# Fraenkel-Carnap 2uestions for Equivalence Relations 

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#### Abstract

An equivalence is a binary relational system $\mathfrak{A}=\left(\mathcal{A}, \rho_{\mathfrak{A}}\right)$ where $\rho_{\mathfrak{A}}$ is an equivalence relation on $A$. A simple expansion of an equivalence is a system of the form $\left(\mathfrak{A} a_{1} \cdots a_{n}\right)$ were $\mathfrak{A}$ is an equivalence and $a_{1}, \ldots, a_{n}$ are members of A. It is shown that the Fraenkel-Carnap question when restricted to the class of equivalences or to the class of simple expansions of equivalences has a positive answer: that the complete second-order theory of such a system is categorical, if it is finitely axiomatizable.


## I INTRODUCTION

In the late 1920's Fraenkel and Carnap independently raised the question of whether or not every semantically complete, finitely axiomatizable theory is categorical. Carnap, but not Fraenkel, restricted attention to theories formulated in the simple theory of types. A positive answer to Carnap's question implies that a finitely axiomatizable theory is semantically complete iff it is categorical. Carnap announced a positive answer. However, his proof was flawed. It appears that the question was forgotten until the beginning of this century. A related result was established by the late 1960's: that for $n \geqslant 2$,
a semantically complete, finitely axiomatizable and satisfiable theory formulated in an $n^{\text {th }}$-order language has models of a single cardinality and that this cardinal is characterizable in the language in the sense that there is a sentence in the language true on all and only interpretations of the language of that cardinality [8] [9]. It follows that if the non-logical vocabulary is empty, then every finitely axiomatizable, semantically complete theory is categorical. A proof of this consequence, due to Dana Scott, is outlined in [4].

The Fraenkel-Carnap question was rediscovered by Awodey, Carus and Reck [2, 3, [4]. Later papers have considered restricting the Fraenkel-Carnap question to theories formulated in second-order languages $[\boxed{[3]},[4]$. Even in this case, the Fraenkel-Carnap question remains open. Some partial positive answers have been obtained. The general approach has been to restrict attention to subclasses of the class of interpretations of the language and to show that the complete theory of a member of the class is categorical, if that theory is finitely axiomatizable $[3,[13,[4]$. Some of these answers are consequences of results in first-order model theory. For example, it follows from Theorem 22 [7], page 712] that the second-order theory of any finite interpretation is categorical , whether or not that theory is finitely axiomatizable. When the non-logical vocabulary is finite it can be shown, in addition, that the first-order theory of a finite interpretation is both finitely axiomatizable and categorical [5] page io6]. The purpose of this paper is to add to the list of classes for which the FraenkelCarnap question has a positive answer. Consider the class of binary relational systems ( $A, \rho$ ) were $\rho$ is an equivalence relation on $A$. Members of this class are called equivalences. There are infinite equivalences whose second-order theories are finitely axiomatizable. For example, let $A$ be countably infinite and $\rho$ be the identity relation of $A$. It is shown that the Fraenkel-Carnap question for the class of infinite equivalences has a positive answer. In broad outline, reasoning is analogous to that for Dedekind algebras [ [13]: associate a cardinal valued function with each member of the class (in the case of equivalences, the partition function for that equivalence), and show that the functions encode the structure of their associated members in the sense that two members are isomorphic iff their associated functions are identical. Reasoning proceeds by showing that if $\phi$ is a second-order sentence all of whose models are equivalences and there are models of $\phi$ of cardinality $\beta$ that are not isomorphic, then there is a second-order sentence true on some models of $\phi$ of cardinality $\beta$, but false on others. Thus, the theory generated by $\phi$ is not semantically complete. The fact that the sentence $\phi$ has models of cardinality $\beta$ whose partition functions are different allows for the selection of a model of $\phi$ of cardinality $\beta$ that is minimal among the models of $\phi$ of that cardinality in a way that can be expressed by a second-order sentence. The sentence expressing this minimality distinguishes between models of $\phi$.

Given L , a second-order language with a finite non-logical vocabulary, and $\Delta$, a class of interpretations for L, $\Delta$ satisfies the Fraenkel-Carnap property provided the complete second-order theory of any member of $\Delta$ is categorical,
if it is finitely axiomatizable. The Fraenkel-Carnap property can be reformulated in a way that suggests natural generalizations. One of these is the quasi Fraenkel-Carnap property. Let $\mathfrak{A}$ be an interpretation for L. A pure sentence in L is a sentence whose non-logical vocabulary is empty. The pure theory of $\mathfrak{A}$ in L is the set of pure sentences in L that are true on $\mathfrak{A}$. $\operatorname{Th}(\mathfrak{A})$ is the theory of $\mathfrak{A}$ in L. A set of sentences, T , is a basis for $\mathrm{Th}(\mathfrak{A})$ provided the logical consequences of T are exactly the members of $\operatorname{Th}(\mathfrak{A}) . \operatorname{Th}(\mathfrak{A})$ is finitely axiomatizable provided some finite subset of $\operatorname{Th}(\mathfrak{A})$ is a basis for $\operatorname{Th}(\mathfrak{A}) . \operatorname{Th}(\mathfrak{A})$ is quasi-finitely axiomatizable provided some finite subset of $\operatorname{Th}(\mathfrak{A})$ together with the pure theory of $\mathfrak{A}$ is a basis for $\operatorname{Th}(\mathfrak{A}) . \mathfrak{A}$ is finitely characterizable provided there is T , a finite subset of $\operatorname{Th}(\mathfrak{A})$, such that all models of T are isomorphic; and $\mathfrak{A}$ is quasi-finitely characterizable provided there is T , a finite subset of $\operatorname{Th}(\mathfrak{A})$, such that all models of T of the same cardinality are isomorphic. $\Delta$ satisfies the Fraenkel-Carnap property provided every member of $\Delta$ whose theory is finitely axiomatizable is finitely characterizable.

If $\mathfrak{A}$ is quasi-finitely characterizable, then $\operatorname{Th}(\mathfrak{A})$ is quasi-finitely axiomatizable [I4], Lemma 4.I, page 287]. $\Delta$ satisfies the quasi Fraenkel-Carnap property provided for all $\mathfrak{A} \in \Delta$, if $\operatorname{Th}(\mathfrak{A})$ is quasi-finitely axiomatizable, then $\mathfrak{A}$ is quasifinitely characterizable. Assume that L is homogeneous in the sense that for each member of the non-logical vocabulary of $L$ there is a variable in the vocabulary of $L$ of the same grammatical category. Let $\mathfrak{A}$ be an interpretation of cardinality $\beta$. If $\operatorname{Th}(\mathfrak{A})$ is finitely axiomatizable, then all models of $\operatorname{Th}(\mathfrak{A})$ are of cardinality $\beta$ and $\beta$ is characterizable in $L$ in the sense that there is a pure sentence in $L$ true on all and only interpretations of cardinality $\beta$ [ [14, Corollary 2.2, page 286]. If $\mathfrak{A}$ is quasi-finitely characterizable and $\beta$ is characterizable, then $\mathfrak{A}$ is finitely characterizable. Hence, any class that satisfies the quasi Fraenkel-Carnap property also satisfies the Fraenkel-Carnap property.
$\mathrm{L}_{\mathrm{Q}}$ is a second-order language whose non-logical vocabulary consists of a single binary relational constant, Q . Interpretations for $\mathrm{L}_{\mathrm{Q}}$ are binary relational systems, that is, ordered pairs $\mathfrak{A}=\left(\mathcal{A}, \rho_{\mathfrak{A}}\right)$ where $A$ is a non-empty set (the domain of $\mathfrak{A}$ ) and $\rho_{\mathfrak{A}}$ is a binary relation on $A$. Sentences in $L_{Q}$ are interpreted in the binary relational systems in the "standard" way. An equivalence is a binary relational system in which $\rho_{\mathfrak{A}}$ is an equivalence relation on $A$. It is shown below that the class of infinite equivalences satisfies the Fraenkel-Carnap property.

## 2 THE CLASS OF INFINITE EQUIVALENCES

$\mathbb{E}$ is the class of infinite equivalences. $\mathbb{E}$ is a finitary class $\operatorname{in} \mathrm{L}_{\mathrm{Q}}$ in the sense that there is a finite set of sentences in $L_{Q}$ whose models are exactly the members of $\mathbb{E}$. For $\mathfrak{A}$ and $\mathfrak{B}$, interpretations for $L_{Q}, \mathfrak{A}$ and $\mathfrak{B}$ are equivalent in $L_{Q}$ iff $\operatorname{Th}(\mathfrak{A})=\operatorname{Th}(\mathfrak{B})$. The following is direct from Theorem 5.I of [I4, page 288] and the fact that $\mathrm{L}_{\mathrm{Q}}$ is homogeneous.

