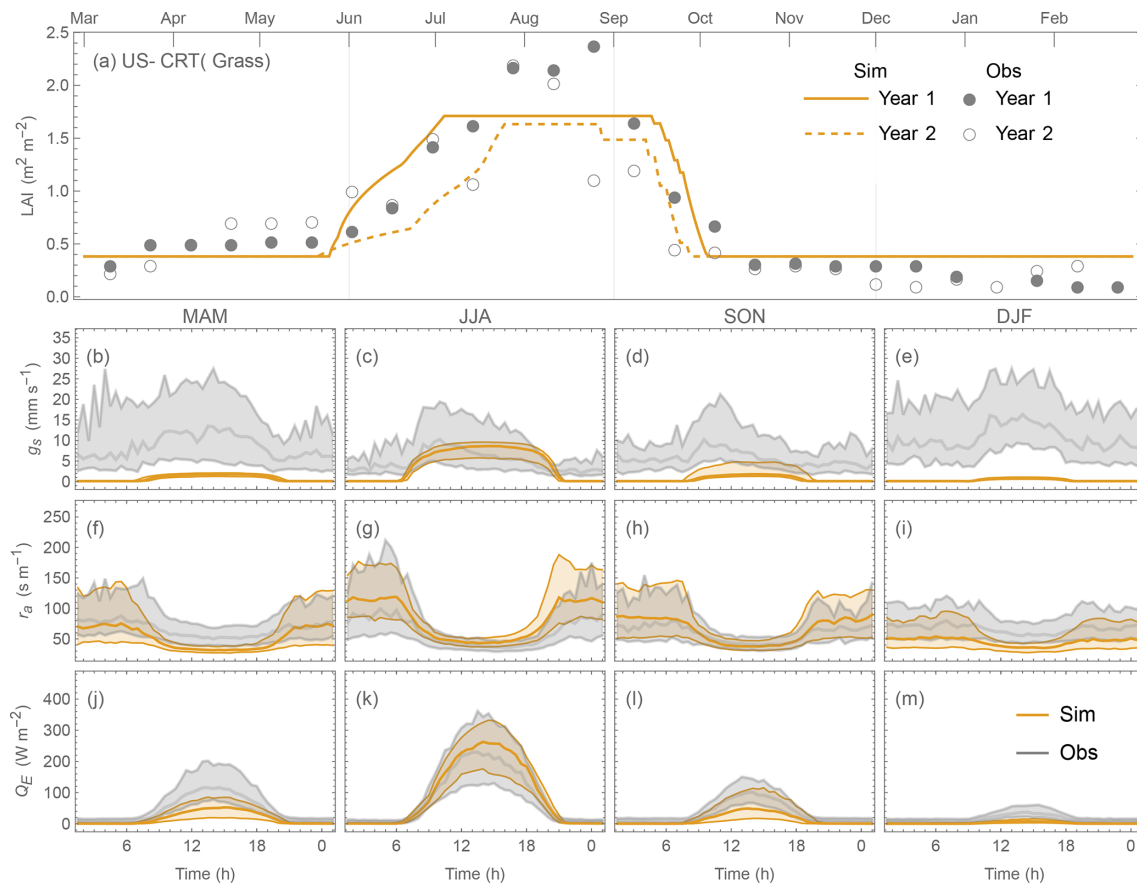


Figure 23. As Fig. 19, but for BE-Lon (Grass, PS = 0.88).



**Figure 24.** As Fig. 19, but for US-CRT (Grass, PS = 0.04).

while the seasonal contrast in  $a_2$  is smaller, suggesting seasonally varying values should be used for long-term (i.e. > 1 year) simulations.

- Surface-conductance-related parameters derived using a summertime upper-boundary-based approach (Matsumoto et al., 2008) produce parameters related to solar radiation ( $G_K$ ) and optimal air temperature ( $G_T$ ) with some dependence on geographical locations, which could be used as a proxy to derive these two parameters.
- SUEWS-modelled  $Q_E$  is particularly sensitive to surface conductance as informed by the attribution analysis using an analytical framework by McCuen (1974) and consistent with results of Beven (1979) that surface conductance plays a dominant role in moderating the bias in modelled  $Q_E$ .
- SUEWS configured with NOAH-based parameters has comparable prediction skill in  $Q_E$  compared to site-specific parameters when assessed by hit rate (HR) with medians being  $\sim 0.65$ . However, site-specific parameters improve SUEWS performance as shown by the mean absolute error (MAE) and mean bias error (MBE)

metrics, becoming increasingly evident at finer temporal scales (monthly and hourly).

- SUEWS with site-specific parameters outperforms in cooler periods (i.e. winter and night) compared to warmer periods (i.e. summer and day): HR is consistently higher in the former periods than the latter (0.71 vs. 0.52 in median) while MAE shows an opposite pattern (cooler vs. warmer seasons median:  $\sim 12$  vs.  $\sim 31$  W m<sup>-2</sup>).
- Correctly predicting LAI timing dynamics has a crucial influence on overall  $Q_E$  model performance, followed by surface conductance  $g_s$  that is generally underestimated during cooler periods (more pronounced at night by  $\sim 3$  mm s<sup>-1</sup>).

As the first comprehensive study of SUEWS at multiple vegetated sites, we also identify future development and application needs:

- None of the simple LAI schemes in SUEWS account for hydrological impacts on LAI. Vegetation with shallow roots (e.g. US-SRG in Arizona, US, categorised as GRA according to IGBP, Fig. D2) are not well modelled when



air temperature is the only phenology forcing variable. Hydrological feedback should be considered in future development of the LAI scheme in SUEWS.

- The specific humidity deficit surface conductance parameter relation needs improvement as a plateau-like trend is observed near the lower end (e.g. Fig. 9c).
- A potential source of parameter values for PFT beyond those studied here (i.e. values provided Appendix C, Sun et al., 2021) could be NOAH-based parameters (Appendix A) but these should be used with caution, as demonstrated (Sect. 5).
- More careful treatment of snow cover should be incorporated to enhance SUEWS capacity in high-latitude regions.

