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# The neurobiological effects of ocean acidification on a cephalopod

Thesis submitted by

#### **Jodi Thea Thomas**

(Bachelor of Science with Honours, University of Otago)

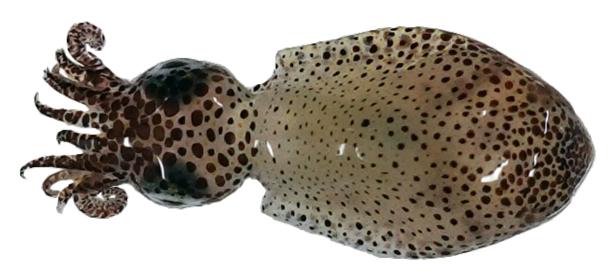
Thesis submitted for the degree of

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Two-toned pygmy squid *Idiosepius pygmaeus*. Photograph by Jodi Thomas.

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iv Acknowledgments

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Throughout my PhD, I was supported by an Australian Government Research Training Program Scholarship (Prestige Research Training Program Stipend) and a Commonwealth Supported by Research Training Program Fee Offset.

**Chapter 1**: Prepared as thesis chapter only. General Introduction.

**Contributions**: I wrote the first draft of the chapter. Philip Munday and Sue-Ann Watson provided constructive review and editorial comments.

Chapter 2: Thomas, J.T., Munday, P.L. and Watson, S.-A. (2020). Toward a mechanistic understanding of marine invertebrate behaviour at elevated CO<sub>2</sub>. Frontiers in Marine Science. 7, 345. URL https://doi.org/10.3389/fmars.2020.00345

**Contributions**: I reviewed the literature, synthesised current knowledge and wrote the first draft of the manuscript. Philip Munday and Sue-Ann Watson provided constructive review and editorial comments. Funding for this project was provided by the Australian Research Council Centre of Excellence for Coral Reef Studies (to Philip Munday, Sue-Ann Watson, myself).

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Contributions: Philip Munday, Sue-Ann Watson and I contributed to the original conception and design of the project. Philip Munday and Sue-Ann Watson provided supervision throughout the project. I carried out project administration and management. Blake Spady and I collected animals from the field, with assistance from Annam Raza. I carried out maintenance of the sewater systems, with assistance from Natalie Swinhoe and technical support from Ben Lawes, Simon Wever and Andrew Thompson. Blake Spady and I performed carbonate chemistry measurements, with assistance from Michael Jarrold. I undertook animal husbandry and performed the experiments. I carried out all data curation, data analysis, sta-

tistical analysis, and data visualisation. I interpreted the results and wrote the first draft of the manuscript. Blake Spady, Philip Munday and Sue-Ann Watson provided constructive review and editorial comments. Funding for this project was provided by the Australian Research Council Centre of Excellence for Coral Reef Studies (to Philip Munday, Sue-Ann Watson) and an Australian Government Research Training Program Scholarship (to myself). James Cook University provided laboratory space and funding for technicians at the Marine and Aquaculture Research Facilities Unit.

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#### **General Abstract**

The uptake of anthropogenic carbon dioxide (CO<sub>2</sub>) by the ocean is causing seawater CO<sub>2</sub> levels to rise, changing ocean chemistry in a process known as ocean acidification (OA). OA can affect a variety of physiological processes, life history traits and behaviours of fish and marine invertebrates. As invertebrates comprise the vast majority of marine diversity, are essential for key ecosystem processes and support human livelihoods, OA-induced effects of marine invertebrates could have far-reaching ecological, social and economic consequences. The nervous system forms the fundamental link between the environment and an organism's physiology and behaviour, likely coordinating responses to OA. However, the nervous system's role in biological responses to elevated CO<sub>2</sub> has been little explored, especially for marine invertebrates. Research to date has focused on the mechanistic underpinnings of OA-induced behavioural alterations in fish. In marine invertebrates, the neurobiological impacts of OA remain poorly understood, and may differ to those in fishes. This thesis investigates the neurobiological impacts of, and the mechanistic neurobiological underpinnings of biological responses to, OA in a marine invertebrate with a complex nervous system, a cephalopod mollusc.

In Chapter 2, I review the potential mechanisms underlying OA-induced behavioural alterations in marine invertebrates. I highlight that OA likely induces behavioural alterations through a range of neurobiological mechanisms, including disrupted sensation, an altered context within which information is processed, and disturbed GABA<sub>A</sub> receptor functioning, all of which need further experimental testing. I propose potential novel mechanisms, including disrupted functioning of ligand-gated chloride channels, which are similar to the GABA<sub>A</sub> receptor, and which invertebrates possess a larger variety of compared to fish. Non-targeted approaches, including omics technologies, are highlighted as an important next step to test existing hypotheses, and potentially develop novel hypotheses for the mechanistic underpinnings of OA-induced behavioural alterations.

Disrupted functioning of GABA<sub>A</sub> receptors is the prominent mechanistic explanation for OA-induced behavioral alterations in fish. The GABA hypothesis may also apply to marine molluses, however evidence to date relies exclusively on one pharmacological agent. In Chapter 3, I used both a specific (gabazine) and non-specific (picrotoxin) GABA<sub>A</sub> receptor

x General Abstract

antagonist to test the role of GABA-, and other (glutamate-, acetylcholine- and dopamine-) gated chloride channels in behavioural alterations at elevated CO<sub>2</sub> in the two-toned pygmy squid, *Idiosepius pygmaeus*. Elevated CO<sub>2</sub> altered a range of conspecific-directed behaviours and activity, and both antagonists had different behavioral effects at elevated, compared to current-day, CO<sub>2</sub> conditions. The results provide robust support for the GABA hypothesis within a cephalopod, and the first pharmacological evidence for OA-induced disruption of ligand-gated chloride channels other than the GABA<sub>A</sub> receptor, underlying behavioural alterations in any marine animal.

In Chapter 4, I evaluated the transcriptomic response of the central nervous system (CNS) and eyes of *I. pygmaeus* to elevated CO<sub>2</sub>. As a reference for gene expression quantification, I created a *de novo* transcriptome assembly from long read PacBio ISO-sequencing data. The squid CNS and eyes both responded to elevated CO<sub>2</sub> with gene expression changes in three main areas; neurotransmission, immune function, and oxidative stress. Widespread changes in neurotransmission, including genes involved in GABAergic, glutamatergic, cholinergic, and monoaminergic neurotransmission, provide further support for multiple mechanisms underpinning OA-induced behavioural alterations. From these results, I propose a novel mechanistic model explaining how neurotransmission, immune function and oxidative stress could interact in the nervous system to drive behavioural and physiological responses to OA in marine invertebrates.

In Chapter 5, I use a novel approach to assess the potential mechanisms underlying behavioral changes at elevated CO<sub>2</sub> in *I. pygmaeus* by directly correlating the transcriptomic response of the CNS and eyes with behavioural changes at elevated CO<sub>2</sub> in the same individuals. First, I used a network approach to cluster transcriptome-wide gene expression for the CNS and eyes (separately). The gene expression profile of each gene cluster was then correlated with CO<sub>2</sub> treatment levels (current-day or elevated) and OA-affected, visually-mediated behaviours in the same individuals, using Canonical Correlation Analysis. Altered neurogenesis in both the CNS and eyes was identified as a potential key driver of OA-induced behavioural changes. From the results, I propose a mechanism by which disrupted visual detection and visual output from the eyes, in combination with disrupted neurogenesis and neurotransmission (including GABAergic signaling) in the CNS, may drive altered behavioural responses at elevated CO<sub>2</sub> in *I. pygmaeus*, and possibly other marine invertebrates.

Overall, this thesis highlights that OA likely induces a suite of changes in both the peripheral and central nervous systems of marine invertebrates, with a complex assortment of mechanisms underpinning OA-induced responses. This complexity could explain the variability in OA-induced biological responses, with different mechanisms potentially being predominant in different taxa and resulting in different behavioural responses. Experimental work assessing the novel mechanisms proposed in my thesis will be a potentially important direction

for future research to gain a more thorough understanding of the mechanistic complexities of OA-induced biological responses. A mechanistic neurobiological understanding will help develop cause-effect relationships to identify which animals will be most vulnerable to rising CO<sub>2</sub> levels in the ocean, and how this may affect marine diversity and ecosystem function.

# **Contents**

A	cknov	vledgme	ents	iii
St	atemo	ent of th	ne Contribution of Others	v
G	enera	l Abstra	act	ix
C	onten	ts		xiii
Li	ist of '	<b>Fables</b>		xvii
Li	ist of ]	Figures		xix
1	Gen	eral Int	troduction	1
	1.1	The ne	ervous system and environmental change	2
	1.2	Ocean	acidification and its effects on marine invertebrates	2
	1.3	The m	nechanistic neurobiological underpinnings of biological responses to	
		ocean	acidification	3
	1.4	Thesis	aims and outline	7
2	Tow	ard a n	nechanistic understanding of marine invertebrate behaviour at ele	<u>-</u>
	vate	d CO <sub>2</sub>		11
	2.1	Abstra	ict	12
	2.2	Introd	uction	13
	2.3	Mecha	anisms for elevated CO <sub>2</sub> -induced behavioural changes	17
		2.3.1	Altered sensory stimuli at elevated $CO_2$	17
		2.3.2	Physical changes of sensory organs	19
		2.3.3	Altered behavioural choices	20
		2.3.4	Altered functioning of the GABA <sub>A</sub> receptor	21
	2.4	The G	ABA hypothesis in marine invertebrates	21

xiv Contents

		2.4.1	GABA <sub>A</sub> -like receptor subtypes and variability in elevated CO <sub>2</sub> -induced	
			behavioural alterations	27
		2.4.2	Pharmacological considerations	28
		2.4.3	Marine invertebrate acid-base regulatory mechanisms and the GABA	
			hypothesis	29
		2.4.4	The effects of altered GABA <sub>A</sub> -like receptor functioning	30
	2.5	Altern	ative mechanisms for behavioural change at elevated CO <sub>2</sub>	32
	2.6	Direct	ions for future research	34
	2.7	Conclu	usion	36
3	The	role of	ligand-gated chloride channels in behavioural alterations at elevated	
	$CO_2$	in a ce	phalopod	39
	3.1	Abstra	nct	40
	3.2	Introd	uction	41
	3.3	Metho	ods	45
		3.3.1	Animal collection	45
		3.3.2	CO <sub>2</sub> treatment systems	48
		3.3.3	Drug treatment and behavioural trials	48
		3.3.4	Behavioural analysis	51
		3.3.5	Statistical analysis	52
	3.4	Result	······································	54
		3.4.1	Space use	54
		3.4.2	Soft mirror touch	54
		3.4.3	Aggressive mirror touch	57
		3.4.4	Activity	59
	3.5	Discus	ssion	61
		3.5.1	Behavioural change in response to elevated CO <sub>2</sub>	61
		3.5.2	Behavioural change in response to drug treatment	64
		3.5.3	Conclusion	68
4	Neu	robiolo	gical mechanisms underlying effects of elevated CO <sub>2</sub> in a cephalopod	71
	4.1	Abstra	act	72
	4.2	Introd	uction	73
	4.3	Metho	ods	76
		4.3.1	Study species	76
		4.3.2	CO <sub>2</sub> treatment and sample collection	76
		4.3.3	RNA extraction	77
		4.3.4	RNA sequencing	78

		4.3.5	RNA-seq read pre-processing	78				
		4.3.6	ISO-sequencing	78				
		4.3.7	de novo transcriptome assembly	78				
		4.3.8	Transcriptome annotation	79				
		4.3.9	Read mapping and counting	79				
		4.3.10	Statistical analyses	80				
	4.4	Results	8	81				
		4.4.1	Transcriptome assembly and annotation	81				
		4.4.2	Differential expression analysis	81				
		4.4.3	Gene set enrichment analysis	88				
	4.5	Discus	sion	90				
		4.5.1	de novo transcriptome assembly	92				
		4.5.2	Effects of elevated CO <sub>2</sub> on gene expression	92				
		4.5.3	Conclusion	100				
5		Correlated transcriptomic and behavioural responses: Identifying mechanisms						
	underpinning behavioural responses to elevated CO <sub>2</sub> in a cephalopod							
	5.1		et	104				
	5.2		ection	105				
	5.3		ds	107				
		5.3.1	CO <sub>2</sub> treatment and experimental design	107				
		5.3.2	Behavioural data	107				
		5.3.3	Gene count data	109				
		5.3.4	Statistical analysis	109				
	5.4	Results	5	112				
		5.4.1	Genes in the CNS potentially driving altered activity at elevated CO <sub>2</sub>	112				
		5.4.2	Genes in the eyes potentially driving altered activity at elevated CO <sub>2</sub>	117				
		5.4.3	Genes potentially driving an altered number of exploratory interac-					
			tions at elevated $CO_2$	117				
	5.5	Discus	sion	123				
		5.5.1	Potential drivers of elevated CO <sub>2</sub> -induced behavioural changes	124				
		5.5.2	Proposed mechanism	129				
		5.5.3	Conclusion	130				
(	Car	owal Di-	avadan.	121				
6		eral Dis		131				
	6.1		OA likely effects myltigle types of powertronomission, and emigring	132				
		6.1.1	OA likely affects multiple types of neurotransmission, underpinning	124				
			behavioural responses	134				

xvi Contents

		6.1.2	OA-induced disruption of adult neurogenesis may drive behavioural	
			alterations	135
		6.1.3	Neurobiological impacts of OA may also drive non-behavioural re-	
			sponses	136
		6.1.4	Complex interactions between the neurobiological impacts of OA .	136
	6.2	Future	Directions	137
		6.2.1	The role of multiple types of neurotransmission in behavioural and	
			physiological responses to OA	137
		6.2.2	The role of oxidative stress in behavioural responses to OA	139
		6.2.3	The role of adult neurogenesis in behavioural responses to OA	139
		6.2.4	Further exploration of the molecular mechanisms underlying biolog-	
			ical responses to OA	140
		6.2.5	Sex-specific responses to ocean acidification	141
		6.2.6	Ecologically relevant mechanistic studies	142
		6.2.7	Adaptation of the nervous system as ocean acidification progresses	143
	6.3	Concl	uding Remarks	143
Re	eferen	ices		145
A	Cha	pter 2	Appendices	219
В	Cha	pter 3	Appendices	239
C	Cha	pter 4	Appendices	247
D	Cha	pter 5 A	Appendices	279

# **List of Tables**

2.1	Summary of publications that have mechanistically tested whether LGIC-mediated neurotransmission is altered in marine invertebrates at elevated CO <sub>2</sub>	25
3.1	Summary of the varying types of different drug effects across CO <sub>2</sub> treatments	
	and how they suggest altered receptor function at elevated CO <sub>2</sub>	46
3.2	Experimental seawater carbonate chemistry	49
3.3	Summary of the CO <sub>2</sub> effect in sham-treated squid, and the type of different	
	drug effect across CO <sub>2</sub> treatments	55
4.1	Table of DEGs and their function in the CNS and eyes, ordered by log2 fold-	
	change (LFC)	83
5.1	Eyes-specific CO <sub>2</sub> treatment hub genes	118
5.2	Hub genes identified for CO <sub>2</sub> treatment in both the CNS and eyes	119

# **List of Figures**

1.1	Consequences of OA-induced responses	4
1.2	The two-toned pygmy squid ( <i>Idiosepius pygmaeus</i> )	8
2.1	Elevated CO <sub>2</sub> could induce behavioural alterations through a range of neu-	
	robiological mechanisms	16
2.2	Potential reversal in function of varying LGICs at elevated CO <sub>2</sub> in marine invertebrates	22
2.3	Future directions for neurobiological mehanistic research in marine inverte-	
2.5	brates	35
3.1	Diagram of behavioural trial set-up.	50
3.2	Effects of CO <sub>2</sub> and drug treatment on space use	56
3.3	Effects of CO <sub>2</sub> and drug treatment on measures of soft mirror touching be-	
	haviour	58
3.4	Effects of CO <sub>2</sub> and drug treatment on measures of aggressive mirror touching	
	behaviour	60
3.5	Effects of CO <sub>2</sub> and drug treatment on activity measures	62
3.6	Evidence within molluscs that elevated CO <sub>2</sub> results in a suite of changes	
	within the nervous system	69
4.1	Experimental design overview	77
4.2	Differential expression results	82
4.3	Diagram outlining the role of DEGs in neurotransmission and behaviour at	
	elevated CO <sub>2</sub> in <i>I. pygmaeus</i>	87
4.4	Diagram outlining the role of DEGs in the immune response to elevated CO <sub>2</sub>	
	in the nervous tissue of <i>I. pygmaeus</i>	88
4.5	Diagram outlining the role of DEGs in the oxidative stress response to ele-	
	vated CO <sub>2</sub> in the nervous tissue of <i>I. pygmaeus</i>	89
4.6	Enrichment map displaying the gene set enrichment analysis (GSEA) results	
	in both the CNS and eves	91

XX List of Figures

4.7	Proposed mechanistic model outlining the effects of elevated CO <sub>2</sub> on, and	
	the interactions between, the three top functions found to be affected by ele-	
	vated CO <sub>2</sub> in the nervous tissue of <i>I. pygmaeus</i> ; neurotransmission, immune	
	function and oxidative stress	98
5.1	Experimental design overview	108
5.2	Venn diagram depicting the number of hub genes identified for CO <sub>2</sub> treatment	
	and behavioural traits in the CNS and eyes	113
5.3	Functional categories significantly enriched in those genes in the CNS iden-	
	tified as potentially driving altered activity at elevated CO2	115
5.4	Potential molecular drivers of OA-induced behavioural changes in the CNS	
	and eyes of <i>I. pygmaeus</i> , and a proposed mechanism by which elevated CO <sub>2</sub>	
	could alter behaviour	125
6.1	Overview of the results from the chapters in this thesis	133

# Chapter 1

# **General Introduction**

2 General Introduction

#### 1.1 The nervous system and environmental change

The nervous system forms the fundamental link between the environment and an organism's physiology and behaviour (Kelley et al., 2018; O'Donnell, 2018). Sense organs detect the environment, and this information collected by the sense organs is sent to the central nervous system (CNS) for higher order processing. In the CNS, the information is interpreted and outputs are sent to effectors to create a response. Effectors can be muscles, which produce behavioural responses. Outputs from the nervous system can also release or regulate the release of hormones, which have downstream effects on target organs (Brown, 2001). Thus, the nervous system mediates an organism's response, both behaviourally and physiologically, to environmental change (Brown, 2001; Kelley et al., 2018). Human activity is drastically altering the environments animals inhabit, for example climate change, habitat destruction, species overexploitation, pollution and the introduction of invasive species (Vitousek et al., 1997; Pereira et al., 2010; Steffen et al., 2015). The nervous system also coordinates responses to anthropogenic environmental change (Kelley et al., 2018; O'Donnell, 2018). Thus, the neurobiological impacts of human-induced environmental change are key to understanding how animals will respond as environmental change progresses, yet the role of the neurobiological mechanisms in biological responses to environmental change has been little explored (Kelley et al., 2018).

#### 1.2 Ocean acidification and its effects on marine invertebrates

For marine animals, a particularly important aspect of human-induced environmental change is ocean acidification. Human activity is resulting in unprecedented amounts of carbon dioxide ( $CO_2$ ) being released into the atmosphere, with emissions projected to continue increasing into the future (Bindoff *et al.*, 2019). Approximately one third of the anthropogenic  $CO_2$  released into the atmosphere is absorbed by the ocean, and the partial pressure of  $CO_2$  ( $pCO_2$ ) in the surface ocean is increasing at approximately the same rate as  $CO_2$  in the atmosphere (Bindoff *et al.*, 2019). As seawater  $pCO_2$  rises, there is an accompanying increase in bicarbonate and hydrogen ion concentrations and a decrease in carbonate ion concentrations. The increase in hydrogen ions lowers pH (moving towards more acidic conditions on the pH scale) and thus the rise in seawater  $pCO_2$ , and accompanying changes in ocean chemistry, are together known as ocean acidification (OA) (Doney *et al.*, 2009).

Research initially focused on the responses of calciferous marine invertebrates to OA due to the concern that changes in carbonate saturation states would disrupt their ability to form

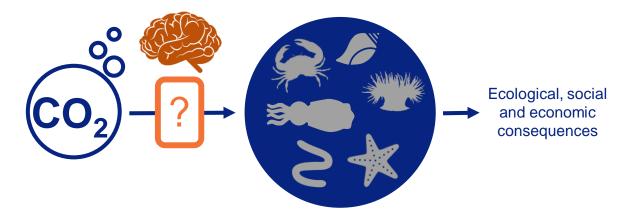
shells and skeletons (Kleypas et al., 1999; Feely et al., 2004; Kurihara et al., 2004; Orr et al., 2005). However, it is now known that OA can affect not only calcification, but a wide variety of physiological processes, life history traits and behaviours of marine invertebrates (Pörtner et al., 2004; Kroeker et al., 2010; Clements and Hunt, 2015; Nagelkerken and Munday, 2015, Chapter 2). Invertebrates are vital components of marine ecosystems, comprising over 92% of marine species, are essential to the function of ecosystem processes, and support the livelihoods of humans across the globe (Bertness et al., 2001; Chen, 2021). Consequently, any effects of OA on marine invertebrates could have far-reaching ecological, social and economic consequences (Figure 1.1). The responses of marine invertebrates to OA are variable, with some taxa and life stages more sensitive than others (Clements and Hunt, 2015; Kroeker et al., 2013). This variability can have flow-on effects, altering species interactions and subsequent community and ecosystem dynamics (Zarnetske et al., 2012; Kroeker et al., 2014; Sanford et al., 2014). Marine invertebrates include phyla as diverse as Cnidaria (including jellyfish and corals), Mollusca (including squid and mussels), and Arthropoda (including barnacles and crabs), separated by long evolutionary histories. This enormous phylogenetic variation may account for a large component of the variability in the responses to OA.

# 1.3 The mechanistic neurobiological underpinnings of biological responses to ocean acidification

Research has focused on the biological responses of marine invertebrates to elevated CO<sub>2</sub> with much less known about the mechanisms underlying these responses. A mechanistic understanding of the responses to OA is especially useful for developing cause-effect relationships, gaining insight into why some taxa are more sensitive than others and helping improve predictions of how marine invertebrates, and ultimately ecosystems, will respond as climate change progresses (Cooke *et al.*, 2013). As the nervous system mediates an animal's response to environmental change, understanding the neurobiological impacts of OA is key to gaining insight into the mechanistic underpinnings of OA-induced responses (Figure 1.1).

To date, the relatively few studies assessing the neurobiological underpinnings of OA-induced responses have focused on those mechanisms underlying OA-induced behavioural alterations. The majority of research has assessed the GABA hypothesis, proposed by Nilsson *et al.* (2012) in two coral reef fish species, which has become the prominent mechanistic hypothesis for behavioural changes at elevated CO<sub>2</sub>. The GABA hypothesis suggests that acid-base regulatory mechanisms at elevated CO<sub>2</sub> alter gradients of HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> ions across neuronal membranes, disrupting the function of GABA<sub>A</sub> receptors (ligand-gated ion channels permeable to HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> ions (ligand-gated Cl<sup>-</sup> channels)), consequently dis-

4 General Introduction



**Figure 1.1.** Consequences of OA-induced responses. Any effects of OA on marine invertebrates could have ecological, social and economic consequences. A neurobiological mechanistic understanding will help to improve predictions of how marine invertebrates, and ultimately ecosystems, will respond as OA progresses. Icons from NounProject.com (brain by Clockwise, worm by Deemak Daksina, anemone by Vega Asensio, crab by Ed Harrison, starfish by Stanislav Levin), remaining icons by Jodi Thomas.

rupting behaviour (Nilsson *et al.*, 2012). In fish, experimental pharmacological studies using GABA<sub>A</sub> receptor antagonists and agonists have supported the GABA hypothesis (Nilsson *et al.*, 2012; Hamilton *et al.*, 2013; Chivers *et al.*, 2014; Chung *et al.*, 2014; Lai *et al.*, 2015; Ou *et al.*, 2015; Lopes *et al.*, 2016; Munday *et al.*, 2016). Measurements of extra- and intracellular HCO<sub>3</sub><sup>-</sup> ion concentrations in the brain, accompanied with behavioural measurements and a theoretical analysis of GABA<sub>A</sub> receptor function at elevated CO<sub>2</sub> have also supported the GABA hypothesis in fish (Heuer *et al.*, 2016, and reviewed in Heuer *et al.* (2019)).

In marine invertebrates, pharmacological studies exclusively using the GABA<sub>A</sub> receptor antagonist gabazine (Heaulme *et al.*, 1986) have supported the GABA hypothesis in a gastropod and bivalve mollusc (Watson *et al.*, 2014; Clements *et al.*, 2017), but not a crustacean (Charpentier and Cohen, 2016). Extra-cellular measurements of HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> ion concentrations have also supported the GABA hypothesis in a gastropod mollusc (Zlatkin and Heuer, 2019), as well as crustaceans (de la Haye *et al.*, 2012; Charpentier and Cohen, 2016). However, the pharmacological profile of receptors in invertebrates commonly differs to their counterparts in vertebrates, like fish (Rauh *et al.*, 1990), and have not been characterized as extensively as in vertebrates. For example, crustacean GABA<sub>A</sub>-like receptors may be insensitive to gabazine (El Manira and Clarac, 1991; Jackel *et al.*, 1994; Pearstein *et al.*, 1996). Furthermore, both extra- and intra-cellular measurements are required to determine whether ionic gradients across neuronal membranes are altered at elevated CO<sub>2</sub>, consequently disturbing GABA<sub>A</sub> receptor function. Thus, the marine invertebrate studies to date have provided a useful starting point to understand the mechanistic underpinnings of elevated CO<sub>2</sub>-induced

behavioural changes, but the conclusions that can be drawn from using gabazine alone, and measurements only done in extra-cellular fluids, are limited.

OA may also disrupt the function of other receptors, similar to the GABA<sub>A</sub> receptor. In fish, disrupted functioning of glycine receptors, which are also ligand-gated Cl<sup>-</sup> channels and thus function similarly to GABA<sub>A</sub> receptors, has been suggested to also underlie behavioural changes at elevated CO<sub>2</sub> (Tresguerres and Hamilton, 2017). Invertebrates possess a wider variety of ligand-gated Cl<sup>-</sup> channels than vertebrates (Wolstenholme, 2012), including glutamate- (Vassilatis et al., 1997; Kehoe and Vulfius, 2000), acetylcholine- (Kehoe, 1972; Schmidt and Calabrese, 1992; Putrenko et al., 2005; van Nierop et al., 2005), dopamine- (Carpenter et al., 1977), serotonin- (Gerschenfeld and Tritsch, 1974; Ranganathan et al., 2000) and histamine- (Gisselmann et al., 2002; Zheng et al., 2002) gated Cl<sup>-</sup> channels. These ligandgated Cl<sup>-</sup> channels in marine invertebrates may also be disrupted by elevated CO<sub>2</sub> due to their functional similarity to GABAA receptors. However, the involvement of ligand-gated Cl<sup>-</sup> channels, which are similar to GABA<sub>A</sub> receptors, in OA-induced behavioural alterations is yet to be experimentally tested in marine fish or invertebrates. Despite research focusing on the effects of elevated CO<sub>2</sub> on ligand-gated Cl<sup>-</sup> channel function, OA could potentially disturb behaviour via a range of different mechanisms, all of which have been little explored to date and require further experimental testing (Briffa et al., 2012, Chapter 2). As this research is in its infancy, it is also likely that unidentified mechanisms are also involved. Furthermore, the effects of OA on the nervous system likely underpins not only behavioural, but also physiological, responses.

Targeted approaches, like pharmacological studies, test specific hypotheses, which leaves potentially unidentified mechanisms unexplored. Thus, using untargeted approaches, like omics technologies, is important to provide a more holistic approach, testing existing hypotheses, potentially leading to the development of novel mechanistic hypotheses, and capturing the potential interactions between mechanisms. Transcriptomics, a powerful non-targeted approach that assesses all of the genes expressed in the selected tissue(s) (Wang *et al.*, 2009), is a key technique used to investigate the mechanistic basis of animal responses to the environment (Aubin-horth and Renn, 2009; Harris and Hofmann, 2014). For example, integrating transcriptomic data from the brains of two honeybee species with measures of anti-parasitic behaviours identified molecular signatures for resistance to the parasitic *Varroa* mite, which is devastating honeybee populations worldwide (Diao *et al.*, 2018). Transcriptomics has also widely been used to assess the broad responses of marine animals to OA (reviewed in Strader *et al.* (2020)). However, very little research has assessed the transcriptomic response of nervous tissue to OA.

Recent studies in fish have assessed the transcriptomic response of nervous tissue alongside behavioural experiments to investigate the mechanistic basis of OA-induced behavioural 6 General Introduction

alterations. A range of experiments have assessed the response of spiny damselfish brains to elevated CO<sub>2</sub>. Short-term and developmental exposure of damselfish to elevated CO<sub>2</sub> triggered changes in brain expression of genes involved in GABAergic neurotransmission, but inter-generational exposure mostly returned the brain molecular response to baseline levels (Schunter et al., 2018). From this transcriptional work, it has been proposed that a selfamplifying cycle is triggered, explaining how small alterations can lead to large behavioural responses. A switch in function of some GABA<sub>A</sub> receptors, from inhibitory to excitatory, is suggested to initiate the cycle, which is amplified by changes in expression of genes intended to suppress the excitation that instead further increase the excitation. This overexcitation of neurons increases the metabolic production of CO<sub>2</sub>, also feeding into the vicious cycle (Schunter et al., 2019). A clear molecular signature of parental behavioural tolerance to CO<sub>2</sub>, which was mainly driven by circadian rhythm genes, was identified in the brains of juvenile damselfish (Schunter et al., 2016), and a follow up study identified this as a maternal contribution (Monroe et al., 2021). Fathers were found to have a greater role in changes in expression of histone binding genes, and both parents contributed to changes in expression of neuroplasticity genes (Monroe et al., 2021). In the spiny damselfish and orange clownfish, brain transcriptional responses to OA were altered by diel CO2 fluctuations. This differential response was largely related to changes in circadian rhythm genes and highlights the importance of using ecologically relevant CO<sub>2</sub> treatment conditions in laboratory experiments (Schunter et al., 2021). In European sea bass exposed to elevated CO<sub>2</sub>, reduced responses of the olfactory nerve to odourants and altered olfactory-mediated behaviour was associated with differential expression in olfactory nervous tissue, of genes involved in olfactory receptors, excitatory neurotransmission and synaptic plasticity (Porteus et al., 2018). In ocean-phase salmon, exposure to elevated CO<sub>2</sub> disrupted olfactory-mediated behaviour, altered odour signalling in the olfactory bulb, and resulted in differential expression of genes involved in GABAergic signalling and ion balance regulation, in olfactory nervous tissue (Williams et al., 2019). Thus, transcriptomics can be used to test pre-existing hypotheses, such as the GABA hypothesis, but also allows for the development of novel hypotheses, such as the involvement of circadian rhythm genes and neuroplasticity, to explain OA-induced responses.

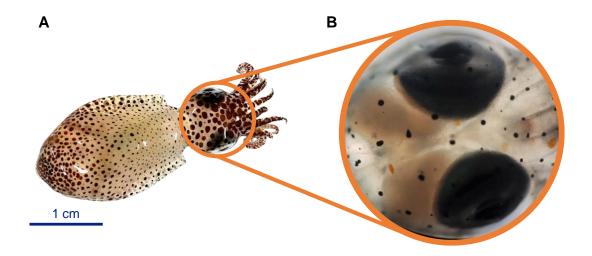
In marine invertebrates, little research to date has utilised transcriptomics to assess the mechanisms underpinning responses to OA. Two transcriptomic studies assessing the whole-body response of pteropod molluscs to OA identified changes in expression of genes involved in nervous system functioning. In the Mediterranean pteropod (*Heliconoides inflatus*) 22% of transcripts upregulated at elevated CO<sub>2</sub> play roles in nervous system function, including those involved in GABAergic, glycinergic, cholinergic and glutamatergic neurotransmission (Moya *et al.*, 2016). In the Antarctic pteropod (*Limacina helicina antarctica*), acetylcholine

receptors also showed changes in expression at elevated CO<sub>2</sub> (Johnson and Hofmann, 2017). However, studies using whole-body measurements cannot determine the tissue specificity of transcripts responding to OA. For example, it cannot be determined whether the differential expression of genes involved in acid-base regulation and ion transport in the whole body of *H. inflatus* were restricted to specific tissues, including the nervous system (Moya *et al.*, 2016). Furthermore, due to the heterogeneity and complexity of gene expression, measurements at the whole-body level may mask transcriptomic responses in specific tissues, such as the nervous system. Thus, to understand the neurobiological mechanisms underlying responses to OA, rather than the general whole-body molecular response, assessing the transcriptomic response of the nervous tissue from a marine invertebrate will be important. Furthermore, transcriptomic studies that directly correlate changes in gene expression to phenotypic changes at elevated CO<sub>2</sub> will be useful to more directly assess the mechanisms underlying OA-induced responses, but such studies are lacking.

#### 1.4 Thesis aims and outline

The objective of this thesis is to investigate the neurobiological impacts of OA on a marine invertebrate, and to determine the mechanistic neurobiological underpinnings of OA-induced biological responses in a marine invertebrate. To do this, I used a cephalopod mollusc, the two-toned pygmy squid *Idiosepius pygmaeus*. Cephalopods have a complex nervous system and behaviours rivalling those of fish (Hanlon and Messenger, 2018) making them a useful taxon to study the neurobiological effects of elevated CO<sub>2</sub>. Furthermore, as cephalopods including squid accumulate extracellular HCO<sub>3</sub> to compensate for a pH drop when exposed to increased seawater CO<sub>2</sub> levels (Hu et al., 2014; Gutowska et al., 2010) the GABA hypothesis may also apply to cephalopods. I. pygmaeus is a tropical squid that inhabits shallow, inshore waters of the Indo-Pacific, including Northern and Northeastern Australia (Reid, 2005) (Figure 1.2). I. pygmaeus grows to a maximum mantle length of 2 cm (Reid, 2005), has a short lifespan of up to 80 days (Jackson, 1988), is diurnal (Moynihan, 1983), and can be caught year-round from coastal waters around Townville, Australia (Jackson, 1992), all of which makes this species amenable for laboratory studies. Furthermore, previous work in this species has indicated OA can alter a range of behaviours, including defensive and predatory behaviours, and activity levels (Spady et al., 2014, 2018), as well as reproduction and embryonic development (Spady et al., 2019). For this thesis, I focused on the eyes and CNS of *I. pygmaeus* (Figure 1.2). This allowed me to evaluate the effect of OA on peripheral sensation, as well as higher order processing occurring in the CNS. I chose the eyes because cephalopods, including squid, are highly visual animals with many visually-mediated behaviours (Chung et al., 2022; Mather, 2006; Muntz, 1999).

8 General Introduction



**Figure 1.2.** The two-toned pygmy squid (*Idiosepius pygmaeus*). A Whole animal, and B microscope image showing the two eyes (black) and the CNS sitting behind and between the eyes. Images by Jodi Thomas.

As a clear mechanistic understanding of behavioural responses to elevated CO<sub>2</sub> is lacking in marine invertebrates, Chapter 2 is a literature review of the potential mechanisms underlying OA-induced behavioural alterations in marine invertebrates. In this review, I explore a range of mechanisms by which OA could induce behavioural alterations in marine invertebrates, which are not necessarily mutually exclusive and all of which have been little explored and require further experimental testing. I propose potential novel mechanisms, and outline major knowledge gaps for future research to address. These knowledge gaps identified in Chapter 2 guide the following data chapters.

In Chapter 3, I pharmacologically test the role of GABA-, and other ligand-, gated Cl-channels in behavioural changes at elevated CO<sub>2</sub> in *I. pygmaeus*. To do this, I exposed squid to current-day or elevated CO<sub>2</sub> conditions, followed by treatment with sham, gabazine (specific GABA<sub>A</sub> receptor antagonist) or picrotoxin (non-specific GABA<sub>A</sub> receptor antagonist) and then a behavioural trial. This chapter provides the first marine invertebrate study to use a drug other than gabazine to test the GABA hypothesis. As picrotoxin is structurally unrelated to gabazine, and the action of picrotoxin is better known in molluscs, using both gabazine and picrotoxin allows for a more robust test of the GABA hypothesis compared to using one drug alone. Using both drugs also provides the first opportunity to pharmacologically test the role of other ligand-gated Cl<sup>-</sup> channels, similar to the GABA<sub>A</sub> receptor, in OA-induced behavioural alterations of any marine animal.

It is likely that other, unidentified mechanisms are also involved in OA-induced behavioural changes of marine organisms, and the nervous system coordinates not only behaviour but also physiology. Thus, in Chapter 4 I assess the transcriptomic response of a marine invertebrate nervous system to OA, developing a more holistic view of the impacts of OA on the nervous system and allowing the development of potential novel mechanistic hypotheses for behavioural and physiological responses to OA. As a reference for gene quantification, I used long read PacBio ISO-sequencing data to create an annotated transcriptome assembly with accompanying quality and completeness metrics. I then used differential expression and gene set enrichment analyses to evaluate the transcriptomic response of the CNS and eyes of *I. pygmaeus* exposed to elevated compared to current-day CO<sub>2</sub> conditions.

Finally, in Chapter 5, I use a novel approach to more directly assess the potential mechanisms underlying behavioral changes at elevated  $CO_2$  in *I. pygmaeus*. Firstly, I used a network approach to cluster transcriptome-wide gene expression in the CNS and eyes, separately. Then, I used Canonical Correlation Analysis to correlate the gene expression profile of each gene cluster with  $CO_2$  treatment level (current-day or elevated) and OA-affected, visually-mediated behaviours in the same individuals. This is the first study to directly correlate gene expression with  $CO_2$  treatment conditions (current-day or elevated) and behaviour of the same individuals to assess the mechanisms underpinning behavioural responses to OA.

Together, these four chapters explore the mechanisms underlying the biological responses to OA in marine invertebrates, using a cephalopod mollusc. This thesis highlights that OA likely induces a suite of changes in both the peripheral and central nervous systems, with a complex assortment of multiple mechanisms underpinning the responses to OA. This complexity in the mechanisms could explain the variability in biological responses to OA, with different mechanisms potentially being predominant in different taxa and resulting in different behavioural responses. Overall, my thesis advances our understanding of the mechanisms underlying behavioural and physiological responses of marine invertebrates to OA. This understanding will help to improve predictions of how marine animals, and ultimately ecosystems, will respond as OA progresses.

# Chapter 2

# Toward a mechanistic understanding of marine invertebrate behaviour at elevated CO<sub>2</sub>

A version of this chapter is published:

**Thomas, J.T.**, Munday, P.L. and Watson, S.-A. (2020). Toward a mechanistic understanding of marine invertebrate behaviour at elevated CO<sub>2</sub>. *Frontiers in Marine Science*. **7**, 345. URL https://doi.org/10.3389/fmars.2020.00345

Difference between this chapter and published paper: Minor formatting and editorial changes.

#### 2.1 Abstract

Elevated carbon dioxide (CO<sub>2</sub>) levels can alter ecologically important behaviours in a range of marine invertebrate taxa, however, a clear mechanistic understanding of these behavioural changes is lacking. The majority of mechanistic research on the behavioural effects of elevated CO<sub>2</sub> has been done in fish, focusing on disrupted functioning of the GABA<sub>A</sub> receptor (a ligand-gated ion channel). Yet, elevated CO<sub>2</sub> could induce behavioural alterations through a range of mechanisms that disturb different components of the neurobiological pathway that produces behaviour, including disrupted sensation, altered behavioural choices and disturbed ligand-gated ion channel-mediated neurotransmission. Here, I review the potential mechanisms by which elevated CO<sub>2</sub> may affect marine invertebrate behaviours. Marine invertebrate acid-base physiology and pharmacology is discussed in relation to altered GABA<sub>A</sub> receptor functioning. Alternative mechanisms for behavioural change at elevated CO<sub>2</sub> are considered and important topics for future research have been identified. A mechanistic understanding will be important to determine why there is variability in elevated CO<sub>2</sub>-induced behavioural alterations across marine invertebrate taxa, why some, but not other, behaviours are affected within a species and to identify which marine invertebrates will be most vulnerable to rising CO<sub>2</sub> levels.

#### 2.2 Introduction

Human activity is resulting in unprecedented amounts of carbon dioxide (CO<sub>2</sub>) being released into the atmosphere. Since the Industrial Revolution, atmospheric CO<sub>2</sub> levels have increased by over 45%, from approximately 280 ppm (Joos and Spahni, 2008) to over 410 ppm today (Dlugokencky and Tans, 2019), higher than any time in the past several million years (Masson-Delmotte et al., 2013). In the worst case scenario, following the business-as-usual representative concentration pathway (RCP) 8.5, atmospheric CO<sub>2</sub> levels will increase to over 900 ppm by the end of this century. Even if substantial efforts are made to curb global CO<sub>2</sub> emissions to keep warming below 2°C, atmospheric CO<sub>2</sub> levels will still likely exceed 600 ppm by 2100 (Betts and McNeall, 2018). The ocean has absorbed 20-30% of anthropogenic CO<sub>2</sub> emissions since the mid-1980s (Bindoff et al., 2019), causing a reduction in seawater pH referred to as ocean acidification. Furthermore, CO<sub>2</sub> in the surface ocean is increasing at the same rate as in the atmosphere (Bindoff et al., 2019), therefore, marine organisms will need to cope with higher CO<sub>2</sub> levels as well as declining seawater pH. Finally, due to a decrease in the ocean's buffering capacity as CO2 content rises, natural CO2 fluctuations in the ocean are projected to amplify dramatically at future higher CO<sub>2</sub> levels (Shaw et al., 2013; McNeil and Sasse, 2016). Natural diel (Hofmann et al., 2011; Santos et al., 2011; Shaw et al., 2012) and seasonal CO<sub>2</sub> fluctuations (McNeil et al., 2007; Feely et al., 2008) will be amplified by up to 3 times in the future (McNeil and Sasse, 2016; Gallego et al., 2018), meaning that marine organisms will experience elevated CO<sub>2</sub> levels for certain periods of time (daily or seasonally) much earlier than predictions based on atmospheric CO<sub>2</sub> alone.

Elevated  $CO_2$  has been found to affect a range of processes in marine organisms, including altering calcification (Ries *et al.*, 2009; Kroeker *et al.*, 2013), growth and survival (Fabry *et al.*, 2008; Kurihara *et al.*, 2008), and behaviour (Briffa *et al.*, 2012; Clements and Hunt, 2015; Nagelkerken and Munday, 2015). Projected future  $CO_2$  levels were first found to alter animal behaviour in orange clownfish (*Amphiprion percula*) larvae reared in a partial pressure of  $CO_2$  ( $pCO_2$ ) of ~1,050 µatm (Munday *et al.*, 2009). In laboratory experiments, the olfactory discriminatory abilities of 11-day-old clownfish larvae were tested in a two-channel flume. Most strikingly, larvae reared in control seawater (~390 µatm  $pCO_2$ ) avoided the side of the flume with chemical cues from pungent tree leaves compared to the seawater control side. However, larvae reared in elevated  $CO_2$  spent nearly all their time in the side with these odours. Larval clownfish reared in ~1,050 µatm  $CO_2$  were also unable to discriminate between the odour of parents and non-parents, whereas control larvae avoided the odour of their parents (Munday *et al.*, 2009). Elevated  $CO_2$  has since been found to affect a variety of behavioural traits in a wide spectrum of fishes, including tropical and temperate reef species, eels, salmon and sharks (Munday *et al.*, 2019). Behavioural alterations at elevated  $CO_2$  have

also been demonstrated in a variety of marine invertebrates, including cnidaria, polychaetes, echinoderms, arthropods and molluscs, from a range of environments, including the intertidal zone, coastal and offshore waters, and the deep-sea (Clements and Hunt, 2015; Nagelkerken and Munday, 2015; Wang and Wang, 2019). Marine invertebrates exhibit alterations in a range of behaviours at elevated CO<sub>2</sub>, including activity levels (Rosa and Seibel, 2008; Ellis et al., 2009; Spady et al., 2014), feeding rates (Saba et al., 2012; Vargas et al., 2014), settlement and metamorphosis behaviours (Albright et al., 2010; Doropoulos et al., 2012; Guo et al., 2015), burrowing behaviours (Green et al., 2013; Clements and Hunt, 2014), shelter selection (de la Haye et al., 2011), predatory behaviours (behaviours related to finding and eating prey) (Kim et al., 2015; Queirós et al., 2015; Spady et al., 2018) and predator avoidance (Bibby et al., 2007; Manríquez et al., 2013, 2014a; Spady et al., 2014; Watson et al., 2014). Behavioural categorisation is often ambiguous as one behaviour may actually include multiple behaviours or decision-making processes. For example, predator avoidance behaviours include multiple decisions such as mode of avoidance (including crypticity versus escape), flight-initiation distance and mode of escape (Lima and Dill, 1990). In this review, I use the behavioural category that was reported in the corresponding research paper.

Since the review by Clements and Hunt (2015) at least 61 additional papers have assessed the impact of elevated CO<sub>2</sub> on marine invertebrate behaviours (Appendix A: Table A.1). Research has continued to focus on molluscs, arthropods and echinoderms, however cnidarian settlement and metamorphosis (Foster et al., 2015; Olsen et al., 2015; Viyakarn et al., 2015; Fabricius et al., 2017; Yuan et al., 2018b), the settlement behaviour and swimming activity of a bryozoan (Pecquet et al., 2017), and settlement of an annelid (Nelson et al., 2020) have also been studied. In addition to continuing to assess the impact of elevated CO<sub>2</sub> on the range of behaviours previously studied (above), a few new behaviours have also been investigated. For example, the first study assessing the effect of elevated CO<sub>2</sub> on marine invertebrate reproductive behaviour was recently published (Borges et al., 2018). Exposure of male amphipods (Gammarus locusta) to elevated CO<sub>2</sub> (800 μatm pCO<sub>2</sub>) for two generations disrupted the chemosensory detection of potential mates (Borges et al., 2018). A light/dark test on swimming crabs (*Portunus trituberculatus*) exposed to control (485  $\mu$ atm  $pCO_2$ ) or elevated (750  $\mu$ atm and 1,500  $\mu$ atm  $pCO_2$ )  $CO_2$  was the first to assess the impact of elevated CO<sub>2</sub> on anxiety-like behaviour in a marine invertebrate. Crabs exposed to elevated CO<sub>2</sub> levels spent significantly more time in the dark zone (Ren et al., 2018).

There appears to be large variability in behavioural responses to elevated CO<sub>2</sub>, across taxonomic groups, the same behaviour can respond differently to elevated CO<sub>2</sub>, and within a species, some behaviours but not others can be affected (Nagelkerken and Munday, 2015, Appendix A: Table A.1). As the phylogenetic variation among invertebrate taxa is enormous, it may account for a large component of the variability in behavioural responses across tax-

onomic groups, some taxa may be more tolerant to elevated CO<sub>2</sub> than others. At the same time, various behaviours are likely associated with different processes, such as specific circuits in the nervous system. These processes may be affected differently by elevated CO<sub>2</sub>, accounting for the effects of elevated CO<sub>2</sub> on some, but not all, behaviours within a species. It must also be noted that variability may be due to differences in experimental techniques and conditions.

Animal behaviour is, to a large extent, the functional output of the nervous system (Simmons and Young, 1999), therefore, behavioural changes induced by elevated CO<sub>2</sub> are likely caused by neurobiological mechanisms. In the nervous system, simplistically, the pathway that produces behaviour involves sensory receptors that detect environmental stimuli (e.g. chemical cues, light waves) and internal stimuli (e.g. spatial orientation of the body). The received information is transduced into electrical impulses and neurotransmission relays these electrical impulses between neurons. Neurotransmission must be rapid to produce timely behavioural responses, and this is achieved via ligand-gated ion channel (LGIC) mediated neurotransmission (Dent, 2010). LGICs are transmembrane protein complexes that, upon binding of a specific neurotransmitter, allow ion flow which results in excitation or inhibition of neuronal firing depending on the ion charge and direction of flow (Tovar and Westbrook, 2012). When the sensory information arrives at higher centres of the nervous system, this information is processed and a behavioural output is produced (Blom, 1978; Kreher *et al.*, 2008) (Figure 2.1).

Elevated CO<sub>2</sub> could induce behavioural alterations through a range of mechanisms in the nervous system that disturb different components of the pathway that produces behaviour (Briffa *et al.*, 2012) (Figure 2.1). 1) Sensation may be disrupted via changes to sensory stimuli at elevated CO<sub>2</sub>, such as structural alteration of chemical cues, disturbed transmission of acoustic cues and altered sensory output from animals experiencing behavioural changes (Roggatz *et al.*, 2016; Nagelkerken *et al.*, 2019). 2) Alternatively, elevated CO<sub>2</sub> may disrupt sensation via physical change to sensory organs or structural alteration of sensory receptors (Maneja *et al.*, 2011; Briffa *et al.*, 2012; Bignami *et al.*, 2013). 3) Morphological and respiratory changes at elevated CO<sub>2</sub> may alter the context within which decision making is carried out, influencing behavioural responses (Bibby *et al.*, 2007; Chan *et al.*, 2011; Peng *et al.*, 2017; Rich *et al.*, 2018). 4) Elevated CO<sub>2</sub> may alter ion gradients across neuronal membranes, due to acid-base regulation to prevent acidosis at elevated CO<sub>2</sub>, which may disrupt LGIC-mediated neurotransmission via the γ-aminobutyric acid type A receptor (GABA<sub>A</sub> receptor) (Nilsson *et al.*, 2012). These mechanisms are not necessarily mutually exclusive and may interact to alter behaviour at elevated CO<sub>2</sub>.

Despite the growth in literature demonstrating elevated CO<sub>2</sub>-induced behavioural alterations in marine invertebrates, the mechanisms responsible for these behavioural alterations

are still poorly understood. Due to the diversity of invertebrate nervous and neurobiological systems, it is likely that a suite of different processes underlie these behavioural changes. Here, I discuss the potential mechanisms by which elevated CO<sub>2</sub> may alter marine invertebrate behaviour, 1) disturbed sensation, 2) altered context within which behavioural choices are made and 3) disrupted LGIC-mediated neurotransmission. Since the prominent hypothesis for altered LGIC-mediated transmission is the GABA hypothesis proposed in fish by Nilsson *et al.* (2012), here I discuss evidence for the GABA hypothesis in marine invertebrates and propose research to expand our understanding of the GABA hypothesis in marine invertebrates. I demonstrate that the effects of altered GABA<sub>A</sub> receptor function are likely to be widespread, including non-behavioural effects. Finally, I identify other neurobiological mechanisms that should be affected if the GABA hypothesis is correct, propose alternative neurobiological mechanisms by which behaviour could be altered by elevated CO<sub>2</sub> and suggest techniques to be utilised for future study of elevated CO<sub>2</sub>-induced behavioural alterations.

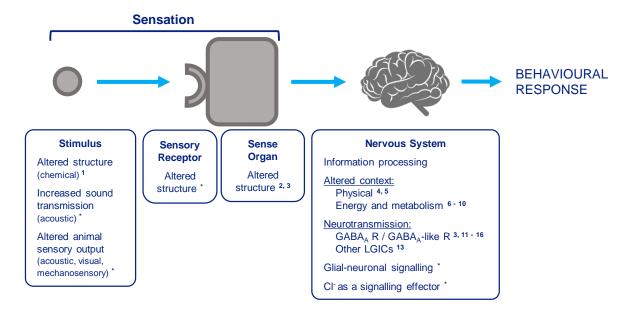


Figure 2.1. Elevated CO<sub>2</sub> could induce behavioural alterations through a range of neurobiological mechanisms. Simplistic pathway of how the nervous system produces behaviours and potential ways elevated CO<sub>2</sub> could alter marine invertebrate behaviour. An external or internal stimulus is detected by sensory receptors located on a sense organ. A physical stimulus binding to a receptor, e.g. a chemical cue binding to the corresponding receptor (chemoreception), is depicted. However, stimuli and receptors may range from photoreceptors detecting light energy to stretch receptors detecting body movement or hair cells detecting vibrations. (see next page)

Figure 2.1 (previous page). The detected sensory information is then transduced into electrical impulses that are relayed between neurons to higher centres of the nervous system. Here, the information is processed which includes using external and internal contextual factors to make behavioural choices. The information is transmitted between neurons to the motor system and a behavioural response is produced. Throughout this process, neurotransmission is used to relay the electrical impulses from neuron to neuron. Elevated CO<sub>2</sub> may alter behaviour by interfering at multiple points along this pathway. Sensation may be disrupted by changes to sensory stimuli at elevated CO<sub>2</sub> through structural change of chemical cues, increased sound transmission and altered sensory output from animals. Sensation may also be disrupted on the receiving end via altered structure of sensory receptors or physical change to sensory organs. Elevated CO<sub>2</sub> may change the context within which decision-making is carried out, thus influencing behavioural choices. The change in ion gradients across neuronal membranes, due to acid-base regulation at elevated CO<sub>2</sub>, may disrupt LGIC-mediated neurotransmission, glial-neuronal signalling and the role of Cl<sup>-</sup> as a signalling effector. Numbers represent references providing evidence for each mechanism in marine invertebrates, while a \* indicates this mechanism is based on theory with no experimental evidence in marine invertebrates. 1 Roggatz et al. (2016), 2 Maneja et al. (2011), 3 de la Haye et al. (2012), 4 Bibby et al. (2007), 5 Chan et al. (2011), 6 Dissanayake and Ishimatsu (2011), 7 Li and Gao (2012), 8 Peng et al. (2017), 9 Wang et al. (2018b), 10 Rich et al. (2018), 11 Watson et al. (2014), 12 Charpentier and Cohen (2016), 13 Moya et al. (2016), 14 Clements et al. (2017), 15 Ren et al. (2018), 16 Zlatkin and Heuer (2019).

# 2.3 Mechanisms for elevated CO<sub>2</sub>-induced behavioural changes

## 2.3.1 Altered sensory stimuli at elevated CO<sub>2</sub>

Elevated CO<sub>2</sub> may influence behaviour by altering an animal's ability to sense the environment (Briffa *et al.*, 2012; Draper and Weissburg, 2019). A range of sensory stimuli may be disrupted at elevated CO<sub>2</sub> via differing mechanisms, thereby affecting associated behaviours (Figure 2.1). Structural alteration of chemical cues at elevated CO<sub>2</sub> may affect chemoreception; the detection of chemical cues by binding to sensory receptors, e.g. odour molecules binding to olfactory receptors (Tierney and Atema, 1988). Impaired chemo-responsive behaviour was first shown to be due to structural alteration of the chemical cue at low pH in a freshwater system (Brown *et al.*, 2002) and the same mechanism has since been demonstrated in a marine invertebrate, the shore crab *Carcinus maenas*. Near-future pH levels altered the structure and charge of signalling molecules that mediate egg ventilation behaviour in the shore crab *C. maenas* and the threshold of signalling molecule concentration required to induce egg ventilation behaviour in this species increased when tested at pH 7.7 compared to pH 8.1 (Roggatz *et al.*, 2016). Receptor alteration, such as change in ionization state, could

also conceivably occur at low pH disrupting chemoreception (Tierney and Atema, 1988), and may be an additional explanation for behavioural changes observed in the shore crab (Roggatz *et al.*, 2016). However, to date receptor structure has never been directly tested at different CO<sub>2</sub> levels in conjunction with a behavioural assay.

Changes in ocean chemistry associated with rising CO<sub>2</sub> levels will directly affect acoustic cues, potentially altering auditory driven behaviours. Sound absorption, in the low frequency range of  $\sim 0.01 - 10$  kHz, is reduced by decreasing pH due to shifts in the chemical reactions of sound absorbing compounds (e.g. magnesium sulphate, boric acid and carbonate ions) in seawater (Hester et al., 2008). Sound absorption (decibels per kilometre) is predicted to decrease by over 20% and almost 40% with a pH drop from 8.1 to 7.95 and 7.8, respectively (Hester et al., 2008). Thus, as CO<sub>2</sub> levels rise, transmission of low-frequency sounds will increase and ecologically relevant acoustic cues, within this affected frequency range, will be transmitted further at elevated CO<sub>2</sub> levels. For example, compared to off reef-locations, oyster reefs have higher acoustic energy levels within the frequency range of 1.5 - 20 kHz. This acoustic signature of reefs is used as a settlement cue by larval oysters (Lillis et al., 2013). As CO2 levels rise, oyster larvae may thus be able to detect appropriate settlement habitats from greater distances, however it remains unknown whether the magnitude of change is sufficient to be of biological relevance. Elevated CO<sub>2</sub> will also increase the transmission of abiotic sounds produced naturally (e.g. waves, raindrops) and by human activity (e.g. shipping, sonar and construction) (Ilyina et al., 2010). This will create a noisier environment in which it is harder for marine invertebrates to detect ecologically relevant sounds, such as those used for communication (Popper et al., 2001; Buscaino et al., 2011) as well as navigation and habitat selection for settlement (Jeffs et al., 2003; Stanley et al., 2009; Vermeij et al., 2010; Lillis et al., 2013). In a coral reef fish, predatory behaviour decreased when exposed to boat noise or elevated CO<sub>2</sub> (925 µatm pCO<sub>2</sub>), however there was no additive effect when these stressors co-occurred (McCormick et al., 2018). Studies in marine invertebrates to determine how increased transmission of biologically relevant cues and background noise will interact as CO<sub>2</sub> levels rise, and if this will be biologically relevant will be important.

Behavioural changes induced by elevated CO<sub>2</sub> may alter the sensory output of an animal, affecting whether and how this animal is sensed by other animals (Draper and Weissburg, 2019). For example, increased activity of a prey animal could enhance how much or how often sound, visual and mechanosensory cues are produced, strengthening predatory sensory detection of the prey and increasing the chance of predation (Draper and Weissburg, 2019). Elevated CO<sub>2</sub> reduced the intensity and frequency of snaps produced by snapping shrimp (Rossi *et al.*, 2016). As these snaps are commonly present in the soundscapes used by marine invertebrate larvae as settlement cues (Stanley *et al.*, 2009; Vermeij *et al.*, 2010; Lillis *et al.*, 2013), altered snapping behaviour of snapping shrimp at elevated CO<sub>2</sub> may in turn

alter marine invertebrate settlement behaviour.

## 2.3.2 Physical changes of sensory organs

Sensation may also be disrupted by physical change of sensory organs at elevated CO<sub>2</sub>. Due to lower saturation states of seawater with respect to calcium carbonate at elevated CO<sub>2</sub>, animals can have difficulty maintaining calcium carbonate structures (Orr et al., 2005) which may damage sensory organ structures (Briffa et al., 2012). Alternatively, active acid-base regulation to maintain a steady internal pH may alter the concentrations of ions that are fundamental for the formation of calcified sensory organs (Grosell, 2019). For example, many marine invertebrates use statocysts, which contain mineralised statoliths, to detect gravity to maintain orientation (Cohen, 1960; Clarke, 1978; Spangenberg, 1986), as well as vibrational stimuli for hearing in cephalopods (Mooney et al., 2010). Statocysts are also involved in motor programs that underlie hunting behaviour in molluscs (Levi et al., 2004). Statolith size was reduced and morphology altered in cephalopods exposed to ~1,300 μatm (Zakroff et al., 2019), 2,200 μatm (Kaplan et al., 2013) and 4,000 μatm (Maneja et al., 2011) pCO<sub>2</sub>. Abalone exposed to  $\sim 700$  and  $\sim 1,000$  µatm  $pCO_2$  also exhibited decreased statolith size compared to control conditions (Manríquez et al., 2014b). Conversely, statolith size was increased, and chemical composition altered, in squid exposed to 850 and 1,500 µatm pCO<sub>2</sub> (Lacoue-Labarthe et al., 2011). Cuttlefish exposed to 4,000  $\mu$ atm pCO<sub>2</sub> exhibited reduced statolith calcification, altered statolith microstructure and decreased prey capture efficiency compared to squid in control conditions (700 µatm pCO<sub>2</sub>) (Maneja et al., 2011). Furthermore, computer modelling showed that an increased statolith mass, similar to that seen in the otoliths of fish exposed to 2,500 µatm pCO<sub>2</sub>, would alter cephalopod hearing below 10 Hz (Zhang et al., 2015). However, squid exposed to  $\sim 1,300 \mu atm pCO_2$  had smaller statoliths with an altered morphology (Zakroff et al., 2019) but no impairment in swimming orientation (Zakroff et al., 2018). Therefore, elevated CO<sub>2</sub>-induced alteration of statoliths may disturb hearing but not gravity detection in cephalopods, impacting auditory-driven behavioural outputs but not the ability to maintain orientation.

Decapod crustaceans possess calcified antennules, housing chemoreceptors, which are used for long range chemoreception. Rapid antennule flicking is used to gather chemical cue information, much in the way sniffing increases our ability to determine smells (Schmitt and Ache, 1979; Koehl, 2005). Hermit crabs with disrupted chemo-sensory responses at extremely high levels of  $CO_2$  (c. >12,000+  $\mu$ atm  $pCO_2$ ) showed no damage to their antennules (de la Haye *et al.*, 2012), suggesting that other mechanisms must be responsible for the observed response.

## 2.3.3 Altered behavioural choices

The physiological and ecological context, including external factors (e.g. presence of predators or temperature) and internal factors (e.g. hunger or reproductive state) can influence behavioural choices (Palmer and Kristan Jr, 2011). Elevated CO<sub>2</sub> may alter both external and internal factors, changing contextual modulation of behavioural choice and resulting in altered behavioural output. Physical changes induced by elevated CO<sub>2</sub> may alter an animal's behavioural choice. For example, predator-induced shell thickening observed in control periwinkles (Littorina littorea) did not occur in periwinkles exposed to extremely high levels of CO<sub>2</sub> (c. >12,000+ μatm). However, predator avoidance behaviour increased at elevated CO<sub>2</sub> conditions, compared to control, which suggests behavioural compensation for the lack of morphological defence at extremely high levels of CO<sub>2</sub> (Bibby et al., 2007). In another example, the swimming performance of larval sand dollars (Dendraster excentricus) was maintained at elevated CO<sub>2</sub> (~1,000 µatm pCO<sub>2</sub>) despite impaired arm and body morphology, likely due to a behavioural change in ciliary beat patterns (Chan et al., 2011). By contrast, both predator cue-induced byssal thread production and protective clustering behaviour was decreased in mussels exposed to elevated CO<sub>2</sub> (1,100 μatm pCO<sub>2</sub>) (Kong et al., 2019), indicating no behavioural compensation for the lack of morphological defence at elevated  $CO_2$ .

The influence of elevated CO<sub>2</sub> on respiration, energy turnover and mode of metabolism (Pörtner et al., 2004) may also alter behavioural choice. Depressed metabolism at elevated CO<sub>2</sub> levels may reduce energy production, reducing the energy available to meet other demands and constraining performance of some behaviours. For example, metabolic scope and swimming ability were reduced in shrimp exposed to  $\sim 1,000 \mu atm pCO_2$  (Dissanayake and Ishimatsu, 2011) and oxygen consumption rate and digging depth were decreased in razor clams exposed to 1,900 and 3,000  $\mu$ atm  $pCO_2$  (Peng et al., 2017). Increased metabolism can indicate an increased energy demand at elevated CO2 and may decrease the energy available for other costly processes. For example, crabs exposed to 1,200 and 2,300  $\mu$ atm pCO<sub>2</sub> exhibited an increased metabolic rate but a decreased feeding rate (Wang et al., 2018a). Alternatively, organisms may alter behaviours to meet the high energy demand. For example, respiration and feeding rate were increased in a copepod exposed to 1,000 µatm pCO<sub>2</sub> (Li and Gao, 2012) and a sea urchin exposed to 1,300 µatm pCO<sub>2</sub> (Rich et al., 2018). However, other studies show a change in metabolism with no associated behavioural change at 750 and 1,200  $\mu$ atm  $pCO_2$  in an echinoderm (Carey et al., 2016) and at 1,500  $\mu$ atm  $pCO_2$  in a mollusc (Benítez et al., 2018), or no metabolic change but altered behaviour at 960 µatm pCO<sub>2</sub> in a mollusc (Watson et al., 2014) and at 1,000, 2,000 and 3,000 µatm pCO<sub>2</sub> in a crustacean (Menu-Courey et al., 2018). Therefore, it seems that altered metabolism in elevated CO<sub>2</sub>

may be responsible for some instances of altered behaviours, but not others.

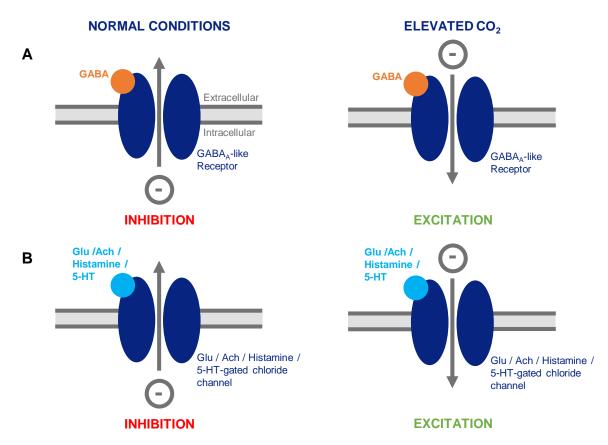
## 2.3.4 Altered functioning of the GABA<sub>A</sub> receptor

Elevated CO<sub>2</sub> has been found to alter a range of behaviours across different sensory modalities, as well as behaviours that involve higher order processing, such as decision making (de la Haye *et al.*, 2011) and anxiety-like behaviours (Ren *et al.*, 2018). This suggests that not only sensory detection, but also other neuronal processes are altered by elevated CO<sub>2</sub>. Neurotransmission is crucial to all components of the pathway producing behaviour, from relaying electrical signals from sensory receptors to (and between) higher-order neurons for information processing, to motor neurons for the production of a behavioural response. Therefore, altered neurotransmission at elevated CO<sub>2</sub> may underlie a variety of behavioural disturbances.

The prominent hypothesis for altered neurotransmission at elevated CO<sub>2</sub> is the GABA hypothesis, proposed to occur in fish (Nilsson et al., 2012; Heuer and Grosell, 2014) and also suggested to apply to marine invertebrates (Watson et al., 2014). In vertebrates, γaminobutyric acid (GABA) acts on the GABA type A receptor (GABA<sub>A</sub> receptor), a ligandgated ion channel permeable to chloride (Cl<sup>-</sup>) and bicarbonate (HCO<sub>3</sub><sup>-</sup>) ions, as the main inhibitory neurotransmitter in the central nervous system (DeFeudis, 1975; Bormann et al., 1987). Under normal conditions, binding of GABA opens the GABA<sub>A</sub> receptor channel allowing a net influx of negative charge resulting in hyperpolarization and inhibition of neuronal firing. Nilsson et al. (2012) proposed that the change in HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> gradients across the neuronal membrane, due to acid-base regulation at increased CO2 levels, results in a net efflux of negative charge from the GABA<sub>A</sub> receptor upon GABA binding (Figure 2.2A). This could cause depolarisation and excitation of neurons, thereby altering behavioural responses. Pharmacological studies administering GABA<sub>A</sub> receptor antagonists and agonists (Chivers et al., 2014; Chung et al., 2014; Hamilton et al., 2013; Nilsson et al., 2012), and measurements of brain ion gradients (Heuer et al., 2016) have supported this hypothesis in fish.

## 2.4 The GABA hypothesis in marine invertebrates

GABA is the main inhibitory neurotransmitter in the invertebrate peripheral and central nervous systems (Lummis, 1990; Lunt, 1991), acting on the ionotropic GABA receptor (GABA<sub>A</sub>-like receptor), which is also permeable to Cl<sup>-</sup> and HCO<sub>3</sub><sup>-</sup> ions (Kaila and Voipio, 1987). Thus, the GABA hypothesis likely applies to marine invertebrates. The GABA hypothesis has been tested in marine arthropods and molluscs using pharmacological studies (Watson *et al.*, 2014; Charpentier and Cohen, 2016; Clements *et al.*, 2017), measurement of ion concentrations



**Figure 2.2. Potential reversal in function of varying LGICs at elevated CO<sub>2</sub> in marine invertebrates.** A change in HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> gradients across the neuronal membrane, due to acid-base regulation at elevated CO<sub>2</sub>, was proposed to reverse the net flow of negative charge through the GABA<sub>A</sub> receptor (Nilsson *et al.*, 2012) and likely applies to the marine invertebrate GABA<sub>A</sub>-like receptor. Under normal conditions the net influx of negative charge is primarily carried by Cl<sup>-</sup>, while at elevated CO<sub>2</sub> the net efflux of negative charge may be primarily carried by HCO<sub>3</sub><sup>-</sup> (see Heuer *et al.* (2019) for a detailed explanation). **B** A range of invertebrates also possess glutamate, acetylcholine, histamine and serotonin-gated chloride channels. The flow of Cl<sup>-</sup> through these channels may be similarly altered at elevated levels of CO<sub>2</sub>. Research is needed to determine whether these receptors are also permeable to HCO<sub>3</sub><sup>-</sup> which could also contribute to the reversal of the net movement of negative charge. A net influx of negative charge will result in hyperpolarisation and inhibition of neuronal firing, while a net efflux of negative charge will cause depolarisation and excitation. Glu = glutamate, ACh = acetylcholine, 5-HT = serotonin.

(de la Haye et al., 2012; Charpentier and Cohen, 2016) and molecular studies (Moya et al., 2016; Ren et al., 2018) (Table 2.1).

