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The integration of quantitative genetics, paleontology, and neontology reveals genetic underpinnings of primate dental evolution

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Developmental genetics research on mice provides a relatively sound understanding of the genes necessary and sufficient to make mammalian teeth. However, mouse dentitions are highly derived compared with human dentitions, complicating the application of these insights to human biology. We used quantitative genetic analyses of data from living nonhuman primates and extensive osteological and paleontological collections to refine our assessment of dental phenotypes so that they better represent how the underlying genetic mechanisms actually influence anatomical variation. We identify ratios that better characterize the output of two dental genetic patterning mechanisms for primate dentitions. These two newly defined phenotypes are heritable with no measurable pleiotropic effects. When we consider how these two phenotypes vary across neontological and paleontological datasets, we find that the major Middle Miocene taxonomic shift in primate diversity is characterized by a shift in these two genetic outputs. Our results build on the mouse model by combining guantitative genetics and paleontology, and thereby elucidate how genetic mechanisms likely underlie major events in primate evolution.

paleontology \mid quantitative genetics \mid primates \mid neontology \mid dental variation

he relationship between genotype and phenotype is critical to evolutionary biology, because it influences how phenotypes respond to selective pressures and evolve (1, 2). Paleontologists have long sought to incorporate the etiology of the dental phenotype to inform on questions of environmental, dietary, and adaptive change over time (3-6). This research has been advanced significantly by the revolution in developmental genetics over the past few decades (7). Experimental research on mice has yielded tremendous biological insight (8). However, for human phenotypes, ranging from inflammation (9) to placentation (10), the limitations of the mouse model due to the \sim 140 million years ago of evolution that have occurred since our last common ancestor ~70 Ma (11) are starting to be recognized. Here, we demonstrate how to overcome the limitations of the mouse model's application to the primate dentition by integrating research from quantitative genetics, neontology, and paleontology. The insights gained from this transdisciplinary approach have implications for all of these seemingly disparate subdisciplines of biology.

There was considerable excitement when an inhibitory cascade (IC) mechanism, inferred from experimental manipulation of mouse molar development, showed tremendous explanatory power for the taxonomic variation observed across murines (12). The concept was soon applied to other tripartite skeletal phenotypes (13). However, the extent of the predictive power of the IC for taxa with less similar (14) and more heterodont (15–18) dentitions suggests that either the IC mechanism does not characterize these other organisms' dental patterning as specifically or the characterization of the mechanistic output (the phenotype) is not accurately assessed. Despite empirical evidence for how primate dental patterning differs from dental patterning of the mouse (19, 20), proponents of the mouse model continue to

adhere tightly to it. Most recently, Evans et al. (21) report that the IC model from mice applied uncritically to hominids reveals that the genus *Homo* is distinct from other hominid genera in having smaller third molars because of a "simple rule."

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Although the developmental mechanisms underlying tooth organogenesis are evolutionarily conserved across mammals (19, 22–24), given the highly derived dentitions of mice (which lack premolars, canines, and replacement teeth) and the vastly different life histories, the finer details of dental patterning are not the same (19). Previous quantitative genetic analyses indicate that the IC model may not work as well for primates as it does for mice because the former's phenotype can comingle the effect of a genetic patterning mechanism(s) with systemically modulated somatic factors. For example, baboon molar buccolingual width is significantly influenced by the same genes that influence the animal's crown-rump length (20). Given that crown-rump length is highly sexually dimorphic, tooth size measurements that incorporate buccolingual width are capturing the signal of the systemic effects of body size and sex in addition to the dental patterning mechanism(s) more so than would mesiodistal length alone. Furthermore, we find that the lengths of the fourth premolar and the first through third molars have higher genetic correlations with one another than do the width measurements of these same teeth (19). This pattern of genetic correlation suggests that among primates, variation in mandibular mesiodistal tooth measurements may more directly reflect the genetic

Significance

Experimental research on mice has yielded tremendous biological insight. However, the ~140 million y of evolution that separate mice from humans pose a hurdle to direct application of this knowledge to humans. We report here that considerable progress for identifying genetically patterned skeletal phenotypes beyond the mouse model is possible through transdisciplinary approaches that include the anatomical sciences. Indeed, anatomy and paleontology offer unique opportunities through which to develop and test hypotheses about the underlying genetic mechanisms of the skeleton for taxa that are not well suited to experimental manipulation, such as ourselves.