### Appendix A: NOAH-based equivalent values for surface-conductance-related parameters for SUEWS

The NOAH land surface scheme (Chen et al., 1996) uses a similar Jarvis-type parameterisation of surface conductance  $g_s$  to that in SUEWS (i.e. Eq. 13) but with different formulation of  $g_s$  sub-components from SUEWS (Eqs. A1–A4 vs. Eqs. 15–18). The NOAH equations using our notation are as follows:

- *Incoming solar radiation* ( $K_{\downarrow}$ ).

$$g_{\text{NOAH}}(K_{\downarrow}) = \frac{\frac{R_{\text{cmin}}}{5000} + f}{1 + f}, \quad (\text{A1})$$

where  $f = 0.55 \frac{K_{\downarrow}}{R_{\text{gl}}} \frac{2}{\text{LAI}}$  with  $R_{\text{gl}}$  an adjustable parameter for  $K_{\downarrow}$ .

- *Air specific humidity* ( $q$ ).

$$g_{\text{NOAH}}(q) = \frac{1}{1 + h_s(q_s - q)}, \quad (\text{A2})$$

where  $h_s$  is the adjustable parameter for specific humidity  $q$  and  $q_s$  the saturation specific humidity.

- *Air temperature* ( $T_a$ ).

$$g_{\text{NOAH}}(T_a) = 1 - 0.0016(T_{\text{ref}} - T_a)^2, \quad (\text{A3})$$

where  $T_{\text{ref}}$  is the adjustable parameter for air temperature  $T_a$ .

- *Soil moisture* ( $\theta_{\text{soil}}$ ).

$$g_{\text{NOAH}}(\theta_{\text{soil}}) = \frac{\theta_{\text{soil}} - \theta_{\text{WP}}}{\theta_{\text{FC}} - \theta_{\text{WP}}}, \quad (\text{A4})$$

where  $\theta_{\text{FC}}$  and  $\theta_{\text{WP}}$  are field capacity and wilting point (see Table A2 for values of different soil types).

To use the NOAH parameters (as given in Tables 1 and 2 of Chen and Duhdia, 2001), we convert the NOAH parameters (Eqs. A1–A4) to the SUEWS required parameters (i.e. for Eqs. 15–18). The resulting SUEWS parameters (Table A1) are used (Sect. 5) to produce results in Figs. 14 and 15 denoted by NOAH.

Except for the  $g_s$  function for air temperature – SUEWS and NOAH adopt the effectively same formulation – other  $g_s$  functions may produce different results even using the converted parameter values (Table A1). In particular, for the shortwave radiation and specific humidity (Fig. A1), the SUEWS values (blue) are higher than NOAH values (red) for all PFTs. The role of soil type (Table A2) on the soil moisture deficit function (Fig. A2) results in larger differences at dry and mid-wet extremes.

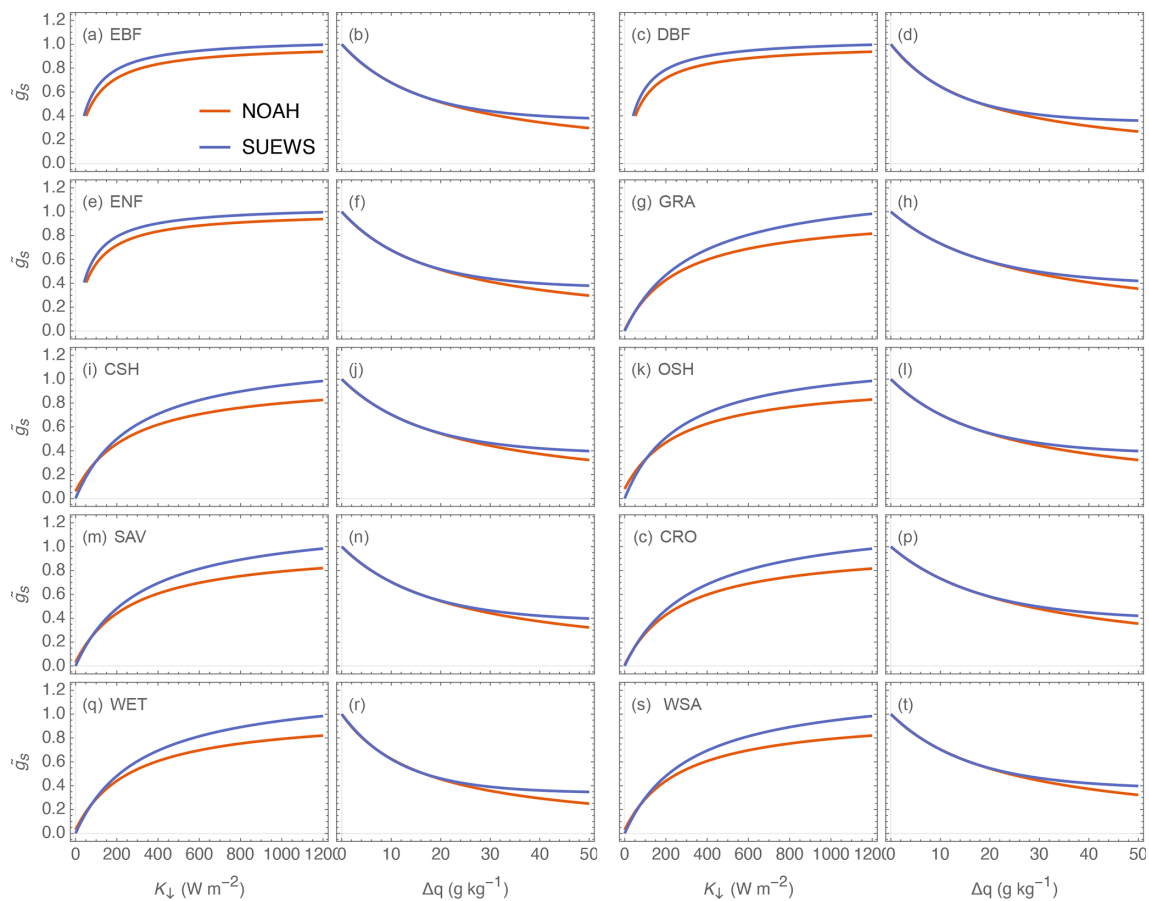
**Table A1.** NOAH-derived (data: based on Tables 1 and 2 of Chen and Duhdia, 2001) surface-conductance-related parameters for SUEWS.