## lemma i The following are equivalent:

I. $\mathbb{E}$ satisfies the quasi Fraenkel-Carnap property; and
2. for all infinite cardinals $\beta$ and all sentences $\phi$ in $\mathrm{L}_{\mathrm{Q}}$, if all models of $\phi$ are in $\mathbb{E}$ and all models of $\phi$ of cardinality $\beta$ are equivalent in $\mathrm{L}_{\mathrm{Q}}$, then all models of $\phi$ of cardinality $\beta$ are isomorphic.

The function $f$ is an isomorphism from $\mathfrak{A}$ to $\mathfrak{B}$ iff $f$ is a bijection from $A$ to $B$ and for all $a, d \in A,(a, d) \in \rho_{\mathfrak{A}}$ iff $(f(a), f(d)) \in \rho_{\mathfrak{B}}$. $\mathfrak{A}$ and $\mathfrak{B}$ are isomorphic iff there is an isomorphism from $\mathfrak{A}$ to $\mathfrak{B}$. An automorphism on $\mathfrak{A}$ is an isomorphism from $\mathfrak{A}$ to itself. If $C$ is a non-empty subset of $A, \mathfrak{A}[C]$ is the subsystem of $\mathfrak{A}$ generated by C . C is the domain of $\mathfrak{A}[\mathrm{C}]$ and $\rho_{\mathfrak{A}[\mathrm{C}]}=\rho_{\mathfrak{A}} \cap \mathrm{C}^{2}$.

Assume that $\mathfrak{A}$ is an equivalence of cardinality $\beta$. $\mathcal{A} / \rho_{\mathfrak{A}}$ is the partition on $A$ induced by $\rho_{\mathfrak{A}}$. Members of this partition are the equivalence classes of the members of $A$ relative to $\rho_{\mathfrak{A}}\left([a]_{\mathfrak{A}}\right)$. These classes are the cells of the partition. $\mathbb{P}(\mathfrak{A})$, the partition function for $\mathfrak{A}$, is a cardinal valued function defined on the cardinals less than or equal to $\beta$. The value of this function at the cardinal $k$ is the number of cells in $\mathcal{A} / \rho_{\mathfrak{A}}$ of cardinality $\kappa$. In essence, $\mathbb{P}(\mathfrak{A})$ encodes the structure of $\mathfrak{A}$.
lemma 2 Assume that $\mathfrak{A}$ and $\mathfrak{B}$ are members of $\mathbb{E}$. Then, the following are equivalent:
I. $\mathfrak{A}$ and $\mathfrak{B}$ are isomorphic; and
2. $\mathbb{P}(\mathfrak{A})=\mathbb{P}(\mathfrak{B})$.

Proof: Suppose that $f$ is an isomorphism from $\mathfrak{A}$ to $\mathfrak{B}$ and that $a \in A$. $[a]_{\mathfrak{A}}$ has the same cardinality as $[f(a)]_{\mathfrak{B}}$. Thus for all cardinals $k$ less than or equal to the cardinality of $\mathfrak{A}, \mathbb{P}(\mathfrak{A})(\kappa) \leqslant \mathbb{P}(\mathfrak{B})(\kappa)$. Since the inverse of $f$ is an isomorphism from $\mathfrak{B}$ to $\mathfrak{A}, \mathbb{P}(\mathfrak{B})(\kappa) \leqslant \mathbb{P}(\mathfrak{A})(\kappa)$, and $\mathbb{P}(\mathfrak{A})=\mathbb{P}(\mathfrak{B})$.

Suppose that $\mathbb{P}(\mathfrak{A})=\mathbb{P}(\mathfrak{B})$. For each cardinal $\kappa$ less than or equal to the cardinality of $\mathfrak{A}, \mathcal{A} / \rho_{\mathfrak{A}}[k]$ is the set of cells in $\mathcal{A} / \rho_{\mathfrak{A}}$ of cardinality $\kappa$. $B / \rho_{\mathfrak{B}}[k]$ is the set of cells in $B / \rho_{\mathfrak{B}}$ of cardinality $\kappa$. By supposition, there is a bijection $f_{k}$ from $A / \rho_{\mathcal{A}}[k]$ to $B / \rho_{\mathcal{B}}[k]$. Let $g$ be the union of the $f_{k} . g$ is a bijection from $A / \rho_{\mathfrak{A}}$ to $B / \rho_{\mathfrak{B}}$ that preserves the cardinality of cells. Let $C$ be a cell in $A / \rho_{\mathfrak{A}}$. $\mathfrak{A}[\mathrm{C}]$ is an equivalence. In the same way, $\mathfrak{B}[g(C)]$ is an equivalence. $\mathrm{f}_{\mathrm{C}}$ is any bijection from $C$ to $g(C)$. $f_{C}$ is an isomorphism from $\mathfrak{A}[C]$ to $\mathfrak{B}[g(C)]$. Let $f$ be the union, over the cells in $\mathcal{A} / \rho_{\mathfrak{A}}$, of the $f_{C}$. So $f$ is an isomorphism from $\mathfrak{A}$ to $\mathfrak{B}$.

If $D$ is a set, then $\operatorname{card} D$ is the cardinal number of the set $D . \phi(S)$ is a formula whose one and only free variable is the set variable $S . \phi\left(S, S^{\prime}\right)$ is a formula whose only free variables are the set variables $S$ and $S^{\prime}$ where $S$ and $S^{\prime}$ are different and the first free occurrence of $S$ in $\phi\left(S, S^{\prime}\right)$ occurs before (to the left of) the first free occurrence of $S^{\prime}$ in $\phi\left(S, S^{\prime}\right)$. If $\mathfrak{A}$ is a binary relational system and $D$ and $D^{\prime}$ are subsets of $A, \mathfrak{A} \vDash \phi(S)[D]$ indicates that $D$ satisfies $\phi(S)$ in $\mathfrak{A}$; and $\mathfrak{A} \vDash \phi\left(S, S^{\prime}\right)\left[D, D^{\prime}\right]$ indicates that ( $\left.D, D^{\prime}\right)$ satisfies $\phi\left(S, S^{\prime}\right)$ in $\mathfrak{A}$, when $D$ is the value of $S$ and $D^{\prime}$ is the value of $S^{\prime}$.

In the following, $\beta$ is a non-zero cardinal, $\Gamma$ is a collection of cardinals each of which is less than or equal to $\beta, \Sigma$ is a set of ordered pairs whose coordinates are cardinals less than or equal to $\beta$. The pure formula $\phi(S)$ describes $\Gamma$ in $\beta$ iff for all $\mathfrak{A}$, a binary relational system of cardinality $\beta$, and all $D$, a subset of $A$, $\mathfrak{A} \vDash \phi(\mathrm{S})[\mathrm{D}]$ iff $\operatorname{card} \mathrm{D} \in \Gamma . \Gamma$ is describable in $\beta$ iff some pure formula $\phi(\mathrm{S})$ describes $\Gamma$ in $\beta$. The pure formula $\phi\left(S, S^{\prime}\right)$ describes $\sum$ in $\beta$ iff for all $\mathfrak{A}$, a binary relational system of cardinality $\beta$, all $D, D^{\prime}$ subsets of $A, \mathfrak{A} \vDash \phi\left(S, S^{\prime}\right)\left[D, D^{\prime}\right]$ iff $\left(\operatorname{card} \mathrm{D}, \operatorname{card} \mathrm{D}^{\prime}\right) \in \Sigma$. $\Sigma$ is describable in $\beta$ iff there is a pure formula $\phi\left(S, S^{\prime}\right)$ that describes $\Sigma$ in $\beta$. The pure formula $\phi(S)$ describes к in $\beta$ iff $\phi(S)$ describes $\{\kappa\}$ in $\beta$. $\kappa$ is describable in $\beta$ iff $\{\mathrm{k}\}$ is describable in $\beta$.
lemma 3 Assume that $\Gamma$ is a non-empty set of cardinals each $\leqslant \beta$ and that $\Gamma$ is describable in $\beta$. Then, the least member of $\Gamma$ is describable in $\beta$.

