

# Highlights

## **An energy-frequency parameter for earthquake ground motion intensity measure**

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- A novel energy-frequency parameter ( $h$ ) using Hilbert-Huang transform is proposed as a ground motion intensity measure.
- The parameter has a strong correlation with the engineering demand parameter.
- The  $h$ -based fragility function can be characterized by a lognormal cumulative distribution function.
- The robustness of the parameter may provide new insights into engineering seismology.

# An energy-frequency parameter for earthquake ground motion intensity measure

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## Abstract

A novel scalar ground motion intensity measure (IM), termed the energy-frequency parameter, is proposed based on the Hilbert-Huang transform. To validate the effectiveness of the proposed IM, the correlation analysis between the engineering demand parameter (EDP) and energy-frequency parameter is performed using 1992 recorded ground motions, in which EDP is the maximum inter-storey drift of structures obtained by nonlinear time-history analysis. Results show that the energy-frequency parameter has a strong linear correlation with EDP at natural logarithm, and this correlation is applicable for various structural fundamental periods. We also verified that the lognormal cumulative distribution function can characterize the energy-frequency parameter-based fragility function, which can further facilitate the application of the parameter in seismic risk analysis. Besides, the strong correlation between the energy-frequency parameter and other IMs (such as PGA, PGV, PGD, CAV,  $I_a$ ,  $v_{rms}$ , and SI) potentially makes the proposed IM widely applicable in seismic risk analysis. Moreover, since the energy-frequency parameter depends only on the frequency-domain characteristics of the ground-motion signal, it may closely link to seismological theory and provide new insights into seismology engineering.

*Keywords:* seismic risk analysis, ground motion IM, Hilbert-Huang transform, fragility function, performance-based earthquake engineering, pulse-like ground motion,

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# 1. Introduction

Seismic risk analysis, as a common method to study the adverse consequences of earthquakes, involves several aspects, such as earthquake occurrence, site response, ground motion characteristics, structural response, and consequence to structure. For example, performance-based earthquake engineering (PBEE), as a specific framework of seismic risk analysis, includes four phases (i.e., hazard analysis, structural analysis, damage analysis, and loss analysis) and refers to four variables (i.e., intensity measure (IM), engineering demand parameter (EDP), damage measure, and decision variable) [1, 2]. The ground motion IM, as the initial parameter that links the hazard analysis and structural analysis, is crucial for seismic risk analysis (see Rodgers et al. [3] and Park et al. [4]). In general, an ideal IM should be able to correlate seismological parameters with EDP effectively.

So far, various ground motion IMs have been proposed, such as peak ground acceleration (PGA), Arias intensity ( $I_a$ ), cumulative absolute velocity (CAV), root-mean-square of acceleration ( $a_{rms}$ ), acceleration spectrum intensity (ASI), and spectral acceleration at the fundamental period of the structure ( $S_a(T_1)$ ). The classifications of these IMs vary in different studies. For example,  $I_a$  and  $a_{rms}$  are viewed as duration-based IMs in De Biasio et al. [5], but as energy parameters in Danciu and Tselentis [6]. In the present study, we divide the IMs into three categories i.e., amplitude-based, duration-based, and frequency-based IMs. Specifically, the amplitude-based IMs are tied to the time-domain amplitude of the ground motion, like PGA, CAV,  $I_a$ , and  $a_{rms}$ . The duration-based IMs mainly means uniformed duration [7], significant duration [8], and effective duration [9]. The frequency-based IMs are further divided into response spectra-based and frequency content-based IMs. The former is connected to the maximum linear structural response of the single-degree-of-freedom system subjected to ground motions, such as  $S_a(T_1)$ ,  $S_v(T_1)$ , ASI, and  $T_o$ . The latter depends on the ground motion frequency-domain properties after time-frequency conversion, like mean period ( $T_m$ ) [10]. Additionally, when the IMs (like CAV and ASI) are the outcome of integration or cumulative, they are also regarded as energy parameters. For example, Arias intensity, as an amplitude-based IM, is also treated as an energy parameter because it is the integration of the acceleration. Details of the IMs used in this study are listed in Table 1.

The characterization and applicability of the ground motion IMs are discussed. The PGA, PGV, PGD, and duration-based IMs (like  $D_{s5-75}$  and  $D_{s5-75}$ ) are straightforward but relatively weakly correlated to EDPs, especially for systems involving various fundamental structural peri-

Table 1: Ground motion intensity measures used in this study

Category	IM	Definition	Remark
Duration-based IMs	$D_s$	Significant duration [8], like $D_{s5-75}$ and $D_{s5-95}$	-
	PGA	Peak ground acceleration	-
Amplitude-based IMs	PGV	Peak ground velocity	-
	PGD	Peak ground displacement	-
	$I_a$	Arias intensity [11], $I_a = \frac{\pi}{2g} \int_0^t a^2(t)dt$	Energy parameter
	CAV	Cumulative absolute velocity [12], $CAV = \int_0^t  a(t) dt$	Energy parameter
	CAD	Cumulative absolute displacement [12], $CAD = \int_0^t  v(t) dt$	Energy parameter
	$a_{rms}$	Root-mean-square of acceleration [13], $a_{rms} = \sqrt{\frac{1}{t} \int_0^t a^2(t)dt}$	Energy parameter
	$v_{rms}$	Root-mean-square of velocity [13], $v_{rms} = \sqrt{\frac{1}{t} \int_0^t v^2(t)dt}$	Energy parameter
	$d_{rms}$	Root-mean-square of displacement [13], $d_{rms} = \sqrt{\frac{1}{t} \int_0^t d^2(t)dt}$	Energy parameter
Frequency-based IMs	$S_a(T)$	Spectral acceleration at $T$ s	-
	$T_m$	Mean period [10]	-
	$T_o$	Smooth spectral period [14]	-
	$T_{avg}$	Average spectral period [14]	-
	$T_g$	Characteristics period [15]	-
	ASI	Acceleration spectrum intensity [16], $ASI = \int_{0.1}^{0.5} S_a(\xi = 5\%, T)dT$	Energy parameter
	SI	Spectrum intensity [17], $SI = \int_{0.1}^{2.5} S_{pv}(\xi = 5\%, T)dT$	Energy parameter

ods. For example, Yang et al. [15] pointed out that PGA is closely correlated to the structure with the shorter fundamental structural period, but not the optimal IM for structure with a longer fundamental structural period. The ground motion duration is also verified to have influences on structural responses [18, 19]. However, the relationship between duration-based IMs and EDPs is not significant. In contrast, the spectral acceleration at fundamental period ( $S_a(T_1)$ ), as the most popular response spectra-based IM, is widely utilized in seismic risk analysis due to their strong correlation to the EDPs [20, 21]. Many studies are also carried out to further improve the effectiveness of  $S_a(T_1)$ . Bojórquez and Iervolino [22] proposed a parameter to describe the shape

40 of response spectra. Baker and Cornell [23] shared a vector IM, which combines the  $S_a(T_1)$  and  
41 the epsilon between spectral acceleration of record and the mean of ground motion prediction  
42 equation at the given period, to improve the prediction accuracy of structural behavior. Kohrangi  
43 et al. [24] considered the second vibration mode and spectral shape of the response spectrum.  
44 However, the response spectrum-based parameters are relatively less related to the seismological  
45 parameters than the frequency content-based IMs [25]. On the other hand, the mean period ( $T_m$ )  
46 [10], which is determined by the Fourier frequency amplitude characteristics, is strongly connected  
47 to the seismological parameter, but less correlated to the EDPs. Hence, the IM simultaneously  
48 correlated to both seismological parameters and EDPs remains challenging.