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Data deposition: Full Old World monkey phenotypic data are archived online at the Dryad Digital Repository (doi:10.5061/dryad.693j8).

See Commentary on page 9142.

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patterning mechanisms determining relative tooth sizes than do width measurements. Although Evans et al. (21) interpret their results as indicative of simply the IC, our research (19, 20) shows that the phenotype they have captured for primates includes significant pleiotropic effects with body size. The genus *Homo* is not only characterized by smaller third molars compared with other hominid genera (21) but also by an ~30% increase in body size (25). Is the genus *Homo* different from other hominid genera because of a simple developmental rule or because of all the various factors that lead to a dramatic increase in body size? Evans et al. (21) cannot and do not distinguish between these two very different evolutionary phenomena because their phenotype definition fails to use empirical evidence from primate genetics to modify what we have learned from mouse models.

To extend the mouse model to our own lineage, gene-forward approaches such as are used in mice and other model organisms are not practical. Therefore, the next phase of knowledge will come from phenotype-back methods. To demonstrate this approach, we combined the strength of three datasets-quantitative genetics, neontology, and paleontology-to test hypotheses about how to better define the output of dental patterning mechanisms in primates. If a phenotype definition corresponds directly to a patterning mechanism, it should meet four expectations: (i) the genetically patterned (GP) trait will be significantly heritable, with little to no covariate effects; (ii) it will capture variation that differentiates taxa, representing genetic variance exploited by selection; (iii) different GP traits will be uncorrelated within a species (but may be phenotypically correlated across closely related taxa because of their phylogenetic proximity); and (iv) the fossil record will reveal differing evolutionary histories for GP traits because the genetically independent traits have responded to selection and/or drift relatively independently.

We identify two dental phenotypes that meet these four criteria. These phenotypes characterize the output of two (as-yetunknown) patterning mechanisms that influence the relative proportions of the various teeth within the two genetic submodules of the primate permanent mandibular postcanine dentition (26). The first GP phenotype is the molar module component (MMC). The MMC follows Kavanagh et al.'s proposed developmental IC model (12), but by using only mesiodistal length, we capture the GP output more directly by removing the same modulating, pleiotropic effects that confounded Evans et al.'s analysis (21):

$$MMC = M_3 l / M_1 l$$

The second GP phenotype is the premolar/molar module (PMM), defined as

$$PMM = M_2 l / P_4 l,$$

where l is the mesiodistal length of the respective molar crown, and subscripts indicate the position of the tooth crown (M, molar; P, premolar). We use only the length of the second molar in the PMM because it is a stable predictor of the overall length of the molar series [for murines (12) and for Old World monkeys (OWMs) (*SI Appendix*, Fig. S1)].

Results

Test of Criterion 1. Quantitative genetic analyses (27) demonstrate that both the MMC and PMM are significantly heritable in an outbred pedigreed breeding colony of baboons. Although all size measurements of the teeth are significantly heritable, MMC and PMM heritability estimates (h_r^2) indicate little to no covariate effects, in contrast to the large effects of sex (and thus, body size) returned for the h_r^2 estimates of tooth size measurements (20) (Table 1). These results suggest that the MMC and PMM have minimal pleiotropic effects, and may therefore respond readily to selection and/or drift, as previously reported for enamel thickness in this same population (28).

Table 1. Narrow-sense heritability estimates for dental traits in baboons

Trait	n	h²	SE	P value	Percent covariates	Significant covariates
P₄I	340	0.541	0.138	<0.0001	0.418	Sex
P ₄ w	334	0.641	0.167	< 0.0001	0.159	Sex
P ₄ area	299	0.707	0.133	< 0.0001	0.335	Sex
M ₁ I	310	0.832	0.146	<0.0001	0.349	Sex
M ₁ w	303	0.697	0.178	<0.0001	0.211	Age, sex,
						sex * age
M_1 area	298	0.705	0.176	<0.0001	0.312	Sex,
						sex * age
M ₂ I	440	0.550	0.109	<0.0001	0.455	Sex
M ₂ w	435	0.486	0.120	< 0.0001	0.290	Sex
M ₃ I	286	0.403	0.176	0.001	0.462	Sex
M ₃ w	438	0.560	0.132	<0.0001	0.377	Sex
GP dental t	raits					
MMC	121	0.688	0.406	0.042	0.130	Sex, age ² ,
PMM	283	0.451	0.181	<0.0001	0.066	Age, sex

Percent covariates indicate the proportion of variance accounted for by significant covariates. Asterisks in significant covariates indicate an interaction of the two covariates. Mesiodistal lengths are indicated with "I", and buccolingual widths are indicated with "w". Area is defined as mesiodistal length multiplied by buccolingual width.