One method of assessing the GABA hypothesis involves administering the GABA<sub>A</sub> receptor antagonist gabazine (SR-95531) (Heaulme *et al.*, 1986). If GABA<sub>A</sub> receptor functioning is altered at elevated CO<sub>2</sub>, gabazine administration should reverse elevated CO<sub>2</sub>-induced behavioural alterations by inhibiting channel opening and thus blocking the altered ion flow

(Nilsson *et al.*, 2012). Indeed, impaired escape behaviour caused by exposure to 961 µatm  $pCO_2$  was restored to control levels by gabazine in the jumping conch snail (*Gibberulus gibbosus*) (Watson *et al.*, 2014). In the soft shell clam (*Mya arenaria*), burrowing behaviours altered by  $CO_2$  sediment levels representing present day variation were restored by gabazine (Clements *et al.*, 2017). By contrast, in Asian shore crab larvae (*Hemigrapsus sanguineus*), the loss of chemical cue-induced photosensitive behaviour at elevated  $CO_2$  conditions (1,380 µatm  $pCO_2$ ) was not restored by gabazine (Charpentier and Cohen, 2016). These contrasting results initially appear to suggest that the GABA hypothesis applies to some, but not other, marine invertebrate taxa. However, crustacean GABA<sub>A</sub>-like receptors are commonly insensitive to gabazine (El Manira and Clarac, 1991; Jackel *et al.*, 1994; Pearstein *et al.*, 1996; Barry, 2002), meaning that gabazine may be inadequate for testing the GABA hypothesis in crustaceans.

It is interesting to note that the action of gabazine differed across control animals; gabazine significantly altered the behaviour of control crab larvae (Charpentier and Cohen, 2016), had a non-significant trend of altering the behaviour of control snails (Watson *et al.*, 2014), and did not alter control clam burrowing behaviour (Clements *et al.*, 2017). As gabazine also blocks ion flow under normal conditions, preventing inhibition, over-excitation and behavioural alterations should occur in control animals. As gabazine does not appear to affect crustacean GABA<sub>A</sub>-like receptors, the behavioural change observed in crabs held at control CO<sub>2</sub> levels may be through the action of gabazine on a different pathway, such as a different receptor type. Characterising the pharmacology of gabazine in the studied species and using a range of GABA<sub>A</sub> receptor drugs will be important to confirm the GABA hypothesis is actually being tested (see Pharmacological considerations).

Mechanistic support for the GABA hypothesis in marine invertebrates also comes from recent studies indicating changes in ion concentration and altered behaviour in the same species at elevated  $CO_2$  (Table 2.1). Hermit crabs (*Pagurus bernhardus*) exhibited impaired chemosensory responses to a food odour and increased haemolymph  $CI^-$  concentration ([ $CI^-$ ]) at extremely high (12,061 µatm  $pCO_2$ ) compared to control (373 µatm  $pCO_2$ ) conditions (de la Haye *et al.*, 2012). Asian shore crab larvae had altered chemical cue-induced photosensitive behaviour and increased extracellular osmolality, but similar extracellular [ $CI^-$ ] at elevated  $CO_2$  (1,380 µatm  $pCO_2$ ) compared to controls (461 µatm  $pCO_2$ ) (Charpentier and Cohen, 2016). However, the [ $CI^-$ ] measurements were very close to the limit of detection, which may be why no difference was observed. The increased extracellular osmolality was suggested to be due to an increase in  $HCO_3^-$  concentration ([ $HCO_3^-$ ]), however [ $HCO_3^-$ ] was not directly measured. In a more recent study, the California sea hare (*Aplysia californica*) exposed to elevated  $CO_2$  (1,200 and 3,000 µatm  $pCO_2$ ) showed a reduced antipredator response and increased haemolymph [ $HCO_3^-$ ] compared to control (400 µatm  $pCO_2$ ) (Zlatkin

and Heuer, 2019). Together, these studies support the hypothesis of altered [HCO $_3$ -] and [Cl-] underlying altered GABA $_A$ -like receptor function and behavioural change at elevated CO $_2$ .

Table 2.1. Summary of publications that have mechanistically tested whether LGIC-mediated neurotransmission is altered in marine invertebrates at elevated  ${\rm CO}_2$ .

Species and life stage	<i>p</i> CO <sub>2</sub> (µatm) and exposure time	CO <sub>2</sub> Behavioural Effect	Mechanistic test	Outcome of mechanistic test	Reference
Pharmacological studies					
Hemigrapsus sanguineus Asian shore crab (Third stage larvae)	Control: 461 Treatment: 1,380 12 hours	Lost predator chemical-cue induced photosensitive behaviour	Gabazine (0.1, 1, 10 μM for 1 - 3 hours)	Control: 1 and 10 μM gabazine loss of chemical cue-induced photosensitive behaviour. 0.1 μM gabazine no effect. CO <sub>2</sub> Treatment: 10 μM gabazine no effect.	Charpentier and Cohen (2016)
Gibberulus gibberulus gibbosus Jumping conch snail (Adult)	Control: 405 Treatment: 961 5-7 days	Reduced jumping response to a predator	Gabazine (4 mg/L for 30 minutes)	Control: Gabazine had a non-significant trend of decreasing number of jumps.  CO <sub>2</sub> Treatment: Gabazine restored number of jumps to control levels.	Watson <i>et al.</i> (2014)
Mya arenaria Soft shell clam (Juvenile)	Control: 1,480 Treatment: 9,532 (in sediment porewater) Clams placed on sediment surface and allowed to burrow for 20 minutes.	Decreased proportion of clams burrowing	Gabazine (5 mg/L for 30 minutes)	Control: No effect CO <sub>2</sub> Treatment: Gabazine increased proportion of clams burrowing to control levels	Clements et al. (2017)
Studies measuring ion con	centration				
Hemigrapsus sanguineus Asian shore crab (Third stage larvae for [Cl <sup>-</sup> ], stage 1 larvae for osmolality)	Control: 461 Treatment: 1,380 12 hours	Lost predator chemical cue-induced photosensitive behaviour	Extracellular [Cl <sup>-</sup> ]  Extracellular osmolality	No difference between control and treatment Increased at treatment compared to control	Charpentier and Cohen (2016)

Table 2.1 continued.

Species and life stage	<i>p</i> CO <sub>2</sub> (μatm) and exposure time	CO <sub>2</sub> Behavioural Effect	Mechanistic test	Outcome of mechanistic test	Reference
Pagurus bernhardus Hermit crab (Life stage not stated)	Control: 373 Treatment: 12,061 5 days	Decreased antennular flicking rates, longer to locate odour and less time in contact with odour	Haemolymph [Cl <sup>-</sup> ]	Increased at treatment compared to control	de la Haye <i>et al.</i> (2012)
Aplysia californica California sea hare (Adult)	Control: 400 Treatment: 1,200 and 3,000 4 – 11 days	No effect on self-righting behaviour, decreased time of tail withdrawal reflex	Haemolymph [HCO <sub>3</sub> -]	Increased in both treatments compared to control	Zlatkin and Heuer (2019)
Molecular studies					
Heliconoides inflatus Mediterranean pteropod (Life stage not stated)	Control: 382 and 410 Treatment: 617 and 720 3 days	Not tested	Whole body transcriptomic analysis	Upregulated transcripts at elevated CO <sub>2</sub> :  - 1 GABA <sub>A</sub> receptor subunit  - 1 Glycine receptor subunit  - 14 transcripts of acetylcholine receptor subunits (1 specified as nicotinic)  - 1 Glutamate receptor subunit  - 1 Glutamate transporter  - 1 Voltage-gated potassium channel  Downregulated transcripts at elevated CO <sub>2</sub> :  - 1 Voltage-dependent calcium channel subunit	Moya et al. (2016)
Portunus trituberculatus Swimming crab (Phase I juvenile)	Control: 485 Treatment: 750 and 1,500 0, 3, 6, 12, 24, 48 and 72 hours	Shoal average speed significantly higher compared to control:  - 3 and 6 hours (750 μatm pCO <sub>2</sub> )  - 6 and 12 hours (1,500 μatm pCO <sub>2</sub> )	Real-time PCR of the gene encoding the GABA <sub>A</sub> receptor associated-protein from whole crabs	mRNA levels upregulated compared to control: - 6 hours (750 μatm <i>p</i> CO <sub>2</sub> ) - 3 hours (1,500 μatm <i>p</i> CO <sub>2</sub> )	Ren <i>et al.</i> (2018)

Molecular studies also provide support for the GABA hypothesis in marine invertebrates (Table 2.1). Transcriptomic analysis of the Mediterranean pteropod (*Heliconoides inflatus*) exposed to elevated  $CO_2$  (617 – 720  $\mu$ atm  $pCO_2$ ) for three days showed upregulation of the transcript encoding a GABA<sub>A</sub> receptor subunit (Moya *et al.*, 2016). However, RNA was extracted from the whole animal, potentially masking differential expression within the nervous system, and behavioural assays were not carried out. Ren *et al.* (2018) isolated the gene encoding the GABA<sub>A</sub> receptor associated-protein (GABARAP) in a crab (*Portunus trituberculatus*). Real-time PCR found that GABARAP mRNA levels were significantly upregulated by 4.34 fold after six hours at 750  $\mu$ atm  $pCO_2$  and by 2.89 fold after three hours at 1,500  $\mu$ atm  $pCO_2$ , compared to control (485  $\mu$ atm  $pCO_2$ ). Showing a similar trend, average speed of the crab shoal's movement was significantly higher after three and six hours at 750  $\mu$ atm  $pCO_2$ , and six and 12 hours at 1,500  $\mu$ atm  $pCO_2$ , compared to control. The increase in the GABARAP gene was suggested to assist more GABA<sub>A</sub> receptors to cluster on neuronal membranes, which may exaggerate the impaired function of GABA<sub>A</sub> receptors at elevated  $CO_2$  and lead to the altered behaviour (Ren *et al.*, 2018).

## 2.4.1 GABA<sub>A</sub>-like receptor subtypes and variability in elevated CO<sub>2</sub>-induced behavioural alterations

Inter- and intra-species variation in the behavioural effects of elevated CO<sub>2</sub> may be due to the presence and variability of GABA<sub>A</sub>-like receptor subtypes in invertebrates. The ion permeable pore of GABA<sub>A</sub>-like receptors is made up of 5 subunits (Olsen and Sieghart, 2008). There is large variation in gene structure and the number of genes encoding GABA<sub>A</sub>-like receptor subunits between invertebrate species. For example, 5 GABA R-like genes have been found in the sea-squirt *Ciona intestinalis*, 12 in the fruitfly *Drosophila melanogaster* and 39 in the roundworm *Caenorhabditis elegans* (Tsang *et al.*, 2007). Differing subunit composition forms GABA<sub>A</sub>-like receptor subtypes (Olsen and Sieghart, 2008) which vary in a range of functional properties including GABA binding affinity, and Cl<sup>-</sup> and HCO<sub>3</sub><sup>-</sup> permeability (Lee and Maguire, 2014). Differences in GABA<sub>A</sub>-like receptor subunits may account for the variability in behavioural alterations at elevated CO<sub>2</sub> observed between invertebrate species. Furthermore, subunit composition can vary between regions in the nervous system and cell types (Lee and Maguire, 2014). As different behaviours are driven by different nervous system regions, this may explain why some behaviours, but not others, are disrupted by elevated CO<sub>2</sub> within a species.

## 2.4.2 Pharmacological considerations

Studies using gabazine have provided a useful starting point to understand the mechanisms underlying behavioural alterations at elevated CO<sub>2</sub> in marine invertebrates. However, the pharmacological profile of invertebrate GABA<sub>A</sub>-like receptors differs from that of vertebrate GABA<sub>A</sub> receptors (Rauh et al., 1990), and have not been characterized as extensively as in vertebrates. The majority of invertebrate research has been in non-marine invertebrates, with invertebrate GABA<sub>A</sub>-like receptor pharmacology being best studied in insects due to their potential target for insecticides (Hosie et al., 1995; Bloomquist, 2003). Gabazine inhibits a cloned planthopper GABA<sub>A</sub>-like receptor subunit expressed in a cell line (Narusuye et al., 2007) and two cloned fruit fly GABA<sub>A</sub>-like receptor subunits expressed in *Xaenopus laevis* oocytes (Hosie and Sattelle, 1996). Gabazine also inhibits GABA<sub>A</sub>-like receptors in native neurons of locusts (Janssen et al., 2010) and moths (Satoh et al., 2005), but not in cockroaches (Aydar and Beadle, 1999). The few studies in other diverse invertebrates are conflicting, gabazine inhibits GABA<sub>A</sub>-like receptors in a freshwater hydrozoan (Concas et al., 1998), only weakly antagonizes GABA responses in a terrestrial nematode (Duittoz and Martin, 1991) and has no effect on crustaceans, including a freshwater crayfish (El Manira and Clarac, 1991; Pearstein et al., 1996) and a marine lobster (Jackel et al., 1994). These nonmarine examples are more taxonomically relevant to marine invertebrates than comparisons with evolutionarily divergent marine vertebrate taxa. They indicate the wide variability in invertebrate GABA<sub>A</sub>-like receptor responses to gabazine. As GABA<sub>A</sub>-like receptor pharmacology can differ by subunit composition (Lee and Maguire, 2014; Sieghart, 2015) and there is large variation in subunit genes between invertebrates (Tsang et al., 2007) it will be useful to characterize the pharmacology of gabazine on GABA<sub>A</sub>-like receptors in the studied marine invertebrate species.

It is also important to note that antagonists are commonly not completely specific. For example, in insects gabazine partially, and bicuculline (a GABA<sub>A</sub> receptor antagonist) fully, inhibits locust nicotinic acetylcholine receptors (Jackson *et al.*, 2002), and the GABA<sub>A</sub> receptor antagonist bicuculline inhibits and the GABA<sub>A</sub> receptor agonist muscimol activates a model of insect GABA-gated cation channels (Gisselmann *et al.*, 2004). Less research has studied the off-target effects of GABA drugs in marine invertebrates, though bicuculline and picrotoxin both inhibit acetylcholine-gated chloride channels in the California sea hare (*Aplysia californica*) (Yarowsky and Carpenter, 1978a). To ensure the low affinity, alternative effects of drugs do not occur, careful consideration of concentration administered must be made. Using a range of GABA<sub>A</sub> receptor drugs with differing side effects will provide further evidence for or against the role of GABA<sub>A</sub>-like receptors in behavioural alterations at elevated CO<sub>2</sub>.

# 2.4.3 Marine invertebrate acid-base regulatory mechanisms and the GABA hypothesis

Acid-base regulatory mechanisms in marine invertebrates indicate that extra- and intracellular [HCO<sub>3</sub>-] and [Cl-] will alter at elevated CO<sub>2</sub>, providing theoretical support for the GABA hypothesis. Such mechanisms have best been studied in crustaceans (see reviews by Henry and Wheatly (1992); Wheatly and Henry (1992)). The primary mechanism to maintain extracellular pH (pH<sub>e</sub>) is via ion exchange with the external water environment (Cameron, 1985; Wheatly and Henry, 1992; Pörtner et al., 1998), including HCO<sub>3</sub>- influx in exchange for Cl<sup>-</sup> efflux (Truchot, 1983; Wheatly and Henry, 1992). Indeed, in many marine invertebrates [HCO<sub>3</sub>-]<sub>e</sub> in the blood/haemolymph increases upon exposure to elevated seawater CO<sub>2</sub>. Exposure to pCO<sub>2</sub> of 15 and 30 mm Hg ( $\sim$ 20,000 and 40,000  $\mu$ atm pCO<sub>2</sub>) increased haemolymph [HCO<sub>3</sub>-] and decreased haemolymph [Cl-] compared to control conditions in the blue crab (Callinectes sapidus) (Cameron and Iwama, 1987). At a pCO2 of 45 mm Hg ( $\sim 60,000 \, \mu atm \, pCO_2$ ) in the same species, haemolymph [HCO<sub>3</sub>-] also increased, however haemolymph [Cl<sup>-</sup>] increased (Cameron and Iwama, 1987), and 12,061 μatm pCO<sub>2</sub> increased haemolymph [Cl<sup>-</sup>] compared to control (373 µatm pCO<sub>2</sub>) in a hermit crab (Pagurus bernhardus) (de la Haye et al., 2012). In palemonid shrimps, exposure to 0.3 kPa CO<sub>2</sub> (~3,000 μatm pCO<sub>2</sub>) decreased haemolymph [Cl<sup>-</sup>] in the high shore Palaemonidae elegans, but increased haemolymph [Cl<sup>-</sup>] in the subtidal *Palaemonidae serratus* (Dissanayake *et al.*, 2010). Thus, changes in both [HCO<sub>3</sub>-]<sub>e</sub> and [Cl-]<sub>e</sub> at elevated CO<sub>2</sub> support the role of compensatory acid-base regulation as a key part of the GABA hypothesis, although studies at CO<sub>2</sub> levels more relevant to future scenarios, such as  $\sim 1,000$  µatm CO<sub>2</sub>, would be valuable.

Intracellular pH (pH<sub>i</sub>) is also regulated via ion exchange (Walsh and Milligan, 1989), including Na<sup>+</sup> dependent Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchange (Roos and Boron, 1981), as seen in muscle fibres of the sipunculid worm (Pörtner *et al.*, 2000), crayfish (Galler and Moser, 1986) and barnacle (Boron, 1977; Boron *et al.*, 1981), as well as in crayfish neurons (Moody Jr, 1981) and the squid giant axon (Russell and Boron, 1976; Boron and Russell, 1983). This suggests that  $[HCO_3^-]_i$  increases and  $[Cl^-]_i$  decreases in order to maintain pH<sub>i</sub>. To date, neural  $[HCO_3^-]_i$  has not been measured in a marine invertebrate exposed to elevated  $CO_2$ . However, in a sipunculid worm (*Sipunculus nudus*) muscle  $[HCO_3^-]_i$  significantly increased over 96 hours in 1%  $CO_2$  (~10,000 µatm  $pCO_2$ ) in air (Pörtner *et al.*, 1998). A net efflux of  $Cl^-$  is observed from the squid giant axon at a pH<sub>i</sub> of 6.5 reached by intracellular acid administration (Boron and Russell, 1983), and in crayfish isolated abdominal ganglia the resting  $[Cl^-]_i$  (35 mM) decreased by 3 – 5 mM when exposed to Ringer's solution equilibrated with 5%  $CO_2$  (~10,000 µatm  $pCO_2$ ) (Moody Jr, 1981). Therefore, changes in  $[HCO_3^-]_i$  and  $[Cl^-]_i$  occur in marine invertebrates exposed to extremely high levels of  $CO_2$ . Again, studies using  $CO_2$  levels more

relevant to future scenarios will be important to understand the theory underlying the GABA hypothesis.

It is unknown whether the above changes in [Cl<sup>-</sup>]<sub>i/e</sub> and [HCO<sub>3</sub><sup>-</sup>]<sub>i/e</sub> are sufficient to alter GABA<sub>A</sub>-like receptor functioning. For elevated CO<sub>2</sub> to disrupt GABA functioning, it is not simply altered [HCO<sub>3</sub><sup>-</sup>] and [Cl<sup>-</sup>], but a difference in ion gradients across neuronal membranes that will alter ion flow through the GABA<sub>A</sub>-like receptor, i.e. [Cl<sup>-</sup>]<sub>i</sub> and [HCO<sub>3</sub><sup>-</sup>]<sub>i</sub> within neurons must change by a different amount to [Cl<sup>-</sup>]<sub>e</sub> and [HCO<sub>3</sub><sup>-</sup>]<sub>e</sub> present in the fluid bathing the neurons (Nilsson and Lefevre, 2016). A useful way to determine whether the changes in [HCO<sub>3</sub><sup>-</sup>] and [Cl<sup>-</sup>] can alter ion flow through the GABA<sub>A</sub>-like receptor is by determining the GABA reversal potential (E<sub>GABA</sub>) and comparing it to the neuronal resting membrane potential (see Heuer *et al.* (2019); Tresguerres and Hamilton (2017) for a detailed explanation). This approach has previously been employed to demonstrate that altered [Cl<sup>-</sup>] and [HCO<sub>3</sub><sup>-</sup>] at elevated CO<sub>2</sub> could change GABA<sub>A</sub> receptor function in the spiny damselfish (*Acanthochromis polyacanthus*) (Heuer *et al.*, 2016).

Calculating E<sub>GABA</sub> requires knowledge of the HCO<sub>3</sub><sup>-</sup>/Cl<sup>-</sup> permeability ratio of the GABA<sub>A</sub>like receptor, and [HCO<sub>3</sub>-]<sub>i</sub>, [Cl-]<sub>i</sub>, [HCO<sub>3</sub>-]<sub>e</sub> and [Cl-]<sub>e</sub> at both control and elevated CO<sub>2</sub> conditions. The  $HCO_3^-/Cl^-$  permeability ratio is estimated to be between 0.2 - 0.6 in crayfish muscle fibres (Kaila and Voipio, 1987; Kaila et al., 1989; Farrant and Kaila, 2007), indicating that the GABA<sub>A</sub>-like receptor is more permeable to Cl<sup>-</sup> than it is to HCO<sub>3</sub><sup>-</sup>. However, this permeability ratio is unknown for other invertebrates. It is important to ensure ion concentration measurements are taken in the correct fluids. In most marine invertebrates no blood brain barrier is present (Cserr and Bundgaard, 1984) and measuring extracellular ion concentration in the haemolymph may be adequate. However, structural organisation of nervous tissue may provide some regulation of the neuronal microenvironment (Cserr and Bundgaard, 1984). Cephalopods have a blood brain barrier separating the blood from the brain (Cserr and Bundgaard, 1984) and extracellular measurements should be made in the brain interstitial fluid that bathes the neurons. It is also vital intracellular measurements are done on neuronal cytoplasm, as pH<sub>i</sub> regulatory mechanisms can differ between different cell types (Wheatly and Henry, 1992). Studies measuring these parameters and calculating E<sub>GABA</sub> in a marine invertebrate exposed to elevated CO<sub>2</sub> will be useful to understand if altered [Cl<sup>-</sup>] and [HCO<sub>3</sub>-] could change GABA<sub>A</sub>-like receptor function.

## 2.4.4 The effects of altered GABA<sub>A</sub>-like receptor functioning

Altered GABA<sub>A</sub>-like receptor functioning is likely to disrupt behaviours due to the role of GABA<sub>A</sub>-like receptor-mediated neurotransmission in invertebrate sensation and a range of behavioural outputs. In molluscs, GABA is present in the olfactory, chemoreceptive (Nezlin and Voronezhskaya, 1997; Ito *et al.*, 2001; Kobayashi *et al.*, 2008), nociceptive (Kava-

liers et al., 1999), visual and vestibular (Yamoah and Kuzirian, 1994) systems. GABA<sub>A</sub>like receptor signalling mediates molluscan nociception (Kavaliers et al., 1999) and visualvestibular interaction (Alkon et al., 1993), while mollusc photoreceptors respond to GABA<sub>A</sub>like receptor signalling (Yamoah and Kuzirian, 1994). GABAA-like receptor-mediated signalling is important for feeding and prey-capture behaviours in molluscs (Arshavsky et al., 1993; Norekian and Satterlie, 1993; Romanova et al., 1996; Jing et al., 2003; Norekian and Malyshev, 2005) and a cnidarian (Pierobon et al., 1995; Concas et al., 1998; Pierobon et al., 2004), and GABAergic neurons are associated with effectors of feeding in a sea urchin (Bisgrove and Burke, 1987). GABA<sub>A</sub>-like receptor signalling mediates swimming of larval sea urchins (Katow et al., 2013), the righting response in a sea urchin (Shelley et al., 2019) and locomotion of a mollusc (Romanova et al., 1996). GABA mediates settling and metamorphosis, including associated behavioural changes in a range of molluscs (Morse et al., 1979, 1980; García-Lavandeira et al., 2005; Stewart et al., 2011; Biscocho et al., 2018), an echinoderm (Pearce and Scheibling, 1990) and a urochordate (Danging et al., 2006). GABA is thought to mimic ligands from the environment (Morse et al., 1979) which may be detected by the GABA<sub>A</sub>-like receptor (Stewart et al., 2011) to initiate settlement and metamorphosis. Internal GABA<sub>A</sub>-like receptor mediated neurotransmission is also suggested to regulate metamorphosis (Biscocho et al., 2018). Thus altered GABA<sub>A</sub>-like receptor function will likely affect a variety of behaviours in a range of marine invertebrates.

Neural processes other than behaviour may also be affected by altered GABA<sub>A</sub>-like receptor functioning. In vertebrates, GABA can act as a trophic factor (a molecule supporting cell survival) through the GABA<sub>A</sub> receptor, influencing cell proliferation, migration and differentiation (Owens and Kriegstein, 2002; Sernagor *et al.*, 2010). In a fish, the three-spined stickleback (*Gasterosteus aculeatus*), genes involved in neurogenesis and neuroplasticity were upregulated after exposure to ~1,000  $\mu$ atm pCO<sub>2</sub> compared to control (~330  $\mu$ atm pCO<sub>2</sub>) (Lai *et al.*, 2017). Similarly, GABA induces cellular differentiation and proliferation in abalone larvae (Morse *et al.*, 1980). Thus, elevated CO<sub>2</sub> may alter neurogenesis in marine invertebrates.

GABA can also have effects in non-neural tissue, playing an important role in the vertebrate immune system (Barragan *et al.*, 2015; Wu *et al.*, 2017). Invertebrate GABA also appears to play an immunomodulatory role. The GABA<sub>A</sub>-like receptor associated protein is implicated in the immune response of the abalone (Bai *et al.*, 2012), GABA in the immune response of an oyster (Li *et al.*, 2016a) and mussel (Nguyen *et al.*, 2018), and a homologue of the glutamic acid decarboxylase (a rate limiting enzyme in GABA production) in immune regulation of an oyster (Li *et al.*, 2016b). Thus, altered GABA<sub>A</sub>-like receptor functioning at elevated CO<sub>2</sub> may have widespread effects.

Cross-talk between neurotransmitter receptors may also result in widespread effects of

altered GABAA-like receptor functioning. Different types of neurotransmitters can be coreleased from the same nerve terminal, resulting in simultaneous activation of their specific receptors, co-localised at the same post-synaptic site. This simultaneous activation can result in cross-talk between the receptors, modulating signal transmission. For example, negative cross-talk occurs when two different neurotransmitters simultaneously bind to their specific receptors, resulting in a current smaller than the sum of the currents of these two neurotransmitters acting separately (Li et al., 2003). Cross-talk involving GABA<sub>A</sub> receptors is well documented in mammals. For example, GABAA receptor activation suppresses the function of a dopamine receptor (de la Mora et al., 1997) and negative cross-talk occurs in both directions between GABA<sub>A</sub> receptors and glycine Rs (Trombley et al., 1999; Li et al., 2003) and GABA<sub>A</sub> receptors and the adenosine-triphosphate receptor P2X (Karanjia et al., 2006; Toulmé et al., 2007). Neurotransmitter cross-talk is yet to be studied in an invertebrate, but co-release of neurotransmitters occurs in the marine invertebrate nervous system, such as proctolin and GABA in crabs (Blitz et al., 1999), and dopamine and GABA in the California sea hare (Aplysia californica) (Díaz-Ríos et al., 2002; Díaz-Ríos and Miller, 2005; Svensson et al., 2014). Furthermore, GABA has been found to post-synaptically increase dopamine currents in A. californica (Svensson et al., 2014). If cross-talk is present between invertebrate GABA<sub>A</sub>-like receptors and other neurotransmitter Rs, altered functioning of the GABA<sub>A</sub>like receptor at elevated CO<sub>2</sub> may alter cross-talk mechanisms. Thus, altered GABA<sub>A</sub>-like receptor function at elevated CO<sub>2</sub> would not only alter the GABAergic pathway, but other pathways as well.

# 2.5 Alternative mechanisms for behavioural change at elevated CO<sub>2</sub>

If elevated CO<sub>2</sub> does alter HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> gradients across neuronal membranes, it is likely that functioning of LGICs other than the GABA<sub>A</sub>-like/GABA<sub>A</sub> receptor, that are also permeable to these ions, will also be disrupted (Figure 2.2). In vertebrates, altered glycine receptor functioning at elevated CO<sub>2</sub> has been suggested due to its similarity to the GABA<sub>A</sub> receptor (Tresguerres and Hamilton, 2017). Many invertebrates lack glycine receptors (Tsang *et al.*, 2007), however invertebrates possess a larger variety of ligand-gated ion channels (LGICs) than vertebrates (Dent, 2010). Glutamate-gated chloride channels have been found in molluscs (Kehoe and Vulfius, 2000; Kehoe *et al.*, 2009) and crustaceans (Marder and Paupardin-Tritsch, 1978), and are suggested to be the invertebrate equivalent of vertebrate glycine Rs (Vassilatis *et al.*, 1997; Kehoe and Vulfius, 2000). There is also evidence for acetylcholine-gated chloride channels in molluscs (Kehoe, 1972). Moya *et al.* (2016) found a range of ner-

vous system transcripts differentially expressed at elevated CO<sub>2</sub> in a pteropod (*Heliconoides inflatus*), including genes encoding for the LGICs (and associated proteins) of cholinergic, GABAergic, glutamatergic and glycinergic-like synapses (Moya *et al.*, 2016) (Table 2.1). Thus, altered [Cl<sup>-</sup>] can conceivably disrupt functioning of a range of LGICs (Figure 2.2). Furthermore, taxa specific differences in the presence of specific LGICs (Dent, 2010) may explain the variability of the effects of elevated CO<sub>2</sub> on marine invertebrate behaviour.

Elevated CO<sub>2</sub> may not only disrupt neurotransmission, but also neuronal-glial and glial-glial signalling. Glia are non-neuronal cells present in the vertebrate and invertebrate nervous system (Pentreath, 1989; Laming *et al.*, 2000). Initially thought to be restricted to supporting neurons, the role of glia is now understood to include active participation in nervous system functioning, thus contributing to behaviour (Laming *et al.*, 2000; Jackson and Haydon, 2008). Like many other cell types, glial cells regulate pH<sub>i</sub> via ion exchange, including HCO<sub>3</sub>-/Cl<sup>-</sup> exchange (Deitmer and Rose, 1996). GABA<sub>A</sub> receptors are present in vertebrate glial cells (Butt and Jennings, 1994; Fraser *et al.*, 1994). Less research has been carried out on invertebrate glia, with no research on the presence of GABA<sub>A</sub>-like receptors on marine invertebrate glial cells. However, leech glial cells reportedly respond to GABA (unpublished work reported in Deitmer and Rose (1996)). If marine invertebrates are found to express GABA<sub>A</sub>-like receptors, elevated CO<sub>2</sub> may also affect information processing through glial cells. Furthermore, fluxes in H<sup>+</sup> ions have been found to contribute to neuron-glia signalling (Deitmer and Rose, 1996; Laming *et al.*, 2000), which may be disrupted by exposure to elevated CO<sub>2</sub> (and the resultant increase in H<sup>+</sup> ions).

Changes in [Cl<sup>-</sup>] due to acid-base regulatory mechanisms at elevated CO<sub>2</sub> may affect LGIC-mediated neurotransmission through a different mechanism, as well as having alternative effects on the nervous system. Cl<sup>-</sup> has a role as a signalling effector, with changes in [Cl<sup>-</sup>]<sub>i</sub> affecting a range of processes including gene expression, protein activity and cell proliferation (Valdivieso and Santa-Coloma, 2019). Mammalian work has supported the role of Cl<sup>-</sup> as a signalling effector in the nervous system, including [Cl<sup>-</sup>]<sub>i</sub> regulation of GABA<sub>A</sub> receptor expression (Succol *et al.*, 2012) and growth of neuronal processes (Nakajima and Marunaka, 2016). The role of Cl<sup>-</sup> as a signalling anion has also been observed in bacterial cells, suggesting a conserved function (Valdivieso and Santa-Coloma, 2019). Thus, invertebrate Cl<sup>-</sup> is also likely to act as a signalling effector. If altered [Cl<sup>-</sup>]<sub>i</sub> has similar effects in marine invertebrates, elevated CO<sub>2</sub> may impact nervous system functioning not only by altered GABA<sub>A</sub>-like receptor function but also changes in GABA<sub>A</sub>-like receptor expression, as well as altered growth of neuronal projections. Thus, altered [Cl<sup>-</sup>]<sub>i</sub> at elevated CO<sub>2</sub> may

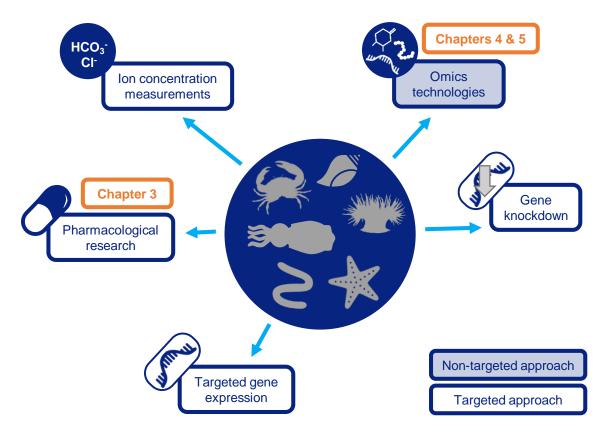
have effects additional to altered LGIC function, having widespread consequences.

## 2.6 Directions for future research

Mechanistic studies have used targeted approaches to assess the GABA hypotheses in marine invertebrates. These approaches will also be useful to assess potential alternative mechanisms by which elevated CO<sub>2</sub> may alter marine invertebrate behaviour (Figure 2.3). The GABA hypothesis has been pharmacologically assessed by administering the GABA<sub>A</sub> receptor antagonist gabazine to marine invertebrates (Watson et al., 2014; Clements et al., 2017). Likewise, the administration of pharmacological agents targeting different LGICs will be useful to assess whether altered functioning of alternative LGICs may underlie behavioural changes at elevated CO<sub>2</sub> conditions. Due to the diversity of invertebrates and the potential for off-target effects, it will be important to use a range of pharmacological agents, particularly those shown to work in the invertebrate taxa being studied. Furthermore, pilot studies to determine the optimal drug concentration to use will be important. For example, Charpentier and Cohen (2016) tested three gabazine concentrations. Measurement of ion concentrations at control and elevated levels of CO<sub>2</sub> in conjunction with behavioural tests has been carried out in crabs (de la Haye et al., 2012; Charpentier and Cohen, 2016) and a mollusc (Zlatkin and Heuer, 2019). Future studies in other invertebrate taxa, with measurements made within the correct intra- and extra-cellular fluids, as well as determining the HCO<sub>3</sub>-/Cl- permeability ratio will be important to calculate E<sub>GABA</sub> at elevated CO<sub>2</sub> to theoretically assess the GABA hypothesis. Real-time PCR, measuring the expression level of a specific gene, has been employed to assess the GABA hypothesis in a crab (Ren et al., 2018) and will also be useful to assess alternative mechanisms, e.g. measuring the expression of genes encoding for alternative LGIC subunits, and genes involved in neuronal growth and proliferation.

These targeted approaches, however, may leave potentially relevant information unexplored. Omic technologies, such as transcriptomics and proteomics, provide a non-targeted approach in which *a priori* hypotheses are not required (Figure 2.3). Thus, data from omic approaches could unveil patterns leading to the development of novel hypotheses. For example, transcriptomics and proteomics have already been employed in fish nervous tissue, providing support for the GABA hypothesis as well as new avenues to pursue (Schunter *et al.*, 2016; Porteus *et al.*, 2018; Schunter *et al.*, 2018; Williams *et al.*, 2019).

In marine invertebrates exposed to control and elevated CO<sub>2</sub> levels, transcriptomic studies so far have analysed the whole animal and have focused on pteropods (Koh *et al.*, 2015; Maas *et al.*, 2015; Moya *et al.*, 2016; Thabet *et al.*, 2017) and sea urchins (Evans *et al.*, 2013; Padilla-Gamiño *et al.*, 2013; Todgham and Hofmann, 2008; Clark *et al.*, 2019). Likewise, proteomic studies have analysed the whole body of tubeworm larvae (Mukherjee *et al.*, 2013), oyster larvae (Dineshram *et al.*, 2012, 2013), barnacle larvae (Wong *et al.*, 2011),



**Figure 2.3. Future directions for neurobiological mehanistic research in marine invertebrates.** Conceptual diagram illustrating the techniques that will be useful for future research to assess the neurobiological mechanisms underlying behavioural change at elevated CO<sub>2</sub> in marine invertebrates. Targeted approaches will test specific hypotheses and include pharmacological research administering drugs that target a specific receptor, measuring the expression of specific genes, measuring the concentration of HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> ions in the relevant intra- and extra-cellular fluids, and knocking down the expression of specific genes. Omic techniques such as transcriptomics, proteomics and epigenomics will provide a non-targeted approach which does not require *a priori* hypotheses and will likely lead to the development of new hypotheses. These knowledge gaps guided the data chapters in this thesis; Chapter 3 addressed the knowledge gap in pharmacological research and Chapter 4 and Chapter 5 used transcriptomics as a non-targeted, holistic approach. Icons from NounProject.com (worm by Deemak Daksina, anemone by Vega Asensio, crab by Ed Harrison, starfish by Stanislav Levin), remaining icons by Jodi Thomas.

sea snail larvae (Di et al., 2019) and clam larvae (Timmins-Schiffman et al., 2019), and a metabolomics study analysed the whole body of a crab (Trigg et al., 2019) exposed to control or elevated CO<sub>2</sub>. To understand the neurobiological mechanisms underlying behavioural changes, rather than the general molecular response to elevated CO<sub>2</sub>, it will be important to carry out omic techniques on the nervous tissue, as measurements at the whole body level may mask differential expression in the nervous system due to the heterogeneity and complexity of gene/protein expression. This is exemplified by Liu et al. (2019) who found region-specific

regulation of neuropeptides in the nervous tissue of crabs (*Callinectes sapidus*) exposed to elevated CO<sub>2</sub>.

Omic technologies will provide a powerful, holistic approach to explore neurobiological mechanisms underlying behavioural change, potentially leading to the development of novel hypotheses. However, omic approaches can only determine correlational, and not causative, links between expression and behaviour. Gene knockdown, in which the expression of a specific gene is reduced, will be a promising avenue for future research to determine a causative link between gene expression and behavioural change at elevated CO<sub>2</sub>. Gene knockdown is yet to be used in elevated CO<sub>2</sub> behavioural research, however gene knockdown of a heat shock protein assessed the stress tolerance of the white leg shrimp (*Litopenaeus vannamei*) to high CO<sub>2</sub> (Aishi *et al.*, 2019).

## 2.7 Conclusion

There is large variability in the effects of elevated CO<sub>2</sub> on marine invertebrate behaviour, which is likely due to the incredible diversity of marine invertebrates. Elevated CO<sub>2</sub> likely alters behaviour via a range of mechanisms that disrupt the nervous system pathway producing behaviour, from sensory input to behavioural output. These mechanisms are not necessarily mutually exclusive, and interactions between mechanisms may account for the diversity in responses. Many of these mechanisms are based on theory and lack solid experimental evidence. Mechanistic research addressing these gaps will be important, for example linking altered sensation at elevated CO<sub>2</sub> to behavioural change. Mechanistic fish research has focused on altered neurotransmission via disrupted GABAA receptor functioning at elevated CO<sub>2</sub>. The GABA hypothesis, as well as altered functioning of other LGICs, likely applies to marine invertebrates. Further research into the ionic properties of the GABA<sub>A</sub>-like receptor and other LGICs, including whether they are also permeable to HCO<sub>3</sub>-, and measuring intraand extra-cellular ion levels in the relevant fluids at near-future CO<sub>2</sub> levels will be beneficial for advancing our understanding of this mechanism. The diversity of LGIC subtypes between invertebrate species, and even between nervous system regions, may explain the variability in behavioural responses. Investigating the presence of LGICs on invertebrate glial cells, other modes of neuronal-glial and glial-glial transmission, and the role of Cl<sup>-</sup> as a signalling effector in invertebrates will help us understand the wider impact elevated CO<sub>2</sub> may have on nervous system functioning.

The interconnectivity of the nervous system, such as receptor cross-talk, suggests that even disruption of one component or pathway will have widespread effects. This will make understanding the neurobiological mechanisms underlying elevated CO<sub>2</sub>-induced behavioural change extremely complex. Omics approaches will be useful in providing an untargeted,

holistic approach to understand the response of the nervous system to elevated  $CO_2$ , provide support or opposition for proposed mechanisms, and likely provide new avenues to explore. Exploring the mechanisms underlying behavioural change at elevated  $CO_2$  will help us to understand the variability in behavioural responses to elevated  $CO_2$  and predict which marine invertebrates are likely to be the most vulnerable to rising  $CO_2$  levels.

## Chapter 3

# The role of ligand-gated chloride channels in behavioural alterations at elevated CO<sub>2</sub> in a cephalopod

## A version of this chapter is published:

**Thomas, J.T.**, Spady, B.L., Munday, P.L. and Watson, S.-A. (2021). The role of ligand-gated chloride channels in behavioural alterations at elevated CO<sub>2</sub> in a cephalopod. *Journal of Experimental Biology.* **224**, jeb242335. URL https://doi.org/10.1242/jeb.242335

## Difference between this chapter and published paper:

Minor formatting changes. A diagram of the behavioural trial set-up (page 50) and a paragraph discussing acid-base regulation in cephalopods (page 66) have been added.

#### Data availability:

All raw data and scripts accompanying this chapter are available at https://doi.org/10.25903/y6kz-hm11

## 3.1 Abstract

Projected future carbon dioxide (CO<sub>2</sub>) levels in the ocean can alter marine animal behaviours. Disrupted functioning of γ-aminobutyric acid type A (GABA<sub>A</sub>) receptors (ligand-gated chloride channels) is suggested to underlie CO<sub>2</sub>-induced behavioural changes in fish. However, the mechanisms underlying behavioural changes in marine invertebrates are poorly understood. I pharmacologically tested the role of GABA-, glutamate-, acetylcholine- and dopamine-gated chloride channels in CO<sub>2</sub>-induced behavioural changes in a cephalopod, the two-toned pygmy squid (*Idiosepius pygmaeus*). I exposed squid to current-day (~450 µatm) or elevated (~1,000 μatm) CO<sub>2</sub> for seven days. Squid were treated with sham, the GABA<sub>A</sub> receptor antagonist gabazine, or the non-specific GABA<sub>A</sub> receptor antagonist picrotoxin, before measurement of conspecific-directed behaviours and activity levels upon mirror exposure. Elevated CO<sub>2</sub> increased conspecific-directed attraction and aggression, as well as activity levels. For some CO<sub>2</sub>-affected behaviours (time spent in a zone closest to the mirror, active time and distance moved), both gabazine and picrotoxin had a different effect at elevated compared to current-day CO<sub>2</sub>, providing robust support for the GABA hypothesis within cephalopods. In another behavioural trait (latency to the first soft mirror touch), picrotoxin but not gabazine had a different effect in elevated compared to current-day CO<sub>2</sub>, providing the first pharmacological evidence, in fish and marine invertebrates, for altered functioning of ligand-gated chloride channels, other than the GABA<sub>A</sub> receptor, underlying CO<sub>2</sub>-induced behavioural changes. For some other behaviours (proportion of squid that touched the mirror aggressively, number of aggressive mirror touches and average speed), both gabazine and picrotoxin had a similar effect in elevated and current-day CO<sub>2</sub>, suggesting altered function of ligand-gated chloride channels was not responsible for these CO<sub>2</sub>-induced changes. Multiple mechanisms may be involved, which could explain the variability in the CO2 and drug treatment effects across behaviours.

## 3.2 Introduction

Anthropogenic carbon dioxide (CO<sub>2</sub>) emissions are being absorbed by the oceans at an increasing rate, resulting in reduced seawater pH referred to as ocean acidification (Bindoff et al., 2019). Elevated CO<sub>2</sub> levels are known to alter a range of behaviours in a variety of fishes (Munday et al., 2019). Elevated CO<sub>2</sub>-induced behavioural alterations also occur in some marine invertebrates, including in cnidarians, polychaetes, echinoderms, arthropods and molluses, across a variety of behavioural traits (reviewed in Clements and Hunt (2015); Nagelkerken and Munday (2015) and Chapter 2). The behavioural effects of elevated CO<sub>2</sub> are variable. Elevated CO<sub>2</sub> may affect some, but not other, behaviours within the same species. For example, in the blue mussel elevated CO<sub>2</sub> decreased predator cue-induced defensive behaviours (Kong et al., 2019) and feeding rates (Gu et al., 2019; Meseck et al., 2020), but did not alter a startle response behaviour (Clements et al., 2021). The effects of elevated CO<sub>2</sub> on the same behaviour may also be variable among taxa. For example, within molluscs elevated CO<sub>2</sub> increased locomotion speed in two species of squid (Spady et al., 2014, 2018) but reduced speed in a third squid species (Zakroff et al., 2018), a sea hare (Horwitz et al., 2020), and two whelk species (Queirós et al., 2015; Fonseca et al., 2020). A mechanistic understanding of behavioural change at elevated CO<sub>2</sub> is important to determine why there is such variability in behavioural alterations and to identify which animals will be most vulnerable to rising CO<sub>2</sub> levels. However, the mechanisms underlying behavioural change at elevated CO<sub>2</sub> across the diverse range of marine invertebrates are poorly understood (Chapter 2).

The prominent mechanistic explanation for elevated CO<sub>2</sub>-induced behavioural alterations is the GABA hypothesis, first demonstrated in two species of tropical coral reef fish (Nilsson et al., 2012). In vertebrates, the  $\gamma$ -aminobutyric acid type A receptor (GABA<sub>A</sub> receptor) is a ligand-gated ion channel (LGIC)/ionotropic receptor selectively permeable to chloride (Cl<sup>-</sup>) and bicarbonate (HCO<sub>3</sub><sup>-</sup>) ions (Krnjević, 1974; Bormann et al., 1987). Under normal conditions, binding of the neurotransmitter GABA opens the GABA<sub>A</sub> receptor channel, which usually allows a net influx of negative charge resulting in hyperpolarisation and inhibition of neuronal firing. At elevated CO<sub>2</sub> conditions, Nilsson et al. (2012) proposed that alterations in Cl<sup>-</sup> and HCO<sub>3</sub><sup>-</sup> ion gradients across the neuronal membrane, due to acid-base regulation, could alter the function of GABA<sub>A</sub> receptors. The change in ion gradients was suggested to reverse the net flow of negative ions, resulting in a net efflux of negative charge from some GABA<sub>A</sub> receptors, switching their function from inhibitory to excitatory, thus influencing behavioural responses (see Heuer et al. (2019) for a detailed explanation). Pharmacological studies have supported the GABA hypothesis in fish; administration of the GABA<sub>A</sub> receptor antagonist gabazine (SR-95531) (Heaulme et al., 1986) attenuated CO<sub>2</sub>-induced behavioural alterations (Nilsson et al., 2012; Chivers et al., 2014; Chung et al., 2014; Lai et al., 2015;

Lopes *et al.*, 2016; Regan *et al.*, 2016) and the GABA<sub>A</sub> receptor agonist muscimol (Andrews and Johnston, 1979) produced opposite effects in elevated and control CO<sub>2</sub> exposed fish (Hamilton *et al.*, 2013). Recently, the GABA hypothesis has been further refined by the proposal that altered functioning of some GABA<sub>A</sub> receptors initiates a vicious self-amplifying cycle, explaining how relatively small changes in ion gradients can result in large behavioural alterations (Schunter *et al.*, 2019).

Invertebrate GABA also binds to Cl<sup>-</sup> and HCO<sub>3</sub><sup>-</sup> permeable ionotropic GABA<sub>A</sub> receptors (referred to as GABA<sub>A</sub>-like receptors throughout this manuscript to differentiate invertebrate from vertebrate GABA<sub>A</sub> receptors) (Kaila and Voipio, 1987; Lunt, 1991). Therefore, the GABA hypothesis should theoretically apply to invertebrates as well. Administration of the GABA<sub>A</sub> receptor antagonist gabazine has been used to test the GABA hypothesis in several marine invertebrates. In a gastropod mollusc, the jumping conch snail (Gibberulus gibberulus gibbosus), impaired escape behaviour at elevated CO<sub>2</sub> levels (961 µatm) was restored to control levels by gabazine (Watson et al., 2014). In a bivalve mollusc, the soft-shell clam (Mya arenaria), burrowing behaviours altered at elevated sediment CO<sub>2</sub> levels (9,532 μatm) were also restored to control levels by gabazine (Clements et al., 2017). In contrast, gabazine did not restore lost chemical-cue induced photosensitive behaviour at elevated CO<sub>2</sub> (1,380 µatm) in Asian shore crab larvae (Hemigrapsus sanguineus) (Charpentier and Cohen, 2016). Electrophysiological studies have shown crustacean GABA<sub>A</sub>-like receptors to be insensitive to gabazine (El Manira and Clarac, 1991; Jackel et al., 1994; Pearstein et al., 1996) and thus gabazine may not have tested the GABA hypothesis in H. sanguineus. However, as pharmacological sensitivity of receptors can vary between differing cell types (Lee and Maguire, 2014) and only specific neurons were tested in these electrophysiological studies, it is possible that other crustacean cell types are sensitive to gabazine. Thus, systemic gabazine administration by Charpentier and Cohen (2016) could have tested the GABA hypothesis. If this is the case, it suggests the GABA hypothesis may apply to some, but not other, invertebrate taxa.

To date, pharmacological studies assessing the GABA hypothesis in marine invertebrates have exclusively used gabazine. This has provided a useful starting point to understand elevated CO<sub>2</sub>-induced behavioural changes. However, gabazine's action in the invertebrate taxa studied to date is not well characterised. The pharmacological profile of invertebrate GABA<sub>A</sub>-like receptors differs from that of vertebrate GABA<sub>A</sub> receptors (Lunt, 1991; Walker *et al.*, 1996). Furthermore, the invertebrates span an enormous amount of phylogenetic variation and invertebrate LGICs can differ across taxa (Tsang *et al.*, 2007; Wolstenholme, 2012). Thus, the action of GABA<sub>A</sub> receptor antagonists, such as gabazine, may differ between invertebrates and vertebrates and also among invertebrate taxa. The use of GABA<sub>A</sub> receptor drugs shown to work in the taxa of interest, and interpreting the results appropriately based

on the abundance or scarcity of research into the drug's action in the studied taxa, will be important to further assess the GABA hypothesis. Furthermore, using other GABA<sub>A</sub> receptor antagonists that are structurally unrelated to gabazine, such as picrotoxin, can increase our confidence in the evidence for the GABA hypothesis (Tresguerres and Hamilton, 2017).

Elevated CO<sub>2</sub> may also alter the functioning of other LGICs that are permeable to HCO<sub>3</sub> and Cl<sup>-</sup> ions. Altered glycine receptor functioning at elevated CO<sub>2</sub> levels has been suggested as another potential mechanism in fish due to its similarity to the GABAA receptor (Tresguerres and Hamilton, 2017). Glycine Rs are typically not found in invertebrates, however glutamate (Glu)-gated Cl<sup>-</sup> channels are suggested to be the invertebrate equivalent of glycine Rs (Vassilatis et al., 1997; Kehoe and Vulfius, 2000), so may respond to elevated CO<sub>2</sub> similarly. Invertebrates also possess a larger range of ligand-gated Cl<sup>-</sup> channels than vertebrates (Wolstenholme, 2012), including acetylcholine (ACh)- (Kehoe1972, Yarowsky1978b, Schmidt1992, Putrenko2005, Nierop2005), dopamine (DA)- (Carpenter et al., 1977), serotonin- (Gerschenfeld and Tritsch, 1974; Ranganathan et al., 2000) and histamine- (Gisselmann et al., 2002; Zheng et al., 2002) gated Cl<sup>-</sup> channels. Genes encoding for the LGICs (and associated proteins) of GABAergic, glycinergic-like, glutamatergic and cholinergic synapses were found to be differentially expressed in pteropod molluscs (Heliconoides inflatus) exposed to elevated CO<sub>2</sub> levels (617 – 720 µatm) compared with currentday controls (380 - 410 μatm) (Moya et al., 2016). Therefore, a range of ligand-gated Cl<sup>-</sup> channels could play a role in CO<sub>2</sub>-induced behavioural disturbances in marine invertebrates, indicating a complex assortment of responsible mechanisms.

Elevated CO<sub>2</sub> has been shown to affect activity, defensive and predatory behaviours in cephalopod molluscs (Spady *et al.*, 2014, 2018). Cephalopods are a valuable taxa in which to investigate the mechanisms of CO<sub>2</sub>-induced behavioural effects because of their well-developed nervous system and complex behaviours rivalling those of fishes (Hanlon and Messenger, 2018). In this study, male two-toned pygmy squid *Idiosepius pygmaeus* were exposed to current-day (~450 μatm) or elevated (~1,000 μatm) CO<sub>2</sub> levels for seven days followed by sham or treatment with the GABA<sub>A</sub> receptor antagonist gabazine or the non-specific GABA<sub>A</sub> receptor antagonist picrotoxin. After CO<sub>2</sub> and drug treatment, conspecific attraction, exploratory and aggressive behaviours, and activity levels were measured while squid were exposed to a mirror.

The pharmacological profile of gabazine in molluscs is not well characterised (Appendix B: Table B.1). The only study using electrophysiological methods to investigate gabazine's action in a mollusc demonstrated that gabazine inhibits both ionotropic GABA<sub>A</sub> receptor hyperpolarisations (inhibition) and depolarisations (excitation) (Vehovszky *et al.*, 1989). However, there was no evidence for what ion(s) these ionotropic GABA<sub>A</sub> receptors were permeable to. Molluscs possess both hyperpolarising and depolarising GABA-gated Cl<sup>-</sup> channels

(GABA<sub>A</sub>-like receptors) (Rubakhin *et al.*, 1996). It is also suggested that excitatory GABA-gated cation channels may be present in molluscs (Yarowsky and Carpenter, 1978a; Norekian, 1999; Miller, 2019), as seen in other invertebrates (Beg and Jorgensen, 2003; Gisselmann *et al.*, 2004). Therefore, gabazine likely inhibited ionotropic GABA receptor hyperpolarisations by antagonising GABA<sub>A</sub>-like receptors, but gabazine's action on ionotropic GABA R depolarisations may have been due to gabazine's action on GABA-gated cation channels. Furthermore, as the action of gabazine has not been tested on other molluscan receptors, the specificity of gabazine within molluscs is unknown. To the best of my knowledge, no studies have assessed the action of gabazine on cephalopod receptors, but GABA<sub>A</sub>-like receptors are present in squid (Conti *et al.*, 2013). In the current study, gabazine administration was used to test the functioning of GABA<sub>A</sub>-like receptors in *I. pygmaeus*, though GABA-gated cation channels and other closely related LGICs may have also been antagonised by gabazine.

Picrotoxin is a relatively non-specific GABA<sub>A</sub> receptor antagonist, inhibiting GABA<sub>A</sub> as well as glycine Rs in vertebrates (both ligand-gated Cl<sup>-</sup> channels) (Dibas et al., 2002; Lynch, 2004; Wang et al., 2006; Masiulis et al., 2019). In molluscs, picrotoxin's action has been better studied than that of gabazine (Appendix B: Table B.2). Similar to in vertebrates, picrotoxin antagonises molluscan GABA<sub>A</sub>-like receptors (Yarowsky and Carpenter, 1978a,b; Rubakhin et al., 1996; Jing et al., 2003; Wu et al., 2003) as well as Glu-gated Cl<sup>-</sup> channels (suggested to be the invertebrate equivalent of glycine Rs (Vassilatis et al., 1997)) (Piggott et al., 1977). However, molluscs possess a larger range of ligand-gated Cl<sup>-</sup> channels than vertebrates (Wolstenholme, 2012) and picrotoxin also inhibits molluscan ACh- (Yarowsky and Carpenter, 1978b) and DA- (Magoski and Bulloch, 1999) gated Cl<sup>-</sup> channels. As far as I am aware, no studies have assessed the action of picrotoxin on cephalopod ligand-gated Cl channels. However, local injection of GABA and picrotoxin into the optic lobe of a cuttlefish had opposite effects on locomotion (Chichery and Chichery, 1985) suggesting picrotoxin acted on an unidentified type of GABAA receptor. In the current study, picrotoxin administration was used to investigate the functioning of GABAA-like receptors as well as Glu-, ACh- and DA- gated Cl<sup>-</sup> channels in *I. pygmaeus*.

This study aimed to: 1) determine if elevated CO<sub>2</sub> alters a range of conspecific-directed behaviours and activity levels in *I. pygmaeus*, (2) provide robust evidence for or against the GABA hypothesis within a cephalopod mollusc, and (3) determine whether ligand-gated Cl<sup>-</sup> channels other than the GABA<sub>A</sub>-like receptor (Glu-, ACh- and DA- gated Cl<sup>-</sup> channels) could also underlie CO<sub>2</sub>-induced behavioural changes in *I. pygmaeus*. If the behavioural effect of drug treatment at current-day CO<sub>2</sub> conditions is different to the behavioural effect of drug target receptor(s) underlies the CO<sub>2</sub>-induced behavioural change. The behavioural effect of drug treatment could differ across CO<sub>2</sub> in five ways; opposite, removed, added, diminished

or enhanced, with each of these suggesting a slightly different change in receptor function at elevated CO<sub>2</sub> (see Table 3.1 for definitions and explanations). As the common target of gabazine and picrotoxin is the GABA<sub>A</sub>-like receptor, if both gabazine and picrotoxin alter behaviour in a similar manner across CO<sub>2</sub> conditions this would suggest altered function of the GABA<sub>A</sub>-like receptor underlies the CO<sub>2</sub>-induced behavioural change, supporting the GABA hypothesis. As gabazine and picrotoxin are structurally unrelated, and picrotoxin's action within molluscs has been better studied, this would provide more robust support for the GABA hypothesis than previous marine invertebrate pharmacological studies. As picrotoxin antagonises Glu-, ACh- and DA- gated Cl<sup>-</sup> channels, but gabazine does not, if picrotoxin, but not gabazine, has a different effect on behaviour across CO<sub>2</sub> conditions this would suggest altered function of these picrotoxin-sensitive ligand-gated Cl<sup>-</sup> channels underlies the CO<sub>2</sub>-induced behavioural change.

## 3.3 Methods

## 3.3.1 Animal collection

Male two-toned pygmy squid (*Idiosepius pygmaeus*) were collected between August – October 2019 (picrotoxin experiment) and November – December 2019 (gabazine experiment) by dip net from the inshore waters around the Townsville breakwater complex (19°15'S, 146°50'E) (Queensland Government General Fisheries Permit number 199144). Males were identified by visual inspection of the testis at the tip of the mantle and transported immediately to the experimental facilities. Only males were used due to the potential for sex-specific responses to elevated CO<sub>2</sub> (Spady et al., 2014; Ellis et al., 2017) and GABA<sub>A</sub> receptor antagonists (Peričić et al., 1986; Manev et al., 1987). Squid were acclimated in groups at current-day seawater conditions for 1 - 6 days before transferral to treatment tanks set at either currentday current-day (~450 μatm) or elevated (~1,000 μatm) seawater CO<sub>2</sub>, consistent with end of century projections under Representative Concentration Pathway (RCP) 8.5 (Collins et al., 2013). Squid were randomly assigned to tanks within their CO<sub>2</sub> treatment. Treatment tanks (matte white colour, 40 x 30 x 30 cm) held squid individually for seven days, which is approximately 10% of the total lifespan and 25% of the adult lifespan of male I. pygmaeus (Jackson, 1988). Squid were provided with PVC pipes for shelter and fed glass shrimp (Acetes sibogae australis) daily ad libitum. Glass shrimp were collected from the same location as squid and housed at current-day conditions. This study followed the animal ethics guidelines at James Cook University (JCU animal ethics number A2644).

Table 3.1. Summary of the varying types of different drug effects across CO<sub>2</sub> treatments and how they suggest altered receptor function at elevated CO<sub>2</sub>. The examples in this table all refer to inhibitory GABA<sub>A</sub>-like receptors (GABA<sub>A</sub>-like receptors). However, these explanations similarly refer to all receptors investigated in this study; gabazine tested the functioning of inhibitory and excitatory GABA<sub>A</sub>-like receptors (and possibly GABA-gated cation channels) and picrotoxin tested the functioning of inhibitory and excitatory GABA<sub>A</sub>-like receptors, and Glu-, ACh- and DA-gated Cl<sup>-</sup> channels.

Drug effect in elevated versus current-day CO <sub>2</sub> treatments	Definition	Suggested change in ion flow and receptor function at elevated CO <sub>2</sub>	Example for how the different drug effect at elevated compared with current-day ${\rm CO}_2$ suggests a change in receptor function
Opposite	Drug treatment increases the measured behaviour at current-day CO <sub>2</sub> but decreases the behaviour at elevated CO <sub>2</sub> (or vice versa).	Reversal in ion flow through the receptor channel resulting in a switch in function of the target receptor(s).	A behaviour is supressed by $GABA_A$ -like receptor-induced inhibition and generated by $GABA_A$ -like receptor-induced excitation. At current-day $CO_2$ , there is a net influx of negative charge through the $GABA_A$ -like receptor having an inhibitory effect on the behaviour. Drug treatment at current-day $CO_2$ antagonises the $GABA_A$ -like receptor-induced inhibition and suppression of the behaviour is removed, resulting in an increase in the measured behaviour. At elevated $CO_2$ , ion flow reverses and there is a net efflux of negative charge through the $GABA_A$ -like receptor resulting in excitation. Thus, the measured behaviour is higher at elevated compared to current-day $CO_2$ . Drug treatment at elevated $CO_2$ antagonises the $GABA_A$ -like receptor-induced excitation and generation of the behaviour is decreased, resulting in a decrease in the measured behaviour.
Removed	Drug treatment increases or decreases the behaviour at current-day CO <sub>2</sub> but has no effect at elevated CO <sub>2</sub> .	Reduction in ion flow through the receptor channel resulting in a loss of function of the target receptor(s).	The ion gradient across the neuronal membrane is decreased at elevated compared to current-day $CO_2$ . At current-day $CO_2$ , ligand binding produces a flow of ions through the $GABA_A$ -like receptor having an inhibitory effect which alters behaviour. Therefore, drug treatment at current-day $CO_2$ antagonises the $GABA_A$ -like receptor-induced inhibition and has a behavioural effect. However, at elevated $CO_2$ , ligand binding results in a very small, or no, flow of ions through the $GABA_A$ -like receptor and produces very weak or no inhibition. This very weak (or absence of) $GABA_A$ -like receptor-induced inhibition has no effect on behaviour and thus drug treatment has no behavioural effect at elevated $CO_2$ .

Table 3.1 continued.

Drug effect in elevated versus current-day CO <sub>2</sub> treatments	Definition	Suggested change in ion flow and receptor function at elevated CO <sub>2</sub>	Example for how the different drug effect at elevated compared with current-day CO <sub>2</sub> suggests a change in receptor function
Added	Drug treatment has no effect at current-day CO <sub>2</sub> but increases or decreases the behaviour at elevated CO <sub>2</sub> .	Increased ion flow through the receptor channel resulting in a gain of function of the target receptor(s).	The ion gradient across the neuronal membrane is increased at elevated compared to current-day $CO_2$ . At current-day $CO_2$ , ligand binding results in a very small, or no, flow of ions through the $GABA_A$ -like receptor producing very weak or no inhibition. This very weak (or absence of) $GABA_A$ -like receptor-induced inhibition has no effect on behaviour and thus drug treatment has no behavioural effect at current-day $CO_2$ . However, at elevated $CO_2$ ligand binding produces a flow of ions through the $GABA_A$ -like receptor resulting in inhibition which affects behaviour. Therefore, drug treatment at elevated $CO_2$ antagonises the $GABA_A$ -like receptor-induced inhibition and has a behavioural effect.
Diminished	Drug treatment causes a smaller increase or decrease in behaviour at elevated CO <sub>2</sub> compared with current-day CO <sub>2</sub> .	Reduction in ion flow through the receptor channel resulting in a decreased function of the target receptor(s) (direction of ion flow remains the same).	The ion gradient across the $GABA_A$ -like receptor is smaller at elevated compared to current-day $CO_2$ . Therefore, ligand binding produces a smaller flow of ions through the $GABA_A$ -like receptor and a weaker inhibitory effect at elevated compared to current-day $CO_2$ , although the direction of ion flow remains the same at both $CO_2$ conditions. Drug treatment antagonises the strong inhibition at current-day $CO_2$ and the weaker inhibition at elevated $CO_2$ . Consequently, drug treatment has a large behavioural effect at current-day $CO_2$ and a smaller behavioural effect at elevated $CO_2$ .
Enhanced	Drug treatment causes a larger increase or decrease in behaviour at elevated CO <sub>2</sub> compared with current-day CO <sub>2</sub> .	Increased ion flow through the receptor channel resulting in an enhanced function of the target receptor(s) (direction of ion flow remains the same).	The ion gradient across the GABA <sub>A</sub> -like receptor is larger at elevated compared to current-day CO <sub>2</sub> . Therefore, ligand binding produces a larger flow of ions through the GABA <sub>A</sub> -like receptor and a stronger inhibitory effect at elevated compared to current-day CO <sub>2</sub> , although the direction of ion flow remains the same at both CO <sub>2</sub> conditions. Drug treatment antagonises the weak inhibition at current-day CO <sub>2</sub> and the stronger inhibition at elevated CO <sub>2</sub> . Consequently, drug treatment has a small behavioural effect at current-day CO <sub>2</sub> and a larger behavioural effect at elevated CO <sub>2</sub> .

## 3.3.2 CO<sub>2</sub> treatment systems

Experiments were carried out in four interconnected 8,000 L recirculating seawater systems, each with a 3,000 L sump, at James Cook University's research aquarium in Townsville, Australia. Two untreated seawater systems were used for duplicated current-day CO<sub>2</sub> treatments, and a custom-built pH control system dosed CO<sub>2</sub> into the 3,000 L sumps of two seawater systems to create duplicated elevated CO<sub>2</sub> treatments.

An inline pH sensor (Tophit CPS471D, Endress+Hauser, Reinach, Switzerland) measured pH continuously and communicated via a transmitter (Liquiline CM442, Endress+Hauser, Reinach, Switzerland) with the computerised controller (OMNI C40 BEMS, Innotech, Brisbane, Australia) to regulate CO<sub>2</sub> dosing to maintain the desired pH. The four systems were interconnected by water exchange of approximately 20 L per hour to maintain similar water quality in each system.

Daily measurements of pH<sub>NBS</sub> (Ecotrode plus on an 888 Titrando, Metrohm AG, Switzerland), and temperature (Comark C26, Norfolk, UK) were taken from each of the four systems. Dosing set points were adjusted as required to maintain the target  $pCO_2$  in the two elevated CO<sub>2</sub> systems. Weekly measurements of salinity by a conductivity sensor (HQ40d, Hach, Loveland, CO, USA), total alkalinity by Gran titration (888 Titrando, Metrohm AG, Switzerland), and pH on the total scale (pH<sub>T</sub>) by spectrophotometry (Spectronic 200E, Thermo-Scientific, Madison, USA) using m-cresol purple as an indicator dye (Dickson and Millero, 1987; Dickson et al., 2007) were taken from each of the four systems. Titration calibrations remained within 1% of certified reference material from Prof. A.G. Dickson (Scripps Institution of Oceanography, batch no. 136) throughout the experiments. Daily pH<sub>T</sub> values were estimated by comparing pH<sub>NBS</sub> and pH<sub>T</sub> values. pCO<sub>2</sub> values were calculated in CO<sub>2</sub>SYS version 2.1 (https://cdiac.ess-dive.lbl.gov/ftp/co2sys/CO2SYS\_calc\_XLS\_v2.1/) using the constants K1, K2 from Mehrbach et al. (1973) and refit by Dickson and Millero (1987), and KHSO<sub>4</sub> from Dickson et al. (2007). An overview of carbonate chemistry parameters are in Table 3.2. Temperature, salinity, alkalinity and current-day pH were chosen to be similar to the natural conditions where the squid were collected.

## 3.3.3 Drug treatment and behavioural trials

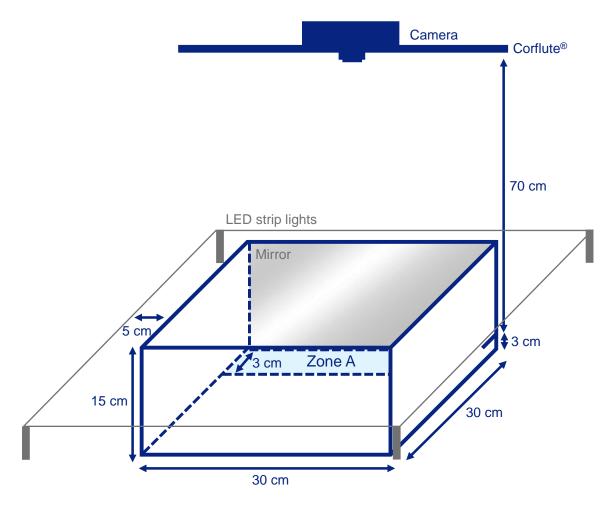
After  $CO_2$  treatment, squid underwent drug treatment for 30 minutes. In both the gabazine and picrotoxin experiments, squid were individually placed in 100 mL aerated seawater from their  $CO_2$  treatment containing sham or drug treatment, squid were randomly assigned to sham or drug treatment. I am not aware of any evidence that differences in  $CO_2$  levels or seawater pH relevant to ocean acidification experiments affect the action of gabazine or picrotoxin. Gabazine experiment: sham = 0.4% distilled water (current-day  $CO_2$ , n = 22,

**Table 3.2. Experimental seawater carbonate chemistry.** Details are shown for duplicate current-day and elevated  $CO_2$  treatment systems in the gabazine and picrotoxin experiments. Values are mean  $\pm$  standard deviation.