49 Energy parameters, as cumulative measures, have been demonstrated to be strongly related to  
50 EDPs in seismic hazard analysis because it considers the amplitude, frequency, and duration of  
51 ground motion [26, 27]. For example, structure-specific energy parameters, such as absolute input  
52 energy [28], the total dissipated energy [29], and referential energy [30], are confirmed as useful  
53 indices in predicting the structural behavior [31]. The non-structure-specific energy parameters  
54 related to ground motion amplitude (such as  $I_a$ , CAV, and  $a_{rms}$ ) and response spectrum (such as  
55 ASI and SI ) are also widely used as IMs in seismic hazard and risk analysis [32, 33]. These studies  
56 significantly facilitate the seismic risk analysis. However, compared with the sufficient research on  
57 amplitude- and response spectrum-based energy parameters, the frequency content-based energy  
58 parameters are less studied.

59 Therefore, this study proposed a novel frequency content-based IM based on Hilbert-Huang  
60 transform (HHT), termed energy-frequency parameter, and verified that the parameter is strongly  
61 correlated to the EDP using 1992 recorded ground motions in the Pacific Earthquake Engineer-  
62 ing Research (PEER) database, in which EDP is the maximum inter-storey drift of structures  
63 obtained by nonlinear time-history analysis with the OpenSees finite element software. More-  
64 over, compared to other IMs that generally require special modification for near-fault pulse-like  
65 ground motion in seismic risk analysis (e.g., Yang et al. [15] and Tothong and Cornell [34]), the  
66 energy-frequency parameter is applicable for both pulse-like and ordinary ground motion. Besides,  
67 the energy-frequency parameter-based fragility function can be characterized by a lognormal  
68 cumulative distribution function (CDF), which would further help to facilitate the application of  
69 the parameter in seismic risk analysis. Apart from the advantage of the strong correlation with  
70 EDP, the energy-frequency parameter potentially provides new insights into seismology engineer-

ing because the parameter is only based on the ground-motion signal without involving structural response procedures [25]. The correlation analysis between the energy-frequency parameter and other popular IMs is also discussed.

## 2. Definition of energy-frequency parameter

A scalar energy-frequency parameter is proposed for ground motion IM and defined in Eq. (1).

$$h = \sum_i E(f_i) \frac{1}{f_i} \quad (0.3/\alpha \leq f_i \leq 15 \text{ Hz}, \Delta f \leq 0.05 \text{ Hz}) \quad (1)$$

where  $h$  is the energy-frequency parameter for ground motion acceleration;  $E(f_i)$  is the energy at the frequency  $f_i$ , in which  $f_i = f_s + i\Delta f$  ( $i = 0, 1, 2, \dots, N$ ) and  $\Delta f$  is the frequency interval;  $\alpha$  is a parameter for determining the starting frequency  $f_s$ . When the study involves to a specific structure,  $\alpha$  is recommended to agree with the fundamental period of the structure. Otherwise,  $\alpha$  is recommend to be 6, that is  $f_s = 0.05$  Hz. Besides, an interesting point is that the dimension of the proposed energy-frequency parameters agrees with Planck constant, i.e.,  $\text{ML}^2\text{T}^{-1}$ .

To obtain the frequency-domain energy, the time-frequency conversion for the signal is first required. The HHT is recommended herein. The reasons for applying HHT instead of other time-frequency conversion methods, such as Fourier transform and wavelet transform, and for using of the summation range and frequency resolution of Eq. (1) are discussed in Section 4.2.

HHT performs time-frequency analysis by integrating the empirical mode decomposition (EMD) and Hilbert transform [35]. For a signal  $S(x)$ , it can be expressed in Eq. (2) based on DEM.

$$S(x) = \sum_{i=1}^n c_i + r_n \quad (2)$$

where  $c_i$  is the intrinsic mode function (IMF);  $r_n$  is the residue.

On the other hand, the analytic signal  $\zeta(t)$  of signal  $x(t)$  is defined in Eq. (3) based on the Hilbert transform.

$$\zeta(t) = x(t) + j\tilde{x}(t) = a(t)e^{j\theta(t)} \quad (3)$$

$$\tilde{x}(t) = x(t) * \frac{1}{\pi t} = \frac{1}{\pi} \int_{-\infty}^{+\infty} \frac{x(\tau)}{t - \tau} d\tau \quad (4)$$

91 where  $j = \sqrt{-1}$ ; \* represents convolution;  $\tilde{x}(t)$  denotes the Hilbert transform of  $x(t)$ ;  $a(t)$  and  
 92  $\theta(t)$  are the instantaneous amplitude and the phase, and can be calculated by Eq. (5) and Eq.  
 93 (6), respectively.

$$a(t) = \sqrt{x^2(t) + \tilde{x}^2(t)} \quad (5)$$

$$\theta(t) = \tan^{-1} \frac{\tilde{x}(t)}{x(t)} \quad (6)$$

94 The instantaneous frequency  $\omega$  is expressed in Eq. (7).

$$\omega = -\frac{d\theta}{dt} \quad (7)$$

95 After performing Hilbert transform on each IMF, the original signal can be expressed as the  
 96 real part of the analytic signal, as shown in Eq. (8), where the residue part is ignored.

$$S(x) = \text{Re} \left\{ \sum_{j=1}^n a_j(t) e^{-i \int \omega_j(t) dt} \right\} = H(\omega, t) \quad (8)$$

97 where  $\text{Re}\{\cdot\}$  present the real part of a complex signal;  $H(\omega, t)$  is the Hilbert spectrum.

98 The Hilbert marginal spectrum  $\bar{h}(\omega)$  is defined in Eq. (9).

$$\bar{h}(\omega) = \int_0^{t_d} H(\omega, t) dt \quad (9)$$

99 where  $t_d$  is the duration of the signal.

100 The HHT frequency-domain energy  $E(\omega_i)$  is defined in Eq. (10).

$$E(\omega_i) = |\bar{h}(\omega_i)|^2 \quad (10)$$

101 where  $E(\omega_i)$  is the energy at frequency  $\omega_i$ .