Proof: Let $\phi(S)$ be that pure formula that describes $\Gamma$ in $\beta . \lesssim\left(S, S^{\prime}\right)$ is a pure formula such that for all $\mathfrak{A}$, a binary relational system, all $D$ and $D^{\prime}$, subsets of $\mathrm{A}, \mathfrak{A} \vDash \lesssim\left(\mathrm{S}, \mathrm{S}^{\prime}\right)\left[\mathrm{D}, \mathrm{D}^{\prime}\right]$ iff $\operatorname{card} \mathrm{D} \leqslant \operatorname{card} \mathrm{D}^{\prime} . \psi(\mathrm{S})$ is the pure formula

$$
\begin{equation*}
\left(\phi(S) \& \forall S^{\prime}\left(\phi\left(S^{\prime}\right) \supset \lesssim\left(S, S^{\prime}\right)\right)\right) . \tag{I}
\end{equation*}
$$

$\psi(S)$ describes the least member of $\Gamma$ in $\beta$.
lemma 4 Assume that all models of $\phi$ are equivalences and that $\Gamma$ is the collection of those cardinals $\kappa \leqslant \beta$ such that there are models of $\phi$ of cardinality $\beta$ whose partition functions differ at $\kappa$. Then,
I. Г is empty iff all models of $\phi$ of cardinality $\beta$ are isomorphic; and
2. if $\Gamma$ is non-empty, then $\Gamma$ is describable in $\beta$.

Proof: Assume that $\phi$ and $\Gamma$ are as above. The first condition is immediate from Lemma 2.

Suppose that $\Gamma$ is non-empty. Reasoning proceeds by constructing a pure sentence that describes $\Gamma$ in $\beta$. $\operatorname{Cell}(x, S)$ is the formula

$$
\begin{equation*}
(S(x) \& \forall y(S(y) \equiv Q(x, y))) \tag{2}
\end{equation*}
$$

If $\mathfrak{C}$ is an equivalence, $a \in C$ and $D$ is a subset of $C$, then $\mathfrak{C} \models \operatorname{Cell}(x, S)[a, D]$ iff $\mathrm{D}=[\mathrm{a}]_{\mathfrak{c}} . \operatorname{Cell}(\mathrm{S})$ is the formula

$$
\begin{equation*}
\exists x \operatorname{Cell}(x, S) \tag{3}
\end{equation*}
$$

The set D satisfies $\mathrm{Cell}(\mathrm{S})$ in the equivalence $\mathfrak{C}$ iff D is a cell in $\mathrm{C} / \rho_{\mathfrak{C}}$.
If $\mathfrak{C}$ is an equivalence, $\mathrm{D} \in \mathrm{C} / \rho_{\mathfrak{C}}$ and $\mathrm{D}^{\prime}$ is a subset of C , then $D^{\prime}$ is a witness set for $D$ in $\mathfrak{C}$ iff $\mathrm{D}^{\prime}$ includes one and only one member from each cell in $\mathrm{C} / \rho_{\mathfrak{c}}[\operatorname{car} d \mathrm{D}]$. The existence of witness sets for each cell is a consequence of the axiom of choice. Reasoning proceeds by constructing a formula that defines the witness relation in $\mathfrak{C}$. There is a pure formula $\mathrm{Eq}\left(S, S^{\prime}\right)$ such that for all $\mathfrak{A}$,

[^0]a binary relational system, and all $D, D^{\prime}$, subsets of $A, \mathfrak{A} \vDash E q\left(S, S^{\prime}\right)\left[D, D^{\prime}\right]$ iff $\operatorname{card} \mathrm{D}=\operatorname{card} \mathrm{D}^{\prime}$.

Witness $\left(S^{\prime}, S\right)$ is the conjunction of the following:

$$
\begin{gather*}
\exists x\left(S^{\prime}(x) \& S(x)\right)  \tag{4}\\
\operatorname{Cell}(S)  \tag{5}\\
\forall S^{\prime \prime}\left(\left(\operatorname{Cell}\left(S^{\prime \prime}\right) \& \operatorname{Eq}\left(S^{\prime \prime}, S\right)\right) \supset \exists x\left(S^{\prime \prime}(x) \& S^{\prime}(x)\right)\right),  \tag{6}\\
\forall y\left(S^{\prime}(y) \supset \exists S^{\prime \prime}\left(\operatorname{Cell}\left(y, S^{\prime \prime}\right) \& \operatorname{Eq}\left(S^{\prime \prime}, S\right)\right)\right.  \tag{7}\\
\forall x y\left(\left(x \neq y \& S^{\prime}(x) \& S^{\prime}(y)\right) \supset \sim Q(x, y)\right) \tag{8}
\end{gather*}
$$

If $\mathfrak{C}$ is an equivalence, $D$ and $D^{\prime}$ are subsets of $C$, then $\mathfrak{C} \models$ Witness $\left(S^{\prime}, S\right)\left[D^{\prime}, D\right]$ iff $D \in C / \rho_{\mathcal{C}}$ and $D^{\prime}$ is a witness set for $D$. While the partition function for $\mathfrak{C}$ is not definable in $\mathfrak{C}$, it is representable in $\mathfrak{C}$ in the sense that there is a formula $\phi\left(S, S^{\prime}\right)$ such that $\mathfrak{C} \models \phi\left(S, S^{\prime}\right)\left[D, D^{\prime}\right]$ iff $\mathbb{P}(\mathfrak{C})(\operatorname{card} \mathrm{D})=\operatorname{card} \mathrm{D}^{\prime}$. Such a formula represents $\mathbb{P}(\mathfrak{C})$ in $\mathfrak{C} . \operatorname{PF}\left(S, S^{\prime}\right)$ is the disjunction of the following:

$$
\begin{gather*}
\exists S^{\prime \prime \prime} S^{\prime \prime \prime \prime}\left(\operatorname{Eq}\left(S, S^{\prime \prime \prime}\right) \& \operatorname{Eq}\left(S^{\prime}, S^{\prime \prime \prime \prime}\right) \& W \operatorname{Winess}\left(S^{\prime \prime \prime \prime}, S^{\prime \prime \prime}\right)\right),  \tag{9}\\
\left.\left(\sim \exists S^{\prime \prime}\left(\operatorname{Cell}\left(S^{\prime \prime}\right) \& \operatorname{Eq}\left(S^{\prime \prime}, S\right)\right) \& \forall x \sim S^{\prime}(x)\right)\right) . \tag{ıo}
\end{gather*}
$$

$\operatorname{PF}\left(S, S^{\prime}\right)$ represents the partition function $\mathbb{P}(\mathfrak{C})$ in $\mathfrak{C}$.
If $R$ is a binary relational variable and $\psi$ is a formula in which the binary relational constant, $Q$, occurs but $R$ does not occur, then $\psi(R)$ is the result of replacing every occurrence of $Q$ in $\psi$ by $R$. Let $R$ and $R^{\prime}$ be binary relational variables not occurring in $\phi$ or in $\operatorname{PF}\left(S, S^{\prime}\right) . G(S)$ is the pure formula

$$
\exists R R^{\prime} S^{\prime} S^{\prime \prime}\left(\phi(R) \& \phi\left(R^{\prime}\right) \& \operatorname{PF}\left(S, S^{\prime}\right)(R) \& \operatorname{PF}\left(S, S^{\prime \prime}\right)\left(R^{\prime}\right) \& \sim E q\left(S^{\prime}, S^{\prime \prime}\right)\right)
$$

$\mathrm{G}(\mathrm{S})$ describes $\Gamma$ in $\beta$.
theorem 5 Assume that all models of $\phi$ are equivalences and that there are models of $\phi$ of cardinality $\beta$ that are not isomorphic. Then, there are models of $\phi$ of cardinality $\beta$ that are not equivalent.