	$g_{\max}$ [mm s <sup>-1</sup> ]	$G_K$ [W m <sup>-2</sup> ]	$G_T$ [°C]	$T_L$ [°C]	$T_H$ [°C]	$G_{q,\text{base}}$ [-]	$G_{q,\text{shape}}$ [-]	$G_\theta$ [-]
EBF	10.0	67	24.85	-0.15	49.85	0.361	0.932	0.002
DBF	10.0	67	24.85	-0.15	49.85	0.348	0.924	0.002
MF	10.0	66	24.85	-0.15	49.85	0.352	0.927	0.002
ENF	6.7	65	24.85	-0.15	49.85	0.361	0.932	0.002
GRA	25.0	336	24.85	-0.15	49.85	0.384	0.945	0.002
CSH	3.3	291	24.85	-0.15	49.85	0.372	0.938	0.002
OSH	2.5	275	24.85	-0.15	49.85	0.372	0.938	0.002
SAV	6.7	316	24.85	-0.15	49.85	0.372	0.938	0.002
CRO	25.0	336	24.85	-0.15	49.85	0.384	0.945	0.002
WET	6.7	316	24.85	-0.15	49.85	0.338	0.919	0.002
WSA	6.7	316	24.85	-0.15	49.85	0.372	0.938	0.002

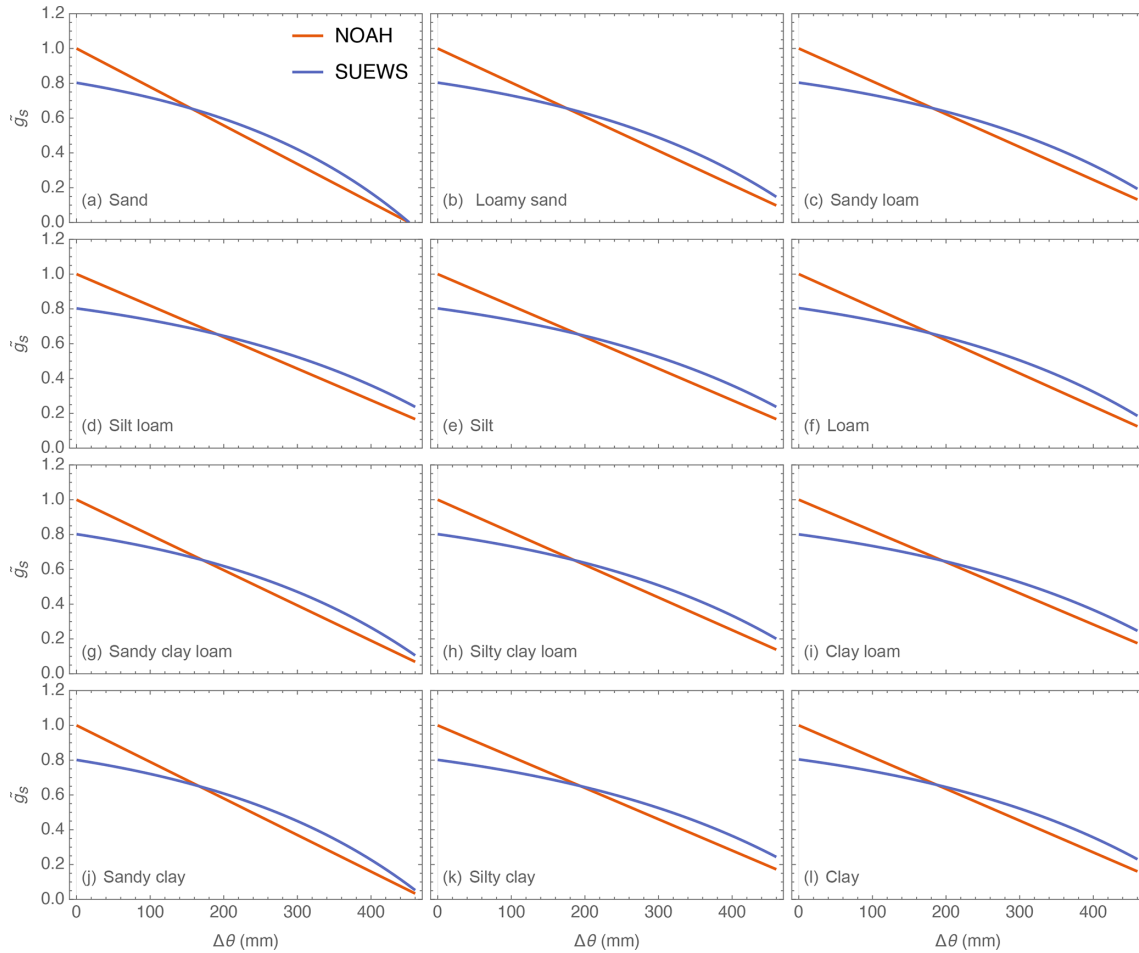
**Table A2.** Soil field capacity ( $\theta_{FC}$ ) and wilting point ( $\theta_{WP}$ ) used in NOAH (data: based on Table 2 of Chen and Duhdia, 2001).