102 The normalized cumulative energy distribution  $C_r$  is expressed in Eq. (11).

$$C_r = \frac{\sum_{i=1}^r E_i}{\sum_{i=1}^n E_i} \quad (11)$$

### 103 3. Verification of effectiveness

#### 104 3.1. Ground motion database

105 The proposed energy-frequency parameter is verified using ground motions from three earth-  
 106 quakes in PEER NGA-Weat2 database [36], namely Imperial Valley-06 earthquake, Chi-Chi, Tai-

107 wan earthquake, and EI Mayor-Cucapah earthquake. The earthquake magnitude and hypocenter  
108 depth of Imperial Valley-06 earthquake are 6.53 Mw and 9.96 km, respectively, those of Chi-Chi,  
109 Taiwan earthquake are 7.62 Mw and 8 km, respectively, and those of EI Mayor-Cucapah earth-  
110 quake are 7.2 Mw and 5.5 km, respectively. The number of ground motions records (including  
111 two horizontal and one vertical direction) in Imperial Valley-06, and Chi-Chi, Taiwan, and EI  
112 Mayor-Cucapah earthquake are 96, 1194, and 702, respectively.

113 Since the pulse-like ground motions tend to cause severer damage to structures than ordinary  
114 ground motions (see Chen et al. [37] and Phan et al. [38]), and the IM of pulse like ground motion  
115 generally requires particular modification (see Kohrangi et al. [24] and Tothong and Cornell [34]),  
116 the energy-frequency parameter of pulse-like and non-like ground motions are separately investi-  
117 gated to test the applicability of the proposed IM. The Imperial Valley-06 and Chi-Chi, Taiwan  
118 earthquakes, as two typical near-fault earthquakes, are used as databases for pulse-like ground  
119 motions. Based on the identification method of pulse-like ground motions [39], the data volume  
120 of pulse-like and non-pulse ground motions in Imperial Valley-06 earthquake are 31 and 65, re-  
121 spectively, and in Chi-Chi, Taiwan earthquake are 157 and 1037, respectively. The identification  
122 method is a generalized continuous wavelet transform (CWT) method by combining convolution  
123 analysis with evaluation parameters. This method is based on the classical CWT identification  
124 method in Baker [40], but overcomes the limitations of the classical CWT method that requires a  
125 wavelet basis and provides a workable and flexible framework for pulse-like ground motion identi-  
126 fication. Specifically, the ground-motion velocity, which contains long-period and high-amplitude  
127 pulse and PGV is greater than 30 cm/s, is regarded as pulse-like ground motion in the method.  
128 More information of pulse-like ground motions, such as pulse period and pulse energy, can be  
129 found in Chen et al. [39].

### 130 3.2. Structural model

131 In order to demonstrate the applicability and effectiveness of the proposed energy-frequency  
132 parameter, verification calculations are carried out by modeling typical building structures accord-  
133 ing to the Code For Seismic Design of Buildings (GB 50011-2010) in China. In particular, five  
134 3D nonlinear frame structures of different materials and heights are considered. In this manner,  
135 the verification calculations can cover structures of diverse vibration properties, and consequently,  
136 more insights into the proposed parameter can be presented.

137 All these structures are modeled based on the OpenSees platform using displacement-based



138 nonlinear beam–column elements. To describe the nonlinearity of the concrete material, a uniaxial  
139 Kent–Scott–Park model [41] with degraded linear unloading/reloading stiffness and no tensile  
140 strength [12] is adopted. In addition, a uniaxial bilinear model with kinematic hardening is  
141 adopted to characterize the nonlinearity in both rebars and steel members.

142 In the concrete frame structures, the compressive strength and the crushing strength of the  
143 concrete material are 26.8 MPa and 10 MPa, respectively. The concrete strains at the compressive  
144 strength and the crushing strength are taken as 0.002 and 0.0033, respectively. Besides, the  
145 elastic modulus, yield strength, and strain-hardening ratio of rebars equal 20 GPa, 335 MPa, and  
146 0.001, respectively. For the steel frame structures, the elastic modulus, yield strength, and strain-  
147 hardening ratio of steel material are 20GPa, 235MPa and 0.01, respectively. The damping ratio of  
148 the first two modes of concrete and steel structures are assumed to be 0.05 and 0.03, respectively.  
149 Moreover, live loads are considered in the form of nonstructural masses.

150 Some other important parameters for five models that have different fundamental structural  
151 periods ( $T = 0.3, 0.6, 1, 3, 5$  s) are given as follows, respectively. The diagrams of the considered  
152 structures are shown in Figure 1.

- 153 1.  $T = 0.3$  s. This structure is a two-story reinforced concrete frame structure, as shown in  
154 Figure 1(a). The structure consists of one and two bays along the X and Y directions,  
155 respectively. Both the height of each floor and the width of each bay are 4.50m. The finite  
156 element model includes 18 nodes and 26 3D nonlinear beam–column elements. The accurate  
157 fundamental period of this structure is 0.34 s.
- 158 2.  $T = 0.6$  s. This structure is a four-story reinforced concrete frame structure, which is shown  
159 in Figure 1(b). There is one bay along the X direction and two bays along the Y direction.  
160 Both the height of each floor and the width of each bay are 4.50 m. The finite element  
161 model are established with 28 nodes and 47 elements. The accurate fundamental period of  
162 this structure is equal to 0.57 s.
- 163 3.  $T = 1$  s. This structure is a seven-story reinforced concrete frame structure, of which the  
164 floor height is 4.50 m. As show in Figure 1(c), the structure has two bays in both the X and  
165 Y directions, and the bay widths are 3.0 m and 4.0 m, respectively. There are 72 nodes and  
166 147 elements in the finite element model and the accurate fundamental period of the model  
167 equals to 0.97 s.
- 168 4.  $T = 3$  s. The steel frame structure shown in Figure 1(d) is taken as the testing structure for

169 this case. The building has 12 floors with the same height equal to 3.66 m. The numbers of  
 170 bays along the X and Y directions are two and three, respectively. In addition, the width of  
 171 a bay is 6.10 m in both directions. Finally, 136 nodes and 348 elements are used to model  
 172 the considered structure. The accurate fundamental period of this structure is equal to 3.07  
 173 s.

174 5.  $T = 5$  s. This structure is a steel frame structure with 16 stories, which is presented in Figure  
 175 1(e). The heights of all stories are uniform and equal to 3.81 m. Besides, the structure has  
 176 five and three bays along the X and Y directions, respectively. The widths of bays in the  
 177 X and Y directions are 6.40m and 7.31 m, respectively. A total of 408 nodes and 992  
 178 beam-column elements are adopted to simulate the structure. The accurate fundamental  
 179 period of this structure is 5.08 s.

180 The frame structures are subjected to unidirectional seismic excitation in this study. In par-  
 181 ticular, the seismic excitation is considered along the directions featured by translations of the  
 182 first mode. Furthermore, to take into account the effect of slabs, rigid diaphragms are assumed  
 183 in all the frame structures. Besides, to focus on the topic of this study that aims to propose a  
 184 energy-frequency parameter and validate its effectiveness, only some important information of the  
 185 structures is given herein. For more details of the structural models, such as the layout of stan-  
 186 dard floors, and the section sizes of columns and beams, the readers can refer to the Supporting  
 187 Information (SI).

