Hybrid UHF/UWB Antenna for Passive Indoor Identification and Localization Systems

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Abstract—There is a growing interest for simultaneous identification and centimetre-resolution localization of multiple targets in indoor environments. A hybrid passive UHF/UWB RFID concept has been recently proposed that conciliates the potential from high resolution UWB impulse radio with the typical range from UHF-RFID identification systems. This paper proposes a new planar antenna for hybrid passive tag systems, which operates both in the UHF-RFID band and in the FCC UWB band. The co-designed UHF and UWB antenna elements are printed back-to-back on each side of a common substrate with appropriate topology for future integration with a single UHF-UWB RFID chip. Experimental tests have shown that both UHF-RFID and UWB performance of the hybrid antenna are comparable to available commercial solutions that work just on a single band. The antenna is adequate for lowcost mass production of hybrid passive tags. It aims at low-cost passive RFID systems combining the ability of item identification with precise tracking in indoor environments.

Index Terms—Indoor localization and identification, passive tag antenna, dual-band antenna, RFID, UWB.

I. INTRODUCTION

THERE is a high demand for reliable and accurate indoor real-time identification and tracking of persons and/or objects, where satellite-based services fail. The growing market of Real-Time Location Systems (RTLS) is expected to reach 2700 million US dollars in 2016 [1] yet most of the available commercial solutions are still not 100% reliable [2] and have high associated costs.

Usual indoor RTLS are based on optical, infrared, ultrasounds, radio frequency or a combination of these technologies. Optical and infrared systems are very accurate but require line of sight [3]. Ultrasounds are also highly accurate but they are susceptible to environment noise [4]. Hybrid systems combining optical imaging with infrared and ultrasound have been widely explored for example in gamepads for the general public. Although the accuracy of these systems is generally very good, the major limitation is the number of objects that can be simultaneously tracked, especially when they obstruct each

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other. Even more critical is the fact that unique identification is not possible in general.

Radio frequency RTLS can overcome this limitation by providing unique identification of the object and in some cases also the location, although generally with less accuracy than the previously described systems. The most common radio frequency RTLS are based on RFID or UWB technologies [5].

Current commercial RFID systems are designed for item identification only, but they can still be used for coarse positioning. In one common approach, coarse tag positioning is obtained by comparing its received signal strength (RSS) with the RSS from neighboring tags located in pre-defined positions [6]. Accuracies are in the order of 1 m, limited by RSS strong dependence on the environment and tag orientation [7], [8]. In a different perspective, RFID reader antennas can be designed to self-delimit a near-field detection zone, enabling selective identification of only those objects that are placed above a given shelf [9], on a conveyor belt [10] or in front of a mirror [11]. Despite the lower resolution from RFID based RTLS, this is one of the cheapest solutions per item, especially when using battery-less (or passive) tags. Passive tags do not require maintenance and its unit cost for the UHF band is expected to reach 0.05 US dollars between 2014 and 2016 [12].

In UWB localization systems, distance to the target is obtained from time-of-arrival (ToA) of sub-nanosecond transmitted pulses. These systems typically achieve centimeter resolution [1]. Regulations for UWB authorize unlicensed use of 3.1 to 10.6 GHz spectrum in US [13] and 6 to 8.5 GHz in Europe [14], subject to a spectral power density limit of -41.3dBm/MHz. This ensures spectrum sharing with other established narrowband applications without mutual interference, but at the same time it implies a severe range limitation. UWB systems are highly immune to multipath interference, have low power consumption and involve low complexity transceivers since baseband transmission is used. Commercial UWB localization solutions already exist based upon active tags [15], [16]. However, passive UWB tag solutions would be more attractive for low-cost, maintenance-free large-scale deployment applications, if the range limitation could be overcome. In fact, regulation compliant ultra-wideband pulses are insufficient to energize passive tag's chips, unlike what is done in UHF RFID.

An alternative approach has been presented in [17] where a narrowband continuous wave UHF RFID signal is broadcast by the reader, which carries the clock, commands, and energy to power-up the chip on the tag, whereas it responds with UWB pulses. The feasibility of the UHF/UWB hybrid concept is demonstrated in [18] using a breadboard circuit with discrete components and well separated UWB and UHF antennas to

avoid mutual influence. This large circuit is not practical for real applications. In [19] a UHF/UWB hybrid chip is developed with on-chip UHF and UWB antennas each one connected to the respective port. The solution is very compact with a few mm square, but the downside is the achievable range of only 7 cm because of insufficient on-chip antenna size. This motivates the development in the present work of a single external efficient antenna with two independent ports (for the UHF and UWB), located close enough to be compatible with a single chip similar to the one in [19] to boost the system range. The fundamental requirement for the antenna design is its simultaneous operation at UHF and in the FCC UWB band, with best possible pulse preservation for accurate estimation of ToA. The antenna is also required to be compact, lightweight and low-cost.

This paper is organized in four sections. The antenna design is described in Section II. The performance of a prototype is presented in Section III. Numerical and experimental RFID detection and ranging results are given and discussed throughout. Conclusions are finally drawn in Section IV.

II. ANTENNA DESIGN

The schematic of the developed hybrid UHF-UWB antenna is presented in Fig. 1. It is a planar antenna comprising two metallic layers printed on each side of a h = 10 mil = 0.254mm thick Duroid 5880 substrate with permittivity $\varepsilon_r = 2.2$ and loss tangent $tan(\delta) = 0.0009$. One of the printed layers corresponds to the UHF radiating element and the other to the UWB element. The UHF element is based on a meandered line plus loop, while the UWB is a modified version of the Crossed Exponential Tapered Slot antenna (XETS) proposed in [20], [21] which was shown to present excellent transient performance for the full UWB band. As will be explained ahead, one of the challenges is to design and arrange the UHF and UWB elements in a way that minimizes mutual influence. Furthermore, the two elements are aligned so that the feeding ports almost overlap at each side of the substrate, see Fig. 1. In this way, a single hybrid RFID-UWB chip can be used to connect its ports directly and through vias to the antenna ports. Actually such hybrid IC chip is not yet available commercially, although a similar one has been demonstrated in [19] for on-chip antennas. Therefore, in order to design and test the antenna, it is assumed that the hybrid chip will present a differential port for UWB with 50 Ω input impedance. For the UHF port, it is assumed that the chip will present the input impedance of current commercial UHF RFID ICs. The antenna is designed considering the input impedance of the ALIEN Higgs-2 IC which is $Z_{\rm chip} = 15.5 - \mathrm{j}152~\Omega$ [22], which is available at our lab for integration and test. Alternative chips can be easily accommodated in the design.

A. RFID Chip Characterization

Since the RFID chip on the UHF side of the substrate is directly on top of the UWB terminals on the other face of the antenna, the chip is expected to influence as well the UWB antenna impedance and radiation performance. Thus, it is essential to obtain a lumped model describing the chip behavior for both bands, to be used in the electromagnetic solver. The chip manufacturer provides a lumped model only for the UHF band,

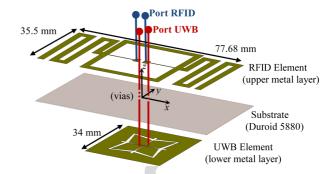


Fig. 1. Exploded view of the hybrid RFID-UWB antenna showing the two metalized faces from each side of a common planar substrate.

