

Identification of a Regulated Pathway for Nuclear Pre-mRNA Turnover

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Summary

We have identified a nuclear pathway that rapidly degrades unspliced pre-mRNAs in yeast. This involves 3'→5' degradation by the exosome complex and 5'→3' degradation by the exonuclease Rat1p. 3'→5' degradation is normally the major pathway and is regulated in response to carbon source. Inhibition of pre-mRNA degradation resulted in increased levels of pre-mRNAs and spliced mRNAs. When splicing was inhibited by mutation of a splicing factor, inhibition of turnover resulted in 20- to 50-fold accumulation of pre-mRNAs, accompanied by increased mRNA production. Splicing of a reporter construct with a 3' splice site mutation was also increased on inhibition of turnover, showing competition between degradation and splicing. We propose that nuclear pre-mRNA turnover represents a novel step in the regulation of gene expression.

Introduction

The yeast exosome is a protein complex that contains at least ten essential components (Rrp4p, Rrp40p, Rrp41p/Ski6p, Rrp42p, Rrp43p, Rrp44p/Dis3p, Rrp45p, Rrp46p, Mtr3p, Csl4p); all except Csl4p are known or predicted to be 3'→5' exoribonucleases (Mitchell et al., 1997; Allmang et al., 1999a). The exosome and its human counterpart, the PM-Scl complex (Mitchell et al., 1997; Baker et al., 1998; Shiomi et al., 1998; Allmang et al., 1999a), are found both in the cytoplasm and the nucleus. The cytoplasmic exosome participates in mRNA turnover, whereas the nuclear exosome is involved in the processing and degradation of small nuclear RNAs (snRNAs), small nucleolar RNAs (snoRNAs), ribosomal RNAs (rRNAs), and pre-rRNA spacer fragments (Mitchell et al., 1997; Jacobs Anderson and Parker, 1998; Allmang et al., 1999b, 2000; van Hoof et al., 2000). An additional exosome component, Rrp6p (PM-Scl 100), was specifically localized to the nucleus by immunofluorescence (Briggs et al., 1998; Allmang et al., 1999a; Burkard and Butler, 2000). Consistent with its nuclear localization, strains mutant for Rrp6p do not exhibit defects in cytoplasmic mRNA turnover (van Hoof et al., 2000).

5'-end processing of snoRNAs and 5.8S rRNA as well as the degradation of rRNA spacer fragments involve

the nuclear 5'→3' exoribonuclease Rat1p (Amberg et al., 1992; Henry et al., 1994; Petfalski et al., 1998). Rat1p is essential for cell viability (Kenna et al., 1993; Johnson, 1997) and is functionally conserved from yeast to higher eukaryotes (Shobuie et al., 1995).

Control of mRNA stability is an important step in the regulation of gene expression. Two general cytoplasmic mRNA decay pathways have been characterized in yeast. In both, the initial step is shortening of the poly(A) tail to A₁₀ or less (see Mitchell and Tollervey, 2000 and references therein). In the major pathway, this triggers removal of the 5'-cap structure by the decapping enzyme Dcp1p (Beelman et al., 1996; LaGrandeur and Parker, 1998), exposing the body of the transcript to degradation by the cytoplasmic 5'→3' exonuclease Xrn1p (Decker and Parker, 1993; Hsu and Stevens, 1993; Muhlrad et al., 1994, 1995), which is highly homologous to the nuclear exonuclease Rat1p (Johnson, 1997). An alternative 3'→5' degradation pathway follows deadenylation and requires the activities of at least four exosome components, Rrp4p, Rrp41p/Ski6p, Mtr3p, and Rrp44p (Jacobs Anderson and Parker, 1998; P. Mitchell and D. T., unpublished).

Aberrant mRNAs, including unspliced pre-mRNAs that escape to the cytoplasm, are rapidly degraded by pathways of mRNA surveillance or nonsense-mediated decay (NMD) (for reviews see Hentze and Kulozik, 1999; Hilleren and Parker, 1999; Mitchell and Tollervey, 2000). In the yeast cytoplasmic NMD pathway, the context of the termination codon is sensed by a surveillance complex including Upf1p, Upf2p, and Upf3p. This can trigger rapid decapping and degradation by Xrn1p, independent of deadenylation (see Hilleren and Parker, 1999; Mitchell and Tollervey, 2000 and references therein). There are no reports of a role for the exosome or the nuclear exonuclease Rat1p in this pathway.

The existence of a nuclear turnover pathway for poly(A)⁺ RNA in yeast has been proposed but never demonstrated (Burgess and Guthrie, 1993; de la Cruz et al., 1998; Burkard and Butler, 2000). Consistent with the existence of a such a pathway, mutated splicing reporter constructs are rapidly degraded in wild-type cells (Burgess and Guthrie, 1993), and many yeast splicing mutants show a strong reduction in mRNA levels without a corresponding accumulation of the unspliced precursor. Once intron-containing transcripts have been recognized and committed to splicing by commitment complex formation, they are restricted to the nucleus even when not spliced (Legrain and Rosbash, 1989; Rain and Legrain, 1997), indicating that they are degraded within the nucleus.

Using either a temperature-sensitive (TS) allele encoding the splicing factor Prp2p or reporter constructs with 3' splice site mutations, we demonstrate the existence of a discard pathway for unspliced pre-mRNAs. In some cases, inhibition of pre-mRNA degradation increased the accumulation of spliced mRNA, suggesting that it competes with the splicing machinery. This discard pathway comprises two degradation mechanisms: a major 3'→5' pathway requiring Rrp41p, Mtr3p, Rrp44p, and Rrp6p, and most probably the entire exosome, and a minor 5'→3' pathway involving Rat1p. The nuclear localization of this pathway is shown by the involvement

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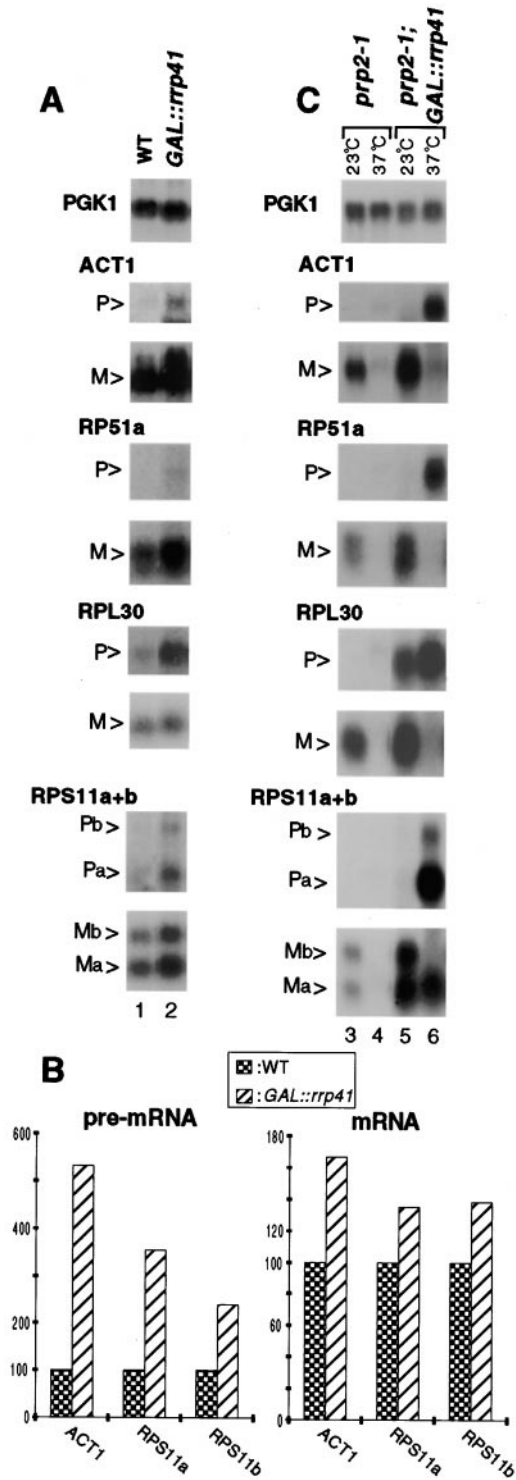