Test of Criterion 2. We then assessed the MMC and PMM for 711 individuals representing nine species of OWM, the Cercopithecidae (*SI Appendix*, Tables S1 and S2). In comparison to the commonly used ratio of P4-to-M1 area (29), MMC and PMM discriminate well between taxa and are not correlated with each other (Fig. 1 *A* and *B* and *SI Appendix*, Fig. S2 and Table S3). Late Miocene and Pliocene fossil OWMs plot either within their subfamily and generic morphospace or are transitional (Fig. 1*C* and *SI Appendix*, Tables S4 and S5). The MMC and PMM of *Victoriapithecus*, the Middle Miocene extinct sister taxon to the Cercopithecidae (30), are situated central to the scatter of both GP traits, providing a sense of the evolutionary trajectories exploited since the cercopithecine/colobine divergence ~20 Ma.

Extending the analysis of the MMC and PMM to our own, more shallowly rooted clade, we assessed 192 individuals from four extant ape species and Homo sapiens (Fig. 2 and SI Appendix, Tables S2 and S6). Gorillas occupy their own MMC range, whereas orangutans have the lowest PMM values and humans have the highest. MMC and PMM values for 13 fossil hominid taxa reflect the evolution of these two GP phenotypes over the past 7 million years ago (our clade postdating the human/chimpanzee common ancestor) (Fig. 2B and SI Appendix, Tables S7 and S8). Remarkably, the PMM and MMC distinguish the three main hominid genera, likely evidence of their differing adaptive regimes. Ardipithecus, the oldest of the three, occupies a MMC and PMM space for which we have no modern analog, further highlighting how distinct it is from living chimpanzees (31, 32). The earliest species of Australopithecus, Australopithecus anamensis, overlaps the ranges of Ardipithecus and later Australopithecus. The overlap between Australopithecus and Gorilla is likely evidence of parallelism in the MMC, given that Ardipithecus shows an ancestral condition for Australopithecus that is distinct from the MMC of Gorilla. Another parallelism in the MMC is between chimpanzees and Homo.

Tests of Criteria 3 and 4. Estimates of Pagel's λ for the MMC and PMM suggest that phylogenetic relationships explain the observed variation well (*SI Appendix*, Fig. S3 and Table S9). A disparity-through-time analysis reveals the pattern of diversification for



Fig. 1. Mandibular MMC and PMM for extant and fossil OWMs. (A) We show the log of mandibular P4 versus M1 areas for extant taxa, a common means through which to describe and assess dental variation in extant and extinct OWMs. We provide this log as a comparison with the next panel. (*B*) We plot the MMC and PMM for extant cercopithecoids, demonstrating how well these two phenotypes separate the various taxa in comparison to the more traditional method shown in *A*. The red dot in the center represents where the sister taxon, Victoriapithecidae, plots relative to the extant species. (C) We have plotted the mean for each extant species as a single dot, using the same color coding as in the previous panels. On top of this plotted mean, we plot fossil data from Africa with letter designations, defined to the right of the graph. Colobine fossil taxa are illustrated in green, papionin fossil taxa in blue, and *Victoriapithecus* in red. Nodes that link taxa are shown in black. More comparisons are provided in *SI Appendix*, Fig. S2.