	$\theta_{FC}$ [m <sup>3</sup> m <sup>-3</sup> ]	$\theta_{WP}$ [m <sup>3</sup> m <sup>-3</sup> ]
Sand	0.236	0.01
Loamy sand	0.283	0.028
Sandy loam	0.312	0.047
Silt loam	0.36	0.084
Silt	0.36	0.084
Loam	0.329	0.066
Sandy clay loam	0.314	0.067
Silty clay loam	0.387	0.12
Clay loam	0.382	0.103
Sandy clay	0.338	0.1
Silty clay	0.404	0.126
Clay	0.412	0.138





**Figure A1.** NOAH (red) and SUEWS (blue) surface conductance functions for incoming solar radiation ( $K_{\downarrow}$ ) and specific humidity deficit ( $\Delta q$ ) for different IGBP PFTs.



**Figure A2.** As Fig. A1 but for soil moisture deficit ( $\Delta\theta$ ) for different soil types with an assumed soil depth of 2000 mm. Soil hydraulic properties (field capacity  $\theta_{FC}$  and wilting point  $\theta_{WP}$ ) are provided in Table A2.

### Appendix B: Derivation of roughness length and zero-plane displacement height for momentum

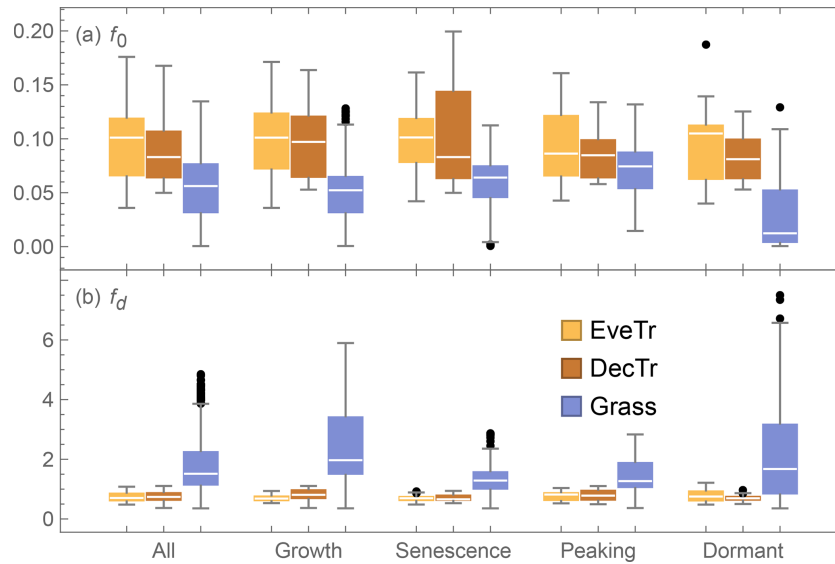
The aerodynamic roughness parameters for momentum (roughness length  $z_{0m}$  and zero-plane displacement height  $z_d$ ) are derived using observed  $u_*$  and  $u$  under neutral conditions (i.e.  $|\frac{z_m - z_d}{L}| < 0.01$  with an initial estimate of  $z_d = 0.7H_c$ ) of different vegetation stages based on LAI (see Sect. 4.1 for classification details) by the least-square method for the following relation (Monin and Obukhov, 1954):

$$u = \frac{u_*}{\kappa} \ln \left( \frac{z_m - z_d}{z_{0m}} \right), \quad (\text{B1})$$

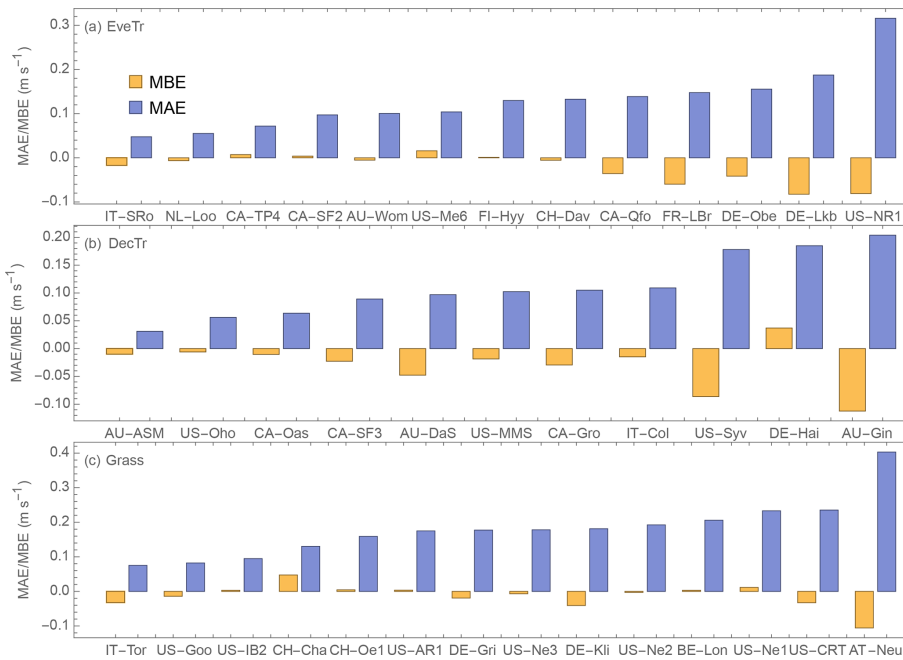
where  $\kappa$  is the von Kármán constant (0.4 is used here). In particular, for sites with varying canopy height  $H_c$ ,  $z_{0m}$  and  $z_d$  are derived for each of the periods when  $H_c$  stayed unchanged and more than 20 observational pairs of  $u_*$  and  $u$  are available.

Using the derived  $z_{0m}$  and  $z_d$ ,  $f_0$  and  $f_d$  parameters can be obtained (Eqs. 9 and 10). There is considerable intra-PFT variability of both  $f_0$  and  $f_d$  (Fig. B1). There are also intra-site variations associated with varying  $H_c$ . Given the large variability in both  $f_0$  and  $f_d$ , the rule-of-thumb approach would incur a large bias in estimated aerodynamic and surface resistances and subsequently the modelled  $Q_E$ . To reduce such a bias, in the evaluation of the other sub-models and parameter determinations in this paper, we use the derived  $z_{0m}$  and  $z_d$  determined for each vegetation stage and site.

