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Melina Martínez, Axel Polizzotto, Naiquen Flores, Liliana Semorile, Paulo César Maffía



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1 **Antibacterial, anti-biofilm and *in vivo* activities of the antimicrobial**
2 **peptides P5 and P6.2**

3 **Melina Martínez^{1,2}, Axel Polizzotto¹, Naiquen Flores¹, Liliana Semorile¹, Paulo**
4 **César Maffía^{1,2*}**

5 1. Laboratorio de Microbiología Molecular, Instituto de Microbiología Básica y
6 Aplicada, Universidad Nacional de Quilmes, Buenos Aires, Argentina

7 2. Consejo Nacional de Investigaciones Científicas y Técnicas (CONICET), Argentina.

8 *Corresponding author: PhD. Maffía, Paulo César. Roque Sáenz Peña 352, B1876BXD
9 Bernal, Buenos Aires, Argentina. Tel: +54 11 4365-7017 ext. 5636. E-mail:
10 paulo.maffia@unq.edu.ar

11

12 ABSTRACT

13 Cationic antimicrobial peptides (AMPs) are short linear amino acid sequences, which
14 display antimicrobial activity against a wide range of bacterial species. They are
15 promising novel antimicrobials since they have shown bactericidal effects against
16 multiresistant bacteria. Their amphiphilic structure with hydrophobic and cationic
17 regions drives their interaction with anionic bacterial cytoplasmic membranes, which
18 leads to their disruption. In this work two synthetic designed AMPs, P5 and P6.2, which
19 have been previously analyzed in their ability to interact with bacterial or eukaryotic
20 membranes, were evaluated in their anti-biofilm and *in vivo* antibacterial activity. In a
21 first step, a time-kill kinetic assay against *P. aeruginosa* and *S. aureus* and a curve for
22 hemolytic activity were performed in order to determine the killing rate and the possible
23 undesirable toxic effect, respectively, for both peptides. The biofilm inhibitory activity
24 was quantified at sub MIC concentrations of the peptides and the results showed that P5
25 displayed antibiofilm activity on both strains while P6.2 only on *S. aureus*. Scanning
26 electron microscopy (SEM) of bacteria treated with peptides at their MIC revealed
27 protruding blisters on Gram-negative *P. aeruginosa* strain, but almost no visible surface
28 alteration on Gram-positive *S. aureus*. These micrographs highlighted different
29 manifestations of the membrane-disrupting activity that these kinds of peptides possess.
30 Finally, both peptides were analyzed *in vivo*, in the lungs of neutropenic mice
31 previously instilled with *P. aeruginosa*. Mice lungs were surgically extracted and
32 bacteria and pro-inflammatory cytokines (IL- β , IL-6 and TNF- α) were quantified by
33 colony forming units and ELISA, respectively. Results showed that instillation of the
34 peptides produced a significant decrease in the number of living bacteria in the lungs,
35 concomitant with a decrease in pro-inflammatory cytokines. Overall, the results

36 presented here suggest that these two new peptides could be good candidates for future
37 drug development for anti-biofilm and anti-infective therapy.

38

39

40 **Keywords:** antimicrobial peptides; *Pseudomonas aeruginosa*; anti-biofilm; anti-
41 inflammatory; lung infection.

42

43 1. INTRODUCTION

44 Since their discovery many decades ago, antimicrobial peptides (AMPs) have been
45 proposed as potential new candidates for the development of novel antimicrobials [1–3].
46 Their broad spectrum antimicrobial activity and selectivity make them attractive
47 candidates for novel drug compounds [4]. Although the potency of these AMPs against
48 the most sensitive pathogens is normally not as strong as certain conventional
49 antibiotics, one of its major strengths is their ability to kill multi-drug-resistant bacteria
50 at relatively low concentrations. Compared with conventional antibiotics, the killing of
51 bacteria by AMPs is extremely rapid and can involve multiple bacterial cellular targets
52 [5].

53 Besides their direct antimicrobial activity, AMPs are also increasingly being considered
54 as novel agents against bacterial biofilms and also as immune-modulators of the host
55 immune system. Regarding their anti-biofilm activity, AMPs are believed to inhibit the
56 biofilm formation or to eradicate established ones [6,7]. Bacterial susceptibility to
57 AMPs in biofilms has been shown to be lower compared to the planktonic state [8],

58 however biofilm resistance to AMPs has not been extensively studied compared to other
59 antimicrobial agents [9,10].

60 Finding new agents against bacterial biofilms has become an imperative task, since they
61 cause chronic infections with increased tolerance to antibiotics as well as resisting
62 phagocytosis. Particularly, biofilms produced by antibiotic resistant *P. aeruginosa* occur
63 at an elevated frequency both in medical devices and in lungs of Cystic Fibrosis (CF)
64 patients [9,11–13].

65 Besides their antibacterial activity, the immune-modulatory properties of AMPs have
66 gained attention and many peptides are now known to modulate the innate immune
67 response while suppressing potentially harmful inflammation [14]. It has been proposed
68 that some cationic host defense peptides like human cathelicidin LL-37 [15] or bovine
69 indolicidin [16] could induce a significant reduction of endotoxin-induced inflammatory
70 responses [14]. The anti-inflammatory properties of AMPs may be relevant in diseases
71 in which the exacerbated inflammation promotes cell damage and illness severity, like
72 the lung infections of patients with CF, in which *Pseudomonas aeruginosa* is a
73 prominent pathogen. This bacterial species activates epithelial and immune cells which
74 results in the release of pro-inflammatory mediators [17,18].