Figure 1. Depletion of Rrp41p Stabilizes pre-mRNAs
(A) Northern blot analysis of total RNA extracted from the *GAL::rrp41* (P118) and the isogenic wild-type (WT: YDL401) strains grown at 23°C in medium containing raffinose plus sucrose. The blot was hybridized successively with probes directed against intron-containing pre-mRNAs, *ACT1* (400), *RP51a*: *RP51a* (419), *RPL30*: *RPL30* (421), and *RPS11a* and *RPS11b*: *RPS11a+b* (420) and the intronless *PGK1* mRNA (418). The positions of the precursor (P) and mature (M) RNAs are indicated. For *ACT1* and *RPS11a* and *RPS11b*, panels showing the precursor form were exposed 2.5-fold longer than for

of the nuclear exonucleases Rat1p and Rrp6p, the suppression of splicing defects and the lack of equivalent effects on inhibition of the cytoplasmic NMD pathway. Nuclear pre-mRNA turnover appears to compete with splicing in wild-type cells and to be subject to metabolic control, indicating that it normally functions as a regulated step in gene expression.

Results

Existence of a Nuclear Pre-mRNA Degradation Pathway Involving the Exosome Component Rrp41p

Strains depleted of Rrp41p/Ski6p, or carrying the *ski6-100* TS mutation, are inhibited for 3' processing of snRNAs, snoRNAs, and 5.8S rRNA, and for degradation of pre-rRNA spacer fragments (Mitchell et al., 1997; de la Cruz et al., 1998; Allmang et al., 1999a, 1999b, 2000). The 3' degradation pathway for cytoplasmic mRNAs is also inhibited by mutations in Rrp41p, but this does not lead to increased mRNA half-lives (Benard et al., 1998; Jacobs Anderson and Parker, 1998) since the cytoplasmic 5' decay pathway is normally more active. RNA processing was initially analyzed under semipermissive conditions for Rrp41p expression. The *GAL::ProtA-rrp41* strain is able to grow in noninducing, nonrepressing raffinose, sucrose (RS) medium, but Western blot analysis on total protein showed that under such growth conditions, the level of the Protein A-Rrp41p fusion protein is strongly reduced (data not shown), leading to defects in 3' processing of exosome substrates (Mitchell et al., 1997; Allmang et al., 1999a, 1999b, 2000).

Northern blot analysis was performed on total RNA extracted from the *GAL::ProtA-rrp41* strain and the isogenic wild-type (YDL401) grown in liquid RS medium (Figure 1A). Accumulation of all tested unspliced pre-mRNAs was observed in the Rrp41p-depleted strain (shown for *ACT1*, *RP51a*, *RPL30*, *RPS11a*, and *RPS11b* in Figure 1A). Moreover, this was accompanied by modest increases in the spliced mRNAs as compared to the nonspliced *PGK1* mRNA. Figure 1B shows PhosphorImager quantification of the data in Figure 1A. Quantitation showed that on depletion of ProtA-Rrp41p the *ACT1* pre-mRNA accumulated from 5- to 8-fold in different experiments, while *RPS11a* pre-mRNA accumulated 3- to 4-fold and *RPS11b* accumulated 1.8- to 2.6-fold. The corresponding mRNAs were also mildly accumulated (1.3- to 1.7-fold). In Figure 1A, RNA loading is standardized to total RNA. In Figure 1B, the signals have been standardized to the nonspliced *PGK1* mRNA in the same samples. Very similar results were obtained in each

the mRNA. For *RPL30*, a 5-fold longer exposure is shown. In all figures, the panels for each probe show lanes from a single Northern with the same exposure.

(B) PhosphorImager quantification of Northern hybridization data from (A). Checked bars correspond to the WT strain and striped bars to the *GAL::rrp41* strain. All pre-mRNA and mRNA levels have been normalized to the corresponding *PGK1* mRNA. The pre-mRNA and mRNA levels are expressed as a percentage of the corresponding pre-mRNA and mRNA WT levels, respectively.

(C) Northern blot analysis of total RNA extracted from strain *prp2-1* (YCBA20) and *prp2-1; GAL::rrp41* (YCBA30) grown in YP medium containing raffinose plus sucrose, either at 23°C (lanes 3 and 5) or for 3 hr at 37°C (lanes 4 and 6) to inactivate splicing. Hybridization was as for (A).

case. The increased mRNA levels make it likely that pre-mRNA accumulation is nuclear and unlikely that this is due to a splicing defect. The large magnitude of the increase in pre-mRNA levels, and discrepancy between pre-mRNA and mRNA, make it most unlikely that this is due to increased transcription.

Much more dramatic pre-mRNA accumulation was observed when partial depletion of Rrp41p was combined with a *prp2-1* TS mutation (Figure 1C). Prp2p is a member of the DEAH box family of putative ATP-dependent RNA helicases that is required prior to the first transesterification reaction and is released from the spliceosome following ATP hydrolysis (Plumpton et al., 1994). Prp2p is not required for spliceosome assembly and the spliceosome remains intact and associated with the pre-mRNA in *prp2-1* strains (King and Beggs, 1990; Kim and Lin, 1996). Pre-mRNA and mRNA accumulation was compared in *prp2-1* and *prp2-1; GAL::rrp41* strains at 23°C and 3 hr after transfer to 37°C to inactivate splicing. In the *prp2-1* strain at 37°C the levels of all tested spliced mRNAs (*ACT1*, *RP51a*, *RPL30*, *RPS9a*, *RPS9b*, *CYH2*, *RPS11a*, *RPS11b*, *SEC14*, and *SAR1*) were strongly reduced, whereas the level of the non-spliced *PGK1* mRNA was unaffected (Figure 1, lane 4; Figure 2, lane 6; and data not shown). Little accumulation of the unspliced pre-mRNAs was seen, consistent with their rapid degradation. When splicing was inhibited in the Rrp41p-depleted strain, all tested pre-mRNAs were strongly accumulated, approximately 20- to 50-fold (tested for *ACT1*, *RP51a*, *RPL30*, *RPS9a*, *RPS9b*, *CYH2*, *RPS11a*, *RPS11b*, *SEC14*, and *SAR1*) compared to the *prp2-1* single mutant (Figure 1C, lanes 4 and 6 and data not shown). For *RPS11a* (Figure 1C, lane 6) and *CYH2* (Figure 3, lane 4), this was accompanied by a restoration of the level of the mature mRNA to close to wild-type levels; some reaccumulation of the *RPS9a* and *RPS9b* mRNAs was also seen (Figure 3). Figure 1C shows growth in semipermissive RS medium; Figure 3 shows the effects of transfer from permissive, galactose medium, to nonpermissive, glucose medium.