Modelled wind speed under neutral conditions matches well with observations at 38 study sites with MAE  $< 0.3 \text{ ms}^{-1}$  and MBE close to zero (Fig. B2). Of the three SUEWS PFTs, “Grass” sites have the poorer performance. This is probably because this PFT includes crops which will change frequently because of crop rotations: cereal, potato, sugar beet at BE-Lon (Moureaux et al., 2006); winter barley, rapeseed, winter wheat, maize and spring barley at DE-Kli (Prescher et al., 2010); and maize and soybean at US-Ne2 and US-Ne3.



**Figure B1.** Relations between canopy height ( $H_C$ ) and (a) roughness length for momentum ( $z_{0m}$ , Eq. B2) and (b) displacement height ( $z_d$ , Eq. B3) for different vegetation stages based on LAI (see Sect. 4.1 for classification details).



**Figure B2.** MAE (blue) and MBE (orange) for modelled wind speed under neutral conditions for three SUEWS PFTs: (a) EveTr, (b) DecTr and (c) Grass.

Appendix C: SUEWS parameters derived at selected FLUXNET sites

Table C1. LAI- and albedo-related parameters at 38 sites (Table 3 gives site information) derived using FLUXNET2015 dataset (Sect. 4.1).

	$\alpha_{\min}$ [-]	$\alpha_{\max}$ [-]	$LAI_{\max}$ [m <sup>2</sup> m <sup>-2</sup> ]	$LAI_{\min}$ [m <sup>2</sup> m <sup>-2</sup> ]	$GDD_{\text{full}}$ [°Cd <sup>-1</sup> ]	$SDD_{\text{full}}$ [°Cd <sup>-1</sup> ]	$T_{\text{base,GDD}}$ [°C]	$T_{\text{base,SDD}}$ [°C]	$\omega_{1,\text{GDD}}$ [-]	$\omega_{1,\text{SDD}}$ [-]	$\omega_{2,\text{GDD}}$ [-]	$\omega_{2,\text{SDD}}$ [-]
AT-Neu	0.23	0.19	2.24	0.18	1200.58	-1015.40	-0.20	14.02	-2.00	-3.02 × 10 <sup>-3</sup>	5.35 × 10 <sup>-5</sup>	0.09
AU-ASM	0.12	0.09	0.30	0.20	93.17	-31.48	13.90	16.42	-1.32	-2.21 × 10 <sup>-3</sup>	1.43 × 10 <sup>-5</sup>	0.97
AU-DaS	0.14	0.12	1.86	0.97	350.98	-78.63	25.74	24.90	-2.00	-5.45 × 10 <sup>-3</sup>	5.29 × 10 <sup>-5</sup>	1.37
AU-Gin	0.13	0.11	1.09	0.60	239.14	-96.09	12.73	17.21	-2.00	-1.16 × 10 <sup>-3</sup>	7.87 × 10 <sup>-5</sup>	2.95
AU-Wom	0.10	0.09	4.51	1.19	404.14	-43.42	5.50	7.86	-2.00	-5.17 × 10 <sup>-3</sup>	2.10 × 10 <sup>-3</sup>	1.17
BE-Lon	0.17	0.14	1.66	0.15	264.31	-467.14	8.63	14.53	-2.00	-2.27 × 10 <sup>-3</sup>	4.64 × 10 <sup>-4</sup>	3.33
CA-Gro	0.09	0.08	2.97	0.65	1000.69	-300.71	-0.62	13.37	-2.00	-5.50 × 10 <sup>-4</sup>	2.88 × 10 <sup>-4</sup>	1.72
CA-Oas	0.12	0.11	3.87	0.56	252.15	-193.36	8.69	13.81	-1.71	-3.22 × 10 <sup>-3</sup>	3.81 × 10 <sup>-3</sup>	1.65
CA-Qfo	0.11	0.06	1.82	0.20	932.53	-675.56	-4.41	12.84	-1.26	-1.05 × 10 <sup>-3</sup>	6.79 × 10 <sup>-5</sup>	0.57
CA-SF2	0.12	0.11	2.74	0.50	781.58	-382.78	1.43	12.35	-2.00	-9.12 × 10 <sup>-4</sup>	2.08 × 10 <sup>-4</sup>	3.03
CA-SF3	0.10	0.09	2.22	0.45	724.36	-598.25	1.40	14.45	-2.00	-7.55 × 10 <sup>-3</sup>	1.24 × 10 <sup>-4</sup>	0.10
CA-TP4	0.09	0.08	2.57	0.43	1042.85	-599.76	4.54	18.51	-2.00	-3.77 × 10 <sup>-3</sup>	1.32 × 10 <sup>-4</sup>	0.14