75 In previous works we have evaluated two novel synthetic cationic AMPs, P5 and P6.2,
76 designed as amphipathic short alpha helical molecules, with affinity toward the
77 prokaryotic membranes rather than eukaryotic ones, and their structural characteristics
78 and membrane interactions have been analyzed [19–21]. Both peptides have been
79 designed using a combined rational and computer assisted approach. Cationic alpha
80 helical peptides were designed identifying short putative active regions from AMPs
81 databases. Then, these regions were combined or modified in order to have cationic

82 amphipathic sequences with different physicochemical parameters [19]. P5 and P6.2
83 were selected from two different families of related peptides, in accordance to their
84 physicochemical performance and *in vitro* antimicrobial activity (Figure1 and Table 1).

85 In this work, the biofilm inhibition activity of both peptides was analyzed in Gram-
86 negative (*P. aeruginosa* PAO1) and Gram-positive (*S. aureus* ATCC25923) bacteria.
87 Scanning electron microscopy (SEM) was performed on *P. aeruginosa* and *S. aureus*
88 after treatment with peptides in order to visualize the possible membrane disruptive
89 activity these peptides have on Gram-negative and Gram-positive bacteria.

90 Both peptides were also analyzed *in vivo*, in the lungs of neutropenic mice previously
91 instilled with PAO1 and viable bacteria from the lungs were quantified to determine the
92 *in vivo* antimicrobial activity. Pro inflammatory cytokines (IL-1 β , IL-6 and TNF- α)
93 levels were also measured in the extracted lungs in order to evaluate the possible anti-
94 inflammatory activity.

95 2 MATERIALS AND METHODS

96 2.1 Antimicrobial Peptides and bacterial strains

97 P5 and P6.2 are amphipathic cationic alpha helical antimicrobial peptides that were
98 previously designed and analyzed [19,20,21]. P5 was selected from the related group of
99 AMPs (P5, P8 and P8.1) [20] and P6.2 was selected from the P2, P6 and P6.2 group of
100 related peptides [21]. Figure 1 depicts the helical wheel projection of the peptides, with
101 their hydrophobic residues painted in yellow and their hydrophilic residues in blue.
102 Table 1 shows the physicochemical parameters of each peptide, including the alpha
103 helix content evaluated by circular dichroism in the presence of SDS micelles.

104

105 The bacterial strains used were *Pseudomonas aeruginosa* PAO1 and *Staphylococcus*
106 *aureus* ATCC25923

107

108 **2.2 Hemolytic activity**

109 The hemolytic activity of peptides was assayed by a standard procedure [22,23]. In
110 brief, fresh mice red blood cells (RBC) that were collected in the presence of heparin
111 were washed three times in PBS. Peptides, dissolved in water, were added to the
112 suspension of red blood cells (1% v/v in PBS) to a final volume of 200 μ l in a U bottom
113 96-well microplate. Then, samples were gently mixed, incubated at 37 °C for 1h and
114 centrifuged for 10 min at 2000 rpm. The supernatant (100 μ l) from each well was
115 transferred to a flat bottom 96-well plate, and the release of hemoglobin was measured
116 by absorbance (A_{sample}) at 540 nm. For negative and positive controls RBC in PBS
117 (A_{blank}) or water (A_{water}) were used, respectively. The percentage of hemolysis was
118 calculated according to the equation, Percentage of hemolysis = $[(A_{\text{sample}} - A_{\text{blank}}) /$
119 $(A_{\text{water}} - A_{\text{blank}})] \times 100$.

120

121 **2.3 Time kill assay**

122 Bactericidal activity of the peptides was evaluated using the time–kill assay. Growth-
123 phase cultures in LB broth at 37°C were used for these experiments and the inoculum
124 was adjusted by optical density. The assay was performed in 50 ml tubes, with a final
125 volume of 10 ml of bacterial suspension in cation-adjusted Mueller–Hinton broth at a
126 concentration of 5×10^5 CFU/mL; each peptide was added at its MIC. Aliquots were
127 removed at different time points in a 3-hour interval, and ten-fold dilutions of the
128 samples were plated in LB agar plates. The CFU number was determined after 24 h of

129 plaque incubation at 37°C. A culture without AMPs was used as bacterial growth
130 control. The reduction of 3 logarithmic units was considered bactericidal activity.

131

132 **2.4 Scanning electron microscopy**

133 Sample preparation for SEM was performed according to [24] with some modifications.

134 *P. aeruginosa* PAO1 and *S. aureus* ATCC25923 bacterial cells were grown to

135 exponential phase in LB broth. After centrifugation at 1,000 g for 10 min, the cell

136 pellets were harvested, washed twice with PBS, and re-suspended to an optical density

137 (OD 600) of 0.2. Cells were incubated at 37°C for 1 h with AMPs at their MICs. After

138 incubation, the cells were centrifuged at 5,000 g for 5 min. The cell pellets were

139 harvested, washed twice with PBS, and subjected to fixation with 2.5% glutaraldehyde

140 at 4°C overnight, and then washed twice with PBS. The cells were then dehydrated in a

141 graded ethanol series (50%, 70%, 90%, and 100%) for 10 min and in 100% acetone.

142 Finally, the specimens were coated with gold and examined using a Carl Zeiss NTS

143 SUPRA 40 instrument.

144

145 **2.5 Biofilm quantification**

146 Inhibition of biofilm development was assayed in 96-wells flat-bottom polystyrene

147 plates. Antimicrobials were two fold serially diluted in Mueller Hinton broth and then

148 the bacterial inoculum was added to reach a final concentration of 5×10^5 CFU/ml, with

149 a final volume of 100 μ l per well. Plates were incubated at 37°C for 24 h. Media alone

150 or media with inoculum were used as negative and positive control, respectively. The

151 inoculum was prepared from an ON culture. After incubation, optic density at 595 nm

152 was measured to quantify bacterial growth. To determine the amount of biofilm, after

153 incubation the supernatant was gently removed and the formed biofilms were washed

154 twice with 100 μ l of saline solution to withdraw planktonic cells. The remaining biofilm
155 was fixed with 100 μ l of 100% methanol for 15 minutes, and then stained with 100 μ l of
156 crystal violet 1% (v/v) for 5 minutes. The dye was removed and washed twice with 200
157 μ l of distilled water, and the plate was dried at 37°C for 30 minutes. Finally, 100 μ l of
158 33% (v/v) acetic acid was added, samples were homogenized by gentle agitation and
159 absorbance was measured in a microplate reader at 595nm.