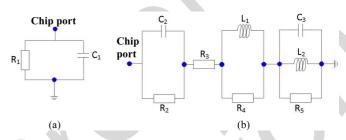


Fig. 2. Lumped component model of chip "Higgs-2 EPC Class 1 Gen 2": (a) provided by the manufacturer for UHF RFID; (b) used to describe both UHF and full UWB band behavior.

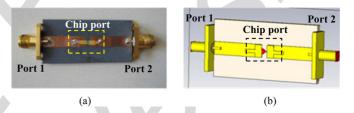


Fig. 3. Microstrip test line with RFID chip at half-length: (a) fabricated prototype; (b) simulation model in CST.

a parallel between a 1500 Ω resistor and a 1.2 pF capacitor, see Fig. 2(a). This is not enough to model the chip for the full FCC UWB band.

A procedure that combines simulated and measured results is used to de-embed the RFID chip impedance up to 10.6 GHz. Two test circuits were manufactured: a reference circuit corresponding to a straight 50 Ω microstrip line and a second circuit with a microstrip line with the same dimensions but with a gap at half length, loaded at that point with the chip under test, see Fig. 3. Both circuits are replicated in the CST Microwave Studio [23], where the RFID chip is modeled by a lumped circuit, Fig. 2(b). The lumped model parameters are adjusted to maximize the correlation between measured and simulated curves of s_{11} and s_{21} versus frequency. Table I presents the final component values.

Fig. 4 compares magnitude and phase of measured and simulated s_{11} and s_{21} curves, using either the manufacturer UHF model or the proposed extended model.

Fig. 4 confirms that the manufacturer model agrees with the measured curves for UHF (<1 GHz). However, only the new model agrees well for the extended band, namely for high magnitude values of s_{21} from 2 to 6 GHz. The extended model is

 $TABLE \ I \\ Component \ Values \ for \ the \ Lumped \ Element \ Models \ of \ the \ Chip$

C_I		C_2	C_3		R_I		R_2	
1.2pF	1.	14pF	1.18	BpF	1500C	hm	1:	5000Ohm
R_3		R	4		R_5	L	1	L_2
4Ohm		100	hm	500	00Ohm	5.5	nН	0.18nH

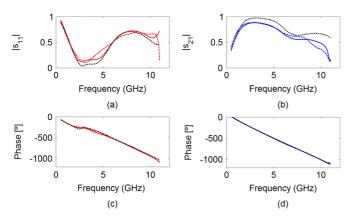


Fig. 4. Magnitude ((a) and (b)) and phase ((c) and (d)) for s11 and s21, respectively, measured (____) and simulated (___) using the proposed extended model for both UHF and UWB bands and using the manufacturer model (ALIEN) (,...).

used throughout in CST for the co-design of the UHF and UWB radiating elements.

B. Design of the RFID Part of the Antenna

Design and performance of the RFID part of the hybrid antenna are affected by the presence of the XETS on the other face of the substrate, and vice-versa. By choosing an appropriate orientation and alignment of the two radiating elements at each side of the substrate, to be detailed ahead, it is possible to minimize its mutual influence. At a first step of the UHF element optimization, a previous XETS design is used on the other face of the substrate, loaded with 50 Ω at its port.

The geometry of the UHF-RFID element in Fig. 5 shows a double loop connected to a meandered dipole. Since UHF and UWB ports must be close in the xy-plane as explained, two microstrip lines are used inside the loop to connect the UHF RFID chip at the center. The lines are very narrow (0.4 mm) and positioned in a way that minimizes the interference with the XETS antenna on the opposite side.

The antenna RFID port impedance must equal the complex conjugate of the chip impedance, for maximum power transfer: $Z_{\rm antenna}^{\rm RFID}=15.5+\rm j152~\Omega$ at 860 MHz. Thus, the antenna impedance must exhibit inductive behavior, which is achieved using the loop. The adopted double-loop configuration enhances bandwidth compared to a single loop [24]. The loop itself presents insignificant real part of the impedance, so the radiating behavior is obtained with the addition of a dipole, which is meandered to reduce its length.

The described UHF-RFID element is optimized to operate for the three geographic worldwide region sub-bands: 865–868 MHz for Europe, 902–928 MHz for U.S. and 952–954 MHz for Japan. The obtained parameter values are given in Table II.

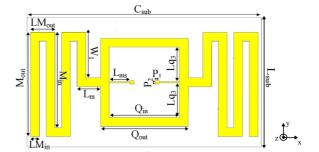


Fig. 5. Geometry of the RFID face of the hybrid antenna, designed with CST Microwave Studio.

TABLE II
PARAMETER VALUES OF THE RFID FACE IN MILLIMETERS

C_{sub}	L_{sub}	Mout	M_{in}	LM _{out}	LM_{in}	P_I
80	44	35.5	32.5	8.28	3	1.5
L_m	W_l	L_{ms}	Qin	Qout	Lq_3	P_2
8	15.5	7	24	30	11.8	1.116

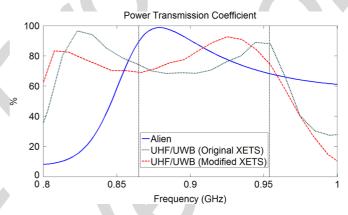


Fig. 6. Simulated Power Transmission Coefficient for the proposed hybrid antenna and for ALIENS's Squiggle tag.

It makes sense to analyze the power transfer coefficient τ from the antenna to the chip, as this relates directly to the tag achievable range. It measures the maximum fraction of the available power at the antenna port that is transferred to the chip [25]. The simulated result is presented in Fig. 6 (dotted green curve). Power transmission is above 70% level across the entire UHF band (from 860 MHz to 960 MHz). Superimposed on Fig. 6 is the transmission coefficient for the commercial tag ALN-9640 Squiggle Alien [22] that uses the same RFID chip (solid blue curve). It is noted that the hybrid tag presents comparable performance within the UHF-RFID band, though with marginally lower power transfer values. This will be improved at a later stage of the hybrid antenna design, as detailed ahead.

Fig. 7 shows the simulated radiation pattern at 866 MHz. The hybrid antenna presents at UHF-RFID a typical dipole-like radiation pattern. Similar behavior is observed across the whole UHF-RFID band.

C. Design of the UWB Part of the Antenna

The XETS element used on the UWB face of the antenna (Fig. 8) derives from the original version demonstrated by the authors in [20], [21] for the full FCC UWB band (3.1 GHz to

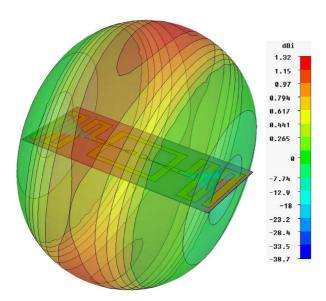


Fig. 7. Simulated UHF-RFID far-field radiation pattern at 866 MHz.

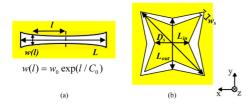


Fig. 8. Parameters defining the UWB face of the hybrid antenna [20]: (a) single exponentially tapered slot; (b) star slot.

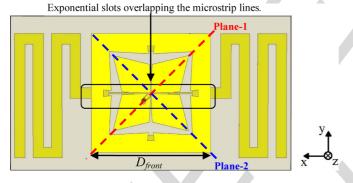


Fig. 9. Representative scheme of the orientation of the two overlapping faces of the hybrid antenna considering a transparent substrate.