RPL30 pre-mRNA accumulated strongly in the *GAL::rrp41* strain and in the *prp2-1; GAL::rrp41* strain at permissive temperature (Figure 1C, lane 5; Figure 3, lane 3). *RPL30* encodes ribosomal protein Rpl30p and is subject to feedback inhibition of splicing by free Rpl30p (Eng and Warner, 1991; Vilardell and Warner, 1994). The pre-mRNA is therefore probably normally inefficiently spliced, with nuclear degradation of the pre-mRNA.

To confirm that the unspliced pre-mRNAs were not degraded in the cytoplasm, we examined strains defective in the cytoplasmic NMD degradation pathway. In the *upf1-Δ* strain (Figure 2, lanes 3 and 4), accumulation of pre-*CYH2*, a well-characterized NMD substrate, was seen. Accumulation of pre-*RPL30* was seen at 23°C, but not at 37°C, and no clear accumulation was seen for other pre-mRNAs tested (*RPS11a*, *RPS11b*, *ACT1*, *BEL1*). In the *prp2-1; upf1-Δ* strain at 37°C (Figure 2, lane 8), no clear accumulation of any unspliced pre-mRNA was seen compared to the *prp2-1* single mutant (Figure 2, lane 6). Indeed, pre-*CYH2* levels were lower in the *prp2-1; upf1-Δ* strain than in the *upf1-Δ* single mutant; we speculate that the assembly of defective spliceosomes in the *prp2-1* strain may efficiently target the pre-mRNA for nuclear degradation.

We conclude that unspliced pre-mRNAs are rapidly degraded in the yeast nucleus and that this requires the activity of the 3'→5' exonuclease Rrp41p. This pathway

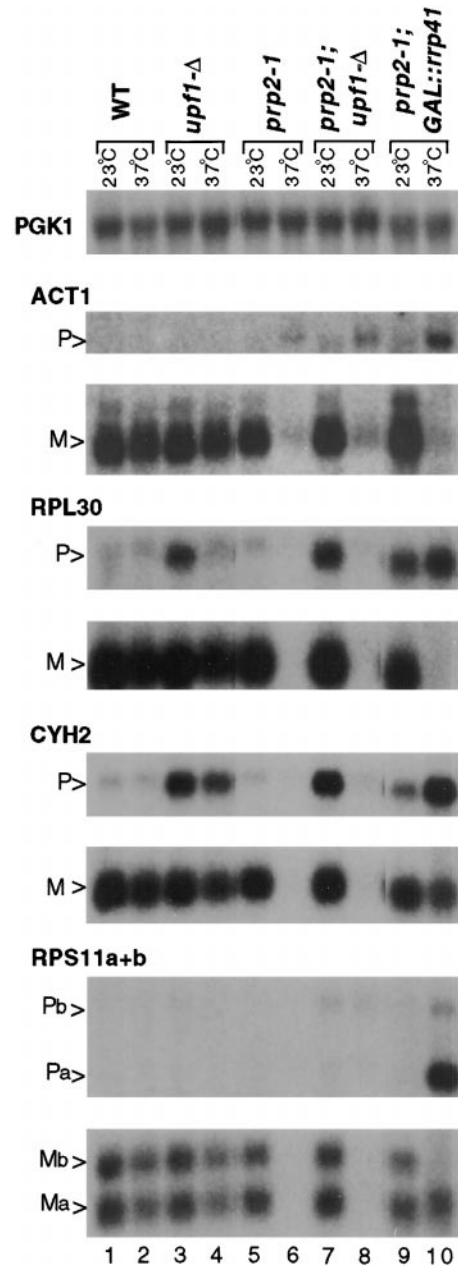


Figure 2. Inactivation of Upf1p Does Not Stabilize Pre-mRNAs in a Splicing-Deficient Strain

Northern analysis of total RNA extracted from WT (D271) (lanes 1 and 2), *upf1-Δ* (YCBA73) (lanes 3 and 4), *prp2-1* (YCBA20) (lanes 5 and 6), *prp2-1; upf1-Δ* (YCBA75) (lanes 7 and 8), and *prp2-1; GAL::rrp41* (YCBA30) (lanes 9 and 10) grown in YPD at permissive temperature (23°C) or for 3 hr at nonpermissive temperature (37°C). The same blot was hybridized with multiple probes; oligos are as described for Figure 1.

degrades a fraction of the unspliced pre-mRNAs in wild-type cells and is very active when splicing is inhibited by the *prp2-1* mutation. The degree of accumulation of different pre-mRNAs was not identical, suggesting that nuclear pre-mRNAs have different rates of turnover in wild-type cells. This is perhaps analogous to the different turnover rates seen for cytoplasmic mRNAs.

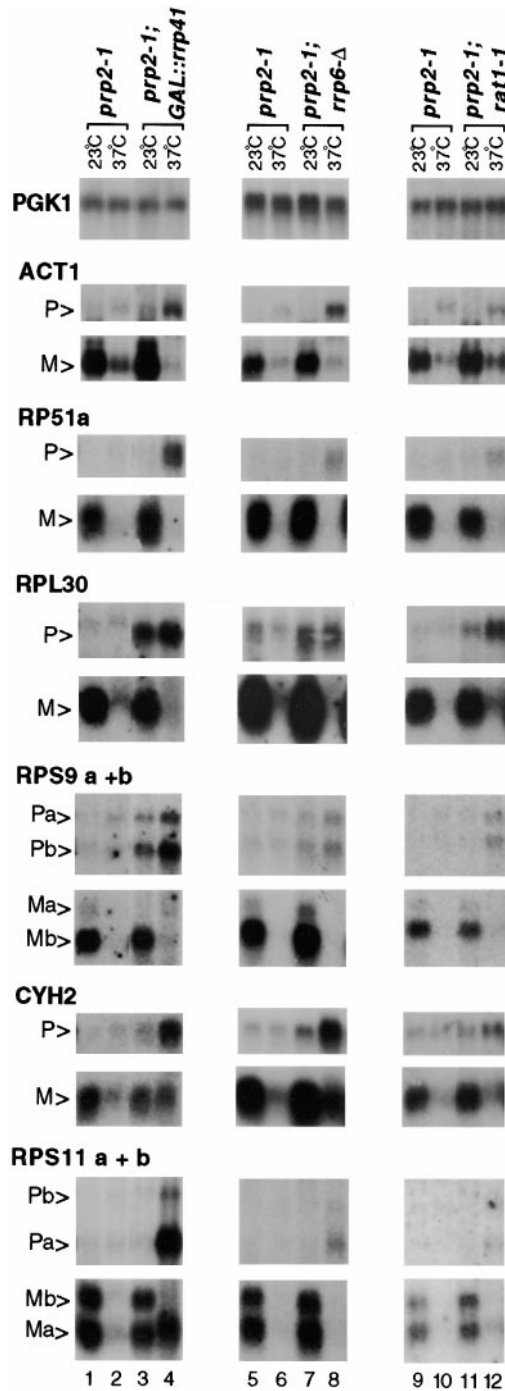


Figure 3. Inactivation of Rrp41p, Rrp6p, or Rat1p Stabilizes Pre-mRNAs in a Splicing-Deficient Strain

Northern analysis of total RNA extracted from the *prp2-1* (YCBA20), *prp2-1; GAL::rrp41* (YCBA30), *prp2-1; rrp6-Δ* (YCBA27) and *prp2-1; rat1-1* (YCBA57) strains. Hybridization probes used were as for Figure 1, panels for *RPS9a* and *RPS9b* (422) and *CYH2* (405) have been included. For lanes 1 to 4, strains were pregrown in galactose medium at 23°C, transferred to glucose medium at 23°C for 20 hr (lanes 1 and 3), and then shifted to 37°C for 3 hr (lanes 2 and 4). For lanes 5 to 12, strains were pregrown in glucose medium at 23°C (lanes 5, 7, 9, and 11) and then shifted to 37°C for 3 hr (lanes 6, 8, 10, and 12).