160

161 **2.5 Mice**

162 Female BALB/c mice of 10-12 week of age were obtained from Bio Fucal S.A.
163 (Argentina). All mice had access to food and water *ad libitum* and were used for studies
164 of lung infection. Animals used in this study were followed for 1–5 days (4 animals per
165 cage) to allow close observation from the beginning to end of each experiment. Feeding
166 practices, light cycle, temperature, humidity, and cage and room cleaning procedures
167 followed the regulations of this institution's central animal facility.

168 Ethic statement: All animal experiments complied with the Argentinian Government's
169 animal experiment regulations and were approved by the Ethics Committee for Animal
170 Experimentation of the National University of Quilmes (CICUAL), and were carried
171 out in accordance with EU Directive 2010/63/EU for animal experiments.

172

173 **2.6 Bacterial inoculum for infections**

174 For mice infections, the *P. aeruginosa* PAO1 bacterial cells were grown ON in LB
175 medium. Then, a fresh culture was prepared with 5% of the ON bacterial culture and
176 incubated to reach an OD of 0.4. After centrifugation, the cell pellets were washed
177 twice, re-suspended in saline solution and diluted to obtain a concentration of 8×10^6

178 bacteria/20 μ l, which is the inoculum used for instillation. Inoculum was checked by
179 plating on LB agar plates.

180

181 **2.7 Induction of neutropenia**

182 To obtain the neutropenic mice model, the cyclophosphamide protocol previously
183 described in [25] was followed. Briefly, neutropenia was induced by i.p.
184 cyclophosphamide (CP; Laboratorios Filaxis S.A, Argentina) on days -4 (at 200 mg/kg
185 of body weight) and -1 (at 100 mg/kg) before infection and evaluated the day after the
186 last CP injection. In order to corroborate the neutropenia, a complete hematological
187 analysis was done. Blood was drawn from mice under anesthesia into tubes containing
188 heparin (Northia, Argentina), using the retro-orbital plexus technique. Hematologic
189 parameters were determined using a hematology analyzer in a veterinary clinical
190 laboratory (Laboratorio Equino S.R.L, Argentina).

191

192 **2.8 *P. aeruginosa* lung infection**

193 Neutropenic mice were anesthetized intraperitoneally with ketamine/xylazine
194 (100mg/kg; Holliday Scott S.A. / 10 mg/kg; PRO-SER S.A., Argentina) and 20 μ l of the
195 bacterial solution were administrated directly into the nostrils. Thirty minutes after the
196 infection, mice were treated with tobramycin, peptide or saline solution intranasally
197 using an ultra-fine pipette tip. Mice were sacrificed 20 h after the infection and lungs
198 surgically extracted.

199

200 **2.9 Cytokine determination**

201 Lung total weights were recorded and then they were disaggregated using a metal mesh.
202 Lung homogenates were prepared in 2 ml of sterile saline solution. Ten-fold serial

203 dilutions of homogenates were plated on LB agar plates, and CFU were counted after 20
204 h incubation at 37°C. IL-1 β , IL-6 and TNF- α concentration in lung homogenates were
205 measured by ELISA (BD OptEIA Set; BD Biosciences) according to the manufacturer's
206 instructions (with detection limit at 10 ng/ ml).

207

208 **2.10 Statistical analysis**

209 Statistical evaluation of differences between the experimental groups was determined by
210 using One-way ANOVA followed by Dunnett post test, with Graphpad Prism 5
211 software (GraphPad Software Inc., San Diego, CA). All data are presented as mean \pm
212 standard error of the mean, and differences were considered to be statistically
213 significant at a *P*-value <0.05.

214

215

216 **3 RESULTS**

217 **3.1 Antimicrobial and hemolytic activity**

218 Table 2 shows the minimum inhibitory concentration (MIC) and the minimum
219 bactericidal concentration (MBC) for each peptide in *P. aeruginosa* PAO1 and *S.*
220 *aureus* ATCC25923.

221 In order to analyze the bactericidal effects of both peptides at different times, a time-kill
222 assay was performed (Figure 2A, B). The assay showed killing properties that are not
223 possible to observe in the MIC determination, like the rate of killing and the possible
224 bacteriostatic or bactericidal effect. The rate of killing of both peptides was remarkably
225 stronger in *P. aeruginosa* than in *S. aureus*. For *P. aeruginosa*, in 30 minutes P6.2
226 reduced more than 2 logarithmic units of CFU/ml and P5 completely eradicated

227 bacterial cells. On the contrary, for *S. aureus* the reduction of almost three logarithmic
228 units was reached after 180 minutes and only P5 demonstrated bactericidal activity
229 (99.9% of bacteria killed). In an attempt to gain insight into the possible undesirable
230 effect toward erythrocyte membranes at different concentrations, we analyzed the
231 hemolytic activity of each peptide in the range of 0-1024 $\mu\text{g/ml}$ (Figure 2C). P5
232 displayed less than 10% hemolysis in all the range tested and P6.2 exhibited a
233 concentration dependent hemolytic activity, reaching values of 30% at the highest
234 concentration, but remaining below 5% until 128 $\mu\text{g/ml}$, which is fourfold or eightfold
235 the MIC obtained for *S. aureus* or *P. aeruginosa*, respectively.