### 188 3.3. Correlation analysis

189 The correlation analysis between ground motion IM and EDP is generally applied to evaluate  
 190 the effectiveness of IM (e.g., De Biasio [5] and Luco and Cornell [42]). In this study, the energy-  
 191 frequency parameter and maximum inter-story drift are employed as IM and DEP, respectively.

192 The relationship between the maximum inter-storey drift and energy-frequency parameter  
 193 using the form of natural logarithm is plotted in Figure 2. Their Pearson correlation coefficient  $\rho$   
 194 (see Eq. (12)) is also provided. Moreover, the pulse-like and non-pulse ground motions in Imperial  
 195 Valley-06 and Chi-Chi, Taiwan earthquakes are separately investigated.

$$\rho = \frac{\sum(x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum(x_i - \bar{x})^2 \sum(y_i - \bar{y})^2}} \quad (12)$$

196 where  $\rho$  denotes the Pearson correlation coefficient;  $x_i = \ln(h_i)$ , in which  $h_i$  is the energy-frequency

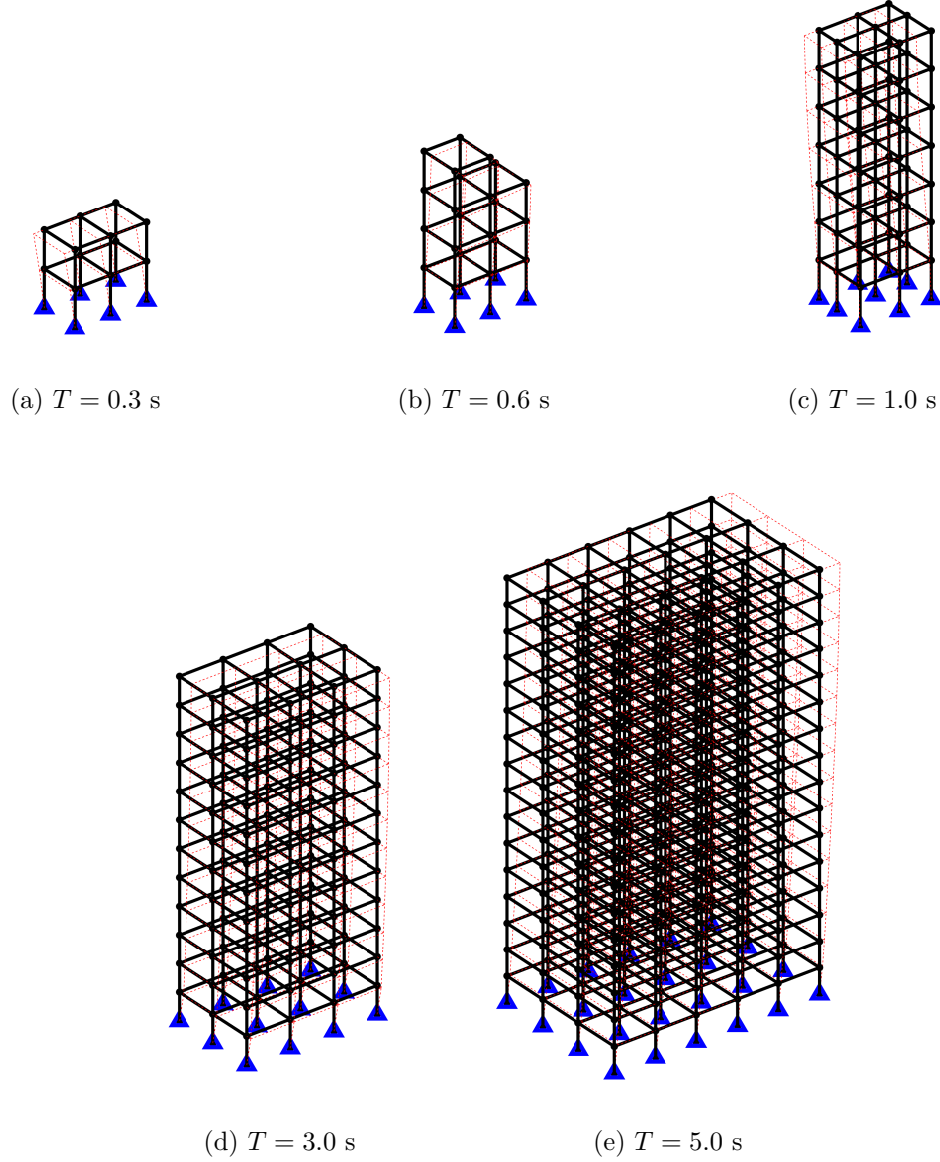


Figure 1: Diagrams of the five frame structures. Solid lines present the structural members; the dashed lines present the first mode of the structure; the triangle marks denote fixed supports.

197 parameter, and  $\ln(\cdot)$  represents natural logarithm;  $y_i = \ln(d_i)$ , and  $d_i$  is the maximum inter-storey  
 198 drift;  $\bar{x}$  and  $\bar{y}$  are the mean values of  $x_i$  and  $y_i$ , respectively.

199 Figure 2 indicates that (a) the energy-frequency parameter has a strong positive correlation  
 200 with the maximum inter-storey drift, and the applicability of the proposed IM is not limited  
 201 by the fundamental structural period and seismic source of ground motion. (b) The energy-  
 202 frequency parameters of pulse-like ground motions are generally larger than those of non-pulse  
 203 ground motions, but the energy-frequency parameter cannot accurately classify the pulse-like and