CO <sub>2</sub> Treatment System	Temperature (°C)	Salinity	pH <sub>total</sub>	Alkalinity (μmol/kg SW)	pCO <sub>2</sub> (μatm)
Gabazine Experime	ent				
Current-day 1	$26.1 \pm 0.2$	$34.9 \pm 0.2$	$8.02 \pm 0.03$	$2390\pm114$	$450\pm37$
Current-day 2	$26.2 \pm 0.2$	$34.7 \pm 0.3$	$7.99 \pm 0.03$	$2385 \pm 110$	$483 \pm 43$
Elevated 1	$26.0\pm0.2$	$35.0 \pm 0.1$	$7.72 \pm 0.02$	$2404 \pm 113$	$1014\pm83$
Elevated 2	$26.1\pm0.3$	$35.1 \pm 0.1$	$7.72 \pm 0.02$	$2405\pm120$	$1006 \pm 54$
Picrotoxin Experim	ent				
Current-day 1	$26.0\pm0.1$	$34.6 \pm 0.2$	$8.09 \pm 0.13$	$2619 \pm 48$	$413 \pm 55$
Current-day 2	$26.1 \pm 0.1$	$34.6 \pm 0.2$	$8.10 \pm 0.05$	$2623 \pm 44$	$401 \pm 59$
Elevated 1	$26.0\pm0.2$	$35.2 \pm 0.4$	$7.73 \pm 0.03$	$2648 \pm 46$	$1075\pm88$
Elevated 2	$26.1 \pm 0.1$	$35.5 \pm 0.5$	$7.73 \pm 0.02$	$2655 \pm 50$	$1066 \pm 48$

elevated  $CO_2$ , n = 22), or gabazine = 4 mg/L (10.86  $\mu$ M) gabazine (SR-95531, batch no. 0000035110, Sigma-Aldrich, St Louis, USA) and 0.4% distilled water (current-day  $CO_2$ , n = 24, elevated  $CO_2$ , n = 23). Picrotoxin experiment: sham = 0.2% absolute ethanol (current-day  $CO_2$ , n = 27, elevated  $CO_2$ , n = 26), picrotoxin = 100  $\mu$ M picrotoxin (batch no. 16C/230903, Tocris Bioscience, Bristol, UK) and 0.2% absolute ethanol (current-day  $CO_2$ , n = 26, elevated  $CO_2$ , n = 26). The dose of gabazine was chosen based on *in vivo* studies in fish (Nilsson *et al.*, 2012; Hamilton *et al.*, 2013; Chivers *et al.*, 2014) and another mollusc (Watson *et al.*, 2014) that showed bath application of 4 mg/L for 30 minutes reversed the behavioural effect of elevated  $CO_2$ . The dose of picrotoxin was chosen based on *in vivo* studies in a mollusc (Biscocho *et al.*, 2018) and barnacle (Rittschof *et al.*, 1986), which showed altered behaviour after 100  $\mu$ M picrotoxin treatment. Distilled water and ethanol were used to dissolve gabazine and picrotoxin, respectively.

Immediately after drug treatment, squid were placed in the middle of a matte white acrylic tank (30 x 30 x 15 cm) with a mirror taking up the entire area of one wall. The tank was filled with water from their  $CO_2$  treatment to a depth of 3 cm (to limit vertical movement for tracking). The tank was illuminated by an LED strip hung approximately 5 cm to the side of the arena walls, around the entire tank. The behavioural trial was filmed with a digital camera (Canon PowerShot G15 or G16) placed on white Corflute® directly above the tank, 70 cm from the water surface (Figure 3.1). Filming was at 30 frames per second (fps) and started before squid were placed in the behavioural arena to minimize disturbance. Filming continued for the 15 minute behavioural trial and stopped after 16 minutes to eliminate possible disturbances when approaching the camera. Squid mantle length (ML) was measured at the conclusion of each behavioural trial, gabazine experiment ML = 9.99  $\pm$  0.99 mm (mean  $\pm$  standard deviation), picrotoxin experiment ML = 9.54  $\pm$  0.94 mm.



**Figure 3.1. Diagram of behavioural trial set-up.** The squid were placed in a matte white acrylic tank (30 x 30 x 15 cm) with a mirror taking up the entire area of one wall and filled with seawater to a depth of 3 cm. LED strip lights hung approximately 5 cm to the side of the arena walls, around the entire tank. A camera placed on white Corflute® directly above the tank, 70 cm from the water surface, filmed each behavioural trial. A 3 cm wide zone along the full length of the mirror (Zone A) was created in the tracking software when measuring space use.

#### 3.3.4 Behavioural analysis

For each experiment, the squid's interaction with the mirror was analysed across the 15 minutes following introduction into the behavioural arena. Other cephalopod species appear to recognise their mirror image as a conspecific (Shashar *et al.*, 1996; Palmer *et al.*, 2006; Ikeda and Matsumoto, 2007). Therefore, a mirror was used to analyse visual conspecific-directed behaviours while controlling for possible confounding factors of using a live conspecific, such as size, movement and chemical cues. Furthermore, using a mirror image limited the senses that influenced behaviour to vision only. This reduced the potential of altered sensation as a mechanism underlying any elevated CO<sub>2</sub>-induced behavioural changes (Chapter 2), which allowed me to focus on central mechanisms, such as altered LGIC function.

Videos were analysed with the observer blinded to treatment. LoliTrack tracking software (version 4.2.1, Loligo Systems) was used across the 15 minute videos with the framerate subsampled to 15 fps. A 3 cm wide zone along the full length of the mirror (Zone A) was created in LoliTrack to determine space use:

- Time spent in Zone A (seconds) (only for squid that did enter Zone A)
- Number of visits to Zone A

The following information was determined from the videos, at 30 fps, using QuickTime video software (QuickTime version 7.7.5, Apple Inc.): Mirror touching was categorized into two groups. Soft mirror touches were exploratory and defined as only the arm tips touching the mirror. Aggressive mirror touches occurred when the arms splayed upon coming in contact with the mirror, usually at high speed and accompanied by flashing body colour. Other parts of the squid's body coming in contact with the mirror (e.g. mantle) were not counted as mirror touches. Mirror touching measures determined were:

- Proportion of squid that touched the mirror softly/aggressively
- Latency to the first soft/aggressive mirror touch (only for squid that touched the mirror)
- Number of soft/aggressive mirror touches squid's arms had to detach from the mirror completely between mirror touches before a successive touch was counted (only for squid that touched the mirror)

LoliTrack was also used across the 15 minute videos at 15 fps for measures of activity:

- Time spent active (seconds)
- Total distance moved (centimeters)

#### • Average speed (cm/s)

Squid that inked in the behavioural trial (gabazine experiment sham: current-day  $CO_2$ , n = 8, elevated  $CO_2$ , n = 7 and gabazine: current-day  $CO_2$ , n = 10, elevated  $CO_2$ , n = 7, and picrotoxin experiment sham: current-day  $CO_2$ , n = 6, elevated  $CO_2$ , n = 2) were excluded from the tracking analysis because LoliTrack could not distinguish between the squid and the ink. Furthermore, squid that were in very close proximity to the mirror for an extended period of time, e.g. attached to the mirror, were also excluded from the tracking analysis because LoliTrack could not distinguish between the squid and the squid's mirror image. A total of 38 squid (gabazine experiment) and 25 squid (picrotoxin experiment) were excluded from the tracking analysis in LoliTrack. Therefore, sample sizes for tracked data are as follows: gabazine experiment sham: current-day  $CO_2$ , n = 12, elevated  $CO_2$ , n = 13 and gabazine: current-day  $CO_2$ , n = 19, elevated  $CO_2$ , n = 21 and picrotoxin: current-day  $CO_2$ , n = 18, elevated  $CO_2$ , n = 22.

# 3.3.5 Statistical analysis

Bayesian modelling was carried out in R (v4.0.2) (R Core Team, 2020), using RStudio (v1.3.1093) (RStudio Team, 2020) to test the effects of CO<sub>2</sub> and drug treatment on each behaviour. The Bayesian models were fit using the package brms (v2.13.5) (Bürkner, 2017), which uses RStan (v2.21.2) (Stan Development Team, 2020) to interface with the statistical modelling platform Stan.

All count data were modelled against a negative binomial distribution with a log link, binomial data were modelled against a Bernoulli distribution with a logit link, and continuous data were modelled with a linear model (Gaussian distribution with an identity link) or against a gamma distribution with a log link. The models were fit using the no u-turn MCMC sampler which ran with 10,000 iterations, a warm-up of 3,000 and thinning of 5 for each of 4 chains. Default, weakly informative priors were used. For two response variables in the picrotoxin experiment, number of visits to Zone A and the latency to the first aggressive mirror touch, acceptable MCMC diagnostics were maintained by adjusting the MCMC sampler to run with 120,000 iterations, a warm-up of 40,000 and thinning of 80 for each of 4 chains, Stan was forced to take smaller steps by increasing adapt\_delta from the default of 0.8 to 0.99, and the priors were specified. See Appendix B: Table B.3 and Table B.4 for the distribution and link function, and the priors used for each response variable (behaviour).

Variable selection was used to choose a model with the best subset of explanatory variables for each response variable (behaviour). A set of six biologically plausible candidate

models were fit for each response variable, with each model hypothesized a priori to represent a particular aspect of biology that could affect the response variable. All models included the interaction of CO<sub>2</sub> (fixed factor with two levels, current-day and elevated) and drug (fixed factor with two levels, sham and gabazine/picrotoxin). The six models tested: 1) only the interaction of CO<sub>2</sub> and drug, 2) the effect of squid size by including mantle length in centimeters (continuous), 3) the effect of the methods used for behavioural testing by including the behavioural tank used (fixed factor with two levels - behavioural trials were carried out in two different tanks of the same dimensions) and the time of day the behavioural test was carried out (continuous), 4) the effect of drug lot by including the drug test number (fixed factor with three levels in the gabazine experiment or seven levels in the picrotoxin experiment – gabazine solution was made up directly before the trial and the same solution was used for up to three separate trials, while picrotoxin solution was always only used for one trial but all picrotoxin solutions were made up at the start of the day and up to seven picrotoxin solutions were used across one day), 5) the effect of housing conditions by including the number of days squid were acclimated at current-day seawater conditions before transferal to treatment tanks (fixed factor with 6 levels - squid were acclimated for 1 - 6 days) and the day squid underwent the behavioural trial (continuous), 6) the effect of the duplicate seawater systems by including system (fixed factor - two levels for current-day CO<sub>2</sub> and two levels for elevated CO<sub>2</sub>). Leave-one-out cross-validation information criterion (LOOIC) values, which have the same purpose as the frequentist Akaike Information Criterion (AIC) values, were calculated for each model. All LOOIC values were considered reliable due to less than 14.5% of the Pareto k diagnostic values being larger than 0.7. The chosen model for each response variables had a LOOIC within 1 of the best model. See Appendix B: Table B.3 and Table B.4 for the explanatory variables included in the model for each response variable.

All chosen models followed the nominated distribution, tested with Q-Q plots and the Kolmogorov-Smirnov test, showed no over- or under-dispersion, no outliers and the residuals showed no patterns. MCMC diagnostics for each of the chosen models suggested that the chains were well mixed and converged on a stable posterior. MCMC diagnostics included trace plots for visual inspection of chain mixing,  $\hat{R} < 1.05$ , autocorrelation factor < 0.2 and effective sample size > 50%. Posterior probability checks suggest that the priors did not influence the data.

If the drug effect at elevated  $CO_2$  was found to be different to the drug effect at current-day  $CO_2$  this was considered support for altered function of the drugs target receptor(s) underlying the  $CO_2$ -induced behavioural change. The drug effects could differ across  $CO_2$  in five ways, an opposite, removed, added, diminished or enhanced drug effect, with each effect suggesting a slightly different change in receptor function at elevated  $CO_2$  (see Table 3.1 for definitions and explanations of each of these effects).

#### 3.4 Results

A summary of the effects of elevated CO<sub>2</sub> on squid behaviour (in sham-treated individuals) and the drug effect across CO<sub>2</sub> treatments is shown in Table 3.3.

#### 3.4.1 Space use

There was very strong evidence (95.9%) in the gabazine experiment and evidence (83.6%) in the picrotoxin experiment that elevated CO<sub>2</sub> increased the time that sham-treated squid spent in Zone A (for those squid that entered Zone A at least once) (gabazine: 1.74-fold increase 386 to 670 s, picrotoxin: 1.29-fold increase 409 to 529 s) (Figure 3.2A,B,E,F). There was also strong evidence (93.3%) that gabazine had a different effect at current-day and elevated CO<sub>2</sub> conditions. Specifically, there was no evidence of a gabazine effect at current-day CO<sub>2</sub> (64.4%), however there was very strong evidence (97.5%) that gabazine decreased the time spent in Zone A at elevated CO<sub>2</sub> (0.54-fold decrease 670 s to 363 s) (Figure 3.2A,B). The time spent in Zone A by gabazine-treated squid at elevated CO<sub>2</sub> was very similar to shamtreated squid at current-day CO<sub>2</sub> (386 and 363 s, respectively) (Figure 3.2A). There was also evidence (80.8%) that picrotoxin had a different effect at current-day and elevated CO<sub>2</sub>. Specifically, there was strong evidence (91.8%) that picrotoxin increased the time spent in Zone A at current-day CO<sub>2</sub> (1.41-fold increase 409 to 577 s), but no evidence of a picrotoxin effect at elevated CO<sub>2</sub> (60.6%) (Figure 3.2E,F).

There was very strong evidence (99.9%) that elevated CO<sub>2</sub> decreased the number of times squid visited Zone A in the gabazine experiment (0.24-fold decrease 15 to 3.6 visits) (Figure 3.2C,D). There was also very strong evidence (98.8%) that gabazine had a different effect at current-day and elevated CO<sub>2</sub>. Specifically, gabazine decreased the number of visits at current-day CO<sub>2</sub> (97.7% confidence, 0.37-fold decrease 15 to 5.6 visits), but increased the number of visits at elevated CO<sub>2</sub> (86.5% confidence, 1.63-fold increase 3.6 to 5.8 visits). In contrast, there was no evidence (56.7%) that CO<sub>2</sub> affected the number of visits to Zone A by sham-treated squid in the picrotoxin experiment (Figure 3.2G,H). However, there was evidence (80.9%) that picrotoxin had a different effect at current-day and elevated CO<sub>2</sub> (Figure 3.2H).

#### 3.4.2 Soft mirror touch

In the gabazine experiment, there was no evidence (62.2%) for an effect of CO<sub>2</sub> on the proportion of sham-treated squid that explored by softly touching the mirror, nor was there any evidence (63.2%) that gabazine had a different effect at current-day and elevated CO<sub>2</sub> conditions (Figure 3.3A,B). However, in the picrotoxin experiment there was strong evidence (94.4%)

Table 3.3. Summary of the CO<sub>2</sub> effect in sham-treated squid, and the type of different drug effect across CO<sub>2</sub> treatments. Evidence: Very strong = probability of an effect  $\geq 95\%$ , strong = probability of an effect  $\geq 90\%$ , evidence = probability of an effect  $\geq 80\%$ , some evidence = probability of an effect  $\geq 75\%$ . CO<sub>2</sub> effect in sham treated squid, Direction:  $\uparrow$  = increase in behaviour at elevated compared to current-day CO<sub>2</sub>,  $\downarrow$  = decrease in behaviour at elevated compared to current-day CO<sub>2</sub>. Different drug effect across CO<sub>2</sub> conditions, Type: Opposite, Removed, Added, Diminished as described in Table 3.1.

	CO <sub>2</sub> effect in sham-treated squid			Differe	Different drug effect across CO <sub>2</sub> conditions			
	Gabazine		Picrotoxin		Gabazine		Picrot	oxin
	Evidence	Direction	Evidence	Direction	Evidence	Type	Evidence	Type
Space Use								
Time in Zone A (s)	Very strong	<b>↑</b>	Strong	<b>↑</b>	Strong	Added	Evidence	Removed
No. of visits to Zone A	Very strong	$\downarrow$	None	-	Very strong	Opposite	Evidence	Removed
Soft mirror touch								
Proportion of squid that touch mirror softly	None	-	Strong	<b>↑</b>	None	-	None	-
Latency to first soft mirror touch (s)	Some evidence	<b>↓</b>	Very strong	$\downarrow$	None	-	Very strong	Opposite
No. of soft mirror touches	Strong	<b>↑</b>	Some evidence	<b>↑</b>	Some evidence	Diminished	None	-
Aggressive mirror touch								
Proportion of squid that touch mirror aggressively	Evidence	<b>↑</b>	Very strong	<b>↑</b>	None	-	None	-
Latency to first aggressive mirror touch (s)	Some evidence	<b>↑</b>	Evidence	<b>↑</b>	Strong	Diminished	None	-
No. of aggressive mirror touches	Very strong	1	Strong	<b>↑</b>	None	-	None	-
<b>Activity measures</b>								
Active time (s)	Evidence	<b>↑</b>	Evidence	<b>↑</b>	Some evidence	Added	Some evidence	Removed
Total distance moved (cm)	Strong	·	Evidence	<u>†</u>	Some evidence	Added	Some evidence	Diminished
Average speed (cm/s)	Evidence	<u>†</u>	Evidence	<u>†</u>	None	-	None	-

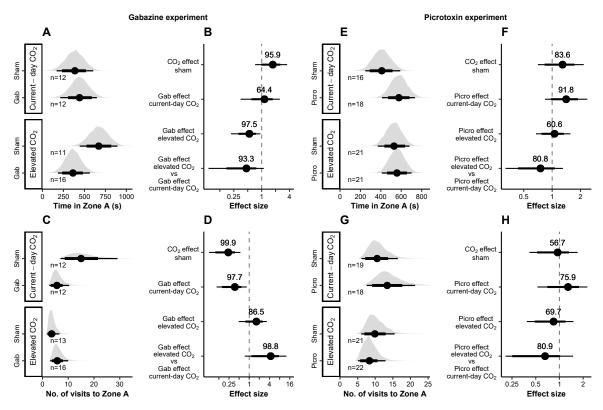


Figure 3.2. Effects of  $CO_2$  and drug treatment on space use. A - D gabazine experiment, E - H picrotoxin experiment. A, E partial plots of the time squid spent in Zone A, B, F caterpillar plots for the effect of  $CO_2$  and drug treatment on the time squid spent in Zone A, C, G partial plots of the number of times squid visited Zone A, D, H caterpillar plots for the effect of  $CO_2$  and drug treatment on the number of times squid visited Zone A. Partial plots: point represents the Bayesian posterior median value  $\pm$  80% (thick lines) and 95% (thin lines) highest posterior density interval (HPDI), overlaid with the distribution of Bayesian posterior values. Caterpillar plots: point represents the median effect size (odds ratio for proportion data, fold change for others)  $\pm$  80% (thick lines) and 95% (thin lines) HPDI. The dashed vertical line indicates an effect size of 1 (no effect) and the numbers above each point are the percent probability of this effect (increase or decrease) occurring.

that elevated CO<sub>2</sub> increased the proportion of sham-treated squid that softly touched the mirror (2.44 odds ratio, increase 29% to 50%) (Figure 3.3G,H). There is no evidence (60.8%) that picrotoxin had a different effect at current-day and elevated CO<sub>2</sub>, with strong evidence (96.9% and 92.2%, respectively) that picrotoxin increased the proportion of squid softly touching the mirror at both current-day CO<sub>2</sub> (2.88 odds ratio, increase 29% to 54%) and elevated CO<sub>2</sub> (2.31 odds ratio, increase 50% to 70%) (Figure 3.3G,H).

There was some evidence (77.9%) in the gabazine experiment and very strong evidence (99%) in the picrotoxin experiment that elevated CO<sub>2</sub> decreased the latency to the first soft mirror touch (gabazine: 0.67-fold decrease 107.7 to 72.5 s, picrotoxin: 0.3-fold decrease 257.3 to 75.8 s) (Figure 3.3C,D,I,J). However, there was no evidence (60.3%) for a different

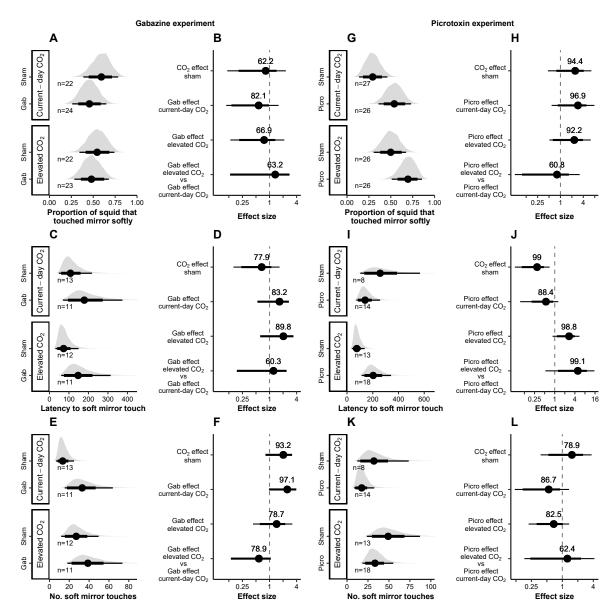
effect of gabazine across CO<sub>2</sub> conditions. Gabazine increased the latency at both current-day CO<sub>2</sub> (83.2% confident, 1.66-fold increase 107.7 to 178.6 s) and elevated CO<sub>2</sub> (89.8% confident, 2.03-fold increase 72.5 to 146.8 s). In contrast, there was very strong evidence (99.1%) that picrotoxin had a different effect across CO<sub>2</sub> treatments. Picrotoxin decreased the latency at current-day CO<sub>2</sub> (88.4% confident, 0.55-fold decrease 257.3 to 140.5 s), whereas picrotoxin increased the latency at elevated CO<sub>2</sub> (98.8% confident, 2.69-fold increase 75.8 to 203.2 s) (Figure 3.3I,J).

In the gabazine experiment there was strong evidence (93.2%), and in the picrotoxin experiment some evidence (78.9%), that elevated CO<sub>2</sub> increased the number of soft mirror touches per individual (gabazine: 2.02-fold increase 13.3 to 27.0 touches, picrotoxin: 1.53-fold increase 32.1 to 49.1 touches) (Figure 3.3E,F,K,L). There was some evidence (78.9%) that gabazine had a smaller effect at elevated compared to current-day CO<sub>2</sub>. Specifically, gabazine increased the number of soft touches by a median of 2.48-fold at current-day CO<sub>2</sub> (97.1% confidence, increase 13.3 to 33.0 touches) and 1.44-fold at elevated CO<sub>2</sub> (78.7% confidence, increase 27.0 to 38.8 touches) (Figure 3.3E,F). By contrast, there was no evidence (62.4%) that picrotoxin had a different effect across CO<sub>2</sub> conditions. Picrotoxin decreased the number of soft touches both at current-day CO<sub>2</sub> (86.7% confident, 0.54-fold decrease 32.1 to 17.5 touches) and at elevated CO<sub>2</sub> (82.5% confident, 0.68-fold decrease 49.1 to 33.4 touches) (Figure 3.3K,L).

# 3.4.3 Aggressive mirror touch

There was evidence (83.2%) in the gabazine experiment and very strong evidence (97.2%) in the picrotoxin experiment that elevated CO<sub>2</sub> increased the proportion of sham-treated squid that touched the mirror aggressively (gabazine: 1.82 odds ratio, increase 31% to 45%, picrotoxin: 3.01 odds ratio, increase 25% to 50%) (Figure 3.4A, B and G, H). In both experiments, there was no evidence (65% and 70.7%, respectively) that drug treatment had a different effect at current-day and elevated CO<sub>2</sub> conditions (Figure 3.4A,B,G,H).

In the gabazine experiment there was some evidence (76.5%), and in the picrotoxin experiment there was evidence (86%), that elevated CO<sub>2</sub> increased the latency to the first aggressive mirror touch (gabazine: 1.6-fold increase 30.8 to 48.9 s, picrotoxin: 1.85-fold increase 108.1 to 201.8 s) (Figure 3.4C,D,I,J). There was also strong evidence (94.2%) that the effect of gabazine was smaller at elevated compared to current-day CO<sub>2</sub>. Specifically, gabazine increased the latency by a median of 7.6-fold at current-day CO<sub>2</sub> (99.8% confident, increase 30.8 to 235.3 s) and 2.03-fold at elevated CO<sub>2</sub> (91.3% confident, increase 48.9 to 97.9 s) (Figure 3.4 C,D). There was no evidence (55.5%) that picrotoxin had a different effect across CO<sub>2</sub> conditions (Figure 3.4I,J).



**Figure 3.3.** Effects of CO<sub>2</sub> and drug treatment on measures of soft mirror touching behaviour. A - F gabazine experiment, G - L picrotoxin experiment. A, G partial plots of the proportion of squid that touched the mirror softly, B, H caterpillar plots for the effect of CO<sub>2</sub> and drug treatment on the proportion of squid that touched the mirror softly, C, I partial plots of the latency from introduction to the first soft mirror touch (seconds), D, J caterpillar plots for the effect of CO<sub>2</sub> and drug treatment on the latency from introduction to the first soft mirror touch (seconds), E, K partial plots of the total number of soft mirror touches per individual, F, L caterpillar plots for the effect of CO<sub>2</sub> and drug treatment on the total number of soft mirror touches per individual. Partial and caterpillar plot symbols as per Figure 3.2.

In both the gabazine and picrotoxin experiment, there was strong evidence (97.3% and 93.3%, respectively) that elevated CO<sub>2</sub> increased the number of aggressive mirror touches per individual (gabazine: 2.5-fold increase 11.9 to 29.6 touches, picrotoxin: 1.79-fold increase 9.7 to 17.4 touches) (Figure 3.4E, F and K, L). However, there was no evidence (68.6% and 64.9%, respectively) that gabazine or picrotoxin had a different effect across CO<sub>2</sub> conditions (Figure 3.4E,F,K,L). There was strong evidence (95.6% and 93.8%, respectively) that picrotoxin increased the number of aggressive touches both at current-day CO<sub>2</sub> (2.16-fold increase 9.7 to 21 touches) and at elevated CO<sub>2</sub> (1.77-fold increase 17.4 to 30.8 touches) (Figure 3.4K,L).

#### 3.4.4 Activity

Elevated CO<sub>2</sub> increased the time squid spent active in both experiments (gabazine: 87.8% confident, 1.41-fold increase 161.5 to 228.2 s, picrotoxin: 86.6% confident, 1.23-fold increase 258.4 to 316.1 s) (Figure 3.5A,B,G,H). There was some evidence that drug treatment had a different effect at current-day and elevated CO<sub>2</sub> in both experiments. Gabazine had a larger effect at elevated compared to current-day CO<sub>2</sub> (78.9% confident, 1.40-fold); there was no evidence (50.7%) at current-day CO<sub>2</sub>, but there was evidence (88.7%) at elevated CO<sub>2</sub> (1.40-fold increase 228.2 to 319 s) that gabazine increased active time (Figure 3.5A,B). Conversely, picrotoxin had a smaller effect at elevated compared to current-day CO<sub>2</sub> (79.5% confident, 0.8-fold); there was strong evidence (91.6%) at current-day CO<sub>2</sub> (1.46-fold increase 316.1 to 333.9 s), but no evidence (63.5%) at elevated CO<sub>2</sub>, that picrotoxin increased active time (Figure 3.5G,H).

The total distance moved by squid throughout the behavioural trial was also increased by elevated CO<sub>2</sub> in both experiments (gabazine: 90.3% confident, 1.57-fold increase 360.0 to 566.6 s, picrotoxin: 88.9% confident, 1.36-fold increase 613.5 to 833.9 s) (Figure 3.5C,D,I,J). There was some evidence (76.9%) that gabazine had a larger effect at elevated compared to current-day CO<sub>2</sub>. Specifically, there was no evidence (57.8%) at current-day CO<sub>2</sub>, but strong evidence (90.7%) at elevated CO<sub>2</sub> (1.54-fold increase 566.6 to 872.2 s) that gabazine increased active time (Figure 3.5C,D). Conversely, there was some evidence (75.3%) that picrotoxin had a smaller effect at elevated compared to current-day CO<sub>2</sub>. Specifically, picrotoxin increased distance moved by a median of 1.46-fold at current-day CO<sub>2</sub> (93.4% confident, increase 613.5 to 892.8 s) and 1.17-fold at elevated CO<sub>2</sub> (78.9% confident, increase 833.9 s to 976 s) (Figure 3.5I,J).

Squid average speed across the behavioural trial was also higher at elevated compared to current-day CO<sub>2</sub> (gabazine: 86.8% confident, 1.12-fold increase 2.07 to 2.32 cm/s, picrotoxin: 87.4% confident, 1.10-fold increase 2.21 to 2.42 cm/s) (Figure 3.5E,F,K,L). There

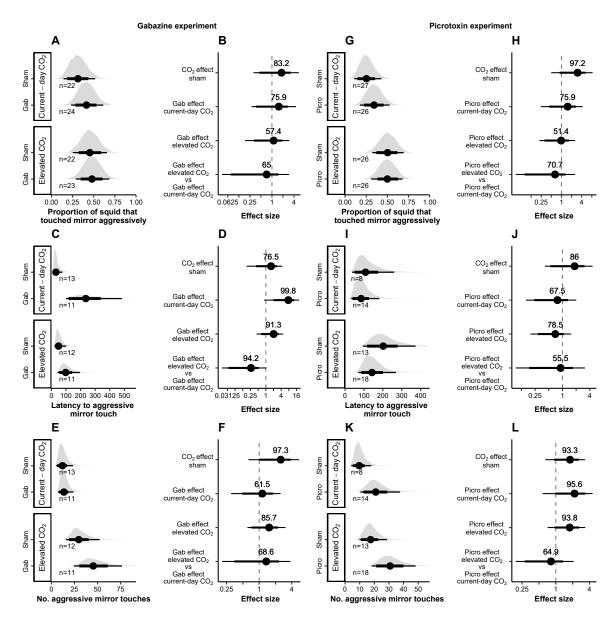


Figure 3.4. Effects of CO<sub>2</sub> and drug treatment on measures of aggressive mirror touching behaviour. A - F gabazine experiment, G - L picrotoxin experiment. A, G partial plots of the proportion of squid that touched the mirror aggressively, B, H caterpillar plots for the effect of CO<sub>2</sub> and drug treatment on the proportion of squid that touched the mirror aggressively, C, I partial plots of the latency from introduction to the first aggressive mirror touch (seconds), D, J caterpillar plots for the effect of CO<sub>2</sub> and drug treatment on the latency from introduction to the first aggressive mirror touch (seconds), E, K partial plots of the total number of aggressive mirror touches per individual, F, L caterpillar plots for the effect of CO<sub>2</sub> and drug treatment on the total number of aggressive mirror touches per individual. Partial and caterpillar plot symbols as per Figure 3.2.

was no evidence (55.9%) that gabazine had a different effect across CO<sub>2</sub> conditions, with no evidence (71.2% and 66.6%, respectively) of gabazine having an effect at either current-day or elevated CO<sub>2</sub> (Figure 3.5E,F). There was also no evidence (54.4%) that picrotoxin had a different effect at current-day compared to elevated CO<sub>2</sub>, there was strong evidence (95.2% and 98.3%, respectively) that picrotoxin increased squid average speed at both current-day CO<sub>2</sub> (1.14-fold increase 2.21 to 2.53 cm/s) and elevated CO<sub>2</sub> (1.16-fold increase 2.42 to 2.81 cm/s) (Figure 3.5K,L).

#### 3.5 Discussion

Elevated CO<sub>2</sub> levels can alter marine invertebrate behaviour (reviewed in Clements and Hunt (2015); Nagelkerken and Munday (2015) and Chapter 2), however, little is known about how elevated CO<sub>2</sub> levels might affect conspecific-directed behaviours or the mechanisms involved in altered marine invertebrate behaviour at elevated CO<sub>2</sub>. Here, I found that elevated CO<sub>2</sub> increased male two-toned pygmy squid *Idiosepius pygmaeus* conspecific attraction and aggression, and activity levels in the presence of the squid's mirror image, compared to squid in current-day CO<sub>2</sub> conditions. Treatment with gabazine and picrotoxin had a different effect at elevated compared to current-day CO<sub>2</sub> conditions in some behaviours, providing robust support for the GABA hypothesis within a cephalopod, and indicating altered functioning of gluatame (Glu)-, acetylcholine (ACh)- and dopamine (DA)- gated chloride (Cl<sup>-</sup>) channels may also underlie CO<sub>2</sub>-induced behavioural changes. However, gabazine and picrotoxin had a similar effect in both current-day and elevated CO<sub>2</sub> conditions for other CO<sub>2</sub>-affected behavioural traits, suggesting other mechanisms may also be involved.

# 3.5.1 Behavioural change in response to elevated CO<sub>2</sub>

For the majority of the measured behaviours, the effect of elevated CO<sub>2</sub> was consistent across the gabazine and picrotoxin experiments. However, for two behavioural traits there was evidence for an effect of CO<sub>2</sub> in one experiment and not the other (see Table 3.3). Behaviour is notoriously difficult to measure due to its complexity (Niepoth and Bendesky, 2020), and behavioural effects are known to be influenced by subtle environmental changes, even when in controlled laboratory conditions (Crabbe *et al.*, 1999). There are many potential explanations for why the CO<sub>2</sub> effect was not the same between experiments for the number of times squid visited the zone closest to the mirror (Zone A) and the proportion of squid that touched the mirror softly. For example, the gabazine experiment was carried out at a later date than the picrotoxin experiment. This may have altered factors including the natural environmental conditions squid were exposed to before capture, such as temperature or turbidity, and

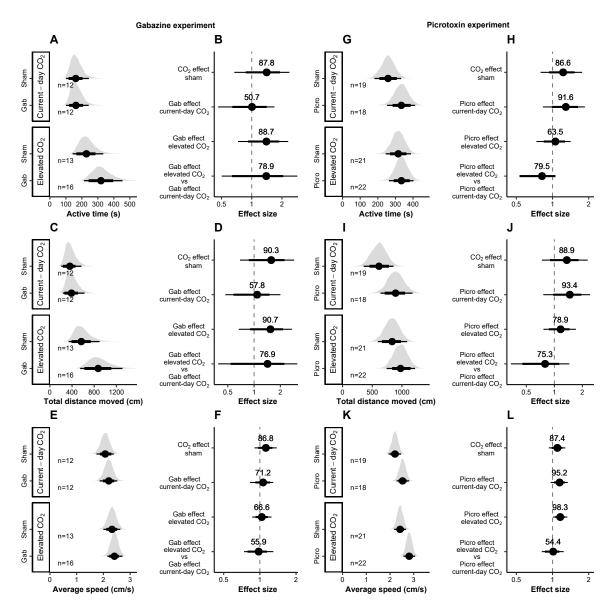


Figure 3.5. Effects of CO<sub>2</sub> and drug treatment on activity measures. A - F gabazine experiment, G - L picrotoxin experiment. A, G partial plots of the time squid spent active, B, H caterpillar plots for the effect of CO<sub>2</sub> and drug treatment on the time squid spent active, C, I partial plots of the total distance moved by squid, D, J caterpillar plots for the effect of CO<sub>2</sub> and drug treatment on the total distance moved by squid, E, K partial plots of squid average speed, F, L caterpillar plots for the effect of CO<sub>2</sub> and drug treatment on squid average speed. Partial and caterpillar plot symbols as per Figure 3.2.

the age of the squid captured. Nevertheless, nine out of the 11 behaviours measured showed a consistent response to  $CO_2$  across the two experiments, suggesting the reliability of these results.

Squid exposed to elevated CO<sub>2</sub> spent more time in the zone closest to the mirror, tended to remain in this zone rather than move in and out of it, exhibited a decreased latency until the first soft mirror touch, and an increased number of soft mirror touches compared to squid at current-day CO<sub>2</sub> conditions. These results suggest that elevated CO<sub>2</sub> conditions may increase the exploratory behaviours of the squid directed towards their mirror image and an increased attraction of squid to conspecifics. This contrasts with the elevated CO<sub>2</sub>-induced loss of conspecific chemical cue attraction in larval banded coral shrimp (Lecchini *et al.*, 2017). These opposing results may be due to the differing baseline behaviours of the studied species. Larval shrimp are attracted to conspecific chemical cues as a signal for settlement (Lecchini *et al.*, 2017), whereas adult *I. pygmaeus* are solitary and individuals are thought to avoid each other (Moynihan, 1983). Differences may also be due to the differing senses and taxa tested. Furthermore, coral shrimp were offered a binary choice (conspecific versus heterospecific chemical cues). However in the experiments here, squid did not have a choice of different cues, only whether to interact with the mirror image or not.

Elevated CO<sub>2</sub> also increased the proportion of squid that aggressively touched the mirror and the number of aggressive mirror touches, suggesting elevated CO<sub>2</sub> may increase conspecific-directed aggression in *I. pygmaeus*. All measures of activity (time spent active, distance moved and average speed) were also increased with exposure to elevated CO<sub>2</sub>. This is consistent with increased activity levels in male *I. pygmaeus* at elevated CO<sub>2</sub> (626 and 956 μatm) when measured by mean number of line crosses (Spady *et al.*, 2014). In the bigfin reef squid (*Sepioteuthis lessoniana*), active time, total distance moved and average speed were also higher at elevated CO<sub>2</sub> conditions (935 μatm) (Spady *et al.*, 2018). In contrast, activity decreased at elevated CO<sub>2</sub> levels in para-larvae of the longfin inshore squid (*Doryteuthis pealeii*) (Zakroff *et al.*, 2018). Differences between studies may be due to the different species used, or differences in the timing of CO<sub>2</sub> treatment. *I. pygmaeus* and *S. lessoniana* were exposed to elevated CO<sub>2</sub> levels as adults (Spady *et al.*, 2014, 2018, this study)) whereas *D. pealeii* were exposed to elevated CO<sub>2</sub> for the duration of egg development (Zakroff *et al.*, 2018), in which there is already high CO<sub>2</sub> levels within the egg (Hu and Tseng, 2017).

It is unknown how CO<sub>2</sub>-induced increases in conspecific attraction, aggression, and activity levels in *I. pygmaeus* may translate to changes in the wild. More conspecific interactions, particularly aggressive ones, could increase the prevalence of injuries in elevated CO<sub>2</sub> conditions. Increased activity levels may adversely affect the finely tuned energy budgets of squid (Rodhouse, 1998) and increase detection by predators (Draper and Weissburg, 2019).

## 3.5.2 Behavioural change in response to drug treatment

Within marine invertebrates, the GABA hypothesis has been assessed in gastropod molluscs (Watson *et al.*, 2014; Moya *et al.*, 2016; Zlatkin and Heuer, 2019), a bivalve mollusc (Clements *et al.*, 2017) and decapod crustaceans (de la Haye *et al.*, 2012; Charpentier and Cohen, 2016; Ren *et al.*, 2018). Here, I test the GABA hypothesis for the first time in a cephalopod, and assess whether Glu-, ACh- and DA- gated Cl<sup>-</sup> channels may also be involved in the CO<sub>2</sub>-induced behavioural changes, by administration of both gabazine and picrotoxin to male *I. pygmaeus* exposed to current-day or elevated CO<sub>2</sub> conditions.

#### Behavioural effects of gabazine and picrotoxin

The effects of both gabazine and picrotoxin treatment at current-day CO<sub>2</sub> on specific behavioural traits in the current study suggests that receptors in *I. pygmaeus* are sensitive to gabazine and picrotoxin. Furthermore, the concentrations used did not cause any obvious convulsions, which can be produced by the excitotoxic effects of GABAA receptor antagonists when administered systemically (Hinton and Johnston, 2018). The concentrations used are based on previous in vivo studies showing behavioural change with no convulsant effects reported (Rittschof et al., 1986; Nilsson et al., 2012; Hamilton et al., 2013; Chivers et al., 2014; Watson et al., 2014; Biscocho et al., 2018). There is no evidence for an effect of gabazine at current-day CO<sub>2</sub> on all activity measures, also indicating no convulsant effects. Picrotoxin increased all measures of activity levels at current-day CO<sub>2</sub>. This is likely due to the action of picrotoxin on the neural circuits underlying locomotion, rather than causing excitotoxicity. At a synapse within the central pattern generator for pteropod mollusc swimming, pre-synaptic release of ACh causes post-synaptic inhibition via an increase in Cl permeability (Panchin et al., 1995; Panchin and Sadreyev, 1997), and picrotoxin antagonizes inhibition at this synapse (Arshavsky et al., 1985). Furthermore, picrotoxin has been shown to affect cephalopod mollusc locomotion (Chichery and Chichery, 1985). Receptors that are sensitive to picrotoxin but not gabazine, such as ACh-gated Cl<sup>-</sup> channels, may be involved in the generation of mollusc swimming behaviour explaining the effect of picrotoxin, but not gabazine, on I. pygmaeus activity. Thus, the behavioural effects of gabazine and picrotoxin in *I. pygmaeus* are likely not convulsive side effects but rather due to the action of these drugs on the underlying neural circuits.

The effect of gabazine and picrotoxin at current-day CO<sub>2</sub> on some, but not other, behavioural traits is likely due to different neural circuits underlying different behaviours. Only those behaviours in which the drug's target receptor(s) play an important role in are affected by drug administration. Both gabazine and picrotoxin affected multiple measures of space use and soft mirror touching behaviour at current-day CO<sub>2</sub>, suggesting that both gabazine-

sensitive receptors (GABA<sub>A</sub>-like receptors and possibly also GABA-gated cation channels) and picrotoxin-sensitive ligand-gated Cl<sup>-</sup> channels may be important in different aspects of squid attraction and exploratory behaviour towards their mirror image/conspecific. Interestingly, gabazine and picrotoxin mostly had opposite effects on these behavioural traits which may be due to the different target receptors of gabazine and picrotoxin. Gabazine and picrotoxin also affected various measures of aggressive mirror touching behaviour, suggesting that GABA<sub>A</sub>-like receptors (and possibly also GABA-gated cation channels) and/or picrotoxin-sensitive ligand-gated Cl<sup>-</sup> channels may also be important for producing conspecific-directed aggressive behaviours. As far as I am aware, no research has assessed what receptor(s) are involved in conspecific-directed behaviours in marine invertebrates. However, vertebrate GABA<sub>A</sub> receptors have also been found to be involved in mammalian conspecific-directed behaviours; GABA<sub>A</sub> receptor agonist administration into the rat brain increased social approach and conspecific-directed aggressive behaviours, while antagonising GABA<sub>A</sub> receptors decreased conspecific-directed aggressive behaviours (Depaulis and Vergnes, 1985).

#### Drug treatment effects across CO<sub>2</sub> conditions

Both gabazine and picrotoxin had a different effect across CO<sub>2</sub> treatments on a behavioural measure of space use, and on two activity measures. Gabazine had an added effect across CO<sub>2</sub> on the time spent in the zone closest to the mirror (Zone A), active time and distance moved by the squid. Picrotoxin had a removed effect across CO<sub>2</sub> on the time in Zone A and active time, and a diminished effect across CO<sub>2</sub> on distance moved. The different effect of both gabazine and picrotoxin across CO<sub>2</sub> provides strong evidence for the GABA hypothesis in *I. pygmaeus*. Furthermore, as the different effect of gabazine and picrotoxin across CO<sub>2</sub> were in different directions (added effect versus removed or diminished effect, respectively) this suggests that it is not just the common target receptor, the GABA<sub>A</sub>-like receptors, but the target receptors of both gabazine and picrotoxin that are affected by elevated CO<sub>2</sub>. Thus, altered functioning of GABA-, Glu-, ACh- and DA-gated Cl<sup>-</sup> channels (and possibly also GABA-gated cation channels) may underlie the CO<sub>2</sub>-induced increase in the time spent in Zone A and the active time and distance moved by squid.

Gabazine had an opposite effect across  $CO_2$  treatments on the number of visits to Zone A, decreasing the number of visits at current-day  $CO_2$  but increasing visits at elevated  $CO_2$ . This suggests that a reversal in the flow of ions through, and a switch in function of, the  $GABA_A$ -like receptor may underlie the elevated  $CO_2$ -induced decrease in the number of visits to Zone A.

For the latency to the first soft mirror touch, gabazine had a similar effect across CO<sub>2</sub> (increased the latency at both current-day and elevated CO<sub>2</sub>), whereas picrotoxin had an op-

posite effect across CO<sub>2</sub> (decreased the latency at current-day CO<sub>2</sub> and increased the latency at elevated CO<sub>2</sub>). This suggests that altered functioning of ligand-gated Cl<sup>-</sup> channels, other than GABA<sub>A</sub>-like receptors, underlies the CO<sub>2</sub>-induced decrease in the latency to the first soft mirror touch. In molluscs, Glu-, ACh- and DA-gated Cl- channels are all antagonised by picrotoxin (Piggott et al., 1977; Yarowsky and Carpenter, 1978b; Magoski and Bulloch, 1999). Serotonin-gated Cl<sup>-</sup> channels exist in nematodes (Ranganathan et al., 2000) and possibly also molluscs (Gerschenfeld and Tritsch, 1974), but it is unknown whether serotoningated Cl<sup>-</sup> channels are picrotoxin-sensitive. The results here cannot distinguish which specific ligand-gated Cl<sup>-</sup> channels are involved in the behavioural effects of elevated CO<sub>2</sub>, but they do suggest that ligand-gated Cl<sup>-</sup> channels other than the GABA<sub>A</sub>-like receptor underlie this particular CO<sub>2</sub>-induced behavioural change. Furthermore, the opposite effect of picrotoxin across CO<sub>2</sub> suggests there is a reversal in ion flow through, and a switch in function of, these ligand-gated Cl<sup>-</sup> channels. This finding agrees with previous suggestions that other ligand-gated Cl<sup>-</sup> channels, such as vertebrate glycine receptors or invertebrate Glu-gated Cl<sup>-</sup> channels, are likely involved due to their similarity to the GABA<sub>A</sub> receptor (Tresguerres and Hamilton, 2017, Chapter 2). My results also agree with molecular work showing altered expression of genes encoding for the LGICs (and associated proteins) of glycinergic-like, glutamatergic and cholinergic synapses at elevated CO<sub>2</sub> in a pteropod mollusc (Moya et al., 2016). However, this result does not necessarily preclude the possibility of GABA<sub>A</sub>-like receptors also being involved in the CO<sub>2</sub>-induced decrease in the latency to the first soft mirror touch. If gabazine acts on GABA-gated cation channels, the influence of these GABA-gated cation channels could potentially mask the effect of GABA<sub>A</sub>-like receptors.

In fish, altered HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> ion gradients across GABA<sub>A</sub> receptors was suggested to underlie altered functioning of these receptors at elevated CO<sub>2</sub> (Nilsson *et al.*, 2012). A similar mechanism may result in the altered function of ligand-gated Cl<sup>-</sup> channels in *I. pyg-maeus* exposed to elevated CO<sub>2</sub>. Cephalopods can actively increase extracellular [HCO<sub>3</sub><sup>-</sup>] in response to elevated environmental CO<sub>2</sub> levels. The cuttlefish *Sepia officinalis* exposed to elevated CO<sub>2</sub> (0.6 kPa ~6,000 μatm pCO<sub>2</sub>) increased blood [HCO<sub>3</sub><sup>-</sup>], partially compensating the drop in extracellular pH (Gutowska *et al.*, 2010). Exposure of the squid *Sepioteuthis lessoniana* to elevated CO<sub>2</sub> (1,600 and 4,100 μatm pCO<sub>2</sub>) resulted in full compensation of extracellular pH, accompanied by an increase in blood [HCO<sub>3</sub><sup>-</sup>] (Hu *et al.*, 2014). Although Cl<sup>-</sup> levels in response to elevated seawater CO<sub>2</sub> have not been measured in cephalopods, a Na<sup>+</sup>-driven HCO<sub>3</sub><sup>-</sup>/Cl<sup>-</sup> exchanger has been isolated from the squid *Loligo pealei* (Virkki *et al.*, 2003) suggesting the potential for altered [Cl<sup>-</sup>] as part of acid-base regulation. Thus, altered ligand-gated Cl<sup>-</sup> channel functioning observed in *I. pygmaeus* here may be due to altered HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> ion gradients across these receptors resulting from acid-base regulatory mechanisms at elevated CO<sub>2</sub>. Future research measuring both extra- and intra-cellular mea-

surements of [HCO<sub>3</sub><sup>-</sup>] and [Cl<sup>-</sup>] in squid, and particularly in *I. pygmaeus*, will be useful to determine whether ionic gradients across neuronal membranes are altered at elevated CO<sub>2</sub>, consequently disturbing ligand-gated Cl<sup>-</sup> channel function.

In two CO<sub>2</sub>-affected behavioural traits, the number of soft mirror touches and the latency to the first aggressive mirror touch, gabazine had a diminished effect whereas picrotoxin had a similar effect across CO<sub>2</sub> conditions. This suggests that receptors sensitive to gabazine, but not picrotoxin, may underlie the elevated CO<sub>2</sub>-induced change of these behavioural traits, for example GABA-gated cation channels. This suggests the potentially widespread nature of the mechanisms underlying CO<sub>2</sub>-induced behavioural changes. However, the lack of evidence for a different effect of picrotoxin across CO<sub>2</sub> conditions does not necessarily rule out the involvement of GABA<sub>A</sub>-like receptors. For example, if the GABA<sub>A</sub>-like receptors are the only ligand-gated Cl<sup>-</sup> channels involved in these particular behavioural changes, the influence of other ligand-gated Cl<sup>-</sup> channels could mask the effect of the GABA<sub>A</sub>-like receptors.

A range of other CO<sub>2</sub>-affected behavioural traits showed no evidence of gabazine nor picrotoxin having a different effect across CO<sub>2</sub> conditions. This suggests that GABA<sub>A</sub>-like receptors as well as other gabazine-sensitive (possibly GABA-gated cation channels) and picrotoxin-sensitive (Glu-, ACh- and DA- gated Cl<sup>-</sup> channels) receptors are not involved in the mechanisms underlying the elevated CO<sub>2</sub>-induced change of these specific behavioural traits. Therefore, other mechanisms may be involved in these behavioural alterations.

Overall, the results here suggest that elevated CO<sub>2</sub> alters behaviour via multiple mechanisms in male *I. pygmaeus*, with different mechanisms underlying the changes of different behavioural traits at elevated CO<sub>2</sub> (Figure 3.6). It is possible that elevated CO<sub>2</sub> results in a suite of changes within the nervous system, including, but not limited to, altered functioning of GABA-, Glu-, ACh- and DA-gated Cl<sup>-</sup> channels as well as possibly GABA-gated cation channels. As different neural circuits produce different behaviours, only some of the elevated CO<sub>2</sub>-induced changes within the nervous system may result in the alteration of a particular behavioural trait. This can potentially explain the variability in the effects of elevated CO<sub>2</sub> among behaviours.

The complexity of the mechanisms underlying  $CO_2$ -induced behavioural changes is further increased by the fact that receptors can vary in subunit composition, and therefore pharmacological sensitivity, between differing subcellular, cellular and tissue locations (Lee and Maguire, 2014). For example, GABA<sub>A</sub> receptors are composed of 5 subunits and there are 19 different subunit genes identified in humans,  $\alpha 1$ –6,  $\beta 1$ –3,  $\gamma 1$ –3,  $\delta$ ,  $\varepsilon$ ,  $\vartheta$ ,  $\pi$  and  $\rho 1$ -3 (Simon *et al.*, 2004). These subunits can combine in various ways to form GABA<sub>A</sub> receptor subtypes that differ in location, the functions (including behaviours) they are involved in, and their pharmacological profile (see Olsen and Sieghart (2009) for more detail). For example, vertebrate GABA<sub>A</sub> receptors made up of  $\rho$  subunits (GABA<sub>A</sub>- $\rho$  Rs), which are part of the

GABA<sub>A</sub> receptor family but sometimes called GABA<sub>C</sub> Rs (Olsen and Sieghart, 2008), are less sensitive to gabazine than GABA<sub>A</sub> receptors not composed of ρ subunits (Woodward et al., 1993; Feigenspan and Bormann, 1994; Zhang et al., 2008). Furthermore, GABAA  $(\alpha 1\beta 2\gamma 2)$  and GABA<sub>A</sub>- $\rho 2$  Rs are 10-fold more sensitive to picrotoxin than GABA<sub>A</sub>- $\rho 1$  Rs (Naffaa et al., 2017). GABAA receptor subunits have been less studied in molluscs, though molluscan GABA<sub>A</sub> receptor-like  $\alpha$  and  $\beta$  subunits have been identified (Harvey *et al.*, 1991; Moroz et al., 2006; Stewart et al., 2011) and GABA<sub>A</sub> receptor-like  $\alpha$ ,  $\beta$ ,  $\gamma$  and  $\rho$  subunit sequences have been predicted in molluscs (for example GenBank BioProject PRJNA551489 and PRJNA625562). Picrotoxin antagonised GABA<sub>A</sub>-like receptor hyperpolarisations in some neurons, but had no effect on ionotropic GABAA receptors of another neuron within the same mollusc species (Norekian and Satterlie, 1993; Norekian and Malyshev, 2005). Therefore, GABA<sub>A</sub> receptor-like subtypes that have differing pharmacological sensitivities are likely present in molluscs. Systemic drug administration allowed us to determine what receptors may be involved in the elevated CO<sub>2</sub>-induced behavioural changes, but it cannot address the heterogeneity of receptor subtypes between different subcellular locations, cell types and tissues.

#### 3.5.3 Conclusion

I found that elevated CO<sub>2</sub> increased conspecific-directed attraction and aggression as well as activity levels in male two-toned pygmy squid. Previous studies exclusively using gabazine have provided evidence for altered GABAA-like receptor functioning as a mechanism for elevated CO<sub>2</sub>-induced behavioural changes in a gastropod and bivalve mollusc. The study here now also supports the GABA hypothesis in a cephalopod mollusc. Furthermore, I have provided more robust support for the GABA hypothesis in molluscs by using both gabazine and picrotoxin, which is structurally unrelated to, and has a better studied molluscan pharmacological profile than, gabazine. Therefore, altered GABAA-like receptor functioning may be a common mechanism underlying behavioural change at elevated CO<sub>2</sub> across marine molluscs. The use of both gabazine and picrotoxin also showed, for the first time in any marine invertebrate taxa, that altered functioning of ligand-gated Cl<sup>-</sup> channels other than the GABA<sub>A</sub>-like receptor may be involved in elevated CO<sub>2</sub>-induced behavioural changes. I propose that elevated CO<sub>2</sub> leads to a suite of changes within the nervous system. As different neural circuits underlie different behaviours, and these different neural circuits may have various sensitivities to elevated CO<sub>2</sub>, this can potentially explain the variability in the behavioural effects of elevated CO<sub>2</sub>, both among behaviours and among species. The use of model animals will be important for future research to assess the complexities of the mechanisms underlying elevated CO<sub>2</sub>-induced behavioural change. For example, an antipredator response was re-

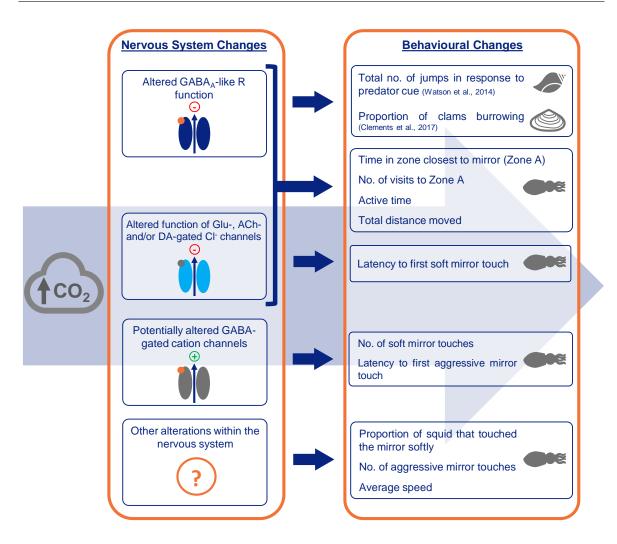


Figure 3.6. Evidence within molluscs that elevated CO<sub>2</sub> results in a suite of changes within the nervous system. Each change (or group of changes) likely alters different behavioural traits. The mechanisms underlying elevated CO<sub>2</sub>-induced behavioural change were tested using gabazine in the jumping conch snail and soft-shell clam, and both gabazine and picrotoxin in the two-toned pygmy squid (this study).

cently shown to be altered at elevated CO<sub>2</sub> levels in *Aplysia californica* (Zlatkin and Heuer, 2019), a well-studied model organism for neurobiological work. Drug administration in a species whose pharmacological profile is well known, as well as other techniques such as gene knockdown and transcriptomics, will be important to understand the complexities of the mechanisms underlying behavioural change at elevated CO<sub>2</sub>.

# **Chapter 4**

# Neurobiological mechanisms underlying effects of elevated CO<sub>2</sub> in a cephalopod

A version of this chapter is in preparation for publication:

**Thomas, J.T.**, Huerlimann R., Schunter C., Watson, S.-A., Munday, P.L. and Ravasi T. (in preparation). Neurobiological mechanisms underlying effects of elevated CO<sub>2</sub> in a cephalopod.

#### Data availability:

All sequencing data can be found at NCBI BioProject PRJNA798187 (this is embargoed until publication).

All remaining data and scripts accompanying this chapter are available at https://doi.org/10.25903/ha66-mm11 (this is embargoed until publication).

#### 4.1 Abstract

The nervous system is central to coordinating behavioural and physiological responses to environmental change, likely including rising seawater carbon dioxide (CO<sub>2</sub>) levels. However, the neurobiological mechanisms underlying the responses to elevated CO2 remain understudied in marine invertebrates. Here, I evaluated the transcriptomic response of the central nervous system (CNS) and eyes of two-toned pygmy squid (Idiosepius pygmaeus) exposed to elevated (~1,000 μatm) CO<sub>2</sub> for seven days compared with current-day (~450 μatm) controls. As a reference for gene expression quantification, I assembled a high quality, annotated de novo transcriptome of I. pygmaeus CNS and eye tissues using long read PacBio ISO-sequencing data. There were a small number of significantly differentially expressed genes, and widespread small but coordinated expression changes of genes belonging to the same functional categories, between control and elevated CO<sub>2</sub> conditions. Both the squid CNS and eyes responded to elevated CO<sub>2</sub> with expression changes in three top functions: neurotransmission, immune function, and oxidative stress. My results support both previous and novel mechanistic hypotheses for behavioural and physiological responses to elevated CO<sub>2</sub> and I propose a novel mechanistic model explaining how changes in neurotransmission, immune function and oxidative stress might interact in the nervous tissue of I. pygmaeus to drive behavioural and physiological responses to elevated CO<sub>2</sub>. Molecular signatures for various different types of neurotransmission, including neurotransmission mediated by GABA<sub>A</sub>-like receptors, suggests altered functioning of widespread neurotransmission is a likely mechanism for elevated CO<sub>2</sub>-induced behavioural change. I suggest alterations in the neuroendocrine-immune axis may disturb the immune response, and oxidative damage may disrupt neurotransmission and the immune response at elevated CO<sub>2</sub>. This study highlights the importance of considering neurobiological mechanisms in not only behavioural, but also physiological, responses to environmental change, and the potential complex interactions involved.

#### 4.2 Introduction

As human-induced environmental changes progress, establishing how animals respond to projected future environmental conditions, and why these responses occur, is critical (Fuller *et al.*, 2010). A mechanistic understanding of the observed biological responses is especially useful for developing cause-effect relationships, gaining insight into why some individuals or species are more sensitive to environmental change than others, and improving predictions of how organisms and populations will respond over the timescales at which environmental change is occurring (Cooke *et al.*, 2013). The nervous system forms the fundamental link between the environment and an organism's behaviour and physiology (Brown, 2001; Kelley *et al.*, 2018; O'Donnell, 2018). Therefore, a neurobiological understanding is key to gaining insight into the mechanistic underpinnings of an organism's response to environmental change. However, the role of the neurobiological mechanisms in biological responses to environmental change has been little explored (Kelley *et al.*, 2018).

The uptake of anthropogenic CO<sub>2</sub> by the ocean is causing seawater CO<sub>2</sub> levels to rise, decreasing seawater pH and altering the concentration of carbonate ions, a process called ocean acidification (OA) (Bindoff *et al.*, 2019). These chemical changes can fundamentally affect marine organisms and the ecosystems they inhabit (Doney *et al.*, 2009). OA was first shown to disturb the calcification of calcifying marine invertebrates (Kleypas *et al.*, 1999; Riebesell *et al.*, 2000; Feely *et al.*, 2004; Kurihara *et al.*, 2004), but has since been shown to affect a range of biological responses in marine invertebrates, including a variety of physiological impacts (Pörtner *et al.*, 2004; Kroeker *et al.*, 2010) and behavioural alterations (Clements and Hunt, 2015; Nagelkerken and Munday, 2015, Chapter 2). However, sensitivity to OA can vary between taxa and life stages (Kroeker *et al.*, 2013; Clements and Hunt, 2015), which can have flow-on effects, altering species interactions and subsequent community and ecosystem dynamics (Zarnetske *et al.*, 2012; Kroeker *et al.*, 2014; Sanford *et al.*, 2014).

Despite many studies assessing the physiological and behavioural responses of marine invertebrates to OA, the neurobiological underpinnings of these responses remain poorly understood. The work that has addressed the neurobiological impacts of OA has focused on a mechanistic understanding of elevated CO<sub>2</sub>-induced behavioural alterations. The prominent mechanistic hypothesis for behavioural change at elevated CO<sub>2</sub> is the GABA hypothesis, which was first proposed in fish and suggests acid-base regulatory mechanisms at elevated CO<sub>2</sub> alter ionic gradients across neuronal membranes, consequently disturbing GABA<sub>A</sub> receptor function and causing behavioural alterations (Nilsson *et al.*, 2012). A range of research has supported the GABA hypothesis in fish (reviewed in (Heuer *et al.*, 2019)), and more recently pharmacological studies have also supported the GABA hypothesis in molluses (Watson *et al.*, 2014; Clements *et al.*, 2017, Chapter 3), but not a crustacean (Charpentier and

Cohen, 2016). It has also been suggested that elevated CO<sub>2</sub> may alter behaviour via disruption of other ligand-gated ion channels that are similar to the GABA<sub>A</sub> receptor (Tresguerres and Hamilton, 2017, Chapter 2), and there is support for this hypothesis in a tropical squid (Chapter 3). Behavioural responses to elevated CO<sub>2</sub> may also occur through a range of other neurobiological mechanisms, such as altered sensation and information processing, that have been little explored to date (Briffa *et al.*, 2012, Chapter 2).

The neurobiological mechanisms underlying behavioural changes at elevated CO<sub>2</sub> identified so far are not necessarily mutually exclusive and may also interact (Chapter 2). As this research is in its infancy, it is likely that other, yet to be identified, neurobiological mechanisms could contribute to behavioural changes at elevated CO<sub>2</sub>. Furthermore, neurobiological mechanisms are likely also involved in physiological responses to elevated CO<sub>2</sub>. Transcriptomics explores all of the genes expressed in the chosen tissue(s), allowing a holistic view that can capture the potential interactions of these elevated CO<sub>2</sub> neurobiological impacts, and test both existing hypotheses about the mechanisms of behavioural alterations at elevated CO<sub>2</sub>, and lead to the development of novel mechanistic hypotheses. Therefore, transcriptomics is a powerful tool with which to explore an organism's response to environmental change.

Indeed, transcriptomics has widely been taken up by the OA research community to understand the response of marine animals to elevated CO<sub>2</sub> (Strader et al., 2020). However, there is very little research assessing the transcriptomic response of nervous tissue to elevated CO<sub>2</sub>. Recently, a few transcriptomic studies in the nervous system of fish have investigated the mechanisms underlying elevated CO<sub>2</sub>-induced behavioural alterations. Two intergenerational experiments assessed the molecular response of the spiny damselfish brain to elevated CO<sub>2</sub> dependent on the behavioural tolerance of the parent fish to elevated CO<sub>2</sub>. The brains of juvenile fish were found to show a clear molecular signature of parental behavioural tolerance to CO<sub>2</sub>, which was mainly driven by circadian rhythm genes (Schunter et al., 2016). Short-term and developmental exposure to elevated CO<sub>2</sub> triggered a self-amplifying cycle of altered GABAergic neurotransmission in juveniles, but intergenerational exposure to elevated CO<sub>2</sub> mostly returned the brain molecular response to baseline levels (Schunter et al., 2018). From this transcriptional work, it has been proposed that a self-amplifying cycle is initiated by the disturbance of some GABA<sub>A</sub> receptors, explaining how small alterations can lead to large behavioural responses (Schunter et al., 2019). In European sea bass, olfactory ability was reduced at elevated CO<sub>2</sub> which was associated with suppressed transcription of genes in the olfactory system involved in cell excitability and synaptic plasticity (Porteus et al., 2018). In ocean-phase salmon, differential expression (DE) of genes involved in GABA signalling and ion balance regulation in the olfactory system was associated with disrupted olfactory-mediated behaviour at elevated CO<sub>2</sub> (Williams et al., 2019). Recently, diel CO<sub>2</sub> variation has been shown to alter the brain transcriptional responses of two coral

reef fishes, indicating the importance of using ecologically relevant CO<sub>2</sub> treatment conditions in laboratory experiments (Schunter *et al.*, 2021). Thus, transcriptomics can be used to test pre-existing hypotheses, such as the GABA hypothesis, but also allows the development of novel hypotheses, such as the involvement of circadian rhythm genes and synaptic plasticity, to explain elevated CO<sub>2</sub>-induced responses.

In marine invertebrates, two transcriptomic studies assessing the whole body response of pteropod molluscs to elevated CO<sub>2</sub> identified altered expression of nervous system genes. In *Heliconoides inflatus*, 22% of the transcripts upregulated at elevated CO<sub>2</sub> were involved in nervous system function, including transcripts involved in GABAergic, glycinergic, cholinergic and glutamatergic neurotransmission (Moya *et al.*, 2016). Neural genes, including acetylcholine receptors, also showed altered expression at elevated CO<sub>2</sub> in *Limacina helicina antarctica* (Johnson and Hofmann, 2017). However, whole body measurements cannot determine if non-tissue-specific transcripts are responding to elevated CO<sub>2</sub> in a system-wide manner, or only within specific tissues. For example, Moya *et al.* (2016) found DE of a range of genes involved in acid-base regulation and ion transport in *H. inflatus*, but as whole animals were used it is unknown whether these alterations were animal wide or restricted to specific tissues, including the nervous system. Furthermore, due to the heterogeneity and complexity of gene expression, measurements at the whole body level may mask transcriptomic responses in specific tissues, such as the nervous system.

Here, I investigated the transcriptomic response of the central and peripheral nervous system of a cephalopod, the two-toned pygmy squid (*Idiosepius pygmaeus*), to elevated CO<sub>2</sub>. Cephalopods have complex nervous systems and behaviours rivalling those of fishes (Hanlon and Messenger, 2018), making them a useful taxon to investigate the neurobiological impacts of elevated CO<sub>2</sub>. I. pygmaeus is an ideal species to use as previous research in this species has shown that elevated CO<sub>2</sub> alters a range of behaviours (Spady et al., 2014, 2018, Chapter 3) and reproduction (Spady et al., 2019). In this study, I exposed male I. pygmaeus to currentday (~450 μatm) or elevated (~1,000 μatm) CO<sub>2</sub> levels for 7 days, followed by dissection and RNA extraction from the central nervous system (CNS) and eyes (peripheral sense organ). A de novo transcriptome assemby was created and annotated, providing a reference to determine the molecular response of the CNS and eyes to elevated CO<sub>2</sub>. Using the eyes and CNS allowed investigating the impact of elevated CO<sub>2</sub> on peripheral sensation and higher order processing. I used the eyes because cephalopods, including squid, are highly visual animals with many visually-guided behaviours (Muntz, 1999; Mather, 2006; Chung et al., 2022). Furthermore, elevated CO<sub>2</sub> disrupted visually-guided behaviour in the same squid used in this study (Chapter 3), and elevated CO<sub>2</sub>-induced visual impairment in a fish was due to disrupted GABA<sub>A</sub> receptor function in the eye (Chung et al., 2014). The aim of this study was to 1) identify key genes and processes involved in the cephalopod nervous system's response to elevated CO<sub>2</sub>, and 2) provide an essential step towards identifying potential neurobiological mechanisms that may underlie behavioural and physiological responses to elevated CO<sub>2</sub>.

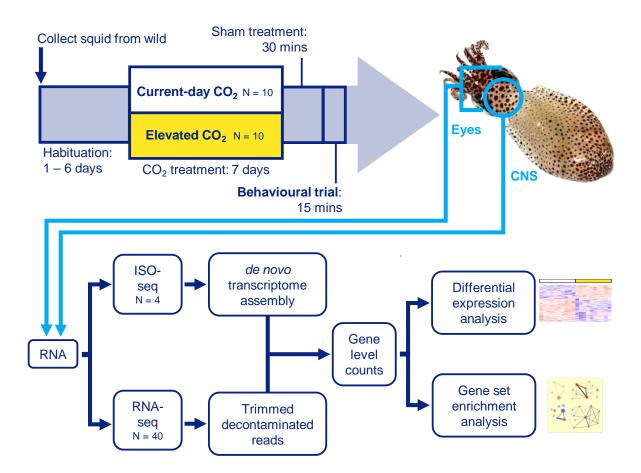
# 4.3 Methods

# 4.3.1 Study species

The two-toned pygmy squid (*Idiosepius pygmaeus*) is a diurnal, tropical squid inhabiting shallow, inshore waters of the Indo-Pacific, including Northern and Northeastern Australia (Moynihan, 1983; Reid, 2005). They are a small, short-lived squid growing to a maximum mantle length of 2 cm (Reid, 2005) with a lifespan of up to 80 days (Jackson, 1988).