Proof: Let $\Gamma$ be as in Lemma 4 By Lemma 2, $\Gamma$ is non-empty. Let $\kappa$ be the least member of $\Gamma$. By Lemma 4 and Lemma 3, k is describable in $\beta$. Let $\psi(S)$ describe $\kappa$ in $\beta$. Let $\Theta=\{\mathbb{P}(\mathfrak{A})(\kappa) \mid \mathfrak{A}$ is a model of $\phi$ of cardinality $\beta\}$. By assumption, $\Theta$ contains at least two cardinals. Let $\alpha$ be the least member of $\Theta$ and $\gamma$ be any member of $\Theta-\{\alpha\}$. There are $\mathfrak{A}$ and $\mathfrak{B}$, models of $\phi$ of cardinality $\beta$, such that $\mathbb{P}(\mathfrak{A})(\kappa)=\alpha$ and $\mathbb{P}(\mathfrak{B})(\kappa)=\gamma$. Reasoning proceeds by showing that these models of $\phi$ are not equivalent. Suppose that $\alpha=0$. The following sentence is true on $\mathfrak{A}$ but false on $\mathfrak{B}$ :

$$
\begin{equation*}
\forall S(\operatorname{Cell}(S) \supset \sim \psi(S)) \tag{ㄷ2}
\end{equation*}
$$

Suppose that $\alpha$ is not 0 . The following sentence is true on $\mathfrak{A}$ but false on $\mathfrak{B}$ :

$$
\begin{equation*}
\exists S^{\prime}\left(\operatorname{PF}\left(S, S^{\prime}\right) \& \psi(S) \& \forall R S^{\prime \prime}\left(\left(\phi(R) \& \operatorname{PF}\left(S, S^{\prime \prime}\right)(R)\right) \supset \lesssim\left(S^{\prime}, S^{\prime \prime}\right)\right)\right) \tag{ㄴ3}
\end{equation*}
$$

The following is immediate from the above, Lemma I and the observation that $\mathrm{L}_{\mathrm{Q}}$ is homogeneous.

## corollary i $\mathbb{E}$ satisfies both the quasi Fraenkel-Carnap property and the FraenkelCarnap property.

## 3 SIMPLE EXPANSIONS OF EQUIVALENCES

For each $n \geqslant 1, K_{n}=\{Q\} \cup\left\{c_{1}, \ldots, c_{n}\right\}$ where $c_{1}, \ldots, c_{n}$ are distinct individual constants. $L_{n}$ is the second-order language with non-logical vocabulary $K_{n}$. Interpretations for $L_{n}$ are of the form $\left(\mathfrak{A} a_{1} \cdots a_{n}\right)$ where $\mathfrak{A}$ is a binary relational system, $a_{1}, \ldots, a_{n}$ are members of $A$ and $c_{i}$ denotes $a_{i}$ in $\left(\left\{a_{1} \cdots a_{n}\right)\right.$. $\left(\mathfrak{A} a_{1} \cdots a_{n}\right)$ is a simple expansion of $\mathfrak{A}$. $\mathbb{E}_{n}=\left\{\left(\mathfrak{A} a_{1} \cdots a_{n}\right) \mid \mathfrak{A} \in \mathbb{E}\right\}$. It is shown in this section that $\mathbb{E}_{n}$ satisfies the quasi Fraenkel-Carnap property. Since $L_{n}$ is homogeneous, $\mathbb{E}_{n}$ also satisfies the Fraenkel-Carnap property.

If $\left(\mathfrak{A} a_{1} \cdots a_{n}\right)$ and $\left(\mathfrak{B} b_{1} \cdots b_{n}\right)$ are interpretations for $L_{n}$ and $f$ is a function, f is an isomorphism from $\left(\mathfrak{A} \mathrm{a}_{1} \cdots \mathrm{a}_{n}\right)$ to $\left(\mathfrak{B} \mathrm{b}_{1} \cdots \mathrm{~b}_{\mathfrak{n}}\right)$ provided f is an isomorphism from $\mathfrak{A}$ to $\mathfrak{B}$ and $f\left(a_{i}\right)=b_{i}$, for all $i$. $\left(\mathfrak{A} a_{1} \cdots a_{n}\right)$ and $\left(\mathfrak{B} b_{1} \cdots b_{n}\right)$ are isomorphic provided that there is some isomorphism from $\left(\mathfrak{A} a_{1} \cdots a_{n}\right)$ to $\left(\mathfrak{B} b_{1} \cdots b_{n}\right)$.

Since $\mathbb{E}$ is a finitary class in $L_{Q}, \mathbb{E}_{n}$ is finitary in $L_{n}$. Hence by Theorem 5.1 [I4], to show that $\mathbb{E}_{n}$ satisfies the quasi Fraenkel-Carnap property it suffices to show that for all $\phi$, a sentence in $L_{n}$, and all cardinals $\beta$, if all models of $\phi$ are in $\mathbb{E}_{n}$ and all models of $\phi$ of cardinality $\beta$ are equivalent in $L_{n}$, then all models of $\phi$ of cardinality $\beta$ are isomorphic.
lemma 6 Assume that $\phi$ is a sentence in $\mathrm{L}_{n}$ all of whose models are in $\mathbb{E}_{\mathrm{n}}$ and that $\beta$ is an infinite cardinal. Then, if all models of $\phi$ of cardinality $\beta$ are equivalent in $L_{n}$, then there is $\psi$, a sentence in $\mathrm{L}_{\mathrm{Q}}$, such that

1. $\mathfrak{A}$ is a model of $\psi$ iff there are $a_{1}, \ldots, a_{n}$ in $A$ such that $\left(\mathfrak{A} a_{1} \cdots a_{n}\right)$ is a model of $\phi$;
2. all models of $\psi$ are in $\mathbb{E}$;
3. all models of $\psi$ of cardinality $\beta$ are equivalent in $\mathrm{L}_{\mathrm{Q}}$; and
4. all models of $\psi$ of cardinality $\beta$ are isomorphic.

Proof: Suppose that all models of $\phi$ of cardinality $\beta$ are equivalent in $L_{n}$. Let $x_{1}, \ldots, x_{n}$ be distinct individual variables not occurring in $\phi . \varphi$ is the result of replacing every occurrence of $c_{i}$ in $\phi$ by an occurrence of $x_{i}$, for all $i$. $\psi$ is $\exists x_{1} \cdots x_{n} \varphi$. Conditions I, 2 and 3 are immediate. Condition 4 follows from condition 3 and Theorem 5 .

Lemma 6 essentially reduces the problem of showing that $\mathbb{E}_{\mathrm{n}}$ satisfies the quasi Fraenkel-Carnap property to the study of automorphisms on the members of $\mathbb{E}$. To understand this, consider the following. Suppose that $\left(\mathfrak{A} a_{1} \cdots a_{n}\right)$ and $\left(\mathfrak{B} b_{1} \cdots b_{n}\right)$ are models of $\phi$ of cardinality $\beta$. Then $\mathfrak{A}$ and $\mathfrak{B}$ are models of $\psi$ of cardinality $\beta$. There is an isomorphism $f$ from $\mathfrak{A}$ to $\mathfrak{B}$. $f$ is an isomorphism from $\left(\mathfrak{A} a_{1} \cdots a_{n}\right)$ to $\left(\mathfrak{B f}\left(a_{1}\right) \cdots f\left(a_{n}\right)\right)$. Hence, $\left(\mathfrak{A} a_{1} \cdots a_{n}\right)$ and $\left(\mathfrak{B f}\left(a_{1}\right) \cdots f\left(a_{n}\right)\right)$ are equivalent in $L_{n}$. Further, if $g$ is any automorphism on $\mathfrak{B}$ that takes $f\left(a_{i}\right)$ to $b_{i}$, for all $i$, then $g$ is an isomorphism from $\left(\mathfrak{B} b_{1} \cdots b_{n}\right)$ to $\left(\mathfrak{B f}\left(a_{1}\right) \cdots f\left(a_{n}\right)\right)$. Thus, the composition of $f$ and $g$ is an isomorphism from $\left(\mathfrak{A} a_{1} \cdots a_{n}\right)$ to $\left(\mathfrak{B} b_{1} \cdots b_{n}\right)$. The next lemma provides conditions necessary and sufficient for the existence of an automorphism on a member of $\mathbb{E}$.
Lemma 7 Assume that $\mathfrak{A}$ is an infinite equivalence and that $a_{1}, \ldots, a_{n}, d_{1}, \ldots, d_{n}$ are in $A$. Then the following are equivalent:
I. there is $\mathfrak{f}$, an automorphism on $\mathfrak{A}$, such that $\mathrm{f}\left(\mathrm{a}_{\mathfrak{i}}\right)=\mathrm{d}_{\mathrm{i}}$ for all $\mathfrak{i}$ and
2. for all $\mathrm{i}, \mathrm{j}$, if $1 \leqslant \mathrm{i}, \mathrm{j} \leqslant \mathrm{n}$, then
(a) $\mathrm{a}_{\mathrm{i}} \neq \mathrm{a}_{\mathrm{j}}$ iff $\mathrm{d}_{\mathrm{i}} \neq \mathrm{d}_{\mathrm{j}}$;
(b) $\left(\mathrm{a}_{\mathfrak{i}}, \mathrm{a}_{\mathfrak{j}}\right) \in \rho_{\mathfrak{A}}$ iff $\left(\mathrm{d}_{\mathfrak{i}}, \mathrm{a}_{\mathfrak{j}}\right) \in \rho_{\mathfrak{A}} ;$ and
(c) $\operatorname{card}\left[\mathrm{a}_{\mathrm{i}}\right]_{\mathfrak{A}}=\operatorname{card}\left[\mathrm{d}_{\mathrm{i}}\right]_{\mathfrak{A}}$.