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these two phenotypes, showing that disparities in the MMC and PMM fall outside the 95% confidence interval (CI) of a randomwalk model, with the significant differences between taxa estimated to have arisen rapidly in the Middle to Late Miocene (Fig. 3 and *SI Appendix*, Table S10). This pattern indicates that variation since the Middle Miocene is primarily found within the lineages rather than between them, suggestive of an adaptive shift, radiation, or constraints (33). The morphological disparity indices for other dental traits are either below the 95% CI of the random-walk model (indicating that subclade disparity is consistently low across evolutionary time for these traits, given that all of the morphological disparity index values are low; *SI Appendix*, Table S10) or follow a similar pattern to the MMC and PMM (*SI Appendix*, Fig. S4). However, in these latter cases, these traits have significant pleiotropic effects with body size; thus, the evolution of disparity through time comingles dental evolution with the evolution of body size.

Discussion

The dramatic evolution of these two GP dental traits across the Old World higher primates shows a remarkable correlation with global climate and vegetation change as reflected in decreasing δ^{18} O and δ^{13} C values following the Middle Miocene Climatic Optimum (34, 35). Paleobotanical and faunal evidence suggests that the largely mosaic forests and woodlands of Oligocene Africa transitioned in the Middle Miocene to more heterogeneous landscapes (36, 37). This climate change further correlates with the long-recognized shift in species diversity between apes and OWMs (Fig. 3D). Before the Middle Miocene, apes were abundant and diverse across Africa and Eurasia (38), whereas OWMs were still rare. However, by the Pliocene, monkeys had experienced a taxonomic and geographic expansion across the Old World (39) and ape diversity had dramatically reduced to a handful of relict genera that persist today. The origins of the human lineage are embedded within this evolutionary transition (31, 40).

Evolutionary changes in the two GP phenotypes introduced here appear to reflect how their two underlying genetic mechanisms responded to selective pressures acting on the primate postcanine dentition. In turn, these mechanisms may provide a broad and deep evolutionary explanation for primate adaptation during the Neogene. Although Miocene apes occupied the same PMM space that extant apes and all of the hominids demonstrate (Fig. 2C) during the Miocene, the ape MMC phenotype experienced a downward shift as the first and third molars became more equivalent in size. During the Plio-Pleistocene, the papionins, and even some of the larger fossil colobines, evolved MMC and PMM phenotypes that occupy the morphospace of the now-extinct Miocene apes. From the perspective of the patterning of the postcanine dentition, baboon morphology replaced the Miocene ape strategy, which may well underlie and/or reflect the demographic shifts hypothesized for this evolutionary competition (41) (i.e., during the later Miocene, hominoids increasingly invested in parental care of fewer offspring compared to the papionin reproductive strategy). Given that teeth develop and erupt at different times over a long ontogenetic period and that premolars replace deciduous teeth and molars do not (and the mechanisms relating them are unknown), the MMC and PMM may represent two different responses to selective pressures that vary, in part, on the ontogenetic timing of the pressure.

Although the earliest written record of human anatomical studies is 3,000 y old, when cadaver dissection became acceptable in the second century A.D., anatomical sciences became the foundation of medical research (42). However, since the discovery of DNA's structure and the synthesis of organic compounds from inorganic molecules (43, 44), biology's focus has progressively shifted from the macroscopic toward the microscopic. The wealth of new insight gained about the genetic and cellular underpinnings of biology might be argued as justification for this relegation of the comparative anatomical sciences, and their associated museum collections, to the disciplinary sidelines. However, because developmental genetics today aims for a genotype/phenotype map that can inform us about our own species' biology, the many millions of years of evolution that stand between H. sapiens and mice still pose a formidable hurdle (8, 9, 45). We report here that considerable progress for identifying GP skeletal phenotypes beyond the mouse model is possible through transdisciplinary approaches that include the anatomical sciences. Indeed, anatomy and paleontology offer unique opportunities through which to develop and test hypotheses about the underlying genetic mechanisms of the skeleton for taxa that are not well suited to experimental manipulation, such as ourselves.