# 4.3.2 CO<sub>2</sub> treatment and sample collection

The central nervous system (CNS) and eyes of 20 male *I. pygmaeus* were collected after  $CO_2$  treatment and behavioural testing (current-day  $CO_2$ , n = 10, elevated  $CO_2$ , n = 10), as explained in Chapter 3 (sham-treated squid from the picrotoxin experiment in Chapter 3 were used here) (Figure 4.1). Briefly, squid were collected from the wild between August – October 2019 (19°15'11"S 146°49'24"E) (Queensland Government General Fisheries Permit number 199144). Squid were acclimated in groups at current-day seawater conditions for 1 - 6 days before transferral to individual treatment tanks set at either current-day (~450 μatm) or elevated (~1,000 μatm) CO<sub>2</sub>. Diel CO<sub>2</sub> variation is common in coastal habitats (Hannan et al., 2020). However, the coastal waters where I collected I. pygmaeus show little daily variation in seawater  $pCO_2$  levels; average daily range  $20.3 \pm 8.6 \mu atm CO_2$  (mean  $\pm$ SD) (Appendix C: Water sampling methods and Figure C.1). Thus, the experimental CO<sub>2</sub> levels used here are ecologically relevant to the population of *I. pygmaeus* used. After 7 days of current-day or elevated CO2 treatment, squid underwent sham treatment by being individually placed in 100 mL of aerated seawater from their CO<sub>2</sub> treatment containing 0.2% ethanol for 30 minutes, as part of the experiment in Chapter 3. Visually-guided behaviour was then tested for 15 minutes by placing squid individually in a tank ( $30 \times 30 \times 15$  cm) filled to 3 cm depth with seawater from their CO<sub>2</sub> treatment and with a mirror taking up the entire area of one wall. The behavioural results from this experiment are reported in Chapter 3. Immediately after each behavioural trial, squid were euthanised with AQUI-S (1:1000). The head was separated from the mantle, rinsed in distilled water, and blotted dry. The skin, tentacles, beak, and buccal mass were removed and the eyes and central nervous system (CNS, containing the oesophagus running through the middle) were dissected and snap frozen in liquid nitrogen within  $4.18 \pm 0.55$  minutes after euthanasia. Tissues were then



**Figure 4.1. Experimental design overview.** RNA was used from the CNS, and both eyes combined for each squid exposed to either current-day or elevated CO<sub>2</sub> conditions. Long read PacBio ISO-sequencing data from four samples, one of each tissue type and CO<sub>2</sub> level, was used to create a *de novo* transcriptome assembly. After trimming and decontamination removal, RNA-sequencing data from 40 samples, ten of each tissue type and CO<sub>2</sub> level, was mapped against the *de novo* transcriptome assembly producing gene level counts. The gene level counts were used for differential expression and gene set enrichment analyses. *Idiosepius pygmaeus* photograph by Jodi Thomas.

transferred to -80°C for storage.

#### 4.3.3 RNA extraction

Total RNA was extracted from the CNS, and both eyes combined for each squid (current-day  $CO_2$ , n = 10, elevated  $CO_2$ , n = 10 for each tissue) (Figure 4.1). Each tissue sample was homogenised in RLT-Plus Buffer (Qiagen) with sterile zirconia/silica beads (1 mm diameter, BioSpec Products) in a Mini-BeadBeater 96 (BioSpec Products) for a total of 2 minutes. Total RNA was extracted using an AllPrep DNA/RNA Mini Kit (Qiagen). RNA integrity of all 40 samples was measured on an Agilent 2200 TapeStation (High Sensitivity RNA ScreenTape,

Agilent) (Appendix C: TapeStation electropherograms).

## 4.3.4 RNA sequencing

The Sequencing Section (SQC), Okinawa Institute of Science and Technology Graduate University (OIST), Japan carried out library preparation and sequencing on all 40 RNA samples. RNA was quantified by Qubit Flex Fluorometer (Qubit RNA BR assay kit, Thermo Fisher Scientific Inc.). The NEBNext® Poly(A) mRNA Magnetic Isolation Module (New England BioLabs Inc.) was followed according to the manufacturers protocol to isolate mRNA. One library was prepared for each sample, using the NEBNext® Ultra II Directional RNA Library Prep Kit for Illumina® (New England BioLabs Inc.) following the manufacturers protocol, using ten PCR cycles. Libraries were sequenced on two lanes of a NovaSeq6000 with a S2 flow cell paired end to the length of 150 bp.

### 4.3.5 RNA-seq read pre-processing

For a detailed workflow of the bioinformatic and statistical analyses, see Appendix C: Figure C.2. Raw reads were inspected with FastQC (v0.11.9) (Andrews, 2010) and MultiQC (v1.9) (Ewels *et al.*, 2016) and trimmed with Fastp (v0.21.1) (Chen *et al.*, 2018) using a sliding window of 4 bp, a mean Phred score of 30 and reads < 30 bp were trimmed. Kraken2 (v2.0.9) (Wood *et al.*, 2019) was used with a confidence of 0.3 to remove any contamination using the NCBI bacterial and archaeal reference libraries (downloaded 08/2020).

# 4.3.6 ISO-sequencing

Library preparation and sequencing was carried out by the SQC, OIST, Japan on four samples that were also used for RNA-seq, one of each tissue type and CO<sub>2</sub> level. RNA from the eyes was purified with oligo d(T) beads due to carry over of pigmentation (NEBNext<sup>®</sup> Poly(A) mRNA Magnetic Isolation Module, New England BioLabs Inc.). RNA was quantified by Qubit 4 Fluorometer (Qubit RNA HS Assay Kit, Life Technologies). One library was prepared for each sample following the Iso-Seq<sup>TM</sup> Express Template Preparation for Sequel<sup>®</sup> and Sequel II Systems protocol with standard size selection (86 μL ProNex<sup>®</sup> Beads). Libraries were sequenced on one SMRTcell of a PacBio Sequel II.

# 4.3.7 de novo transcriptome assembly

The ISO-seq data was processed using the PacBio isoseq3 pipeline. The raw subreads were compiled into circular consensus sequence (ccs) reads by ccs (v4.2.0) with the minimum

number of full passes set at three and the minimum predicted accuracy of a read at 0.9. Lima (v1.11.0) was used to classify the ccs reads as full-length (FL) (by the presence of both 5' and 3' primers) and remove index sequences with '-peek-guess'. The resulting FL reads from each tissue/barcode were combined and isoseq3 refine (v3.3.0) was used to remove concatemers and polyA tails, producing full-length non-concatemer (FLNC) reads. The FLNC reads were then clustered by isoform using isoseq3 cluster (v3.3.0), using the ccs quality values ('-use-qvs') to obtain a consensus sequence for each isoform. Redundancy removal was performed using CD-HIT-EST (v4.6) (Li and Godzik, 2006; Fu *et al.*, 2012) to collapse contigs with at least 99% identity. TransDecoder (v5.5.0) (Brian and Papanicolaou, n.d.) was used to identify candidate coding regions/open reading frames (ORFs). The single best ORF per contig was chosen based on blast homology to known proteins in the NCBI nr database subset for mollusca (nr\_mollusca, downloaded 01/2021) using BLASTp from BLAST+ (v2.10.0+) with max\_target\_seqs 1 and an e-value cut-off of 1-5, and then based on ORF length (minimum 100 amino acids). The entire transcript was retained for each identified ORF.

The quality and completeness of the transcriptome was assessed before and after redundancy removal, and for the final transcriptome assembly (after ORF identification by TransDecoder). Quality was assessed using Transrate (v1.0.3) (Smith-Unna *et al.*, 2016) and completeness using Benchmarking Universal Single-Copy Orthologs (BUSCO v4.1.2) (Manni *et al.*, 2021), using the lineage mollusca\_odb10.2019-11-20. Quality and completeness were also assessed by blasting the transcriptome against nr\_mollusca (e-value cut-off of 1<sup>-5</sup>, '-max\_target\_seqs 1', BLASTx from BLAST+ (v2.10.0+) (Camacho *et al.*, 2009)), and mapping the trimmed, decontaminated RNA-seq reads to the transcriptome assembly (local alignment, Bowtie2 (v2.4.1) (Langmead and Salzberg, 2012)).

# 4.3.8 Transcriptome annotation

The transcriptome was blasted against the entire NCBI nr database (downloaded 01/2021) using BLASTx from BLAST+ (v2.10.0+) (Camacho *et al.*, 2009) with an e-value cut-off of 1<sup>-5</sup>, outfmt 14, and '-num-alignments' and '-max\_hsps' both set at 20. Functional annotation was carried out in OmicsBox (v1.4.12) (BioBam Bioinformatics, 2019) using BLAST2GO mapping (Goa version 2020.10, all default settings) (Götz *et al.*, 2008), followed by BLAST2GO annotation (all default settings) (Götz *et al.*, 2008) and InterProScan (v5.50-84.0, all default settings) (Jones *et al.*, 2014a). The InterProScan GOs were then merged with the annotations.

# 4.3.9 Read mapping and counting

The trimmed and decontaminated RNA-seq reads were mapped against the transcriptome assembly using salmon (v1.3.0) (Patro et al., 2017). Correction for sequence-specific bi-

ases and fragment-level GC biases was used, the quantification step was skipped, and the flags '-validateMappings' and '-hardFilter' were also used. Corset (v1.09) (Davidson and Oshlack, 2014) was run on the salmon equivalence class files from all 40 samples to cluster the transcripts to gene-level and produce gene-level counts. In corset, I provided the four groups/treatments (eyes current-day CO<sub>2</sub>, eyes elevated CO<sub>2</sub>, CNS current-day CO<sub>2</sub> and CNS elevated CO<sub>2</sub>), the log likelihood ratio test was switched off to prevent differentially expressed transcripts being split into different clusters, and the links between contigs were removed if the link was supported by less than 10 reads.

#### 4.3.10 Statistical analyses

All statistical analyses were carried out in R (v4.0.4) (R Core Team, 2021) using RStudio (v 1.4.1106) (RStudio Team, 2021).

#### Differential expression analysis

DESeq2 (v1.30.1) (Love *et al.*, 2014) using the Wald test was used to compare gene expression between current-day and elevated CO<sub>2</sub> conditions for the CNS and eyes separately. Genes with an adjusted p-value (padj, Benjamini-Hochberg method) < 0.05 were reported as differentially expressed (DE). Three genes in the eyes were removed due to not showing clear DE upon inspection of the normalised counts across CO<sub>2</sub> levels. Log2 fold change estimates were shrunk with the ashr method (Stephens, 2016) to increase their accuracy. Heatmaps of the top 100 genes (by padj value) were created using the 'manhattan' distance method followed by the 'average' hierarchical clustering method on the regularised log transformed data scaled to Z-scores by row.

#### Gene set enrichment analysis

Gene set enrichment analysis (GSEA) was run in clusterProfiler (v3.18.1) (Yu et al., 2012) for each tissue separately to determine if sets of genes from the same gene ontology (GO) term/functional category showed significant, concordant differences between current-day and elevated CO<sub>2</sub> conditions. Unweighted GSEA was run using the DESeq2 log2 fold-change values of all genes and the annotated GO terms as the 'gene sets'. A minimum and maximum gene set size of 15 and 500, respectively, was used. GSEA determines if genes from the same functional category are significantly more likely to occur at the top or bottom of the log2 fold-change list and therefore whether these functional categories are up- or down-regulated at elevated CO<sub>2</sub>, respectively. P-values were adjusted for multiple comparisons using the Benjamini-Hochberg method and a significance threshold of padj < 0.05 was used.

The GSEA results were imported into Cytoscape (v3.8.2) (Shannon *et al.*, 2003) where EnrichmentMap (v3.3.1) (Merico *et al.*, 2010) was used to create a network to visualise the functional enrichment results. All significant functional categories were included in the network as a circular node. Functional categories with > 0.25 similarity were linked by edges. Similar functional categories were manually grouped into clusters and labelled.

#### 4.4 Results

#### 4.4.1 Transcriptome assembly and annotation

The *de novo* transcriptome assembly for *Idiosepius pygmaeus* was created from a total of 138.6 million PacBio ISO-sequencing subreads and resulted in 49,981 transcripts that were clustered into 27,420 'genes'. The transcriptome assembly had an N50 of 3,163 bp, 70.4% complete BUSCOs and an 82.1  $\pm$  5.5% overall alignment rate of the RNA-seq reads (Appendix C: Table C.1). A total of 69% of the transcripts received a functional annotation (Appendix C: Table C.2). The species distribution of the top blast hits was dominated by cephalopod species (Appendix C: Figure C.3). Final mapping of RNA-seq reads against the transcriptome assembly had a 73.6  $\pm$  6.9% mapping rate (Appendix C: Table C.3).

# 4.4.2 Differential expression analysis

I compared gene expression between current-day and elevated CO<sub>2</sub> conditions for the CNS and eyes separately. There was more variance in the eyes than the CNS (Figure 4.2A). The top 100 genes (sorted by adjusted p-value) in each tissue show strong clustering by CO<sub>2</sub> level (Figure 4.2C,D). In the CNS, I identified 25 differentially expressed genes (DEGs) between current-day and elevated CO<sub>2</sub> conditions; 14 upregulated and 11 downregulated with elevated CO<sub>2</sub>. Sixteen of these DEGs had a match to a known gene. In the eyes, there were eight DEGs; five upregulated and three downregulated at elevated CO<sub>2</sub> compared to current-day CO<sub>2</sub> conditions, seven of which resulted in a match to a known gene. Two genes were significantly upregulated with elevated CO<sub>2</sub> in both the CNS and eyes (Figure 4.2B and Table 4.1).

In both tissues, the DEGs play roles in three top functions; neurotransmission (33% of the annotated DEGs), immune function (43% of the annotated DEGS) and oxidative stress (29% of the annotated DEGs). Four DEGs in the CNS (*folh1*, *syvn1-b*, *slc2a13*, *celsr3*) and three in the eyes (*maoa*, *slc18a2*, *cbs*) play a role in neurotransmission and regulating a range of resultant behaviours. One DEG in the CNS (*znf271*) and one in the eyes (*pglyrp2*) also regulate behaviour via unknown mechanisms (Table 4.1 and Figure 4.3). Six DEGs in the

CNS (psenen, syvn1-b, map4k5, tf, nme6, map1l3ca/b) and three in the eyes (pglyrp2, cbs, maoa) are involved in the innate immune response (Table 4.1 and Figure 4.4). DEGs in both tissues are also involved in the oxidative stress response; tf and cyb561d2 in the CNS, and cbs in the eyes are involved in regulating reactive oxygen species (ROS) and antioxidant production, while ykt6 in both tissues, and syvn1-b and chrac1 in the CNS deal with oxidative-stress induced damages (Table 4.1 and Figure 4.5). Three DEGs in the CNS (nme6, chrac1, znf271) and one in the eyes (gtf2e2) are also involved in regulating transcription (Table 4.1).

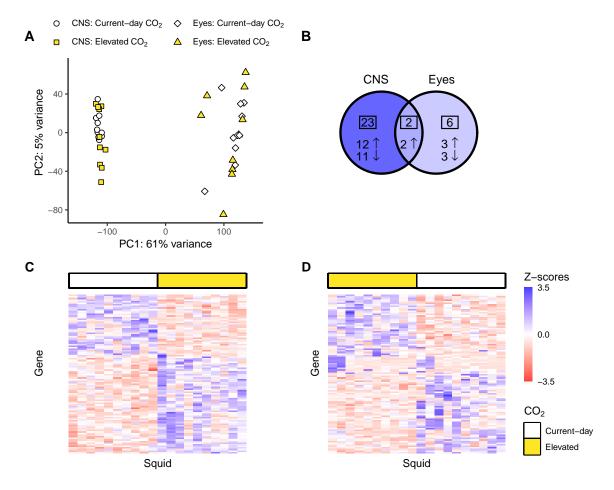


Figure 4.2. Differential expression results. A PC1 and PC2 axes from the principal components analysis of all genes for the 40 samples. B Venn diagram comparing the DEGs between current-day and elevated  $CO_2$  levels in the CNS and eyes. C, D heatmap of the top 100 genes (by adjusted p-value) in the CNS and eyes, respectively. Distance was calculated using the 'manhattan' method followed by hierarchical clustering using the 'average' method. Expression level is represented by Z-scores.  $\circ$ = CNS current-day,  $\bullet$  = CNS elevated CO2,  $\diamond$  = Eyes current-day,  $\triangle$  = Eyes elevated  $CO_2$ ,  $\uparrow$  = upregulated at elevated  $CO_2$  conditions,  $\downarrow$  = down-regulated at elevated  $CO_2$  conditions.

Table 4.1. Table of DEGs and their function in the CNS and eyes, ordered by log2 fold-change (LFC). Genes in red and blue are upregulated and downregulated at elevated  $CO_2$ , respectively. DEGs in both tissues are in bold. Ticks in columns on the right depict which of the three top functions the DEGs are involved in. NT = neurotransmission, I = immune, OS = oxidative stress, padj = adjusted p-value.

Gene	Annotation	Putative function in CNS/eyes	Reference	padj	LFC	NT I	1 09		
	CNS								
fam204a	protein FAM204A isoform X1	Poorly characterised.		0.004	1.34				
prickle3	prickle-like protein 3 isoform X4	Required for mitochondrial membrane ATP synthase function. Involved in the planar cell polarity pathway and visual function.	Yu et al. (2020)	0.034	0.96				
map4k5/ map4k3	mitogen-activated protein kinase kinase kinase kinase 5-like isoform X4 / 3-like isoform X3	May mediate the immune response via activation of the JNK pathway.	Chuang et al. (2016)	0.039	0.92		<i>(</i>		
ykt6	synaptobrevin homolog YKT6	Essential for lysosomal function. Essential for autophagy (fusing of the autophagosome and lysosome for degradation).	Cuddy <i>et al.</i> (2019) Nair <i>et al.</i> (2011); Bas <i>et al.</i> (2018); Matsui <i>et al.</i> (2018); Takáts <i>et al.</i> (2018)	0.039	0.89		✓		
znf271	zinc finger protein 271-like	Potential transcription factor.	Zhan and Desiderio (2003)	0.039	0.86				
		Associated with zebrafish activity levels.	Seifinejad et al. (2019)						
chrac1	chromatin accessibility complex protein 1	Histone-fold protein.	Narlikar <i>et al.</i> (2002); Kukimoto <i>et al.</i> (2004)	0.046	0.75		✓		
		Involved in the repair of DNA double-strand breaks	Lan <i>et al.</i> (2010)						
nme6	nucleoside diphosphate kinase 6-like	Synthesises nucleoside triphosphates.	Lacombe <i>et al.</i> (2000); Tsuiki <i>et al.</i> (2000)	0.039	0.63	`	<i>(</i>		
		May have an anti-viral and anti-bacterial role in invertebrates, including in molluscs.	Chakrabarty (1998); Clavero-Salas <i>et al.</i> (2007); Jin <i>et al.</i> (2011); Quintero-Reyes <i>et al.</i> (2012); Ji <i>et al.</i> (2013); Duan <i>et al.</i> (2015)						

Table 4.1 continued.

Gene	Annotation	Putative function in CNS/eyes	Reference	padj	LFC	NT	I	os
folh1	putative N-acetylated- alpha-linked acidic dipeptidase isoform X4	Synthesises glutamate.	Robinson et al. (1987)	0.039	0.58	✓		
tf	transferrin-like protein	Iron sequestration as part of the molluscan innate immune response, including in squid.	Lambert et al. (2005); Ong et al. (2006); Herath et al. (2015); Salazar et al. (2015); Li et al. (2019)	0.039	0.48		✓	✓
		Binds iron, decreasing the amount of iron available for ROS production.	Dean (2010)					
syvn1-b	E3 ubiquitin-protein ligase synoviolin	Increases pro-inflammatory cytokine production via activating NF-KB.	Lu et al. (2019)	0.039	0.27	✓	✓	✓
	B-like	An E3 ubiquitin ligase playing a critical role in ERAD,	Bordallo <i>et al.</i> (1998);					
		ubiquitinating misfolded and unfolded proteins for	Kaneko et al. (2002);					
		degradation, which protects cells from ER	Carvalho et al. (2006); Xie					
		stress-induced apoptosis.	et al. (2009); Baldridge and					
			Rapoport (2016); Nomura <i>et al.</i> (2016)					
		Plays a critical role in GABA <sub>A</sub> α1 receptor subunit	Crider et al. (2014); Jiao et al.					
		degradation.	(2017)					
cyb561d2	cytochrome b561	Reduces Fe <sup>3+</sup> to Fe <sup>2+</sup> .	Mizutani et al. (2007)	0.039	-0.46			$\checkmark$
	domain-containing protein 2	Regenerates the antioxidant ascorbate.	Recuenco et al. (2013)					
map113ca/b	microtubule-associated	Key molecular marker of autophagy, which plays an	Moreau et al. (2015);	0.045	-0.54		$\checkmark$	
	proteins 1A/1B light	important role in the molluscan immune response.	Klionsky et al. (2016); Han					
	chain 3A/B		et al. (2019); Picot et al.					
			(2019)					
slc2a13	proton myo-inositol	Transports myo-inositol into neurons and glia, which	Uldry et al. (2001, 2004);	0.003	-0.56	$\checkmark$		
	cotransporter	has a range of roles including membrane excitability,	Shaldubina et al. (2007);					
		vesicular trafficking, intracellular calcium signaling, and neurotransmission.	MacFarlane and Di Fiore (2018)					
celsr3	cadherin EGF LAG	Mediates glutamatergic synapse formation.	Thakar et al. (2017)	0.039	-0.8	$\checkmark$		
	seven-pass G-type receptor 3							

Table 4.1 continued.

Gene	Annotation	Putative function in CNS/eyes	Reference	padj	LFC	NT	I OS
psenen	gamma-secretase subunit PEN-2	Indispensable component of the gamma-secretase protein complex involved in Notch signalling which plays an important role in both the adaptive and innate immune responses, including via regulating NF-KB signalling.  Potentially involved in the invertebrate immune response.	Palaga <i>et al.</i> (2003); Osipo <i>et al.</i> (2008); Radtke <i>et al.</i> (2013)  Fuess <i>et al.</i> (2016)	0.039	-0.8		<b>√</b>
mccc2	methylcrotonoyl-CoA carboxylase beta chain, mitochondrial	Leucine and isovaleric acid catabolism.	Chu and Cheng (2007)	0.034	-0.81		
9 unannotated	d genes: 4 upregulated, 5 d	ownregulated					
		Eyes					
таоа	probable flavin-containing monoamine oxidase A	Degrades monoamine neurotransmitters, including in scallops and squid.  Associated with anxiety-like, depressive-like, aggression and activity behaviours.	Yagodina (2009, 2010); Zhou et al. (2011); Ng et al. (2015)  Cases et al. (1995); Scott et al. (2008); Wang et al. (2017a); Bellot et al. (2021);	0.005	2.29	<b>√</b>	<b>√</b>
slc18a2	synaptic vesicular	Potential key role in molluscan immune response.  Packages monoamine neurotransmitters into vesicles	Mentis et al. (2021) Zhou et al. (2011); Liu et al. (2018); Sun et al. (2021) Ng et al. (2015)	0.012	2.04	<b>√</b>	
SIC1042	amine transporter	prior to exocytosis. Associated with anxiety-like, depressive-like, aggression and activity behaviours.	Fukui <i>et al.</i> (2007); Simon <i>et al.</i> (2009); Lohr <i>et al.</i> (2014); Branco <i>et al.</i> (2020)	0.012	2.04	•	
fam204a	protein FAM204A isoform X1	Required for the release of GABA from some neurons. <b>Poorly characterised.</b>	Tritsch et al. (2012)	0.012	1.47		
ykt6	synaptobrevin homolog YKT6	Essential for lysosomal function. Essential for autophagy (fusing of the autophagosome and lysosome for degradation).	Cuddy <i>et al.</i> (2019) Nair <i>et al.</i> (2011); Bas <i>et al.</i> (2018); Matsui <i>et al.</i> (2018); Takáts <i>et al.</i> (2018)	<0.001	1.26		$\checkmark$

Table 4.1 continued.

Gene	Annotation	Putative function in CNS/eyes	Reference	padj	LFC	NT	I	os
cbs	cystathionine	The main producer of H <sub>2</sub> S, a gaseous neurotransmitter,	Julian et al. (2002); Hu et al.	0.038	0.89	✓	<b>√</b>	✓
	beta-synthase	in the nervous system and in molluscs.	(2010)					
		Role in long-term potentiation and memory.	Régnier et al. (2012); Chen et al. (2017)					
		H <sub>2</sub> S regulates the release of both pro- and	Hu et al. (2010); Zhang and					
		anti-inflammatory cytokines, likely via NF-KB, p38 and JNK pathways.	Bian (2014)					
		May play a role in the immune iron-withholding	Qian et al. (2014); Zhou et al.					
		strategy due to its critical role in body iron homeostasis.	(2018)					
		Required for regulating cellular iron retention, which	Dean (2010); Zhou et al.					
		may alter iron availability for ROS production.	(2018)					
		Catalyses the first and rate limiting step of the	Vitvitsky et al. (2006); Hu					
		transsulfuration pathway, which produces cysteine, the	et al. (2010); Beard Jr. and					
		rate-limiting substrate for synthesis of the antioxidant glutathione.	Bearden (2011)					
		H <sub>2</sub> S has powerful antioxidant effects via multiple pathways.	Shefa et al. (2018a)					
		Cbs-produced H <sub>2</sub> S inhibits ROS-triggered ER stress.	Lu et al. (2012), Xie et al. (2012)					
gtf2e2	transcription initiation	One of two components of the general transcription	Gregory Peterson et al.	0.022	-0.99			
	factor IIE subunit beta	factor IIE, which are both essential for transcription initiation by RNA polymerase II.	(1991); Sumimoto <i>et al.</i> (1991)					
pglyrp2	N-acetylmuramoyl-L- alanine amidase	Recognises peptidoglycans, a component of bacterial cell walls.	Schleifer and Kandler (1972)	0.002	-2.9		✓	
		Identified in cephalopods, where it binds to and degrades bacterial peptidoglycan.	Goodson <i>et al.</i> (2005); Troll <i>et al.</i> (2010); Schleicher and Nyholm (2011); Cornet <i>et al.</i> (2014)					
		Knock-out in adult mice alters anxiety-like behaviour.	Arentsen et al. (2018)					
unannotate	ed gene: downregulated		, , ,					

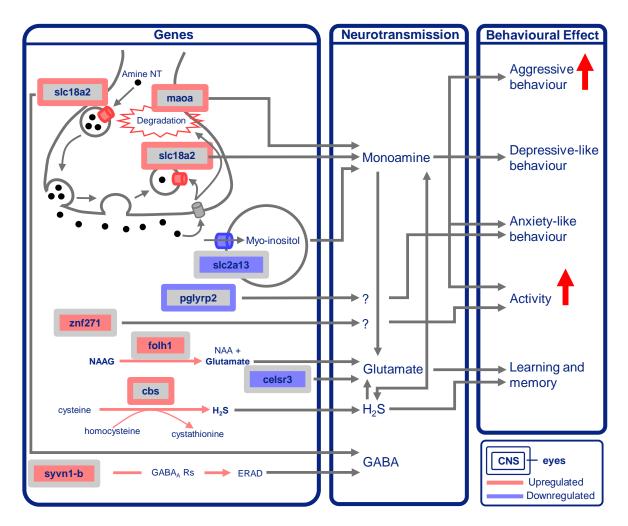


Figure 4.3. Diagram outlining the role of DEGs in neurotransmission and behaviour at elevated  $CO_2$  in *I. pygmaeus*. Only behavioural effects that occur as a consequence of changes in the corresponding genes' expression are depicted, however changes in gene expression could also have other effects via altered neurotransmission. For example, altered *syvn1-b* expression has not been directly linked to any behavioural changes, however it could have many effects via altered GABAergic neurotransmission, which is known to regulate a variety of molluscan behaviours (Chapter 2). For each gene label, colouration of the inner label area represents significant up- or down-regulation (red and blue, respectively) at elevated  $CO_2$  in the CNS. Colouration of the label border represents significant up- or down-regulation (red and blue, respectively) at elevated  $CO_2$  in the eyes. Genes found not significant (padj > 0.05) are coloured grey for the corresponding tissue type. Red arrows represent the increase in aggression and activity I found in the same squid used in this study (Chapter 3). ? = unknown signalling mechanism, ERAD = endoplasmic reticulum associated degradation,  $H_2S$  = hydrogen sulphide, NAA = N-acetylaspartic acid, NAAG = N-acetyl-aspartyl-glutamate, NT = neurotransmitter, Rs = receptors.

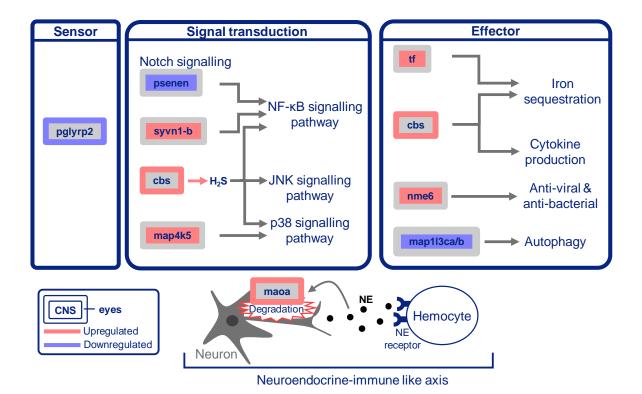


Figure 4.4. Diagram outlining the role of DEGs in the immune response to elevated  $CO_2$  in the nervous tissue of *I. pygmaeus*. There were DEGs involved in all three levels of the innate immune response. DEGs from the CNS and eyes are represented by colouration of the inner label area and label border, respectively. Red and blue represent DEGs upregulated and downregulated at elevated  $CO_2$ , respectively. Genes found not significant (padj > 0.05) are coloured grey for the corresponding tissue type.  $H_2S$  = hydrogen sulphide, NE = nore-pinephrine.

# 4.4.3 Gene set enrichment analysis

Gene set enrichment analysis (GSEA) identified ninety-nine significant Gene Ontology (GO) terms/functional categories in the CNS, indicating small, coordinated changes in expression of the genes belonging to each of these functional categories. There were 75 upregulated and 24 downregulated functional categories in the CNS at elevated CO<sub>2</sub>. There were 17 significant functional categories in the eyes, 12 upregulated and 5 downregulated at elevated CO<sub>2</sub> (Figure 4.6 and Appendix C: Figure C.4). The majority of significant functional categories from both tissues belong to 15 different clusters (manually assigned): 'DNA', 'apoptosis/repair', 'ER' (endoplasmic reticulum), 'protein-related' ('kinase activity' and 'ubiquitin'), 'cell cycle', 'epigenetic', 'transcription', 'RNA', 'ribosome/translation', 'cytoskeleton', 'adhesion', 'mRNA splicing', 'GPCR' (G-protein coupled receptor), 'ion transport' and 'ion channel'. These clusters of functional categories further group into the three top functions the DEGs are involved in: neurotransmission, immune function and oxidative

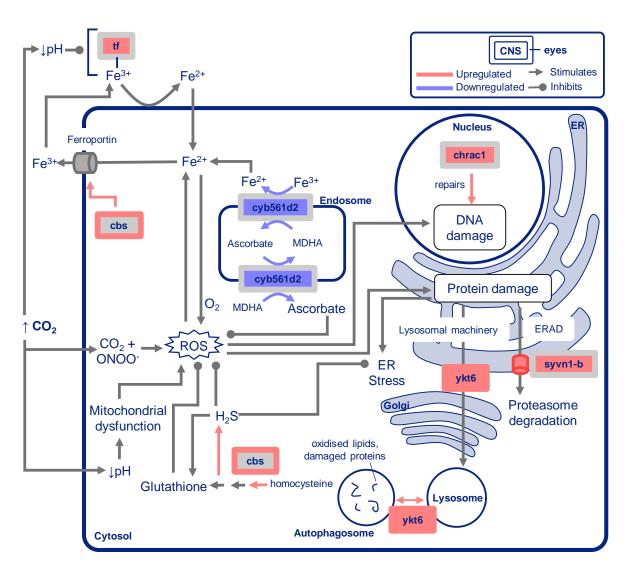


Figure 4.5. Diagram outlining the role of DEGs in the oxidative stress response to elevated  $CO_2$  in the nervous tissue of *I. pygmaeus*. DEGs from the CNS and eyes are represented by colouration of the inner label area and label border, respectively. Red and blue represent DE genes upregulated and downregulated at elevated  $CO_2$ , respectively. Genes found not significant (padj > 0.05) are coloured grey for the corresponding tissue type.  $CO_2$  = carbon dioxide, ER = endoplasmic reticulum, ERAD = endoplasmic reticulum associated degradation,  $H_2S$  = hydrogen sulphide, ROS = reactive oxygen species.

#### stress (Figure 4.6).

The 'ion channel', 'ion transport' and 'GPCR' clusters of functional categories are all related to neurotransmission. A cluster of nine ion channel-related functional categories were downregulated in the CNS, and two ion channel-related functional categories were upregulated in the eyes. The core enrichment genes, which is the subset of genes that contributes most to the enrichment result, in the ion channel-related functional categories of both tissues include 13 glutamate receptor-related transcripts, one glycine receptor subunit, two GABA<sub>A</sub>

receptor transcripts and 18 acetylcholine (ACh) receptor-related transcripts (Appendix C: Table C.4). The 'ion transport' cluster contains seven K<sup>+</sup> and Ca<sup>2+</sup> ion transport-related functional categories which were downregulated in the CNS and contain core enrichment genes for neurotransmission, including maintenance of membrane potential, action potential generation and neurotransmitter release (Appendix C: Table C.5). Furthermore, the functional categories 'G protein-coupled receptor activity' and 'G protein-coupled receptor signalling pathway' were also downregulated in the CNS, and included the core enrichment genes for five serotonin, three dopamine, three GABA<sub>B</sub> and eight metabotropic glutamate receptor transcripts (Appendix C: Table C.6). Functional categories related to immune function, including 'cell adhesion' and 'integrin complex', which are mediators of the phagocytic immune response, were upregulated in the CNS. The cytoskeleton is also important for phagocytosis and three cytoskeleton-related functional categories were upregulated in the CNS ('motor activity', 'actin binding', and 'microtubule cytoskeleton'), and two downregulated in the eyes ('cytoskeleton' and 'intermediate filament cytoskeleton organisation'). Functional categories related to oxidative stress-induced damage were also significantly upregulated at elevated CO2. This includes upregulation of functional categories related to ER protein damage control, 'endoplasmic reticulum', 'endoplasmic reticulum membrane' and seven ubiquitin-related functional categories in the CNS, and 'proteasome complex' in both tissues. Furthermore, the functional categories 'damaged DNA binding' and 'DNA repair' as well as 'regulation of apoptotic process' were all upregulated in the CNS (Figure 4.6).

# 4.5 Discussion

I used transcriptomics to investigate the molecular response of the central nervous system (CNS) and eyes (peripheral sense organ) of *Idiosepius pygmaeus* to elevated CO<sub>2</sub>. The CNS and eyes of *I. pygmaeus* responded to elevated CO<sub>2</sub> with significant differential expression (DE) of a small number of genes, however, widespread small but coordinated changes of genes belonging to particular functional categories were found between CO<sub>2</sub> conditions. Both tissues responded to elevated CO<sub>2</sub> with expression changes in three top functions; neurotransmission, immune function and oxidative stress, suggesting that the peripheral and central nervous systems of *I. pygmaeus* respond to elevated CO<sub>2</sub> in a similar way. However, elevated CO<sub>2</sub> resulted in a larger number of differentially expressed genes (DEGs), and a larger number of significant functional categories with small coordinated changes in gene expression, in the CNS, compared to the eyes.

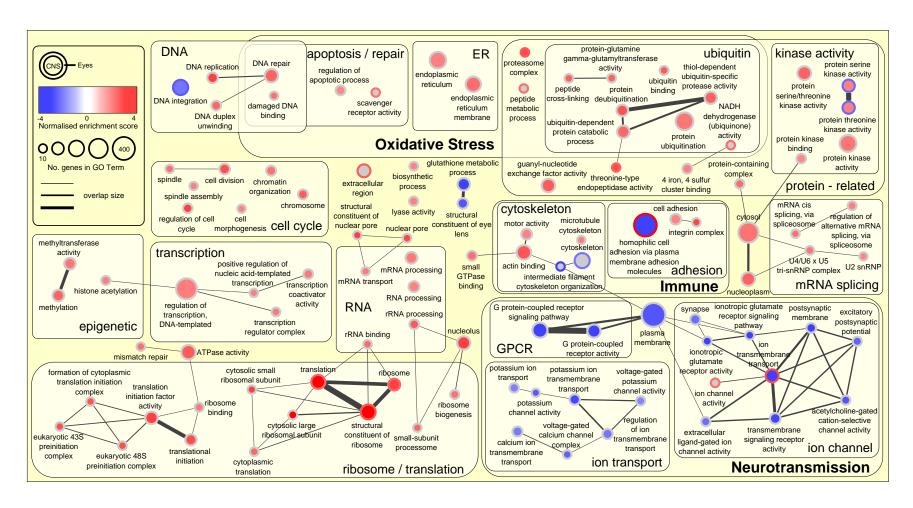


Figure 4.6. Enrichment map displaying the gene set enrichment analysis (GSEA) results in both the CNS and eyes. (see next page)

Figure 4.6 (previous page). Significant GO terms/functional categories are represented by a circular node. Results from the CNS and eyes are represented by colouration of the inner node area and node border, respectively. Red represents functional categories upregulated at elevated  $CO_2$  and blue represents functional categories downregulated at elevated  $CO_2$ . Colour intensity represents the normalised enrichment score and node size the number of core enrichment genes in each functional category. Functional categories found not significant (padj > 0.05) are coloured grey for the corresponding tissue type. The nodes from functional categories with a similarity > 0.25 are connected by an edge, with edge width increasing with increasing similarity (increasing number of genes shared by the functional categories). Similar functional categories were manually grouped into clusters and assigned a label. These clusters were also organised into larger groups of neurotransmission, immune and oxidative stress.

# 4.5.1 de novo transcriptome assembly

To provide a reference for gene quantification, I created a *de novo* transcriptome assembly of *I. pygmaeus* CNS and eye tissue using PacBio long-read ISO-seq data. This transcriptome will be made publicly available upon publication of this chapter as a research paper, joining the 41 other publicly available cephalopod transcriptome shotgun assembly (TSA) records on the National Centre for Biotechnology Information (NCBI) database (searched 5th November 2021). No transcriptome assemblies from the *Idiosepius* genus are publicly available on NCBI, however there is one published transcriptome assembly from the head and dorsal adhesive gland of *Xipholeptos notoides*, which was originally classified in the *Idiosepius* genus (Reid and Strugnell, 2018). Many of the cephalopod transcriptome assemblies published on NCBI are not annotated nor contain accompanying quality and completeness metrics. Thus, my *I. pygmaeus* annotated cephalopod transcriptome assembly that has accompanying quality and completeness metrics will provide a valuable resource to other researchers. Particularly as cephalopod genomics and transcriptomics research progresses and *Idiosepius* are being identified as potential important model organisms (Albertin *et al.*, 2012).

# 4.5.2 Effects of elevated CO<sub>2</sub> on gene expression

While there were relatively few DEGs in the nervous system of *I. pygmaeus*, elevated CO<sub>2</sub>-induced behavioural changes were observed in the same squid individuals (Chapter 3). Behavioural alterations have been found to occur in fish in which relatively small numbers of DEGs were found in the nervous tissue (Porteus *et al.*, 2018; Williams *et al.*, 2019; Schunter *et al.*, 2021). Thus, subtle gene expression changes may still have noticeable phenotypic effects. Furthermore, phenotypic effects can occur independently of changes in gene expression. For example, proteomic variation can also be achieved by alternative splicing, and I

identified significant upregulation of four functional categories involved in mRNA splicing in the CNS of *I. pygmaeus*. RNA editing can also result in proteomic variation, and is pervasive in squid, particularly in the nervous system, and can respond to the physical environment (Marden, 2008; Garrett and Rosenthal, 2012; Alon *et al.*, 2015).

Both the CNS and eyes responded to elevated CO<sub>2</sub> across the same three top functions: neurotransmission, immune function and oxidative stress, suggesting that both the peripheral and central nervous systems have a similar response to elevated CO<sub>2</sub>. However, there was a larger response to elevated CO<sub>2</sub> in the CNS than in the eyes of *I. pygmaeus*. RNA was extracted from the whole eye, which contains not only neuronal cells but also other tissue types such as the lens, cornea and connective tissue. These multiple tissue types may have influenced the results, increasing the variance in gene expression from whole eye samples and thus decreasing the power by which changes in gene expression could be detected.

#### Neurotransmission

The results here suggest that elevated CO<sub>2</sub> disrupts a range of different types of neurotransmission in *I. pygmaeus* (Figure 4.3 and Figure 4.7). Altered HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> concentrations, due to acid-base regulation at elevated CO<sub>2</sub>, is suggested to alter the function of GABA<sub>A</sub> receptors; the major hypothesis for altered behaviour at elevated CO<sub>2</sub> in fish (Nilsson *et al.*, 2012). In the CNS, I found significant upregulation of *syvn1-b*, which plays a critical role in GABA<sub>A</sub>α1 receptor subunit degradation (Crider *et al.*, 2014; Jiao *et al.*, 2017), and down-regulation of multiple ion channel-related functional categories that contain core enrichment genes for two GABA<sub>A</sub> receptor transcripts. This suggests there may be fewer GABA<sub>A</sub> receptors present in the CNS of *I. pygmaeus* at elevated CO<sub>2</sub>, which is opposite to the upregulation of GABA<sub>A</sub> receptor transcripts observed at elevated CO<sub>2</sub> in the brain of a coral reef fish (Schunter *et al.*, 2018). Recent pharmacological work also supports the GABA hypothesis in molluses (Watson *et al.*, 2014; Clements *et al.*, 2017), including in *I. pygmaeus* (Chapter 3).

Changes in HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> ion gradients at elevated CO<sub>2</sub> may also alter the function of other, typically inhibitory, ligand-gated Cl<sup>-</sup> channels similar to the GABA<sub>A</sub> receptor (Tresguerres and Hamilton, 2017, Chapter 2). Indeed, a recent pharmacological study showed altered GABA-, glutamate, acetylcholine (ACh)- and dopamine-gated Cl<sup>-</sup> channels may underlie behavioural alterations at elevated CO<sub>2</sub> in *I. pygmaeus* (Chapter 3). Here, there was differential expression of genes involved in GABAergic, glutamatergic and monoaminergic (dopamine, serotonin (5-HT), norepinephrine, and epinephrine) neurotransmission (Figure 4.3). Furthermore, the enrichment of ion channel functional categories in both tissues includes core enrichment genes for GABA, glutamate, and ACh receptors. Elevated CO<sub>2</sub> also upregulated GABAergic, glycinergic, cholinergic and glutamatergic transcripts in the

whole body of a pteropod mollusc (Moya *et al.*, 2016) and glutamatergic transcripts in the non-nervous tissue of oysters (Ertl *et al.*, 2016; Wang *et al.*, 2020). Multiple glutamate pathway genes, and a gene for an ACh receptor subunit, were also differentially expressed in the olfactory system, and associated with impaired olfaction, of fish exposed to elevated CO<sub>2</sub> (Porteus *et al.*, 2018). Molluscs possess GABA, glutamate, ACh- and dopamine-gated Cl-channels (Gerschenfeld and Tritsch, 1974; Carpenter *et al.*, 1977; Yarowsky and Carpenter, 1978a; van Nierop *et al.*, 2005). Furthermore, cephalopods including squid can actively increase extracellular [HCO<sub>3</sub>-] in response to elevated environmental CO<sub>2</sub> levels (Gutowska *et al.*, 2010; Hu *et al.*, 2014). Although Cl- levels in response to elevated seawater CO<sub>2</sub> have not been measured in cephalopods, a Na<sup>+</sup>-driven HCO<sub>3</sub>-/Cl- exchanger has been isolated from a squid (Virkki *et al.*, 2003) suggesting the potential for altered [Cl-] as part of acid-base regulation. Thus, the results here support the hypothesis that elevated CO<sub>2</sub> alters not only GABA<sub>A</sub> receptors, but also other ligand gated Cl- channels, possibly via altered HCO<sub>3</sub>- and Cl- ion concentrations due to acid-base regulatory mechanisms at elevated CO<sub>2</sub> (Nilsson *et al.*, 2012; Tresguerres and Hamilton, 2017, Chapter 3).

As well as acting on molluscan inhibitory ligand-gated Cl<sup>-</sup> channels, glutamate, ACh and monoamine neurotransmitters also act on excitatory cation channels. Thus, the alterations in glutamatergic, cholinergic and monoaminergic neurotransmission found in *I. pygmaeus* may also contribute to changes in excitatory neurotransmission. Furthermore, the enrichment of functions related to ion channels in both tissues includes core enrichment genes for excitatory cation channels, including glutamate NMDA and kainate receptors, and nicotinic ACh receptors. I also found CNS upregulation of GPCR-related functional categories, which contain core enrichment genes for the excitatory metabotropic glutamate, dopamine 1-like, and 5-HT<sub>2</sub> and 5-HT<sub>4</sub> receptors. Thus, excitatory cation channels and excitatory GPCRs may be altered in *I. pygmaeus* at elevated, compared to current-day, CO<sub>2</sub> conditions. This may be a compensatory response to elevated CO<sub>2</sub>-induced changes in typically inhibitory ligand-gated Cl<sup>-</sup> channels, to maintain a balance between excitatory and inhibitory neurotransmission required for proper neurological functioning (Mele *et al.*, 2016; Samardzic *et al.*, 2018).

There were also molecular signatures for altered K<sup>+</sup> and Ca<sup>2+</sup> channels at elevated CO<sub>2</sub> in *I. pygmaeus*, which may have widespread effects on neurotransmission. In the CNS of *I. pygmaeus*, there was significant downregulation of a cluster of ion transport-related functional categories including core enrichment genes for ion channels that act to maintain cellular levels of K<sup>+</sup> and Ca<sup>2+</sup>, and are important for neurotransmission, including action potential regulation and neurotransmitter release. Furthermore, many of the core enrichment genes within the ion channel cluster of functional categories are cation channels permeable to K<sup>+</sup> and Ca<sup>2+</sup> ions (Di Cosmo *et al.*, 2006; Dani, 2015; Wollmuth, 2018). Thus, elevated CO<sub>2</sub>-induced disruption of K<sup>+</sup> and Ca<sup>2+</sup> channels in the nervous system could affect the functioning of ligand-

gated cation channels permeable to K<sup>+</sup> and Ca<sup>2+</sup>, and have a potentially more widespread effect on neurotransmission due to the critical role of K<sup>+</sup> and Ca<sup>2+</sup> in neurotransmission and action potential regulation (Rusakov, 2006; Catterall, 2021). As suggested by Tresguerres and Hamilton (2017), elevated CO<sub>2</sub>-induced changes in HCO<sub>3</sub><sup>-</sup> concentrations could regulate K<sup>+</sup> channel activity (Kaila *et al.*, 1997; Ma *et al.*, 2012; Jones *et al.*, 2014b), potentially explaining the alterations in K<sup>+</sup> channels observed here in *I. pygmaeus*. Disruption of Ca<sup>2+</sup> channels may be due to elevated CO<sub>2</sub>-induced oxidative stress (discussed below in Oxidative stress) which can increase intracellular 'free' Ca<sup>2+</sup> levels (Halliwell and Gutteridge, 2015).

Overall, I have found molecular signatures for elevated CO<sub>2</sub>-induced alterations in a variety of different types of neurotransmission in *I. pygmaeus*, including monoaminergic, glutamatergic, GABAergic and cholinergic neurotransmission that is mediated by ligand-gated Cl<sup>-</sup> and cation channels, GPCRs and K<sup>+</sup> and Ca<sup>2+</sup> ion channels playing critical roles in the general process of neurotransmission. The elevated CO<sub>2</sub>-induced disruptions to neurotransmission may be explained by four potential mechanisms. 1) Altered HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> concentrations could disrupt ligand-gated Cl<sup>-</sup> channel function, 2) changes in excitatory neurotransmission may occur to compensate for disruption of typically inhibitory ligand-gated Cl<sup>-</sup> channels, 3) elevated CO<sub>2</sub>-induced changes in HCO<sub>3</sub><sup>-</sup> concentrations could regulate K<sup>+</sup> channel activity, and 4) oxidative stress-induced changes in Ca<sup>2+</sup> at elevated CO<sub>2</sub> could disrupt Ca<sup>2+</sup> channel functioning (Figure 4.3 and Figure 4.7).

These changes in neurotransmission at elevated CO<sub>2</sub> may contribute to behavioural alterations. Altered glutamatergic neurotransmission may disrupt learning and memory due to glutamate's key role in long term potentiation (LTP), the main mechanism underlying learning and memory in vertebrates (Bliss and Collingridge, 1993; Peng *et al.*, 2011), and molluscs (Roberts and Glanzman, 2003). Two genes involved in glutamatergic neurotransmission were differentially expressed in *I. pygmaeus*' CNS, *folh1* (upregulated) and *celsr3* (downregulated). Overexpression of *folh1* altered memory performance in mice (Zink *et al.*, 2020) and *celsr3* knock-out mice exhibit fewer glutamatergic synapses and impaired learning and memory behaviours (Thakar *et al.*, 2017). *Cbs*, which was upregulated at elevated CO<sub>2</sub> here and in the brains of fish from behaviourally tolerant parents (Schunter *et al.*, 2016), is the main producer of hydrogen sulphide (H<sub>2</sub>S), a gaseous neurotransmitter in the vertebrate brain and in molluscs (Julian *et al.*, 2002; Hu *et al.*, 2010; Shefa *et al.*, 2018a). H<sub>2</sub>S has a range of roles, including facilitating LTP (Abe and Kimura, 1996; Kimura, 2000; Régnier *et al.*, 2012; Chen *et al.*, 2017). Thus, altered gaseous neurotransmission via H<sub>2</sub>S may also contribute to changes in learning and memory.

Altered monoaminergic neurotransmission may also contribute to behavioural disturbances. Key regulators of monoaminergic neurotransmission, *maoa* and *slc18a2*, were upregulated at elevated CO<sub>2</sub> in the eyes of *I. pygmaeus*. Indeed, expression changes of *slc18a2* 

and maoa alter aggression, depressive-like, anxiety-like, and activity behaviours in mammals (slc18a2: (Fukui et al., 2007; Lohr et al., 2014; Branco et al., 2020), maoa: (Cases et al., 1995; Scott et al., 2008; Wang et al., 2017a; Mentis et al., 2021)) and activity in invertebrates (Simon et al., 2009; Bellot et al., 2021). Altered levels of the monoamines dopamine and 5-HT were correlated with behaviours affected by elevated CO<sub>2</sub> in two fish (Paula et al., 2019). Slc2a13, which was downregulated at elevated CO<sub>2</sub> here and in the non-nervous tissue of an oyster (Ertl et al., 2016), codes for a cotransporter that moves myo-inositol into neurons and glia (Uldry et al., 2001, 2004). Altered intracellular myo-inositol levels, via monoamine signalling, are also thought to cause depression and anxiety (Einat and Belmaker, 2001; Shaldubina et al., 2007). Furthermore, these changes in neurotransmission likely do not occur in isolation. Monoamine neurotransmitters can regulate glutamatergic signalling (Nicola et al., 2000; Simon et al., 2009). There is also potential cross-talk between CBS-produced H<sub>2</sub>S and monoamines (Skrajny et al., 1992; Talaei et al., 2011; London et al., 2019), and H<sub>2</sub>S promotes glutamate uptake (Lu et al., 2008). Thus, elevated CO<sub>2</sub>-induced changes in glutamatergic, H<sub>2</sub>S and monoaminergic neurotransmission, and their interactions, may contribute to behavioural alterations, including increased aggression and activity levels that were observed in the squid from this experiment (Chapter 3) (Figure 4.3).

#### **Immune response**

Elevated CO<sub>2</sub> alters the molluscan immune response, with most research focusing on bivalves (Bibby et al., 2008; Li et al., 2015; Liu et al., 2016; Wu et al., 2016; Su et al., 2018)). Recently, the immune response of a cephalopod was also found to be altered at elevated CO<sub>2</sub> (Culler-Juarez and Onthank, 2021). Molluscs, including cephalopods, rely on an innate immune response that consists of three levels, 1) sensor molecules that recognise and interact with pathogens to trigger 2) signal transduction pathways, resulting in 3) activation and production of immune effectors, such as phagocytosis and antimicrobial peptides, that kill, neutralise or remove pathogens (Castillo et al., 2015). Here, I found changes in gene expression related to all three levels of the innate immune response in both the CNS and eyes of I. pygmaeus at elevated CO<sub>2</sub> (Figure 4.4). A gene coding for the peptidoglycan recognition protein was downregulated at elevated CO<sub>2</sub> in the eyes of *I. pygmaeus* here (pglyrp2), and also in the gills of a mussel (Castillo et al., 2017) and non-nervous tissue of an oyster (Ertl et al., 2016). I also found differential expression of genes that regulate the immune signal transduction pathways NF-KB, JNK and p38 (Guha and Mackman, 2001), which are also implicated in the molluscan immune response (Goodson et al., 2005; Canesi et al., 2006; De Zoysa et al., 2010; Salazar et al., 2015). Gene expression changes also suggest a range of immune effectors, including iron sequestration (tf and cbs), autophagy (map1l3ca/b), controlling the pool of available nucleoside triphosphates (*nme6*), and phagocytosis ('cell adhesion' and multiple cytoskeleton functional categories), may be altered at elevated CO<sub>2</sub> in *I. pygmaeus*. Previous research has also indicated altered phagocytosis in molluscs at elevated CO<sub>2</sub>; adhesion capacity of hemocytes was decreased in a clam and expression of integrin (involved in cell adhesion for phagocytosis) was decreased in one oyster species (Ivanina *et al.*, 2014) but increased in another oyster (Ertl *et al.*, 2016). Furthermore, the phagocytic rate and cytoskeleton component abundance was decreased, and the expression of cytoskeleton genes was upregulated, in a clam at elevated CO<sub>2</sub> (Su *et al.*, 2018). Therefore, elevated CO<sub>2</sub> may alter all three levels of the innate immune response in *I. pygmaeus*.

Cross-talk between the neuroendocrine and immune systems coordinates appropriate physiological and behavioural responses to environmental change (Demas et al., 2011). In molluscs, neuronal release of norepinephrine regulates hemocyte-mediated immune responses through a neuroendocrine-immune axis-like pathway (Liu et al., 2017). Thus, upregulation of maoa and slc18a2 in I. pygmaeus, which regulate norepinephrine signalling, may mediate changes in the immune response at elevated CO<sub>2</sub>. Indeed, changes in maoa expression and activity in molluscs appears to play a key role in immune functioning via norepinephrine (Zhou et al., 2011; Liu et al., 2018; Sun et al., 2021). Immune-derived factors, such as cytokines, can also feedback to alter the nervous system and behaviour (Adamo, 2006; Dantzer and Kelley, 2007). Thus, elevated CO<sub>2</sub>-induced disruption of the immune system could feedback to alter the nervous system and behaviour. Of particular relevance to my results, H<sub>2</sub>S, which is produced by cbs (upregulated in the eyes of *I. pygmaeus* here), is suggested to modulate behaviour via regulating pro-inflammatory mediators, such as cytokines (Gong et al., 2010; Li et al., 2021). Furthermore, knock out of the immune sensor molecule pglyrp2 in adult mice, which was upregulated in the eyes of *I. pygmaeus* here, altered anxiety-like behaviour (Arentsen et al., 2018). Thus, I. pygmaeus' nervous system may respond to elevated CO<sub>2</sub> to mediate changes in the immune system through a neuroendocrine-immune axis, and these immune changes may also feedback on the nervous system to alter behaviours at elevated  $CO_2$  (Figure 4.7).

#### Oxidative stress

Oxidative stress occurs when there is an imbalance between the production of reactive oxygen species (ROS) and protection by antioxidant mechanisms (Halliwell and Gutteridge, 2015). Elevated CO<sub>2</sub> induces oxidative stress in molluscs, increasing ROS and altering antioxidant defences, which induces oxidative damage such as DNA damage, lipid peroxidation and apoptosis (Tomanek *et al.*, 2011; Wang *et al.*, 2016; Cao *et al.*, 2018a,b; Zhang *et al.*, 2021). Here, I found molecular signatures for oxidative stress in *I. pygmaeus* nervous tissue at ele-

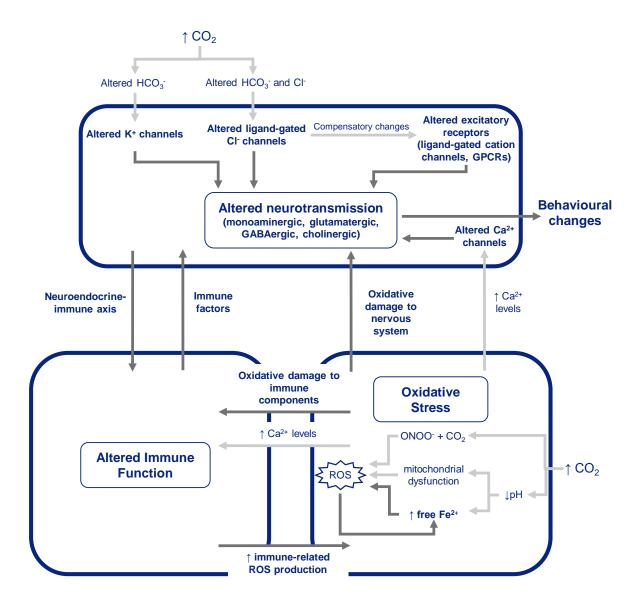


Figure 4.7. Proposed mechanistic model outlining the effects of elevated  $CO_2$  on, and the interactions between, the three top functions found to be affected by elevated  $CO_2$  in the nervous tissue of *I. pygmaeus*; neurotransmission, immune function and oxidative stress. The mechanisms in bold and arrows in dark grey are those pathways that there was some some evidence for in *I. pygmaeus*.  $CO_2$  = carbon dioxide,  $ONOO^-$  = peroxynitrite, ROS = reactive oxygen species.

vated CO<sub>2</sub>. In the nervous system of *I. pygmaeus*, there was differential expression of genes involved in production of the antioxidants glutathione and ascorbate, and H<sub>2</sub>S which has an antioxidant role (Shefa *et al.*, 2018b) (Figure 4.5). I also found significant upregulation of genes and functional categories involved in cellular clearance, including the endoplasmic reticulum associated degradation (ERAD) pathway and lysosomal system, and repair of DNA damage, suggesting oxidative damage may have occurred in the nervous system of *I. pygmaeus* at elevated CO<sub>2</sub>. DNA damage was also observed at elevated CO<sub>2</sub> in an oyster (Cao *et al.*, 2018a) and a mussel (Nardi *et al.*, 2018). Despite no molecular markers of apoptosis being differentially expressed, there was upregulation of the functional category 'regulation of apoptotic process' in the CNS. Increased apoptosis at elevated CO<sub>2</sub> was observed in a sea snail (Zhang *et al.*, 2021) and an oyster (Cao *et al.*, 2018a). Thus, cellular clearance and DNA repair mechanisms may have been upregulated to deal with oxidative damage at elevated CO<sub>2</sub>, which potentially caused apoptosis in the nervous system of *I. pygmaeus*.

Tomanek et al. (2011) proposed three mechanisms by which elevated CO<sub>2</sub> could induce oxidative stress; 1) cellular CO<sub>2</sub> reacts with peroxynitrite (ONOO<sup>-</sup>) to form reactive species, and in organisms with a limited acid/base regulatory capacity a decreased pH at elevated CO<sub>2</sub> could 2) negatively affect the mitochondrial electron transport chain leading to electron slip and ROS production, and 3) release free Fe<sup>2+</sup> ions, catalysing the production of ROS through Fentons reaction (Figure 4.5 and Figure 4.7). In I. pygmaeus, DE of tf, cyb561d2 and cbs may work together to decrease Fe<sup>2+</sup> availability for ROS production in a response to counteract acidosis-induced release of Fe<sup>2+</sup> irons, supporting mechanism three above (Figure 4.5). Cyb561d1, which has a similar function to cyb561d2 in reducing Fe<sup>3+</sup> (Su and Asard, 2006), was also downregulated at elevated CO<sub>2</sub> in the olfactory bulb of a fish (Porteus et al., 2018). However, extracellular pH was maintained in a gastropod mollusc exposed to 1,000 µatm CO<sub>2</sub> for 4 - 11 days (Zlatkin and Heuer, 2019) and a squid after 20 hours in 1,600 and 4,100 μatm CO<sub>2</sub> (Hu et al., 2014). Thus, it is likely that pH was maintained in I. pygmaeus after 7 days at 1,000 μatm CO<sub>2</sub>, and there would likely not be an acidosis-induced release of free Fe<sup>2+</sup> ions. However, oxidative stress itself can increase iron levels (Halliwell and Gutteridge, 2015), and this increased iron could then catalyse ROS production creating a self-amplifying cycle of oxidative stress. Thus, if elevated CO2 induces oxidative stress by another mechanism(s), differential expression of tf, cyb561d2 and cbs may be a response to, rather than the mechanism producing, oxidative stress (Figure 4.7).

#### Oxidative stress potentially interacts with the immune system and neurotransmission

Previous research has shown elevated CO<sub>2</sub>-induced disruption of both the immune and oxidative stress systems in molluscs (Ertl *et al.*, 2016; Sun *et al.*, 2017; Cao *et al.*, 2018b),

which may be due to cross-talk between these two systems. Oxidative stress may alter the immune response by damaging immune components, such as the cytoskeleton and hemocytes (Sun *et al.*, 2017; Cao *et al.*, 2018b), and increasing Ca<sup>2+</sup> levels which could disturb calcium-dependent immune processes, such as phagocytosis (Sun *et al.*, 2017). The reverse is also possible, elevated CO<sub>2</sub>-induced disruption of the immune system could increase immune-related ROS production (Terahara and Takahashi, 2008). Elevated CO<sub>2</sub> could also disturb iron sequestration (as part of an altered immune response), consequently altering the availability of free iron to catalyse ROS production and potentially leading to oxidative stress (Figure 4.7).

The nervous system is particularly vulnerable to oxidative stress (Halliwell, 2006; Valko *et al.*, 2007), and oxidative stress in the nervous system can inactivate receptors, enzymes and ion channels resulting in disrupted neurotransmission and neuronal function (Lebel and Bondy, 1991; Halliwell, 2006; Bouayed *et al.*, 2009; Halliwell and Gutteridge, 2015). This oxidative damage-induced disruption of neurotransmission may consequently disturb downstream processes controlled by the nervous system. Indeed, many studies have shown a link between oxidative stress in the nervous system and changes in anxiety and aggressive behaviours, as well as depression (Rammal *et al.*, 2010; Bouayed, 2011; Bhatt *et al.*, 2020). As I found molecular signatures of oxidative damage in the nervous system of *I. pygmaeus* at elevated CO<sub>2</sub>, oxidative damage could be a mechanism contributing to altered neurotransmission, and potentially behavioural disturbances, at elevated CO<sub>2</sub> (Figure 4.7).

#### 4.5.3 Conclusion

Here, I have created a *de novo* annotated cephalopod transcriptome assembly with accompanying quality and completeness metrics, providing a valuable resource for other researchers. Using this resource, a small number of DEGs and small but widespread and coordinated expression changes of genes in the CNS and eyes of *I. pygmaeus* exposed to elevated CO<sub>2</sub>, were identified. Changes in gene expression in both tissues show elevated CO<sub>2</sub> affected three top functions; neurotransmission, immune function and oxidative stress. The results here support previous findings that elevated CO<sub>2</sub> alters neurotransmission, and agree with suggestions that multiple types of neurotransmission are altered. Furthermore, my results support a growing number of studies demonstrating that elevated CO<sub>2</sub> induces alterations in both the immune and oxidative stress systems, and I also show molecular signatures for alterations in the immune and oxidative stress systems in the nervous system. Altered iron homeostasis was identified as a possible mechanism for oxidative stress at elevated CO<sub>2</sub>, although altered iron homeostasis may be a consequence of oxidative stress. I propose a mechanistic model showing how cross-talk between changes in neurotransmission, immune function and oxidative

stress may drive behavioural and physiological responses to elevated CO<sub>2</sub>. The findings here highlight that the mechanisms underlying biological responses to elevated CO<sub>2</sub> are likely not occurring in isolation. Furthermore, elevated CO<sub>2</sub>-induced alterations in the nervous system likely drives not only behavioural, but also physiological, responses. Moving forward, causative research is now required to evaluate the findings. For example, knockdown studies of specific genes in model animals will determine their importance in elevated CO<sub>2</sub>-induced changes. Pharmacological studies targeting receptors and neurotransmitter pathways, other than only GABA<sub>A</sub> receptors, will be important to determine their role in both behavioural and physiological responses to elevated CO<sub>2</sub>. Furthermore, experiments exploring the link between neuroendocrine-immune crosstalk and oxidative stress-induced damage in the nervous system, to behavioural change at elevated CO<sub>2</sub> could provide a fruitful avenue for future research.

# Chapter 5

Correlated transcriptomic and behavioural responses: Identifying mechanisms underpinning behavioural responses to elevated CO<sub>2</sub> in a cephalopod

### Data availability:

All data and scripts accompanying this chapter are available at https://doi.org/10.25903/7dcz-th66 (this is embargoed until publication).

# 5.1 Abstract

Rising carbon dioxide (CO<sub>2</sub>) levels in the ocean can affect marine invertebrate behaviours. Given the vital role of marine invertebrates in marine ecosystems, and the importance of behaviour in an individual's survival, these behavioural changes may have widespread consequences. However, the mechanisms underlying marine invertebrate behavioural changes remain poorly understood. Here, I directly correlated gene expression with CO<sub>2</sub> treatment levels and behavioural responses of the same individuals to more directly assess the molecular mechanisms underlying behavioural changes at elevated CO<sub>2</sub>. I used a network approach to cluster transcriptome-wide gene expression from the central nervous system (CNS) and eyes of two-toned pygmy squid (*Idiosepius pygmaeus*). The gene expression profile of each gene cluster was then correlated with CO<sub>2</sub> treatment levels (current-day: ~450 μatm, elevated: ~1,000 µatm) and visually-mediated behavioural responses previously shown to be altered at elevated CO<sub>2</sub>, using Canonical Correlation Analysis. This analysis identified altered neurogenesis in both the CNS and eyes as a potential main driver of elevated CO2-induced behavioural changes. From the results, I propose a mechanism by which alterations in visual detection and visual information output from the eyes, in combination with disrupted neurogenesis and neurotransmission (including GABAergic signalling) in the CNS, may drive behavioural changes at elevated CO<sub>2</sub>. My findings suggest that elevated CO<sub>2</sub> disturbs both the peripheral visual and central nervous systems leading to behavioural alterations via multiple mechanisms.

# 5.2 Introduction

Nearly one third of all anthropogenic carbon dioxide (CO<sub>2</sub>) emissions are being absorbed by the ocean, resulting in increasing seawater CO<sub>2</sub> levels and decreasing pH, a process known as ocean acidification (OA) (Bindoff *et al.*, 2019). OA can affect a variety of physiological processes, life history traits and behaviours of marine invertebrates (reviewed in Kroeker *et al.* (2013); Melzner *et al.* (2020) and Chapter 2), with some taxa being more sensitive than others (Melzner *et al.*, 2009; Wittmann and Pörtner, 2013). Invertebrates are vital components of marine ecosystems, comprising over 92% of life in the ocean, are essential to the function of ecosystem processes, and support the livelihoods of human societies across the globe (Bertness *et al.*, 2001; Chen, 2021). Animal behaviour influences an individual's own fitness, complex interactions with other individuals and species, and key ecological processes that shape the structure of marine communities and ecosystems (Nagelkerken and Munday, 2015). Consequently, behavioural effects of elevated CO<sub>2</sub> on marine invertebrates could potentially have wide-ranging ecological, social and economic consequences.

A mechanistic understanding of OA-induced behavioural changes is important to understand the variability in these behavioural alterations and improve predictions of how marine invertebrates, and ultimately marine ecosystems, will respond to global change. However, the mechanistic underpinnings of behavioural alterations at elevated CO<sub>2</sub> in marine invertebrates are poorly understood (Chapter 2). The majority of mechanistic work has been done in fish, focusing on disrupted functioning of GABA<sub>A</sub> receptors (Nilsson et al., 2012; Heuer et al., 2019; Schunter et al., 2019). Pharmacological work has also supported the GABA hypothesis in marine molluscs (Watson et al., 2014; Clements et al., 2017, Chapter 3), but not a crustacean (Charpentier and Cohen, 2016). Extra-cellular measurements of HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> ion concentrations have supported the theory behind the GABA hypothesis in a gastropod mollusc (Zlatkin and Heuer, 2019), as well as crustaceans (de la Haye et al., 2012; Charpentier and Cohen, 2016). Other targeted approaches have indicated additional mechanisms may also underlie behavioural changes at elevated CO<sub>2</sub> in marine invertebrates, including altered sensation and information processing (reviewed in Briffa et al. (2012) and Chapter 2). However, targeted approaches like these only provide a limited view and leave potentially relevant information unexplored.