Proof: Condition 2 is immediate from Condition I. Suppose that condition 2 holds. There are two cases to consider. Supppose that for all $\mathfrak{i}$ and $\mathfrak{j}$, if $\mathfrak{i} \neq \mathfrak{j}$, then $\left[a_{i}\right]_{\mathfrak{A}}$ and $\left[a_{j}\right]_{\mathfrak{A}}$ are disjoint. It follows from condition $2(c)$ that for all $i$, there is $f_{i}$, a bijection from $\left[a_{i}\right]_{\mathfrak{A}}$ to $\left[d_{i}\right]_{\mathfrak{A}}$ such that $f_{i}\left(a_{i}\right)=d_{i}$. Let $h=f_{1} \cup \cdots \cup f_{n} . h$ is bijection from $\left[a_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[a_{n}\right]_{\mathfrak{A}}$ to $\left[d_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[d_{n}\right]_{\mathfrak{A}}$ and $h\left(a_{i}\right)=d_{i}$, for all $i$. Further, $h$ is an isomorphism from $\mathfrak{A}\left[\left[a_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[a_{n}\right]_{\mathfrak{A}}\right]$ to $\mathfrak{A}\left[\left[d_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[d_{n}\right]_{\mathfrak{A}}\right] . A=\left[a_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[a_{n}\right]_{\mathfrak{A}}$ iff $A=\left[d_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[d_{n}\right]_{\mathfrak{A}}$. Thus, if $A=\left[a_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[a_{n}\right]_{\mathfrak{A}}$, then $h$ is the desired automorphism on $\mathfrak{A}$. Suppose that $A \neq\left[a_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[a_{n}\right]_{\mathfrak{A}}$. Then $A \neq\left[d_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[d_{n}\right]_{\mathfrak{A}}$. Let $B=A$ $\left(\left[a_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[a_{n}\right]_{\mathfrak{A}}\right)$ and $C=A-\left(\left[d_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[d_{n}\right]_{\mathfrak{A}}\right)$. Both $\mathfrak{A}[B]$ and $\mathfrak{A}[C]$ are equivalences of the same cardinality. If $g$ is an isomorphism from $\mathfrak{A}[B]$ to $\mathfrak{A}[C]$, then $\mathfrak{h} \cup \mathrm{g}$ is an automorphism on $\mathfrak{A}$. Reasoning proceeds by applying Lemma ${ }_{2}$ to show that $\mathfrak{A}[B]$ and $\mathfrak{A}[C]$ are isomorphic. Let $\delta$ be the cardinality of $B$ and let $\kappa$ be a cardinal $\leqslant \delta$. Finally, for each $i$, let $\delta_{i}$ be the cardinality of $\left[a_{i}\right]_{\mathfrak{A}}$. Suppose that $k \neq \delta_{i}$, for all i. Thus, $\mathbb{P}(\mathfrak{A}[B])(\kappa)=\mathbb{P}(\mathfrak{A})(\kappa)$ and $\mathbb{P}(\mathfrak{A}[C])(\kappa)=\mathbb{P}(\mathfrak{A})(\kappa)$. Suppose that there is $i, 1 \leqslant i \leqslant n$, such that $\kappa=\delta_{i}$. Since $h$ is an isomorphism, $\mathbb{P}\left(\mathfrak{A}\left[\left[a_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[a_{n}\right]_{\mathfrak{A}}\right]\right)(\kappa)=\mathbb{P}\left(\mathfrak{A}\left[\left[d_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[d_{n}\right]_{\mathfrak{A}}\right]\right)(\kappa)$. Further, $\mathbb{P}(\mathfrak{A}[B])(\kappa)=$
$\mathbb{P}(\mathfrak{A})(\kappa)-\mathbb{P}\left(\mathfrak{A}\left[\left[\mathrm{a}_{1}\right]_{\mathfrak{A}} \cup \cdots \cup\left[\mathrm{a}_{n}\right]_{\mathfrak{A}}\right]\right)(\kappa)$ and $\mathbb{P}(\mathfrak{A}[\mathrm{C}])(\kappa)=\mathbb{P}(\mathfrak{A})(\kappa)-\mathbb{P}\left(\mathfrak{A}\left[\left[\mathrm{d}_{1}\right]_{\mathfrak{A}} \cup\right.\right.$ $\left.\left.\cdots \cup\left[d_{n}\right]_{\mathfrak{A}}\right]\right)(\kappa)$. Hence, $\mathbb{P}(\mathfrak{A}[B])=\mathbb{P}(\mathfrak{A}[C])$.

Suppose that there are $i$ and $j$, between I and $n$, such that $i \neq j$ and $\left[a_{i}\right]_{\mathfrak{A}}$ and $\left[a_{j}\right]_{\mathfrak{A}}$ are not disjoint. By condition $2(b),\left[d_{i}\right]_{\mathfrak{A}}$ and $\left[d_{\mathfrak{j}}\right]_{\mathfrak{A}}$ are not disjoint. Thus, there is $t \geqslant 1,\left\{a_{1}^{\prime}, \ldots, a_{t}^{\prime}\right\} \subseteq\left\{a_{1}, \ldots, a_{n}\right\}$, and $\left\{d_{1}^{\prime}, \ldots, d_{t}^{\prime}\right\} \subseteq\left\{d_{1}, \ldots, d_{n}\right\}$ that satisfy the following:
I. if $i \neq \mathfrak{j}$, then $\left[a_{\mathfrak{i}}^{\prime}\right]_{\mathfrak{A}}$ and $\left[a_{\mathfrak{j}}^{\prime}\right]_{\mathfrak{A}}$ are disjoint, and $\left[d_{\mathfrak{i}}^{\prime}\right]_{\mathfrak{A}}$ and $\left[d_{\mathfrak{j}}^{\prime}\right]_{\mathfrak{A}}$ are disjoint;
2. for each $a_{i}$ there is $a_{j}^{\prime}$ such that $\left(a_{i}, a_{j}^{\prime}\right) \in \rho_{\mathfrak{A}}$ and for each $d_{i}$ there is $d_{j}^{\prime}$ such that $\left(d_{i}, d_{\mathfrak{j}}^{\prime}\right) \in \rho_{\mathfrak{A}}$;
3. $a_{1}^{\prime}, \ldots, a_{t}^{\prime}$ and $d_{1}^{\prime}, \ldots, d_{t}^{\prime}$ satisfy condition 2 of Lemma 7 .

Proceeding as in the first case, for each $i$, between 1 and $t$, there is $f_{i}$ such that $f_{i}$ is a bijection from $\left[a_{\mathfrak{i}}^{\prime}\right]_{\mathfrak{A}}$ to $\left[d_{\mathfrak{i}}^{\prime}\right]_{\mathfrak{A}}, f_{\mathfrak{i}}\left(a_{\mathfrak{i}}^{\prime}\right)=d_{\mathfrak{i}}^{\prime}$; and, if $a_{j} \in\left[a_{\mathfrak{i}}^{\prime}\right]_{\mathfrak{A}}$, then $f_{i}\left(a_{j}\right)=d_{j}$, for all $j$ between 1 and $n$. $h$ is then the union of the $f_{i}$ and the automorphism $f$ is constructed as in the first case.

Lemma 8 Assume that $\phi$ is a sentence in $\mathrm{L}_{n}$ all of whose models are in $\mathbb{E}_{n}$ and that all of the models of $\phi$ of cardinality $\beta$ are equivalent in $L_{n}$. Then, if $\left(\mathscr{A} a_{1} \cdots a_{n}\right)$ and $\left(\mathfrak{A} \mathrm{d}_{1} \cdots \mathrm{~d}_{\mathfrak{n}}\right)$ are models of $\phi$ of cardinality $\beta$, then $\left(\mathfrak{A} \mathrm{a}_{1} \cdots \mathrm{a}_{\mathfrak{n}}\right)$ and $\left(\mathfrak{A} \mathrm{d}_{1} \cdots \mathrm{~d}_{\mathfrak{n}}\right)$ are isomorphic.