The Nuclear Decay Pathway Requires the Activities of the Nuclear Exosome Complex and Rat1p

Protein A-Rrp41p is predominately present as a component of the exosome complex rather than as a free protein (P. Mitchell and D. Tollervey, unpublished), suggesting that the nuclear exosome complex is responsible for pre-mRNA degradation. To confirm this, we deleted the gene encoding Rrp6p in a *prp2-1* strain (see Experimental Procedures). Rrp6p is associated with only the nuclear form of the exosome complex and is nonessential, although cells lacking Rrp6p are impaired in growth and TS-lethal (Briggs et al., 1998). Compared to the single *prp2-1* mutant strain, in the *prp2-1; rrp6-Δ* strain ten intron-containing pre-mRNAs tested were accumulated approximately 5- to 11-fold (shown for *ACT1*, *RP51a*, *RPL30*, *RPS9a*, *RPS9b*, *CYH2*, *RPS11a*, and *RPS11b* in Figure 3, lanes 6 and 8; plus *SEC14* and *SAR1* data not shown). Clear reaccumulation of the mature *CYH2* mRNA was also seen. Pre-mRNA accumulation was stronger on depletion Rrp41p than in the absence of Rrp6p; greater inhibition of the activity of the nuclear exosome in pre-snRNA and pre-snoRNA processing was also seen on Rrp41p depletion (Allmang et al., 1999b).

Two homologous 5'→3' exonucleases, Xrn1p and Rat1p, participate in RNA processing and degradation. Rat1p is predominately nuclear (Johnson, 1997) and participates in 5' processing of snoRNAs and rRNA, as well as the degradation of pre-rRNA and pre-snRNA spacer fragments (Henry et al., 1994; Petfalski et al., 1998). The *prp2-1* mutation was therefore combined with the TS *rat1-1* allele (Amberg et al., 1992). Weaker stabilization (2.5-fold) of several pre-mRNAs was seen, together with some increase in the levels of the mature *RPL30* and *CYH2* mRNAs (Figure 3, lanes 10 and 12).

We conclude that pre-mRNA degradation involves the nuclear exosome complex and the nuclear 5'→3' exonuclease Rat1p.

Competition between Pre-mRNA Degradation and Maturation

For several genes tested, inhibition of pre-mRNA degradation was accompanied by increased mRNA levels, suggesting a competition between the splicing and degradative pathways. To confirm this, we analyzed the splicing of reporter constructs with mutations at the 3' splice site. Plasmid pJU83 carries an *ACT1-CUP1* reporter construct (Figure 4A), while plasmids pJU97 and pJU98 have single nucleotide substitutions at the 3' splice site that inhibit the second catalytic step of splicing (Lesser and Guthrie, 1993). These were transformed into strains carrying TS mutations in the exosome components *mtr3-1* or *rrp44-1*, the *rat1-1* strain and the wild-type control strain, and analyzed by primer extension (Figure 4B).

No significant difference in accumulation of mRNA synthesized from the wild-type *ACT1-CUP1* reporter construct was seen in the different genetic backgrounds (Figure 4B, lanes 1 to 8). As for endogenous genes, some pre-mRNA accumulation was seen in the *rrp44-1* and *rat1-1* strains. No signal was seen for the nontransformed wild-type strain (data not shown).

In the wild-type strain, splicing of the *ACT1-CUP1* construct was strongly inhibited by the 3' splice site mutations, although not totally blocked. For both constructs, increased mRNA production was seen in the

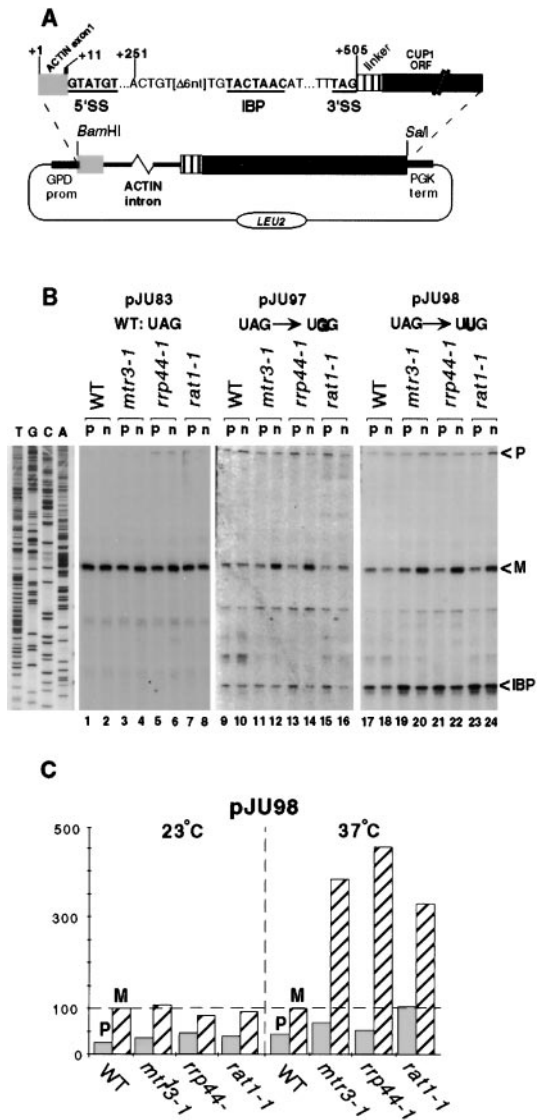


Figure 4. Splicing of Mutant *ACT1-CUP1* Reporter Constructs Is Increased by Inhibition of Nuclear Pre-mRNA Degradation

(A) Structure of the *ACT1-CUP1* construct. Exon 1 and the intron of the *ACT1* gene are fused to the *CUP1* ORF (Lesser and Guthrie, 1993). A 6 nt deletion ($\Delta 6nt$) in the actin intron removed a cryptic branchpoint (Vijayraghavan et al., 1986). Sequences of the 5' splice site (5' SS), the intron branch point (IBP) and the 3' splice site (3' SS) are in bold and underlined. The construct is under control of the *GPD* promoter (*GPD prom*) on a *LEU2*-2 μ plasmid.

(B) Primer extension analysis. A wild-type (*pJU83*) construct and two constructs with 3' SS mutations (*pJU97* and *pJU98*) were analyzed in wild-type (WT, D271), *mtr3-1* (YCB455), *rrp44-1* (P213), and *rat1-1* (D162) strains. RNA was extracted following growth at the permissive temperature (p) of 23°C or 3 hr after transfer to the nonpermissive temperature (n) of 37°C. Positions of reverse transcriptase stops corresponding to the 5' end of the pre-mRNA (P), the 5' end of the mRNA (M), and the intron branch point (IBP) are indicated. Primer extension was performed with oligo 425, complementary to +34 to +11 of the *CUP1* ORF.