Transcriptomics provides a non-targeted, holistic approach to identify how environmental change affects marine organisms. Indeed, in fish, transcriptomics alongside behavioural testing has been used to explore hypotheses for the mechanisms underlying OA-induced behavioural changes. For example, a molecular signature of parental behavioural tolerance to elevated CO<sub>2</sub>, defined by differential expression of circadian rhythm genes, was found in the brain of juvenile damselfish (Schunter *et al.*, 2016). The association of circadian rhythm

genes with parental behavioural tolerance was found to be primarily a maternal contribution, whereas fathers had a greater role in the association of histone binding gene expression, and both mothers and fathers contributed to neuroplasticity related changes in gene expression (Monroe et al., 2021). Recent work in two coral reef fish, the spiny damselfish and orange clownfish, showed brain transcriptional responses to elevated CO<sub>2</sub> were altered by diel CO<sub>2</sub> fluctuations, and this differential response was largely related to changes in circadian rhythm genes (Schunter et al., 2021). In European sea bass at elevated CO<sub>2</sub>, differential expression of genes involved in olfactory receptors, excitatory neurotransmission and synaptic plasticity were associated with altered olfactory-mediated behaviour and reduced responses of the olfactory nerve to odourants (Porteus et al., 2018). Furthermore, exposure of ocean-phase coho salmon to elevated CO<sub>2</sub> caused differential expression of genes involved in GABAergic signalling and ion balance regulation in the olfactory system, and these patterns were associated with disrupted olfactory-mediated behaviour and altered odour signalling in the olfactory bulb (Williams et al., 2019). Thus, transcriptomics can provide support for existing hypotheses, such as the GABA hypothesis, but also allows for the development of novel hypotheses, such as the involvement of circadian rhythm genes and neuroplasticity, to explain behavioural alterations at elevated CO<sub>2</sub>.

In marine invertebrates, little research has utilised transcriptomics to assess the mechanisms underpinning OA-induced behavioural alterations. Two transcriptomic studies using the whole body of pteropod molluses identified altered expression of nervous system genes at elevated CO<sub>2</sub>, including those involved in GABAergic, glycinergic, cholinergic and glutamatergic signalling (Moya *et al.*, 2016; Johnson and Hofmann, 2017). A transcriptomic study in the central nervous system (CNS) and eyes of a tropical squid also identified expression changes in neurotransmission, immune function, and oxidative stress related genes, at elevated CO<sub>2</sub> (Chapter 4). However, studies that directly correlate changes in gene expression to behavioural changes at elevated CO<sub>2</sub>, and thus provide a more direct assessment of the molecular mechanisms underpinning OA-induced behavioural changes, are lacking.

Here, I correlated patterns of gene expression with CO<sub>2</sub> treatment levels and OA-affected behaviors in two-toned pygmy squid (*Idiosepius pygmaeus*) to provide a more direct assessment of the potential mechanisms underlying OA-induced behavioural changes. As cephalopods have a complex nervous system and behaviours rivalling those of fishes (Hanlon and Messenger, 2018), they are a useful taxon to investigate the mechanistic underpinnings of behavioural alterations at elevated CO<sub>2</sub>. *I. pygmaeus* is an ideal species for this research as OA-induced behavioural alterations have previously been reported (Spady *et al.*, 2014, 2018, Chapter 3), and a *de novo* reference transcriptome has recently been assembled for this species (Chapter 4). In this study, I first used weighted gene co-expression network analysis to cluster transcriptome-wide gene expression into modules of genes within the CNS

and eyes, separately. Using a network approach to cluster genes into modules allows for the correlation of behavioural traits with a small number of gene modules, rather than each individual gene. Canonical correlation analysis was then used to correlate the expression profile of each gene module with CO<sub>2</sub> treatment levels (~450 µatm or ~1,000 µatm) and visually-mediated behavioral responses previously shown to be altered at elevated CO<sub>2</sub> in the same individuals. Cephalopods, including squid, are highly visual animals with many visually-guided behaviours (Muntz, 1999; Mather, 2006; Chung *et al.*, 2022). Therefore, I focused on the eyes and visually-mediated behaviours to assess the mechanisms in the peripheral visual system underlying relevant behavioural changes. The CNS was included to assess whether higher order processing mechanisms were also involved in behavioural alterations. The overall aim of this study was to determine potential drivers of behavioural change at elevated CO<sub>2</sub> in a cephalopod molluse, a marine invertebrate with a complex nervous system.

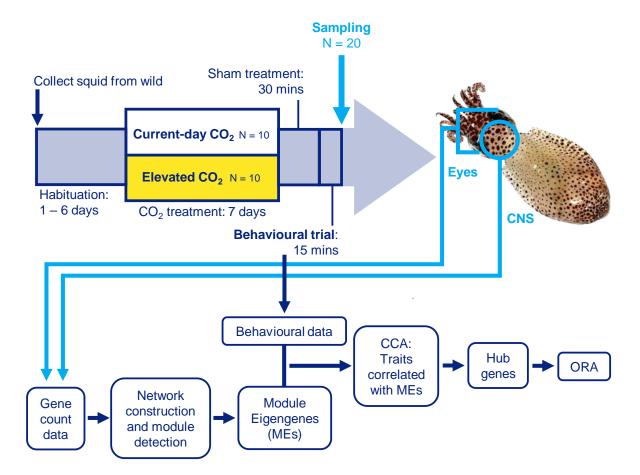
# 5.3 Methods

# 5.3.1 CO<sub>2</sub> treatment and experimental design

Male two-toned pygmy squid (*Idiosepius pygmaeus*) were exposed to either current-day ( $\sim$ 450 µatm) or elevated ( $\sim$ 1,000 µatm) CO<sub>2</sub> as described in Chapter 3 (picrotoxin experiment, sham-treated squid used) (Figure 5.1). Briefly, wild-caught squid (19°15'11"S 146°49'24"E), were acclimated in groups at current-day seawater conditions ( $\sim$ 450 µatm CO<sub>2</sub>) for 1 - 6 days. Squid were then transferred to individual treatment tanks at either current-day ( $\sim$ 450 µatm) or elevated ( $\sim$ 1,000 µatm) CO<sub>2</sub> for seven days. After CO<sub>2</sub> treatment, squid underwent sham treatment (30 minutes in 100 mL aerated seawater from their CO<sub>2</sub> treatment containing 0.2% ethanol) as part of the experiment described in Chapter 3, followed by a behavioural trial. Visually-mediated behaviour was tested for 15 minutes by placing squid individually in a tank ( $30 \times 30 \times 15$  cm) filled to 3 cm deep with seawater from their CO<sub>2</sub> treatment and with a mirror taking up the entire length of one wall. Immediately after the behavioural trial, squid were euthanised with AQUI-S (1:1000) and the eyes and central nervous system (CNS, containing the oesophagus running through the middle) were dissected and snap frozen (within  $4.18 \pm 0.55$  minutes of euthanisation (mean  $\pm$  SD)) and stored at -80°C.

#### 5.3.2 Behavioural data

Behavioural data from Chapter 3 was used here. Three aspects of squid behaviour shown to be altered by elevated CO<sub>2</sub> were used: activity, exploratory conspecific-directed behaviours, and aggressive conspecific-directed behaviours. For activity I measured: (i) total time spent ac-



**Figure 5.1. Experimental design overview.** Gene count data from the CNS, and both eyes combined, of each squid exposed to either current-day or elevated CO<sub>2</sub> conditions was used from Chapter 4. A co-expression network was constructed and modules detected using WGCNA, and module eigengenes (MEs) were calculated for each module. MEs were correlated with the behavioural data of the same squid from Chapter 3, using Canonical Correlation Analysis (CCA). Hub genes for CO<sub>2</sub> treatment and each behavioural trait were identified and over-representation analysis (ORA) was used on large sets of hub genes to determine functional enrichment. *Idiosepius pygmaeus* photograph by Jodi Thomas.

tive (s), (ii) total distance moved (cm) and, (iii) average speed (cm/s). For visually-mediated exploratory conspecific-directed behaviour I measured: (i) the total time (s) spent in Zone A (3 cm closest to the mirror), (ii) whether any exploratory interactions occurred (i.e. whether the squid touched the mirror softly) (yes/no) and, (iii) the number of exploratory interactions (i.e the total number of soft mirror touches). For visually-mediated aggressive conspecific-directed behaviour I measured: (i) whether any aggressive interactions occurred (i.e. whether the squid touched the mirror aggressively) (yes/no) and, (ii) the number of aggressive interactions (i.e. the total number of aggressive mirror touches). The behavioural analysis is described in detail and the behavioural results are reported in Chapter 3. Three behaviours recorded in Chapter 3 were not used in the current study. The number of visits to Zone

A was not included because it was unaffected by elevated CO<sub>2</sub>, and the latency to first soft/aggressive mirror touch had a large proportion of zeroes in the data making these two behaviours inappropriate for correlation analysis.

#### 5.3.3 Gene count data

Gene count data from Chapter 4 was used here. Briefly, RNA-sequencing was carried out on the total RNA from the CNS, and both eyes combined from each of 20 squid (current-day  $CO_2$ , n = 10, elevated  $CO_2$ , n = 10 for each tissue). After trimming and contamination removal, RNA-seq reads were mapped against the *de novo* reference transcriptome for *I. pygmaeus* CNS and eye tissue from Chapter 4. Corset (v1.09) (Davidson and Oshlack, 2014) was used to cluster transcripts to gene-level and produce gene-level counts. Behavioural and gene count data are from the same individual squid.

# 5.3.4 Statistical analysis

To analyse the correlation between gene expression and behavioural traits of squid across CO<sub>2</sub> treatments, I employed weighted gene co-expression network analysis (WGCNA) and canonical correlation analysis (CCA) on the CNS and eyes, separately (Figure 5.1). All statistical analyses were carried out in R (v4.0.4) (R Core Team, 2021), primarily using RStudio (v1.4.1106) (RStudio Team, 2021).

#### Gene co-expression network construction and module detection

The gene-level counts for all 20 samples from each tissue were normalised, transcripts with low read counts were removed ( $\leq$ 10 counts in  $\geq$  90% samples) and the remaining count data was variance stabilised in DESeq2 (v1.30.1) (Love *et al.*, 2014). This count data was then used in the WGCNA package (v1.70-3) (Langfelder and Horvath, 2008) for co-expression network construction and module detection. No genes were identified as outliers, using 'goodSamplesGenes'. To detect sample outliers, a sample dendrogram was created using hierarchical clustering with the 'average' method. Three and two obvious sample outliers were identified and removed from the analysis in the CNS and eyes, respectively (Appendix D: Figure D.1). Soft thresholding power was evaluated and powers of 14 and 13 were chosen for the CNS and eyes, respectively, to approximate a scale free topology (Appendix D: Figure D.2 and Figure D.3). The following co-expression network construction and module detection steps were carried out on a high-performance computing cluster at Okinawa Institute of Science and Technology, Japan to allow multiple threads for a full network analysis occurring in one block. A signed correlation network adjacency was calculated using

Pearson correlation and the chosen soft thresholding power. The adjacency was transformed into a signed topological overlap matrix (TOM) and the corresponding dissimilarity was calculated (1-TOM). A cluster dendrogram of genes was created using hierarchical clustering with the 'average' method and the dissimilarity TOM. Modules were detected using dynamic tree cut with the hybrid method, a minimum cluster size of 30, an intermediate sensitivity to cluster splitting (deepSplit = 2) and the Partitioning Around Medoids (PAM)-like step set to not respect the dendrogram (pamRespectsDendro = FALSE). Modules with a correlation of ≥ 0.70 were then merged (Appendix D: Figure D.4, Table D.1 and Table D.2). Eigengenes were calculated for each final module, which is the first principal component in the corresponding module used to represent the gene expression profiles of that module. These module eigengenes (MEs) allow gene modules to be correlated with external traits (Langfelder and Horvath, 2008).

#### Module eigengene correlation with behavioural traits

Regularised canonical correlation analysis (CCA) using package CCA (v1.2.1) (González et al., 2008) was used to explore the correlations between the two sets of variables from the same individual squid: ME set = MEs from each module, traits set = CO<sub>2</sub> level (current-day or elevated) and behavioural traits (active time (s), distance (cm), speed (cm/s), time in Zone A (s), whether any exploratory interactions occurred (yes/no), the number of exploratory interactions, whether any aggressive interactions occurred (yes/no), and the number of aggressive interactions). CCA is a multivariate method that is similar to principal components analysis (PCA), but instead of finding new axes (principle components) that maximise the variance in the dataset, CCA finds new axes (canonical functions) that maximise the correlation between the variables in the two datasets (González et al., 2008).

The canonical loadings and cross-loadings were calculated for the first four canonical functions (CF) in the CNS and eyes, separately. The first four CFs were chosen for interpretation as they explained a reasonable amount of variance between the traits set and MEs set; the CFs with a canonical correlation > 0.6 were chosen (Sherry and Henson, 2005). For each CF, the canonical loadings represent the correlation of each variable from one set to the entire set of variables in the same set. For example, the canonical loading of CO<sub>2</sub> treatment for CF 1 is the correlation of CO<sub>2</sub> treatment to the entire traits set at CF 1. For each CF, the canonical cross-loadings represent the correlation of each variable from one set to the entire set of variables in the other set. For example, the canonical cross-loading of CO<sub>2</sub> treatment at CF 1 is the correlation of CO<sub>2</sub> treatment to the entire ME set at CF 1. Heatmaps of all canonical loadings and cross-loadings for all variables of each set were created for each of the four CFs (Appendix D: Figure D.5 and Figure D.6). If the canonical loading and cross-loading

of a given variable from both the ME set and traits set were  $\geq 0.3$  for the same CF, this ME and trait were considered correlated. For example, if ME brown had a canonical loading of  $\geq 0.3$  for CF 1 it was considered correlated with CF 1 of the ME set. If CO<sub>2</sub> treatment had a canonical loading of  $\geq 0.3$  for CF 1 it was considered correlated with CF 1 of the traits set. Furthermore, if both ME brown and CO<sub>2</sub> treatment had a cross-loading  $\geq 0.3$  for CF 1, ME brown was considered correlated to CO<sub>2</sub> treatment. A cut-off value of 0.3 is commonly used (Lambert and Durand, 1975; Kabir *et al.*, 2014) and was a clear cut-off for my data.

Biplots were created for each two-way combination of the four CFs (Appendix D: Figure D.7 - Figure D.18). These biplots show where the MEs lie in space in relation to the traits. MEs and traits within the same or opposite quarters of the biplot, and sitting on or outside the biplot inner ring with a radius of 0.5, were considered positively or negatively correlated, respectively. If MEs and traits were considered correlated by both the canonical loadings/cross-loadings heatmap and biplots they were identified as modules of interest for the given trait(s).

### Module membership vs gene significance

The Pearson correlation of module membership (MM) and gene significance (GS) was used to check the modules of interest identified by CCA. MM is the Pearson correlation between an individual gene's expression and the ME, with higher values indicating the gene is more highly connected to the given module. GS is the Pearson correlation between the individual gene's expression and a given trait, with higher values indicating a more biologically relevant gene (Langfelder and Horvath, 2008). A correlation of GS and MM imply that genes more highly connected within a given module also tend to be more highly correlated with the given trait, providing another measure for the importance of this module with the given trait. All modules of interest initially identified by CCA that had a MM vs GS correlation (R-value) > 0.2, a commonly used threshold for evidence of a weak correlation (Evans, 1996), were chosen as the final modules of interest (Appendix D: Figure D.19).

### **Identification of hub genes**

MM and GS values were used as a gene screening method to identify biologically relevant, highly interconnected hub genes (Fuller *et al.*, 2007; Horvath and Dong, 2008; Langfelder and Horvath, 2008), i.e. to find genes correlated with  $CO_2$  treatment and each behavioural trait. Hub genes were defined as those genes within the final modules of interest with a very strong correlation with the module (MM > 0.8) and a moderate correlation with the given trait (GS > 0.4). The Pearson correlation (R-value) between the normalised expression of each hub gene and the given trait was calculated and genes with a very weak correlation (R <

0.2) were excluded, resulting in the final list of hub genes. All hub genes for CO<sub>2</sub> treatment were compared across tissues to identify hub genes for CO<sub>2</sub> treatment that are CNS-specific, eyes-specific or found in both tissues. Hub genes for CO<sub>2</sub> treatment that are also a hub gene for one or more behavioural traits were identified as potential drivers of behavioural change at elevated CO<sub>2</sub>.

#### Functional enrichment analysis

Over-representation analysis (ORA) was run in clusterProfiler (v3.18.1) (Yu *et al.*, 2012) using the hypergeometric test. The gene ontology (GO) terms of the CNS-specific CO<sub>2</sub> treatment hub genes that were also hub genes for one or more activity traits in the CNS, and the CNS-specific CO<sub>2</sub> treatment hub genes that were also hub genes for all three activity traits in the CNS, were compared to the entire set of genes in the CNS. This determined if any GO terms/functional categories were significantly over-represented within the list of hub genes. All other groups of CO<sub>2</sub> treatment hub genes had 25 or fewer genes and thus ORA was not run. P-values were adjusted for multiple comparisons using the Benjamini-Hochberg method and a significance threshold of padj < 0.05 was used.

## 5.4 Results

I identified 230 and 25 hub genes for CO<sub>2</sub> treatment in the CNS and eyes, respectively, with 14 CO<sub>2</sub> treatment hub genes shared by both tissues. Of these CO<sub>2</sub> treatment hub genes in the CNS, eyes and both tissues, 169, 6 and 10 genes were also identified as hub genes for one or more behavioural traits, respectively, indicating these genes as potential drivers of behavioural change at elevated CO<sub>2</sub> (Figure 5.2).

# 5.4.1 Genes in the CNS potentially driving altered activity at elevated CO<sub>2</sub>

In the CNS, 159 hub genes were shared by CO<sub>2</sub> treatment and one or more activity traits, and 87 of these were hub genes for all three activity traits (Figure 5.2), implicating these genes in the CNS as potential drivers of altered activity at elevated CO<sub>2</sub>. All of these shared hub genes were correlated with CO<sub>2</sub> treatment and the activity trait(s) in the same direction, with the majority of hub genes positively correlated with both CO<sub>2</sub> treatment and the activity trait(s) (Appendix D: Table D.3). The 159 hub genes shared by CO<sub>2</sub> treatment and one or more activity traits, and the 87 hub genes shared by CO<sub>2</sub> treatment and all three activity traits, were significantly enriched for four and 13 GO terms, respectively. These GO

terms include functions related to the cell cycle; '3'-5' DNA helicase activity' and 'chromosome' related to DNA replication, and 'actin filament binding', 'myosin complex', 'myofibril', 'motor activity' and 'ruffle membrane' related to mitosis/cytokinesis and cell migration (actin and myosin are key orchestrators of cell movement (Bressan and Saghatelyan, 2021)). 'Protein kinase binding' may also be involved in the cell-division cycle, as phosphorylation

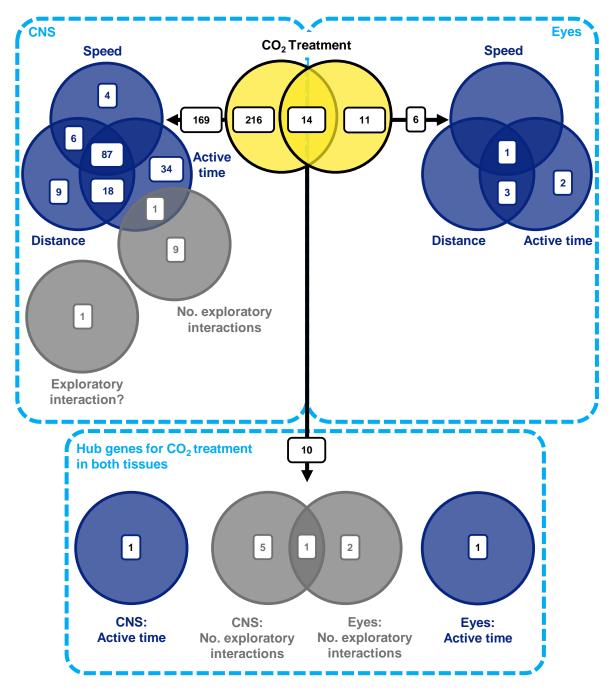


Figure 5.2. Venn diagram depicting the number of hub genes identified for CO<sub>2</sub> treatment and behavioural traits in the CNS and eyes. (see next page)

Figure 5.2 (previous page). The yellow venn diagram in the centre depicts the number of hub genes for  $CO_2$  treatment that are CNS-specific (left) and eyes-specific (right), and the overlap represents the number of  $CO_2$  treatment hub genes shared by both tissues. CNS-specific and eyes-specific  $CO_2$  treatment hub genes also identified as a hub gene for one or more behavioural traits in the CNS or eyes are on the left and right, respectively. Hub genes for  $CO_2$  treatment found in both tissues, that are also a hub gene for a behavioural trait in one or both tissues, are shown at the bottom centre.  $CO_2$  treatment hub genes shared with activity traits (active time, distance and speed) and exploratory conspecific-directed behaviours (number of exploratory interactions and whether any exploratory interactions occurred) are in blue and grey, respectively. Exploratory interaction? = whether any exploratory interactions occurred (yes/no).

coordinates the cell cycle phases. GO terms involved in protein synthesis and folding were also significantly enriched in the shared hub genes; 'endoplasmic reticulum lumen', 'protein disulphide isomerase activity', and 'nuclear pore' (Figure 5.3).

The majority of CNS-specific hub genes shared by CO<sub>2</sub> treatment and one or more activity traits are involved in the cell cycle. Full names and functions of genes can be found in Appendix D: Table D.3 and Table D.4. Genes involved in the G1 growth phase (cdt1), regulation of G1 to S-phase (DNA replication) transition (snd1), DNA replication, including the initiation of DNA replication (psf2, cdt1, mcm5, dbf4) and elongation (mcm5 and spt16), the mitotic phase, including chromosome condensation (ncaph, smc4) and chromosome alignment and segregration (ttk, incenp, bub1), mitotic checkpoint signalling (ttk), spindle assembly checkpoint signalling (bub3) and cytokinesis, including three myosin genes (zip, myh9/10, myl9) and an actin binding protein (anln), were all positively correlated with CO<sub>2</sub> treatment and activity traits. Hub genes positively correlated with CO<sub>2</sub> treatment and activity also include regulators of the cell cycle, including transcription factors (foxm1), initiators of translation (eif3b), and kinases which phosphorylate targets to regulate cell cycle progression (ccnb3, melk, ttk, bub1, dbf4). Genes involved in both cell proliferation (ttk, eif3b, foxm1, melk, dbf4, srrt, tk1, tgfb1i1, pa2g4, ttc3) and cell cycle-related apoptosis (anb32a, eif3b, melk) were also positively correlated with CO<sub>2</sub> treatment and activity traits. Genes involved in cell differentiation (eif3b, rac1, ptpr, tbc1d1, tgfb1i1, itga4, pa2g4, slc4a11), including specifically neuronal differentiation (ttc3), neural stem cell self-renewal (srrt), neural progenitor proliferation (melk), and neurogenesis (ncaph, adgrb3) were also all positively correlated with CO<sub>2</sub> treatment and activity traits. Only a few cell cycle genes were negatively correlated with CO<sub>2</sub> treatment and behavioural traits, including an anti-proliferative gene (btg1) and a gene whose loss of expression promotes cell growth (nit1).

Many of the CNS-specific hub genes shared by CO<sub>2</sub> treatment and activity traits are involved in cell migration and adhesion. Cell migration genes, including those that interact

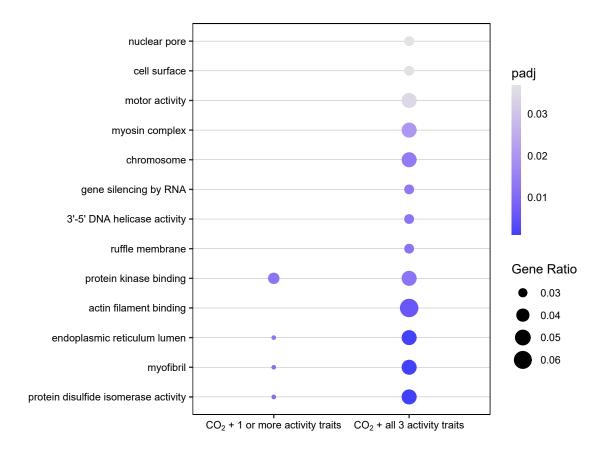


Figure 5.3. Functional categories significantly enriched in those genes in the CNS identified as potentially driving altered activity at elevated  $CO_2$ . Results from overrepresentation analysis of CNS-specific  $CO_2$  treatment hub genes shared by one or more activity traits (159 genes), and CNS-specific  $CO_2$  treatment hub genes shared by all three activity traits (87 genes). padj = adjusted p-value.

with actin (arpc5, anln), the myosin subunit myl9, and a molecular guide for cellular migration (slit3), were all positively correlated with CO<sub>2</sub> treatment and activity. Furthermore, genes involved in cell adhesion, including the integrins itga4 and itga9, slc4a11 which acts in synergy with integrins, a protocadherin (pcdh1), and an actin filament binding protein (vcl), were also positively correlated with CO<sub>2</sub> treatment and activity traits. Genes with a role specifically in neuron migration and adhesion, and the related processes of neurite (dendrite and axon) outgrowth and branching, dendritic spine formation, and synapse formation (rac1, ptpr, adgrb3, apbb1) were also positively correlated with CO<sub>2</sub> treatment and activity. One gene involved in neurite growth and branching (futsch) was negatively correlated with CO<sub>2</sub> treatment and activity traits.

A large number of the CNS-specific shared hub genes are involved in protein synthesis and turnover, with the majority positively correlated with CO<sub>2</sub> treatment and activity traits. These hub genes shared by CO<sub>2</sub> treatment and activity include those involved in transcription

(polr1a, spt16, bptf, arpc5), RNA splicing (melk, snrpa, ecd, prpf40a) and RNA processing (cstf1, exosc10). Many of the CNS-specific hub genes shared by CO<sub>2</sub> treatment and activity traits are involved in translation, including three components of the 60S (rpl23a, rpl4, rpl7l) and a component of the 40S (rps27a) ribosomal subunits, a gene required for 60S ribosomal subunit biogenesis (nop58), two components of the eukaryotic translation initiation factor 3 complex (eif3b, eif3d), and a subunit of the elongation factor-1 complex (eef1g). Protein folding and quality control genes include three protein disulphide isomerase enzyme genes (pdia3, pdia4 and pdia5), an ER chaperone that plays a key role in protein folding and degradation of misfolded proteins (hspa5), and a glucosidase subunit (ganab). Protein translocation genes included three subunits of the oligosaccharyl transferase complex (stt3a, rpn1, rpn2), a gene involved in vesicular protein trafficking (tmed2), and three components of the nuclear pore (nup160, nup155, nup205). CNS-specific hub genes shared by CO<sub>2</sub> treatment and activity traits that play a role in protein turnover included E3 ubiquitin ligases which promote proteasome degradation (cblb, ttc3), and a subunit for the 26S proteasome (rpn1).

A few of the CNS-specific hub genes shared by CO<sub>2</sub> treatment and activity traits also play a role in neurotransmission. *Phf24*, which is a key modulator of GABA<sub>B</sub> receptor signalling (Gaillard *et al.*, 2014; Numakura *et al.*, 2021), and *rac1*, which plays a crucial role in regulating GABA<sub>A</sub> receptor signalling, and is necessary for full GABA<sub>A</sub> receptor activity (Meyer *et al.*, 2000; Smith *et al.*, 2014; Wang *et al.*, 2017a) were positively correlated with CO<sub>2</sub> treatment and activity. *Aldh5a1*, which is involved in the final degradation step of the neurotransmitter GABA (Kim *et al.*, 2009), was negatively correlated with CO<sub>2</sub> treatment and activity traits. Furthermore, *tmed2*, which is involved in G-protein coupled receptor (GPCR) trafficking was positively correlated with CO<sub>2</sub> treatment and activity traits, while *futsch*, which regulates synaptic microtubule cytoskeleton and neurotransmitter release (Lepicard *et al.*, 2014), and *dgkq*, which regulates synaptic vesicle endocytosis and supports synaptic neurotransmission (Goldschmidt *et al.*, 2016), were negatively correlated with CO<sub>2</sub> treatment and activity traits.

A range of genes involved in cellular stress responses were identified as hub genes for CO<sub>2</sub> treatment and activity traits in the CNS. *Scl4a11*, which regulates the oxidative stress response, was positively correlated with CO<sub>2</sub> treatment and activity traits. Genes involved in DNA repair were mostly positively correlated with CO<sub>2</sub> treatment and activity traits (*spt16*, *foxm1*, *bptf*, *arpc5*), while *nit1*, whose loss of expression promotes resistance to DNA damage stress, was negatively correlated. A heat shock protein, *hspa5*, which is a key repressor of the unfolded protein response, and genes that induce apoptosis in response to DNA damage (*apbb5*) and ER stress (*tmem214-b*), were positively correlated with CO<sub>2</sub> treatment and activity traits.

Genes involved in the immune response were also identified as hub genes for CO<sub>2</sub> treat-

ment and activity traits in the CNS. This includes *tf*, which sequesters iron so it is unavailable for pathogens (which need iron for survival and proliferation) and is a key component of the molluscan innate immune response, including in squid (Lambert *et al.*, 2005; Ong *et al.*, 2006; Herath *et al.*, 2015; Salazar *et al.*, 2015; Li *et al.*, 2019). Genes involved in cell adhesion as part of the immune response (*itga4*, *itga9*, *rac1*, *ptpr*) were also positively correlated with CO<sub>2</sub> treatment and activity traits.

# 5.4.2 Genes in the eyes potentially driving altered activity at elevated $CO_2$

Of the 11 eyes-specific CO<sub>2</sub> treatment hub genes, six transcripts belonging to three different genes were also hub genes for one or more activity traits (Figure 5.2, Table 5.1), implicating these genes in the eyes as potential drivers of altered activity at elevated CO<sub>2</sub>. All of these shared hub genes were positively correlated with CO<sub>2</sub> treatment, but negatively correlated with the activity trait(s). Notably, *chrna10*, a subunit for the nicotinic acetylcholine (ACh) receptor, was the only hub gene shared by CO<sub>2</sub> treatment and all three activity traits in the eyes (Table 5.1). Protein crumbs, *crb*, which is essential for photoreceptor cell morphogenesis during eye development (Izaddoost *et al.*, 2002; Pellikka *et al.*, 2002) and the maintenance of retinal tissue integrity (Johnson *et al.*, 2002; van de Pavert *et al.*, 2004; Chartier *et al.*, 2012), and an uncharacterized protein D2-like, were hub genes shared by CO<sub>2</sub> treatment and one or two activity traits (Table 5.1). Furthermore, *gid-4* was positively correlated with CO<sub>2</sub> treatment in both tissues and negatively correlated with active time in the eyes (Table 5.2). *Gid-4* is a subunit of the CTLH E3 ubiquitin-protein ligase complex in mammals that is critical for maintaining normal cell proliferation (Lampert *et al.*, 2018), and may also play a role in cellular metabolism (Leal-Esteban *et al.*, 2018; Liu *et al.*, 2020; Maitland *et al.*, 2021).

# 5.4.3 Genes potentially driving an altered number of exploratory interactions at elevated CO<sub>2</sub>

Ten, zero and eight hub genes were shared by CO<sub>2</sub> treatment and the number of exploratory interactions in the CNS, eyes and both tissues, respectively (Figure 5.2), suggesting these genes are potential drivers of the altered number of exploratory interactions at elevated CO<sub>2</sub>. All of the hub genes shared by CO<sub>2</sub> treatment and number of exploratory interactions have an opposite correlation with these two traits; hub genes positively correlated with CO<sub>2</sub> treatment were negatively correlated with the exploratory interactions, and vice versa.

**Table 5.1. Eyes-specific CO<sub>2</sub> treatment hub genes.** For each of these CO<sub>2</sub> treatment hub genes, those genes also identified as a hub gene for one or more behavioural traits in the eyes are indicated in the columns on the right (active time, distance, speed, no. exploratory interactions). The numbers in each column are the gene significance (GS) for the corresponding trait (-1 to 1). Positive GS = positive correlation between gene expression and trait (in red), negative GS = negative correlation between gene expression and trait (in blue). The larger the GS absolute value the more biologically relevant the gene is. Dist. = distance, No. EI = number of exploratory interactions.

					Eyes		
Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No EI
chrna10	neuronal acetylcholine receptor subunit alpha-10-like isoform X1	Subunit of the nicotinic acetylcholine receptor.	0.48	-0.56	-0.5	-0.46	
chrna10	neuronal acetylcholine receptor subunit alpha-10-like isoform X1	Subunit of the nicotinic acetylcholine receptor.	0.42	-0.51	-0.43		
crb	protein crumbs-like isoform X1	Essential for photoreceptor cell morphogenesis during eye development, and the maintenance of retinal tissue integrity.	0.55	-0.54	-0.47		
-	protein D2-like	Uncharacterised.	0.45	-0.47	-0.42		
crb	protein crumbs-like isoform X1	Essential for photoreceptor cell morphogenesis during eye development, and the maintenance of retinal tissue integrity.	0.56	-0.4			
crb	protein crumbs-like isoform X2	Essential for photoreceptor cell morphogenesis during eye development, and the maintenance of retinal tissue integrity.	0.53	-0.44			
=	protein D2-like	Uncharacterised.	0.56				
flot1	flotillin-1 isoform X1/2/4	May act as a scaffolding protein within caveolar membranes, functionally participating in formation of caveolae or caveolae-like vesicles.	0.47				
-	protein D2-like	Uncharacterised.	-0.47				
-	protein D2-like	Uncharacterised.	-0.54				

1 unannotated gene: negative correlation with CO<sub>2</sub> treatment

Table 5.2. Hub genes identified for CO<sub>2</sub> treatment in both the CNS and eyes. For each of these CO<sub>2</sub> treatment hub genes, those genes also identified as a hub gene for a behavioural trait in the CNS or eyes are indicated in the columns on the right (active time, distance, speed, no. exploratory interactions). The numbers in each column are the gene significance (GS) for the corresponding trait (-1 to 1). Positive GS = positive correlation between gene expression and trait (in red), negative GS = negative correlation between gene expression and trait (in blue). The larger the GS absolute value the more biologically relevant the gene is. Dist. = distance, No. EI = number of exploratory interactions.

			CNS					Eyes					
Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	
ndufab1	acyl carrier protein, mitochondrial	Carrier of the growing fatty acid chain in fatty acid biosynthesis. Non-catalytic subunit of the mitochondrial membrane respiratory chain NADH dehydrogenase (Complex I).	0.57	-0.41				0.56					
sc16a	protein transport protein Sec16A isoform X2	Acts as a molecular scaffold playing a key role in the organization of the endoplasmic reticulum exit sites (ERES), involved in protein trafficking.											
gid-4	glucose-induced degradation protein 4 homolog	Subunit of the CTLH E3 ubiquitin-protein ligase complex in mammals that is critical for maintaining normal cell proliferation. May also play a role in cellular metabolism.	0.53					0.5	-0.45				
дтрра	mannose-1- phosphate guanyltransferase alpha-A-like isoform X1/2	Part of the pathway synthesising GDP-alpha-D-mannose biosynthesis, which is itself part of nucleotide-sugar biosynthesis.	0.56				-0.54	0.54					
srp72	signal recognition particle subunit	Recognises and targets specific proteins to the endoplasmic reticulum.	0.6					0.53					

Table 5.2 continued.

				(	Eyes							
Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI
vhl	SRP72-like  von Hippel-Lindau disease tumor suppressor-like	Possibly participates in the elongation arrest function (slowing of translation). Involved in the ubiquitination and subsequent proteasomal degradation via the von Hippel-Lindau ubiquitination complex.	0.52					0.43				
derl1	derlin-1-like	Involved in transcriptional repression. Component of endoplasmic reticulum-associated protein degradation (ERAD) pathway.	0.42					0.43				
zranb1	ubiquitin thioesterase zranb1-B	Deubiquitinating enzyme with a range of roles, including regulating cell morphology, cytoskeletal organisation and cell migration.  In the eyes, may be important for	-0.58				0.47	-0.52				0.46
vhl	von Hippel-Lindau disease tumor	photoreceptor cell development and maintenance and is potentially involved in retinal neurodegeneration.  Involved in the ubiquitination and subsequent proteasomal degradation via	-0.51				0.45	-0.5				
ndufab1	suppressor-like acyl carrier protein,	the von Hippel-Lindau ubiquitination complex. Involved in transcriptional repression. Carrier of the growing fatty acid chain in	-0.55				0.43	-0.53				
	mitochondrial	fatty acid biosynthesis.  Non-catalytic subunit of the mitochondrial membrane respiratory chain NADH dehydrogenase (Complex I).					30					

Table 5.2 continued.

			CNS						Eyes						
Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI			
gmppa	mannose-1- phosphate guanyltransferase alpha-A-like isoform X1/2	Part of the pathway synthesising GDP-alpha-D-mannose biosynthesis, which is itself part of nucleotide-sugar biosynthesis.	-0.66				0.46	-0.7							
chrna10	neuronal acetylcholine receptor subunit alpha-10 isoform X1	Subunit of the nicotinic acetylcholine receptor.	-0.68				0.5	-0.65							
srp72	signal recognition particle subunit SRP72-like	Recognises and targets specific proteins to the endoplasmic reticulum.  Possibly participates in the elongation arrest function (slowing of translation).	-0.54					-0.53				0.42			
cdk10	cyclin-dependent kinase 10	Protein kinase that controls a range of fundamental cellular processes including cell proliferation, neurogenesis, development, ciliogenesis and actin cytoskeleton organization.  Essential for cell cycle progression.	-0.56					-0.58				0.49			
acot8	acyl-coenzyme A thioesterase 8-like	Catalyses the hydrolysis of acyl-CoAs into free fatty acids and coenzyme A (CoASH), regulating their respective intracellular levels.	-0.57					-0.62							

# Genes in both tissues potentially driving altered number of exploratory interactions at elevated $\mathbf{CO}_2$

Zranb1, coding for a deubiquitinating enzyme with a range of roles, including regulating cell morphology, cytoskeletal organisation and cell migration (Bai *et al.*, 2011), was negatively correlated with CO<sub>2</sub> treatment and positively correlated with the number of exploratory interactions in both tissues (Table 5.2). In the eyes, zranb1 may be important for photoreceptor cell development and maintenance (Esquerdo-Barragán *et al.*, 2019) and is potentially involved in retinal neurodegeneration (Wang *et al.*, 2018a). Furthermore, a transcript for cyclin dependent kinase 10, cdk10, was negatively correlated with CO<sub>2</sub> treatment in both tissues and positively correlated with the number of exploratory interactions in the eyes (Table 5.2). Another cdk10 transcript was positively correlated with CO<sub>2</sub> treatment and negatively correlated with the number of exploratory interactions in the CNS (Appendix D: Table D.3). Cdk10 is a protein kinase that plays pivotal roles in controlling a range of fundamental cellular processes including cell proliferation and neurogenesis (reviewed in Guen *et al.* (2017)).

Chrna10, gmppa, ndufab1 and vhl were all negatively correlated with CO<sub>2</sub> in both tissues and positively correlated with the number of exploratory interactions in the CNS (Table 5.2). Another transcript for the nicotinic ACh receptor, chrna10, was a hub gene for CO<sub>2</sub> treatment and all three activity traits in the eyes (Table 5.1). Another gmppa transcript was positively correlated with CO<sub>2</sub> treatment in both tissues and negatively correlated with the number of exploratory interactions in the CNS (Table 5.1). Gmppa may act as a regulatory subunit of gmppb (synthesises GDP-mannose, an essential mannose donor used for glycosylation) (Koehler et al., 2013). Furthermore, another transcript for ndufab1, coding for a non-catalytic subunit of the mitochondrial membrane respiratory chain NADH hydrogenase, was positively correlated with CO<sub>2</sub> treatment in both tissues and negatively correlated with active time in the CNS (Table 5.2). Vhl is involved in ubiquitination and subsequent proteasomal degradation of target proteins. Srp72, a subunit of the signal recognition particle that mediates targeting of newly synthesised proteins emerged from the ribosome to the ER (Keenan et al., 2001; Koch et al., 2003; Gao et al., 2017), was negatively correlated with CO<sub>2</sub> in both tissues and positively correlated with the number of exploratory interactions in the eyes (Table 5.2).

# Genes in the CNS potentially driving altered number of exploratory interactions at elevated $CO_2$

Ten of the CNS-specific CO<sub>2</sub> treatment hub genes are also hub genes for the number of exploratory interactions in the CNS, and seven of these are annotated (Appendix D: Table D.3). Three of these genes were positively correlated with CO<sub>2</sub> treatment and negatively correlated with the number of exploratory interactions in the CNS: *cdk10*, as mentioned above,

trub2, and snrnp200. Trub2 codes for an enzyme contributing to pseudouridinylation (a common post-transcriptional modification converting uridine to pseudouridine) of mitochondrial RNA, playing an essential role in oxidative phosphorylation (the major pathway for ATP production) (Arroyo et al., 2016; Antonicka et al., 2017). Snrnp200 codes for an essential component of the spliceosome, an enzyme that excises introns and ligates exons to form mature mRNA (Kambach et al., 1999; Patel and Bellini, 2008). A transcript for snrnp200 was also negatively correlated with CO<sub>2</sub> treatment and positively correlated with number of exploratory interactions in the CNS. A further three genes were negatively correlated with CO<sub>2</sub> treatment and positively correlated with number of exploratory interactions in the CNS: bcar3, psap, and derl1. Bcar3 promotes cell proliferation, migration and redistribution of actin fibres, psap acts as a neurotrophic and myelinotrophic factor (i.e. supports the growth and survival of neurons and myelin, the insulating layer around nerves that allows rapid transmission of electrical signals), and derl1 is a component of the endoplasmic reticulum associated degradation (ERAD) pathway, moving misfolded proteins into the cytosol for degradation. Another transcript for derl1 was also negatively correlated with CO2 treatment and positively correlated with whether the squid had an exploratory interaction (Appendix D: Table D.3). The transcript identified as a CNS-specific hub gene for CO<sub>2</sub> treatment and shared with the number of exploratory interactions as well as active time was not annotated.

### 5.5 Discussion

This study identified patterns of gene expression in the CNS and eyes of the two-toned pygmy squid *Idiosepius pygmaeus* that were correlated with CO<sub>2</sub> treatment conditions and visually-mediated behavioural responses in the same individuals, identifying potential molecular drivers of behavioural change at elevated CO<sub>2</sub>. Neurogenesis was identified in both the CNS and eyes as a potential key driver of behavioural changes at elevated CO<sub>2</sub>. Collectively, the results here suggest multiple mechanisms may underlie elevated CO<sub>2</sub>-induced behavioural alterations in this species.

There was a larger response to CO<sub>2</sub> treatment in the CNS than the eyes, consistent with previous findings in *I. pygmaeus* (Chapter 4). This may be influenced by the multiple tissue types within the whole eye from which RNA was extracted. The presence of multiple tissue types may have increased the variance in gene expression from whole eye samples and thus decreased the power by which changes in gene expression could be detected. However, a larger number of genes also responded to elevated CO<sub>2</sub> in the more centrally located olfactory bulbs, compared to the peripheral sensory olfactory rosettes in ocean-phase coho salmon (Williams *et al.*, 2019). In contrast, a similar number of genes responded to elevated CO<sub>2</sub> in the olfactory bulbs and sensory olfactory epithelium of European sea bass (Porteus *et al.*,

2018), suggesting the difference in the response of the peripheral and central nervous systems to elevated  $CO_2$  may be species-specific.

### 5.5.1 Potential drivers of elevated CO<sub>2</sub>-induced behavioural changes

In this study, I identified hub genes correlated with both CO<sub>2</sub> treatment and behavioural traits as potential drivers of behavioural change at elevated CO<sub>2</sub>. Interestingly, CNS-specific hub genes were consistently correlated with CO<sub>2</sub> treatment and activity traits in the same direction, whereas eyes-specific hub genes were correlated with CO<sub>2</sub> treatment and activity traits in opposite directions, i.e. were positively correlated with CO<sub>2</sub> treatment but negatively correlated with activity traits. This might reflect an opposing effect of each tissue on activity at elevated CO<sub>2</sub>, or perhaps the tendency for the CNS and eyes to use opposing gene expression to control activity under normal conditions.

#### **Neurogenesis**

Notably, *cdk10*, which plays an important role in neurogenesis (Yeh *et al.*, 2013), was identified as a potential driver of an altered number of exploratory interactions at elevated CO<sub>2</sub> in both the CNS and eyes. Furthermore, potential drivers of altered activity at elevated CO<sub>2</sub> in the CNS were enriched for functions related to the cell cycle and cell migration, and also included genes involved in stem cell renewal, cell differentiation, cell adhesion, neurite outgrowth and branching, and synaptogenesis. A gene involved in cell proliferation, *gid-4*, was also identified in the eyes as a potential driver of the OA-induced alteration in activity (Figure 5.4). Adult neurogenesis is a multi-step process in which new neurons are generated and integrated into existing neural circuits (Sailor *et al.*, 2017). Neurogenesis requires re-entering and exiting the cell cycle (Ohnuma and Harris, 2003) to create new cells that differentiate into immature neurons and migrate to their final destination (Kaneko *et al.*, 2017). Here, the new neurons are incorporated into existing circuits by outgrowth and branching of the neuron's dendrites and axons (collectively known as neurites) to form new connections with other neurons (synaptogenesis) (Toni *et al.*, 2007). Thus, my results suggest neurogenesis in both the CNS and eyes as a potential main driver of elevated CO<sub>2</sub>-induced behavioural change.

Previous research shows mixed effects of elevated CO<sub>2</sub> on neurogenesis in the fish nervous system. Two genes involved in neurogenesis (*NeuroD*, *dcx*) were upregulated in the brain of a three-spined stickleback, but not in an anemone fish nor a damselfish, exposed to elevated CO<sub>2</sub> for 43 days, 10 months, or four days, respectively (Lai *et al.*, 2017). In a transcriptomic study in which Asian seabass were exposed to elevated CO<sub>2</sub> for seven days, differentially expressed genes in the brain were functionally enriched for neurogenesis (Wang *et al.*, 2021). In spiny damselfish, a neurite growth-regulating factor (*rtn4*) was downregu-

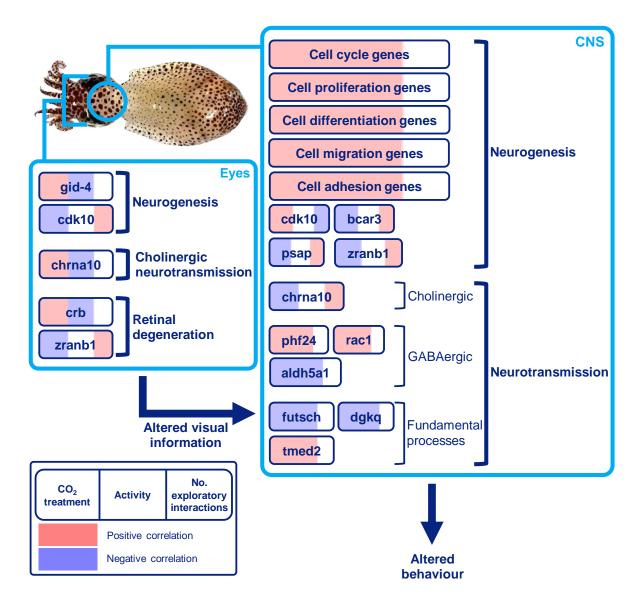


Figure 5.4. Potential molecular drivers of OA-induced behavioural changes in the CNS and eyes of *I. pygmaeus*, and a proposed mechanism by which elevated CO<sub>2</sub> could alter behaviour. Retinal degeneration, as well as altered neurogenesis and cholinergic neurotransmission in the eyes, may disrupt visual information detected and sent to the CNS. Disrupted visual inputs to the CNS, in combination with altered neurogenesis and neurotransmission in the CNS may disturb higher order processing, resulting in behavioural alterations.

lated after developmental exposure (from hatching for 5 months) to elevated  $CO_2$  (Schunter et al., 2018). However, the neurogenesis gene dcx was not altered in the olfactory bulbs of European sea bass after four years exposure to elevated  $CO_2$  (Mazurais et al., 2020). In the gilthead seabream, expression of a gene involved in cell proliferation (pcna) and a gene involved in neurogenesis and neuronal differentiation (bdnf) were down- and up-regulated in the brain, respectively, after four weeks exposure to elevated  $CO_2$  conditions. However, the four week exposure to elevated  $CO_2$  did not affect pcna expression in the gilthead seabream

olfactory bulb (Costa *et al.*, 2022a). Elevated CO<sub>2</sub> has also been shown to affect neurogenesis in another mollusc; in a Mediterranean pteropod, transcripts involved in neuronal cell adhesion (*bcan*, *cntn5*, *faxc*, *ncan*, *nxpe2*, *nxpe3*, *tenm1*), and neuronal differentiation and survival (*ntrk2*, *fkrp*, *nmnat3*, *samd8*, *ttll7*, *tppp2*) were upregulated after three days exposure to elevated CO<sub>2</sub> (Moya *et al.*, 2016).

Neurogenesis allows constant modification and refinement of neuronal circuits, contributing to neuroplasticity (the ability of the nervous system to change) (Fuchs and Flügge, 2014). Synaptic plasticity, which is the changing of synaptic strength over time, also contributes to neuroplasticity (Citri and Malenka, 2008), and appears to also be affected by elevated CO<sub>2</sub>. In the European sea bass olfactory system, genes involved in synaptic plasticity (grpr, camk2ga, nptxr, gria1b, map2k2a, tmub1) were differentially expressed after 2 - 7 days exposure to elevated CO<sub>2</sub> (Porteus et al., 2018). In spiny damselfish, a range of genes involved in cytoskeleton plasticity, which is related to synaptic plasticity, were upregulated after acute (four days) exposure to elevated CO<sub>2</sub> (Schunter et al., 2018). Also in spiny damselfish, a molecular signature of parental behavioural tolerance to elevated CO<sub>2</sub> was seen in the brain expression profile of offspring, including genes involved in synaptic plasticity and neurite growth (amigo1, gfra2, cdk5r1, mpdz, igsf9b) (Monroe et al., 2021). However, the expression of genes involved in synaptic plasticity were not altered after four weeks exposure to elevated CO<sub>2</sub> in the olfactory epithelium (gria4a) and olfactory bulb (gria4a, grm4) of the gilthead seabream (Costa et al., 2022a). A transcript related to synaptic plasticity (d2) was also upregulated after three days exposure to elevated CO<sub>2</sub> in a pteropod mollusc (Moya et al., 2016). Thus, altered neuroplasticity, due to changes in neurogenesis and/or synaptic plasticity, appears to be a common response to elevated CO<sub>2</sub>, but this response may be taxa-specific and/or dependent on CO<sub>2</sub> exposure duration.

Neuroplasticity is an important mechanism by which animals can respond and adapt their behaviour to changing environments (Kania *et al.*, 2017). Indeed, adult neurogenesis is influenced by the environment, including in cephalopods (Bertapelle *et al.*, 2017), and changes in neurogenesis can have functional outcomes, including behavioural effects. It is thought that environmentally-induced changes in neurogenesis may act to fine-tune the nervous system to the environment and produce adaptive behaviours (reviewed in Opendak and Gould (2015); LaDage (2015)). Of particular interest *apbb1*, which plays a role in neurogenesis and spatial memory (Chow *et al.*, 2015), was identified as a potential driver of elevated CO<sub>2</sub>-induced behavioural change in the CNS of *I. pygmaeus*. Thus, changes in neurogenesis at elevated CO<sub>2</sub> may coordinate responses, including behavioural adjustments, to cope with this environmental change. However, it is yet to be determined whether these changes are adaptive or maladaptive.

#### **Protein synthesis**

My results also identified genes involved in protein synthesis as potential drivers of behavioural change at elevated CO<sub>2</sub> in both tissues, and functional categories involved in protein synthesis were enriched in those hub genes in the CNS identified as potential drivers of altered activity at elevated CO<sub>2</sub>. Genes and proteins related to protein synthesis were upregulated at elevated CO<sub>2</sub> in oysters (Tomanek *et al.*, 2011; Ertl *et al.*, 2016; Cao *et al.*, 2018b), but downregulated in a pteropod mollusc (Maas *et al.*, 2015; Moya *et al.*, 2016). Here, changes in protein synthesis could potentially be a response to deal with the changed protein demand required due to alterations in neurogenesis.

#### Neurotransmission

The GABA hypothesis is the predominant mechanistic explanation for elevated CO<sub>2</sub>-induced behavioural changes in fish. This hypothesis proposes that altered HCO<sub>3</sub>- and Cl<sup>-</sup> ion gradients across neuronal membranes, due to acid-base regulatory mechanisms, alter GABA<sub>A</sub> receptor function leading to behavioural alterations at elevated CO<sub>2</sub> (Nilsson *et al.*, 2012). Pharmacological work has also supported this hypothesis in marine molluscs (Watson *et al.*, 2014; Clements *et al.*, 2017), including in *I. pygmaeus* (Chapter 3). Furthermore, recent transcriptomic studies show expression changes in transcripts related to GABAergic signalling in the fish nervous system (Schunter *et al.*, 2018; Williams *et al.*, 2019), the whole-body of a pteropod mollusc (Moya *et al.*, 2016), and the nervous system of *I. pygmaeus* (Chapter 4). Here, I identified genes involved in GABAergic neurotransmission (*phf24*, *rac1*, *aldh5a1*) in the CNS as potential drivers of behavioural change at elevated CO<sub>2</sub> (Figure 5.4).

OA-induced disruption of GABA<sub>A</sub> receptor function has been suggested to drive altered neurogenesis in fish (Lai *et al.*, 2017) due to the role of vertebrate GABA<sub>A</sub> receptors in cell proliferation and neuronal differentiation (Owens and Kriegstein, 2002; Tozuka *et al.*, 2005; Sernagor *et al.*, 2010). In molluscs, GABA also induces cellular differentiation and proliferation (Morse *et al.*, 1980). Thus, the molecular signatures for altered neurogenesis identified in the CNS of *I. pygmaeus* here may be driven by changes in GABAergic neurotransmission within the CNS. However, in the eyes of *I. pygmaeus* genes involved in neurogenesis, but not GABAergic neurotransmission, were identified as potential drivers of OA-induced behavioural change. This suggests that a mechanism other than altered GABAergic neurotransmission may be driving altered neurogenesis in the eyes. Costa *et al.* (2022b) suggested alterations within the thyroid-system might drive disrupted neurogenesis in fish at elevated CO<sub>2</sub>. However, I found no genes involved in the thyroid-system as potential drivers of OA-induced behavioural change in the eyes or CNS of *I. pygmaeus*. As neurogenesis is not only regulated via internal cues, such as neurotransmitters and hormones, but also via environ-

mental conditions (Cayre *et al.*, 2002), changes in neurogenesis within *I. pygmaeus* may be a consequence of OA itself.

Recent studies suggest that not only GABAergic, but also other types of neurotransmission, may be altered at elevated CO<sub>2</sub> levels (Moya et al., 2016; Johnson and Hofmann, 2017; Porteus et al., 2018, Chapter 3, Chapter 4). Here, I identified chrna10, a gene coding for a nicotinic acetylcholine receptor (nAChR) subunit, as a potential driver of behavioural change in both the eyes and CNS of I. pygmaeus. Chrna10, as well as other genes coding for nAChR subunits (chrna1, chrna3, chrna5, and chrnb1), were within the ion channel functional category that was significantly affected by elevated CO2 treatment in the same individuals (Chapter 4). Genes coding for nAChRs were differentially expressed at elevated, compared to current-day, CO<sub>2</sub> levels in the European sea bass (chrna7) (Porteus et al., 2018), and the pteropod molluses Heliconoides inflatus (chrna6, chrnd, chrnb3, β-type LnAChR J) (Moya et al., 2016) and Limacina helicina antarctica (chrnb3) (Johnson and Hofmann, 2017). Furthermore, here I identified genes involved in processes required for a range of different types of neurotransmission as potential regulators of behavioural change in *I. pyg*maeus. This includes tmed2, which is involved in GPCR trafficking, dgkq, which supports synaptic neurotransmission (Goldschmidt et al., 2016), and futsch, which regulates neurotransmitter release (Lepicard et al., 2014). These results suggest that changes in GABAergic and cholinergic neurotransmission, and processes that regulate a variety of different types of neurotransmission may drive behavioural changes at elevated CO<sub>2</sub> (Figure 5.4).

#### **Immune function**

Elevated CO<sub>2</sub> alters the immune response in molluscs (Bibby *et al.*, 2008; Li *et al.*, 2015; Liu *et al.*, 2016; Wu *et al.*, 2016; Su *et al.*, 2018), including squid (Culler-Juarez and Onthank, 2021). In Chapter 4, I found changes in gene expression related to all three levels of the innate immune response in the nervous system of *I. pygmaeus* at elevated CO<sub>2</sub>. As immune-derived factors, such as cytokines, can feedback to alter the nervous system and behaviour (Adamo, 2006; Dantzer and Kelley, 2007), I suggested in Chapter 4 that OA-induced disturbances to immune function could disturb behaviour. Indeed, here I identified genes involved in the immune response as potential drivers of OA-induced behavioural changes in the CNS of *I. pygmaeus*. This includes the integrins *itga4* and *itga9*, which are cell adhesion molecules that play a key role in invertebrate immune responses (Johansson, 1999; Terahara *et al.*, 2006). The functional categories 'cell adhesion' and 'integrin complex' were also significantly affected by elevated CO<sub>2</sub> treatment in the CNS of the same individuals (Chapter 4). *Tf*, a key component of the molluscan innate immune response (Lambert *et al.*, 2005; Ong *et al.*, 2006; Herath *et al.*, 2015; Salazar *et al.*, 2015; Li *et al.*, 2019), was identified here as a potential

driver of behavioural change in the CNS of *I. pygmaeus*, and was also differentially expressed in the CNS of the same individuals after elevated CO<sub>2</sub> exposure (Chapter 4).

#### **Oxidative stress**

Oxidative stress, which occurs when there is an imbalance between reactive oxygen species (ROS) production and antioxidant defence mechanisms (Halliwell and Gutteridge, 2015), can occur at elevated CO<sub>2</sub> conditions in molluscs. OA has been found to increase ROS levels and alter antioxidant defences, resulting in oxidative damage such as DNA damage, lipid peroxidation and apoptosis in molluscs (Tomanek *et al.*, 2011; Wang *et al.*, 2016; Cao *et al.*, 2018a,b; Zhang *et al.*, 2021). In Chapter 4, I found molecular signatures for OA-induced oxidative stress and damage in *I. pygmaeus* nervous tissue. As oxidative damage in the nervous system can disrupt neurotransmission and neuronal function (Halliwell, 2006; Halliwell and Gutteridge, 2015), I suggested oxidative damage in nervous tissue could alter behaviour at elevated CO<sub>2</sub> (Chapter 4). Indeed, here I identified a gene that regulates oxidative stress (*slc4a11*) and genes involved in oxidative damage, including DNA damage and repair (*apbb1*, *spt16*, *foxm1*, *bptf*, *arpc5*), as potential drivers of OA-induced behavioural changes.

My results suggest that oxidative stress-induced damage at elevated CO<sub>2</sub> could potentially disrupt retinal function. Two genes, *crb* and *zranb1* identified here as potential drivers of OA-induced behavioural changes in the eyes of *I. pygmaeus*, are involved in oxidative-stress induced retinal degeneration (Chartier *et al.*, 2012; Wang *et al.*, 2018a). In particular, *crb* prevents photoreceptor degeneration by limiting the production of ROS and the resultant oxidative damage (Chartier *et al.*, 2012). Research investigating the effect of elevated CO<sub>2</sub> on visual function is scarce, however eye defects have been observed at elevated CO<sub>2</sub> in fish larvae (Frommel *et al.*, 2012, 2016; Wang *et al.*, 2017b). Therefore, eye damage could potentially occur at elevated CO<sub>2</sub>, possibly via oxidative stress, which could contribute to visually-mediated behavioural alterations. However, more research is required to assess the effect, and underlying mechanisms, of elevated CO<sub>2</sub> on vision.

### 5.5.2 Proposed mechanism

A combination of multiple changes within the eyes and CNS of *I. pygmaeus* may drive alterations in visually-mediated behaviours at elevated CO<sub>2</sub> (Figure 5.4). In the eyes of *I. pygmaeus*, I identified genes involved in retinal degeneration as potential drivers of elevated CO<sub>2</sub>-induced behavioural change. Thus, at elevated CO<sub>2</sub> squid visual detection may potentially be disrupted. In the eyes, genes involved in neurogenesis and cholinergic neurotransmission were also identified as potential drivers of behavioural change, indicating potential disturbances of neural circuitry structure and function, and signal transmission. Together,

these changes in the eyes may disrupt the visual information detected and sent to the CNS. In the CNS, disrupted visual inputs from the eyes, in combination with altered neurogenesis and neurotransmission, may disturb higher order processing, leading to behavioural changes (Figure 5.4). This mechanism shows parallels to a mechanism proposed to drive olfactory-mediated behavioural disturbances in a fish; Porteus *et al.* (2018) proposed that at elevated CO<sub>2</sub> conditions, fish sense less olfactory information, and less olfactory information is sent to higher brain centres. In combination with decreased synaptic plasticity, this was suggested to lead to changes in olfactory-mediated behaviours at elevated CO<sub>2</sub> (Porteus *et al.*, 2018).

#### 5.5.3 Conclusion

Previous research has identified differentially expressed genes in the nervous system associated with behavioural changes at elevated CO<sub>2</sub> in fish, and now in a marine invertebrate as well (Chapter 4). This has increased our understanding of the response of the nervous system to elevated CO<sub>2</sub>, and provided hypotheses for the potential mechanisms underlying behavioural changes at elevated CO<sub>2</sub> in marine animals. Here, I correlate gene expression with CO<sub>2</sub> treatment levels and behaviour in any marine animal, allowing a more direct assessment of the molecular mechanisms underlying behavioural change at elevated CO<sub>2</sub>. The results identify altered neurogenesis in the CNS and eyes as a potential main driver of elevated CO<sub>2</sub>-induced behavioural changes. I also identified genes involved in various types of neurotransmission, immune function and oxidative stress as potential drivers of behavioural change, supporting my results from Chapter 4. I propose a mechanism involving a combination of multiple changes within the eyes and CNS of I. pygmaeus may drive alterations in visually-mediated behaviours at elevated CO2. As research in this field progresses, it appears that elevated CO<sub>2</sub> induces changes in both the peripheral and central nervous systems, leading to behavioural alterations via multiple mechanisms. These mechanisms may occur in both marine fish and invertebrates. Furthermore, unlike the downstream effects of acid-base regulation at high CO<sub>2</sub> on the function of GABA<sub>A</sub> receptors, alterations in sensory detection and neuroplasticity may be independent of internal acid-base chemistry. This raises the potentially widespread susceptibility of marine animals to elevated CO<sub>2</sub>, but also the possibility of different mechanisms being predominant in different taxa.

# **Chapter 6**

### **General Discussion**

### 6.1 Summary

Human-induced environmental change is drastically altering the environments in which animals live (Vitousek et al., 1997; Pereira et al., 2010; Steffen et al., 2015). In particular, ocean acidification (OA) is a serious emerging threat to marine animals, ecosystems, and the services they provide (Doney et al., 2009; Bindoff et al., 2019). Establishing a mechanistic understanding of marine animal responses to OA is critical to improve predictions of how marine animals will respond as seawater CO<sub>2</sub> levels rise (Fuller et al., 2010; Cooke et al., 2013). The nervous system forms the fundamental link between an animal and its environment, coordinating physiological and behavioural responses to environmental change (Kelley et al., 2018; O'Donnell, 2018). Thus, a neurobiological understanding of OA is key, yet to date has been little explored. My thesis provides novel explorations into the neurobiological impacts of, and the mechanistic neurobiological underpinnings of biological responses to, OA in a marine invertebrate with a complex nervous system, a cephalopod mollusc. Together, the chapters in this thesis present evidence that a complex assortment of mechanisms underpin OA-induced responses. I have found support for previous mechanistic hypothesis, and from my results proposed novel mechanistic hypotheses, providing a foundation from which future research can develop.

As so little is known about the mechanistic basis of OA-induced behavioural responses in marine invertebrates, I started this thesis with a literature review in Chapter 2. In this review, I highlighted that OA likely induces behavioural alterations through a range of mechanisms, which are not necessarily mutually exclusive and all of which have been little explored and require further experimental testing. I proposed potential novel mechanisms and outlined major knowledge gaps, which guided the following chapters. I highlighted the importance of using multiple pharmacological agents, in particular those shown to work in the taxa being studied, to more robustly test the GABA hypothesis in marine invertebrates. I also suggested that using drugs that target other ligand-gated Cl<sup>-</sup> channels would allow testing whether other receptors, similar to the GABAA receptor, are also involved in OA-induced behavioural alterations. These knowledge gaps informed Chapter 3. In Chapter 2, I also highlighted that non-targeted approaches, such as omics technologies, will be important to provide a more holistic view of the neurobiological impacts of OA and potentially lead to the development of novel mechanistic hypotheses for OA-induced behavioural alterations. Thus, in Chapter 4 and Chapter 5, I used transcriptomics as a more holistic approach, which resulted in my proposal of novel hypotheses for the mechanistic basis of physiological and behavioural responses to OA. Together, Chapter 3, Chapter 4 and Chapter 5 provide evidence that a complex assortment of mechanisms underpin biological responses to OA (Figure 6.1).

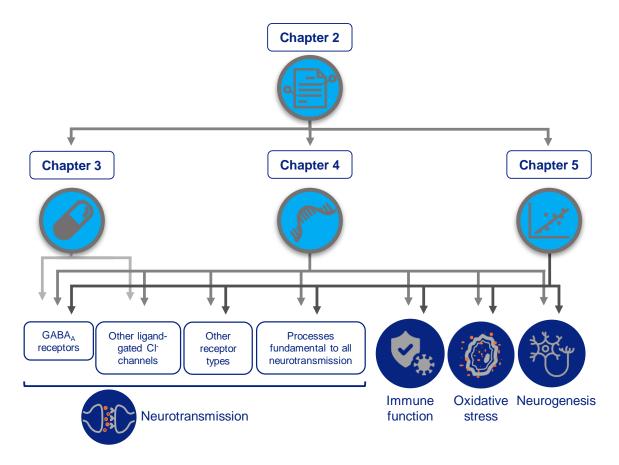


Figure 6.1. Overview of the results from the chapters in this thesis. Chapter 2 outlined major knowledge gaps and proposed novel mechanisms, guiding the data chapters. Chapter 3 demonstrated pharmacological evidence for altered functioning of GABAA receptors and other ligand-gated Cl<sup>-</sup> channels underlying OA-induced behavioural alterations. Chapter 4 identified molecular signatures for OA-induced disruption to various different types of neurotransmission, including neurotransmission mediated by GABAA receptors, other ligandgated Cl<sup>-</sup> channels, ligand-gated cation channels and GPCRs, as well as Ca<sup>2+</sup> and K<sup>+</sup> channels fundamental for neurotransmission. Chapter 4 also identified molecular signatures for altered immune function and oxidative stress at elevated CO<sub>2</sub>. Chapter 5 identified genes involved in neurogenesis as potential key drivers of OA-induced behavioural changes. Furthermore, Chapter 4 also identified molecular signatures for altered neurogenesis at elevated CO<sub>2</sub>, supporting the results from Chapter 5. Chapter 5 also found genes involved in GABAergic neurotransmission, GPCR function, synaptic processes fundamental to neurotransmission, immune function and oxidative stress as potential drivers of behavioural change at elevated CO<sub>2</sub>, supporting the results from Chapter 4. Icons from NounProject.com (immune function by Adrien Coquet, neuron by tezar tantular), remaining icons by Jodi Thomas.

# 6.1.1 OA likely affects multiple types of neurotransmission, underpinning behavioural responses

To date, mechanistic research has focused on the GABA hypothesis, which proposes OA-induced disruption of GABA<sub>A</sub> receptor functioning underpins behavioural alterations (Nilsson *et al.*, 2012). However, the nervous system is enormously complex and it's likely other mechanisms are also involved. Indeed, in Chapter 3, Chapter 4 and Chapter 5 I found evidence that GABAergic and other different types of neurotransmission are potentially affected by elevated CO<sub>2</sub> conditions.

In Chapter 3, I used both a specific (gabazine) and non-specific (picrotoxin) GABA<sub>A</sub> receptor antagonist, providing the first marine invertebrate study to use a drug other than gabazine to test the GABA hypothesis. As picrotoxin is structurally unrelated to gabazine, and the action of picrotoxin is better known in molluscs, using both gabazine and picrotoxin provided more robust evidence for the GABA hypothesis in marine molluscs, as well as evidence for the GABA hypothesis in a cephalopod mollusc. Using both drugs also provided pharmacological evidence that other ligand-gated Cl<sup>-</sup> channels, similar to the GABA<sub>A</sub> receptor, may also be involved in OA-induced behavioural alterations. The results from this chapter also suggest that mechanisms other than altered functioning of gabazine- and picrotoxin-sensitive receptors are likely involved in behavioural responses to elevated CO<sub>2</sub>. Thus, Chapter 3 highlighted the complexity of the mechanisms underlying OA-induced behavioural changes, with GABA<sub>A</sub> receptors, receptors similar to the GABA<sub>A</sub> receptor, as well as other mechanisms likely not linked to the theory behind the GABA hypothesis, found to be involved in behavioural alterations at elevated CO<sub>2</sub>.

In Chapter 4 and Chapter 5, I used modern transcriptomic methods to investigate the response of a marine invertebrate nervous system to OA. Furthermore, in Chapter 5 I correlated gene expression with OA-affected behaviours in order to more directly assess the mechanistic basis for OA-induced behavioural responses. Together, these chapters develop a more holistic view of the neurobiological impacts of elevated CO<sub>2</sub>. The results from both Chapter 4 and Chapter 5 support the GABA hypothesis. By looking at both the eyes (peripheral sense organ) and central nervous system (CNS), I found molecular signatures for altered functioning of GABA<sub>A</sub> receptors at elevated CO<sub>2</sub> in both the peripheral and central nervous systems, implicating the importance of GABA<sub>A</sub> receptor function in both peripheral sensing and central processing for OA-induced biological responses. Chapter 4 also found molecular signatures for disruption to monoaminergic, glutamatergic, and cholinergic neurotransmission, including neurotransmission mediated by ligand-gated Cl<sup>-</sup> channels, ligand-gated cation channels and G-protein coupled receptors (GPCRs). These results support Chapter 3, that not only GABA<sub>A</sub> receptor, but also other ligand-gated Cl<sup>-</sup> channel, functioning is affected by OA.