Proof: Suppose that $\left(\mathfrak{A} a_{1} \cdots a_{n}\right)$ and $\left(\mathfrak{A} d_{1} \cdots d_{n}\right)$ are models of $\phi$ of cardinality $\beta$, but are not isomorphic. Then, there is no automorphism on $\mathfrak{A}$ taking $a_{i}$ to $d_{i}$, for all $i$. By Lemma 7, there is some $j$ such that $\operatorname{card}\left[a_{j}\right]_{\mathfrak{A}} \neq \operatorname{card}\left[\mathrm{d}_{\mathfrak{j}}\right]_{\mathfrak{A}}$. Let $\operatorname{card}\left[\mathrm{a}_{\mathrm{j}}\right]_{\mathfrak{A}}<\operatorname{card}\left[\mathrm{d}_{\mathfrak{j}}\right]_{\mathfrak{A}}$. Let $\Gamma$ be the set of all cardinals $\alpha$ for which there are $b_{1}, \ldots, b_{n}$ in $A$ such that $\left(\mathfrak{A} b_{1} \cdots b_{n}\right)$ is a model of $\phi$ and $\alpha=\operatorname{card}\left[b_{j}\right]_{\mathfrak{A}}<$ $\operatorname{card}\left[\mathrm{d}_{\mathrm{j}}\right]_{\mathfrak{A}}$. By supposition, $\Gamma$ is non-empty. Let $\kappa$ be the least member of $\Gamma$. Thus, there are $b_{1}, \ldots, b_{n}$ in A such that $\left(\mathfrak{A} b_{1} \cdots b_{n}\right)$ is a model of $\phi$ and $\operatorname{card}\left[\mathrm{b}_{\mathfrak{j}}\right]_{\mathfrak{A}}=\kappa .\left(\mathfrak{A} \mathrm{b}_{1} \cdots \mathrm{~b}_{\mathfrak{n}}\right)$ and $\left(\mathfrak{A} \mathrm{d}_{1} \cdots \mathrm{~d}_{\mathfrak{n}}\right)$ are equivalent in $\mathrm{L}_{n}$. Reasoning proceeds by finding a sentence true on one but false on the other. There is a pure formula $<\left(S, S^{\prime}\right)$ such that for all relational systems $\mathfrak{B}$ and all D and $\mathrm{D}^{\prime}$, subsets of $\mathrm{B}, \mathfrak{B} \models<\left(\mathrm{S}, \mathrm{S}^{\prime}\right)\left[\mathrm{D}, \mathrm{D}^{\prime}\right]$ iff $\operatorname{card} \mathrm{D}<\operatorname{card} \mathrm{D}^{\prime}$. Let $\varphi$ be as in the proof of Lemma6. Consider the sentence

$$
\begin{equation*}
\exists S^{\prime} x_{1} \cdots x_{n}\left(\varphi \& \operatorname{Cell}\left(x_{j}, S\right) \& \operatorname{Cell}\left(c_{j}, S^{\prime}\right) \&<\left(S, S^{\prime}\right)\right) \tag{I4}
\end{equation*}
$$

This sentence is true on $\left(\mathfrak{A} d_{1} \cdots d_{n}\right)$ but false on $\left(\mathfrak{A} b_{1} \cdots b_{n}\right)$.
The following is then immediate from Lemma 8 by the reasoning following the proof of Lemma 6
theorem 9 Assume that $\phi$ is a sentence in $\mathrm{L}_{\mathrm{n}}$ all of whose models are in $\mathbb{E}_{\mathrm{n}}$ and that $\beta$ is an infinite cardinal. Then, if all models of $\phi$ of cardinality $\beta$ are equivalent in $L_{n}$, then all models of $\phi$ of cardinality $\beta$ are isomorphic.

Finally, Corollary 2 is immediate from Theorem 9 and the observation that $L_{n}$ is homogeneous.
corollary 2 For all $n, \mathbb{E}_{\mathrm{n}}$ satisfies both the quasi Fraenkel-Carnap property and the Fraenkel-Carnap property.

## 4 AN APPLICATION

This section is devoted to an application of Corollary If $\mathfrak{A}$ is an infinite equivalence, then certain properties of $\mathfrak{A}$ can be characterized in terms of the action of the partition function for $\mathfrak{A}: \mathfrak{A}$ is quasi-finitely characterizable iff $\mathbb{P}(\mathfrak{A})$ is describable in $\operatorname{card} \mathfrak{A}$; and, $\mathfrak{A}$ is finitely characterizable $\operatorname{ff} \mathbb{P}(\mathfrak{A})$ is describable in $\operatorname{card} A$ and $\operatorname{card} A$ is characterizable in $\mathrm{L}_{\mathrm{Q}}$. Thus, by Corollaryగ, $\operatorname{Th}(\mathfrak{A})$ is quasifinitely axiomatizable iff $\mathbb{P}(\mathfrak{A})$ is describable in $\operatorname{card} A$; and, $\operatorname{Th}(\mathfrak{A})$ is finitely axiomatizable iff $\mathbb{P}(\mathfrak{A})$ is describable in $\operatorname{card} A$ and $\operatorname{card} A$ is characterizable in $\mathrm{L}_{\mathrm{Q}}$. The following Lemma plays an important role in the proofs of these results.
lemma io Assume that $\phi$ in a sentence in $\mathrm{L}_{\mathrm{Q}}$. Then, there is a pure sentence $\psi$ such that for all $\mathfrak{C}$, a binary relational system, $\mathfrak{C}$ is a model of $\psi$ iff all models of $\phi$ of card $\mathbb{C}$ are isomorphic.

Proof: It is assumed that the logical vocabulary of $\mathrm{L}_{\mathrm{Q}}$ includes unary functional variables. While this assumption is not essential, it makes the construction of $\psi$ more transparent. Let $g$ be a unary functional variable. bi $(\mathrm{g})$ is a pure formula such that if $\mathfrak{C}$ is a binary relational system and $\rho$ is a unary function on C , then $\mathfrak{C} \models \mathrm{bi}(\mathrm{g})[\rho]$ iff $\rho$ is a bijection on C .

Let $R$ and $R^{\prime}$ be binary relational variables not occurring in $\phi$ or bi $(\mathrm{g})$. Iso ( $g, R, R^{\prime}$ ) is the pure formula

$$
\begin{equation*}
\left(b i(g) \& \forall x y\left(R(x, y) \equiv R^{\prime}(g(x), g(y))\right)\right) . \tag{15}
\end{equation*}
$$

$\phi^{*}$ is the pure sentence

$$
\begin{equation*}
\forall R R^{\prime}\left(\left(\phi(R) \& \phi\left(R^{\prime}\right)\right) \supset \exists g \operatorname{Iso}\left(g, R, R^{\prime}\right)\right) . \tag{i6}
\end{equation*}
$$

Let $\mathfrak{C}$ be a binary relational system of cardinality $\beta$. Clearly, $\phi^{*}$ is true on $\mathfrak{C}$, iff all models of $\phi$ of cardinality $\beta$ are isomorphic.

Lemma ion be extended to any homogeneous second-order language whose non-logical vocabulary is finite. The spectrum of a sentence is the class of the cardinals of the models of the sentence. The spectrum of the sentence $\phi^{*}$ above is the collection of all cardinals $\kappa$ such that all models of $\phi$ of cardinality $\kappa$ are isomorphic. All models of $\left\{\phi, \phi^{*}\right\}$ of the same cardinality are isomorphic. Hence, if $\mathfrak{A}$ is a model of $\left\{\phi, \phi^{*}\right\}$, then $\mathfrak{A}$ is quasi-finitely characterizable.
lemma in Assume that $\mathfrak{A}$ is an infinite equivalence of cardinality $\beta$. Then, $\mathfrak{A}$ is quasifinitely characterizable if $\mathbb{P}(\mathfrak{A})$ is describable in $\beta$.