236

237 **3.2 Bacterial membrane disturbance by peptide interaction analyzed by scanning** 238 **electron microscopy (SEM)**

239 In an attempt to visualize the possible effects these peptides may have on Gram-
240 negative and Gram-positive bacteria, SEM was performed on *P. aeruginosa* and *S.*
241 *aureus* treated with each peptide for 1 h at their MIC. The micrographs (Figure 3)
242 showed that P5 and P6.2 produced surface alterations principally on *P. aeruginosa*, in
243 which both peptides produced the formation of blisters or bubbles on the cell surface,
244 revealing a possible direct interaction and destabilization of the bacterial membrane. On
245 *S. aureus* the peptides at their MIC and for 1 hour incubation did not produced visible
246 alterations on their surface, although a few bacterial cells began to shrink and some
247 invaginations were visible.

248

249 **3.3 Biofilm inhibition activity**

250 Both peptides were tested on their biofilm inhibition activity *in vitro* (figure 4 C and D).
251 Bacterial growth inhibition at sub-MIC of peptides was also performed prior to biofilm

252 evaluation in order to determine whether the possible biofilm inhibition could be due
253 mainly to growth inhibition (figure 4 A and B).

254 *P. aeruginosa* and *S. aureus* were allowed to develop biofilm in the presence or absence
255 of each peptide at sub-MIC concentrations. Peptide P5 displayed significant inhibition
256 of biofilm at 0.5xMIC in both strains, but it did not show any activity at 0.25xMIC. On
257 the other hand, P6.2 displayed no inhibition activity in *P. aeruginosa* but showed anti
258 biofilm activity in *S. aureus* at both concentrations tested (figure 4C and 4D).

259 In *S. aureus*, the activity of P5 on biofilm inhibition could be related to the decrease in
260 bacterial growth on both concentrations; but for P6.2, although this peptide displayed a
261 similar growth inhibition than P5, the biofilm inhibition was higher, with statistical
262 significance in 0.5xMIC and 0.25x MIC compared to control. On the other hand, for *P.*
263 *aeruginosa*, P6.2 displayed growth inhibition but it did not inhibited biofilm formation
264 at all, like P5 did at 0.5xMIC. In the light of these results, it seems evident that there are
265 other mechanisms involved in biofilm inhibition, and that the growth inhibition is
266 neither sufficient nor indicative of the anti-biofilm activity that the AMP may have.

267 These results also highlight the differential activity these peptides display, regarding
268 Gram-negative or Gram-positive bacteria membrane and their biofilm characteristics.

269

270 **3.4 *In vivo* analysis of peptides**

271 In order to analyze the *in vivo* activity of both peptides, we tested whether instilled P5
272 and P6.2 could diminish the bacterial burden in the lungs of neutropenic infected mice.

273 In order to have a suitable model for PAO1 lung infection, neutropenia was induced in
274 adult Balb/c mice.

275

276 **3.4.1 Neutropenic mice**

277 Cyclophosphamide (CP), a well-known cytostatic and immunosuppressant drug, was
278 used to induce neutropenia. In order to confirm neutropenia, a group of three mice
279 treated with CP and a control immune competent group of three mice were evaluated
280 analyzing circulating neutrophils, monocytes and lymphocytes. Table 3 shows the
281 results obtained for each animal, as a percentage of total circulating cells. A significant
282 decrease in the circulating neutrophils and monocytes was observed in the three mice
283 treated with CP. No significant changes of body-weight was observed (data not shown)
284 suggesting the absence of major CP-induced toxicity. Both peptides were instilled at
285 10mg/kg in neutropenic mice and no significant side effect was detected.

286

287 **3.4.2 *P. aeruginosa* PAO1 instillation and lung bacteria recovery**

288 Neutropenic mice were instilled with an inoculum of *P. aeruginosa* PAO1, and
289 afterwards P5 or P6.2 were instilled. Twenty hours later, mice were sacrificed and lungs
290 surgically extracted, processed and plated on LB agar for *P. aeruginosa* count. At the
291 bacterial load used, the instillation of P5 or P6.2 at 10mg/kg reduced the bacterial load
292 in mice lungs (figure 5A). P6.2 showed better performance *in vivo* than P5 because the
293 first produced a more pronounced decrease in the bacterial load than the latter, in
294 contrast to what it was seen *in vitro* in the time-kill assay or for antibiofilm activity.

295 A group of mice were instilled with tobramycin as control, and accordingly no bacteria
296 were recovered in the lungs.

297

298 **3.4.3 Pro inflammatory cytokines quantification**

299 Lungs of infected mice were processed and the pro inflammatory cytokines IL-1 β , IL-6
300 and TNF- α were quantified. Figure 5 shows that both peptides significantly decreased
301 lung IL-1 β and IL-6 in neutropenic mice (figure 5 B and C) when administered

302 intranasally. TNF- α was also diminished (figure 5 D), although the differences between
303 groups were less pronounced, probably because the peak time of this cytokine was
304 reached earlier during this experiment. Control mice that received tobramycin after
305 PAO1 instillation displayed the same cytokines' values as control uninfected mice.

306

307 **4 DISCUSSION**

308 We have previously designed a group of related cationic amphipathic alpha helical
309 AMPs, and analyzed their physicochemical properties and interactions with bacterial or
310 eukaryotic membranes [19–21]. From these previous works, two peptides, P5 and P6.2
311 were selected for further anti biofilm and *in vivo* activity analysis.

312 The time-kill assay showed that P5 displays a fast killing activity at its MIC on *P.*
313 *aeruginosa*, unlike P6.2 which possess a slower killing rate. However, as table 2 shows,
314 on this strain the MBC for both peptides is only 1 dilution more concentrated than the
315 MIC. On the other hand, for *S. aureus*, both AMPs displayed a similar killing activity at
316 their MIC, and their MBC is two-fold more concentrated.