Molecular signatures for altered ligand-gated cation channels and GPCRs in Chapter 4, and GPCRs in Chapter 5, also support my suggestion in Chapter 3 that mechanisms not linked to the theory behind the GABA hypothesis, i.e. disruption of nervous system processes other than receptors permeable to HCO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup> ions, are also involved. Furthermore, molecular signatures for OA-induced disruption of K<sup>+</sup> and Ca<sup>2+</sup> channels in Chapter 4, and synaptic processes in Chapter 5, suggest widespread effects of OA on neurotransmission due to the fundamental role of these ions and processes in neurotransmission. Overall, Chapter 4 and Chapter 5 suggest elevated CO<sub>2</sub> disrupts a wide range of different types of neurotransmission.

# 6.1.2 OA-induced disruption of adult neurogenesis may drive behavioural alterations

Lai et al. (2017) suggested that OA-induced disruption of adult neurogenesis within the fish nervous system is another potential mechanism for behavioural alterations at elevated CO<sub>2</sub>. However, the effects of elevated CO<sub>2</sub> on neurogenesis within fish nervous tissue are variable (Lai et al., 2017; Schunter et al., 2018; Mazurais et al., 2020; Wang et al., 2021; Costa et al., 2022a), and this mechanism remains unexplored in marine invertebrates. In Chapter 5, I demonstrated correlation of changes in expression of genes involved in neurogenesis with CO<sub>2</sub> treatment and OA-disrupted behaviours. These results provide more direct evidence for altered neurogenesis as a potential driver of behavioural changes at elevated CO<sub>2</sub>. In Chapter 4, I also identified small, coordinated changes in expression of genes belonging to functional categories related to neurogenesis in the nervous system of squid exposed to current-day versus elevated CO<sub>2</sub> conditions. Thus, the results from Chapter 4 also support the proposal in Chapter 5 that altered neurogenesis is a potential driver of behavioural responses to OA. Transcripts involved in neurogenesis were also differentially expressed after exposure to elevated CO<sub>2</sub> in another mollusc; the whole-body of a pteropod mollusc (Moya et al., 2016). Thus, OA-induced disturbance of neurogenesis may occur in marine fish and invertebrates, suggesting this mechanism may be applicable to a wide range of animals.

OA-induced disruption of neurogenesis has been suggested to be a downstream consequence of altered GABA<sub>A</sub> receptor function (Lai *et al.*, 2017) and alterations in the thyroid-system (Costa *et al.*, 2022a). However, neurogenesis is not only regulated via internal cues, such as neurotransmitters and hormones, but also via environmental conditions (Cayre *et al.*, 2002). Furthermore, environmentally influenced changes in neurogenesis are thought to fine-tune the nervous system to the environment and produce adaptive behaviours (Opendak and Gould, 2015). Thus, in Chapter 5 I propose that changes in neurogenesis may be a consequence of OA itself, and that altered neurogenesis may coordinate behavioural responses to cope with this environmental change. However, it is yet to be determined whether these

changes are adaptive or maladaptive.

# 6.1.3 Neurobiological impacts of OA may also drive non-behavioural responses

In Chapter 2, I propose that the neurobiological impacts of OA likely have widespread impacts, affecting not only behaviour, but also physiology. In particular, I suggest that as GABAergic neurotransmission plays an important role in the molluscan immune response (Bai et al., 2012; Li et al., 2016a,b; Nguyen et al., 2018), altered GABAA receptor functioning at elevated CO<sub>2</sub> may also affect immune function. Indeed, the results from Chapter 4 support this proposal; I found changes in gene expression related to all three levels of the innate immune response in the nervous tissue of *Idiosepius pygmaeus*. An altered immune response may be due to disrupted GABAergic neurotransmission, which I found molecular signatures for in the same individual squid. OA-induced disturbance of norepinephrine, which mediates a neuroendocrine-immune axis-like pathway in molluscs (Liu et al., 2017), may also contribute to altered immune function as suggested by upregulation of maoa in I. pygmaeus. Maoa codes for the enzyme monoamine oxidase A which degrades monoamine neurotransmitters and plays a key role in molluscan immune function via regulation of norepinephrine signalling (Zhou et al., 2011; Liu et al., 2018; Sun et al., 2021). In Chapter 4 I also found molecular signatures for oxidative stress within the nervous system of I. pygmaeus at elevated CO<sub>2</sub> conditions, which supports a growing number of molluscan studies demonstrating that elevated CO<sub>2</sub> induces alterations in both the immune and oxidative stress systems (Ertl et al., 2016; Sun et al., 2017; Cao et al., 2018b). Oxidative stress may disrupt the immune response by damaging immune components, such as the cytoskeleton and hemocytes (Sun et al., 2017; Cao et al., 2018b), and increasing Ca2+ levels which could disturb calcium-dependent immune processes, such as phagocytosis (Sun et al., 2017). These mechanisms are not necessarily mutually exclusive, disruption of GABAergic and norepinephrine signalling, as well as oxidative damage and oxidative stress-induced Ca<sup>2+</sup> increases, may all contribute to OA-induced disturbance of immune function.

### 6.1.4 Complex interactions between the neurobiological impacts of OA

The neurobiological impacts of OA may not only directly drive behavioural and physiological responses, but the downstream effects of OA may also feedback onto the nervous system, indirectly altering behaviour and physiology further. In Chapter 4, I found molecular signatures for OA-induced disruption of immune function and oxidative stress in the nervous system of *I. pygmaeus*. Immune-derived factors, such as cytokines, can feedback to alter the

nervous system and behaviour (Adamo, 2006; Dantzer and Kelley, 2007). Between elevated and current-day CO<sub>2</sub> levels, I found differential expression of genes thought to regulate behaviour via immune factors in the nervous tissue of *I. pygmaeus*. Thus, the nervous system of I. pygmaeus may respond to elevated CO<sub>2</sub> to mediate changes in the immune system through a neuroendocrine-immune axis (as discussed above), and these immune changes may also feedback on the nervous system to alter behaviours at elevated CO<sub>2</sub>. Oxidative damage in the nervous system can disrupt neurotransmission and neuronal function (Halliwell, 2006; Halliwell and Gutteridge, 2015) and I found molecular signatures of oxidative damage in the nervous system of *I. pygmaeus* at elevated CO<sub>2</sub> (Chapter 4). Furthermore, oxidative stress increases intracellular 'free' Ca<sup>2+</sup> levels (Halliwell and Gutteridge, 2015), Ca<sup>2+</sup> ions play a fundamental role in neurotransmission (Rusakov, 2006), and I found OA-induced changes in expression of genes for Ca<sup>2+</sup> channels in *I. pygmaeus* nervous tissue (Chapter 4). Thus, OA-induced oxidative stress in the nervous system may feedback to disrupt neurotransmission, via oxidative damage and altered Ca<sup>2+</sup> levels, further altering behaviour and physiology. Indeed, in Chapter 5 I identified genes involved in immune function and oxidative stress as potential molecular drivers of behavioural changes at elevated CO<sub>2</sub>. Overall, Chapter 4 and Chapter 5 show the potential complexity of the interactions between the mechanisms underlying biological responses to OA, highlighting the need for integrative research, rather than studying behavioural and physiological mechanisms in isolation.

### **6.2** Future Directions

The exploratory work in this thesis forms a foundation for future research into the mechanistic basis of biological responses to OA, especially in invertebrates. In particular, experimental work assessing the novel mechanisms proposed in my thesis will be a potentially important direction for future research to gain a more thorough understanding of the complexities of the mechanisms underlying OA-induced biological responses. For example, the transcriptomic results from Chapter 4 and Chapter 5 provide correlational evidence for the mechanistic basis of OA-induced biological responses. Thus, causative studies are a critical next step.

# 6.2.1 The role of multiple types of neurotransmission in behavioural and physiological responses to OA

In Chapter 4, I found molecular signatures for disturbance of GABA<sub>A</sub> receptors at elevated CO<sub>2</sub> in both the peripheral and central nervous systems, and in Chapter 5 I identified genes involved in GABAergic signalling in the CNS as potential molecular drivers of behavioural change at elevated CO<sub>2</sub>. The systemic administration of gabazine and picrotoxin (Chapter 3)

provided additional support for altered functioning of GABA<sub>A</sub>-like receptors causing OA-induced behavioural alterations. However, as gabazine and picrotoxin cross the blood brain barrier (BBB) (Hinton and Johnston, 2018), I could not differentiate between central and peripheral GABA<sub>A</sub>-like receptors. Using quaternary salts of bicuculline, such as bicuculline methiodide, which antagonise the GABA<sub>A</sub> receptor but do not cross the BBB (Hinton and Johnston, 2018), in combination with drugs that do cross the BBB will be useful to experimentally determine the relative importance of peripheral and central GABA<sub>A</sub> receptors in OA-induced behavioural alterations.

In Chapter 4, I also identified molecular signatures for OA-induced disruption of multiple types of neurotransmission, including GABAergic, monoaminergic, glutamatergic, and cholinergic neurotransmission, mediated by ligand-gated Cl<sup>-</sup> channels, ligand-gated cation channels, and GPCRs. I also identified genes involved in GABAergic and cholinergcic signalling as potential drivers of behavioural change et elevated CO<sub>2</sub> in Chapter 5. Chapter 3 provided experimental, pharmacological evidence for altered functioning of GABA<sub>A</sub> receptors, as well as other ligand-gated Cl<sup>-</sup> channels, underpinning behavioural disturbances at elevated CO<sub>2</sub>. Experimental studies determining the behavioural effects, at elevated versus current-day CO<sub>2</sub> conditions, of a range of drugs that target other types of neurotransmission identified in Chapter 4 and Chapter 5 will be important to test a causative link between these other types of neurotransmission and OA-induced behavioural alterations. Furthermore, to test the proposal that altered neurotransmission at elevated CO<sub>2</sub> drives not only behavioural changes, but also physiological responses, physiological measures should also be tested after CO<sub>2</sub> and drug treatment. For example, immune function could be measured after exposure to either current-day or elevated CO<sub>2</sub> conditions, followed by administration of GABA<sub>A</sub> receptor agonists/antagonists (as GABAergic neurotransmission plays an important role in the molluscan immune response (Bai et al., 2012; Li et al., 2016a,b; Nguyen et al., 2018)), or drugs acting on norepinephrine signalling, such as norepinephrine reuptake inhibitors and agonists/antagonists of  $\alpha$ - and  $\beta$ -adrenergic receptors (as norepinephrine mediates a molluscan neuroendocrine-immune axis-like pathway, via these receptors (Lacoste et al., 2001; Zhou et al., 2013; Liu et al., 2017)).

Gene knockdown, in which the expression of a specific gene is reduced, will be a promising avenue for future research to determine a causative link between gene expression and biological responses to OA. A particularly useful gene knockdown technique is RNA interference (RNAi) in which introduction of double-stranded RNA (dsRNA) degrades target mRNA (Scherer and Rossi, 2003). RNAi has successfully been used to assess gene function in vertebrates and invertebrates, including under *in vivo* conditions in molluscs (Jiang *et al.*, 2006). Thus, RNAi could be used to knockdown expression of key genes identified in Chapter 4 and Chapter 5. For example, animals exposed to current-day or elevated CO<sub>2</sub> conditions,

and injected with dsRNA targeting *maoa* or a sham injection, could be tested for measures of aggressive behaviour, activity levels, and immune function. *Maoa* plays important roles in aggressive behaviour, activity levels and molluscan immune function (Scott *et al.*, 2008; Liu *et al.*, 2018; Mentis *et al.*, 2021) and was differentially expressed between CO<sub>2</sub> treatment levels in Chapter 4. This experiment would test the causative role of *maoa* in behavioural and physiological responses to OA.

#### 6.2.2 The role of oxidative stress in behavioural responses to OA

In Chapter 4, I proposed oxidative stress-induced damage as a potential mechanism underlying OA-induced behavioural responses, with support from correlational molecular evidence in Chapter 5. Developing a cause-effect relationship between OA-induced oxidative stress and behavioural alterations would be an exciting avenue for future research. Elevated CO<sub>2</sub> alters the levels of antioxidants and reactive oxygen species, inducing oxidative damage such as DNA damage, lipid peroxidation and apoptosis in the non-nervous tissue of molluscs (Tomanek et al., 2011; Wang et al., 2016; Cao et al., 2018a,b; Zhang et al., 2021). Similar studies measuring indicators of oxidative damage in the nervous tissue, as well as behaviours of the same individuals exposed to elevated CO<sub>2</sub>, will be useful to test for a correlation between neurobiological oxidative damage and OA-induced behavioural responses. Furthermore, experimentally inducing oxidative stress, followed by measures of behaviours previously shown to be altered by OA, will determine whether oxidative stress can induce behavioural alterations in the study species. For example, hydrogen peroxide administration has been used to demonstrate a cause-effect relationship between this oxidising agent and anxiety-like behaviours in mice (Bouayed and Soulimani, 2019). The administration of antioxidants to animals held at either current-day or elevated CO<sub>2</sub> levels, followed by behavioural tests and measures of oxidative damage (to check the effect of prior antioxidant administration) could be used to test for a causative role of oxidative damage in behavioural responses to elevated CO<sub>2</sub>. Together, these proposed experiments would provide a strong test for the role of oxidative stress within the nervous system, in OA-induced behavioural alterations.

### 6.2.3 The role of adult neurogenesis in behavioural responses to OA

OA-induced disruption of adult neurogenesis has recently been suggested as another potential mechanism for OA-induced behavioural responses in fish (Lai *et al.*, 2017), and in Chapter 5 I found more direct evidence for this hypothesis in the nervous system of *I. pygmaeus*. However, all evidence for OA-induced alterations of neurogenesis relies on measures of mRNA levels (Moya *et al.*, 2016; Lai *et al.*, 2017; Schunter *et al.*, 2018; Wang *et al.*, 2021, Chap-

ter 4 and Chapter 5). Thus, experimental studies are now needed to determine whether these changes in gene expression translate to phenotypic effects of neurogenesis, and to test for a cause-effect relationship between neurogenesis and OA-induced behavioural responses. Firstly, an *in vivo* 5-bromo-2'-deoxyuridine (BrdU) cell proliferation assay, in combination with behavioural measures of the same individuals, exposed to either current-day or elevated CO<sub>2</sub> levels would be useful to test for a correlation between altered neurogenesis and OA-induced behavioural responses. *In vivo* BrdU injection specifically labels dividing cells, which can later be immunohistologically detected in the nervous tissue by the use of an antibody targeted to the BrdU-containing DNA, thus measuring neurogenesis (Cameron, 2006). Secondly, determining the behavioural effect of neurogenesis inhibition in animals exposed to elevated versus current-day CO<sub>2</sub> levels, will test for a cause-effect relationship between neurogenesis and OA-induced behavioural disturbances. Neurogenesis inhibition has previously been achieved by administration of a drug that is selectively cytotoxic for dividing cells, and was used to investigate the causative role of neurogenesis in learning and memory behaviours (Shors *et al.*, 2001).

# 6.2.4 Further exploration of the molecular mechanisms underlying biological responses to OA

In Chapter 4 and Chapter 5, I explored the effects of elevated CO<sub>2</sub> on mRNA levels within the entire CNS and eyes of *I. pygmaeus*. However, the complexity and heterogeneity of the nervous system means that measurements at the tissue level (e.g. whole CNS or eye) could mask changes in gene expression in one brain region or cell type, leaving fine scale changes in gene expression undetected. Future work using single-cell sequencing would provide higher resolution to explore the mechanistic complexities of biological responses to OA. As the neural circuitry of the tail-withdrawal reflex in the model organism *Aplysia californica* (the California sea hare, a mollusc) is relatively simple (Walters *et al.*, 1983), and this behaviour is altered by OA (Zlatkin and Heuer, 2019), single-cell sequencing of the cells within this neural circuit would provide an excellent opportunity to develop a finer scale understanding of the mechanisms underlying OA-induced behavioural alterations.

A quantitative change in mRNA levels, as measured in Chapter 4 and Chapter 5, is not the only molecular mechanism by which phenotypic change can occur in response to the environment. Alternative splicing and RNA editing are other mechanisms that can also produce phenotypic variation, and are promising avenues for future research to assess the molecular mechanisms underpinning OA-induced responses. Alternative splicing is the process in which different exons are excluded or included in the final mRNA, resulting in a variety of alternative mRNA strands made up of different combinations of exons. Consequently, a range

of functionally different proteins can be produced from the same gene (Marden, 2008). The role of alternative splicing in phenotypic responses to environmental change has been much less studied than quantifying mRNA levels. However, studies to date suggest alternative splicing as a promising molecular mechanism producing phenotypic variation in response to the environment (Huang et al., 2016; Tan et al., 2019; Xing et al., 2019; Chan et al., 2022). Furthermore, in Chapter 4 functional categories involved in mRNA splicing were significantly upregulated in the CNS after elevated CO<sub>2</sub> exposure, and in Chapter 5 I identified multiple genes involved in RNA splicing as potential drivers of behavioural change at elevated CO<sub>2</sub> in *I. pygmaeus*. RNA editing is the process in which point mutations are generated within RNA. For example, the editing of adenosine to inosine (which is interpreted as guanosine) on an mRNA strand (A-to-I editing). If this editing changes the way a codon is interpreted, it results in a functionally different protein (Rosenthal, 2015). As RNA editing is particularly pervasive in cephalopods, including squid, especially in the nervous system (Alon et al., 2015; Rosenthal, 2015), and can respond to the environment (Garrett and Rosenthal, 2012), exploring the role of RNA editing in cephalopod responses to OA is a particularly exciting avenue for future research to pursue.

Changes in mRNA levels do not always translate into altered protein levels, reflecting phenotypic change. Correlational studies between mRNA and protein levels are not very abundant, but most report only a weak mRNA-protein correlation (reviewed in Maier *et al.* (2009)). Using both transcriptomes and proteomes from the same individual fish, Schunter *et al.* (2016) analysed the molecular response of transgenerational exposure to elevated CO<sub>2</sub> and found both similarities and differences between the mRNA and proteins differentially expressed. Thus, it cannot be assumed that the mRNA levels measured in Chapter 4 and Chapter 5 here correlate with protein levels. Protein analyses, such as proteomics, Western blotting and immunohistochemistry would be an interesting avenue for future research to investigate whether the alterations in gene expression measured across CO<sub>2</sub> conditions within this thesis translate into changes in protein levels.

### 6.2.5 Sex-specific responses to ocean acidification

In this thesis, all experiments were done using male *I. pygmaeus*. In fish and marine invertebrates, physiological, reproductive and biochemical responses to OA can be sex-specific (Ellis *et al.*, 2017). Recent work in a sea urchin has shown that behavioural responses to OA can also be sex-specific (Marčeta *et al.*, 2020). Thus, the results presented in this thesis can only be attributed to male *I. pygmaeus*. As far as I am aware, no research to date has assessed the role of sex in the mechanisms underlying biological responses to OA. Future work in females is needed to determine whether the behavioural reponses to OA, and the

mechanisms underlying these CO<sub>2</sub>-induced responses, may be similar or different to those measured in male *I. pygmaeus*. As non-destructively determining the sex of marine animals is often difficult, sex has commonly been neglected in OA studies (Ellis *et al.*, 2017). The sex of *I. pygmaeus* can easily be determined by visual inspection of live animals. Thus, *I. pygmaeus* is a useful species to determine the role of sex in the mechanisms underpinning biological responses to OA, which will be important to accurately determine the impact of OA at the population level.

#### 6.2.6 Ecologically relevant mechanistic studies

Natural fluctuations in CO<sub>2</sub> levels are present in some habitats. For example, diel CO<sub>2</sub> cycles are common in shallow coastal waters (Hofmann et al., 2011; Santos et al., 2011; Shaw et al., 2012; Hannan et al., 2020), and these fluctuations are projected to amplify by up to three times by the end of this century (McNeil and Sasse, 2016). Furthermore, recent research in fish has shown brain transcriptional responses to OA were altered by diel CO<sub>2</sub> fluctuations (Schunter et al., 2021). The experimental CO<sub>2</sub> levels used in this thesis are ecologically relevant to the population of *I. pygmaeus* used, because despite these squid inhabiting a coastal environment, I found very little daily variation in seawater pCO<sub>2</sub> levels at the site of squid collection (Appendix C: Water sampling methods and Figure C.1). However, marine invertebrates can experience extreme CO<sub>2</sub> fluctuations, e.g. those living in the intertidal zone (Menge and Branch, 2001; Wolfe et al., 2020). It will be interesting to determine whether marine invertebrates that naturally experience extreme CO<sub>2</sub> fluctuations also respond to elevated stable, versus diel-cycling, CO<sub>2</sub> conditions with changes in expression of circadian rhythm genes, as determined in two coral reef fish species (Schunter et al., 2021). Furthermore, OA is not occurring in isolation. A variety of other marine environmental parameters are projected to also change as climate change progresses, e.g. ocean warming and deoxygenation (Bindoff et al., 2019). Multi-stressor experiments are increasingly being used to gain a more holistic and ecologically relevant understanding of how animals may respond to future ocean conditions (Riebesell and Gattuso, 2015; Boyd et al., 2018). Indeed, it has been observed that animal responses to OA alone can be different to those when animals are exposed to OA in combination with other stressors, and this varies between taxa (Gao et al., 2020). Furthermore, the effects of a single stressor can generally not be extrapolated to multiple stressors, as interactions can be additive, antagonistic or synergistic (Riebesell and Gattuso, 2015). Adding to this complexity, other environmental parameters also experience fluctuations. For example, shallow water coastal habitats can also experience daily temperature and oxygen cycles (McCabe et al., 2010; Baumann et al., 2015; Kline et al., 2015). Moving forward, introducing multi-stressor mechanistic studies, with ecologically relevant treatment regimes, will be vital to examine the mechanistic basis for responses to conditions that more closely resemble the future ocean. Mechanistic studies in increasing ecologically relevant scenarios will enable more accurate predictions of how marine animals will respond as human-induced environmental change progresses.

### 6.2.7 Adaptation of the nervous system as ocean acidification progresses

This thesis has contributed to our understanding of the neurobiological mechanisms underlying the acute behavioural responses of a marine invertebrate to OA. However, it is yet to be determined whether the nervous system could adapt as climate change progresses. Research in marine invertebrates has assessed the long-term and transgenerational responses of physiological processes and life history traits to OA, finding both positive and negative carryover effects of parental exposure to OA. A range of potential mechanisms have been proposed to underlie these transgenerational responses (reviewed in Lee *et al.* (2020)). However, the potential for behavioural adaptation, and the underlying mechanisms in the nervous system, have been little explored. In the brains of juvenile damselfish, a transgenerational molecular signature of behaviourally tolerant versus sensitive parents suggests that fish may behaviourally adapt to OA (Schunter *et al.*, 2016). Future research assessing the longer-term and transgenerational responses of the nervous system and behaviour of marine invertebrates to OA will be important to determine how they will fare in a rapidly changing ocean.

### **6.3** Concluding Remarks

This thesis provides novel insights into the neurobiological impacts of, and the mechanistic basis for biological responses to, elevated CO<sub>2</sub> in a marine invertebrate. Using pharmacological experiments, I have demonstrated more robust support for the GABA hypothesis in marine molluscs, and experimental evidence for the involvement of other ligand-gated Cl-channels in OA-induced behavioural responses. I also used modern transcriptomic methods to investigate the response of a marine invertebrate nervous system to OA. These data chapters have revealed that OA likely induces a suite of changes in both the peripheral and central nervous systems, with a complex assortment of mechanisms underpinning OA-induced behavioral and physiological responses. I found support for previous mechanistic hypotheses, and from my results proposed novel mechanistic hypotheses, providing a foundation from which future research can develop. Overall, my thesis advances our mechanistic understanding of biological responses to OA, enabling the development of improved predictions for how marine animals will respond as OA progresses. This thesis also highlights the importance of considering the nervous system, and its role in coordinating both behavioural and physiolog-

ical responses, to the wider field of research exploring biological responses to anthropogenic environmental change.

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## **Appendix A**

## **Chapter 2 Appendices**

Table A.1. Summary of published papers assessing the effects of elevated  $CO_2$  on marine invertebrate behaviour. Summary of published papers since the reviews by Clements and Hunt (2015) and Nagelkerken and Munday (2015) assessing the effects of elevated  $CO_2$  on marine invertebrate behaviour. Papers are grouped by behaviour, then phyla. Stimulus: - = no stimulus given, Response to high  $CO_2$ : - = no effect on behaviour measured,  $\uparrow$  = increase in behaviour measured,  $\downarrow$  = decrease in behaviour measured, (trend) = a non-significant trend.

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (µatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
Feeding	Mollusc	Feeding rate	Isochrysis galbana cells	500	750	30 days	-	Juvenile	Giant mussel	Choromytilus chorus	Benítez <i>et al</i> . (2018)
					1,200		=				
	Feeding rate	Polystyrene beads	250	400	48 hours	-	Larvae	California mussel	Mytilus cal- ifornianus	Gray <i>et al</i> . (2017)	
				800		-			J	,	
				2,200		-					
		Initiation of feeding		250	400		delay				
					800		delay				
					2,200		delay				
		Feeding rate	Algal pieces	400	1,000	7 days	-	Not reported	Topshell snail	Trochus histrio	Grilo <i>et al</i> . (2019)
		Feeding rate	Micro- algae <i>Chlorella</i> vulgaris	~370	~1,100	1 day	<b>↓</b>	Adult	Blue mussel	Mytilus edulis	Gu et al. (2019)
			8			3 days	$\downarrow$				
						7 days	<b>1</b>				
						14 days	$\downarrow$				
					$\sim$ 2,700	1 day	$\downarrow$				
						3 days	$\downarrow$				
						7 days	<b>↓</b>				
						14 days	$\downarrow$				

Table A.1 continued.

Behaviour Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (μatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
	Feeding rate when given an optimal diet	Two microalgae cultures: Isochrysis galbana and Rhodomonas lens	500	800	2 weeks	<b>↑</b>	Juvenile	Mediterran- ean mussel	Mytilus gallo- provincialis	Lassoued <i>et al.</i> (2019)
	Feeding rate when given a sub-optimal diet			1,200 800		↑ -				
	Feeding rate	Macroalgae <i>Ulva spp.</i>	440	1,200 1,900	24 hours	<u> </u>	Not reported	Banded chink shell	Lacuna vincta	Young <i>et al</i> . (2019)
	Successfully reach food source Response time, duration, speed and path index to food source	Opened mussel	394	2,045	2.5 years	_	Adult and juvenile	Banded dye- murex	Hexaplex trunculus	Chatzinikolaou et al. (2019)
	Response time to food source		394	2,045	2.5 years	<b>\</b>	Adult	Nassa mud snail	Nassarius nitidus	Chatzinikolaou et al. (2019)

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (μatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
		Duration, speed, path index and success to food source					-				
		Feeding rate	Live barnacles Amphibal-anus amphitrite amphitrite	380	950	1 month	-	Not reported	Murex snail	Reishia clavigera	Li et al. (2020)
		Suspension feeding events and time	-	500	1,250 2,140	4 weeks	<u>-</u> ↓	Adult	Peppery furrow shell	Scrobicularia plana	Van Colen et al. (2020)
-	Arthropod	Feeding rate	Herring blocks	400	800 1,000 2,000 3,000	40 days	- ↑ ↑	Stage V	American lobster	Homarus americanus	Menu-Courey et al. (2018)
		Feeding rate	live Artemia salina nauplii	~380	~750	hatching until 48 hours after Stage IV moulting	Ì	Stage IV	American lobster	Homarus americanus	Waller <i>et al</i> . (2017)
		Feeding rate	Squid pieces	450	1,100	5 weeks	$\downarrow$	Juvenile	European lobster	Homarus gammarus	Small <i>et al</i> . (2016)

Table A.1 continued.

Behaviour Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (µatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high $CO_2$	Life stage	Common name	Species	Reference
	Feeding rate	Mussels	360	9,000 1,200	2 weeks	↓ ↓	Adult	Brown	Cancer	Wang et al.
				2,300		$\downarrow$		crab	pagurus	(2018b)
	Cirral activity	-	400	1,600	16 months	1	Juveniles grown to maturity	Bay barnacle	Balanus improvisus	Pansch <i>et al</i> (2018)
	Feeding rate	Clams	360	2,700	2 hours	$\downarrow$	Adult	Japanese stone crab	Charybdis japonica	Wu <i>et al</i> . (2017)
	Feeding rate	Squid piece	330	770	Immediately and 3 weeks	<i>-</i>	Juvenile	Red and blue king crabs	Paralithodes camtschati- cus, P. platypus	Long et al. (2019)
				1500		-				
	Feeding rate	Algae	430	2,200	2 days	-	Nauplii larvae	Purple acorn barnacle	Balanus Amphitrite	Campanati et al. (2015)
	Foraging activity and duration	Olfactory cues - blood- worms, crus- taceans, molluscs, visual cues - live shrimp	465	905	2.5 months	-	Not reported	Common shrimp	Palaemon inter- medius, P. serenus	Marangon et al. (2019)
	Food detection	Crustacean food	593	1,617	1 week	-	Not reported	Antarctic amphipod	Gondogeneia antarctica	Park <i>et al</i> . (2019)
					2 weeks	$\downarrow$	1	1 1		` /

Table A.1 continued.

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (µatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
						3 weeks 4 weeks	- ↓ (trend)				
	Echino- derm	Feeding rate	Algae/agar cubes	450	1,500	9 weeks	-	Adult	Sea urchin	Heliocidaris erythro- gramma	Carey <i>et al</i> . (2016)
		Feeding rate	Live algae	360	1,300	21 days	<b>↑</b>	Adult	Rock- boring urchin	Echinometra lucunter	Rich <i>et al</i> . (2018)
Predatory	Mollusc	Capture and consumption	Live conch snails	390	975	2 - 3 weeks	<b>↓</b>	Adult	Cone snail	Conus marmoreus	Watson <i>et al</i> . (2017)
		Attack	Live shrimp	440	700	5 days	<b>↓</b>	Adult	Two- toned pygmy squid	Idiosepius pygmaeus	Spady <i>et al.</i> (2018)
					900	5 days	$\downarrow$		•		
		Attack	Live fish	440	900	28 days	Ì	Adult	Bigfin reef squid	Sepioteuthis lessoniana	Spady <i>et al</i> . (2018)
		Attack	5 live Gammarus sp.	460	1,000	65 days	-	15 - 20 days post- hatching	Common cuttlefish	Sepia officinalis	Moura et al. (2019)
		Prey search	Live mussels, visually obstructed	500	1,400	6 months	<b>↓</b>	Juvenile	Chilean abalone	Concholepas conc- holepas	Domenici et al. (2017)
		Search time	Live barnacles Amphibal-anus amphitrite amphitrite	380	950	1 month	-	Not reported	Murex snail	Reishia clavigera	Li et al. (2020)

Table A.1 continued.

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (µatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
					1,250		<b>↑</b>				
		Speed to prey			950		-				
		Prey preference	Live barnacles A. Amphitrite am- phitritem and mussels Brachi- dontes variabilis		1,250 950		-				
_					1,250		-				
	Arthropod	Foraging	Clams	360	2,700	2 hours	$\downarrow$	Adult	Japanese stone crab	Charybdis japonica	Wu <i>et al</i> . (2017)
		Foraging	Mussels	360	1,200	2 weeks	$\downarrow$	Adult	Brown crab	Cancer pagurus	Wang <i>et al</i> . (2018b)
					2,300		$\downarrow$				
		Foraging	Clams	1,300	6,500	30 days	-	Not reported	Blue crab	Callinectes sapidus	Glaspie <i>et al</i> (2017)
		Prey capture					<b>↑</b>	•		•	. ,
Predator void- ince	Mollusc	Avoidance	Predator chemical cue	16 levels 400 - 2,600		5 days	<b>↓</b>	Not reported	Black turban snail	Tegula funebralis	Jellison <i>et al</i> (2016)

Table A.1 continued.

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (µatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
				10 baseline levels 540 - 3,200 (fluctu- ating to 3,500 - 14,800 for 6 hours each			<b>↓</b>				
		Protective	Predator in cage	day) 350	1,100	7 days	<b>↓</b>	Not reported	Korean and blue mussels	Mytilus coruscus and M. edulis	Kong <i>et al</i> . (2019)
		Shelter- seeking	-	460	1,000	65 days	↓ (trend)	15 – 20 days post- hatching	Common cuttlefish	Sepia officinalis	Moura <i>et al.</i> (2019)
		Alarm response	Conspecific alarm cue (ink)				-				
		Avoidance	Mechanical	1,300	6,500	30 days	$\downarrow$	Juvenile	Soft-shell clam	Mya arenaria	Glaspie <i>et al</i> . (2017)
		Self-righting	Placed upside down	390	975	2 - 3 weeks	-	Adult	Cone snail	Conus marmoreus	Watson <i>et al.</i> (2017)

Table A.1 continued.

Behaviour Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (µatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
	Self-righting	Released from top of water column on side	400	1,200	4- 11 days	-	Not reported	California sea hare	Aplysia californica	Zlatkin and Heuer (2019)
		5140		3,000		_				
	Tail- withdrawal reflex	Mechanical		1,200		$\downarrow$				
				3,000		$\downarrow$				
	Escape response type	Predator chemical cue	410	1,200	4 weeks	altered	Adult	Mud snail	Tritia obsoleta	Froehlich and Lord (2020)
		(northern mud crab <i>Dys</i> -								
		panopeus sayi) Crushed				_				
		con- specifics chemical								
		cue								
		-				-				
	Movement vector	Predator chemical				movement away				
	(distance and direction)	cue (northern				from cue lost				
		mud crab  Dys-								
		panopeus sayi)								

Table A.1 continued.

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (µatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
			Crushed conspecifics chemical cue				movement away from cue lost				
_	Arthropod	Avoidance	Predator chemical cue	450	1,400	12 hours	<b>\</b>	Stage III larvae	Asian shore crab	Hemigrapsus sanguineus	Charpentier and Cohen (2016)
		Avoidance	Predator chemical cue	not reported (pH = 8.1)	not reported (pH = 7.6)	98 days	-	Juvenile	Hermit crab	Pagurus criniticor- nis	Ragagnin et al. (2018)
		Avoidance	No predator cue	600	900	5 weeks	-	Not reported	Decorator crab	Pelia tumida	Rankin <i>et al.</i> (2019)
-	Echino- derm	Defence	Mechanical	670	900	22 weeks	-	Adult	Black sea cucumber	Holothuria forskali	Yuan <i>et al</i> . (2018a)
		Self-righting	Placed upside down	519	1,070	30 – 120 days	-	Adult	Common starfish	Asterias rubens	McCarthy et al. (2019)
Settlement and meta- morpho- sis	Mollusc	Metamorphosi	s -	450	800	24 hours	-	Trochophore larvae	Variously coloured abalone	Haliotis di- versicolor	Guo et al. (2015)
515					1,500 2,000		-				

Table A.1 continued.

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (μatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
					3,000 800		<b>↓</b> -	Trochophore larvae	Disc abalone	Haliotis discus hannai	
					1,500		$\downarrow$				
					2,000		$\downarrow$				
					3,000		$\downarrow$				
		Metamorphos	18 -		800	3 days	-	Veliger larvae	Variously coloured abalone	Haliotis di- versicolor	
					1,500		-				
					2,000		$\downarrow$				
					3,000		$\downarrow$				
					800		-	Veliger larvae	Disc abalone	Haliotis discus hannai	
					1,500		_				
					2,000		$\downarrow$				
					3,000		j				
		Settlement	-	320	500	9 - 17 days	-	Larvae	Baltic clam	Macoma balthica	Jansson <i>et a</i> (2016)
					900	•	delay				. ,
					1,100		delay				
					1,300		delay				
		Metamorphos	is		900		<b>↑</b>				
					1,500		-				
		Downward swimming	Presence and absence of chemical settlement cue	~500	~2,500	Immediatel prior to experi- ments	y -	Larvae	Eastern oyster	Crassostrea virginica	Meyer-Kais et al. (2019)

Table A.1 continued.

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (µatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
		Settlement Metamorphosis	10mM KCl	~500 ~400	~2,500 ~1,200	10 – 14 days	- delayed		Slippershell snail	Crepidula fornicata	Pechenik <i>et al.</i> (2019)
			Adult cues for 3 hours	~500	~1,400 ~1,400	At the same time as adult cue exposure	delayed -			,	
					~1,400	Two hours	-				
					$\sim$ 1,400	14 days	-				
-	Arthropod	Settlement	-	430	2,200	4 days	-	Cyprid larvae	Acorn barnacle	Balanus amphitrite	Campanati et al. (2015)
			Settlement- inducing cue		2,200		-			1	,
		Conspecific attraction	Conspecific and het- erospecific chemical cues	400	700	Duration of be- havioural trial	<b>↓</b>	Larvae	Banded coral shrimp	Stenopus hispidus	Lecchini et al. (2017)
					1,000		$\downarrow$				
-	Echino- derm	Settlement	-	500	1,300	35 days	delay	Larvae	Purple sea urchin	Paracentrotus lividus	García <i>et al</i> . (2015)
					2,600		lost				

Table A.1 continued.

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (µatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
	Cnidaria	Settlement choice	Settlement substrata (from control or high CO <sub>2</sub> field site)	~400	~800	Substrata from field sites	preferred control sub- strata	Larvae	Coral	Acropora tenuis	Fabricius <i>et al.</i> (2017)
		Recruitment	Field sites	~400	~800	13 months	<b>↓</b>	Larvae	Coral	15 coral taxa	Fabricius <i>et al.</i> (2017)
		Settlement	Crustose coralline algae	400	700	2 days	<b>↓</b>	Larvae	Coral	Acropora gemmifera	Yuan <i>et al</i> . (2018b)
					1,200		1				
		Settlement	-	not reported (pH = 8.1)	not reported (pH = 7.9)	31 hours	<u> </u>	Larvae	Coral	Pocillopora damicornis	Viyakarn <i>et al.</i> (2015)
					not reported (pH = 7.6)		<b>↓</b>				
		Metamorphosis	s		not reported (pH = 7.9)		delay				
					not reported (pH = 7.6)		delay				
		Settlement	Crustose coralline algae	250	900	1 week	-	Larvae	Coral	Acropora spicifera	Foster <i>et al</i> . (2015)

Table A.1	continued
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Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (μatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
		Settlement	Settlement substrate	520	2,200	72 hours	-	Larvae	Coral	Porites astreoides	Olsen <i>et al.</i> (2015)
-	Bryozoan	Settlement	-	8 levels 266 – 18,689		6 hours	delay	Larvae	Brown bryozoan	Bugula neritina	Pecquet <i>et al.</i> (2017)
				600	1,200	48 hours (parents) + 6 hours (larvae)	<b>\</b>				
	Annelid	Settlement success	Biofilm exposed to $pCO_2$ for 23 days	350	1,180	24 and 48 hours	-	Larvae	Tubeworm	Galeolaria hystrix	Nelson <i>et al.</i> (2020)
			Biofilm exposed to $pCO_2$ for 60 days	350	2,600 1,180	24 and 48 hours	-				
					2,600		-				
Reproduc- tive be- haviour	Arthropod	Mate detection	Female chemical cue	350	800	2 generations	$\downarrow$	Adult	Amphipod	Gammarus locusta	Borges <i>et al.</i> (2018)
Other chemosen- sory be- haviours	Arthropod	Binary choice	Healthy conspecific chemical cue	not reported (pH = 8.1)	not reported (pH = 7.65)	∼10 days	avoided	Juvenile	Caribbean spiny lobster	Panulirus argus	Ross and Behringer (2019)

Table A.1 continued.

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (µatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
			Diseased conspecific chemical cue				attracted				
			Competitor chemical cue				-				
			Antennule flicking				↓ (trend)				
		Displacement	Dead gastropod chemical cue	not reported (pH = 8.1)	not reported (pH = 7.6)	98 days	-	Juvenile	Hermit crab	Pagurus criniticor- nis	Ragagnin et al. (2018)
Activity	Mollusc	Swimming speed	-	400 - 2,200		Duration of egg develop-	$\downarrow$	Paralarvae	Longfin inshore squid	Doryteuthis pealeii	Zakroff et al. (2018)
		Other swimming measures				ment	altered				
		Path velocity	-	400	900	48 hours	-	Larvae	Black clam	Cyclina sinensis	Sui <i>et al</i> . (2019)
		Time active, speed, distance	-	440	2,600 900	28 days	<b>↓</b> ↑	Adult	Bigfin reef squid	Sepioteuthis lessoniana	Spady <i>et al.</i> (2018)
		Distance	-	390	975	2 - 3 weeks	1	Adult	Cone snail	Conus marmoreus	Watson <i>et al</i> . (2017)

Table A.1 continued.

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (μatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
		Distance	Predator chemical cue	16 levels 400 - 2,600 10 baseline levels 540 - 3,200 (fluctuating to 3,500 - 148,000 for 6 hours each day)		5 days	-	Not reported	Black turban snail	Tegula funebralis	Jellison et al. (2016)
	Arthropod	Swimming speed	-	~380	~750	hatching until 48 hours after Stage IV moulting	<b>↑</b>	Stage IV	American lobster	Homarus americanus	Waller <i>et al.</i> (2017)
		Average shoal speed	-	480	750	3 hours	<b>↑</b>	Phase I Juvenile	Swimming crab	Portunus tritubercu- latus	Ren <i>et al</i> . (2018)
					750 750	6 hours 12 - 72 hours	<u>†</u>				
					1,500 1,500	3 hours 6 hours	- ↑				

Table A.1 continued.

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (µatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
		Swimming direction	-	460	966	From hatching	altered	Stage III	Florida stone crab	Menippe mercenaria	Gravinese et al. (2019)
						Č	<b>-</b> .	Stage V			, ,
		Swimming speed	-				<b>↑</b>	Stage III			
		-					-	Stage V			
					1,500	12 hours	<b>↑</b>	_			
					1,500	24 - 72	-				
		<b></b>	ъ			hours		Ŧ 11	G 711	D 4:	D 1
		Time active	Presence and absence of chemical cues	not reported (pH = 8.1)	not reported (pH = 7.65)	$\sim$ 10 days	-	Juvenile	Caribbean spiny lobster	Panulirus argus	Ross and Behringer (2019)
		Speed and distance	-	400	1,000	2 months	-	Not reported	Snapping shrimp	Alpheus novaeze- landiae	Rossi <i>et al</i> . (2016)
		Swimming activity	Day Night	593	1,617	1 – 26 days	-	Not reported	Antarctic amphipod	Gondogeneia antarctica	Park <i>et al</i> . (2019)
-	Bryozoan	Swimming speed	-	600	1,200	48 hours (parents) + 6 hours (larvae)	<b>↑</b>	Larvae	Brown bryozoan	Bugula neritina	Pecquet <i>et al.</i> (2017)
Burrowing	Mollusc	Burying	-	390	975	2 - 3 weeks	<b>↓</b>	Adult	Cone snail	Conus marmoreus	Watson <i>et al</i> . (2017)
		Digging depth	-	550	1,200	24 hours	-	Adult	Razor clam	Sinonovacula constricta	
		-			1,900 3,000		$\downarrow$				

Table A.1 continued.

Behaviour	Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (μatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
		Percentage buried	-	not reported (pH = 8.1)	not reported (pH = 7)	14 days	1	Not reported	Baltic clam	Macoma balthica	Jakubowska and Normant- Saremba (2015)
				,	not reported (pH = 6)		1				, ,
		Percentage buried	-	not reported (pH = 6.84 - 7.37, field col- lected)		5 hours	1	Juvenile	Soft-shell clam	Mya arenaria	Clements <i>et al.</i> (2016)
				~1,300	~8,500	20 mins	$\downarrow$	Juvenile	Soft-shell clam	Mya arenaria	Clements <i>et al</i> . (2017)
Anxiety- like be- haviour	Arthropod	Scototaxis	Visual (light/dark)	480	750	72 hours	altered	Phase I Juvenile	Swimming crab	Portunus tritubercu- latus	Ren et al. (2018)
					1,500		altered				
		Speed	Visual (light/dark)		750		<b>↑</b>				
					1,500		-				
Other behaviours	Mollusc	Lateralisation	Live mussels, visually obstructed	500	1,400	1.5 months	-	Juvenile	Chilean abalone	Concholepas conc- holepas	Domenici et al. (2017)

Table A.1 continued.

Behaviour Phylum	Specific behaviour	Stimulus	Control pCO <sub>2</sub> (µatm)	Elevated pCO <sub>2</sub> (μatm)	pCO <sub>2</sub> exposure time	Response to high CO <sub>2</sub>	Life stage	Common name	Species	Reference
	Repeatability of lateralisation				∼6 months	lost				
Arthropod	Snapping	-	400	1,000	2 months	<b>↓</b>	Not reported	Snapping shrimp	Alpheus novaeze- landiae	Rossi <i>et al.</i> (2016)
		Simulated aggression				-				
		Field sites	~500	700 - 5,400	Field	$\downarrow$				
	Shelter-use	Day	593	1,617	1 – 26 days	$\downarrow$	Not reported	Antarctic amphipod	Gondogeneia antarctica	Park <i>et al</i> . (2019)
		Night			·	-	•	1 1		` '

## **Appendix B**

## **Chapter 3 Appendices**

Table B.1. Studies that have investigated the mechanism of action of gabazine in molluscs. All studies in molluscs have been done in gastropod molluscs. Target receptor: ion? = unknown which ion(s) the receptor is permeable to, hyper/depolarising? = unknown whether activation of the receptor results in hyperpolarisation (inhibitory) or depolarisation (excitatory). Administration method: bath application = animal/tissue/neuron sitting in the solution. Gabazine effect: the effect of gabazine on the behaviour measured or electrophysiological recording (neurotransmitter-induced hyper or depolarisation): -= no effect,  $\times=$  completely blocked,  $\downarrow=$  decrease,  $\uparrow=$  increase.

Target receptor	Species Common name	Life stage	Gabazine conc. (μΜ)	Administration method	Method of measurement	Gabazine effect	Reference
Ionotropic GABA R (Cl <sup>-</sup> , hyper/depolarising?)	<i>Tritia obsoleta</i> Marine mud snail	Larvae	0.1, 1, 10, 100	Bath application	In vivo Percentage of larvae metamorphosing	-	Biscocho et al. (2018)
Ionotropic GABA R (ion?, hyperpolarising)	Helix aspersa Terrestrial snail	Not stated	10	Bath application then by micropipette	In vitro Electrophysiology	×	Vehovszky et al. (1989)
Ionotropic GABA R (ion?, depolarising)	Helix aspersa Terrestrial snail	Not stated	10	Bath application then by micropipette	In vitro Electrophysiology	<b>\</b>	Vehovszky et al. (1989)
GABA R (type unknown)	Nassarius obsoletus Eastern mud snail (marine)	Larvae	1, 10 100, 1,000	Bath application	In vivo Percentage of larvae metamorphosing	<u>-</u> ↑	Welch (2015)

Table B.2. Studies that have investigated the mechanism of action of picrotoxin in molluscs. All studies are in gastropod molluscs, apart from one study in a cephalopod mollusc (Chichery and Chichery, 1985). Target receptor: ion? = unknown which ion(s) the receptor is permeable to, hyper/depolarising? = unknown whether activation of the receptor results in hyperpolarisation (inhibitory) or depolarisation (excitatory). Administration method: superfusion = continuous flow over the outside of the tissue/neuron, perfusion = continuous flow through the tissue/neuron, bath application = animal/tissue/neuron sitting in the solution. Picrotoxin effect: The effect of picrotoxin on the behaviour measured or the electrophysiological recording (neurotransmitter-induced hyper or depolarisation): -= no effect,  $\times=$  completely blocked,  $\downarrow=$  decrease,  $\uparrow=$  increase.

Target receptor	Species Common name	Life stage	Picrotoxin conc. (μM)	Administration method	Method of measurement	Picrotoxin effect	Reference
Ionotropic GABA R (Cl <sup>-</sup> , hyperpolarising)	Aplysia californica California sea hare	Not stated, 50 - 300 g	1,000	Bath application	In vitro Electrophysiology	<b>↓</b>	Jing <i>et al.</i> (2003)
	Aplysia californica California sea hare	Not stated	1,000	Perfusion	In vitro Electrophysiology	×	Yarowsky and Carpenter (1978a)
	Aplysia californica California sea hare	Not stated	10 1,000	Perfusion	In vitro Electrophysiology	×	Yarowsky and Carpenter (1978b)
	Aplysia californica California sea hare	Not stated, 150 - 300 g	1,000	Not stated	In vitro Electrophysiology	<b>↓</b>	Wu et al. (2003)
	Clione limacina Sea angel	Adult	1,000	Bath application	In vitro Electrophysiology	-	Norekian and Satterlie (1993)
Ionotropic GABA R (ion?, hyperpolarising)	Clione limacina Sea angel	Adult	1,000	Bath application	In vitro Electrophysiology	<b>↓</b>	Norekian and Malyshev (2005)

Table B.2 continued.

Target receptor	Species Common name	Life stage	Picrotoxin conc. (μM)	Administration method	Method of measurement	Picrotoxin effect	Reference
	Lymnaea stagnalis Pond snail	2-3 months	100	Superfusion	In vitro Electrophysiology	-	Moccia et al. (2009)
	Helix aspersa Terrestrial snail	Not stated	0.008 0.08 0.8	Bath application	In vitro Electrophysiology	- ↓ ↓	Piggott <i>et al</i> . (1977)
	Helix aspersa Terrestrial snail	Not stated	1,000	Bath application then applied by micropipettte	In vitro Electrophysiology	×	Vehovszky et al. (1989)
Ionotropic GABA R (Cl <sup>-</sup> , depolarising)	Lymnaea stagnalis Pond snail	Adult	1,000	Bath application	In vitro Electrophysiology	↓ and × depending on the neuron	Rubakhin et al. (1996)
Ionotropic GABA R (Cl <sup>-</sup> , hyper/depolarising?)	Tritia obsoleta Marine mud snail	Larvae	100	Bath application	In vivo Percentage of larvae metamorphosing	↑ only in 1 of 5 experiments	Biscocho et al. (2018)
GABA R (Na <sup>+</sup> , depolarising)	Aplysia californica California sea hare	Not stated	1,000	Perfusion	In vitro Electrophysiology	-	Yarowsky and Carpenter (1978a)
	Aplysia californica California sea hare	Not stated	10 and 1,000	Perfusion	In vitro Electrophysiology	-	Yarowsky and Carpenter (1978b)
	Clione limacina Sea angel	Adult	50 and 1,000	Applied by pipette	In vitro Electrophysiology	-	Norekian (1999)
GABA R (K <sup>+</sup> , depolarising)	Aplysia californica California sea hare	Not stated	10 and 1,000	Perfusion	In vitro Electrophysiology	-	Yarowsky and Carpenter (1978b)

Table B.2 continued.

Target receptor	Species Common name	Life stage	Picrotoxin conc. (μM)	Administration method	Method of measurement	Picrotoxin effect	Reference
GABA R (K <sup>+</sup> , hyperpolarising)	Aplysia californica California sea hare	Not stated	10 and 1,000	Perfusion	In vitro Electrophysiology	-	Yarowsky and Carpenter (1978b)
Ionotropic GABA R (ion?, depolarising)	Helix aspersa Terrestrial snail	Not stated	1,000	Bath application then applied by micropipettte	In vitro Electrophysiology	×	Vehovszky et al. (1989)
	Helix aspersa Terrestrial snail	Not stated	0.008 0.08 and 0.8	Bath application	In vitro Electrophysiology	- ↑	Piggott <i>et al</i> . (1977)
	Clione limacina Sea angel	Adult	1	Bath application	In vitro Electrophysiology	-	Arshavsky et al. (1993)
	Clione limacina Sea angel	Adult	1,000	Bath application	In vitro Electrophysiology	-	Norekian and Malyshev (2005)
GABA R (type unknown)	Sepia officinalis Common cuttlefish	Not stated, 100 - 1,500 g	<2 10	Local microinjection into the optic lobe	In vivo Behaviour	General excitation or decreased spontaneous locomotion with immobilised fins	Chichery and Chichery (1985)
Ionotropic glutamate receptor (ion?, hyperpolarising)	Helix aspersa Terrestrial snail	Not stated	0.008 and 0.08 0.8	Bath application	In vitro Electrophysiology	<u>_</u>	Piggott <i>et al.</i> (1977)
Ionotropic acetylcholine receptor (Cl <sup>-</sup> , hyperpolarising)	Aplysia californica California sea hare	Not stated	1,000	Perfusion	In vitro Electrophysiology	×	Yarowsky and Carpenter (1978a)

Table B.2 continued.

Target receptor	Species Common name	Life stage	Picrotoxin conc. (μM)	Administration method	Method of measurement	Picrotoxin effect	Reference
Ionotropic acetylcholine receptor (ion?, hyperpolarising)	Helix aspersa Terrestrial snail	Not stated	0.008 0.08 and 0.8	Bath application	In vitro Electrophysiology	- ↓	Piggott <i>et al.</i> (1977)
Ionotropic acetylcholine receptor (Na <sup>+</sup> , depolarising)	Aplysia californica California sea hare	Not stated	1,000	Perfusion	In vitro Electrophysiology	-	Yarowsky and Carpenter (1978a)
Ionotropic dopamine receptor (Cl <sup>-</sup> , depolarising)	Lymnaea stagnalis Pond snail	~1 - 4 months old	100	Bath application	In vitro Electrophysiology	<b>↓</b>	Magoski and Bulloch (1999)

Table B.3. Explanatory variables, distribution family and link function as well as the priors used for the chosen model of each response variable in the gabazine experiment. Explanatory variables: \* = interactive effect, + = additive effect. Priors: - = priors not applicable for this parameter, improper uniform = improper uniform priors were used (= uniform priors from infinity to -infinity).

Response variable	Explanatory variables	Family (link)	Intercept prior	Slope prior	sigma prior	shape prior
Time in Zone A (s)	CO2 * Drug	Gaussian (identity)	student_t(3, 316, 458.1)	improper uniform	student_t(3, 0, 458.1)	-
No. of visits to Zone A	CO2 * Drug + Mantle length	Negative binomial (log)	student_t(3, 1.4, 2.5)	improper uniform	<del>-</del>	gamma(0.01, 0.01)
Proportion of squid that touched mirror softly	CO2 * Drug	Binomial (logit)	student_t(3, 0, 2.5)	improper uniform	-	-
Latency to first soft mirror touch (s)	CO2 * Drug	Gamma (log)	student_t(3, 3.7, 2.5)	improper uniform	-	gamma(0.01, 0.01)
No. of soft mirror touches	CO2 * Drug + Behavioural tank + Time of test	Negative binomial (log)	student_t(3, 2.9, 2.5)	improper uniform	-	gamma(0.01, 0.01)
Proportion of squid that touched mirror aggressively	CO2 * Drug	Binomial (logit)	student_t(3, 0, 2.5)	improper uniform	-	-
Latency to first aggressive mirror touch (s)	CO2 * Drug + Behavioural tank + Time of test	Gamma (log)	student_t(3, 3.7, 2.5)	improper uniform	-	gamma(0.01, 0.01)
No. of aggressive mirror touches	CO2 * Drug	Negative binomial (log)	student_t(3, 2.9, 2.5)	improper uniform	-	gamma(0.01, 0.01)
Active time (s)	CO2 * Drug	Gamma (log)	student_t(3, 5.3, 2.5)	improper uniform	-	gamma(0.01, 0.01)
Total distance moved (cm)	CO2 * Drug	Gamma (log)	student_t(3, 6, 2.5)	improper uniform	-	gamma(0.01, 0.01)
Average speed (cm/s)	CO2 * Drug	Gaussian (identity)	student_t(3, 2.1, 2.5)	improper uniform	$student_t(3, 0, 2.5)$	-

Table B.4. Explanatory variables, distribution family and link function as well as the priors used for the chosen model of each response variable in the picrotoxin experiment. Explanatory variables: \* = interactive effect, + = additive effect. Priors: - = priors not applicable for this parameter, improper uniform = improper uniform priors were used (= uniform priors from infinity to -infinity).

Response variable	Explanatory variables	Family (link)	Intercept prior	Slope prior	sigma prior	shape prior
Time in Zone A (s)	CO2 * Drug + Behavioural tank + Time of test	Gaussian (identity)	student_t(3, 677, 315.8)	improper uniform	student_t(3, 0, 315.8)	-
No. of visits to Zone A	CO2 * Drug + System	Negative binomial (log)	normal(0, 8)	normal(0, 2.5)	-	gamma(0.01, 0.01)
Proportion of squid that touched mirror softly	CO2 * Drug	Binomial (logit)	student_t(3, 0, 2.5)	improper uniform	-	-
Latency to first soft mirror touch (s)	CO2 * Drug	Gamma (log)	student_t(3, 4.4, 2.5)	improper uniform	-	gamma(0.01, 0.01)
No. of soft mirror touches	CO2 * Drug + Mantle length	Negative binomial (log)	student_t(3, 2.8, 2.5)	improper uniform	-	gamma(0.01, 0.01)
Proportion of squid that touched mirror aggressively	CO2 * Drug	Binomial (logit)	student_t(3, 0, 2.5)	improper uniform	-	-
Latency to first aggressive mirror touch (s)	CO2 * Drug + System	Gamma (log)	normal(0, 10)	normal(0, 2.5)	-	gamma(0.01, 0.01)
No. of aggressive mirror touches	CO2 * Drug + Number of acclimation days + Date introduced to treatment	Negative binomial (log)	student_t(3, 3.1, 2.5)	improper uniform	-	gamma(0.01, 0.01)
Active time (s)	CO2 * Drug	Gaussian (identity)	student_t(3, 297.8, 206.5)	improper uniform	student_t(3, 0, 206.5)	-
Total distance moved (cm)	CO2 * Drug	Gaussian (identity)	student_t(3, 737.1, 641.1)	improper uniform	student_t(3, 0, 641.1)	-
Average speed (cm/s)	CO2 * Drug	Gaussian (identity)	student_t(3, 2.4, 2.5)	improper uniform	student_t(3, 0, 2.5)	-

### **Appendix C**

#### **Chapter 4 Appendices**

#### Water sampling methods.

To evaluate the magnitude of natural diel CO<sub>2</sub> fluctuations and the ecological relevance of the experimental CO<sub>2</sub> treatment levels used in my study, water samples were taken from the same location where two-toned pygmy squid (*Idiosepius pygmaeus*) were collected. I. pygmaeus were collected from August - October 2019, and water samples from August -September 2021, from coastal waters around the Townsville breakwater complex. All water samples were collected with 250 mL borosilicate glass bottles. Bottles were dipped into the water upside down and at approximately 25 cm deep the bottle was inverted several times to allow water to enter and remove all air bubbles, and the lid was screwed on underwater. Each sample was taken in pairs; one was placed directly in the dark for storage until lab measurements, and the other was used immediately for measurements of water temperature (Comark C26, Norfolk, UK) and pH<sub>NBS</sub> (Seven2Go<sup>™</sup> pro Conductivity Meter with an InLab Expert Go-ISM pH electrode, Metler Toledo). Three pairs of water samples were taken in immediate succession at each location and sampling time. All lab measurements were taken within  $2.13 \pm 1$  hour (mean  $\pm$  SD) of water sample collection. Total alkalinity was measured by Gran titration (888 Titrando, Metrohm AG, Switzerland) and salinity was measured with a conductivity sensor (HQ40d, Hach, Loveland, CO, USA). CO<sub>2</sub> values were calculated in CO<sub>2</sub>SYS v.2.1 (https://cdiac.ess-dive.lbl.gov/ftp/co2sys/CO2SYS\_calc\_XLS\_v2.1/) using the constants K1, K2 from Mehrbach et al. (1973) and refit by Dickson and Millero (1987) and KHSO<sub>4</sub> from Dickson et al. (2007).

To determine any spatial variation within the breakwater marina complex, three pairs of water samples were taken from each of three different locations (19°15'06.3"S 146°49'22.4"E; 19°15'08.1"S 146°49'27.6"E; 19°15'11.8"S 146°49'21.6"E), both before first light (approximately 5:30) and mid-afternoon (approximately 13:30). CO<sub>2</sub> levels were consistent across

these three locations, therefore all subsequent sampling was done from one location (19°15'06.3"S 146°49'22.4"E). To determine the best time for afternoon sampling to capture maximum change in CO<sub>2</sub> levels, three pairs of water samples were collected at each of three time points; 12:30, 13:30 and 14:30. These time points were chosen based on previous research that found minimum CO<sub>2</sub> was reached between 12:30 and 14:20 at Lizard Island, Great Barrier Reef (Hannan *et al.*, 2020). CO<sub>2</sub> levels were consistent across these three time points, therefore all subsequent sampling was done at 13:30. After these initial checks were completed, water sampling was carried out to determine any diel CO<sub>2</sub> variation. Three pairs of water samples were taken before first light (approximately 5:00) and at 13:30 across five days of differing tidal heights, all from the same location. Figure C.1 shows the diel CO<sub>2</sub> variation. All raw data from water sampling can be found at https://doi.org/10.25903/ha66-mm11 (this is embargoed until publication, access for thesis examination can be found at https://cloudstor.aarnet.edu.au/plus/s/sV1Qv1exuwXdi0d).

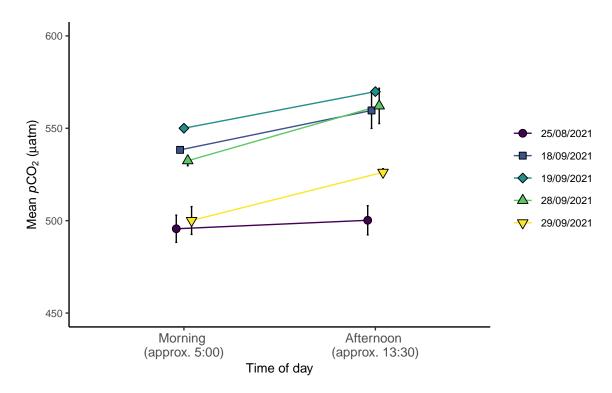
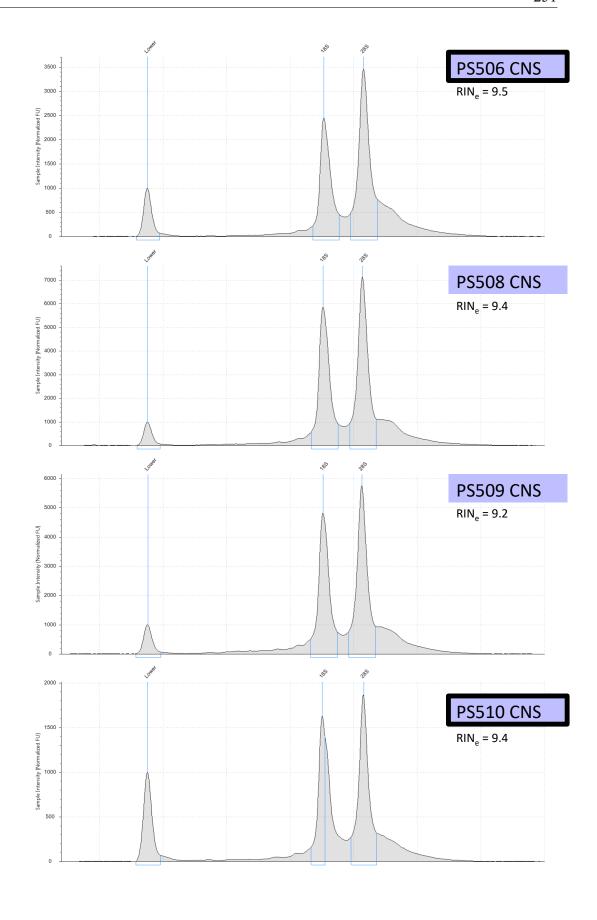
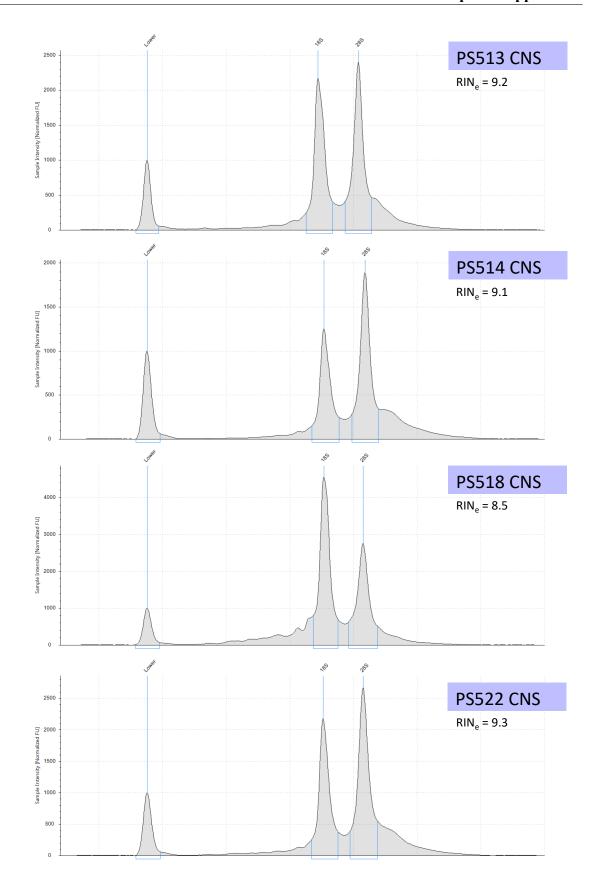


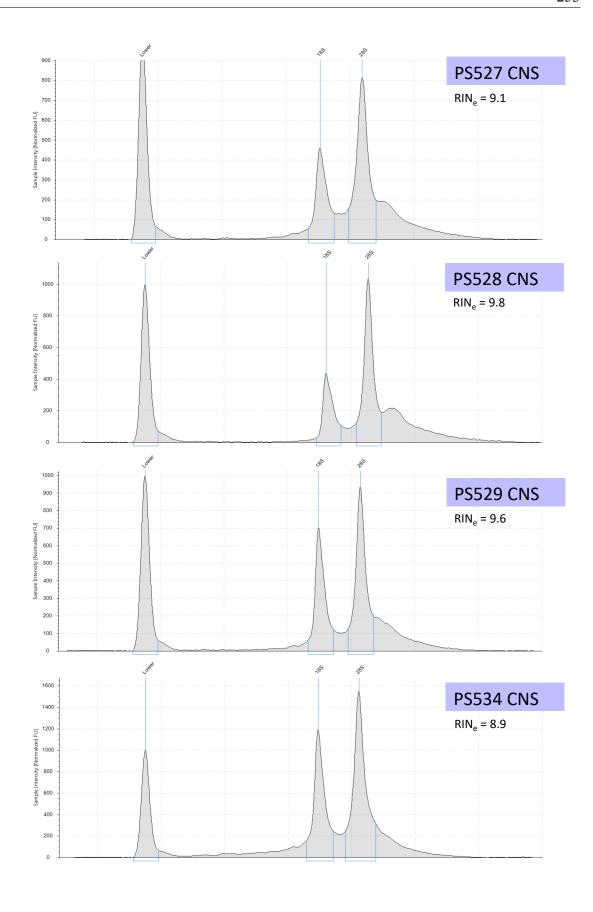
Figure C.1. Diel CO<sub>2</sub> variation at the site *I. pygmaeus* were collected. Water samples were taken to measure  $CO_2$  levels before first light at approximately 5:00 (morning) and at approximately 13:30 (afternoon) across five days. Points represent the mean  $\pm$  standard deviation.

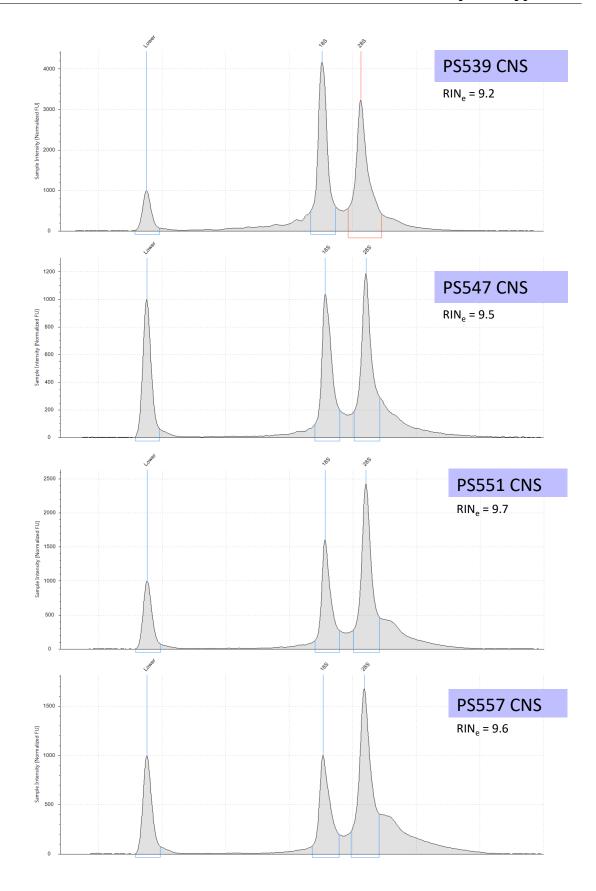
# TapeStation electropherograms for each of the 40 RNA samples used for RNA-sequencing.