10.6 GHz). This antenna has stable radiation pattern, well defined linear polarization and very well defined constant phase center versus frequency.

Some modifications of the original XETS configuration are however necessary for integration into the hybrid antenna. It is reduced to a single metallization layer and the feeding is done directly at the XETS front face. As in [20], [21], a thin semi-rigid coaxial cable EZ-41 (1.19 mm diameter), is used for that (Fig. 9).

As in the previous Section, the optimization of the UWB antenna shape parameters is performed with the UHF antenna on the other side, loaded by the RFID chip model from Section II.A.

Table III summarizes the obtained XETS parameter values presented in Fig. 8 and keeping the same nomenclature from [20].

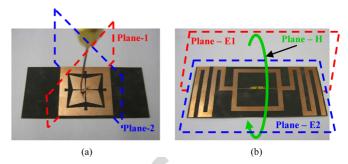


Fig. 10. Hybrid antenna prototype: (a) UWB face; (b) RFID face.

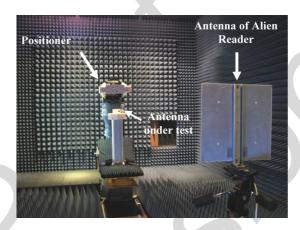


Fig. 11. Setup for measuring RFID range inside the anechoic chamber.

The slot exponential geometry is given by the width w(l) at the slot longitudinal coordinate l measured from the centre of the slot, see Fig. 8. Slot width at the centre is w_0 , C_0 is the exponential expansion parameter and h is the antenna thickness. Simulated VSWR curve and radiation pattern are shown in Figs. 13, 14 and 15 with experimental results.

This change in the XETS shape influences the previously designed UHF-RFID element performance. Fig. 6 shows the corresponding power transfer coefficient after replacing the XETS by the modified one (dashed red curve): actually the power transfer characteristic improves, becoming much similar to Squiggle's. Thus, no further iteration of the design process is required.

III. ANTENNA EXPERIMENTAL PERFORMANCE

Fig. 10 shows both faces of the manufactured prototype. The coaxial cable is connected between two opposed petals from the UWB antenna (Fig. 10(a)) and the RFID chip is soldered at the UHF port (Fig. 10(b)). Corresponding experimental RFID detection and UWB ranging performance is analyzed next.

A. RFID Performance

The RFID reading range of the hybrid antenna is evaluated inside an anechoic chamber. For this purpose, the antenna under test is attached to an azimuth positioner, with due care to avoid unwanted reflections from antenna back lobe. The RFID reader antenna is attached to a tripod and pointed to the center of the hybrid antenna. For each azimuth orientation of the hybrid antenna (at 15° steps of the azimuth positioner), the tripod travels

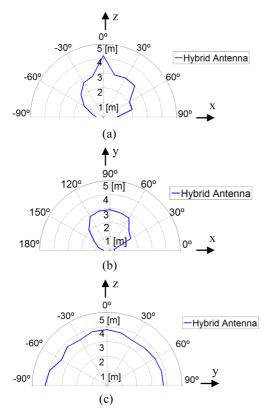


Fig. 12. Polar RFID detection range charts for the hybrid antenna at the planes: (a) E1 (xz plane); (b) E2 (xy plane); (c) H (yz plane).

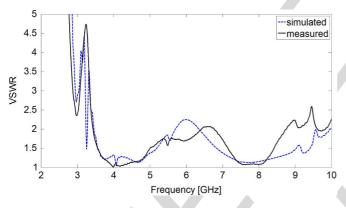


Fig. 13. Measured and simulated VSWR curve of UWB port of the hybrid antenna with a RFID chip placed in the RFID port.

along the link direct path to determine the corresponding edge of the RFID detection region.

Commercial circular polarized antenna ALR-8610-AC and ALR-8800 Enterprise Scalable RFID Reader from ALIEN are used in these tests. Its power is adjusted to limit detection to the maximum measurable distance inside the anechoic chamber (4 m). Results for the antenna main planes are presented in Fig. 12. The z-axis (plane-E1) and y-axis (plane-E2) correspond to face-to-face alignment of tag and reader antenna (Fig. 11). The results are consistent with the radiation pattern from Fig. 7, resembling a dipole radiation pattern with nulls along the x-axis (dipole axis).

The same test is repeated for the commercial ALIEN ALN-9640 Squiggle passive tag [22] that uses the same RFID chip.

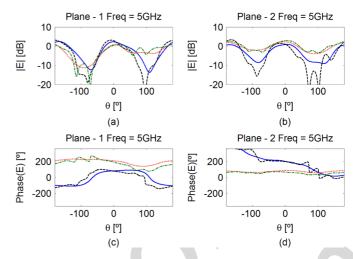


Fig. 14. Measured $E\theta$ (_____) and $E\phi$ (_____) and simulated $E\theta$ (_____) and $E\phi$ (_____) radiation patterns at f=5 GHz: (a) plane-1 magnitude; (b) plane-2 magnitude; (c) plane-1 phase; (d) plane-2 phase.

Obtained results are practically coincident with the hybrid antenna results, also with a maximum range of about 4 m. If maximum allowed reader power is used in open space, both tags are detected up to 8 m distance. Tests show that the RFID performance of the proposed hybrid antenna tag is comparable to the performance of a standard commercial passive tag. However, as will be demonstrated next, the developed antenna further operates simultaneously in the FCC UWB band, which is not the case of available commercial RFID tags.

B. UWB Performance

Measured VSWR of the hybrid antenna is superimposed on simulations in Fig. 13. There is a good match between measured and simulated curves across the FCC UWB band, with values mostly below 2. Although VSWR of the UWB antenna degrades near the edges of the UWB band, this does not compromise localization performance for impulse-based systems. The used pulse is identical to the one presented in [20], (5). It is defined by

$$u(t) = \cos(2\pi f_c t) \exp\left[-2\pi (t/\tau)^2\right] \tag{1}$$

where the central frequency is $f_c = 6.85$ GHz in order to center the pulse spectrum within the UWB band and the Gaussian width is $\tau = 228$ ps to comply with the FCC indoor spectrum mask. In fact the pulse spectrum decreases significantly near the edges of the UWB band. Therefore, the pulse shape is not affected by the slight restriction of the operation band at the edges. Pulse fidelity, [26] which quantifies the pulse preservation performance of the antenna is 95% in the main direction.

Measured radiation patterns are shown in Figs. 14 and 15 at 5 GHz and 7.5 GHz, respectively, superimposed on the CST simulated curves. The same figures present the absolute gain: it is 3.4 dBi at 5 GHz and 6.1 dBi at 7.5 GHz. These values are similar to those previously obtained for the stand-alone UWB antenna described in [20] which was characterized between 3.1 and 10.6 GHz. Unlike the original XETS [20], [21], the presence of UHF part of the hybrid antenna precludes a stable and well-defined polarization in the UWB band. However, this does not prevent

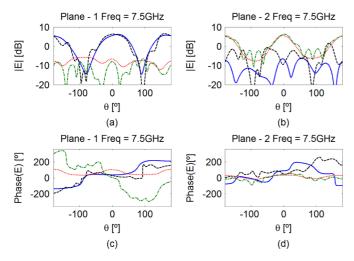


Fig. 15. Measured $E\theta$ (----) and $E\phi$ (----) and simulated $E\theta$ (---) and $E\phi$ (----) radiation patterns at f=7.5 GHz: (a) plane-1 magnitude; (b) plane-2 magnitude; (c) plane-1 phase; (d) plane-2 phase.