(C) Phosphorimager quantification of pre-mRNA and mRNA levels of *pJU98* at 23°C and 37°C from panel (B). The levels of pre-mRNA (gray gradient bars) and mRNA (striped bars) are shown relative to the corresponding RNAs in the wild-type strain, arbitrarily set at 100.

mtr3-1, *rrp44-1*, and *rat1-1* strains at 37°C (Figure 4B). Quantification of the data for *pJU98* (Figure 4C) showed that, compared to the wild-type strain, there is 3.8-fold more mRNA in the *mtr3-1* strain, 4.5-fold more mRNA in the *rrp44-1* strain and 3.3-fold more mRNA in the *rat1-1* strain grown at 37°C. Very little difference was seen at 23°C.

For both mutant constructs, a primer extension stop at the intron branch point (IBP) was detected, due to accumulation of the intron lariat-exon 2 splicing intermediate (Figure 4B). Quantitation showed that the level of the IBP was increased 2- to 3-fold in the exonuclease mutants compared to the wild-type. The level of the IBP was reduced 1.3- to 1.7-fold in the mutant strains at 37°C compared to 23°C; however, a 1.2-fold decrease was seen in the wild-type and the significance of this is unclear.

We conclude that the nuclear turnover pathway also degrades incompletely spliced intermediates. As for the full-length pre-mRNA, splicing of these intermediates is in competition with the turnover pathway.

Reporter constructs with mutations at the 5' splice site and intron branch point region were also analyzed (see Experimental Procedures for mutations used). In contrast to the 3' splice site mutations, little or no suppression of splicing of these pre-mRNAs was observed in the *rrp44-1* strain, and pre-mRNA accumulation was similar to the wild-type construct (data not shown). Mutation of reporter constructs in the 5' splice site and branch point region are reported to induce a defect in splicing commitment and allow export of the pre-mRNA to the cytoplasm (Legrain and Rosbash, 1989; Rain and Legrain, 1997). We conclude that mutations in Rat1p or in exosome components lead to stabilization of unspliced pre-mRNAs only if they are restricted to the nucleus.

Relative Contributions of 5' and 3' Degradation

To determine the relative contribution of the 5' and 3' pathways to pre-mRNA turnover, we analyzed the intermediates generated by blocking progression of the exonucleases.

Many snoRNAs are encoded within introns of pre-mRNAs and are released by processing (reviewed in Venema and Tollervey, 1999). The U24 snoRNA is processed from the intron of the *BEL1* gene after its release by splicing and debranching of the intron lariat (Petfalski et al., 1998). The mature snoRNA is generated by exonuclease digestion from both ends of the intron, catalyzed by Rat1p and the nuclear exosome (Petfalski et al., 1998; Allmang et al., 1999b), with final 3' trimming by the Rrp6p component of the exosome (Allmang et al., 1999b; van Hoof et al., 2000). Since nuclear pre-mRNA degradation and snoRNA maturation involve the same exonucleases, it appeared probable that the presence of an intronic snoRNA and associated proteins would stall pre-mRNA degradation.

The degradation of *BEL1* was analyzed in *prp2-1* strains depleted of Rrp41p (*prp2-1; GAL::rrp41*), lacking Rrp6p (*prp2-1; rrp6- Δ*), or with the TS Rat1p mutation (*prp2-1; rat1-1*). Probes used are numbered 1-5 in Figure 5A. In the *prp2-1* strain at nonpermissive temperature (Figure 5B lanes 2, 6, and 10) four RNA species were detected—the full-length pre-mRNA (P), two 3' truncated intermediates (A and A'), and the 5' truncated intermediate (B). Primer extension analysis (not shown)

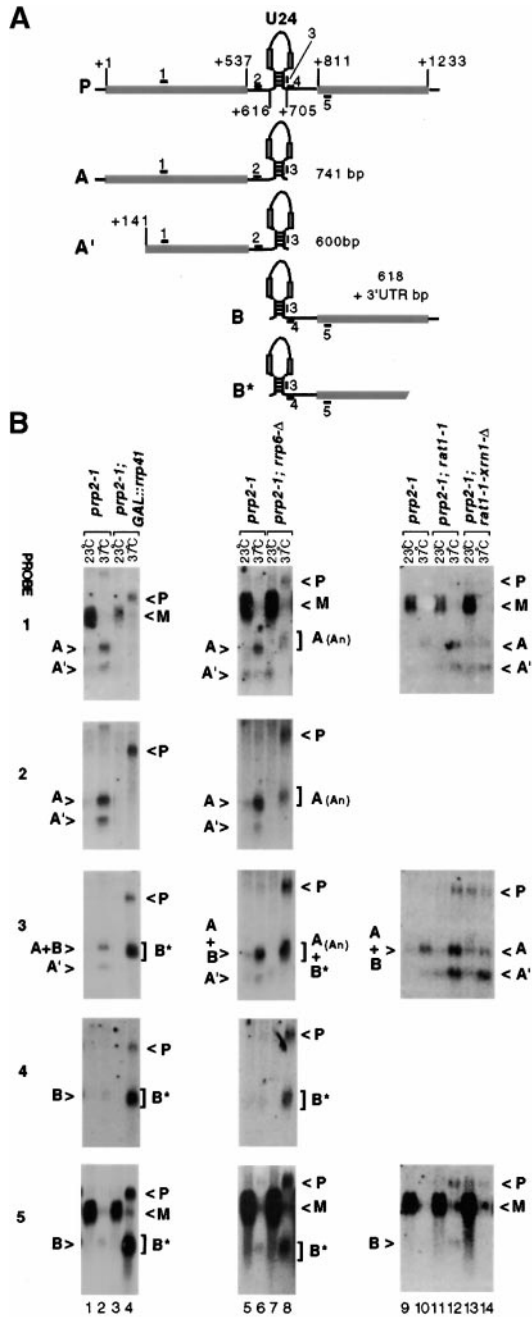


Figure 5. Degradation of a Pre-mRNA Containing an Intronic snoRNA

(A) Schematic representation of the pre-mRNA degradation intermediates observed for *BEL1* (the host gene for U24). P: full-length pre-mRNA; A: product of 3'→5' degradation that extends from the 5' end of the transcript to the 3' end of U24; A': intermediate A truncated at position +141. B: product of 5'→3' degradation, that extends from the 5' end of U24 to the 3' end of the transcript. B*: intermediate B partially digested from its 3' end. The locations of the hybridization probes are indicated. 1 (U24-5'ex: 784), 2 (U24-5'int: 785), 3 (U24: 214), 4 (U24-3'int: 213), and 5 (U24-3'ex: 423).

(B) Northern blot analysis of RNA extracted from strains *prp2-1* (YCBA20) (lanes 1, 2, 5, 6, 9, and 10), *prp2-1; GAL::rrp41* (YCBA30) (lanes 3 and 4), *prp2-1; rrp6-Δ* (YCBA27) (lanes 7 and 8), *prp2-1; rat1-1* (YCBA57) (lanes 11 and 12), and *prp2-1; rat1-1; xrn1-Δ* (YCBA58) (lanes 13 and 14). Strains were grown in glucose medium at permissive temperature (23°C, odd numbered lanes) or for 3 hr

revealed that species A extends to the 5' end of the transcript, while B extends to the 5' end of U24. The 5' end of A' was mapped at position +141 within the 5' exon, most likely due to endonuclease cleavage.