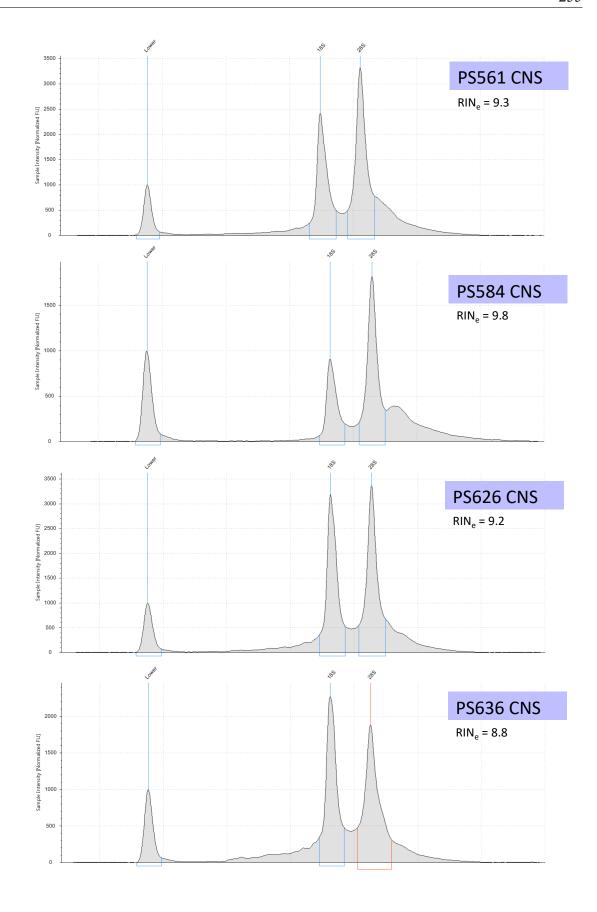
Each sample is labelled by its individual ID and the tissue type. RNA integrity of all 40 samples was measured on an Agilent 2200 TapeStation (High Sensitivity RNA ScreenTape, Agilent), without the sample denaturation step due to denaturation removing the 28S peak, likely due to a 'hidden break' as reported in some other animals (Winnebeck *et al.*, 2010). All central nervous system (CNS) RNA had an equivalent RNA integrity (RIN<sub>e</sub>)  $\geq$  8.5 (mean 9.3, SD 0.3). For the eye samples, a RIN<sub>e</sub> value could not be obtained due to the TapeStation being unable to detect the lower marker, likely due to carry over of pigment into the eye RNA samples. A Femto Pulse system (Ultra Sensitivity RNA Kit, Agilent) did obtain RNA Quality Scores (RQN): eye RQN  $\geq$  4.8 (mean 6.5, SD 1.3). The four samples also used for ISO-sequencing are outlined in thick black. CNS samples used for ISO-seq had RIN<sub>e</sub> values of 9.4 and 9.5. Before ISO-seq, RNA from the eyes was purified with oligo d(T) beads due to carry over of pigmentation (NEBNext® Poly(A) mRNA Magnetic Isolation Module, New England BioLabs Inc.), followed by integrity assessment on a Femto Pulse system (Ultra Sensitivity RNA Kit, Agilent). After purification, eyes samples had an RQN of 6.1 and 8.6.

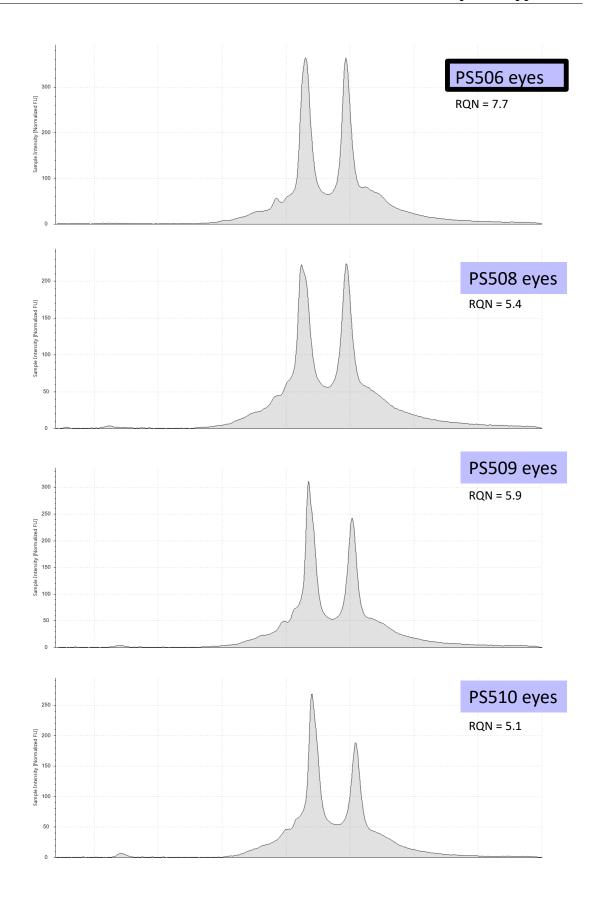


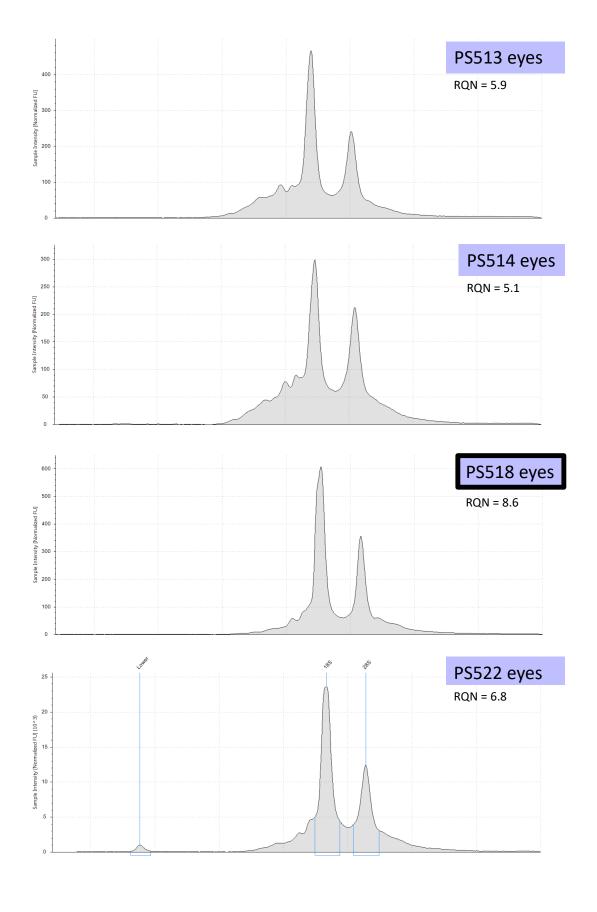


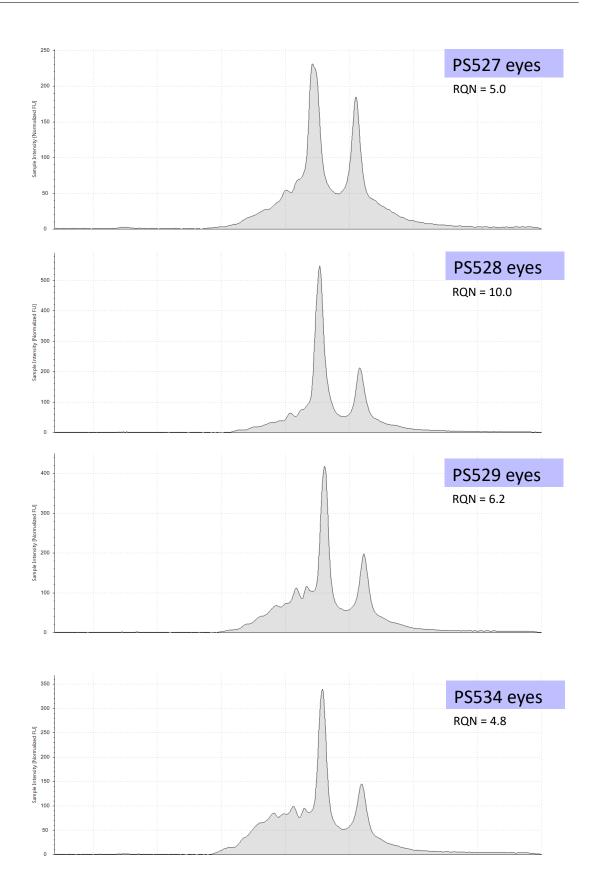


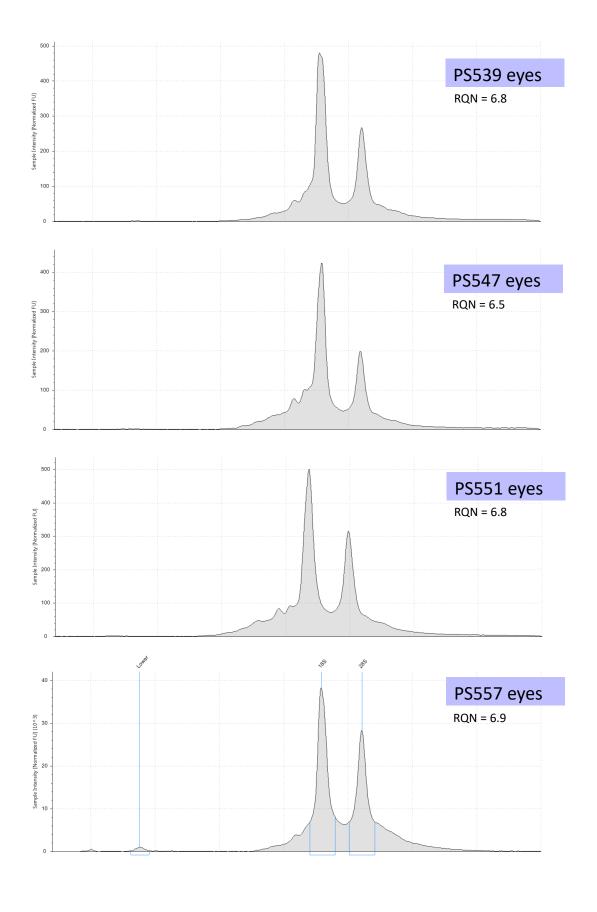


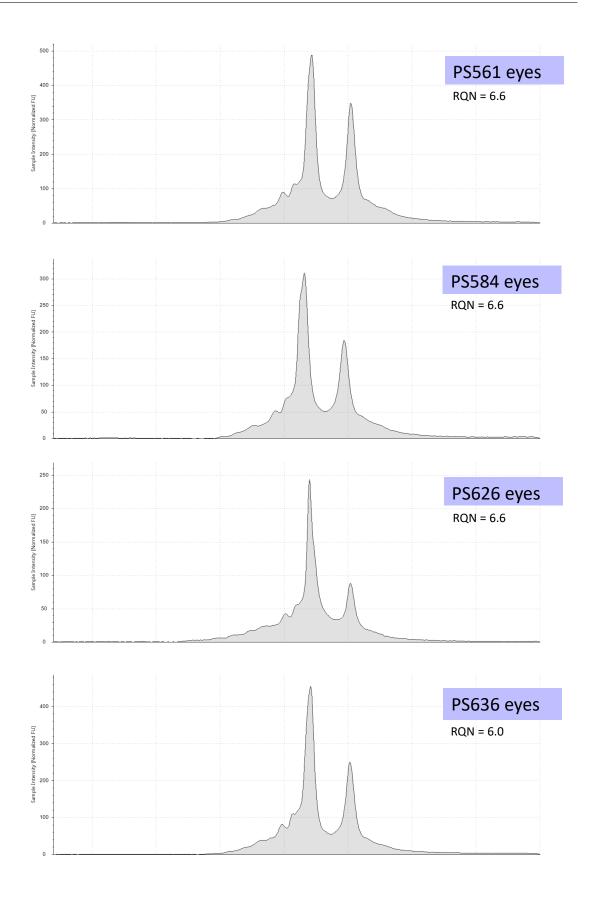












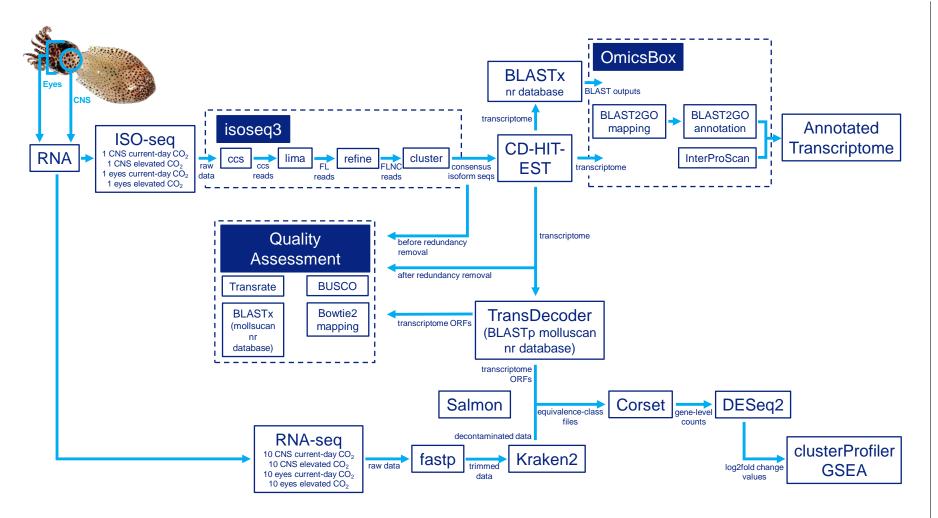


Figure C.2. Detailed workflow from tissue sampling to bioinformatics analyses and statistical analyses. ccs = circular consensus sequence, DE = differentially expressed, FL = full length, FLNC = full-length non-concatemer, GSEA = gene set enrichment analysis, ISO-seq = PacBio long read ISO-sequencing, ORF = open reading frame, RNA-seq = RNA sequencing, seqs = sequences.

**Table C.1. Quality and completeness measures of the transcriptome assembly.** The PacBio Sequel II produced a total of 138.6 million subreads which were used to create the *de novo* transcriptome assembly. Before redundancy removal = ISO-seq data processed with the isoseq3 pipeline. After redundancy removal = ISO-seq data processed with the isoseq3 pipeline followed by redundancy removal with CD-HIT-EST. Final transcriptome assembly = ISO-seq data processed with the isoseq3 pipeline, followed by redundancy removal with CD-HIT-EST and only the transcripts containing an ORF, as identified by TransDecoder, were retained. bp = base pairs.

		Before redundancy removal	After redundancy removal	Final transcriptome assembly
Transrate	No. contigs	229334	85481	49981
	Smallest contig length	51	51	299
	Largest contig length	10554	10554	10554
	No. bases	546681269	217542313	142604702
	Mean contig length	2383.47	2544.65	2853.18
	No. contigs <200 bp	520	169	0
	No. contigs >1,000 bp	218222	81717	48921
	No. contigs >10,000 bp	5	5	5
	No. contigs containing an ORF	128721	43780	43487
	Mean % of the contig covered by the ORF	41.86	34.87	47.9
	N90	1538	1623	1855
	N70	2126	2278	2562
	N50	2657	2870	3163
	N30	3283	3549	3856
	N10	4379	4716	5029
	Proportion bases G or C	0.35	0.34	0.37
	No. bases N	0	0	0
	Proportion bases N	0	0	0
BUSCO	Complete BUSCOs (C)	3805	3781	3766
		71.86%	71.40%	70.40%
	Complete and single-copy BUSCOs (S)	821	1391	1392
		15.51%	26.30%	26.20%
	Complete and duplicated BUSCOs (D)	2984	2390	2374
		56.36%	45.10%	44.20%
	Fragmented BUSCOs (F)	51	49	50

Table C.1 continued.

		Before redundancy removal	After redundancy removal	Final transcriptome assembly
		0.96%	0.90%	1.10%
	Missing BUSCOs (M)	1439	1465	1479
		27.18%	27.70%	28.50%
	Total BUSCO groups searched	5295	5295	5295
Bowtie2 mapping:	Mean	91.85%	91.85%	82.10%
Overall alignment	Minimum	86.63%	86.67%	72.93%
_	Maximum	95.04%	95.07%	89.42%
	Mean (CNS samples)	89.37%	89.38%	76.88%
	Mean (eyes samples)	94.32%	94.32%	87.33%
Bowtie2 mapping:	Mean	87.73%	87.53%	77.12%
Total concordant alignment	Minimum	81.85%	80.92%	66.54%
	Maximum	91.95%	91.74%	85.84%
	Mean (CNS samples)	84.70%	84.32%	71.03%
	Mean (eyes samples)	90.76%	90.74%	83.21%
Blastx to nr database	No. proteins any hit	150375	52367	46515
subset for mollusca	% of transcriptome with any hit	65.57	61.26	93.07

Table C.2. Annotation measures of the transcriptome assembly.

		Final transcriptome assembly
Blastx to nr database	No. transcripts $\geq 1$ blast hit % transcriptome $\geq 1$ blast hit	46,311 93
BLAST2GO mapping	No. transcripts succesful GO mapping % transcripts succesful GO mapping	13,221 73
<b>Complete Annotation</b>	No. transcripts with complete annotation % transcripts with complete annotation	34,347 69

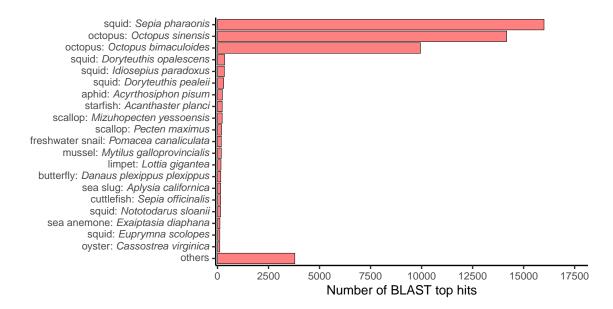


Figure C.3. Species distribution for the top blast hits of the annotated transcriptome assembly. The top 29 species are shown. Others = the remaining 936 species grouped together.

**Table C.3. RNA-sequencing information for each sample.** CNS = central nervous system, eyes = both eyes combined from the same individual, % mapping rate is mapping of the trimmed and decontaminated RNA-seq reads against the final transcriptome assembly, SD = standard deviation.

Sample ID	CO <sub>2</sub> Treatment	No. raw reads	No. reads after trimming and decontamination	% Mapping rate
DC506 CNC				
PS506_CNS	Current-day	102139439	83323155	66.68%
PS506_eyes	Current-day	129110309	105557471	80.19%
PS508_CNS	Current-day	110779545	90371514	65.78%
PS508_eyes	Current-day	121705839	100798440	81.51%
PS509_CNS	Elevated	106147892	80132801	66.41%
PS509_eyes	Elevated	92031181	74985091	80.86%
PS510_CNS	Elevated	87217004	71030689	65.55%
PS510_eyes	Elevated	93132849	76180212	82.64%
PS513_CNS	Current-day	96318278	77505546	62.75%
PS513_eyes	Current-day	120073036	88786117	80.61%
PS514_CNS	Current-day	100874118	79625419	63.86%
PS514_eyes	Current-day	105947686	80633114	79.24%
PS518_CNS	Current-day	93632224	77006330	63.67%
PS518_eyes	Current-day	125427413	103231592	80.42%
PS522_CNS	Elevated	125785668	101021105	61.51%
PS522_eyes	Elevated	102772897	82672385	82.93%
PS527_CNS	Elevated	95046094	78238706	63.62%
PS527_eyes	Elevated	100839899	81990702	78.53%
PS528_CNS	Elevated	100739627	83947119	71.27%
PS528_eyes	Elevated	123262918	103640293	81.33%
PS529 CNS	Current-day	91326387	76678162	65.06%
PS529 eyes	Current-day	119554860	100130525	78.77%
PS534 CNS	Current-day	95139757	76615235	67.31%
PS534 eyes	Current-day	117198920	97221144	80.02%
PS539 CNS	Elevated	101306635	70136432	68.26%
PS539 eyes	Elevated	95044179	79247609	80.00%
PS547 CNS	Elevated	95437419	73571670	70.61%
PS547 eyes	Elevated	144223366	118214271	78.54%
PS551 CNS	Current-day	103427279	84367088	69.67%
PS551 eyes	Current-day	116654316	95952400	79.16%
PS557 CNS	Current-day	92862187	73022082	67.71%
PS557 eyes	Current-day	102809092	85449238	78.58%
PS561_CNS	Current-day	106613800	85482402	68.82%
PS561 eyes	Current-day	89919652	74277911	81.86%
PS584 CNS	Elevated	201511022	164079389	70.56%
PS584 eyes	Elevated	104998922	85722187	80.40%
PS626 CNS	Elevated	110020976	88819227	69.51%
PS626 eyes	Elevated	87134097	68250602	78.86%
PS636 CNS	Elevated	93625133	77083466	73.16%
PS636 eyes	Elevated	129384378	102326531	77.28%
1 2020_cycs	Licvated			
Mean		108279407	87433134	73.59%
SD		20100481	16838289	6.93%
Mean CNS		105497524	84602877	67.09%
SD CNS		23596334	19577787	3.07%
Mean eyes		111061290	90263392	80.09%

## Table C.3 continued.

Sample ID	CO <sub>2</sub> Treatment	No. raw reads	No. reads after trimming and decontamination	% Mapping rate
SD eyes		15355582	12951661	1.45%

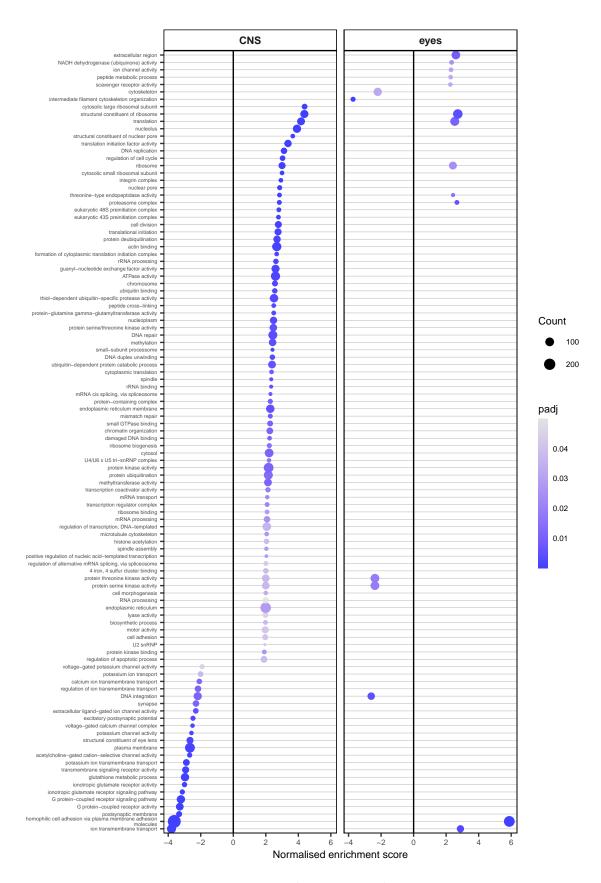


Figure C.4. (see next page)

Figure C.4 (previous page). Dotplot showing the results from gene set enrichment analysis (GSEA) using GO terms/functional categories in the CNS and eyes CNS = central nervous system, padj = adjusted p-value, count = number of core enrichment genes in the GO term/functional category.

Table C.4. All core enrichment genes from the cluster of GO terms/functional categories related to ion channels that were found significant with gene set enrichment analysis in both the CNS and eyes. The ion channel cluster includes the GO terms 'ionotropic glutamate receptor signaling pathway', 'ionotropic glutamate receptor activity', 'postsynaptic membrane', 'excitatory postsynaptic potential', 'ion transmembrane transport', 'acetylcholinegated cation-selective channel activity', 'transmembrane signaling receptor activity', 'extracellular ligand-gated ion channel activity', 'synapse', and 'ion channel activity'. Gene = gene ID in the transcriptome, annotation = annotation of the corresponding gene, ordered alphabetically.

Gene	Annotation
5283.7784	-
3318	-
5283.946	-
5661.1	acetylcholine receptor subunit alpha-like 1 isoform X1
5661	acetylcholine receptor subunit alpha-like isoform X2
5283.4488	acetylcholine receptor subunit beta-like 1 isoform X2
5283.4489	acetylcholine receptor subunit beta-like 1 isoform X2
5283.7592	cyclic nucleotide-gated cation channel alpha-3
5283.7592	cyclic nucleotide-gated cation channel alpha-3-like
6610	cyclic nucleotide-gated cation channel beta-3-like isoform X1
6610.2	cyclic nucleotide-gated cation channel beta-3-like isoform X2
6610	cyclic nucleotide-gated cation channel beta-3-like isoform X7
5283.9458	cyclic nucleotide-gated olfactory channel-like
5283.946	cyclic nucleotide-gated olfactory channel-like
7253.3	Cys-loop ligand-gated ion channel
7253.4	cys-loop ligand-gated ion channel-like isoform X2
7253	cys-loop ligand-gated ion channel-like isoform X2
7253.3	cys-loop ligand-gated ion channel-like isoform X2
7253.6	cys-loop ligand-gated ion channel-like isoform X3
5283.9038	gamma-aminobutyric acid receptor alpha-like
3318	gamma-aminobutyric acid receptor alpha-like
5283.8285	glutamate receptor 1-like
5283.8285	glutamate receptor 4
7335	glutamate receptor ionotropic, kainate 2-like isoform X1
7335.3	glutamate receptor ionotropic, kainate 2-like isoform X1
2901	glutamate receptor ionotropic, kainate 2-like isoform X1
6740	glutamate receptor ionotropic, kainate 2-like isoform X1
6740.2	glutamate receptor ionotropic, kainate 2-like isoform X1
7335.6	glutamate receptor ionotropic, kainate 2-like isoform X1
7335.1	glutamate receptor ionotropic, kainate 2-like isoform X1
6740.3	glutamate receptor ionotropic, kainate 2 isoform X1
1742	glutamate receptor ionotropic, NMDA 2B-like
4067	glutamate receptor ionotropic, NMDA 3A-like
3215.2	glutamate receptor ionotropic, NMDA 3A-like isoform X2
2499	glycine receptor subunit alphaZ1-like
5283.8285	GRIA4 protein
5283.2592	HCN channel protein
5283.2593	HCN channel protein
7253.3	Hypothetical predicted protein
5283.5377	neuronal acetylcholine receptor subunit alpha-10-like
6426.1	neuronal acetylcholine receptor subunit alpha-10-like

Table C.4 continued.

Gene	Annotation
6426.7	neuronal acetylcholine receptor subunit alpha-10-like
5283.7784	neuronal acetylcholine receptor subunit alpha-10-like
6426.1	neuronal acetylcholine receptor subunit alpha-10-like
3136	neuronal acetylcholine receptor subunit alpha-10-like
6426.6	neuronal acetylcholine receptor subunit alpha-10-like
6426.5	neuronal acetylcholine receptor subunit alpha-10-like
5283.10464	neuronal acetylcholine receptor subunit alpha-10-like
5283.2323	neuronal acetylcholine receptor subunit alpha-10-like isoform X3
5283.8846	neuronal acetylcholine receptor subunit alpha-3-like
5283.8847	neuronal acetylcholine receptor subunit alpha-3-like
878	neuronal acetylcholine receptor subunit alpha-5-like
5283.10467	neuronal acetylcholine receptor subunit alpha-6-like
4605.3	piezo-type mechanosensitive ion channel component 1-like isoform X1
5283.2593	potassium/sodium hyperpolarization-activated cyclic nucleotide-gated channel 2-like
5283.2593	potassium/sodium hyperpolarization-activated cyclic nucleotide-gated channel 3-like isoform X2
5283.2593	potassium/sodium hyperpolarization-activated cyclic nucleotide-gated channel 3-like isoform X4
6010.2	sideroflexin-1
5283.2593	voltage-activated ion channel, putative

Table C.5. All core enrichment genes from the cluster of GO terms/functional categories related to ion transport that were found significant with gene set enrichment analysis in the CNS (no ion transport GO terms found significant in the eyes). The ion transport cluster includes the GO terms 'potassium channel activity', 'potassium ion transmembrane transport', 'voltage-gated potassium channel activity', 'regulation of ion transmembrane transport', 'voltage-gated calcium channel complex', and 'calcium ion transmembrane transport'. Gene = gene ID in the transcriptome, annotation = annotation of the corresponding gene, ordered alphabetically.

Gene	Annotation
6427.3	-
5283.946	-
6093.6	ATP-binding cassette sub-family C member 9-like isoform X1
6093.4	ATP-binding cassette sub-family C member 9-like isoform X1
6093.4	ATP-binding cassette sub-family C member 9-like isoform X2
6093.4	ATP-binding cassette sub-family C member 9-like isoform X3
6093.1	ATP-binding cassette sub-family C member 9 isoform X1
6093	ATP-binding cassette sub-family C member 9 isoform X1
5283.394	CACNA1G
5283.7244	CACNA1G
1506	calcium-activated potassium channel slowpoke isoform X15
1506.1	calcium-activated potassium channel subunit alpha-1 isoform X10
1506.1	calcium-activated potassium channel subunit alpha-1 isoform X8
1506	calcium-activated potassium channel subunit alpha-1 isoform X8
7412	calcium channel beta subunit
5283.10202	calcium uniporter protein, mitochondrial-like
5283.7592	cyclic nucleotide-gated cation channel alpha-3
5283.7592	cyclic nucleotide-gated cation channel alpha-3-like
5283.946	cyclic nucleotide-gated olfactory channel-like
1329	G protein-activated inward rectifier potassium channel 2-like
5187.3	G protein-activated inward rectifier potassium channel 3-like
5283.7191	G protein-activated inward rectifier potassium channel 3-like
5283.7192	G protein-activated inward rectifier potassium channel 3-like
5187.7	G protein-activated inward rectifier potassium channel 3-like
5283.2593	HCN channel protein
5496.1	muscle calcium channel subunit alpha-1-like isoform X1
5496	muscle calcium channel subunit alpha-1-like isoform X3
1933	muscle calcium channel subunit alpha-1-like isoform X4
5283.11306	Na+/K+ ATPase beta subunit
206	neuronal calcium sensor 1
7325.1	plasma membrane calcium-transporting ATPase 2-like isoform X1
7325.6	plasma membrane calcium-transporting ATPase 2-like isoform X1
7325.1	plasma membrane calcium-transporting ATPase 2 isoform X1
7325.5	plasma membrane calcium-transporting ATPase 2 isoform X1
7325.2	plasma membrane calcium-transporting ATPase 2 isoform X1
7107	potassium channel Kv1
5283.986	potassium channel subfamily K member 1-like
5283.4027	potassium channel subfamily K member 4-like
5283.1287	potassium channel subfamily T member 2-like isoform X1
5283.1286	potassium channel subfamily T member 2-like isoform X1
5283.5242	potassium channel subfamily T member 2-like isoform X1
5283.1287	potassium channel subfamily T member 2-like isoform X2

Table C.5 continued.

Table C.5 continue	
Gene	Annotation
5283.524	potassium channel subfamily T member 2-like isoform X2
5283.5241	potassium channel subfamily T member 2-like isoform X2
5283.5239	potassium channel subfamily T member 2-like isoform X3
5283.5241	potassium channel subfamily T member 2-like isoform X5
7032	potassium intermediate/small conductance calcium-activated channel subfamily N member 3
7032.1	potassium intermediate/small conductance calcium-activated channel subfamily N member 3
7109.1	potassium voltage-gated channel protein Shab-like
5283.2059	potassium voltage-gated channel protein Shaker-like
5283.2058	potassium voltage-gated channel protein Shaker-like
5283.206	potassium voltage-gated channel protein Shaker-like
5283.2159	potassium voltage-gated channel protein Shal-like
5283.2158	potassium voltage-gated channel protein Shal-like
5283.216	potassium voltage-gated channel protein Shal-like isoform X1
5283.2157	potassium voltage-gated channel protein Shal-like isoform X1
7066.1	potassium voltage-gated channel protein Shaw-like
7066	potassium voltage-gated channel protein Shaw-like
6752.2	potassium voltage-gated channel protein Shaw-like isoform X1
6752	potassium voltage-gated channel protein Shaw-like isoform X1
6752.1	potassium voltage-gated channel protein Shaw-like isoform X1
995	potassium voltage-gated channel subfamily A member 1-like
7109	potassium voltage-gated channel subfamily B member 2 isoform X6
7109.1	potassium voltage-gated channel subfamily B member 2 isoform X6
5283.1038	potassium voltage-gated channel subfamily H member 4
5283.1038	potassium voltage-gated channel subfamily H member 4 isoform X1
1571	potassium voltage-gated channel subfamily H member 6 isoform X1
1768	potassium voltage-gated channel subfamily H member 7-like isoform X1
5283.1038	potassium voltage-gated channel subfamily H member 8-like
3824	potassium voltage-gated channel subfamily KQT member 1-like isoform X1
3824.1	potassium voltage-gated channel subfamily KQT member 1-like isoform X1
5283.2593	potassium/sodium hyperpolarization-activated cyclic nucleotide-gated channel 2-like
5283.2593	potassium/sodium hyperpolarization-activated cyclic nucleotide-gated channel 3-like isoform X2
5283.2593	potassium/sodium hyperpolarization-activated cyclic nucleotide-gated channel 3-like isoform X4
7109.1	probable serine/threonine-protein kinase DDB G0282963
5283.11306	probable sodium/potassium-transporting ATPase subunit beta-3
6905	putative Na+/K+-ATPase alpha subunit
5283.4923	riboflavin-binding protein-like
5283.324	ryanodine receptor-like isoform X11
5283.324	ryanodine receptor-like isoform X12
5283.324	ryanodine receptor-like isoform X15
5283.324	ryanodine receptor-like isoform X17
5283.324	ryanodine receptor-like isoform X2
5283.324	ryanodine receptor-like isoform X7
5283.324	ryanodine receptor 2
5283.324	ryanodine receptor 2-like isoform X10
1483	short transient receptor potential channel 3-like
1418	short transient receptor potential channel 3-like isoform X1
7032.1	small conductance calcium-activated potassium channel protein-like
7032.1	small conductance calcium-activated potassium channel protein 2 isoform X1

Table C.5 continued.

Gene	Annotation
7032.1	small conductance calcium-activated potassium channel protein 2 isoform X1
7381.7	sodium channel
5283.2924	sodium channel protein 1 brain
5283.2924	sodium channel protein 1 brain-like
5283.2922	sodium channel protein 1 brain-like
5283.2924	sodium channel protein 1 brain-like isoform X1
5283.2924	sodium channel protein 1 brain isoform X1
5283.2924	sodium channel protein para isoform X2
5283.2922	sodium channel protein para isoform X2
7381.6	sodium channel protein type 4 subunit alpha A-like isoform X1
5283.2924	sodium channel protein type 4 subunit alpha B-like
7381.2	sodium channel protein type 4 subunit alpha B-like isoform X1
7381.3	sodium channel protein type 4 subunit alpha B-like isoform X1
7381.1	sodium channel protein type 4 subunit alpha B-like isoform X1
7381.5	sodium channel protein type 4 subunit alpha B-like isoform X1
7381.4	sodium channel protein type 4 subunit alpha B-like isoform X1
5283.9598	sodium/potassium-transporting ATPase subunit beta-like
5283.9595	sodium/potassium-transporting ATPase subunit beta-like
5283.9596	sodium/potassium-transporting ATPase subunit beta-like
5283.96	sodium/potassium-transporting ATPase subunit beta-like
4619	sodium/potassium-transporting ATPase subunit beta-like
5283.9599	sodium/potassium-transporting ATPase subunit beta-like
4619.1	sodium/potassium-transporting ATPase subunit beta-like
5283.9594	sodium/potassium-transporting ATPase subunit beta-like
5283.9214	sodium/potassium/calcium exchanger 2-like isoform X2
7433.2	sodium/potassium/calcium exchanger 4-like
7433.2	sodium/potassium/calcium exchanger 4-like
7433.3	sodium/potassium/calcium exchanger 4-like
7433.1	sodium/potassium/calcium exchanger 4-like
5283.9214	sodium/potassium/calcium exchanger Nekx30C
5283.9214	sodium/potassium/calcium exchanger Nekx30C-like
5283.9214	sodium/potassium/calcium exchanger Nckx30C-like
6396.2	solute carrier family 12 member 4-like isoform X1
6396.3	solute carrier family 12 member 4-like isoform X1
	·
6396.1	solute carrier family 12 member 4-like isoform X2
6396.9	solute carrier family 12 member 4-like isoform X2
3790	transient-receptor-potential-like protein
3790	transient-receptor-potential-like protein isoform X1
3790.1	transient-receptor-potential-like protein isoform X1
5283.3687	transient receptor potential-gamma protein-like
5811.9	transient receptor potential cation channel subfamily M member-like 2 isoform X1
6627	trimeric intracellular cation channel type 1B.1-like
7122.2	TWIK family of potassium channels protein 18-like
7122.1	TWiK family of potassium channels protein 18-like
7122	TWiK family of potassium channels protein 18-like
6244	two pore potassium channel protein sup-9-like
5283.2593	voltage-activated ion channel, putative
6427.3	voltage-dependent calcium channel
6427.2	voltage-dependent calcium channel
1684.1	voltage-dependent calcium channel
6427.3	voltage-dependent calcium channel type A subunit alpha-1-like
6427	voltage-dependent calcium channel type A subunit alpha-1-like

Table C.5 continued.

Gene	Annotation	
6427.3	voltage-dependent calcium channel type A subunit alpha-1-like isoform X6	
1684	voltage-dependent calcium channel type A subunit alpha-1 isoform X2	
5283.3939	voltage-dependent T-type calcium channel subunit alpha-1G-like	
5283.394	voltage-dependent T-type calcium channel subunit alpha-1G-like isoform X2	
5283.7246	voltage-dependent T-type calcium channel subunit alpha-1G-like isoform X2	
5283.7243	voltage-dependent T-type calcium channel subunit alpha-1H-like	
5283.7245	voltage-dependent T-type calcium channel subunit alpha-1H-like	
5283.7242	voltage-dependent T-type calcium channel subunit alpha-1I-like	
5283.7244	voltage-dependent T-type calcium channel subunit alpha-1I-like	
5283.10568	voltage-gated potassium channel subunit beta-2-like isoform X1	
5283.10569	voltage-gated potassium channel subunit beta-2-like isoform X1	
7381.5	voltage-gated sodium channel invertebrate type 1	

Table C.6. All core enrichment genes from the cluster of GO terms/functional categories related to GPCR (G-protein coupled receptors) that were found significant with gene set enrichment analysis in the CNS (no GPCR GO terms found significant in the eyes). The GPCR cluster includes the GO terms 'G protein-coupled receptor activity' and 'G protein-coupled receptor signaling pathway'. Gene = gene ID in the transcriptome, annotation = annotation of the corresponding gene, ordered alphabetically.

Gene	Annotation
5283.11228	-
6174	-
5283.7636	-
5283.406	-
3985	5-hydroxytryptamine receptor-like
396	5-hydroxytryptamine receptor 1D-like
5283.11786	5-hydroxytryptamine receptor 2C-like
5283.11785	5-hydroxytryptamine receptor 2C-like
5283.1508	5-hydroxytryptamine receptor 4-like
5283.9162	adhesion G-protein coupled receptor D1-like
5283.9162	adhesion G-protein coupled receptor D2-like
252.1	adhesion G protein-coupled receptor A3-like
6594	adhesion G protein-coupled receptor L2-like isoform X1
6594	adhesion G protein-coupled receptor L2 isoform X1
2554	allatostatin-A receptor
1650	alpha-2A adrenergic receptor
5283.10427	cadherin EGF LAG seven-pass G-type receptor 1-like
5283.1043	cadherin EGF LAG seven-pass G-type receptor 1-like
5283.10428	cadherin EGF LAG seven-pass G-type receptor 1-like
5596.4	cadherin EGF LAG seven-pass G-type receptor 1 isoform X3
5596.1	cadherin EGF LAG seven-pass G-type receptor 1 isoform X3
5596.3	cadherin EGF LAG seven-pass G-type receptor 2 isoform X1
5596.2	cadherin EGF LAG seven-pass G-type receptor 2 isoform X5
5283.11833	calcitonin gene-related peptide type 1 receptor-like isoform X1
5283.2398	cAMP-dependent protein kinase catalytic subunit beta
5283.2398	cAMP-dependent protein kinase catalytic subunit isoform X5
5283.2397	cAMP-dependent protein kinase catalytic subunit isoform X5
5283.903	cardioacceleratory peptide receptor-like isoform X1
5283.5948	catalytic subunit of protein kinase A
2171	cholecystokinin receptor type A-like
3084	cholecystokinin receptor type A-like
5283.8548	corticotropin-releasing factor receptor 2-like
6072	CX3C chemokine receptor 1 isoform X1
5283.11354	dopamine receptor 1-like
5283.11353	dopamine receptor 1-like
3912.1	dopamine receptor 1-like
3716	FMRFamide receptor-like
1567	FMRFamide receptor-like
3871	FMRFamide receptor-like
5415.1	FMRFamide receptor-like
6899.1	frizzled-9
6899.1	frizzled-9-like
6899.1	Frizzled 10A
6899	Frizzled 10A
6899.1	frizzled 9/10

Table C.6 continued.

Gene	Annotation
5283.9573	G-protein coupled receptor 143-like
5283.9574	G-protein coupled receptor 143-like
1827	G-protein coupled receptor 161-like
4382	G-protein coupled receptor Mth-like
5283.11232	GABR1 protein
5283.11232	gamma-aminobutyric acid type B receptor subunit 1-like
5283.11229	gamma-aminobutyric acid type B receptor subunit 1-like
5283.8308	Gamma-aminobutyric acid type B receptor subunit 2
5283.10141	gonadotropin-releasing hormone receptor
5283.2535	growth hormone secretagogue receptor type 1-like
5283.732	guanine nucleotide-binding protein subunit beta-5
5283.2425	Hypothetical predicted protein
5283.4222	leucine-rich repeat-containing G-protein coupled receptor 5-like
5283.4293	leucine-rich repeat-containing G-protein coupled receptor 5-like
5283.4294	leucine-rich repeat-containing G-protein coupled receptor 5-like
5283.10762	lissencephaly-1 homolog
5283.10762	lissencephaly-1 homolog B
5283.4221	lutropin-choriogonadotropic hormone receptor isoform X3
5283.11295	melatonin receptor type 1A-like
5283.11297	melatonin receptor type 1A-like
5283.7577	metabotropic glutamate receptor 1-like
5283.757	metabotropic glutamate receptor 1-like
5283.7573	metabotropic glutamate receptor 1-like
5283.7569	metabotropic glutamate receptor 1-like
5283.7574	metabotropic glutamate receptor 1-like
1651	metabotropic glutamate receptor 3
5283.7578	metabotropic glutamate receptor 5-like
5283.7575	metabotropic glutamate receptor 5-like
6075	neuropeptide FF receptor 1-like
6075.1	neuropeptide FF receptor 1-like
1357	octopamine receptor Oamb-like
1357.1	octopamine receptor Oamb-like
2184.1	octopamine receptor Oamb isoform X1
2664	orexin receptor type 2-like
5283.9529	orexin receptor type 2-like
3932.1	parathyroid hormone/parathyroid hormone-related peptide receptor isoform X3
6072	PREDICTED: uncharacterized protein LOC106880461
4782	prolactin-releasing peptide receptor-like
5283.2398	protein kinase A
5283.2397	protein kinase A
1909.1	pyrokinin-1 receptor-like
5283.1602	regulator of G-protein signaling 4-like
5283.1599	regulator of G-protein signaling 4-like
5283.1146	retinochrome
5283.8098	retinochrome
5283.1143	retinochrome
5283.1145	retinochrome
5283.1142	retinochrome
5283.7855	rhodopsin
5283.7636	S-antigen protein-like
3932	secretin receptor-like isoform X1
6876.2	somatostatin receptor type 2-like

## Table C.6 continued.

Gene	Annotation
5629	somatostatin receptor type 3-like
5984	thyrotropin-releasing hormone receptor-like
5629	thyrotropin-releasing hormone receptor-like
5283.1199	type-1 angiotensin II receptor isoform X1
5283.1602	unnamed protein product

## **Appendix D**

## **Chapter 5 Appendices**

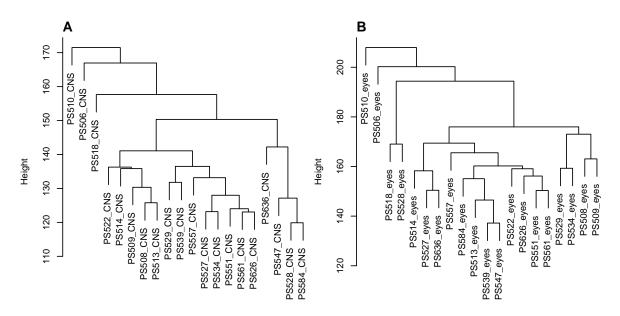


Figure D.1. Sample dendrograms created using hierarchical clustering with the 'average' method was used to detect sample outliers. Three and two outliers were detected in the A CNS and B eyes, respectively. PS510\_CNS, PS506\_CNS, PS518\_CNS, PS510\_eyes and PS506 eyes were removed as they were sample outliers.

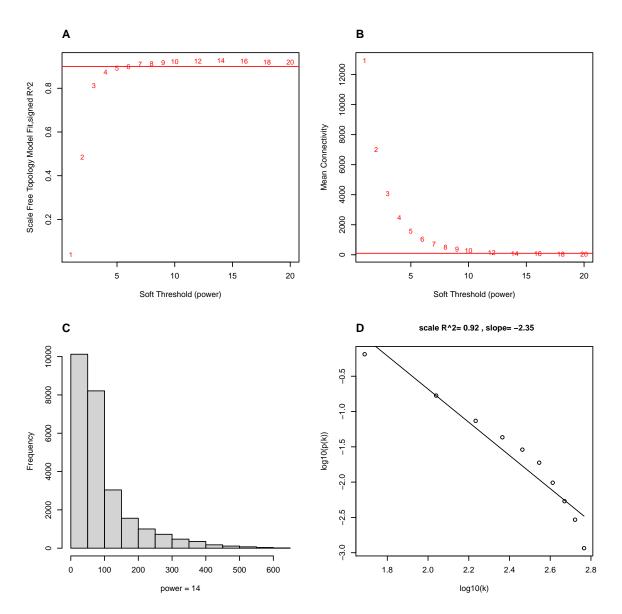


Figure D.2. Choosing and checking the soft threshold power for network construction using the CNS samples. A Scale-free topology fit index as a function of the soft-threshold power shows the network reaches approximately a scale free topology ( $R^2 > 0.9$ ) when the soft threshold power is 7, however B mean connectivity as a function of the soft threshold power shows mean connectivity remains high and mean connectivity only drops below 100 at a soft threshold power of 14. C and D were used to check the chosen soft threshold power of 14 approximates a scale free topology.

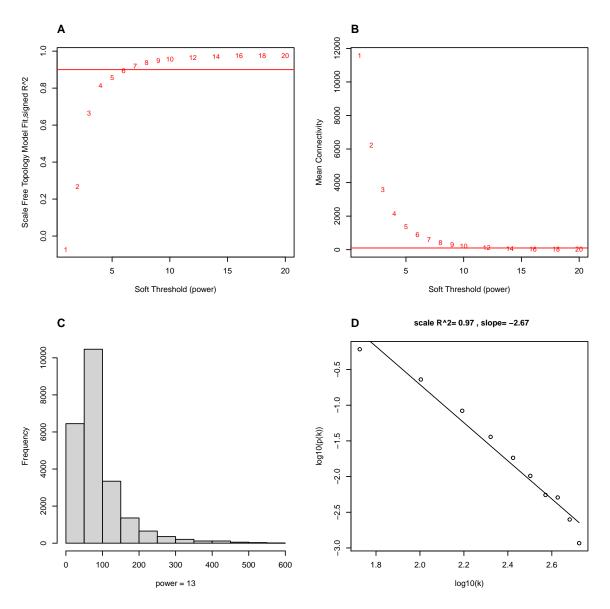


Figure D.3. Choosing and checking the soft threshold power for network construction using the eyes samples. A Scale-free topology fit index as a function of the soft-threshold power shows the network reaches approximately a scale free topology ( $R^2 > 0.9$ ) when the soft threshold power is 7, however B mean connectivity as a function of the soft threshold power shows mean connectivity remains high and mean connectivity only drops below 100 at a soft threshold power of 13. C and D were used to check the chosen soft threshold power of 13 approximates a scale free topology.

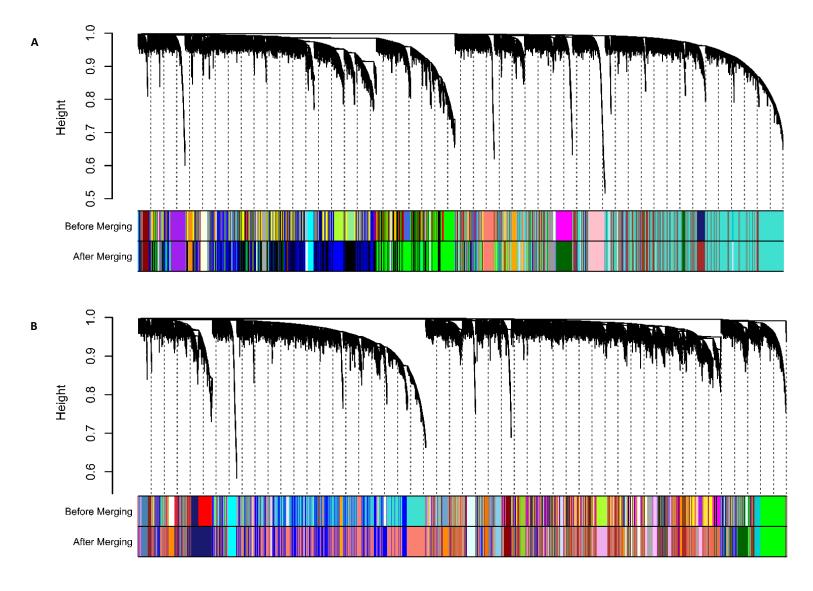


Figure D.4. Cluster dendrogram with co-expression network modules before and after merging modules. (see next page)

**Figure D.4** (*previous page*). In the A CNS and B eyes. Each line in the cluster dendrogram is a gene and each module is represented by a different colour.

**Table D.1. Modules detected in the CNS.** Twenty seven modules were detected in the CNS. The modules were each labelled with a unique colour, and the number of genes within each module are shown.

Module	Number of genes	
turquoise	5654	
black	3917	
blue	3346	
green	3099	
brown	2839	
darkgreen	896	
orange	738	
pink	728	
purple	597	
grey60	508	
lightcyan	500	
cyan	475	
salmon	423	
lightsteelblue1	395	
lightyellow	266	
darkred	249	
darkgrey	211	
darkorange	177	
saddlebrown	151	
steelblue	139	
paleturquoise	128	
darkolivegreen	108	
skyblue3	85	
plum1	73	
orangered4	70	
mediumpurple3	62	
lightcyan1	50	

**Table D.2. Modules detected in the eyes.** Thirty eight modules were detected in the eyes. The modules were each labelled with a unique colour, and the number of genes within each module are shown.

Module	Number of genes	
salmon	4278	
blue	3442	
coral2	1916	
brown	1470	
midnightblue	1248	
darkolivegreen	1155	
darkgrey	1078	
green	1017	
plum1	929	
darkorange	688	
darkgreen	682	
plum2	632	
sienna3	540	
darkmagenta	448	
cyan	327	
darkred	304	
lightcyan	301	
darkturquoise	236	
orange	214	
skyblue	202	
steelblue	196	
paleturquoise	188	
violet	186	
yellowgreen	173	
orangered4	151	
lightsteelblue1	127	
floralwhite	119	
darkorange2	116	
brown4	112	
bisque4	108	
thistle2	94	
palevioletred3	90	
navajowhite2	81	
maroon	78	
lavenderblush3	63	
honeydew1	60	
darkseagreen4	55	
antiquewhite4	52	

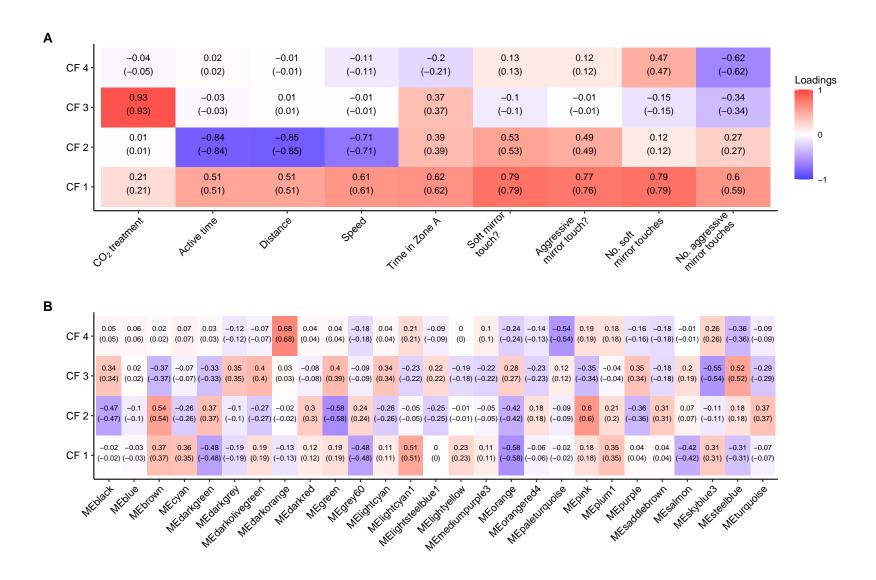


Figure D.5. Canonical loadings and cross loadings for the CNS samples. (see next page)

**Figure D.5** (*previous page*). Canonical loadings and cross loadings for each canonical function (CF) of each variable in the **A** traits set and **B** module eigengenes (MEs) set, in the CNS. Canonical loadings are shown above canonical cross-loadings, which are in brackets. Colouration depicts canonical loadings. Soft mirror touch? = whether any soft mirror touches occurred (yes/no), Aggressive mirror touch? = whether any aggressive mirror touches occurred (yes/no).

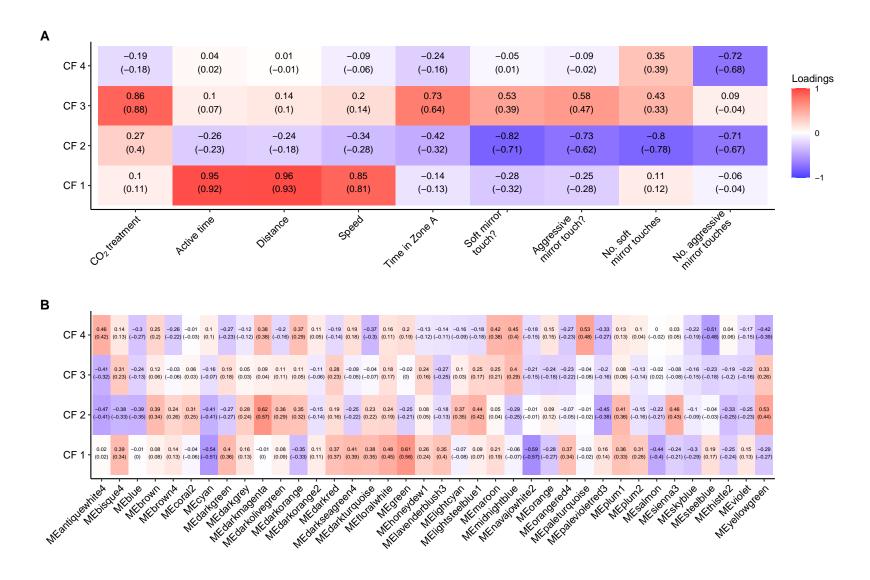


Figure D.6. Canonical loadings and cross loadings for the eyes samples. (see next page)

**Figure D.6** (*previous page*). Canonical loadings and cross loadings for each canonical function (CF) of each variable in the **A** traits set and **B** module eigengenes (MEs) set, in the eyes. Canonical loadings are shown above canonical cross-loadings, which are in brackets. Colouration depicts canonical loadings. Soft mirror touch? = whether any soft mirror touches occurred (yes/no), Aggressive mirror touch? = whether any aggressive mirror touches occurred (yes/no).

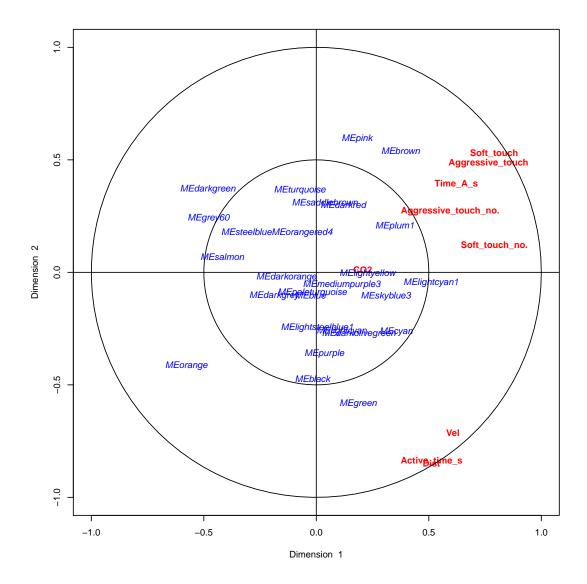


Figure D.7. Canonical correlation analysis biplot depicting where the module eigengenes (MEs) lie in space in relation to the traits for the CNS, for canonical functions (dimensions) 1 and 2. Variables from the MEs set and the traits set are in blue and red, respectively. The inner ring is set at a radius of 0.5. CO2 = CO2 treatment, Active\_time\_s = time spent active (s), Dist = total distance moved (cm), Vel = average speed (cm/s), Time\_-A\_s = time spent in Zone A (3 cm closes to mirror) (s), Soft\_touch\_no. = number of soft mirror touches, Soft\_touch = whether any soft mirror touches occurred (yes/no), Aggressive\_touch\_no. = number of aggressive mirror touches = Aggressive\_touch = whether any aggressive mirror touches occurred (yes/no), ME = module eigengene.

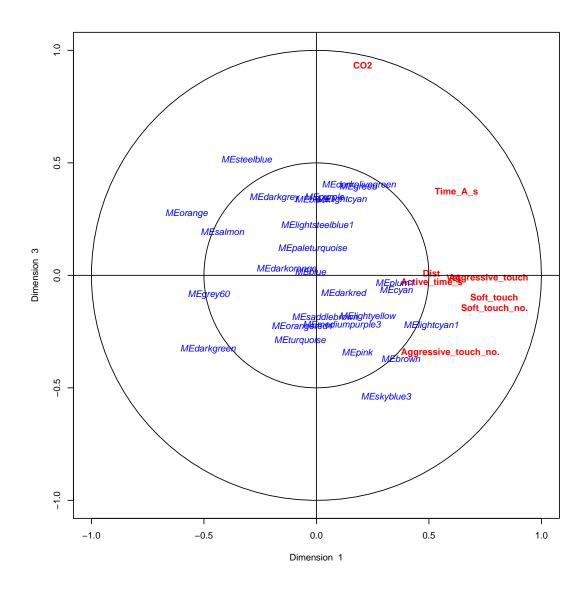


Figure D.8. Canonical correlation analysis biplot depicting where the module eigengenes (MEs) lie in space in relation to the traits for the CNS, for canonical functions (dimensions) 1 and 3. Variables from the MEs set and the traits set are in blue and red, respectively. The inner ring is set at a radius of 0.5. CO2 = CO2 treatment, Active\_time\_s = time spent active (s), Dist = total distance moved (cm), Vel = average speed (cm/s), Time\_-A\_s = time spent in Zone A (3 cm closes to mirror) (s), Soft\_touch\_no. = number of soft mirror touches, Soft\_touch = whether any soft mirror touches occurred (yes/no), Aggressive\_touch\_no. = number of aggressive mirror touches = Aggressive\_touch = whether any aggressive mirror touches occurred (yes/no), ME = module eigengene.

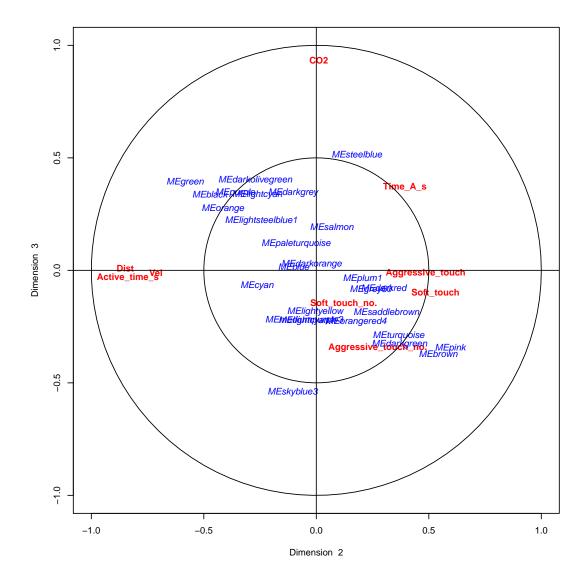


Figure D.9. Canonical correlation analysis biplot depicting where the module eigengenes (MEs) lie in space in relation to the traits for the CNS, for canonical functions (dimensions) 2 and 3. Variables from the MEs set and the traits set are in blue and red, respectively. The inner ring is set at a radius of 0.5. CO2 = CO2 treatment, Active\_time\_s = time spent active (s), Dist = total distance moved (cm), Vel = average speed (cm/s), Time\_-A\_s = time spent in Zone A (3 cm closes to mirror) (s), Soft\_touch\_no. = number of soft mirror touches, Soft\_touch = whether any soft mirror touches occurred (yes/no), Aggressive\_touch\_no. = number of aggressive mirror touches = Aggressive\_touch = whether any aggressive mirror touches occurred (yes/no), ME = module eigengene.

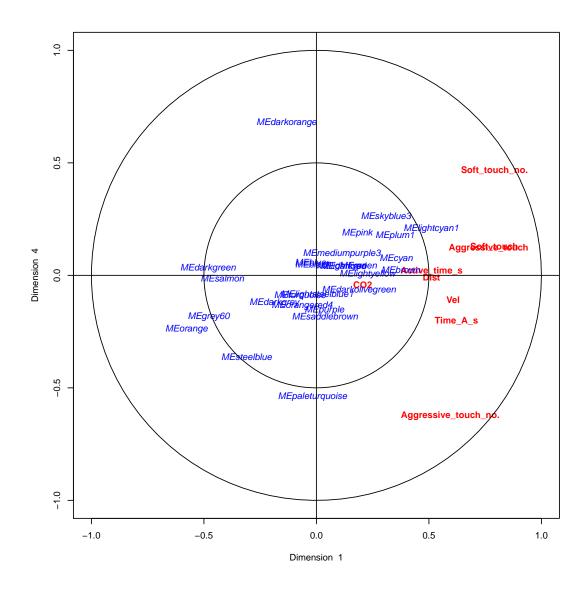


Figure D.10. Canonical correlation analysis biplot depicting where the module eigengenes (MEs) lie in space in relation to the traits for the CNS, for canonical functions (dimensions) 1 and 4. Variables from the MEs set and the traits set are in blue and red, respectively. The inner ring is set at a radius of 0.5. CO2 = CO2 treatment, Active\_time\_s = time spent active (s), Dist = total distance moved (cm), Vel = average speed (cm/s), Time\_-A\_s = time spent in Zone A (3 cm closes to mirror) (s), Soft\_touch\_no. = number of soft mirror touches, Soft\_touch = whether any soft mirror touches occurred (yes/no), Aggressive\_touch\_no. = number of aggressive mirror touches = Aggressive\_touch = whether any aggressive mirror touches occurred (yes/no), ME = module eigengene.

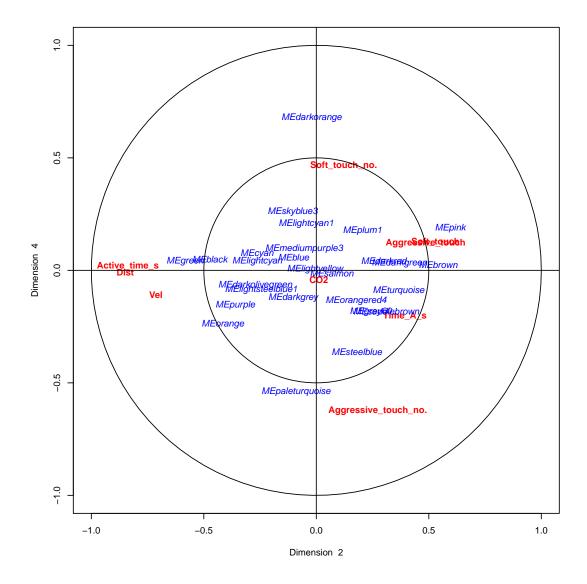


Figure D.11. Canonical correlation analysis biplot depicting where the module eigengenes (MEs) lie in space in relation to the traits for the CNS, for canonical functions (dimensions) 2 and 4. Variables from the MEs set and the traits set are in blue and red, respectively. The inner ring is set at a radius of 0.5. CO2 = CO2 treatment, Active\_time\_s = time spent active (s), Dist = total distance moved (cm), Vel = average speed (cm/s), Time\_-A\_s = time spent in Zone A (3 cm closes to mirror) (s), Soft\_touch\_no. = number of soft mirror touches, Soft\_touch = whether any soft mirror touches occurred (yes/no), Aggressive\_touch\_no. = number of aggressive mirror touches = Aggressive\_touch = whether any aggressive mirror touches occurred (yes/no), ME = module eigengene.

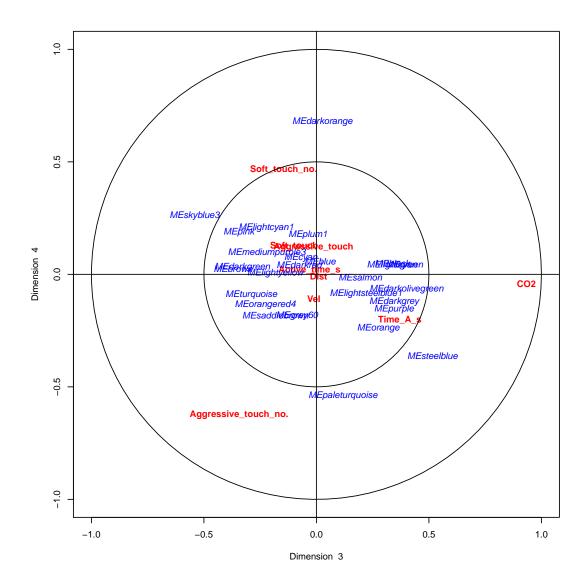


Figure D.12. Canonical correlation analysis biplot depicting where the module eigengenes (MEs) lie in space in relation to the traits for the CNS, for canonical functions (dimensions) 3 and 4. Variables from the MEs set and the traits set are in blue and red, respectively. The inner ring is set at a radius of 0.5. CO2 = CO2 treatment, Active\_time\_s = time spent active (s), Dist = total distance moved (cm), Vel = average speed (cm/s), Time\_-A\_s = time spent in Zone A (3 cm closes to mirror) (s), Soft\_touch\_no. = number of soft mirror touches, Soft\_touch = whether any soft mirror touches occurred (yes/no), Aggressive\_touch\_no. = number of aggressive mirror touches = Aggressive\_touch = whether any aggressive mirror touches occurred (yes/no), ME = module eigengene.

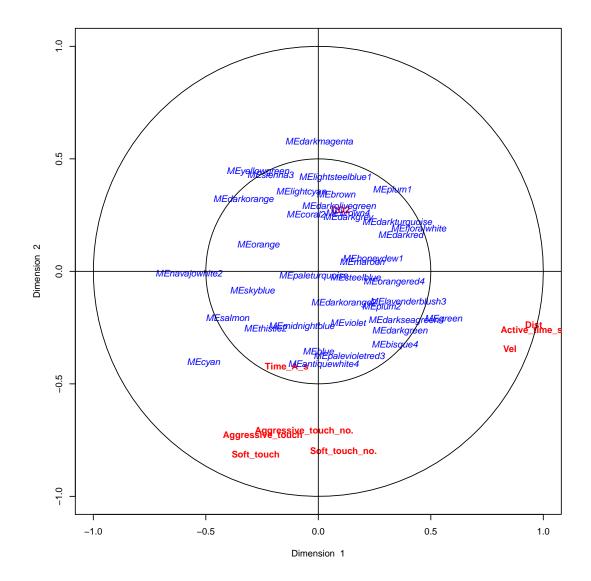


Figure D.13. Canonical correlation analysis biplot depicting where the module eigengenes (MEs) lie in space in relation to the traits for the eyes, for canonical functions (dimensions) 1 and 2. Variables from the MEs set and the traits set are in blue and red, respectively. The inner ring is set at a radius of 0.5. CO2 = CO2 treatment, Active\_time\_s = time spent active (s), Dist = total distance moved (cm), Vel = average speed (cm/s), Time\_-A\_s = time spent in Zone A (3 cm closes to mirror) (s), Soft\_touch\_no. = number of soft mirror touches, Soft\_touch = whether any soft mirror touches occurred (yes/no), Aggressive\_touch\_no. = number of aggressive mirror touches = Aggressive\_touch = whether any aggressive mirror touches occurred (yes/no), ME = module eigengene.