317 With the aim to visualize the effects of this kind of peptides on different bacterial
318 membranes, SEM images were performed after incubating P5 and P6.2 at their MIC for
319 1h with both strains. Micrographs revealed a clear alteration of *P. aeruginosa*
320 membrane integrity, evidenced by the presence of multiple bubbles or blisters on the
321 cell surface. A similar phenomenon, although in a much less extent, has been reported
322 for other AMPs that share some structural and physicochemical features. The
323 appearance of blisters has been previously reported for the peptidyl-glycylleucine-
324 carboxamide (PGLa), which is a cationic amphipathic α -helical peptide of 21 amino
325 acids from the magainin family [24] and also for isoforms of the HE2 peptide [27]. As
326 a possible explanation for these blisters it has been suggested that the positively charged

327 AMPs can substitute the Mg^{2+} ions in the lipopolysaccharide layer on the outer
328 membrane of Gram-negative bacteria and thereby destabilize the outer surface [28].
329 Such destabilization of the outer membrane would promote the penetration of AMPs
330 and lead to a local disruption of the inner membrane, so that cytoplasmic material
331 locally fills the periplasmic space, which induces the formation of blisters without
332 disrupting the outer membrane.

333 On the other hand *S. aureus* did not show such visible membrane alteration, although
334 some cells treated with P5 showed holes or invaginations and a visible shrinkage. These
335 dents or holes seen on the surface of *S. aureus* cells are probably indicative of a
336 mechanical rupture of the membrane and cell wall. In any case it is worth to notice that
337 only a few Gram-positive cells treated with P5 displayed that evident membrane
338 rupture, unlike *P. aeruginosa*, where 100% of the cells showed bubble-like structures on
339 their surface. This phenomenon would probably be related to the different
340 characteristics of the cell wall of both strains. Gram-positive bacteria has a thick layer
341 of peptidoglycan on the surface that could mask the effects on the cell membrane.

342 Besides this evident difference between the strains, it is important to highlight that the
343 time of incubation (1 hour) and the concentration of the peptides (1xMIC) are still not
344 enough to see the complete lysis of the bacterial cells. For that reason what we are
345 seeing here is the whole bacterium, previous to complete membrane disruption.

346 Further SEM studies with increasing concentrations of AMPs at different times are
347 required in order to get a broader insight of the membranolytic activity of these
348 peptides.

349 Besides AMPs antimicrobial activity, it was suggested that AMPs have the potential to
350 act on multiple targets and stages of biofilm formation [29,30]. Some AMPs have been
351 reported to prevent biofilm formation and/or to eradicate established ones, and in some

352 cases the mechanisms beyond these anti-biofilm effects have been hypothesized [29,31].
353 It has been proposed that some peptides can interfere with the early events of biofilm
354 formation by preventing adhesion of bacterial cells to the substrate or to other cells, or
355 by killing cells before they stably become part of the biofilm architecture [32].
356 An increasing number of peptides show activity against biofilms at concentrations much
357 lower than their MIC, like LL-37 [29,33,34]. Particularly for this latter AMPs, that
358 shares some common features with the two AMPs analyzed here, Overhage and
359 coworkers [35] were able to demonstrate that LL-37 affects the development of biofilms
360 in at least three ways. First, the initial attachment of *P. aeruginosa* cells to the surface
361 was significantly reduced in the presence of LL-37; second, LL-37 promotes twitching,
362 by stimulating the expression of genes related to type IV pilus biosynthesis and
363 function; third, using microarray technology, they demonstrated that LL-37 affects the
364 two major quorum-sensing systems of *P. aeruginosa*, namely the Las and the Rhl
365 systems, by downregulating key components. Further detailed experiments would be
366 necessary to elucidate if P5 and P6.2 shares also these mode of action.
367 Alternatively, as it was proposed for the cationic AMP hep-20 [29], P5 and P6.2 due to
368 their cationic nature, could intercalate between the negatively charged bacterial cells
369 interfering with the interactions of matrix of extracellular polymeric substances (EPS)
370 components, thus reducing the amount of EPS that accumulates. In this work we report
371 the anti-biofilm activity of P5 and P6.2, at sub MIC concentrations. Besides their partial
372 growth inhibition, P5 displayed anti biofilm activity on both strains, *P. aeruginosa* and
373 *S. aureus*, while P6.2 was only active on *S. aureus*. Although both peptides displayed
374 some growth inhibiting activity at sub MIC concentration, inhibition of biofilm
375 production was diminished in some conditions. It is worth to notice that the production
376 of biofilm is not necessarily linked to the bacterial growth, at least in a certain growth

377 range. It can be seen that P6.2, for example, produced a 50% reduction in *P. aeruginosa*
378 growth at 0.5MIC, but the production of biofilm was still the same as the control, which
379 is an evidence of no anti-biofilm activity. On the other hand, also for *P. aeruginosa*, P5
380 displayed a 22% decrease in bacterial growth at 0.5xMIC, while it showed more than
381 42% biofilm inhibition at this concentration. Further experiments are required in order
382 to determine the specific mechanism by which these peptides (and other similar cationic
383 AMPs) affect, or not, biofilm formation beyond growth inhibition.

384 It is important to note that antibiotics at sub-optimal conditions promote biofilm
385 formation, probably because bacteria produce biofilm in an attempt to protect itself
386 from antimicrobial activity. For that reason, a major point for an antimicrobial agent is
387 that it can exhibit anti-biofilm activity at sub-MIC concentrations, which is not always
388 the case. Therefore, even though we are not able to elucidate the specific mechanism of
389 antibiofilm activity, it is remarkable that both peptides displayed biofilm inhibition at
390 sub-MIC concentrations.