TABLE III PARAMETER VALUES OF THE XETS ANTENNA IN mm							
D_{front}	D_s	L_{out}	L_{in}	w_s			
34	33.574	22.468	16.282	1.574			
L	w_0	C_0	h				
27							

good positioning accuracy as will be discussed ahead. Measured phase pattern is almost horizontal within the main lobe, as seen in (c) and (d) plots from Figs. 14 and 15. It indicates that the antenna phase center position is constant, near its geometric center in both planes and both frequencies, a fundamental characteristic to preserve the pulse shape.

C. Localization Performance

The final goal of the design of this antenna is its integration with an identification and localization system. Therefore indoor localization trials are performed to determine the antenna performance. Two different experimental tests are carried out: in the first test, the position of the hybrid tag in a plane is determined using synthesized pulses in the frequency domain together with a trilateration algorithm based on pulse time-of-arrival (ToA); in the second test, the developed antenna is used directly on a commercial active UWB ranging system that transmits actual time domain pulses.

The two-dimension (2D) trilateration setup is shown in Fig. 16. The three sensors (original XETS antennas, [20]) are mounted at the vertices of an equilateral triangle with 1.73 m side, with vertical polarization. The hybrid antenna is attached to an azimuth positioner at the center of the triangle, exactly at 1 m distance from each sensor. The photo shows the cluttered surrounding environment, which remains static during the measurements. This set-up enables to isolate the hybrid antenna ranging performance, by eliminating the influence from the sensor antennas.

The three XETS sensors and the hybrid tag antenna are connected to a 4-port Vector Network Analyzer—VNA (Agilent Technologies E5071C 300 kHz—14 GHz ENA Series Network

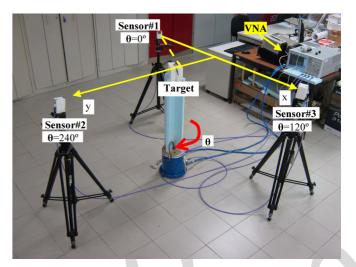


Fig. 16. Localization setup with 3 sensors and 1 target.

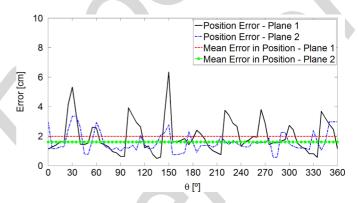


Fig. 17. Position error as a function of angular rotation of the target for hybrid antenna for both planes 1 and 2.

Analyzer) and calibration is performed at the four antenna ports. Channel frequency response between each sensor and the hybrid antenna is measured in magnitude and phase over the frequency interval from 2 GHz to 12 GHz.

Distance information is calculated by post-processing, using the procedure detailed in [20]: measured channel frequency response is multiplied by the spectrum of an ultra-short Gaussian test pulse spanning the interval [3.1–10.6 GHz] and complying with FCC energy mask; when transformed into time-domain, this product provides a good estimation of the pulse ToA. A trilateration algorithm is then used to estimate the hybrid antenna position and the corresponding error with respect to its actual position.

Fig. 17 shows the estimated position error (distance between actual and estimated position from experimental data) when the hybrid antenna is rotated about its axis in plane-1 and -2, at 1 m constant distance to the target. Plane-1 corresponds to cross-polarization link, while plane-2 corresponds to co-polarization link. The obtained average position error is in the order of 2 cm, with best results for the co-polarization measurement. It is worth noting that even for cross-polarization, the distance error does not exceed 6.5 cm.

Fig. 18 shows a top view of the test plane, with the representation of the estimated tag position for each angular orientation of

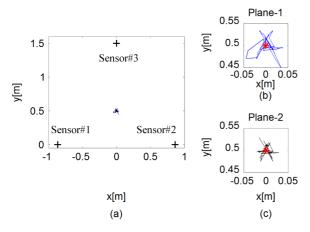


Fig. 18. (a) Real and measured target position using hybrid antenna for both planes, (b) zoomed measured target position for plane-1; (c) zoomed measured target position for plane-2.

the tag in plane-1 and -2. It demonstrates very good positioning performance obtained with the proposed hybrid antenna.

As mentioned before, the antenna is subsequently put through a second test, by integrating it with a commercial active system for UWB ranging: PulsON 400 model from the time domain manufacturer [15]. The objective is to evaluate the hybrid antenna performance compared to commercial solutions that work only for UWB, even if the above equipment comes configured for its own antennas. Furthermore, this allows testing the antenna for larger distances. In this test a pair of antennas is placed face-to-face with co-polar alignment and distance in the interval from 1.5 m to 6.5 m, in the same indoor environment as before. Two different antennas are tested: manufacturer-manufacturer, and hybrid-hybrid (more than one copy of the hybrid antenna was fabricated for these tests to evaluate repeatability). The PulsON 400 system transmits a train of pulses with a spectrum in the interval 3.1 GHz to 5.3 GHz, and the average from 100 distance readings is logged for each case, along with the standard deviation.

Results from measured distance error are summarized in Fig. 19. Measurements at 1.5 m are used for calibration of systematic errors and consequently Fig. 19 presents zero error for that distance. It is seen that distance errors for both antennas increase with distance but are less than 5% of the distance for Time Domain proprietary antennas, with standard deviations in the order of 4 cm; for the new hybrid tag antennas the distance error is slightly better (<1.5%). The same line-of-sight tests were repeated in several areas of the lab with different lateral clutter, and similar results were obtained. It is therefore reasonable to assume that the developed antenna allows at least the same ranging performance from available commercial antennas that are currently used in this type of equipment for UWB localization, but with the added advantage of simultaneous correct operation in the UHF RFID band.

IV. CONCLUSION

A new hybrid antenna has been developed for passive object identification and localization in indoor environments. The antenna can be used in a system where the reader activates

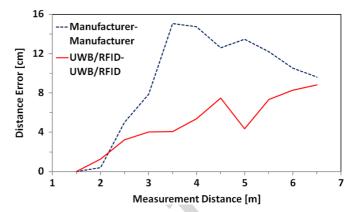


Fig. 19. Distance error for different measurement distance with different combinations of antennas using PulseON equipment.

the tag's chip through a narrowband UHF signal and the tag answers with ultra-short UWB pulses, allowing its position determination with centimeter-class resolution. The antenna topology was specifically designed to allow UHF and UWB ports to be close enough to be compatible with a few mm² chip. It is a compact, low-profile and potentially low-cost solution (possibly with the same price of current RFID passive tags with identification function only).

Despite the fact that these hybrid chips do not yet exist commercially, the finished hybrid antenna was experimentally tested using a commercial RFID chip to test RFID performance; also the ranging performance of the same antenna loaded with the RFID chip was tested using both synthesized pulses based on VNA channel measurement (for full FCC UWB characterization) as well as a commercial ranging system based on actual impulse radio. In all cases the hybrid antenna performed similarly to best available solutions that work either on RFID or on UWB, with the added advantage of performing well simultaneously on both bands. It is relevant to mention that the study was complete for the whole solid angle of the antenna. Repeatability tests showed that the design is robust with respect to fabrication tolerance.

Thus, the hybrid antenna is appropriate for potential systems that combine identification and centimeter-class indoor localization using passive and therefore very low cost technology. This type of solution does not exist in current commercial systems and encourages the emergence of new applications for the general public, which are expected to have explosive growth in the coming years.