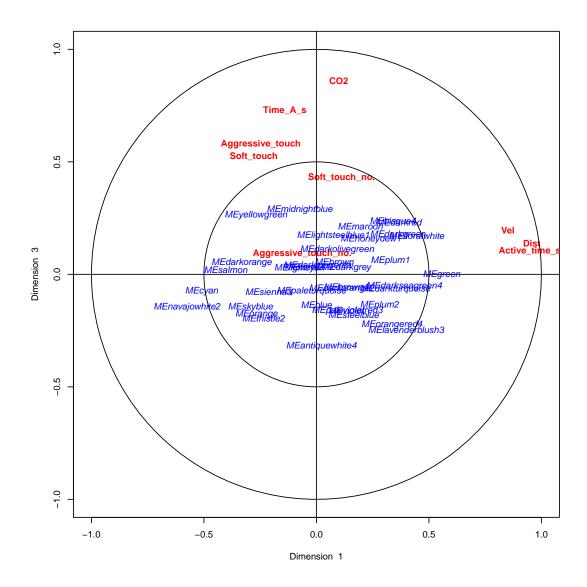


Figure D.14. Canonical correlation analysis biplot depicting where the module eigengenes (MEs) lie in space in relation to the traits for the eyes, for canonical functions (dimensions) 1 and 3. Variables from the MEs set and the traits set are in blue and red, respectively. The inner ring is set at a radius of 0.5. CO2 = CO2 treatment, Active\_time\_s = time spent active (s), Dist = total distance moved (cm), Vel = average speed (cm/s), Time\_-A\_s = time spent in Zone A (3 cm closes to mirror) (s), Soft\_touch\_no. = number of soft mirror touches, Soft\_touch = whether any soft mirror touches occurred (yes/no), Aggressive\_touch\_no. = number of aggressive mirror touches = Aggressive\_touch = whether any aggressive mirror touches occurred (yes/no), ME = module eigengene.

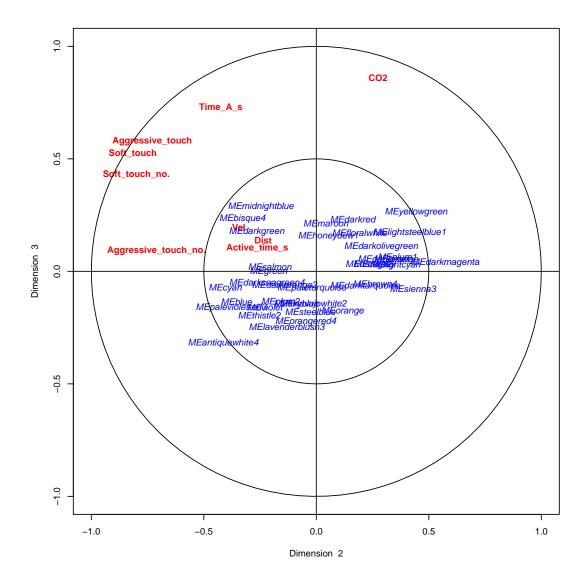


Figure D.15. Canonical correlation analysis biplot depicting where the module eigengenes (MEs) lie in space in relation to the traits for the eyes, for canonical functions (dimensions) 2 and 3. Variables from the MEs set and the traits set are in blue and red, respectively. The inner ring is set at a radius of 0.5. CO2 = CO2 treatment, Active\_time\_s = time spent active (s), Dist = total distance moved (cm), Vel = average speed (cm/s), Time\_-A\_s = time spent in Zone A (3 cm closes to mirror) (s), Soft\_touch\_no. = number of soft mirror touches, Soft\_touch = whether any soft mirror touches occurred (yes/no), Aggressive\_touch\_no. = number of aggressive mirror touches = Aggressive\_touch = whether any aggressive mirror touches occurred (yes/no), ME = module eigengene.

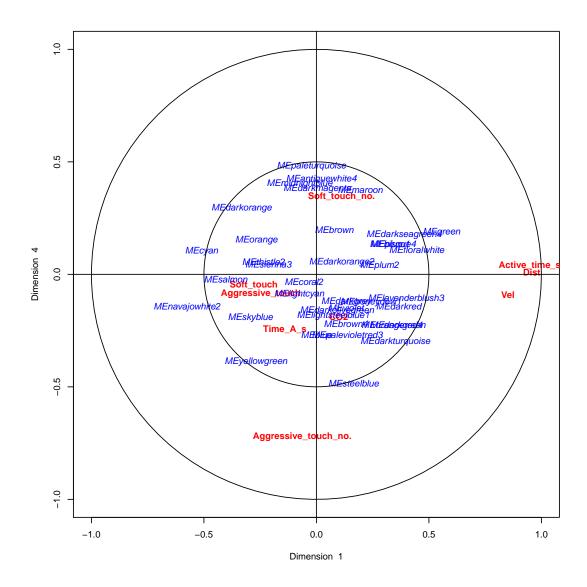


Figure D.16. Canonical correlation analysis biplot depicting where the module eigengenes (MEs) lie in space in relation to the traits for the eyes, for canonical functions (dimensions) 1 and 4. Variables from the MEs set and the traits set are in blue and red, respectively. The inner ring is set at a radius of 0.5. CO2 = CO2 treatment, Active\_time\_s = time spent active (s), Dist = total distance moved (cm), Vel = average speed (cm/s), Time\_-A\_s = time spent in Zone A (3 cm closes to mirror) (s), Soft\_touch\_no. = number of soft mirror touches, Soft\_touch = whether any soft mirror touches occurred (yes/no), Aggressive\_touch\_no. = number of aggressive mirror touches = Aggressive\_touch = whether any aggressive mirror touches occurred (yes/no), ME = module eigengene.

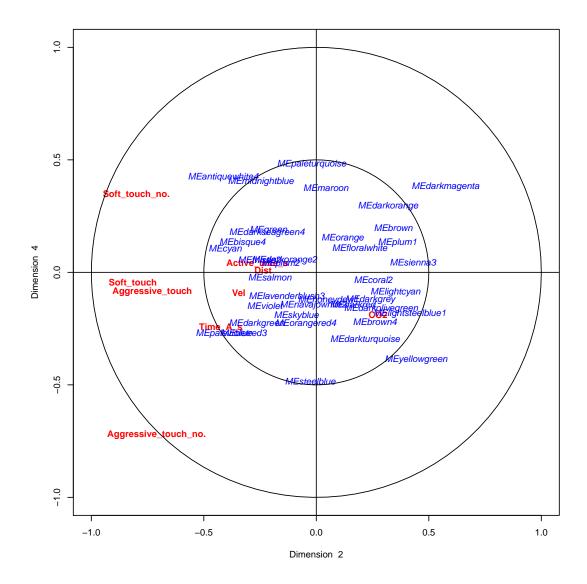


Figure D.17. Canonical correlation analysis biplot depicting where the module eigengenes (MEs) lie in space in relation to the traits for the eyes, for canonical functions (dimensions) 2 and 4. Variables from the MEs set and the traits set are in blue and red, respectively. The inner ring is set at a radius of 0.5. CO2 = CO2 treatment, Active\_time\_s = time spent active (s), Dist = total distance moved (cm), Vel = average speed (cm/s), Time\_-A\_s = time spent in Zone A (3 cm closes to mirror) (s), Soft\_touch\_no. = number of soft mirror touches, Soft\_touch = whether any soft mirror touches occurred (yes/no), Aggressive\_touch\_no. = number of aggressive mirror touches = Aggressive\_touch = whether any aggressive mirror touches occurred (yes/no), ME = module eigengene.

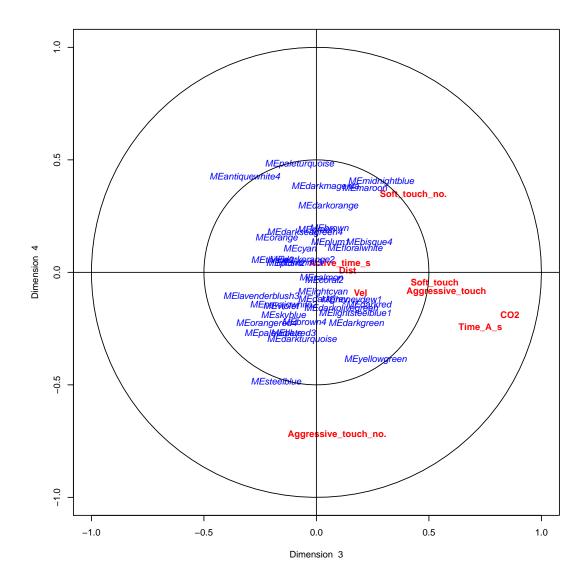
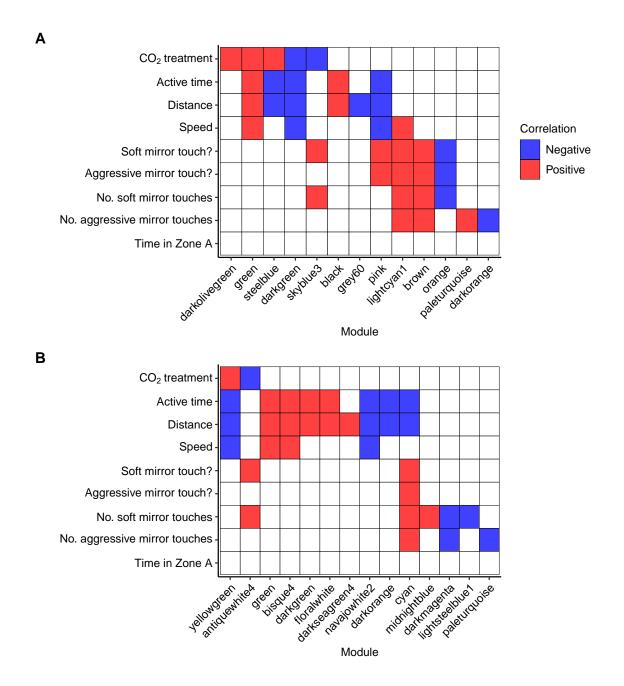


Figure D.18. Canonical correlation analysis biplot depicting where the module eigengenes (MEs) lie in space in relation to the traits for the eyes, for canonical functions (dimensions) 3 and 4. Variables from the MEs set and the traits set are in blue and red, respectively. The inner ring is set at a radius of 0.5. CO2 = CO2 treatment, Active\_time\_s = time spent active (s), Dist = total distance moved (cm), Vel = average speed (cm/s), Time\_-A\_s = time spent in Zone A (3 cm closes to mirror) (s), Soft\_touch\_no. = number of soft mirror touches, Soft\_touch = whether any soft mirror touches occurred (yes/no), Aggressive\_touch\_no. = number of aggressive mirror touches = Aggressive\_touch = whether any aggressive mirror touches occurred (yes/no), ME = module eigengene.



**Figure D.19. Final modules of interest.** In the **A** CNS and **B** eyes. Red = positive correlation between the trait and module eigengene, blue = negative correlation between the trait and module eigengene. Soft mirror touch? = whether any soft mirror touches occurred (yes/no), aggressive mirror touch? = whether any aggressive mirror touches occurred (yes/no).

**Table D.3.** CNS-specific CO<sub>2</sub> treatment hub genes. For each of these CO<sub>2</sub> treatment hub genes, those genes also identified as a hub gene for one or more behavioural traits in the CNS are indicated in the columns on the right (active time, distance, speed, no. exploratory interactions, exploratory interaction?). The numbers in each column are the gene significance (GS) for the corresponding trait (-1 to 1). Positive GS = positive correlation between gene expression and trait (in red), negative GS = negative correlation between gene expression and trait (in blue). The larger the GS absolute value the more biologically relevant the gene is. Dist. = distance, No. EI = number of exploratory interactions, EI? = whether any exploratory interactions occurred (yes/no).

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
rpl23a rpl4 anb32a	60S ribosomal protein L23a 60S ribosomal protein L4 Acidic leucine-rich nuclear phosphoprotein 32 family member A	Component of the large 60S ribosomal subunit. Component of the large 60S ribosomal subunit. Multifunctional protein that is involved in the regulation of many processes including tumor suppression, apoptosis, cell cycle progression or transcription. Plays a role in the modulation of histone acetylation and transcription as part of the INHAT (inhibitor of histone acetyltransferases) complex. Plays an essential role in influenza A, B and C viral genome replication and in foamy virus mRNA export from the	0.46 0.43 0.41	0.43 0.55 0.47	0.49 0.60 0.56	0.46 0.51 0.49		
apbb1	amyloid beta A4 precursor protein-binding family B member 1-interacting protein-like isoform X10	nucleus.  Transcription coregulator that can have both coactivator and corepressor functions. Plays a central role in the response to DNA damage by translocating to the nucleus and inducing apoptosis. Involved in hippocampal neurite branching and neuromuscular junction formation, as a result plays a role in spatial memory functioning.	0.47	0.65	0.72	0.64		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
purH	bifunctional purine biosynthesis protein PURH	Involved in step 1 of the subpathway that synthesizes 5-formamido-1-(5-phospho-D-ribosyl)imidazole-4-carboxamide from 5-amino-1-(5-phospho-D-ribosyl)imidazole-4-carboxamide (10-formyl THF route). This subpathway is part of the pathway IMP biosynthesis via de novo pathway, which is itself part of Purine metabolism.	0.41	0.58	0.63	0.64		
daf-36	cholesterol 7-desaturase-like	catalyses the production of 7-dehydrocholesterol (7-DHC or cholesta-5,7-dien-3beta-ol) by inserting a double bond (desaturating) at the C7-C8 single bond of cholesterol. This reaction is the first step in the synthesis of the steroid hormone Delta7-dafachronic acid.	0.45	0.53	0.61	0.55		
collal	collagen alpha-1(I) chain-like isoform X4/5	This gene encodes the pro-alphal chains of type I collagen whose triple helix comprises two alphal chains and one alpha2 chain. Type I is a fibril-forming collagen found in most connective tissues.	0.41	0.51	0.57	0.55		
ncaph	condensin complex subunit 2-like	Regulatory subunit of the condensin complex, a complex required for conversion of interphase chromatin into mitotic-like condense chromosomes. Early in neurogenesis, may play an essential role to ensure accurate mitotic chromosome condensation in neuron stem cells, ultimately affecting neuron pool and cortex size.	0.44	0.49	0.56	0.49		
psf2	DNA replication complex GINS protein PSF2-like	Functions as part of the GINS complex which plays an essential role in the initiation of DNA replication.	0.42	0.45	0.51	0.46		
cdt1	DNA replication factor Cdt1-like	Required for both DNA replication and mitosis.	0.40	0.63	0.69	0.63		
mcm5	DNA replication licensing factor mcm5-like	Acts as component of the MCM2-7 complex (MCM complex) which is the putative replicative helicase essential for 'once per cell cycle' DNA replication initiation and elongation in eukaryotic cells.	0.41	0.56	0.64	0.57		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
polr1a	DNA-directed RNA polymerase I subunit RPA1-like	DNA-dependent RNA polymerase catalyses the transcription of DNA into RNA. Largest and catalytic core component of RNA polymerase I which synthesizes ribosomal RNA precursors.	0.46	0.50	0.57	0.50		
stt3a	dolichyl- diphosphooligosaccharide- protein glycosyltransferase subunit STT3A	A catalytic subunit of the N-oligosaccharyltransferase (OST) complex, which functions in the endoplasmic reticulum to transfer glycan chains to asparagine residues of target proteins, the first step in protein N-glycosylation.	0.44	0.52	0.59	0.47		
donson	DONS protein/protein downstream neighbor of son homolog	After the induction of replication stress, required for the stabilization of stalled replication forks, the efficient activation of the intra-S-phase and G/2M cell-cycle checkpoints and the maintenance of genome stability.	0.51	0.46	0.51	0.43		
ttk	dual specificity protein kinase Ttk-like	Phosphorylates proteins on serine, threonine, and tyrosine. Probably associated with cell proliferation. Phosphorylates MAD1L1 to promote mitotic checkpoint signaling. Essential for chromosome alignment, and for the mitotic checkpoint.	0.43	0.65	0.71	0.63		
ttk	dual specificity protein kinase TTK-like	Phosphorylates proteins on serine, threonine, and tyrosine. Probably associated with cell proliferation. Phosphorylates MAD1L1 to promote mitotic checkpoint signaling. Essential for chromosome alignment, and for the mitotic checkpoint	0.42	0.52	0.59	0.41		
ranbp2	E3 SUMO-protein ligase RanBP2-like isoform X1	Component of the nuclear export pathway. Recruits BICD2 to the nuclear envelope and cytoplasmic stacks of nuclear pore complex during G2 phase of cell cycle. Small Ubiquitin-like Modifier (or SUMO) proteins are a family of small proteins that are covalently attached to and detached from other proteins in cells to modify their function. SUMOylation is a post-translational modification involved in various cellular processes.	0.41	0.62	0.69	0.61		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
entpd8	ectonucleoside triphosphate diphosphohydrolase 8 isoform X4	Ectonucleoside NTPDases catalyse the hydrolysis of gamma- and beta-phosphate residues of nucleotides, playing a central role in concentration of extracellular nucleotides. Has activity toward ATP, ADP, UTP and UDP, but not toward AMP.	0.41	0.41	0.48	0.49		
ef-1αO	elongation factor 1-alpha (1) (oocyte form-like)	Promotes the GTP-dependent binding of aminoacyl-tRNA to the A-site of ribosomes during protein biosynthesis.	0.42	0.54	0.59	0.48		
eeflg	elongation factor 1-gamma-like	Subunit of the elongation factor-1 complex, which is responsible for the enzymatic delivery of aminoacyl tRNAs to the ribosome.	0.46	0.52	0.58	0.47		
hspa5	endoplasmic reticulum chaperone BiP/78 kDa glucose-regulated protein	Endoplasmic reticulum chaperone that plays a key role in protein folding and quality control in the endoplasmic reticulum lumen. Acts as a key repressor of the ERN1/IRE1-mediated unfolded protein response. Acts as a receptor for various viruses.	0.40	0.59	0.65	0.55		
elk3	ETS domain-containing protein Elk-3-like isoform X1	May be a negative regulator of transcription, but can activate transcription when coexpressed with Ras, Src or Mos.	0.55	0.43	0.51	0.50		
eif3b	eukaryotic translation initiation factor 3 subunit B-like	RNA-binding component of the eukaryotic translation initiation factor 3 (eIF-3) complex, which is required for several steps in the initiation of protein synthesis. The eIF-3 complex specifically targets and initiates translation of a subset of mRNAs involved in cell proliferation, including cell cycling, differentiation and apoptosis.	0.47	0.47	0.55	0.41		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
spt16	FACT complex subunit SPT16-like	Component of the FACT complex, a general chromatin factor that acts to reorganise nucleosomes. The FACT complex is involved in multiple processes that require DNA as a template such as mRNA elongation, DNA replication and DNA repair. During transcription elongation the FACT complex acts as a histone chaperone that both destabilizes and restores nucleosomal structure.	0.41	0.46	0.53	0.47		
foxm1	Forkhead box protein M1	Transcriptional factor regulating the expression of cell cycle genes essential for DNA replication and mitosis. Plays a role in the control of cell proliferation. Plays also a role in DNA breaks repair participating in the DNA damage checkpoint response.	0.51	0.40	0.49	0.45		
foxm1	Forkhead box protein M1	Transcriptional factor regulating the expression of cell cycle genes essential for DNA replication and mitosis. Plays a role in the control of cell proliferation. Plays also a role in DNA breaks repair participating in the DNA damage checkpoint response.	0.44	0.46	0.52	0.45		
ccnb3	G2/mitotic-specific cyclin-B3-like	Cyclins are positive regulatory subunits of the cyclin-dependent kinases (CDKs), and thereby play an essential role in the control of the cell cycle, notably via their destruction during cell division.	0.40	0.48	0.56	0.52		
ashh1/2/3/ 4/mes-4/ setd2	Histone-lysine N-methyltransferase ASHH1/2/3/4/Mes-4/SETD2	Histone-modifying enzymes that catalyse the transfer of one, two, or three methyl groups to lysine of histone proteins.	0.58	0.62	0.68	0.64		
incenp	inner centromere protein A isoform X1	Component of the chromosomal passenger complex (CPC), a complex that acts as a key regulator of mitosis. The CPC complex has essential functions at the centromere in ensuring correct chromosome alignment and segregation and is required for chromatin-induced microtubule stabilization and spindle assembly.	0.41	0.54	0.60	0.55		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
itga9	integrin alpha-9	Integrin alpha-9/beta-1 (ITGA9:ITGB1) is a receptor for VCAM1, cytotactin and osteopontin.[Vcam = cell-cell recognition, role in immune response.  Cytotactin = mediates glia-neuron adhesion <i>in vitro</i> and may mediate cell migration. Osteopontin = extracellular structural protein]	0.42	0.46	0.53	0.50		
klf10	Krueppel-like factor 10 isoform X2	Plays a role in the regulation of the circadian clock. Regulates the circadian expression of genes involved in lipogenesis, gluconeogenesis, and glycolysis in the liver. Represses the expression of PCK2, a rate-limiting step enzyme of gluconeogenesis. May play a role in the cell cycle regulation.	0.47	0.69	0.72	0.51		
melk	maternal embryonic leucine zipper kinase-like isoform X1	Serine/threonine-protein kinase involved in various processes such as cell cycle regulation, self-renewal of stem cells, apoptosis and splicing regulation. Acts as a regulator of cell cycle, notably by mediating phosphorylation of CDC25B, promoting localisation of CDC25B to the centrosome and the spindle poles during mitosis. Plays a key role in cell proliferation. Required for proliferation of embryonic and postnatal multipotent neural progenitors.	0.42	0.50	0.58	0.49		
mtbp	mdm2-binding protein-like	Inhibits cell migration <i>in vitro</i> and suppresses the invasive behavior of tumor cells. Inhibits autoubiquitination of MDM2, thereby enhancing MDM2 stability. This promotes MDM2-mediated ubiquitination of p53/TP53 and its subsequent degradation.	0.44	0.54	0.59	0.52		
slc25a32	mitochondrial folate transporter/carrier	Transports folate across the inner membranes of mitochondria. Can also transport FAD across the mitochondrial inner membrane.	0.54	0.56	0.62	0.54		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
bub1	mitotic checkpoint serine/threonine-protein kinase BUB1-like isoform X1	Serine/threonine-protein kinase that performs 2 crucial functions during mitosis: it is essential for spindle-assembly checkpoint signaling and for correct chromosome alignment.	0.48	0.54	0.61	0.54		
abhd12	monoacylglycerol lipase ABHD12-like	Lysophosphatidylserine (LPS) lipase that mediates the hydrolysis of lysophosphatidylserine, a class of signaling lipids that regulates immunological and neurological processes. Represents a major lysophosphatidylserine lipase in the brain, thereby playing a key role in the central nervous system. This gene encodes an enzyme that catalyses the hydrolysis of 2-arachidonoyl glycerol (2-AG), the main endocannabinoid lipid transmitter that acts on cannabinoid receptors, CB1 and CB2. The endocannabinoid system is involved in a wide range of physiological processes, including neurotransmission, mood, appetite, pain appreciation, addiction behavior, and inflammation.	0.42	0.53	0.57	0.52		
myph	myophilin	Identified in muscles of parasitic worms.	0.45	0.45	0.53	0.51		
myph	myophilin-like	Identified in muscles of parasitic worms.	0.45	0.52	0.60	0.60		
zip	myosin heavy chain, non-muscle isoform X1/3/4/5	Non-muscle myosin appears to be responsible for cellularisation. Required for morphogenesis and	0.43	0.55	0.63	0.56		
myh9/10	non-muscle myosin II heavy chain	cytokinesis. Cellular myosin that appears to play a role in cytokinesis, cell shape, and specialized functions such as secretion and capping. During cell spreading, plays an important role in cytoskeleton reorganisation, focal contacts formation (in the central part but not the margins of spreading cells), and lamellipodial extension.						
zip	myosin heavy chain, non-muscle isoform X1/4	Non-muscle myosin appears to be responsible for cellularisation. Required for morphogenesis and cytokinesis.	0.41	0.49	0.57	0.50		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
zip	myosin heavy chain, embryonic smooth muscle isoform-like myosin heavy chain, non-muscle-like isoform X2/3	Non-muscle myosin appears to be responsible for cellularisation. Required for morphogenesis and cytokinesis.	0.44	0.58	0.65	0.57		
naca	nascent polypeptide-associated complex subunit alpha, muscle-specific form	Cardiac- and muscle-specific transcription factor. May act to regulate the expression of genes involved in the development of myotubes. Plays a critical role in ventricular cardiomyocyte expansion and regulates postnatal skeletal muscle growth and regeneration. Involved in the organised assembly of thick and thin filaments of myofibril sarcomeres.	0.45	0.53	0.61	0.53		
nup155	nuclear pore complex protein Nup155	Essential component of nuclear pore complex.  Nucleoporins may be involved both in binding and translocating proteins during nucleocytoplasmic transport. [Nuclear pore = regulates the transportation of molecules between the nucleus and the cytoplasm].	0.46	0.64	0.70	0.66		
nup205	nuclear pore complex protein Nup205	Plays a role in the nuclear pore complex assembly and/or maintenance.	0.43	0.41	0.50	0.45		
nop58	nucleolar protein 58	Required for 60S ribosomal subunit biogenesis.	0.48	0.57	0.64	0.59		
phf24	PHD finger protein 24-like	Codes for GINIP, a key modulator of peripherally evoked GABAB-receptors signaling.	0.41	0.59	0.66	0.62		
piwill	piwi-like protein 1	Endoribonuclease that plays a central role in postnatal germ cells by repressing transposable elements and preventing their mobilisation, which is essential for the germline integrity. Directly binds methylated piRNAs. Acts as an endoribonuclease that cleaves transposon messenger RNAs. piRNAs are probably involved in other processes during meiosis such as translation regulation.	0.45	0.51	0.60	0.50		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
ddx4	probable ATP-dependent RNA helicase DDX4 isoform X2	ATP-dependent RNA helicase required during spermatogenesis. Required to repress transposable elements and preventing their mobilisation, which is essential for the germline integrity. Acts via the piRNA metabolic process, which mediates the repression of transposable elements during meiosis by forming complexes composed of piRNAs and Piwi proteins and governs the methylation and subsequent repression of transposons.	0.41	0.58	0.65	0.61		
dbf4	protein DBF4 homolog A-like	Regulatory subunit for CDC7 which activates its kinase activity thereby playing a central role in DNA replication and cell proliferation. Required for progression of S phase. Involved in regulating the initiation of DNA replication during cell cycle.	0.41	0.51	0.57	0.43		
pdia3	protein disulphide-isomerase A3-like	Catalyses the rearrangement of -S-S- bonds in proteins. Protein of the endoplasmic reticulum that interacts with lectin chaperones calreticulin and calnexin to modulate folding of newly synthesized glycoproteins. This protein also functions as a molecular chaperone that prevents the formation of protein aggregates.	0.43	0.55	0.59	0.41		
pdia4	protein disulphide-isomerase A4	Member of the disulphide isomerase (PDI) family of endoplasmic reticulum (ER) proteins that catalyse protein folding and thiol-disulphide interchange reactions. This protein, when bound to cyclophilin B, enhances the rate of immunoglobulin G intermolecular disulphide bonding and antibody assembly.	0.41	0.50	0.56	0.49		
pdia5	protein disulphide-isomerase A5-like	Member of the disulphide isomerase (PDI) family of endoplasmic reticulum (ER) proteins that catalyse protein folding and thiol-disulphide interchange reactions.	0.50	0.55	0.62	0.50		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
dnaaf2	protein kintoun-like	Required for cytoplasmic pre-assembly of axonemal dyneins, thereby playing a central role in motility in cilia and flagella.	0.43	0.55	0.63	0.57		
rac1	ras-related C3 botulinum toxin substrate 1	Plasma membrane-associated small GTPase which cycles between active GTP-bound and inactive GDP-bound states. In its active state, binds to a variety of effector proteins to regulate cellular responses such as secretory processes, phagocytosis of apoptotic cells, epithelial cell polarization, neurons adhesion, migration and differentiation, and growth-factor induced formation of membrane ruffles. In neurons, is involved in dendritic spine formation and synaptic plasticity. In hippocampal neurons, involved in spine morphogenesis and synapse formation. In synapses, seems to mediate the regulation of F-actin cluster formation performed by SHANK3. Plays a crucial role in regulating GABA(A) receptor synaptic stability and hence GABAergic inhibitory synaptic transmission.	0.42	0.55	0.62	0.55		
ptprc	receptor-type tyrosine-protein phosphatase C isoform X1	Protein tyrosine-protein phosphatase required for T-cell activation through the antigen receptor.	0.41	0.53	0.59	0.46		
ptprc	receptor-type tyrosine-protein phosphatase C isoform X1	Protein tyrosine-protein phosphatase required for T-cell activation through the antigen receptor.	0.47	0.56	0.62	0.53		
ptpr	Receptor-type tyrosine-protein phosphatase mu/F/H/S/eta/gamma/O/delta	RPTPs are a family of integral cell surface proteins that possess intracellular PTP activity, and extracellular domains that have sequence homology to cell adhesion molecules. Protein tyrosine phosphorylation is a major mechanism regulating cellular signaling that affects fundamental cellular events including metabolism, proliferation, adhesion, differentiation, migration and development.	0.42	0.55	0.61	0.57		
rtkn	rhotekin-like isoform X2	Mediates Rho signaling to activate NF-kappa-B.	0.40	0.47	0.56	0.54		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
nat10	RNA cytidine acetyltransferase	RNA cytidine acetyltransferase that catalyses the formation of N4-acetylcytidine (ac4C) modification on mRNAs, 18S rRNA and tRNAs, enhancing mRNA stability and translation. In addition to RNA acetyltransferase activity, also able to acetylate lysine residues of proteins, such as histones, microtubules, p53/TP53 and MDM2, <i>in vitro</i> . The relevance of the protein lysine acetyltransferase activity is however unsure <i>in vivo</i> . Involved in the regulation of centrosome duplication by acetylating CENATAC during mitosis, promoting SASS6 proteasome degradation.	0.43	0.47	0.55	0.53		
shmt1	serine hydroxymethyltransferase, cytosolic-like	Interconversion of serine and glycine.	0.41	0.55	0.60	0.55		
srrt	serrate RNA effector molecule homolog isoform X1	Acts as a mediator between the cap-binding complex (CBC) and the primary microRNAs (miRNAs) processing machinery during cell proliferation. Involved in cell cycle progression at S phase. Plays a role in RNA-mediated gene silencing (RNAi) by miRNAs. Independently of its activity on miRNAs, necessary and sufficient to promote neural stem cell self-renewal.	0.52	0.45	0.52	0.47		
snd1	staphylococcal nuclease domain-containing protein 1-like	Endonuclease that mediates miRNA decay of both protein-free and AGO2-loaded miRNAs. As part of its function in miRNA decay, regulates mRNAs involved in G1-to-S phase transition.	0.42	0.54	0.62	0.52		
smc4	structural maintenance of chromosomes protein 4-like	Central component of the condensin complex, a complex required for conversion of interphase chromatin into mitotic-like condense chromosomes.	0.59	0.41	0.50	0.52		
smc4	structural maintenance of chromosomes protein 4-like	Central component of the condensin complex, a complex required for conversion of interphase chromatin into mitotic-like condense chromosomes.	0.48	0.44	0.51	0.43		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
tbc1d1 / tbc1d4	TBC1 domain family member 1 isoform X1 / TBC1 domain family member 4-like	May act as a GTPase-activating protein for Rab family protein(s). May play a role in the cell cycle and differentiation of various tissues. Involved in the trafficking and translocation of GLUT4-containing vesicles and insulin-stimulated glucose uptake into cells.	0.55	0.54	0.61	0.54		
tbc1d1 / tbc1d4	TBC1 domain family member 1 isoform X1 / TBC1 domain family member 4-like	May act as a GTPase-activating protein for Rab family protein(s). May play a role in the cell cycle and differentiation of various tissues. Involved in the trafficking and translocation of GLUT4-containing vesicles and insulin-stimulated glucose uptake into cells.	0.49	0.45	0.52	0.44		
tbc1d10a	TBC1 domain family member 10A-like	Acts as GTPase-activating protein for RAB27A, but not for RAB2A, RAB3A, nor RAB4A.	0.43	0.57	0.64	0.68		
tbc1d22b	TBC1 domain family member 22B-like isoform X2	May act as a GTPase-activating protein for Rab family protein(s).	0.46	0.49	0.57	0.49		
tk1	Thymidine kinase, cytosolic	Two forms have been identified in animal cells, one in cytosol and one in mitochondria. Activity of the cytosolic enzyme is high in proliferating cells and peaks during the S-phase of the cell cycle; it is very low in resting cells.	0.41	0.44	0.51	0.49		
tfap4	transcription factor AP-4-like	Transcription factor that activates both viral and cellular genes by binding to the symmetrical DNA sequence 5'-CAGCTG-3'.	0.41	0.42	0.50	0.42		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
tgfb1i1	transforming growth factor beta-1-induced transcript 1 protein isoform X5	Functions as a molecular adapter coordinating multiple protein-protein interactions at the focal adhesion complex and in the nucleus. Links various intracellular signaling modules to plasma membrane receptors and regulates the Wnt and TGFB signaling pathways. In the nucleus, functions as a nuclear receptor coactivator regulating glucocorticoid, androgen, mineralocorticoid and progesterone receptor transcriptional activity. May play a role in the processes of cell growth, proliferation, migration, differentiation and senescence.	0.41	0.53	0.60	0.50		
ssr1	translocon-associated protein subunit alpha-like	TRAP proteins are part of a complex whose function is to bind calcium to the ER membrane and thereby regulate the retention of ER resident proteins. May function as a membrane-bound chaperone facilitating folding of translocated proteins.	0.47	0.49	0.55	0.43		
tm6sf1	transmembrane 6 superfamily member 1-like	May function as sterol isomerase.	0.54	0.49	0.56	0.53		
tmem214-b	transmembrane protein 214-B-like	Critical mediator, in cooperation with CASP4, of endoplasmic reticulum-stress induced apoptosis. Required for the activation of CASP4 following endoplasmic reticulum stress.	0.43	0.49	0.56	0.46		
pus3	tRNA pseudouridine(38/39) synthase-like	Formation of pseudouridine at position 39 in the anticodon stem and loop of transfer RNAs.	0.45	0.50	0.57	0.51		
snrpa	U1 small nuclear ribonucleoprotein A	Component of the spliceosomal U1 snRNP, which is essential for recognition of the pre-mRNA 5' splice-site and the subsequent assembly of the spliceosome.	0.47	0.56	0.61	0.54		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
vcl	vinculin isoform X11	Actin filament (F-actin)-binding protein involved in cell-matrix adhesion and cell-cell adhesion. Regulates cell-surface E-cadherin expression and potentiates mechanosensing by the E-cadherin complex. May also play important roles in cell morphology and locomotion.	0.46	0.66	0.72	0.58		
rpl7l ap15	60S ribosomal protein L7-like apoptosis inhibitor 5-like	Component of the large 60S ribosomal subunit. Antiapoptotic factor that may have a role in protein assembly. Negatively regulates ACIN1. By binding to ACIN1, it suppresses ACIN1 cleavage from CASP3 and ACIN1-mediated DNA fragmentation. Also known to efficiently suppress E2F1-induced apoptosis.	0.45 0.40	0.41 0.43	0.47 0.50			
adgrb3	brain-specific angiogenesis inhibitor 3	This p53-target gene encodes a brain-specific angiogenesis inhibitor [inhibits formation of new blood vessels]. Receptor that plays a role in the regulation of synaptogenesis and dendritic spine formation at least partly via interaction with ELMO1 and RAC1 activity.	0.45	0.41	0.48			
cstf1	cleavage stimulation factor subunit 1-like	One of the multiple factors required for polyadenylation and 3'-end cleavage of mammalian pre-mRNAs. May be responsible for the interaction of CSTF with other factors to form a stable complex on the pre-mRNA.	0.42	0.47	0.51			
rpn1	dolichyl- diphosphooligosaccharide– protein glycosyltransferase subunit 1	Forms part of the regulatory subunit of the 26S proteasome and may mediate binding of ubiquitin-like domains to this proteasome. Subunit of the oligosaccharyl transferase (OST) complex that catalyses the first step in protein N-glycosylation. N-glycosylation occurs cotranslationally and the complex associates with the Sec61 complex at the channel-forming translocon complex that mediates protein translocation across the endoplasmic reticulum (ER).	0.44	0.46	0.52			

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
rpn2	dolichyl- diphosphooligosaccharide– protein glycosyltransferase subunit 2	Subunit of the oligosaccharyl transferase (OST) complex that catalyses the first step in protein N-glycosylation. N-glycosylation occurs cotranslationally and the complex associates with the Sec61 complex at the channel-forming translocon complex that mediates protein translocation across the endoplasmic reticulum (ER).	0.43	0.43	0.49			
eif3d	eukaryotic translation initiation factor 3 subunit D	mRNA cap-binding component of the eukaryotic translation initiation factor 3 (eIF-3) complex, a complex required for several steps in the initiation of protein synthesis of a specialized repertoire of mRNAs.	0.43	0.46	0.51			
itga4	integrin alpha-4	Integrins are heterodimeric integral membrane glycoproteins composed of an alpha chain and a beta chain that mediate cell-cell and cell-matrix adhesion. Receptor for fibronectin [is involved in cell adhesion, growth, migration, and differentiation, wound healing], VCAM1 [cell-cell recognition in immune responses], MADCAM1 [cell adhesion molecule - direct leukocytes into mucosal and inflamed tissues]. It may also participate in cytolytic T-cell interactions with target cells.	0.41	0.42	0.47			
mest	mesoderm-specific transcript homolog protein	Member of the alpha/beta hydrolase superfamily. May play a role in development.	0.42	0.42	0.47			
ganab	neutral alpha-glucosidase AB-like isoform X1alpha 1,3-glucosidase	Alpha subunit of glucosidase II and a member of the glycosyl hydrolase 31 family of proteins. Glucosidase II enzyme plays a role in protein folding and quality control by cleaving glucose residues from immature glycoproteins in the endoplasmic reticulum.	0.42	0.41	0.47			
nup160	nuclear pore complex protein Nup160-like	Functions as a component of the nuclear pore complex (NPC). Involved in poly(A)+ RNA transport.	0.48	0.44	0.51			

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
bptf	nucleosome-remodeling factor subunit BPTF/NURF301-like isoform X1	Regulatory subunit of the ATP-dependent NURF-1 and NURF-5 ISWI chromatin remodeling complexes, which form ordered nucleosome arrays on chromatin and facilitate access to DNA during DNA-templated processes such as DNA replication, transcription, and repair. Within the NURF-1 ISWI chromatin-remodeling complex, binds to the promoters of En1 and En2 to positively regulate their expression and promote brain development. Histone-binding protein which binds to H3 tails trimethylated on 'Lys-4' (H3K4me3), which mark transcription start sites of active genes. May also regulate transcription through direct binding to DNA or transcription factors.	0.45	0.45	0.53			
ppib	peptidyl-prolyl cis-trans isomerase B	PPIase that catalyses the cis-trans isomerization of proline imidic peptide bonds in oligopeptides and may therefore assist protein folding.	0.41	0.40	0.46			
pa2g4	proliferation-associated protein 2G4-like	Seems be involved in growth regulation. Acts as a corepressor of the androgen receptor. Associates with 28S, 18S and 5.8S mature rRNAs, several rRNA precursors and probably U3 small nucleolar RNA. May be involved in regulation of intermediate and late steps of rRNA processing. May be involved in ribosome assembly. Mediates cap-independent translation of specific viral IRESs (internal ribosomal entry site). Regulates cell proliferation, differentiation, and survival.	0.43	0.46	0.53			

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
ecd	protein ecdysoneless homolog	Regulator of p53/TP53 stability and function. Inhibits MDM2-mediated degradation of p53/TP53 possibly by cooperating in part with TXNIP. May be involved in transcriptional regulation. May be a transcriptional activator required for the expression of glycolytic genes. Involved in regulation of cell cycle progression. May play a role in regulation of pre-mRNA splicing.	0.45	0.47	0.53			
slc4a11	sodium bicarbonate transporter-like protein 11/plasminogen activator inhibitor 1 RNA-binding protein isoform X2	Multifunctional transporter with an impact in cell morphology and differentiation. At early stages of stem cell differentiation, participates in synergy with ITGA5-ITGB1 and ITGAV-ITGB3 integrins and BMPR1A to promote cell adhesion and contractility. Regulates the oxidative stress response in corneal endothelium by enhancing antioxidant defenses and protecting cells from reactive oxygen species.	0.43	0.46	0.53			
tf	transferrin-like protein	Transferrins bind to and mediate transport of iron in the blood. Part of the iron withholding strategy of the innate immune response in molluscs.	0.47	0.47	0.53			
tmed2	transmembrane emp24 domain-containing protein 2-like	Involved in vesicular protein trafficking. Involved in trafficking of G protein-coupled receptors (GPCRs). Regulates F2RL1, OPRM1 and P2RY4 exocytic trafficking from the Golgi to the plasma membrane thus contributing to receptor resensitization. Facilitates CASR maturation and stabilization in the early secretory pathway and increases CASR plasma membrane targeting. Proposed to be involved in organisation of intracellular membranes such as the maintenance of the Golgi apparatus. May also play a role in the biosynthesis of secreted cargo such as eventual processing.	0.46	0.45	0.50			

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
arpc5	actin-related protein 2/3 complex subunit 5-like	Component of the Arp2/3 complex, a multiprotein complex that mediates actin polymerization. The Arp2/3 complex mediates the formation of branched actin networks in the cytoplasm, providing the force for cell motility, and promotes actin polymerization in the nucleus, thereby regulating gene transcription and repair of damaged DNA.	0.53		0.45	0.41		
ttc3	E3 ubiquitin-protein ligase TTC3	E3 ubiquitin-protein ligase which mediates the ubiquitination and subsequent degradation of phosphorylated Akt (AKT1, AKT2 and AKT3) in the nucleus. [AKT proteins regulate a wide variety of cellular functions including cell proliferation, survival, metabolism, and angiogenesis]. Regulates neuronal differentiation by regulating actin remodeling and Golgi organisation via a signaling cascade involving RHOA, CIT and ROCK. Inhibits cell proliferation.	0.57		0.41	0.40		
ehrfl	E3 ubiquitin-protein ligase UHRF1-like	Multidomain protein that acts as a key epigenetic regulator by bridging DNA methylation and chromatin modification. Plays a role in DNA repair.	0.50		0.47	0.54		
elk3	ETS domain-containing protein Elk-3-like isoform X1	May be a negative regulator of transcription, but can activate transcription when coexpressed with Ras, Src or Mos. Forms a ternary complex with the serum response factor and the ETS and SRF motifs of the Fos serum response element.	0.54		0.49	0.44		
exosc10	exosome component 10-like	Putative catalytic component of the RNA exosome complex which has 3'->5' exoribonuclease activity and participates in a multitude of cellular RNA processing and degradation events.	0.44		0.49	0.49		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
glyat	glycine N-acyltransferase-like	Mitochondrial acyltransferase which transfers an acyl group to the N-terminus of glycine and glutamine. Can conjugate numerous substrates to form a variety of N-acylglycines, with a preference for benzoyl-CoA over phenylacetyl-CoA as acyl donors.	0.54		0.44	0.41		
anln	anillin isoform X2	Actin-binding protein that plays a role in cell growth and migration, and in cytokinesis.	0.45		0.41			
bub3	mitotic checkpoint protein BUB3-like	Has a dual function in spindle-assembly checkpoint signaling and in promoting the establishment of correct kinetochore-microtubule (K-MT) attachments. Promotes the formation of stable end-on bipolar attachments. Necessary for kinetochore localisation of BUB1.	0.45		0.42			
prpf40a	pre-mRNA-processing factor 40 homolog A-like isoform X1	Binds to WASL/N-WASP and suppresses its translocation from the nucleus to the cytoplasm, thereby inhibiting its cytoplasmic function. Plays a role in the regulation of cell morphology and cytoskeletal organisation. Required in the control of cell shape and migration. May play a role in cytokinesis. May be involved in pre-mRNA splicing.	0.46		0.43			
slit3	slit homolog 3 protein isoform X1/3	May act as molecular guidance cue in cellular migration, and function may be mediated by interaction with roundabout homolog receptors.	0.49		0.43			
cblb	E3 ubiquitin-protein ligase CBL-B-like isoform X2	E3 ubiquitin-protein ligase which accepts ubiquitin from specific E2 ubiquitin-conjugating enzymes, and transfers it to substrates, generally promoting their degradation by the proteasome. Negatively regulates TCR (T-cell receptor), BCR (B-cell receptor) and FCER1 (high affinity immunoglobulin epsilon receptor) signal transduction pathways.	0.51			0.44		

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
myl9	myosin regulatory light polypeptide 9 (isoform X2)	Myosin regulatory subunit that plays an important role in regulation of both smooth muscle and nonmuscle cell contractile activity via its phosphorylation. Implicated in cytokinesis, receptor capping, and cell locomotion.	0.45			0.42		
tubg1	tubulin gamma-1 chain	Tubulin is the major constituent of microtubules. The gamma chain is found at microtubule organising centers (MTOC) such as the spindle poles or the centrosome. Pericentriolar matrix component that regulates alpha/beta chain minus-end nucleation, centrosome duplication and spindle formation.	0.46			0.41		
cdk10	cyclin-dependent kinase 10	A protein kinase that plays pivotal roles in controlling a range of fundamental cellular processes including cell proliferation, neurogenesis, development, ciliogenesis and actin cytoskeleton organisation.	0.48				-0.48	
trub2/pus2	mitochondrial mRNA pseudouridine synthase Trub2-like/probable tRNA pseudouridine synthase 2	Minor enzyme contributing to the isomerization of uridine to pseudouridine (pseudouridylation) of specific mitochondrial mRNAs (mt-mRNAs) such as COXI and COXIII mt-mRNAs. As a component of a functional protein-RNA module, consisting of RCC1L, NGRN, RPUSD3, RPUSD4, TRUB2, FASTKD2 and 16S mitochondrial ribosomal RNA (16S mt-rRNA), controls 16S mt-rRNA abundance and is required for intra-mitochondrial translation. Formation of pseudouridine at positions 27 and 28 in the anticodon stem and loop of transfer RNAs; at positions 34 and 36 of intron-containing precursor tRNA(Ile) and at position 35 in the intron-containing tRNA(Tyr).	0.53				-0.52	

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
snrnp200	U5 small nuclear ribonucleoprotein 200 kDa helicase	Plays role in pre-mRNA splicing as core component of precatalytic, catalytic and postcatalytic spliceosomal complexes. Involved in spliceosome assembly, activation and disassembly. Mediates changes in the dynamic network of RNA-RNA interactions in the spliceosome.	0.43				-0.44	
rpl29	60S ribosomal protein L29	Component of the large 60S ribosomal subunit.	0.57					
ankle2	Ankyrin repeat and LEM domain-containing protein 2	Involved in mitotic nuclear envelope reassembly. Involved in brain development.	0.42					
mcm5	DNA replication licensing factor mcm5-like	Acts as component of the MCM2-7 complex (MCM complex) which is the putative replicative helicase essential for 'once per cell cycle' DNA replication initiation and elongation in eukaryotic cells.	0.44					
eef2k	eukaryotic elongation factor 2 kinase-like	Threonine kinase that regulates protein synthesis by controlling the rate of peptide chain elongation.	0.58					
ran	GTP-binding nuclear protein Ran	GTPase involved in nucleocytoplasmic transport, participating both to the import and the export from the nucleus of proteins and RNAsRAN (GTP-bound form) triggers microtubule assembly at mitotic chromosomes and is required for normal mitotic spindle assembly and chromosome segregation. Required for normal progress through mitosis. The complex with BIRC5/survivin plays a role in mitotic spindle formation.	0.57					
hs3st5	heparan sulfate glucosamine 3-O-sulfotransferase 5	Catalyses the rate limiting step in the biosynthesis of heparan sulfate (HSact). This modification is a crucial step in the biosynthesis of anticoagulant heparan sulfate. The substrate-specific O-sulfation generates an enzyme-modified heparan sulfate which acts as a binding receptor to Herpes simplex virus-1 (HSV-1) and permits its entry.	0.44					

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
	major egg antigen	In blood fluke.	0.41					
slc25a32	Mitochondrial folate transporter/carrier	Transports folate across the inner membranes of mitochondria. Can also transport FAD across the mitochondrial inner membrane.	0.44					
mpnd	MPN domain-containing protein	Probable protease. Recognizes and binds m6A DNA, leading to its degradation.	0.48					
orc1	origin recognition complex subunit 1-like isoform X1	Component of the origin recognition complex (ORC) that binds origins of replication. ORC is required to assemble the pre-replication complex necessary to initiate DNA replication.	0.55					
psap	proactivator polypeptide-like/prosaposin-like isoform X1/2	May activate the lysosomal degradation of sphingolipids [Sphingolipids are a class of lipids containing a backbone of sphingoid bases, play important roles in signal transduction and cell recognition]. Behaves as a myelinotrophic and neurotrophic factor.	0.71					
dhtkd1	probable 2-oxoglutarate dehydrogenase E1 component DHKTD1, mitochondrial	The 2-oxoglutarate dehydrogenase complex catalyses the overall conversion of 2-oxoglutarate to succinyl-CoA and CO <sub>2</sub> . [succinyl-CoA = an important intermediate in the citric acid cycle/Krebs cycle] [2-oxoglutarate = also called, $\alpha$ -ketoglutarate. It is the keto acid produced by deamination of glutamate, and is an intermediate in the citric acid cycle/Krebs cycle].	0.41					
psma5	proteasome subunit alpha type-5	Component of the 20S core proteasome complex involved in the proteolytic degradation of most intracellular proteins. Associated with two 19S regulatory particles, forms the 26S proteasome which plays a key role in the maintenance of protein homeostasis by removing misfolded or damaged proteins that could impair cellular functions, and by removing proteins whose functions are no longer required.	0.45					

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
ppp1r37	protein phosphatase 1 regulatory subunit 37-like isoform X1/2	Inhibits phosphatase activity of protein phosphatase 1 (PP1) complexes.	0.43					
rbm25	RNA-binding protein 25	RNA-binding protein that acts as a regulator of alternative pre-mRNA splicing. Involved in apoptotic cell death through the regulation of the apoptotic factor BCL2L1 isoform expression.	0.40					
rbm17	splicing factor 45-like	Splice factor that binds to the single-stranded 3'AG at the exon/intron border and promotes its utilization in the second catalytic step. Involved in the regulation of alternative splicing and the utilization of cryptic splice sites.	0.50					
st7	suppressor of tumorigenicity 7 protein homolog isoform X1/3	May act as a tumor suppressor.	0.45					
st7	suppressor of tumorigenicity 7 protein homolog isoform X3	May act as a tumor suppressor.	0.60					
taf6l	TAF6-like RNA polymerase II p300/CBP-associated factor-associated factor 65 kDa subunit 6L	Functions as a component of the PCAF complex, which is capable of efficiently acetylating histones in a nucleosomal context. With TAF5L, acts as an epigenetic regulator essential for somatic reprogramming.	0.50					
e2f5	transcription factor E2F5-like	Transcriptional activator that binds to E2F sites, these sites are present in the promoter of many genes whose products are involved in cell proliferation. May mediate growth factor-initiated signal transduction. It is likely involved in the early responses of resting cells to growth factor stimulation. Specifically required for multiciliate cell differentiation.	0.56					

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
tmcc1 /tmcc2	Transmembrane and coiled-coil domains protein 1/2	Endoplasmic reticulum membrane protein that promotes endoplasmic reticulum-associated endosome fission. Endosome membrane fission of early and late endosomes is essential to separate regions destined for lysosomal degradation from carriers to be recycled to the plasma membrane.	0.44					
rps27a	ubiquitin-40S ribosomal protein S27a/RS27A protein	Polyubiquitin chains, when attached to a target protein, have different functions depending on the Lys residue of the ubiquitin that is linked, including DNA repair, ERAD, cell-cycle regulation, lysosomal degradation, kinase modification, protein degradation via the proteasome, endocytosis, DNA-damage responses as well as in signaling processes. Component of the 40S subunit of the ribosome.	0.47					
c20orf27	UPF0687 protein C20orf27 homolog	Uncharacterised.	0.51					
vps11	vacuolar protein sorting-associated protein 11 homolog	Plays a role in vesicle-mediated protein trafficking to lysosomal compartments including the endocytic membrane transport and autophagic pathways.	0.48					
vhl	von Hippel-Lindau disease tumor suppressor-like	Involved in the ubiquitination and subsequent proteasomal degradation via the von Hippel-Lindau ubiquitination complex. Involved in transcriptional repression.	0.44					
wdr75	WD repeat-containing protein 75	Ribosome biogenesis factor. Involved in nucleolar processing of pre-18S ribosomal RNA. Required for optimal pre-ribosomal RNA transcription by RNA polymerase I.	0.41					
bcar3	breast cancer anti-estrogen resistance protein 3 homolog isoform X10	Acts as an adapter protein downstream of several growth factor receptors to promote cell proliferation, migration, and redistribution of actin fibers.	-0.42				0.46	

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
derl1	derlin-1-like	Component of endoplasmic reticulum-associated protein degradation (ERAD) pathway. Derlin-1 is located in the membrane of the endoplasmic reticulum (ER) and is involved in moving misfolded proteins into the cytosol where they are ubiquitinated and degraded by the proteasome. Also involved in endoplasmic reticulum stress-induced pre-emptive quality control.	-0.44				0.47	
psap	proactivator polypeptide-like/prosaposin-like isoform X1/2	May activate the lysosomal degradation of sphingolipids [Sphingolipids are a class of lipids containing a backbone of sphingoid bases, play important roles in signal transduction and cell recognition]. Behaves as a myelinotrophic and neurotrophic factor.	-0.47				0.44	
snrnp200	U5 small nuclear ribonucleoprotein 200 kDa helicase-like	Plays role in pre-mRNA splicing as core component of precatalytic, catalytic and postcatalytic spliceosomal complexes. Involved in spliceosome assembly, activation and disassembly. Mediates changes in the dynamic network of RNA-RNA interactions in the spliceosome.	-0.65				0.49	
derll	derlin-1-like isoform X1	Component of endoplasmic reticulum-associated protein degradation (ERAD) pathway. Derlin-1 is located in the membrane of the endoplasmic reticulum (ER) and is involved in moving misfolded proteins into the cytosol where they are ubiquitinated and degraded by the proteasome. Also involved in endoplasmic reticulum stress-induced pre-emptive quality control.	-0.57					0.47
dph2	2-(3-amino-3-carboxypropyl)histidine synthase subunit 2-like	Required for the first step in the synthesis of diphthamide, a post-translational modification of histidine which occurs in translation elongation factor 2 (EEF2).	-0.51	-0.43				

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
abcd2	ATP-binding cassette sub-family D member 2-like	ATP-dependent transporter of the ATP-binding cassette (ABC) family involved in the transport of very long chain fatty acid (VLCFA)-CoA from the cytosol to the peroxisome lumen. May play a role in regulation of VLCFAs and energy metabolism namely, in the degradation and biosynthesis of fatty acids by beta-oxidation.	-0.42	-0.45				
btg1	BTG1 protein	Member of an anti-proliferative gene family that regulates cell growth and differentiation. Expression of this gene is highest in the G0/G1 phases of the cell cycle and downregulated when cells progressed through G1. Interacts with several nuclear receptors, and functions as a coactivator of cell differentiation.	-0.44	-0.42				
calm	Calmodulin	Calmodulin is a calcium binding protein that plays a role in signaling pathways, cell cycle progression and proliferation.	-0.46	-0.48				
nit1	deaminated glutathione amidase-like	catalyses the hydrolysis of the amide bond in N-(4-oxoglutarate)-L-cysteinylglycine (deaminated glutathione), a metabolite repair reaction to dispose of the harmful deaminated glutathione. Plays a role in cell growth and apoptosis: loss of expression promotes cell growth, resistance to DNA damage stress. It is also a negative regulator of primary T-cells.	-0.44	-0.48				

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
dgkq	diacylglycerol kinase theta-like isoform X1	Diacylglycerol kinase that converts diacylglycerol/DAG into phosphatidic acid/phosphatidate/PA and regulates the respective levels of these two bioactive lipids. Thereby, acts as a central switch between the signaling pathways activated by these second messengers with different cellular targets and opposite effects in numerous biological processes. Also functions downstream of the nerve growth factor signaling pathway being specifically activated in the nucleus by the growth factor. Through its diacylglycerol activity also regulates synaptic vesicle endocytosis.	-0.48	-0.42				
dag	dystroglycan-like	The dystroglycan complex is involved in a number of processes including laminin and basement membrane assembly, sarcolemmal stability, cell survival, peripheral nerve myelination, nodal structure, cell migration, and epithelial polarization.	-0.41	-0.44				
ing3	inhibitor of growth protein 3-like	Component of the NuA4 histone acetyltransferase (HAT) complex which is involved in transcriptional activation of select genes principally by acetylation of nucleosomal histones H4 and H2A. NuA4 may also play a direct role in DNA repair when directly recruited to sites of DNA damage.	-0.41	-0.48				
ing3	inhibitor of growth protein 3-like	Component of the NuA4 histone acetyltransferase (HAT) complex which is involved in transcriptional activation of select genes principally by acetylation of nucleosomal histones H4 and H2A. NuA4 may also play a direct role in DNA repair when directly recruited to sites of DNA damage.	-0.41	-0.49				
mfge8	lactadherin-like isoform X1	Contributes to phagocytic removal of apoptotic cells in many tissues.	-0.43	-0.49				

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
dhtkd1	probable 2-oxoglutarate dehydrogenase E1 component DHKTD1, mitochondrial	The 2-oxoglutarate dehydrogenase complex catalyses the overall conversion of 2-oxoglutarate to succinyl-CoA and $CO_2$ . [succinyl-CoA = an important intermediate in the citric acid cycle/Krebs cycle] [2-oxoglutarate = also called, $\alpha$ -ketoglutarate. It is the keto acid produced by deamination of glutamate, and is an intermediate in the citric acid cycle/Krebs cycle]	-0.44	-0.42				
abhd13	protein ABHD13-like	Uncharacterised. The $\alpha/\beta$ -hydrolase domain (ABHD) proteins which are characterized with beta strands connected by alpha helices in common belong to $\alpha/\beta$ -hydrolase (ABH) superfamily including esterases, lipases, proteases, peroxidases, dehalogenases, and epoxide hydrolases.	-0.43	-0.41				
lin37	protein lin-37 homolog	Uncharacterised.	-0.42	-0.47				
pcdh1	protocadherin-1 isoform X1	May be involved in cell-cell interaction processes and in cell adhesion.	-0.41	-0.51				
f52c9.6	putative uncharacterized transposon-derived protein F52C9.6	Uncharacterised.	-0.53	-0.43				
f52c9.6	putative uncharacterized transposon-derived protein F52C9.6	Uncharacterised.	-0.63	-0.42				
stk10	serine/threonine-protein kinase 10-like isoform X2	Serine/threonine-protein kinase involved in regulation of lymphocyte migration. Acts as a negative regulator of MAP3K1/MEKK1. May also act as a cell cycle regulator by acting as a polo kinase kinase.	-0.46	-0.48				
srap	serine-rich adhesin for platelets-like	In bacteria, mediates binding to human platelets, possibly through a receptor-ligand interaction.  Probably associated with virulence in endovascular infection.	-0.46	-0.49				

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
sh3bp5	SH3 domain-binding protein 5-like	Functions as guanine nucleotide exchange factor (GEF) with specificity for RAB11A and RAB25. Inhibits the auto- and transphosphorylation activity of BTK. Plays a negative regulatory role in BTK-related cytoplasmic signaling in B-cells. May be involved in BCR-induced apoptotic cell death.	-0.42	-0.56				
slc20a1	sodium-dependent phosphate transporter 1-A-like isoform X1 / 1-B	Sodium-phosphate symporter which plays a fundamental housekeeping role in phosphate transport. May function as a retroviral receptor as it confers human cells susceptibility to infection to various viruses.	-0.42	-0.41				
aldh5a1	succinate-semialdehyde dehydrogenase, mitochondrial isoform X2	Involved in the final degradation step of the inhibitory neurotransmitter gamma-aminobutyric acid (GABA).	-0.52	-0.48				
taf6l	TAF6-like RNA polymerase II p300/CBP-associated factor-associated factor 65 kDa subunit 6L	Functions as a component of the PCAF complex. The PCAF complex is capable of efficiently acetylating histones in a nucleosomal context. With TAF5L, acts as an epigenetic regulator essential for somatic reprogramming.	-0.44	-0.43				
scrt2	transcriptional repressor scratch 2-like	May be involved in transcriptional regulation.	-0.43	-0.55				
scrt2	transcriptional repressor scratch 2-like	May be involved in transcriptional regulation.	-0.45	-0.46				
tmcc1 /tmcc2	Transmembrane and coiled-coil domains protein 1/2	Endoplasmic reticulum membrane protein that promotes endoplasmic reticulum-associated endosome fission. Endosome membrane fission of early and late endosomes is essential to separate regions destined for lysosomal degradation from carriers to be recycled to the plasma membrane.	-0.44	-0.40				
	universal stress protein A-like protein isoform X5	Uncharacterised.	-0.59	-0.45				

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI?
	universal stress protein A-like protein isoform X5	Uncharacterised.	-0.63	-0.41				
futsch	microtubule-associated protein futsch	During embryogenesis, necessary for dendritic and axonal organisation and growth at the neuromuscular junction through the regulation of the synaptic microtubule cytoskeleton. Stabilises microtubule hairpin loops in synaptic boutons at the neuromuscular synapse. Rearrangement of these microtubule-based loops may be a critical component of the process of bouton division and for subsequent nerve-terminal growth and branching. Together with Ringer, required for neuromuscular junction (NMJ) bouton growth by regulating synaptic microtubules.	-0.46		-0.40			
smim29	small integral membrane protein 29-like isoform X1	Uncharacterised.	-0.45		-0.41			
gga	ADP-ribosylation factor-binding protein GGA	Plays a role in protein sorting and trafficking between the trans-Golgi network (TGN) and endosomes.  Mediates export of the GPCR receptor ADRA2B to the cell surface. Required for targeting PKD1:PKD2 complex from the trans-Golgi network to the cilium membrane.	-0.42					
ctnnal1	alpha-catulin isoform X1	May modulate the Rho pathway signaling by providing a scaffold for the Lbc Rho guanine nucleotide exchange factor (ARHGEF1). [Rho = family of GTPases (small signalling G proteins) regulate many aspects of intracellular actin dynamics. Play a role in organelle development, cytoskeletal dynamics, cell movement, and other common cellular functions].	-0.50					
rbr3	cell wall protein RBR3 isoform X3	GPI-anchored cell wall protein involved in cell wall organisation, hyphal growth, as well as in host-fungal interaction and virulence.	-0.53					

Table D.3 continued.

Gene	Annotation	Putative function	CO <sub>2</sub> treat- ment	Active time	Dist.	Speed	No. EI	EI
klhl2	kelch-like protein 2	Component of a cullin-RING-based BCR (BTB-CUL3-RBX1) E3 ubiquitin-protein ligase complex that mediates the ubiquitination of target proteins, such as NPTXR, leading most often to their proteasomal degradation. Responsible for degradative ubiquitination of the WNK kinases WNK1, WNK3 and WNK4. Plays a role in the reorganisation of the actin cytoskeleton. Promotes growth of cell projections in oligodendrocyte precursors.	-0.45					
klhl2	kelch-like protein 2	Component of a cullin-RING-based BCR (BTB-CUL3-RBX1) E3 ubiquitin-protein ligase complex that mediates the ubiquitination of target proteins, such as NPTXR, leading most often to their proteasomal degradation. Responsible for degradative ubiquitination of the WNK kinases WNK1, WNK3 and WNK4. Plays a role in the reorganisation of the actin cytoskeleton. Promotes growth of cell projections in oligodendrocyte precursors.	-0.41					
ppp1r37	protein phosphatase 1 regulatory subunit 37-like isoform X1	Inhibits phosphatase activity of protein phosphatase 1 (PP1) complexes.	-0.45					
vps11	vacuolar protein sorting-associated protein 11 homolog	Plays a role in vesicle-mediated protein trafficking to lysosomal compartments including the endocytic membrane transport and autophagic pathways.	-0.42					
hivep l	zinc finger protein 40 isoform X1	This protein specifically binds to the DNA sequence 5'-GGGACTTTCC-3' which is found in the enhancer elements of numerous viral promoters such as those of SV40, CMV, or HIV-1. It may act in T-cell activation. Involved in activating HIV-1 gene expression.	-0.46					
znf704	zinc finger protein 704-like	Transcription factor which binds to RE2 sequence elements in the MYOD1 enhancer.	-0.41					

Table D.3 continued.

Gene	Annotation	Putative function	$CO_2$	Active	Dist.	Speed No. EI?
			treat-	time		EI
			ment			

1 unannotated hub gene: positive correlation with CO<sub>2</sub> treatment and speed

1 unannotated hub gene: positive correlation with CO<sub>2</sub> treatment, negative correlation with active time and no. soft mirror touches

2 unannotated hub genes: positive correlation with CO<sub>2</sub> treatment, negative correlation with number of soft mirror touches

3 unannotated hub genes: positive correlation with CO<sub>2</sub> treatment

7 unannotated hub genes: negative correlation with CO<sub>2</sub> treatment and active time

3 unannotated hub genes: negative correlation with CO<sub>2</sub> treatment and distance

9 unannotated hub genes: negative correlation with CO<sub>2</sub> treatment

Table D.4. List of function groups for genes identified as CNS-specific hub genes shared by CO<sub>2</sub> treatment and one or more activity traits.

Function group	Hub genes shared by CO <sub>2</sub> treatment and one or more activity traits: Positive correlation	Hub genes shared by CO <sub>2</sub> treatment and one or more activity traits: Negative correlation
Cell cycle		
G1 phase	cdtl	
G1 to S phase transition	snd1	
Centrosome duplication	tubg1, nat10	
DNA replication	psf2, cdt1, mcm5, dbf4, spt16, foxm1, bptf	
G2 phase	ranbp2	
G/2M cell-cycle checkpoints	donson	
Mitosis	ncaph, smc4, ttk, incenp, bub1, foxm1, cdt1	
Spindle assembly checkpoint signalling	bub3	
Cytokinesis	zip, myh9/10, myl9, anln, prpf40a	
Cell cycle regulators	foxm1, eif3b, ccnb3, melk, ttk, bub1, dbf4, anb32a,	stk10
	klf10, ecd	
Cell proliferation	ttk, eif3b, foxm1, melk, dbf4, srrt, tk1, tgfb1i1,	btg1, calm
	pa2g4, ttc3, ptpr	
Cell cycle-related apoptosis	anb32a, eif3b, melk	
Other neurogenesis-related		
Cell migration	arpc5, anln, myl9, slit3, mbtp, prpf40a	dag
Cell adhesion	itga4, itga9, slc4a11, pcdh1, vcl, rac1, ptpr, pa2g4	pcdh1
Cell differentiation	eif3b, rac1, ptpr, tbc1d1, tgfb1i1, itga4, pa2g4,	btgl
	slc4a11	
Neuronal differentiation	ttc3, rac1	
Neural stem cell self-renewal	srrt	
Neural progenitor proliferation	melk	
Neurogenesis	ncaph, adgrb3	
Somatic reprogramming		taf6l
Dendrite spine formation	adgrb3, rac1	
Neurite outgrowth and branching	apbb1, ptpr	futsch
Synaptogenesis	adgrb3	

Table D.4 continued.

Function group	Hub genes shared by CO <sub>2</sub> treatment and one or more activity traits: Positive correlation	Hub genes shared by CO <sub>2</sub> treatment and one or more activity traits: Negative correlation
Peripheral nerve myelination		dag
Protein synthesis and protein turnover		
Amino acids	shmt1, glyat	
Nucleotides	entpd8, purH	
Transcription	polr1a, spt16, bptf, arpc5	
RNA splicing	melk, snrpa, ecd, prpf40a	
RNA processing	cstf1, exosc10	
RNA modification	nat10, pa2g4	
tRNA	eeflg, pus3	
Translation	eif3b, eif3d, eef1g	
Ribosome	rpl23a, rpl4, rpl7l, rps27a, nop58	
Post-translation modification	ranbp2	dph2
Protein folding and quality control	hspa5, pdia3, pdia4, pdia5, ssr1, ganab, ppib	•
Protein trafficking	stt3a, tmed2, rpn2, rpn1, nup160, nup155, nup205	
E3 ubiquitin ligase	ttc3, cblb	
Proteasome	rpnl	
Lysosomal degradation	•	tmcc1/2
Cellular Stress Response		
Apoptosis (other)	rtkn	
Apoptosis (response to DNA damage/ER stress)	apbb1, tmem214-b	
DNA damage response / DNA repair	apbb1, spt16, foxm1, bptf, arpc5	nit1, ing3
Oxidative stress response	slc4a11	
Unfolded protein response	hspa5	
Neurotranmsission		
GABAergic neurotransmission	phf24, rac1	aldh5a1
Endocannabinoid signalling	abhd12	
GPCR trafficking	tmed2	
Synaptic microtubule skeleton		futsch
Synaptic vesicle endocytosis		dgkq

Table D.4 continued.

Function group	Hub genes shared by CO <sub>2</sub> treatment and one or more activity traits: Positive correlation	Hub genes shared by CO <sub>2</sub> treatment and one or more activity traits: Negative correlation
Epigenetic regulation		
Histone modification (acetylation, methylation)	anb32a, ashh1/2/3/4/mes-4/setd2, nat10, pa2g4	ing3, taf6l
Immune system		
B cells	cblb	sh3bp5
Cell adhesion in immune response	itga4, itga9, rac1, ptpr	
Immunological process regulation	abhd12	
Innate immune response	tf	
T cell	ptprc, itga4, cblb	
Viral binding/receptor	hspa5, ptprc	slc20a1
Viral replication	anb32a	
Energy Production		
Fatty acid metabolism		abcd2
Glucose metabolism and transport	klf10, tbc1d1, tbc1d4, ecd	
Others		
Angiogenesis	adgrb3, ttc3	
Circadian clock regulation	klf10	
Folate transport	slc25a32	
Motility in cilia and flagella	dnaaf2	
Spatial memory	apb <sup>o</sup> l	