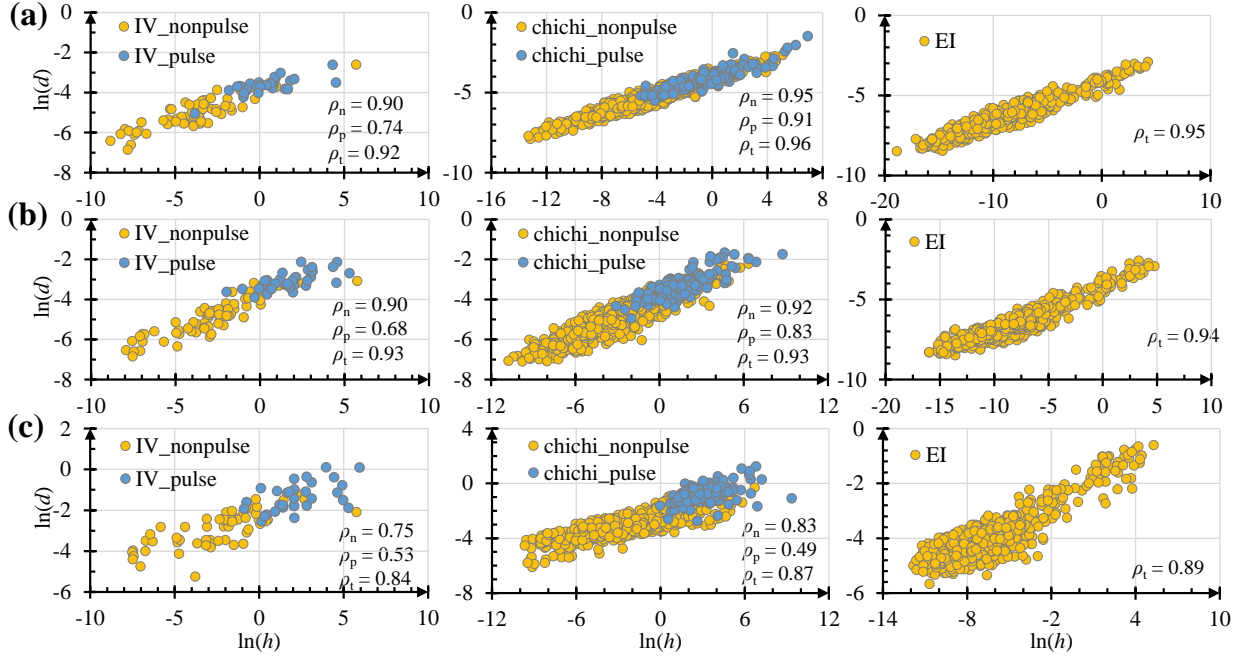


Figure 2: Correlation analysis between energy-frequency parameter  $h$  and maximum inter-story drift  $d$  under different structure fundamental period  $T$ . (a)  $T = 0.3$  s; (b)  $T = 1$  s; (c)  $T = 5$  s.  $\rho_n$ ,  $\rho_p$ , and  $\rho_t$  are the Pearson correlation coefficients of non-pulse, pulse and total ground motions, respectively.

204 non-pulse ground motions due to the overlap regions. Besides, even if a study involves near-fault  
 205 pulse-like ground motions, the energy-frequency parameter as IM remains appropriate and requires  
 206 no extra modifications. (c) The correlation between the maximum inter-storey drift and energy-  
 207 frequency parameter decreases with the increase of the fundamental structural period, which may  
 208 be related to the fact that the significant periods of most of ground motions are low (generally  
 209 below 2.0 s), where the significant period is the value corresponding to the maximum Fourier  
 210 amplitude.

## 211 4. Discussion

### 212 4.1. $h$ -based fragility function

213 The seismic fragility function, as a core element of seismic probability risk analysis, describes  
 214 the probability of a structure reaching or exceeding the damage state on the condition of ground  
 215 motion IMs [43]. The fragility function can be expressed in Eq. (13).

$$f_s = P[D \geq d_r | IM = x] \quad (13)$$

216 where  $f_s$  is the probability of failure;  $P[A|B]$  is the probability that  $A$  is true given than  $B$  is  
 217 true;  $D$  is the engineering demand parameter;  $d_r$  is the damage state;  $IM$  is the ground motion  
 218 intensity measure;  $x$  is a particular value of IM.

219 In this study, the energy-frequency parameter,  $h$ , is used as the IM, and the maximum inter-  
 220 storey drift  $d$  is employed as the EDP. The limitation of inter-storey drift stipulated in Eurocode8  
 221 is utilized as the damage state and is expressed in Eq. (14).

$$d_r \nu \leq 0.010H \quad (14)$$

222 where  $d_r$  is the maximum allowable inter-story drift;  $\nu$  is the reduction factor, which is related to  
 223 the seismic hazard conditions and the protection of property objective, and is set to 0.5 herein;  $H$   
 224 is the storey height. That is, the structure would fail if the maximum inter-storey drift is greater  
 225 than  $0.010H/0.5$ .

226 As shown in Figure 2, the energy-frequency parameter is strongly correlated to the maximum  
 227 inter-storey drift. Their relationship can be expressed in a linear form as shown in Eq. (15) [44].

$$\ln(d) = a \ln(h) + b + \epsilon \quad (15)$$

228 where  $a$  and  $b$  are the regression parameters;  $\epsilon$  is the residual, which is the difference between the  
 229 computed and estimated logarithmic value of drift.

230 Using all ground motions in Imperial Valley-06, Chi-Chi Taiwan, and EI Mayor-Cucapah earth-  
 231 quakes, for a total of 1992 data, the regression relationships between energy-frequency parameter  
 232 and inter-storey drift for five different fundamental structure periods (i.e.,  $T = 0.3$  s,  $0.5$  s,  $1$  s,  $3$  s,  
 233 and  $5$  s) are obtained based on the form in Eq. (15), as shown in Figure 3(a). The residual obeys  
 234 a normal distribution according to the statistical analysis. An example of residual distribution is  
 235 shown in Figure 3(b), and more data are listed in SI Figure S4. The normal distribution param-  
 236 eters (mean value  $\mu$  and standard deviation  $\sigma$ ) for the residual at different fundamental periods  
 237 are provided in the side table of Figure 3(b).

238 Due to the residual obeying normal distribution, together with the additivity property of nor-  
 239 mal distribution,  $\ln(d)$  also obeys normal distribution. That is, the  $d$  obeys lognormal distribution,  
 240 which agrees with the previous studies that often use the lognormal CDF to define the fragility  
 241 function (e.g., Eads et al. [45] and Porter et al. [46]). Hence, the  $h$ -based fragility function can  
 242 also be formulated by the CDF of the lognormal distribution. However, the CDF represents the

243 probability of a value less than  $x$ , while the fragility function is the probability of a structure  
 244 reaching or exceeding the damage state  $x$ . Hence, the  $h$ -based fragility function can be expressed  
 245 in Eq. (16). Based on this function, five fragility curves for the fundamental structural periods of  
 246 0.3 s, 0.5 s, 1 s, 3 s, and 5 s are provided in Figure 3(c), respectively.

$$f_s = 1 - F(x; \mu, \sigma)$$

$$F(x; \mu, \sigma) = \frac{1}{\sqrt{2\pi}\sigma} \int_0^x \frac{1}{t} \exp\left(-\frac{(\ln(t) - \mu)^2}{2\sigma^2}\right) dt \quad (16)$$

247 where  $F(x; \mu, \sigma)$  is the CDF of lognormal distribution;  $x$  represents the maximum allowable inter-  
 248 storey drift  $d_r$ . Based on the storey height of structural models in different fundamental structural  
 249 periods, the maximum allowable drift for structure with fundamental period equaling to 0.3 s  
 250 ( $d_r^{(0.3)}$ ), 0.6 s ( $d_r^{(0.6)}$ ), 1 s ( $d_r^{(1)}$ ), 3 s ( $d_r^{(3)}$ ) and 5 s ( $d_r^{(5)}$ ) is 0.090 m, 0.090 m, 0.090 m, 0.061 m, and  
 251 0.064 m using Eq. (14), respectively.  $\mu$  and  $\sigma$  are the lognormal distribution parameters of the  
 252 maximum inter-storey drift  $d$ , in which  $\mu$  can be calculated using the formulation in Figure 3(a),  
 253 and  $\sigma$  agrees with the standard deviation of residual in table of Figure 3(b). For example, when the  
 254 ground motion energy-frequency parameter  $h$  is 100,  $\mu$  is -2.99 based on the regression equation  
 255 for the fundamental structural periods at 0.3 s, that is  $0.25 \times \ln(100) - 4.14$ ; the corresponding  $\sigma$   
 256 is 0.30; the maximum allowable inter-storey drift  $x$  is 0.09; and the probability for the maximum  
 257 inter-storey drift ( $d$ ) over the maximum allowable value is 0.0262 by  $f_s = 1 - F(0.09; -2.99, 0.30)$ .