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Hybrid UHF/UWB Antenna for Passive Indoor Identification and Localization Systems

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Abstract—There is a growing interest for simultaneous identification and centimetre-resolution localization of multiple targets in indoor environments. A hybrid passive UHF/UWB RFID concept has been recently proposed that conciliates the potential from high resolution UWB impulse radio with the typical range from UHF-RFID identification systems. This paper proposes a new planar antenna for hybrid passive tag systems, which operates both in the UHF-RFID band and in the FCC UWB band. The co-designed UHF and UWB antenna elements are printed back-to-back on each side of a common substrate with appropriate topology for future integration with a single UHF-UWB RFID chip. Experimental tests have shown that both UHF-RFID and UWB performance of the hybrid antenna are comparable to available commercial solutions that work just on a single band. The antenna is adequate for lowcost mass production of hybrid passive tags. It aims at low-cost passive RFID systems combining the ability of item identification with precise tracking in indoor environments.

Index Terms—Indoor localization and identification, passive tag antenna, dual-band antenna, RFID, UWB.

I. INTRODUCTION

HERE is a high demand for reliable and accurate indoor real-time identification and tracking of persons and/or objects, where satellite-based services fail. The growing market of Real-Time Location Systems (RTLS) is expected to reach 2700 million US dollars in 2016 [1] yet most of the available commercial solutions are still not 100% reliable [2] and have high associated costs.

Usual indoor RTLS are based on optical, infrared, ultrasounds, radio frequency or a combination of these technologies. Optical and infrared systems are very accurate but require line of sight [3]. Ultrasounds are also highly accurate but they are susceptible to environment noise [4]. Hybrid systems combining optical imaging with infrared and ultrasound have been widely explored for example in gamepads for the general public. Although the accuracy of these systems is generally very good, the major limitation is the number of objects that can be simultaneously tracked, especially when they obstruct each

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other. Even more critical is the fact that unique identification is not possible in general.

Radio frequency RTLS can overcome this limitation by providing unique identification of the object and in some cases also the location, although generally with less accuracy than the previously described systems. The most common radio frequency RTLS are based on RFID or UWB technologies [5].

Current commercial RFID systems are designed for item identification only, but they can still be used for coarse positioning. In one common approach, coarse tag positioning is obtained by comparing its received signal strength (RSS) with the RSS from neighboring tags located in pre-defined positions [6]. Accuracies are in the order of 1 m, limited by RSS strong dependence on the environment and tag orientation [7], [8]. In a different perspective, RFID reader antennas can be designed to self-delimit a near-field detection zone, enabling selective identification of only those objects that are placed above a given shelf [9], on a conveyor belt [10] or in front of a mirror [11]. Despite the lower resolution from RFID based RTLS, this is one of the cheapest solutions per item, especially when using battery-less (or passive) tags. Passive tags do not require maintenance and its unit cost for the UHF band is expected to reach 0.05 US dollars between 2014 and 2016 [12].

In UWB localization systems, distance to the target is obtained from time-of-arrival (ToA) of sub-nanosecond transmitted pulses. These systems typically achieve centimeter resolution [1]. Regulations for UWB authorize unlicensed use of 3.1 to 10.6 GHz spectrum in US [13] and 6 to 8.5 GHz in Europe [14], subject to a spectral power density limit of -41.3dBm/MHz. This ensures spectrum sharing with other established narrowband applications without mutual interference, but at the same time it implies a severe range limitation. UWB systems are highly immune to multipath interference, have low power consumption and involve low complexity transceivers since baseband transmission is used. Commercial UWB localization solutions already exist based upon active tags [15], [16]. However, passive UWB tag solutions would be more attractive for low-cost, maintenance-free large-scale deployment applications, if the range limitation could be overcome. In fact, regulation compliant ultra-wideband pulses are insufficient to energize passive tag's chips, unlike what is done in UHF RFID.

An alternative approach has been presented in [17] where a narrowband continuous wave UHF RFID signal is broadcast by the reader, which carries the clock, commands, and energy to power-up the chip on the tag, whereas it responds with UWB pulses. The feasibility of the UHF/UWB hybrid concept is demonstrated in [18] using a breadboard circuit with discrete components and well separated UWB and UHF antennas to

avoid mutual influence. This large circuit is not practical for real applications. In [19] a UHF/UWB hybrid chip is developed with on-chip UHF and UWB antennas each one connected to the respective port. The solution is very compact with a few mm square, but the downside is the achievable range of only 7 cm because of insufficient on-chip antenna size. This motivates the development in the present work of a single external efficient antenna with two independent ports (for the UHF and UWB), located close enough to be compatible with a single chip similar to the one in [19] to boost the system range. The fundamental requirement for the antenna design is its simultaneous operation at UHF and in the FCC UWB band, with best possible pulse preservation for accurate estimation of ToA. The antenna is also required to be compact, lightweight and low-cost.

This paper is organized in four sections. The antenna design is described in Section II. The performance of a prototype is presented in Section III. Numerical and experimental RFID detection and ranging results are given and discussed throughout. Conclusions are finally drawn in Section IV.

II. ANTENNA DESIGN

The schematic of the developed hybrid UHF-UWB antenna is presented in Fig. 1. It is a planar antenna comprising two metallic layers printed on each side of a h = 10 mil = 0.254mm thick Duroid 5880 substrate with permittivity $\varepsilon_r=2.2$ and loss tangent $tan(\delta) = 0.0009$. One of the printed layers corresponds to the UHF radiating element and the other to the UWB element. The UHF element is based on a meandered line plus loop, while the UWB is a modified version of the Crossed Exponential Tapered Slot antenna (XETS) proposed in [20], [21] which was shown to present excellent transient performance for the full UWB band. As will be explained ahead, one of the challenges is to design and arrange the UHF and UWB elements in a way that minimizes mutual influence. Furthermore, the two elements are aligned so that the feeding ports almost overlap at each side of the substrate, see Fig. 1. In this way, a single hybrid RFID-UWB chip can be used to connect its ports directly and through vias to the antenna ports. Actually such hybrid IC chip is not yet available commercially, although a similar one has been demonstrated in [19] for on-chip antennas. Therefore, in order to design and test the antenna, it is assumed that the hybrid chip will present a differential port for UWB with 50 Ω input impedance. For the UHF port, it is assumed that the chip will present the input impedance of current commercial UHF RFID ICs. The antenna is designed considering the input impedance of the ALIEN Higgs-2 IC which is $Z_{\rm chip} = 15.5 - {\rm j}152~\Omega$ [22], which is available at our lab for integration and test. Alternative chips can be easily accommodated in the design.

A. RFID Chip Characterization

Since the RFID chip on the UHF side of the substrate is directly on top of the UWB terminals on the other face of the antenna, the chip is expected to influence as well the UWB antenna impedance and radiation performance. Thus, it is essential to obtain a lumped model describing the chip behavior for both bands, to be used in the electromagnetic solver. The chip manufacturer provides a lumped model only for the UHF band,

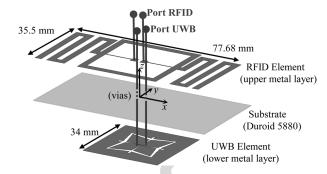


Fig. 1. Exploded view of the hybrid RFID-UWB antenna showing the two metalized faces from each side of a common planar substrate.