CH-Cha	0.21	0.18	2.39	0.52	161.91	-369.59	2.69	9.41	-2.00	-6.79 × 10 <sup>-3</sup>	2.45 × 10 <sup>-3</sup>	1.04
CH-Dav	0.07	0.06	2.04	0.20	645.94	-380.00	1.95	9.97	-1.36	-3.69 × 10 <sup>-4</sup>	1.14 × 10 <sup>-4</sup>	2.83
CH-Oe1	0.22	0.18	1.98	0.31	399.90	-486.85	2.78	12.45	-2.00	-1.39 × 10 <sup>-3</sup>	4.58 × 10 <sup>-4</sup>	0.29
DE-Gri	0.21	0.19	2.52	0.69	369.12	-383.65	3.10	12.72	-2.00	-7.57 × 10 <sup>-3</sup>	6.59 × 10 <sup>-4</sup>	0.05
DE-Hai	0.13	0.09	3.82	0.98	101.43	-49.58	7.46	9.89	-0.09	-1.00 × 10 <sup>-2</sup>	3.49 × 10 <sup>-3</sup>	4.00
DE-Kli	0.19	0.14	2.24	0.30	371.62	-129.27	2.81	9.29	-2.00	-7.34 × 10 <sup>-3</sup>	4.20 × 10 <sup>-4</sup>	1.46
DE-Lkb	0.22	0.14	2.68	0.51	967.50	-293.93	-1.32	7.59	-2.00	-3.45 × 10 <sup>-3</sup>	1.45 × 10 <sup>-4</sup>	0.52
DE-Obe	0.07	0.06	2.84	0.59	349.45	-306.51	1.77	7.74	-2.00	-7.57 × 10 <sup>-3</sup>	1.05 × 10 <sup>-3</sup>	1.27
FI-Hyy	0.10	0.09	2.73	0.43	859.30	-512.51	0.08	14.97	-2.00	-3.98 × 10 <sup>-3</sup>	3.35 × 10 <sup>-4</sup>	0.10
FR-LBr	0.11	0.10	2.35	0.62	322.30	-263.61	11.58	17.10	-2.00	-2.58 × 10 <sup>-3</sup>	7.28 × 10 <sup>-4</sup>	2.51
IT-Col	0.13	0.11	3.12	0.54	390.41	-424.85	3.60	11.45	-2.00	-7.40 × 10 <sup>-4</sup>	1.22 × 10 <sup>-3</sup>	0.85
IT-SRo	0.09	0.07	2.73	0.92	481.73	-564.19	8.39	18.06	-2.00	-2.68 × 10 <sup>-3</sup>	6.75 × 10 <sup>-4</sup>	0.07
IT-Tor	0.23	0.18	2.08	0.20	625.92	-467.45	0.70	9.16	-2.00	-9.33 × 10 <sup>-4</sup>	1.58 × 10 <sup>-4</sup>	1.45
NL-Loo	0.10	0.09	2.01	0.49	676.48	-937.96	6.27	16.31	-2.00	-6.60 × 10 <sup>-3</sup>	9.67 × 10 <sup>-5</sup>	0.02
US-AR1	0.15	0.14	0.96	0.27	387.82	-929.25	10.88	22.53	-2.00	-2.31 × 10 <sup>-3</sup>	3.71 × 10 <sup>-5</sup>	0.06
US-CRT	0.12	0.11	2.53	0.38	23.74	-264.69	25.24	17.99	-2.00	-1.21 × 10 <sup>-3</sup>	4.49 × 10 <sup>-3</sup>	1.25
US-Goo	0.20	0.16	3.13	0.73	131.20	-276.51	16.85	19.13	-2.00	-5.99 × 10 <sup>-4</sup>	7.11 × 10 <sup>-3</sup>	2.09
US-IB2	0.15	0.13	1.94	0.21	1403.13	-940.31	1.12	19.90	-1.74	-7.04 × 10 <sup>-3</sup>	4.08 × 10 <sup>-5</sup>	0.06
US-Me6	0.15	0.11	1.46	0.61	22.89	-309.48	6.70	4.74	-2.00	-5.80 × 10 <sup>-4</sup>	2.91 × 10 <sup>-3</sup>	0.15
US-MMS	0.12	0.11	5.00	1.01	68.61	-50.83	13.97	15.79	-0.45	-7.11 × 10 <sup>-3</sup>	8.30 × 10 <sup>-3</sup>	2.22
US-Ne1	0.16	0.14	2.13	0.34	475.09	-321.98	14.84	22.34	-1.80	-7.23 × 10 <sup>-4</sup>	2.07 × 10 <sup>-4</sup>	1.62
US-Ne2	0.16	0.13	2.08	0.30	469.13	-380.78	14.65	22.42	-1.83	-2.03 × 10 <sup>-3</sup>	2.13 × 10 <sup>-4</sup>	0.41
US-Ne3	0.17	0.16	2.18	0.33	491.72	-317.46	14.89	22.64	-1.60	-5.25 × 10 <sup>-4</sup>	2.15 × 10 <sup>-4</sup>	1.47
US-NR1	0.14	0.14	1.53	0.51	640.96	-1239.29	-0.32	11.62	-2.00	-1.20 × 10 <sup>-3</sup>	9.67 × 10 <sup>-5</sup>	0.04
US-Oho	0.14	0.12	2.78	0.45	1540.19	-981.83	1.50	21.71	-1.65	-5.24 × 10 <sup>-3</sup>	9.67 × 10 <sup>-5</sup>	0.05
US-Syv	0.16	0.10	4.81	0.66	461.96	-202.37	4.30	15.08	-1.67	-3.25 × 10 <sup>-3</sup>	2.33 × 10 <sup>-3</sup>	1.12