258 Therefore, the lognormal CDF is applicable for energy-frequency parameter based fragility  
 259 function. This property can further facilitate the application of the parameter in seismic risk  
 260 analysis. The fragility functions can be directly used in seismic risk analysis when it involves  
 261 structures similar to structural model in Figure 1, and also provides a workable procedure to  
 262 evaluate the structural response in engineering practice.

#### 263 4.2. Influencing factors for energy-frequency parameter

264 As defined in Eq. (1), three factors, i.e., time-frequency conversion method, summation range  
 265 ( $f_i$ ) and frequency resolution ( $\Delta f$ ), determine the value of energy-frequency parameter. To obtain  
 266 the optimal energy-frequency parameter, the influences of these factors are discussed.

267 Apart from the HHT, Fourier transform (FT) (e.g., Li et al. [47]) and wavelet packet transform  
 268 (WPT) (e.g., Chen et al. [48]) are also widely used in time-frequency analysis. The theory of FT  
 269 and WPT in time-frequency conversion and frequency-domain energy calculation is introduced

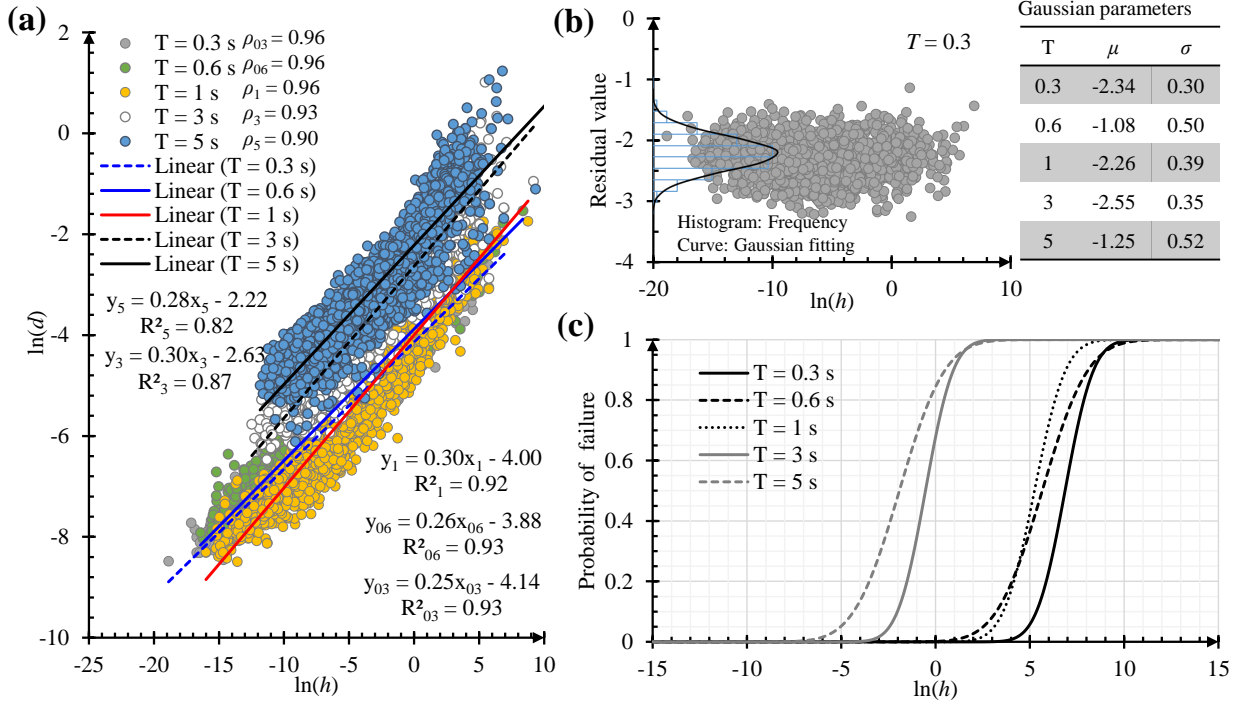


Figure 3: (a) The regression analysis between energy-frequency parameter,  $h$ , and the maximum inter-storey drift  $d$  in natural logarithm. The Pearson correlation coefficient ( $\rho$ ) between  $\ln(h)$  and  $\ln(d)$  is also provided. In the regressive linear equation,  $x$  and  $y$  represents  $\ln(h)$  and  $\ln(d)$ , respectively, and  $R^2$  is the coefficient of determination. (b) An example for the scatter plots, frequency statistics (histogram), and Gaussian fitting (curve) of the residual in fundamental period  $T = 0.3$  s. The x-axis for histogram and curve is not plotted. The normal distribution parameters, the mean values  $\mu$  and the standard deviations  $\sigma$ , of the residuals in different fundamental periods  $T$  are listed in the side table. (c) The  $h$ -based fragility function for structures with different fundamental periods.

270 in SI. The related parameters of these methods in time-frequency conversion are set as follows:  
 271 the wavelet basis and decomposition level of WPT is *sym5* and 11, respectively; the frequency  
 272 resolution of HHT is 0.02 Hz. Examples for time-frequency conversion of ground motions based  
 273 on FT, WPT, and HHT are shown in Figure 4(a). It indicates that all the methods successfully  
 274 convert the signal from time to frequency domain. However, HHT has greater resolution in the  
 275 low-frequency region than FT and WPT, which helps reveal the impacts of ground motion on  
 276 long fundamental period structures. More characteristics about FT, WPT, and HHT in time-  
 277 frequency conversion are listed in SI, where the normalized cumulative energy distribution of all  
 278 ground motions are plotted in Figure S3.

279 In addition, the Pearson correlation coefficient between the FT-, WPT-, and HHT-based  
 280 energy-frequency parameter and maximum inter-story drift (see Figure 4(b)) indicates that the  
 281 performance of FT is inferior to WPT and HHT, and the performances of HHT and WPT are

282 similar. However, the selection of wavelet basis and decomposition level is an annoying problem  
283 in WPT. The effects of wavelet basis and decomposition levels of WPT on correlation analysis are  
284 analyzed in SI Figure S5. On the contrary, HHT is an adaptive signal processing approach based  
285 on signal attributes, without determining the basis ahead. Therefore, because of the ability of  
286 high-resolution in low-frequency regions and the adaptive property, HHT is recommended herein.