Fig. 2. Mandibular MMC and PMM for extant hominoids and fossil hominids. (A) We plot individual points for the extant hominoid taxa. Notice that, as we found for the OWMs, these two phenotypes separate the genera and species quite well. (B) We show the mean for each extant hominoid species as a single dot, using the same color coding as in the previous panel. On top of this mean, we plot hominid fossil data with letter designations, save for the genus *Homo*, which is shown using shapes. Abbreviations are as follows: Au. afa, *Australopithecus afarensis*; Au. afr, *Australopithecus africanus*; Au. anam, *Australopithecus anamensis*; Au. boi, *Australopithecus of soustes*; Au. gar, *Australopithecus afarensis*; Au. afr, *Australopithecus ramidus*; Au. anam, *Australopithecus afarensis*; Au. boi, *Australopithecus robustus*. (C) We combine the extant data for OWMs (as seen in Fig. 1C) and hominoids (as seen in Fig. 2B) with fossil data for Miocene apes (using abbreviations). The fossils are color-coded by age: Early Miocene is shown in brown, Middle Miocene in gray, and Late Miocene in black. The fossil hominoids in the upper MMC range only date to the early part of the Miocene, and by the Plio-Pleistocene, this primate morphospace is occupied exclusively by the papionins (in purple and blue). Extant hominoids occupy only the lowest range of the MMC, far below the morphospace of the Miocene apes, but remain in the same PMM range (compare with B).

Materials and Methods

Quantitative Genetics Analyses. We collected linear measurements of the mandibular postcanine teeth for 632 baboons that are part of a captive, pedigreed breeding colony of Papio hamadryas (46) housed at the Southwest National Primate Research Center (SNPRC) in San Antonio, Texas. Genetic management of the colony allows for data collection from animals that are not inbred. All nonfounder animals in this study resulted from matings that were random with respect to dental, skeletal, and developmental phenotype. The female-to-male sex ratio is ~2:1. The animals from which linear tooth size measurements were collected [described in detail elsewhere (47)] are distributed across 11 extended pedigrees that are three to five generations deep. The mean number of animals with data per pedigree was 44, and these individuals typically occupied the lower two or three generations of each pedigree. All pedigree data management and preparation was facilitated through use of the computer package PEDSYS (48). The SNPRC Institutional Animal Care and Use Committee, in accordance with the established guidelines (49). approved all procedures related to the treatment of the baboons during the conduct of this study.

Measurements used in these analyses are from the left mandible, except for the measurements of the MMC, which are taken from the right due to sample size limitations on the left. Tooth area is defined as the mesiodistal length of the tooth multiplied by the mesial buccolingual width, where applicable. All trait values were inverse-normalized before analysis to account for residual kurtosis.

Statistical Genetic Analyses. We conducted our genetic analyses within a maximum likelihood framework, the standard approach in quantitative genetics for finding parameter estimates that maximize the likelihood of the genetic model, conditional on all available data. We used a variance

decomposition approach, which, as implemented in the computer package SOLAR (27, 50), makes possible analyses of data from pedigrees of arbitrary size and complexity. Per classical quantitative genetics theory and method, this approach models the phenotypic covariance for each trait of interest within a pedigree as $\Omega = 2\Phi\sigma_G^2 + I\sigma_{E'}^2$ where Φ is a matrix of kinship coefficients for all relative pairs, σ_G^2 is the additive genetic variance, I is an identity matrix, and σ_F^2 is the environmental variance. These components of the phenotypic variance are additive, such that $\sigma_P^2 = \sigma_G^2 + \sigma_E^2$. Heritability, or the proportion of the phenotypic variance attributable to additive genetic effects, was estimated as $h^2 = \sigma_G^2 / \sigma_P^2$ (with $1 - h^2 = e^2$ being variance due to nonadditive genetic factors). We modeled a phenotype of an individual as a linear function of the measurements on the trait, the means of these traits in the population, the covariates and their regression coefficients, plus additive genetic values and random environmental deviations. (The mean effects of sex and age, which served as a proxy for dental wear, were included as covariates when/if they were found to be significant.)

We assessed the significance of the maximum likelihood estimates for heritability and other parameters by means of likelihood ratio tests. Twice the difference of the maximum likelihoods of a general model (in which all parameters are estimated) and a restricted model (in which the value of a parameter to be tested is held constant at some value, usually 0) are compared. This difference is distributed asymptotically approximately as either a 1/2:1/2 mixture of c^2 and a point mass at 0 for tests of parameters like h^2 for which a value of 0 in a restricted model is at a boundary of the parameter space, or as a c^2 variate for tests of freedom are equal to the difference in the number of estimated parameters in the two models (52). However, in tests of parameters like h^2 , whose values may be fixed at a boundary of their parameter space in the null model, the appropriate significance level is obtained by halving the *P* value (51).