**Table C2.** As Table C1 but for OHM-related parameters (Sect. 4.2).

	$a_1$ [-]		$a_2$ [h]		$a_3$ [ $\text{W m}^{-2}$ ]	
	Summer	Winter	Summer	Winter	Summer	Winter
AT-Neu	0.37	0.88	0.05	0.02	-12.12	11.15
AU-ASM	0.39	0.39	0.15	0.13	-26.44	-35.45
AU-DaS	0.31	0.30	0.05	0.06	-29.17	-21.82
AU-Gin	0.40	0.25	0.17	0.21	-11.35	-25.75
AU-Wom	0.29	0.29	0.10	0.08	3.54	-18.13
BE-Lon	0.32	0.82	0.18	0.04	-3.58	8.00
CA-Gro	0.31	0.51	0.15	0.19	-9.62	-8.94
CA-Oas	0.27	0.45	0.13	0.25	-6.84	-0.58
CA-Qfo	0.30	0.43	0.22	0.25	-10.70	-1.50
CA-SF2	0.16	0.46	0.15	0.09	-17.05	-3.39
CA-SF3	0.25	0.60	0.20	0.09	-22.22	-8.67
CA-TP4	0.28	0.42	0.17	0.13	-7.94	1.07
CH-Cha	0.25	0.67	0.05	0.00	-29.09	-8.37
CH-Dav	0.56	0.65	0.13	0.11	-29.23	-12.08
CH-Oe1	0.34	0.67	0.08	0.12	-22.53	-6.21
DE-Gr1	0.44	0.76	0.04	0.02	-6.13	5.14
DE-Hai	0.15	0.21	-0.01	0.15	-1.31	9.81
DE-Kli	0.45	0.77	0.12	0.07	-11.62	4.91
DE-Lkb	0.23	0.84	0.09	-0.04	-31.59	-18.49
DE-0be	0.33	0.53	-0.06	0.02	-10.33	-8.95
FI-Hyy	0.23	0.68	0.17	0.09	-8.75	7.89
FR-LBr	0.27	0.43	0.19	0.17	-17.30	-8.04
IT-C01	0.36	0.43	0.14	0.22	-4.56	-10.00
IT-SRo	0.31	0.51	0.27	0.15	-5.40	13.57
IT-Tor	0.23	0.94	0.09	0.05	-18.92	4.31
NL-Loo	0.24	0.44	0.14	0.20	1.06	2.90
US-ARI	0.18	0.17	0.16	0.11	-26.72	-16.50
US-CRT	0.32	0.63	0.11	0.06	-11.14	-6.23
US-Goo	0.38	0.41	0.04	0.07	-16.06	-20.52
US-IB2	0.29	0.37	0.00	0.03	-4.97	-6.43
US-Me6	0.35	0.51	0.21	0.12	-24.00	-0.93
US-MMS	0.34	0.45	0.19	0.25	-7.46	-7.21
US-Ne1	0.30	0.56	0.09	0.03	-13.72	-9.05
US-Ne2	0.29	0.51	0.06	0.04	-14.54	-9.19
US-Ne3	0.29	0.55	0.04	-0.02	-17.87	-8.34
US-NRI	0.27	0.22	0.05	0.06	-7.97	-15.95
US-Oho	0.32	0.44	0.10	0.16	-13.31	-12.73
US-syv	0.33	0.33	0.06	0.13	-9.21	-0.93

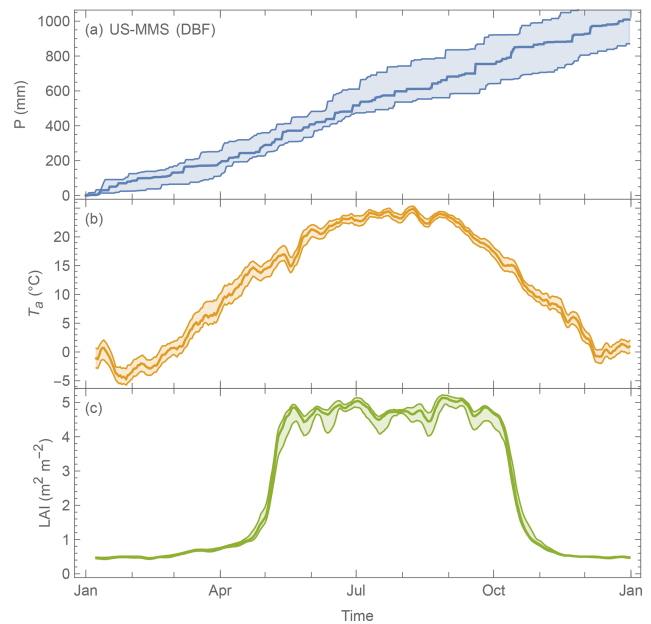
**Table C3.** As Table C1, but for surface-conductance-related parameters (Sect. 4.3).

	$g_{\max}$ [mm s <sup>-1</sup> ]	$G_K$ [W m <sup>-2</sup> ]	$G_T$ [°C]	$T_L$ [°C]	$T_H$ [°C]	$G_{q,\text{base}}$ [-]	$G_{q,\text{shape}}$ [-]	$G_\theta$ [-]	$\Delta\theta_{\text{WP}}$ [mm]
AT-Neu	39.6193	50.00	23.67	-15.74	31.59	0.50	0.90	0.04	687.75
AU-ASM	15.73	288.38	26.77	8.00	34.39	0.40	0.90	0.01	409.50
AU-DaS	19.92	83.22	37.78	-30.00	38.00	0.50	0.90	0.02	414.75
AU-Gin	7.44	94.79	13.23	-14.59	41.54	0.41	0.90	0.06	446.25
AU-Wom	30.63	70.26	14.73	2.00	41.91	0.36	0.90	0.10	535.50
BE-Lon	25.64	74.21	23.22	5.31	36.41	0.50	0.90	0.01	430.50
CA-Gro	22.96	50.00	5.33	-30.00	36.00	0.40	0.90	0.01	876.75
CA-Oas	26.76	50.00	14.53	-30.00	30.89	0.50	0.90	0.01	603.75
CA-Qfo	15.56	50.00	11.62	-0.93	46.81	0.22	0.90	0.01	404.25
CA-SF2	23.59	91.88	12.21	-29.99	33.65	0.50	0.90	0.03	525.00
CA-SF3	23.95	50.00	-0.01	-30.00	38.43	0.36	0.90	0.07	514.50
CA-TP4	23.10	50.00	19.12	-9.57	50.00	0.50	0.90	0.03	446.25
CH-Cha	46.30	126.77	35.86	-30.00	50.00	0.50	0.90	0.10	173.25
CH-Dav	18.14	50.00	4.55	-15.93	49.96	0.50	0.90	0.07	141.75
CH-Oe1	41.74	77.78	16.52	-0.99	50.00	0.50	0.90	0.01	556.50
DE-Gri	25.43	142.40	20.71	-23.20	36.09	0.50	0.90	0.00	750.75
DE-Hai	22.45	69.16	5.61	-29.82	34.65	0.27	0.90	0.01	514.50
DE-Kli	24.50	51.37	19.63	-30.00	26.26	0.50	0.90	0.01	824.25
DE-Lkb	32.34	85.39	-0.12	-30.00	50.00	0.50	0.90	0.02	278.25
DE-Obe	14.76	50.01	4.44	-28.20	34.78	0.41	0.90	0.03	283.50
FI-Hyy	17.23	64.75	18.94	4.00	34.44	0.40	0.90	0.01	708.75
FR-LBr	24.48	50.00	14.03	-8.96	33.12	0.33	0.90	0.01	1065.75
IT-Col	14.66	50.00	13.96	-12.26	50.00	0.50	0.90	0.02	425.25
IT-SRo	20.57	50.00	7.66	-30.00	49.99	0.47	0.90	0.01	971.25
IT-Tor	42.23	73.54	23.20	-15.96	49.99	0.50	0.90	0.09	294.00
NL-Loo	16.53	50.00	17.70	6.00	37.06	0.35	0.90	0.10	252.00
US-AR1	27.51	181.15	16.31	6.00	34.00	0.03	0.90	0.01	315.00
US-CRT	27.43	50.00	30.54	-14.00	42.02	0.50	0.90	0.10	824.25
US-Goo	41.46	172.08	24.45	-10.87	50.00	0.50	0.90	0.03	404.25
US-IB2	48.78	50.00	29.19	-7.90	36.00	0.50	0.90	0.06	483.00
US-Me6	13.70	92.88	3.44	-10.00	42.71	0.11	0.90	0.01	525.00
US-MMS	22.54	182.23	27.06	-0.24	36.62	0.50	0.90	0.01	845.25
US-Ne1	52.14	50.00	29.84	-30.00	34.43	0.50	0.90	0.04	519.75
US-Ne2	53.45	59.97	32.04	-30.00	34.02	0.50	0.90	0.10	383.25
US-Ne3	44.55	53.41	30.84	-30.00	50.00	0.50	0.90	0.07	645.75
US-NRI	15.91	52.94	5.51	-11.90	33.80	0.43	0.90	0.02	509.25
US-Oho	40.01	56.33	31.08	-29.99	36.53	0.50	0.90	0.10	220.50
US-Syv	16.85	130.28	18.77	-4.00	40.65	0.50	0.90	0.01	456.75