287 The influences of summation range are also investigated from 0.01:0.01:2 Hz as the starting  
288 frequency ( $f_s$ ) to 5:5:25 Hz as ending frequency ( $f_e$ ). Results in Figure 4(c) show that the starting  
289 frequency has a significant impact on the correlation coefficient; however, the effects of the ending  
290 frequency are slight. This is because the reciprocal form of frequency is adopted in the definition,  
291 and consequently, the low-frequency regions mainly control the energy-frequency parameter. To  
292 accurately include the target frequency range that affects the structural response, this study sug-  
293 gests a starting frequency to be  $0.3/\alpha$ . If a specific structure is analyzed,  $\alpha$  is the fundamental  
294 structural period. In other words, the starting frequency is 0.3 times the fundamental structural  
295 frequency. The starting frequencies are always lower than fundamental structural frequency be-  
296 cause the energy in the lower-frequency regions (i.e., higher-period regions) potentially cause side  
297 effects on structural safety [49]. This is also why a smaller starting frequency of 0.06 Hz is recom-  
298 mended when no specific structures are involved. In this situation, the correlation analysis may  
299 not be the optimal result; however, the energy-frequency parameter still strongly correlates with  
300 EDP. More data in SI Figure S6 also reveal this phenomenon. In addition, the ending frequency  
301 has less influences on energy-frequency parameters but is set to 15 Hz considering the frequency  
302 range of natural ground motions.

303 we also test the effects of frequency resolution on energy-frequency parameter. Results in  
304 Figure 4(d) indicate that the correlation coefficient slightly decrease with increasing of frequency  
305 resolution ( $\Delta f$ ). The similar results also show in SI Figure S7. Hence, due to the advantages  
306 of HHT on adaptive property and the greater resolution in the low-frequency region than FT  
307 and WPT, the HHT frequency-domain energy distribution with frequency resolution of 0.02 and  
308 summation range from  $0.3/\alpha$  to 15 Hz is recommend for calculating energy-frequency parameter.

### 309 4.3. Comparison with other IMs

310 The correlation analysis is conducted to compare the proposed energy-frequency parameter  
311 with twenty common IMs. Details of the selected IMs are shown in Figure 5, where  $T_m$ ,  $T_o$ , and  
312  $T_{avg}$  are defined in Rathje et al. [14],  $T_g$  is defined in Yang et al. [15], and the definition and



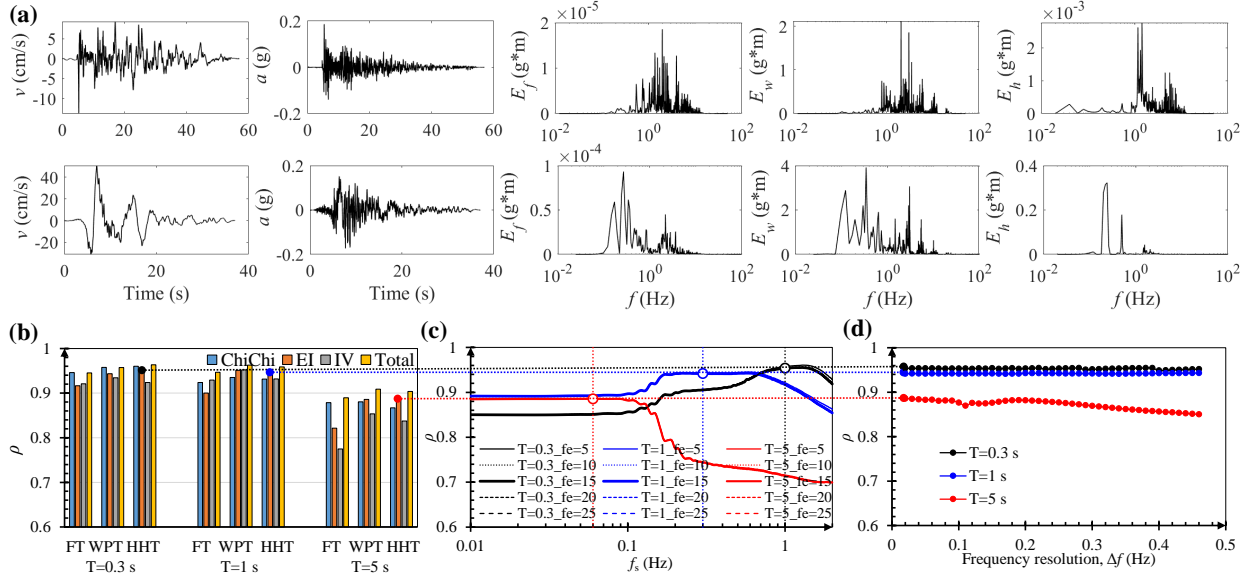


Figure 4: Influencing factors on energy-frequency parameter. (a) Examples for velocity ( $v$ ), acceleration ( $a$ ), FT-based ( $E_f$ ), WPT-based ( $E_w$ ) and HHT-based ( $E_h$ ) frequency-domain energy distribution of non-pulse (upper, RSN 167 Horizontal 1) and pulse-like (below, RSN 174 Horizontal 1) ground motion in Imperial Valley-06 earthquake. (b) Pearson correlation coefficient between FT-, WPT-, and HHT-based energy-frequency parameters and maximum inter-story drift of structure with different fundamental period under Chi-Chi, Taiwan (chichi), EI Mayor-Cucapha (EI), Imperial Valley (IV) earthquake ground motions. The legend of 'Total' means all ground motions in three earthquakes are used. (c) and (d) investigates the effects of summation range and HHT frequency resolution on correlation coefficient, respectively, in which the EI Mayor-Cucapha earthquake ground motions are used. More data are provided in SI Figure S6 and S7.

313 expression of other IMs (including PGA, PGV, PGD,  $I_a$ , CAV, CAD,  $a_{rms}$ ,  $v_{rms}$ ,  $d_{rms}$ ,  $D_{s5-75}$ ,  
 314  $D_{s5-95}$ , ASI, SI,  $S_a(T)$ ) could be found in Table 1.

315 Apart from the data used in nonlinear dynamic analysis in Section 3, more earthquake ground  
 316 motions in PEER are selected to perform the correlation analysis among the IMs. Totally 9693  
 317 ground motions are used herein, and their information is listed in SI Data S1.