Proof: Assume that $\mathfrak{A}$ is an infinite equivalence of cardinality $\beta$. Suppose that $\mathbb{P}(\mathfrak{A})$ is describable in $\beta$. Let $\psi\left(S, S^{\prime}\right)$ be a pure formula that describes $\mathbb{P}(\mathfrak{A})$ in $\beta$. $v$ is a sentence in $L_{Q}$ true on all and only equivalences. $\varphi$ is the sentence

$$
\begin{equation*}
\forall S^{\prime}\left(\text { Witness }\left(S^{\prime}, S\right) \supset \psi\left(S, S^{\prime}\right)\right) \tag{ㄴ7}
\end{equation*}
$$

$\theta$ is the sentence

$$
\begin{equation*}
\forall S^{\prime} S\left(\left(\sim \exists S^{\prime \prime}\left(\operatorname{Cell}\left(S^{\prime \prime}\right) \& \operatorname{Eq}\left(S^{\prime \prime}, S\right) \& \forall x \sim S^{\prime}(x)\right) \supset \psi\left(S, S^{\prime}\right)\right)\right. \tag{I8}
\end{equation*}
$$

$\phi$ is the conjunction of $v, \varphi$, and $\theta$. Finally, $\phi^{*}$ is constructed from $\phi$ as above.
It suffices to show that $\mathfrak{A}$ is a model of $\phi^{*}$. Suppose that $\rho$ and $\eta$ are binary relations on $A$ such that $(A, \rho)$ and $(A, \eta)$ are models of $\phi$. Thus, $(A, \rho)$ and $(A, \eta)$ are infinite equivalences of cardinality $\beta$; and $\varphi$ and $\theta$ are true on these equivalences. Reasoning proceeds by establishing that $(A, \rho)$ and $(A, \eta)$ are isomorphic. Applying Lemma 2 , it suffices to show that their partition functions are identical.

To that end, observe the following. Suppose that D and $\mathrm{D}^{\prime}$ are subsets of $A$. Since $\psi\left(S, S^{\prime}\right)$ describes $\mathbb{P}(\mathfrak{A})$ in $\beta$, if $D^{\prime}$ is a witness set for $D$ in $(A, \rho)$, then $\mathbb{P}(\mathfrak{A})(\operatorname{card} D)=\operatorname{card} \mathrm{D}^{\prime}$. Further, since $\theta$ is true on $\mathfrak{A}$, if no cell in $A / \rho$ has the same cardinality as $D$ and $\mathrm{D}^{\prime}$ is empty, then $\mathbb{P}(\mathfrak{A})(\operatorname{car} d \mathrm{D})=\operatorname{card} \mathrm{D}^{\prime}$. Similar observations hold for $(A, \eta)$.

Let $\kappa$ be a cardinal $\leqslant \beta$. There are two cases to consider. Suppose that $\mathbb{P}((A, \rho))(\kappa)=0$. Let $D$ be a subset of $A$ of cardinality $\kappa$ and $D^{\prime}$ be the empty set. By the above observations, $\mathbb{P}(\mathfrak{A})(\kappa)=0$. Notice that $\mathbb{P}((\mathcal{A}, \eta))(\kappa)=0$. Otherwise, there is $C$, a cell in $A / \eta$, of cardinality $\kappa$ and there is $C^{\prime}$, a witness set for $C$ in $(A, \eta)$. Therefore, by the observation above, $\mathbb{P}(\mathfrak{A})(\kappa) \neq 0$. Suppose that $\mathbb{P}((A, \rho))(\kappa) \neq 0$. By reasoning as above, $\mathbb{P}((A, \eta))(\kappa) \neq 0$. Hence, $\mathbb{P}((A, \rho))(k)=0$ iff $\mathbb{P}((A, \eta))(k)=0$.

Now suppose that $\mathbb{P}((A, \rho))(\kappa) \neq 0$. Let $D$ be a cell in $A / \rho$ of cardinality $\kappa$ and let $D^{\prime}$ be a witness set for $D$ in $(A, \rho)$. Hence, $\mathbb{P}(\mathfrak{A})(\kappa)=\operatorname{card} D^{\prime}$. By the reasoning of the last paragraph, $\mathbb{P}((A, \eta))(\kappa) \neq 0$. There is $C$, a cell in $A / \eta$, such that $\operatorname{card} C=\kappa$. Let $C^{\prime}$ be a witness set for $C$ in $(A, \eta)$. Therefore, $\mathbb{P}(\mathfrak{A})(\kappa)=\operatorname{card} \mathrm{C}^{\prime}$. Hence, $\operatorname{card} \mathrm{D}^{\prime}=\operatorname{card} \mathrm{C}^{\prime}$ and $\mathbb{P}((A, \rho))(\kappa)=\mathbb{P}((A, \eta))(\kappa)$.

Suppose that $\mathfrak{A}$ is quasi-finitely characterizable. Choose $\phi$, a sentence in $\mathrm{L}_{\mathrm{Q}}$, such that $\phi$ is true on $\mathfrak{A}$ and all models of $\phi$ of the same cardinality are isomorphic. Reasoning proceeds by constructing a pure formula, $\psi\left(S, S^{\prime}\right)$, that describes $\mathbb{P}(\mathfrak{A})$ in $\beta . \Theta\left(S^{\prime \prime}, S, S^{\prime \prime \prime}, S^{\prime}, R\right)$ is the conjunction of the pure formulas

$$
\begin{gather*}
\operatorname{Eq}\left(S^{\prime \prime}, S\right),  \tag{19}\\
\operatorname{Eq}\left(S^{\prime \prime \prime}, S^{\prime}\right)  \tag{20}\\
\text { Witness }\left(S^{\prime \prime \prime}, S^{\prime \prime}\right)(R) . \tag{2I}
\end{gather*}
$$

$\Gamma\left(S, S^{\prime}, R\right)$ is the pure formula

$$
\begin{equation*}
\exists S^{\prime \prime} S^{\prime \prime \prime} \Theta\left(S^{\prime \prime}, S, S^{\prime \prime \prime}, S^{\prime}, R\right) \tag{22}
\end{equation*}
$$

$\varphi\left(S, S^{\prime}, R\right)$ is the pure formula

$$
\begin{equation*}
\left(\sim \exists S^{\prime \prime}\left(\operatorname{Cell}\left(S^{\prime \prime}\right)(R) \& E q\left(S, S^{\prime \prime}\right)\right) \& \forall x \sim S^{\prime}(x)\right) \tag{23}
\end{equation*}
$$

$\psi\left(S, S^{\prime}\right)$ is the pure formula

$$
\begin{equation*}
\exists R\left(\phi(R) \&\left(\Gamma\left(S, S^{\prime}, R\right) \vee \varphi\left(S, S^{\prime}, R\right)\right)\right) \tag{24}
\end{equation*}
$$

It remains to show that $\psi\left(S, S^{\prime}\right)$ describes $\mathbb{P}(\mathfrak{A})$ in $\beta$. Suppose that $\mathfrak{B}$ is a binary relational system of cardinality $\beta$ and that $D$ and $D^{\prime}$ are subsets of $B$. Reasoning proceeds by establishing that $\mathfrak{B} \vDash \psi\left(S, S^{\prime}\right)\left[\mathrm{D}, \mathrm{D}^{\prime}\right]$ iff $\mathbb{P}(\mathfrak{A})(\operatorname{card} \mathrm{D})=$ $\operatorname{card} \mathrm{D}^{\prime}$. Suppose that $\mathfrak{B} \vDash \psi\left(S, S^{\prime}\right)\left[D, D^{\prime}\right]$. Thus, there is $\rho$, a binary relation on $B$, such that ( $B, \rho$ ) is a model of $\phi$. By the choice of $\phi, \mathfrak{A}$ and $(B, \rho)$ are isomorphic. Thus, $(B, \rho)$ is an equivalence and, by Lemma 2 , their partition functions are identical. Further, either $(B, \rho) \models \Gamma\left(S, S^{\prime}, R\right)\left[D, D^{\prime}, \rho\right]$ or $(B, \rho) \vDash \varphi\left(S, S^{\prime}, R\right)\left[D, D^{\prime}, \rho\right]$. In either case, $\mathbb{P}((B, \rho))(\operatorname{card} D)=\operatorname{card} D^{\prime}$. Hence, $\mathbb{P}(\mathfrak{A})(\operatorname{card} \mathrm{D})=\operatorname{card} \mathrm{D}^{\prime}$.