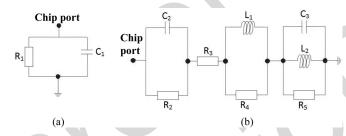


Fig. 2. Lumped component model of chip "Higgs-2 EPC Class 1 Gen 2": (a) provided by the manufacturer for UHF RFID; (b) used to describe both UHF and full UWB band behavior.

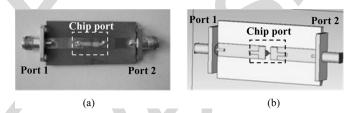


Fig. 3. Microstrip test line with RFID chip at half-length: (a) fabricated prototype; (b) simulation model in CST.

a parallel between a 1500 Ω resistor and a 1.2 pF capacitor, see Fig. 2(a). This is not enough to model the chip for the full FCC UWB band.

A procedure that combines simulated and measured results is used to de-embed the RFID chip impedance up to 10.6 GHz. Two test circuits were manufactured: a reference circuit corresponding to a straight 50 Ω microstrip line and a second circuit with a microstrip line with the same dimensions but with a gap at half length, loaded at that point with the chip under test, see Fig. 3. Both circuits are replicated in the CST Microwave Studio [23], where the RFID chip is modeled by a lumped circuit, Fig. 2(b). The lumped model parameters are adjusted to maximize the correlation between measured and simulated curves of s_{11} and s_{21} versus frequency. Table I presents the final component values.

Fig. 4 compares magnitude and phase of measured and simulated s_{11} and s_{21} curves, using either the manufacturer UHF model or the proposed extended model.

Fig. 4 confirms that the manufacturer model agrees with the measured curves for UHF (<1 GHz). However, only the new model agrees well for the extended band, namely for high magnitude values of s_{21} from 2 to 6 GHz. The extended model is

 $TABLE\ I$ Component Values for the Lumped Element Models of the Chip

C_I	C_1 C_2		C_3		R_I		R_2	
1.2pF	1.	14pF	1.18	3pF	1500C	hm	1:	5000Ohm
R_3		R	4		R_5	L	1	L_2
4Ohm		100	hm 500		00Ohm	5.5	nН	0.18nH

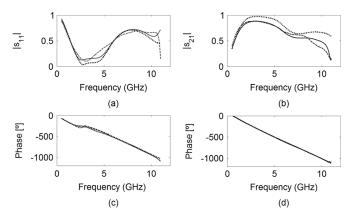


Fig. 4. Magnitude ((a) and (b)) and phase ((c) and (d)) for s11 and s21, respectively, measured (____) and simulated (___) using the proposed extended model for both UHF and UWB bands and using the manufacturer model (ALIEN) (....).

used throughout in CST for the co-design of the UHF and UWB radiating elements.

B. Design of the RFID Part of the Antenna

Design and performance of the RFID part of the hybrid antenna are affected by the presence of the XETS on the other face of the substrate, and vice-versa. By choosing an appropriate orientation and alignment of the two radiating elements at each side of the substrate, to be detailed ahead, it is possible to minimize its mutual influence. At a first step of the UHF element optimization, a previous XETS design is used on the other face of the substrate, loaded with 50 Ω at its port.

The geometry of the UHF-RFID element in Fig. 5 shows a double loop connected to a meandered dipole. Since UHF and UWB ports must be close in the xy-plane as explained, two microstrip lines are used inside the loop to connect the UHF RFID chip at the center. The lines are very narrow (0.4 mm) and positioned in a way that minimizes the interference with the XETS antenna on the opposite side.

The antenna RFID port impedance must equal the complex conjugate of the chip impedance, for maximum power transfer: $Z_{\rm antenna}^{\rm RFID}=15.5+\rm j152~\Omega$ at 860 MHz. Thus, the antenna impedance must exhibit inductive behavior, which is achieved using the loop. The adopted double-loop configuration enhances bandwidth compared to a single loop [24]. The loop itself presents insignificant real part of the impedance, so the radiating behavior is obtained with the addition of a dipole, which is meandered to reduce its length.

The described UHF-RFID element is optimized to operate for the three geographic worldwide region sub-bands: 865–868 MHz for Europe, 902–928 MHz for U.S. and 952–954 MHz for Japan. The obtained parameter values are given in Table II.

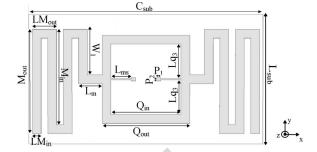


Fig. 5. Geometry of the RFID face of the hybrid antenna, designed with CST Microwave Studio.

TABLE II
PARAMETER VALUES OF THE RFID FACE IN MILLIMETERS

C_{sub}	L_{sub}	Mout	M_{in}	LM _{out}	LM_{in}	P_{I}
80	44	35.5	32.5	8.28	3	1.5
L_m	W_l	L_{ms}	Q_{in}	Qout	Lq_3	P_2
8	15.5	7	24	30	11.8	1.116

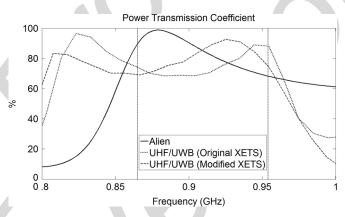


Fig. 6. Simulated Power Transmission Coefficient for the proposed hybrid antenna and for ALIENS's Squiggle tag.

It makes sense to analyze the power transfer coefficient τ from the antenna to the chip, as this relates directly to the tag achievable range. It measures the maximum fraction of the available power at the antenna port that is transferred to the chip [25]. The simulated result is presented in Fig. 6 (dotted green curve). Power transmission is above 70% level across the entire UHF band (from 860 MHz to 960 MHz). Superimposed on Fig. 6 is the transmission coefficient for the commercial tag ALN-9640 Squiggle Alien [22] that uses the same RFID chip (solid blue curve). It is noted that the hybrid tag presents comparable performance within the UHF-RFID band, though with marginally lower power transfer values. This will be improved at a later stage of the hybrid antenna design, as detailed ahead.

Fig. 7 shows the simulated radiation pattern at 866 MHz. The hybrid antenna presents at UHF-RFID a typical dipole-like radiation pattern. Similar behavior is observed across the whole UHF-RFID band.

C. Design of the UWB Part of the Antenna

The XETS element used on the UWB face of the antenna (Fig. 8) derives from the original version demonstrated by the authors in [20], [21] for the full FCC UWB band (3.1 GHz to

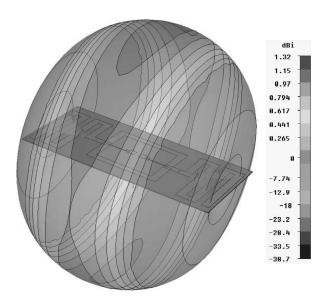


Fig. 7. Simulated UHF-RFID far-field radiation pattern at 866 MHz.

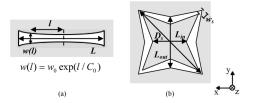


Fig. 8. Parameters defining the UWB face of the hybrid antenna [20]: (a) single exponentially tapered slot; (b) star slot.

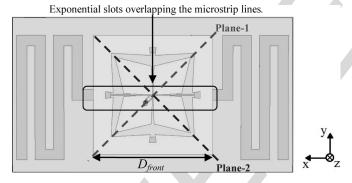


Fig. 9. Representative scheme of the orientation of the two overlapping faces of the hybrid antenna considering a transparent substrate.