In the *prp2-1* strain depleted of Rrp41p the A and A' intermediates were lost, while the pre-mRNA (P) and the B intermediate were substantially accumulated (Figure 5B, lanes 2 and 4). The absence of Rrp6p resulted in a similar, but somewhat weaker, phenotype (Figure 5B, lanes 6 and 8). The residual A band appeared wider and was shifted up the gel (labeled A_(An)). Polyadenylated forms of the precursors to other snoRNAs and snRNAs are observed in strains lacking Rrp6p (Allmang et al., 1999b; van Hoof et al., 2000), and A_(An) probably corresponds to polyadenylated forms of species A. In both the Rrp41p and Rrp6p depleted strains, band B was wider and shifted down the gel (labeled B* in Figure 5). Primer extension analysis showed that the 5' end of B* was unaltered (data not shown). It is very likely that the accumulated B intermediate is slowly degraded from its 3' end by a residual exonuclease activity, as has been observed for several other substrates in exosome mutants (Jacobs Anderson and Parker, 1998; Allmang et al., 1999b).

In the *prp2-1; rat1-1* strain at 37°C, levels of P, A and A' were increased, as judged by Northern hybridization or primer extension (data not shown), consistent with the inhibition of 5' degradation. However, the B form was still detectable, indicating residual 5' processing activity (Figure 5B, compare lanes 10 and 12). Xrn1p is the only other known 5'→3' exonuclease, and we therefore constructed a triple mutant strain carrying the *prp2-1* and *rat1-1* TS alleles together with an *URA3::xrn1* gene disruption (strain *prp2-1; rat1-1; xrn1-Δ*). In this strain the B intermediate was no longer detected by Northern hybridization, showing strong inhibition of the 5' degradation pathway (Figure 5B, lane 14).

The processing of several other Rat1p substrates is more inhibited in strains also lacking Xrn1p. These include RNAs that are believed to be exclusively nuclear, the 27SA₃ pre-rRNA, pre-rRNA spacer fragments and several pre-snoRNAs (Henry et al., 1994; Petfalski et al., 1998), indicating that there is a nuclear pool of Xrn1p, at least in *rat1-1* mutant strains.

Analysis of the degradation of *TEF4*, the host gene of the snoRNA snR38, also identified three decay intermediates, corresponding to P, A, and B (data not shown, but see Figure 6C). As for *BEL1*, the *TEF4* pre-mRNA is subject to both 5' and 3' degradation, with the 3' pathway substantially more active. An A' form was not present for *TEF4*, indicating that its degradation is entirely exonucleolytic.

The Northern data for the *prp2-1* strain at 37°C was quantified by PhosphorImager analysis (see legend to

at nonpermissive temperature (37°C, even numbered lanes). The positions of the degradation intermediates are indicated (A, A', B, B*, and A_(An)). Each vertical set of panels corresponds to the same Northern blot hybridized with the 5 different probes. Probe numbers are on the left of the panels. To determine the relative levels of A, A', and B by PhosphorImager quantification, the filter was hybridized successively with probe 1, to quantify A and A' (from lane 2), probe 5 to quantify B (from lane 2), and probe PGK1 as a loading control. Values for A, A', and B were expressed relative to band P to correct for probe efficiency and normalized to the corresponding PGK1 value to correct for loading.

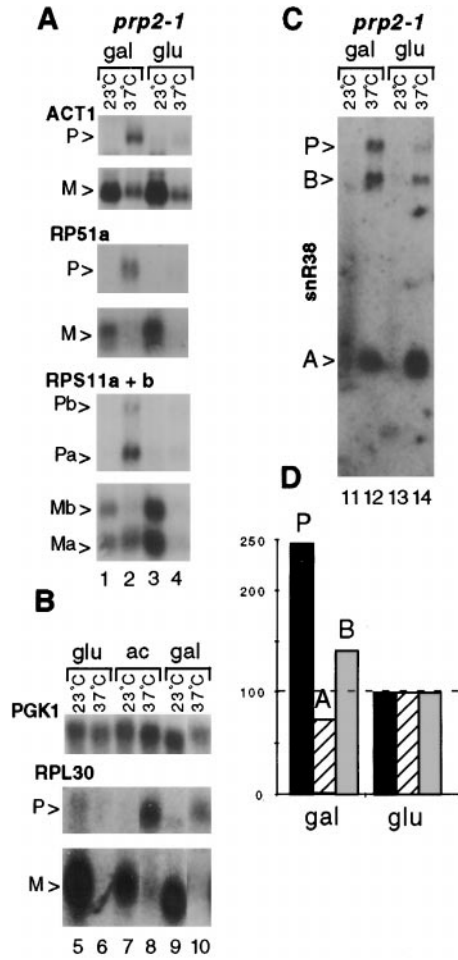


Figure 6. The 3' Nuclear Pre-mRNA Decay Pathway Is Regulated by Carbon Source

Northern blot analysis of RNA extracted from strain *prp2-1* (YCBA20) grown in medium containing, as sole carbon source, galactose (gal), glucose (glu) or acetate (ac) following growth at permissive temperature (23°C) or 3 hr after transfer to 37°C. (A and B) Analysis of intron-containing pre-mRNAs. Probes used were as described in Figure 1. Position of the precursor (P) and mature form (M) are indicated. (C) Analysis of the *TEF4* pre-mRNA (the host gene for snR38) with a probe directed against the snR38 snoRNA (255). (D) Phosphorimager quantification of data from (C). Values for RNAs from strains grown in galactose based medium are expressed relative to the same intermediates observed upon growth in glucose based medium, arbitrarily set at 100.

Figure 5). For *BEL1* the sum of A plus A' was ~10-fold the level of B. For *TEF4* the A form was ~6.5 times more abundant than B. We conclude that the *BEL1* and *TEF4* pre-mRNAs are subject to both 5' and 3' degradation. In contrast to cytoplasmic mRNA turnover, 3'→5' decay is the major nuclear pre-mRNA degradation pathway.

Nuclear Pre-mRNA Degradation Is a Regulated Process

In yeast, many pathways are subject to regulation dependent upon the available carbon source, and this is also the case for pre-mRNA turnover. Northern blot analysis of total RNA extracted from the *prp2-1* strain grown in galactose and glucose medium revealed a strong

stabilization of all tested pre-mRNAs during growth in galactose medium (Figure 6A). Increased mRNA levels were seen for *RPS11a* and *RPS11b*, indicating that this is not a consequence of a further impairment in splicing on galactose medium. Transcription of ribosomal proteins is reduced on nutritional downshift due to the reduced growth rate (see Warner, 1989), and this pre-mRNA and mRNA accumulation is therefore probably being seen against a background of reduced synthesis. Growth on galactose medium did not inhibit other activities of the nuclear exosome; pre-rRNA processing and pre-rRNA spacer degradation (de la Cruz et al., 1998), processing of pre-snRNAs and pre-snoRNAs (data not shown).

Similar pre-mRNA stabilization was observed in the *prp2-1* strain grown in medium containing acetate (Figure 6B; lane 8 and data not shown) or maltose (data not shown) but not RS medium (Figure 1). Growth rates in galactose, maltose, and RS medium are similar, showing that this phenotype is not the consequence of slow growth. Notably, raffinose (a trisaccharide of galactose-glucose-fructose) and sucrose (a disaccharide of fructose and glucose) are metabolized by extra-cellular enzymes to release glucose, whereas galactose and maltose (a disaccharide of glucose) are converted to glucose by intracellular enzymes.