Suppose that $\mathbb{P}(\mathfrak{A})(\operatorname{card} \mathrm{D})=\operatorname{card} \mathrm{D}^{\prime}$. There is $\rho$, a binary relation on B , such that $(\mathrm{B}, \rho)$ and $\mathfrak{A}$ are isomorphic. Thus, by Lemma $2, \mathbb{P}((\mathrm{~B}, \rho))(\operatorname{car} d \mathrm{D})=$ $\operatorname{card} \mathrm{D}^{\prime}$. It follows that either $(\mathrm{B}, \rho) \models \Gamma\left(S, S^{\prime}, R\right)\left[D, D^{\prime}, \rho\right]$ or we have $(B, \rho) \models$ $\varphi\left(S, S^{\prime}, R\right)\left[D, D^{\prime}, \rho\right]$. In either case, $\mathfrak{B} \models \psi\left(S, S^{\prime}\right)\left[D, D^{\prime}\right]$.

Suppose that $\mathbb{P}(\mathfrak{A})$ is describable in $\beta$ and that $\beta$ is characterizable. By Lemma 1 , there is $\phi$, a sentence in $L_{Q}$ true on $\mathfrak{A}$, such that all models of $\phi$ of the same cardinality are isomorphic. There is also a pure sentence, $\psi$, true on all and only binary relational systems of cardinality $\beta$. Hence, all models of $\{\phi, \psi\}$ are isomorphic to $\mathfrak{A}$, and $\mathfrak{A}$ is finitely characterizable. Conversely, if $\mathfrak{A}$ is finitely characterizable, it is quasi-finitely characterizable. Thus, by Lemma 10 , $\mathbb{P}(\mathfrak{A})$ is describable in $\beta$.

By Corollary 2.2 of [ [4] ], since $\operatorname{Th}(\mathfrak{A})$ is finitely axiomatizable, $\beta$ is characterizable. This reasoning suffices to establish the following.
theorem i2 Assume that $\mathfrak{A}$ is an infinite equivalence of cardinality $\beta$. Then, the following are equivalent:
I. $\mathfrak{A}$ is finitely characterizable; and
2. $\mathbb{P}(\mathfrak{A})$ is describable in $\beta$ and $\beta$ is characterizable in $\mathrm{L}_{\mathrm{Q}}$.

Corollary 3 is immediate from Lemma $[$, Theorem $[2$ and Corollary . corollary 3 Assume that $\mathfrak{A}$ is an infinite equivalence of cardinality $\beta$. Then,
I. $\operatorname{Th}(\mathfrak{A})$ is quasi-finitely axiomatizable iff $\mathbb{P}(\mathfrak{A l})$ is describable in $\beta$; and,
2. $\operatorname{Th}(\mathfrak{A})$ is finitely axiomatizable iff $\mathbb{P}(\mathfrak{A})$ is describable in $\beta$ and $\beta$ is characterizable in $\mathrm{L}_{\mathrm{Q}}$.

Suppose that $\mathfrak{A}$ is an infinite equivalence of cardinality $\beta$ and that $\beta$ is characterizable in $\mathrm{L}_{\mathrm{Q}}$. By Corollary 3 , one can establish that the second-order theory of an infinite equivalence of cardinality $\beta$ is finitely axiomatizable by studying the action of its partition function, as opposed to finding a finite subset of its theory and showing that all of the models of this set are isomorphic. To the authors' knowledge there is no equivalence whose second-order theory can be shown to be finitely axiomatizable using Corollary 3 that could not be shown to be so merely by finding the appropriate finite set of sentences. However, there are some properties of the class of infinite equivalences whose second-order theories are finitely axiomatizable that follow easily from Corollary 3

There are countably many infinite cardinals which are characterizable in $\mathrm{L}_{\mathrm{Q}}$ [6]. Corollary 3 can be used to construct infinite equivalences whose secondorder theories are finitely axiomatizable. For each $n \in \omega$ there is an equivalence of cardinality $\beta$ whose partition function is of constant value $n$. Each of these partition functions is describable in $\beta$. Hence, there are a countable infinity of equivalences of cardinality $\beta$ whose second-order theories are finitely axiomatizable. Further, there are uncountably many equivalences whose secondorder theories are not finitely axiomatizable.

Corollary 3 can also be used to construct an infinite equivalence whose second-order theory is finitely axiomatizable and has simple expansions whose second-order theories are not finitely axiomatizable. Let $\beta$ be the cardinal $\kappa_{x_{1}}$. It follows from Proposition 4.3 of [6, page 437 ] and the fact that all finite cardinals are characterizable in $L_{Q}$, that $\beta$ is characterizable in $L_{Q}$. Let $\mathfrak{A}$ be an equivalence of cardinality $\beta$ having no finite cells and exactly one cell of cardinality $\boldsymbol{\aleph}_{\alpha}$ for each ordinal $\alpha \leqslant \boldsymbol{\aleph}_{1}$. It is easy to construct a pure formula $\phi\left(S, S^{\prime}\right)$ such that if $\mathfrak{B}$ is a binary relational system of cardinality $\beta$ and $D$ and $D^{\prime}$ are subsets of $B$, then $\mathfrak{A} \vDash \phi\left(S, S^{\prime}\right)\left[D, D^{\prime}\right]$ iff either $D$ is finite and $D^{\prime}$ is empty or $D$ is infinite and $D^{\prime}$ is a unit set. This formula describes $\mathbb{P}(\mathfrak{A})$ in $\beta$. By Corollary 3, $\operatorname{Th}(\mathfrak{A})$ is finitely axiomatizable. For for each ordinal $\alpha \leqslant \boldsymbol{X}_{1}$ there is $a(\alpha)$ in $A$ such that $[a(\alpha)]_{\mathfrak{A}}$ is of cardinality $\aleph_{\alpha}$. By Lemma 7 , if $\alpha$ and $\alpha^{\prime}$ are different, then $(\mathfrak{A} a(\alpha))$ and $\left(\mathfrak{A} a\left(\alpha^{\prime}\right)\right)$ are not isomorphic. It follows that there is $\alpha \leqslant \boldsymbol{N}_{1}$ such that $(\mathfrak{A l} a(\alpha))$ is not finitely axiomatizable. Otherwise, by Corollary 2 , each $(\mathfrak{A a}(\alpha))$ is finitely characterizable. As $L_{1}$ is countable, this is impossible.

## 5 OPEN QUESTIONS

Partition functions for equivalences have played a major role in the above. There are some other questions about the second-order model theory of equivalences that can be answered using partition functions. For example, consider the question of whether or not all equivalences of cardinality $\boldsymbol{\Sigma}_{0}$ are isomor-
phic if they are equivalent in $L_{Q}$. It is relatively easy to show that if $\mathfrak{A}$ and $\mathfrak{B}$ are equivalences of cardinality $\mathrm{X}_{0}$ that are equivalent in $L_{Q}$, then their partition functions are identical (hence, the equivalences are isomorphic). Let $\mathfrak{A}$ be a countably infinite equivalence. $\boldsymbol{X}_{0}$ is describable in $L_{Q}$. Recall that not all of the partition functions of countably infinite equivalences are describable in $\boldsymbol{\Sigma}_{0}$. However, each of these partition functions is point-wise describable in $\boldsymbol{\aleph}_{0}$ in the sense that for all $n,\{(\mathfrak{n}, \mathbb{P}(\mathfrak{A})(\mathfrak{n}))\}$ is describable in $\boldsymbol{N}_{0}$ and $\left\{\left(\boldsymbol{N}_{0}, \mathbb{P}(\mathfrak{A})\left(\boldsymbol{\aleph}_{0}\right)\right)\right\}$ is describable in $\boldsymbol{X}_{0}$. It follows that if $\mathfrak{B}$ is equivalent to $\mathfrak{A}$ in $L_{Q}$, then their partition functions are identical. Ajtai [I] attributes the general question of whether or not countably infinite interpretations with the same second-order theories are isomorphic to Pelikán and Kechris. Ajtai, among others, showed that the answer to the general question is independent of ZFC.

Partition functions appear to play much the same role played by configuration signatures for Dedekind algebras. It has been shown that many properties of and relations on Dedekind algebras can be characterized in terms of configuration signatures [IT, II]. Among these are properties familiar from universal algebra (e.g. homogeneous and universal algebras) and properties and relations from the model theory of first-order languages (e.g. elementary equivalence, saturated algebras, and both model complete and submodel complete algebras). To the authors' knowledge, it is open whether or not analogous results can be established for equivalences.

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