10.6 GHz). This antenna has stable radiation pattern, well defined linear polarization and very well defined constant phase center versus frequency.

Some modifications of the original XETS configuration are however necessary for integration into the hybrid antenna. It is reduced to a single metallization layer and the feeding is done directly at the XETS front face. As in [20], [21], a thin semirigid coaxial cable EZ-41 (1.19 mm diameter), is used for that (Fig. 9).

As in the previous Section, the optimization of the UWB antenna shape parameters is performed with the UHF antenna on the other side, loaded by the RFID chip model from Section II.A. Table III summarizes the obtained XETS parameter values presented in Fig. 8 and keeping the same nomenclature from [20].

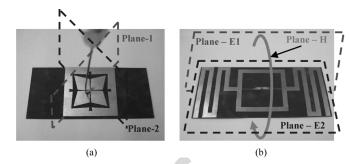


Fig. 10. Hybrid antenna prototype: (a) UWB face; (b) RFID face.

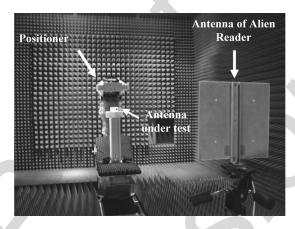


Fig. 11. Setup for measuring RFID range inside the anechoic chamber.

The slot exponential geometry is given by the width w(l) at the slot longitudinal coordinate l measured from the centre of the slot, see Fig. 8. Slot width at the centre is w_0 , C_0 is the exponential expansion parameter and h is the antenna thickness. Simulated VSWR curve and radiation pattern are shown in Figs. 13, 14 and 15 with experimental results.

This change in the XETS shape influences the previously designed UHF-RFID element performance. Fig. 6 shows the corresponding power transfer coefficient after replacing the XETS by the modified one (dashed red curve): actually the power transfer characteristic improves, becoming much similar to Squiggle's. Thus, no further iteration of the design process is required.

III. ANTENNA EXPERIMENTAL PERFORMANCE

Fig. 10 shows both faces of the manufactured prototype. The coaxial cable is connected between two opposed petals from the UWB antenna (Fig. 10(a)) and the RFID chip is soldered at the UHF port (Fig. 10(b)). Corresponding experimental RFID detection and UWB ranging performance is analyzed next.

A. RFID Performance

The RFID reading range of the hybrid antenna is evaluated inside an anechoic chamber. For this purpose, the antenna under test is attached to an azimuth positioner, with due care to avoid unwanted reflections from antenna back lobe. The RFID reader antenna is attached to a tripod and pointed to the center of the hybrid antenna. For each azimuth orientation of the hybrid antenna (at 15° steps of the azimuth positioner), the tripod travels

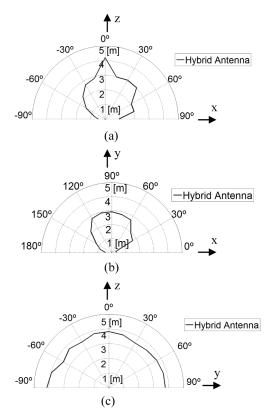


Fig. 12. Polar RFID detection range charts for the hybrid antenna at the planes: (a) E1 (xz plane); (b) E2 (xy plane); (c) H (yz plane).

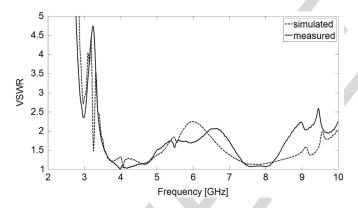


Fig. 13. Measured and simulated VSWR curve of UWB port of the hybrid antenna with a RFID chip placed in the RFID port.

along the link direct path to determine the corresponding edge of the RFID detection region.

Commercial circular polarized antenna ALR-8610-AC and ALR-8800 Enterprise Scalable RFID Reader from ALIEN are used in these tests. Its power is adjusted to limit detection to the maximum measurable distance inside the anechoic chamber (4 m). Results for the antenna main planes are presented in Fig. 12. The z-axis (plane-E1) and y-axis (plane-E2) correspond to face-to-face alignment of tag and reader antenna (Fig. 11). The results are consistent with the radiation pattern from Fig. 7, resembling a dipole radiation pattern with nulls along the x-axis (dipole axis).

The same test is repeated for the commercial ALIEN ALN-9640 Squiggle passive tag [22] that uses the same RFID chip.

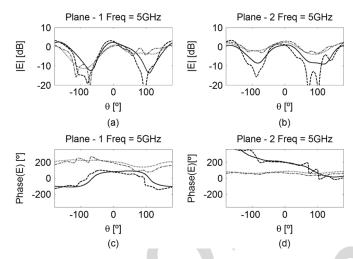


Fig. 14. Measured $E\theta$ (.....) and $E\phi$ (.....) and simulated $E\theta$ (.....) radiation patterns at f=5 GHz: (a) plane-1 magnitude; (b) plane-2 magnitude; (c) plane-1 phase; (d) plane-2 phase.

Obtained results are practically coincident with the hybrid antenna results, also with a maximum range of about 4 m. If maximum allowed reader power is used in open space, both tags are detected up to 8 m distance. Tests show that the RFID performance of the proposed hybrid antenna tag is comparable to the performance of a standard commercial passive tag. However, as will be demonstrated next, the developed antenna further operates simultaneously in the FCC UWB band, which is not the case of available commercial RFID tags.

B. UWB Performance

Measured VSWR of the hybrid antenna is superimposed on simulations in Fig. 13. There is a good match between measured and simulated curves across the FCC UWB band, with values mostly below 2. Although VSWR of the UWB antenna degrades near the edges of the UWB band, this does not compromise localization performance for impulse-based systems. The used pulse is identical to the one presented in [20], (5). It is defined by

$$u(t) = \cos(2\pi f_c t) \exp\left[-2\pi (t/\tau)^2\right] \tag{1}$$

where the central frequency is $f_c=6.85~\mathrm{GHz}$ in order to center the pulse spectrum within the UWB band and the Gaussian width is $\tau=228~\mathrm{ps}$ to comply with the FCC indoor spectrum mask. In fact the pulse spectrum decreases significantly near the edges of the UWB band. Therefore, the pulse shape is not affected by the slight restriction of the operation band at the edges. Pulse fidelity, [26] which quantifies the pulse preservation performance of the antenna is 95% in the main direction.

Measured radiation patterns are shown in Figs. 14 and 15 at 5 GHz and 7.5 GHz, respectively, superimposed on the CST simulated curves. The same figures present the absolute gain: it is 3.4 dBi at 5 GHz and 6.1 dBi at 7.5 GHz. These values are similar to those previously obtained for the stand-alone UWB antenna described in [20] which was characterized between 3.1 and 10.6 GHz. Unlike the original XETS [20], [21], the presence of UHF part of the hybrid antenna precludes a stable and well-defined polarization in the UWB band. However, this does not prevent

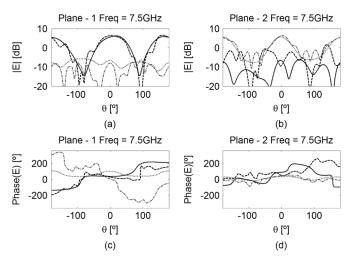


Fig. 15. Measured $E\theta$ (-----) and $E\phi$ (-----) and simulated $E\theta$ (----) and $E\phi$ (-----) radiation patterns at f=7.5 GHz: (a) plane-1 magnitude; (b) plane-2 magnitude; (c) plane-1 phase; (d) plane-2 phase.