Fig. 3. Overview of climate change, primate phylogeny, and primate taxonomic diversity compared with the evolution of the MMC and PMM. (A) Global deep-sea oxygen and carbon isotope records, adapted from a study by Zachos et al. (34). Higher δ^{18} O values indicate cooler temperatures. The δ^{13} C values represent different flora. (B) Our disparity through time results, which are discussed in the main text. Dotted lines indicate the predicted disparity values, and shaded areas indicate their 95% Cls. Solid lines indicate actual trait values. Values near 0 indicate that a particular clade contains little of the overall variation, and that variation in the trait is partitioned between subclades rather than within them. Values near 1.0 suggest that a clade contains a large amount of that variation, and that clades may overlap in trait space. For the PMM and MMC, trait values fall significantly below expected values beginning in the Middle Miocene, indicating trait partitioning between subfamilies within primates. Disparity through time plots of other phenotypes are provided in SI Appendix, Fig. S4. (C) Phylogeny of extant primates based on molecular data. (D) Anthropoid taxonomic diversity through time. Oligocene counts are not included because they precede the cercopithecoid-hominoid split.

Phylogenetic Analyses. We used a consensus molecular chronogram from a Bayesian phylogenetic analysis of genetic data downloaded from the web site 10kTrees, version 3 (54). The 10kTrees dataset is based on sequences of 11 mitochondrial and six autosomal genes sampled from the GenBank. Details on tree inference methodology, including fossil calibration and inference of divergence dates, for the consensus chronogram are available through the 10kTrees documentation. One taxon missing from the 10kTrees sample was Presbytis rubicunda, which was added as a sister taxon to Presbytis melalophos in the consensus tree using the R package ape, version 3.0-11 (55). The divergence date for P. rubicunda and P. melalophos has been contentious, in part, because mitochondrial data suggest that populations historically called P. melalophos are paraphyletic with P. rubicunda nested within the historical P. melalophos clade, presumably due to hybridization or incomplete lineage sorting (56). To address this uncertainty, we used two divergence dates for P. rubicunda: 1.31 Ma based on mitochondrial DNA (56) and 2.5 Ma based on nuclear DNA (57, 58). Results were nearly identical between the two analyses, so we chose the date of 1.31 Ma (56) for final analyses. Divergence dates for other taxa as inferred by 10kTrees and referring to the nodes in SI Appendix are provided in SI Appendix, Fig. S3 and Table S11.

Phylogenetic Least Squares Regression. To understand better how variation in the MMC and PMM is distributed across primates while taking evolutionary relationships into account, we fit each factor within a phylogenetic mixed model (59) using a Bayesian framework in the R package MCMCglmm, version 2.17 (60, 61). The Bayesian framework was in keeping with the tree estimation methodology used to estimate tree structure used by 10kTrees and enable us to incorporate phylogenetic uncertainty into our models. To control for possible sex and body size effects, we included the mesial width of the left M₂ (a noted proxy for body size) and sex as random effects along with the consensus molecular chronogram from 10kTrees described above. We used noninformative priors corresponding to an inverse-gamma distribution with shape and scale parameters equal to 0.01. For both the MMC and PMM, we allowed the Markov chains a burn-in period of 4,000 iterations, after which we ran 140,000 iterations while sampling every 20th iteration for the posterior distribution. Pagel's λ was then estimated from the posterior distribution, along with the 95% credibility intervals. The significance of the deviation of Pagel's λ from 0 and 1 was tested with mean values for all extant taxa using the gls function in the R package nlme, version 3.1-113 (59). Results are presented in SI Appendix, Tables S9 and S10.

Morphological Disparity Through Time. Morphological disparity through time was calculated for extant catarrhine taxa measured in this study following the protocol described by Harmon et al. (62) and using the R package geiger, version 2.0.3 (63). For each taxon, the mean value of the MMC and PMM for all extant taxa was used for analysis. Disparity is calculated from average pairwise Euclidian distance between species. Relative disparities for each subclade are represented by the subclade's (or preceding node's) disparity divided by the disparity of the entire clade. Values near 0 imply that a particular clade contains little of the overall variation and that variation in the trait is partitioned between subclades rather than within them, whereas values near 1 suggest that a clade contains a large amount of that variation and that clades may overlap in trait space. Results are presented in Fig. 3.

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