318 Figure 5 illustrates the Pearson correlation coefficient matrix (see Eq. (12)) among IMs at  
 319 natural logarithm, where the natural logarithm form is adopted because the energy-frequency pa-  
 320 rameter obeys the lognormal distribution (see SI Figure S8). Figure 5 indicates that the proposed  
 321 energy-frequency parameter correlates well with common IMs except for duration- and period-  
 322 related IMs. This strong correlation ensures that the energy-frequency parameter is of potentially  
 323 wide applicability in seismic risk analysis. For example, the phenomenon in Figure 2 that the  
 324 energy-frequency parameter closely relates to the maximum inter-storey drift of structures with

325 different fundamental periods may result from the significant association of  $h$  with PGA, PGV,  
 326 and PGD.

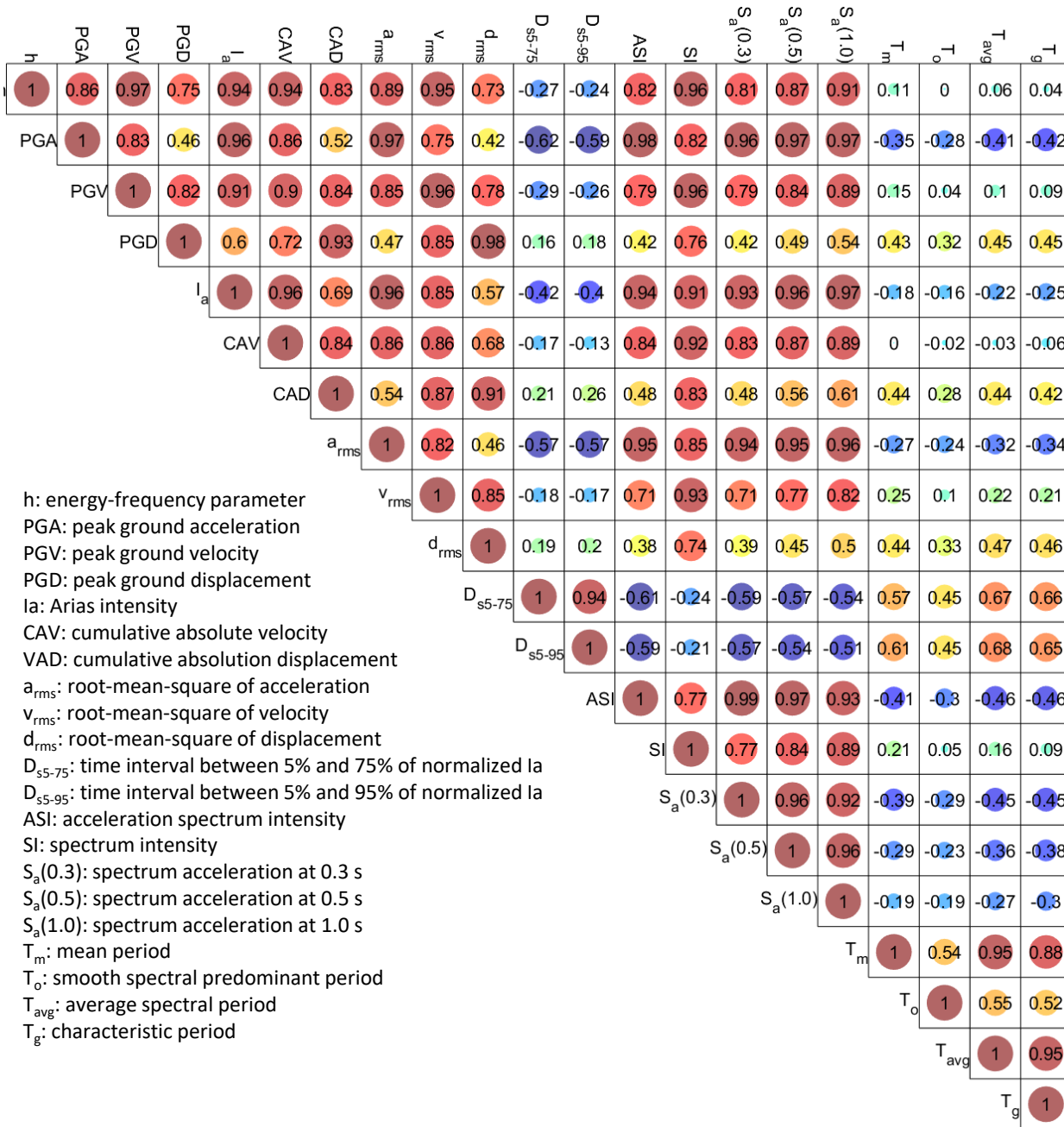


Figure 5: Pearson correlation coefficient matrix among IMs

## 327 5. Conclusions

328 A novel energy-frequency parameter is proposed for ground motion IM using Hilbert-Huang  
 329 transform. The proposed parameter is strongly correlated to the engineering demand parameter

330 for structures with various structural fundamental periods, and verified to be an applicable IM for  
331 both ordinary and near-fault pulse-like ground motion in seismic risk analysis. Furthermore, the  
332 energy-frequency parameter-based fragility function can be described by a lognormal cumulative  
333 distribution function, which helps to facilitate the application of the parameter in seismic risk  
334 analysis.

335 The comparison with other IMs shows that the energy-frequency parameter closely correlates  
336 with PGA, PGV, PGD, amplitude-based energy parameter and response spectrum-based IMs.  
337 Hence, the proposed IM is of potentially wide applicability in seismic risk analysis. Besides, com-  
338 pared with response spectrum-based IM that is widely considered in seismic structural analysis, the  
339 proposed parameter only depends on the ground motion record itself. Hence, the parameter may  
340 be more closely related to seismological theory. The relationship between the energy-frequency pa-  
341 rameter and seismological parameters (e.g., magnitude and distance) will be carried out in future  
342 study.

### 343 **Data Availability Statement**

344 The raw earthquake ground motions used in the study can be freely downloaded at PEER  
345 NGA-West2 database (<https://ngawest2.berkeley.edu/>). Specifically, the ground motion is  
346 accessible by searching the earthquake names in the 'Event Name' text box. The processed data  
347 are available in the online Supporting Information.

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### 353 **Conflict of interest**

354 The authors declare no potential conflict of interests.

### 355 **Supporting information**

356 The following supporting information is available as part of the online article:

357 **Text S1** includes five parts. More details of the structural model is provided in Section S1.  
358 Section S2 is devoted to introduce the theory of Fourier transform and wavelet packet transform  
359 in time-frequency conversion, together with the conversion results of all ground motions in three  
360 earthquakes using Fourier, wavelet packet, and Hilbert-Huang transform. The third section pro-  
361 vides the statistical results of residual for different fundamental structural periods. More evidences  
362 about the effects of influencing factors (i.e., time-frequency conversion method, summation range,  
363 and frequency resolution) on the energy-frequency parameter are listed in Section S4. Section S5  
364 provides more information on the comparison among IMs. Besides, the data supporting Figures  
365 2 and 3, together with the PEER information of selected ground motions are provided in **Data**  
366 **S1.**

### 367 **Conflict of interest**

368 The authors declare that they have no known competing financial interests or personal rela-  
369 tionships that could have appeared to influence the work reported in this paper.

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