D_{front}	D_s	L_{out}	L_{in}	w_s
34	33.574	22.468	16.282	1.574
L	w_0	C_0	h	
27	0.2	5.96	0.254	

good positioning accuracy as will be discussed ahead. Measured phase pattern is almost horizontal within the main lobe, as seen in (c) and (d) plots from Figs. 14 and 15. It indicates that the antenna phase center position is constant, near its geometric center in both planes and both frequencies, a fundamental characteristic to preserve the pulse shape.

C. Localization Performance

The final goal of the design of this antenna is its integration with an identification and localization system. Therefore indoor localization trials are performed to determine the antenna performance. Two different experimental tests are carried out: in the first test, the position of the hybrid tag in a plane is determined using synthesized pulses in the frequency domain together with a trilateration algorithm based on pulse time-of-arrival (ToA); in the second test, the developed antenna is used directly on a commercial active UWB ranging system that transmits actual time domain pulses.

The two-dimension (2D) trilateration setup is shown in Fig. 16. The three sensors (original XETS antennas, [20]) are mounted at the vertices of an equilateral triangle with 1.73 m side, with vertical polarization. The hybrid antenna is attached to an azimuth positioner at the center of the triangle, exactly at 1 m distance from each sensor. The photo shows the cluttered surrounding environment, which remains static during the measurements. This set-up enables to isolate the hybrid antenna ranging performance, by eliminating the influence from the sensor antennas.

The three XETS sensors and the hybrid tag antenna are connected to a 4-port Vector Network Analyzer—VNA (Agilent Technologies E5071C 300 kHz—14 GHz ENA Series Network

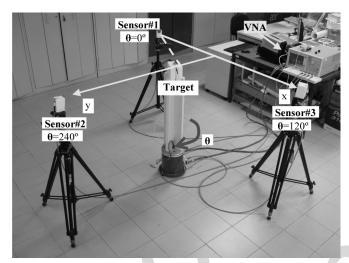


Fig. 16. Localization setup with 3 sensors and 1 target.

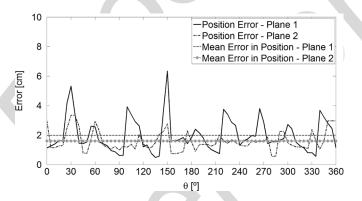


Fig. 17. Position error as a function of angular rotation of the target for hybrid antenna for both planes 1 and 2.

Analyzer) and calibration is performed at the four antenna ports. Channel frequency response between each sensor and the hybrid antenna is measured in magnitude and phase over the frequency interval from 2 GHz to 12 GHz.

Distance information is calculated by post-processing, using the procedure detailed in [20]: measured channel frequency response is multiplied by the spectrum of an ultra-short Gaussian test pulse spanning the interval [3.1–10.6 GHz] and complying with FCC energy mask; when transformed into time-domain, this product provides a good estimation of the pulse ToA. A trilateration algorithm is then used to estimate the hybrid antenna position and the corresponding error with respect to its actual position.

Fig. 17 shows the estimated position error (distance between actual and estimated position from experimental data) when the hybrid antenna is rotated about its axis in plane-1 and -2, at 1 m constant distance to the target. Plane-1 corresponds to cross-polarization link, while plane-2 corresponds to co-polarization link. The obtained average position error is in the order of 2 cm, with best results for the co-polarization measurement. It is worth noting that even for cross-polarization, the distance error does not exceed 6.5 cm.

Fig. 18 shows a top view of the test plane, with the representation of the estimated tag position for each angular orientation of

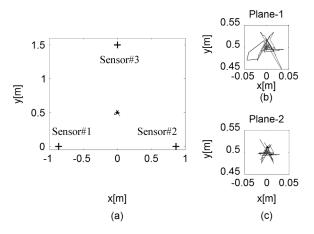


Fig. 18. (a) Real and measured target position using hybrid antenna for both planes, (b) zoomed measured target position for plane-1; (c) zoomed measured target position for plane-2.

the tag in plane-1 and -2. It demonstrates very good positioning performance obtained with the proposed hybrid antenna.

As mentioned before, the antenna is subsequently put through a second test, by integrating it with a commercial active system for UWB ranging: PulsON 400 model from the time domain manufacturer [15]. The objective is to evaluate the hybrid antenna performance compared to commercial solutions that work only for UWB, even if the above equipment comes configured for its own antennas. Furthermore, this allows testing the antenna for larger distances. In this test a pair of antennas is placed face-to-face with co-polar alignment and distance in the interval from 1.5 m to 6.5 m, in the same indoor environment as before. Two different antennas are tested: manufacturer-manufacturer, and hybrid-hybrid (more than one copy of the hybrid antenna was fabricated for these tests to evaluate repeatability). The PulsON 400 system transmits a train of pulses with a spectrum in the interval 3.1 GHz to 5.3 GHz, and the average from 100 distance readings is logged for each case, along with the standard deviation.

Results from measured distance error are summarized in Fig. 19. Measurements at 1.5 m are used for calibration of systematic errors and consequently Fig. 19 presents zero error for that distance. It is seen that distance errors for both antennas increase with distance but are less than 5% of the distance for Time Domain proprietary antennas, with standard deviations in the order of 4 cm; for the new hybrid tag antennas the distance error is slightly better (<1.5%). The same line-of-sight tests were repeated in several areas of the lab with different lateral clutter, and similar results were obtained. It is therefore reasonable to assume that the developed antenna allows at least the same ranging performance from available commercial antennas that are currently used in this type of equipment for UWB localization, but with the added advantage of simultaneous correct operation in the UHF RFID band.

IV. CONCLUSION

A new hybrid antenna has been developed for passive object identification and localization in indoor environments. The antenna can be used in a system where the reader activates

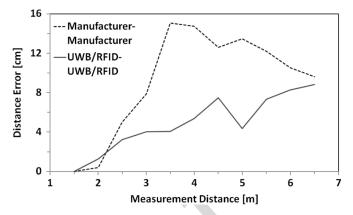


Fig. 19. Distance error for different measurement distance with different combinations of antennas using PulseON equipment.

the tag's chip through a narrowband UHF signal and the tag answers with ultra-short UWB pulses, allowing its position determination with centimeter-class resolution. The antenna topology was specifically designed to allow UHF and UWB ports to be close enough to be compatible with a few mm² chip. It is a compact, low-profile and potentially low-cost solution (possibly with the same price of current RFID passive tags with identification function only).

Despite the fact that these hybrid chips do not yet exist commercially, the finished hybrid antenna was experimentally tested using a commercial RFID chip to test RFID performance; also the ranging performance of the same antenna loaded with the RFID chip was tested using both synthesized pulses based on VNA channel measurement (for full FCC UWB characterization) as well as a commercial ranging system based on actual impulse radio. In all cases the hybrid antenna performed similarly to best available solutions that work either on RFID or on UWB, with the added advantage of performing well simultaneously on both bands. It is relevant to mention that the study was complete for the whole solid angle of the antenna. Repeatability tests showed that the design is robust with respect to fabrication tolerance.

Thus, the hybrid antenna is appropriate for potential systems that combine identification and centimeter-class indoor localization using passive and therefore very low cost technology. This type of solution does not exist in current commercial systems and encourages the emergence of new applications for the general public, which are expected to have explosive growth in the coming years.

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