The decay intermediates of the *TEF4* pre-mRNA, the host gene for snR38, were also analyzed by Northern hybridization (Figure 6C); these data were quantified by Phosphorimager analysis (Figure 6D). During growth in galactose medium, the full-length precursor (P) was 2.5-fold more abundant than in glucose medium, while the 5' processed species (B) was 1.4-fold more abundant. In contrast, the 3' processed species (A) is 1.4-fold less abundant. The increased levels of P and B and decreased level of A reflect an inhibition of 3'→5' degradation and increased 5'→3' degradation in galactose based medium.

Discussion

A Nuclear Pre-mRNA Turnover Pathway Competes with Splicing

Here we report the identification of a regulated pathway for the degradation of unspliced pre-mRNAs. This involves two mechanisms: 3'→5' degradation by the exosome complex and 5'→3' degradation by the exonuclease Rat1p. 3'→5' degradation is the major pathway and was shown to require the activity of Rrp6p, Rrp41p, Rrp44p, and Mtr3p, four components of the nuclear exosome complex, and presumably involves the entire complex.

There are clear similarities between the nuclear pre-mRNA degradation pathway and cytoplasmic mRNA turnover. Both pathways involve 3'→5' degradation by the exosome, while 5'→3' degradation is carried out by two homologous exonucleases: Rat1p in the nucleus and Xrn1p in the cytoplasm (Hsu and Stevens, 1993; Muhrad et al., 1994, 1995; Jacobs Anderson and Parker, 1998). A clear difference is that cytoplasmic mRNA is predominately degraded 5'→3' (Jacobs Anderson and Parker, 1998), whereas nuclear pre-mRNA is predominately degraded 3'→5'.

The in vitro activity of Rat1p is blocked by the presence of a cap structure (Stevens and Poole, 1995), suggesting that the 5'→3' degradation pathway involves a

Table 1. Yeast Strains Used in This Study

Strain	Genotype	Reference/Note
D150	MAT α <i>ura3-52 leu2-3,11, ade1-100 his4-519</i>	Mitchell et al., 1997
D271	MAT α <i>ade2 his3 leu2 trp1 ura3</i>	Venema and Tollervey, 1996
YDL401	MAT α <i>his3Δ200 leu2Δ1 trp1 ura3-52 gal2 galΔ108</i>	Lafontaine and Tollervey, 1996
DJY36	MAT α <i>ura3-52 prp2-1</i>	Plumpton et al., 1994
P118	MAT α <i>his3Δ200 leu2Δ1 trp1 ura3-52 gal2 galΔ108 GAL10::protA-RRP41</i>	Mitchell et al., 1997
YCA12	MAT α <i>ade2-1 his3Δ200 leu2-3,112 trp1-1 ura3-1 can1-100 KI TRP1::rrp6</i>	Allmang et al., 1999a
D162	MAT α <i>ura3-52 leu2Δ1 his3Δ200 rat1-1</i>	Amberg et al., 1992
D172	MAT α <i>URA3::xrn1 rat1-1</i>	Henry et al., 1994
D342	MAT α <i>ura3-52 mtr3-1</i>	Kadowaki et al., 1995
D348	MAT α <i>ura3-52 lys2 rrp44-1</i>	P. Mitchell, A. Tartakoff, and D.T., submitted
YCBA20	MAT α <i>ade his3 ura3 leu2 trp1 prp2-1</i>	This study: DJY36xD271-21c
YCBA21	MAT α <i>ade his3 ura3 leu2 trp1 prp2-1</i>	This study: DJY36xD271-21d
YCBA27	as YCBA20 but <i>KI TRP1::rrp6</i>	This study
YCBA30	as YCBA20 but <i>GAL10::protA-RRP41</i>	This study
YCBA55	<i>his3 leu2 trp1 ura3 mtr3-1</i>	This study: D342xD271-5d
P213	MAT α <i>leu2 rrp44-1</i>	P. Mitchell: D348xD150-3a
YCBA57	<i>ura3 leu2 his3 prp2-1 rat1-1</i>	This study: D162xYCBA21-2c
YCBA58	<i>prp2-1 rat1-1 URA3::xrn1</i>	This study: D172xYCBA21-2a
YCBA73	as D271 but <i>URA3::upf1</i>	This study
YCBA75	as YCBA20 but <i>URA3::upf1</i>	This study

nuclear decapping activity. Whether this is the cytoplasmic decapping enzyme Dcp1p (LaGrandeur and Parker, 1998) remains to be determined. It is notable that in many resident nuclear RNAs the cap structure is hypermethylated to trimethyl-guanosine. We speculate that one function of this modification is to resist nuclear decapping and subsequent degradation.

Levels of pre-mRNAs were generally low in strains in which splicing was blocked prior to the first catalytic step by the *prp2-1* mutation, indicating high turnover activity. All tested pre-mRNAs were strongly stabilized (up to 50-fold) by depletion of Rrp41p and clear but lower stabilization was seen in the absence of Rrp6p or in strains carrying a TS-lethal mutation in Rat1p. In each case increased levels of the mature mRNAs were seen for some RNAs, showing competition between splicing and degradation of the pre-mRNA. This was confirmed using constructs in which the actin (*ACT1*) intron mutated at the 3' splice site was fused to the *CUP1* reporter gene (Lesser and Guthrie, 1993). These mutations do not block spliceosome formation or the first catalytic step of splicing, forming the intron lariat-3' exon and the free 5' exon. TS mutations in the exosome components Rrp44p and Mtr3p or in Rat1p each substantially increased mRNA synthesis, indicating that the degradation of splicing intermediates is also in competition with splicing.

Competition between pre-mRNA degradation and splicing may be a normal feature of gene expression. Accumulation of all tested pre-mRNAs was seen in otherwise wild-type strains with reduced levels of Rrp41p. In the case of actin, a 5- to 8-fold increase in pre-mRNA levels was seen, suggesting that a significant fraction of the pre-mRNA is normally degraded. This was accompanied by a mild increase in mRNA levels compared to the nonspliced *PGK1* mRNA, strongly indicating that the increased pre-mRNA levels are not due to a splicing defect. Whether degradation is specifically activated by the inhibition of splicing remains to be determined. In the particular case of pre-mRNAs in which the intron-lariat branchpoint is incorrect, Prp16p is implicated in determining whether the pre-mRNA continues along the splicing pathway or is targeted for degradation (Burgess and Guthrie, 1993).

Several lines of evidence show that pre-mRNA turnover takes place in the nucleus. Two components required for this pathway, Rat1p and Rrp6p, are specifically localized in the nucleus (Johnson, 1997; Allmang et al., 1999a; Burkard and Butler, 2000). Moreover, mutations in Rrp41p/Ski6p or Rrp6p do not increase the stability of mRNAs in the cytoplasm (Benard et al., 1998; Jacobs Anderson and Parker, 1998; van Hoof et al., 2000) and this is presumably also the case for Rat1p. In contrast to the exonuclease mutants, inactivation of the cytoplasmic nonsense-mediated decay (NMD) pathway, that degrades cytoplasmic pre-mRNAs, did not stabilize pre-mRNAs in the splicing-deficient strain. Finally, for many pre-mRNAs, increased splicing was seen upon inhibition of turnover. It is very unlikely that these RNAs were localized in the cytoplasm, since splicing is a nuclear process.