391 *P. aeruginosa* is a cause of significant morbidity and mortality in hospitalized patients,
392 particularly those with compromised immune systems like neutropenic patients [36].

393 In this work P5 and P6.2 were tested *in vivo*, in the lungs of neutropenic mice
394 previously instilled with *P.aeruginosa* PAO1. In these experiments we analyzed the
395 total bacterial burden and the local levels of three pivotal inflammatory cytokines in
396 lung tissue homogenates upon *P. aeruginosa* PAO1 infection.

397 Upon instillation, P5 and P6.2 were capable to diminish the CFUs in lungs of
398 neutropenic mice. These results demonstrated that the peptides were still active in the
399 lungs of mice, and capable of killing bacteria in a pro inflammatory environment. It has
400 been shown that most cathelicidins are less active or do not have activity over Gram-

401 negative bacteria in physiological conditions, some exceptions are chCATH-1, and -2,
402 PMAP-36 and PR-39[37].

403 After peptides instillation, IL-1 β and IL-6 were meaningfully diminished, while TNF- α
404 was diminished to a less extent, this could be explained in part because of the time
405 course of this cytokine in the lungs [38]. In fact TNF- α displays its peak at
406 approximately 9 h post infection, and for the time the experiment was performed the
407 cytokine level could be naturally diminishing, which is reflected in the mild increase in
408 cytokine level between control uninfected mice and mice infected with PAO1. In that
409 scenario, although it seems to be a mild reduction, actually the peptides reversed the
410 cytokine concentration to the control levels.

411 The two novel designed AMPs, P5 and P6.2, besides reducing the bacterial load in the
412 lungs, significantly reduced the production of three pivotal inflammatory cytokines
413 upon acute lung infection in a neutropenic context. It is important to note that none of
414 the peptides completely eliminated the bacterial load in the lungs, as tobramycin did;
415 nevertheless the pro-inflammatory cytokines reduction was similar to this antibiotic. In
416 the case of tobramycin, the decrease in pro-inflammatory cytokines levels should be
417 expected as a result of the complete clearance of lung bacterial burden. In the case of P5
418 and P6.2 that did not completely eradicate bacteria from the lungs, the reduction in
419 cytokine levels should have another explanation. Further work will be required in order
420 to unravel the mechanism beyond this down-regulation, but these results lead us to
421 believe that the peptides could be affecting the host cells, inducing the down regulation
422 of cytokines.

423 Previous studies demonstrated that the cationic amphipathic AMP LL-37 has anti-
424 inflammatory activity through various mechanisms, alter endotoxin aggregation through
425 LPS interactions [39] and in peripheral blood mononuclear cells strongly suppressed

426 synergistic responses to TREM-1 and TLR4 stimulation, partly through the inhibition of
427 TREM-1 expression on monocytes [40]. Another example is chCATH-2 (chicken
428 cathelicidin-2), it has been shown that chCATH-2-mediated killing of *P. aeruginosa*
429 inhibits pulmonary inflammation in a mouse lung model by reducing PMN recruitment
430 and preventing the release of pro-inflammatory cytokines and chemokines [41–43].

431 Inflammation is essential for host defense, but a failure to tightly control immune
432 responses to a pathogen can result in chronic inflammation and tissue destruction. It is
433 worth to notice that the pathophysiological mechanisms of pneumonia-induced sepsis
434 include a surge of pro-inflammatory cytokines. In fact IL-1 β plays important roles in the
435 up- and down-regulation of acute inflammation [44] but it can also functions as a
436 mediator of chronic inflammation and promotes fibrosis. In this work we showed that
437 these new alpha helical cationic peptides might be suitable candidates for the
438 development of potential anti-biofilm and anti-infective drugs, to be used for instance
439 for CF therapies, with both antimicrobial and anti-inflammatory functions.

440

441 **5 COMPLIANCE WITH ETHICS GUIDELINES**

442 Melina Martínez, Axel Polizzotto, Liliana Semorile and Paulo César Maffía declare that
443 they have not conflict of interest.

444

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Journal Pre-proof

Peptide	MW*	Ip*	NC**	μ H**	H**	AC
P 5	2356.98	11.26	7	0.58	0.455	88%
P 6.2	2515.09	11.75	7	0.793	0.328	46%

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Table 1 – Physicochemical parameters analyzed *in silico* and experimentally for P5 and P6.2. MW: molecular weight (daltons), Ip: isoelectric point, NC: net charge, μ H: hydrophobic moment, H: hydrophobicity, AC: alpha helix content. The percent helix values were determined based on circular dichroism spectra calculated as the mean residue molar ellipticity at 222 nm, in SDS micelles.

* http://web.expasy.org/compute_pi/

** <http://heliquet.ipmc.cnrs.fr/cgi-bin/ComputParamsV2.py>

	<i>P. aeruginosa</i>		<i>S. aureus</i>	
	P5	P6.2	P5	P6.2
MIC	64	16	16	32
MBC	128	32	64	128

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Table 2. MIC (minimum inhibitory concentration) and MBC (minimum bactericidal concentration) on *P. aeruginosa* and *S. aureus* for both peptides expressed in μ g/ml.