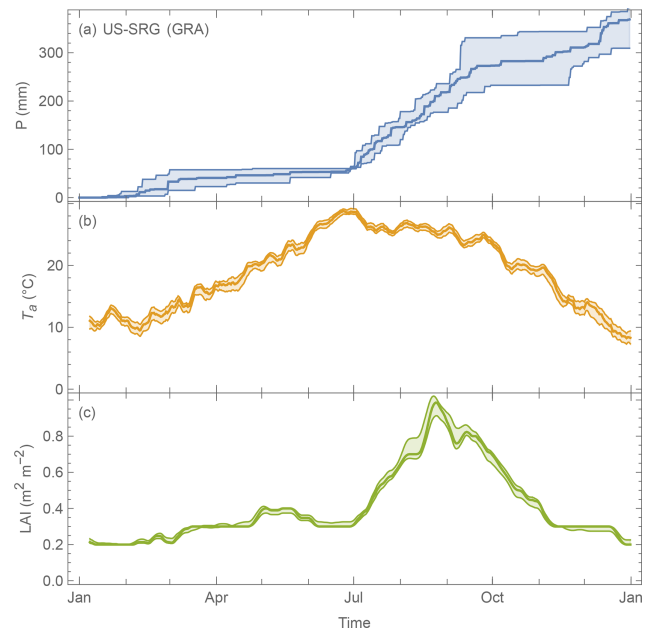
### Appendix D: Typical intra-annual LAI dynamics under contrasting meteorological controls

Given sufficient  $\text{CO}_2$ , vegetation phenology indicated by LAI dynamics is predominantly controlled by input energy and water (Fang et al., 2019). Two variables that capture this seasonal variability are air temperature and precipitation. Different intra-annual LAI dynamics are evident between sites, with contrasting meteorological controls:

- (a) *Thermally dominant (US-MMS; Fig. D1)*. Intra-annual cumulative precipitation at US-MMS steadily increases throughout the year (Fig. D1a), implying a fairly even distribution of water supply, while air temperature gradually increases from the mid-winter (beginning of a year), peaks in August and decreases with the start of the next winter (Fig. D1b). The LAI pattern at US-MMS responds to the air temperature, notably the growing degree days (GDDs) and then autumn senescence (SDD). This inverse “U”-shape typifies sites with thermally dominant LAI dynamics. These types of sites are well parameterised by the current LAI scheme in SUEWS (Sect. 2.2.1).
- (b) *Rainfall and thermal controls (US-SRG; Fig. D2)*. At this grassland site in Arizona, USA, the intra-annual precipitation has clear dry and wet seasons. The monsoon wet season after the peak air temperature in July through September (Fig. D2a), which has warmest air temperatures, unlike US-MMS (Fig. D2b), the peak air temperature is more distinct (for a shorter period). A clear relation between the onset of rainfall and LAI enhancement can be seen but the GDD and SDD relation differs from US-MMS, and it not captured by the current models in SUEWS. The rainfall and enhanced LAI and  $Q_E$  are associated with cooler daily air temperatures. Sites where the LAI dynamics are not captured are not explored further in this paper.



**Figure D1.** Median (line) and interquartile range (shading) daily variation at US-MMS a DBF site during the period 2002–2015 of (a) precipitation (cumulative), (b) air temperature (7 d moving average) and (c) LAI (7 d moving average).



**Figure D2.** As Fig. D1, but for US-SRG (GRA according to IGBP; time span: 2008–2015; <https://doi.org/10.18140/FLX/1440114>).



*Code and data availability.* All source codes, input and output data are archived at Zenodo in Sun et al. (2021) which can be accessed at: <https://doi.org/10.5281/zenodo.5519919>.

*Author contributions.* HO, TS and SG contributed to data preparation, parameter derivation, running simulations and writing the paper. HO led the initial and TS the revised versions of this work. All other authors (DB, AB, JC, ZD, ZG, HI and JPM) provided data for analysis of this work at different stages (some not used in this paper), interpreted the results, and reviewed the paper.

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