Regulation of Pre-mRNA Turnover

The availability of glucose, the normal and preferred carbon source for yeast, regulates a great number of metabolic activities via at least two signal transduction pathways (for recent reviews see Klein et al., 1998; Johnston, 1999). Analysis of unspliced pre-mRNAs and degradation intermediates in *prp2-1* strains showed that the activity of the 3'→5' pre-mRNA degradation pathway depends upon the carbon source. Degradation activity was substantially higher in media containing glucose or raffinose + sucrose (which are converted to glucose by extracellular enzymes) than in galactose or maltose (which are converted to glucose by intercellular enzymes) or acetate. These observations suggest that the presence of extracellular glucose stimulates the degradative activity of the nuclear exosome on pre-mRNA substrates. This effect appears to be specific for pre-mRNA degradation; carbon source does not detectably alter "housekeeping" activities of the nuclear exosome in pre-rRNA processing, snRNA synthesis, snoRNA synthesis, or pre-rRNA spacer degradation.

It has frequently been observed that strains carrying TS-lethal mutations in splicing factors can grow at higher temperatures on glycerol or galactose media than

on glucose medium (Tung et al., 1992; J. D. Beggs personal communication; B. Séraphin, personal communication), and this is also the case for the *prp2-1* strain that we use here (data not shown). We speculate that a reduced pre-mRNA degradation rate may contribute to this suppression by allowing increased time for splicing to occur.

We conclude that, like all other steps in gene expression from transcription to translation, the degradation of nuclear pre-mRNA is regulated. Alterations in nuclear RNA turnover in response to different physiological conditions may modulate mRNA synthesis rates. Most reported analyses of gene expression would not have distinguished between changes in transcription rate and alterations in nuclear RNA turnover.

Mammalian Pre-mRNA Turnover and RNA Surveillance

It seems probable that the pathway described here will be conserved to mammals. Four human exosome components, hRrp4p, hRrp44p, hCsl4p (Mitchell et al., 1997; Baker et al., 1998; Shiomi et al., 1998; Allmang et al., 1999a), and hRrp41p (R. Brower, C. Allmang, E. Petfalski, D. Tollervey and W. van Venrooij, unpublished) can each function in yeast, as can mouse Rat1p (Shobuie et al., 1995). Indeed, this pathway is likely to be even more significant in humans, where regulated and alternative splicing events play important roles in gene expression. We predict that observed levels of mRNA synthesis will be significantly affected by the balance between splicing and degradation. Overall levels of nuclear pre-mRNA (i.e., hnRNA) degradation may also be much higher in mammals than in yeast. It has been estimated that only 2% of nuclear pre-mRNA is converted to cytoplasmic mRNA in mouse cells (Brandhorst and McConkey, 1974). Even allowing for intronic sequences, this suggests very active degradation.

There has been extensive analysis of mammalian RNA surveillance pathway that rapidly degrade pre-mRNAs that have undergone inaccurate or incomplete splicing (reviewed in Hentze and Kulozik, 1999; Hilleren and Parker, 1999; Mitchell and Tollervey, 2000). Many reports indicate that mammalian RNA surveillance is largely a nuclear activity (see Maquat, 1995; Hentze and Kulozik, 1999), and it seems very likely that the mechanism will involve homologs of the yeast components described here.

Experimental Procedures

Strains and Media

Rich, YP medium contained 2% peptone, 1% yeast extract and either 2% glucose, 2% galactose, 2% maltose, 2% sodium acetate or 2% raffinose + 2% sucrose (RS medium). Minimal YNB medium contained 0.67% yeast nitrogen base (DIFCO) supplemented as required and 2% glucose.

Yeast strains used and constructed in this study are listed in Table 1. Strains YCBA20 (MAT α ; *prp2-1*) and YCBA21 (MAT α ; *prp2-1*) were obtained by sporulation of the diploid resulting from crossing DJY36 with D271. The *GAL10::ProtA-rrp41* allele in strains P118 and YCBA30 encodes a protein A-tagged fusion protein. To make strain YCBA30, the *HIS3-GAL10-ProtA-rrp41* cassette was PCR amplified from strain P118 with primers RRP41-1 (842) and RRP41-2 (843) and transformed into strain YCBA20. Correct integration was confirmed by PCR with primers RRP41-HIS3 (803) and RRP41-2. Expression of the ProtA-Rrp41p fusion was confirmed by Western blot and two transformants were selected (YCBA29 and YCBA30). To delete *RRP6*, a *Kluyveromyces lactis TRP::rrp6-Δ* construct was PCR

amplified from strain YCA12 with primers 5'RRP6 (834) and 3'RRP6 (835) and transformed into YCBA20. Correct integration was confirmed by PCR analysis with primers 3'RRP6 (835) and KI TRP (818) and two strains, YCBA27 and YCBA28, were selected for analysis. Strain YCBA57 was obtained by sporulation of the diploid resulting from crossing YCBA21 with D162. Strain YCBA58 was obtained by sporulation of the diploid resulting from crossing YCBA21 with D172. Strains YCBA55 and P213 were obtained by sporulation of diploids resulting from crossing D342 with D271 and D348 with D150, respectively. For the *upf1-Δ* strains, the *UPF1* ORF from -80 to +2090 was replaced by *S. cerevisiae URA3* in strains D271 and YCBA20. Correct integration was confirmed by PCR with primers UPF1-5' (864) and UPF1-3' (865) and restriction digestion of the resulting PCR product. In each case two strains were selected for analysis, YCBA73, YCBA74 and YCBA75, YCBA76. Yeast transformation was performed as described (Gietz et al., 1995), except that 6% DMSO was added prior to heat shock and the final pellet was resuspended in 0.15 M NaCl.

Plasmids

Plasmids pJU83, pJU97, and pJU98 (Lesser and Guthrie, 1993) were kindly provided by C. Guthrie (UCSF, USA). Vector pJU83 carries an *ACT1-CUP1* construct with the wild-type 3' splice site (UAG), while pJU97 and pJU98 each have a single point mutation; U \overline{G} G and UUG, respectively. Other mutants analyzed were at the 5' splice site; \overline{T} TATGT (pSB30), \overline{C} TATGT (pSB32), and GTAAGT (pSB33) and at the intron branchpoint: TACT \overline{A} CC (pSB38), TA \overline{A} TAAC (pSB47), TACA \overline{A} AC (pSB49).

RNA Analysis

RNA extraction and Northern hybridization were performed as described (Beltrame and Tollervey, 1992). For Northern analysis, RNA was separated on 2% agarose-formaldehyde gels and transferred to Hybond N+. For strains *prp2-1*; *GAL::rrp41*, *prp2-1*; *rrp6-Δ*, *upf1-Δ* and *prp2-1*; *upf1-Δ*, Northern blot analyses were performed on RNA extracted from two independent transformants (YCBA29 and YCBA30, YCBA27 and YCBA28, YCBA73 and YCBA74, YCBA75 and YCBA76, respectively). Primer extension was performed as described (Chanfreau et al., 1994) with minor modifications. 10 μ g of total RNA was hybridized with 0.25 pmol of primer for 2 min at 100°C before being immediately frozen in liquid nitrogen. Reverse transcription was performed for 1 hr at 42°C. Sample loading was standardized to total RNA.

Oligonucleotides

The complete list of oligonucleotides used can be obtained from the authors (d.tollervey@ed.ac.uk) and is also available on the Cell web site as Supplementary Data (<http://www.cell.com/cgi/content/full/102/6/765/DC1>).

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