Samples	Neutrophils (%)	Lymphocytes (%)	Monocytes (%)
1) Neutropenic	4	96	0
2) Neutropenic	2	98	0
3) Neutropenic	3	97	0
4) Control	25	74	1
5) Control	30	69	1
6) Control	32	66	2

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Table 3 - Effect of I.P injected cyclophosphamide on Balb/c mice

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Figures

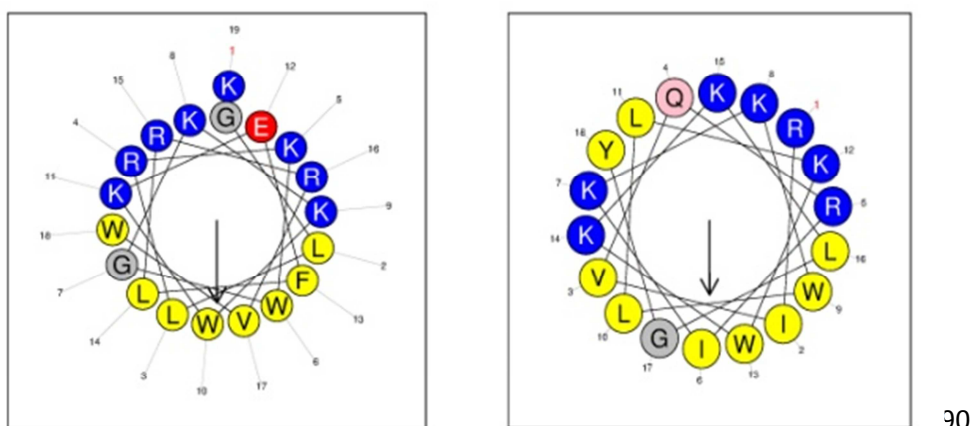


Figure 1. Helical wheel projection diagrams of the peptides, depicting the residues and their relative position in the alpha helix. Yellow circles represent hydrophobic residues and blue circles the positively-charged aminoacids, uncharged residues are painted in pink (Gln) and grey (Gly), and negatively charged residues (Glu) in red. The arrow depicts the hydrophobic moment. Left panel P6.2, right panel P5.

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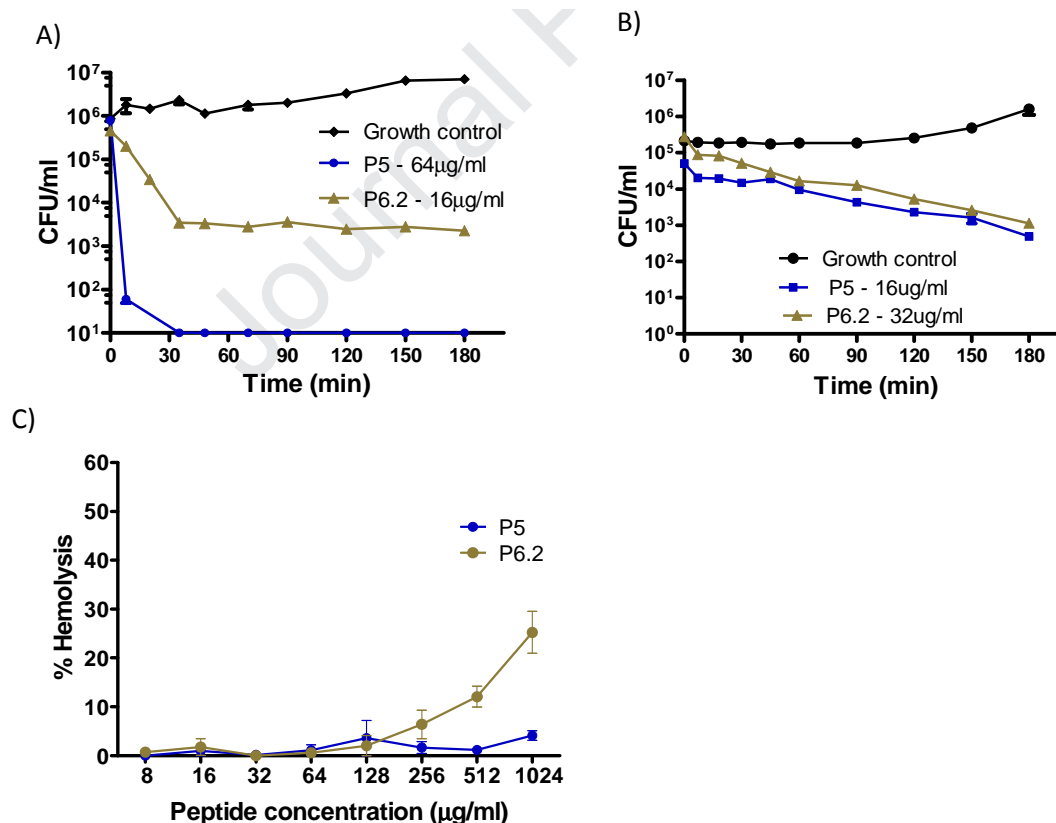
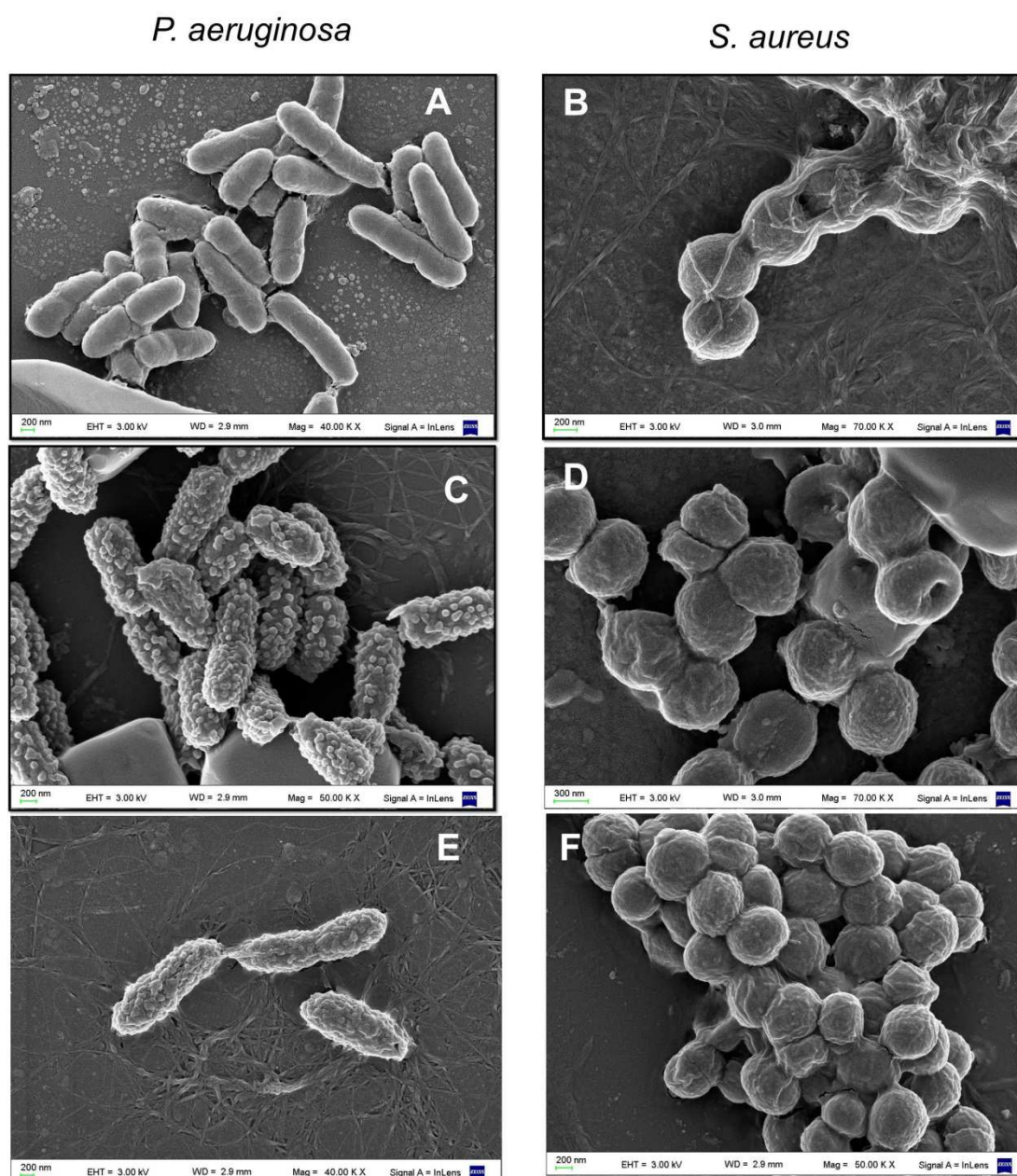


Figure 2. Killing kinetics with *P. aeruginosa* (A) and *S. aureus* (B). Each peptide was incubated at their MIC with approximately 5×10^5 CFU/ml inoculum. Samples were taken at different intervals for three hours, plated and viable CFUs counted by triplicate. C) Hemolytic activity of P5 and P6.2 at different concentrations. Mouse erythrocytes were incubated for 1h with AMPs and release of hemoglobin was determined by measuring the absorbance at 540 nm.

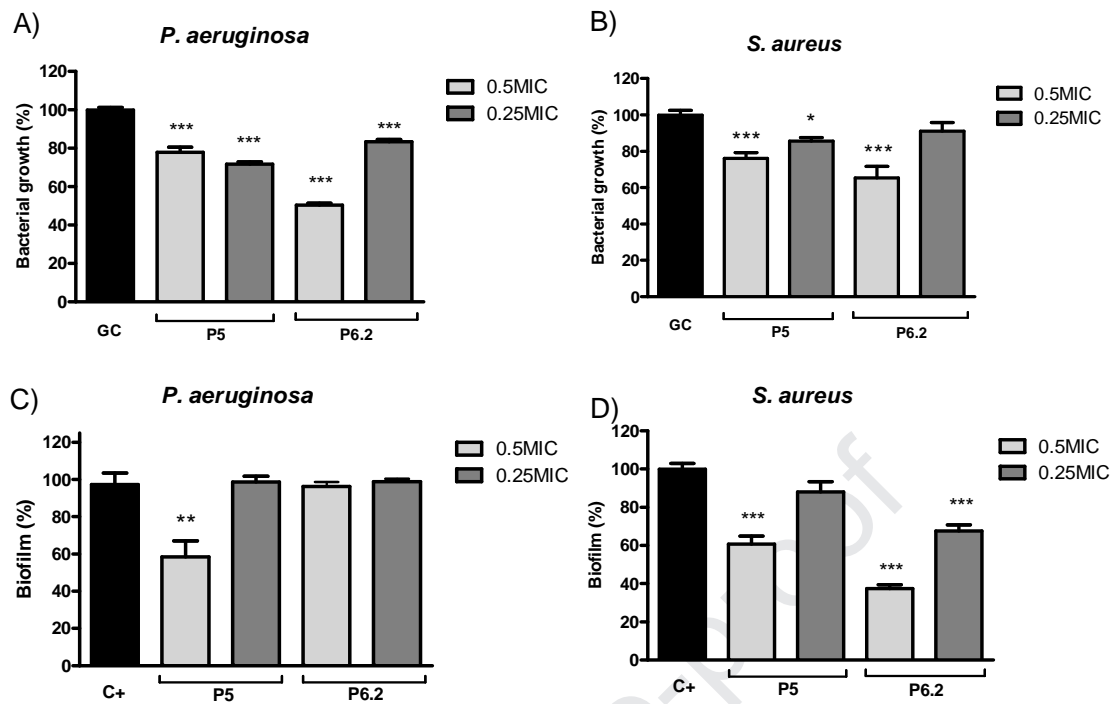


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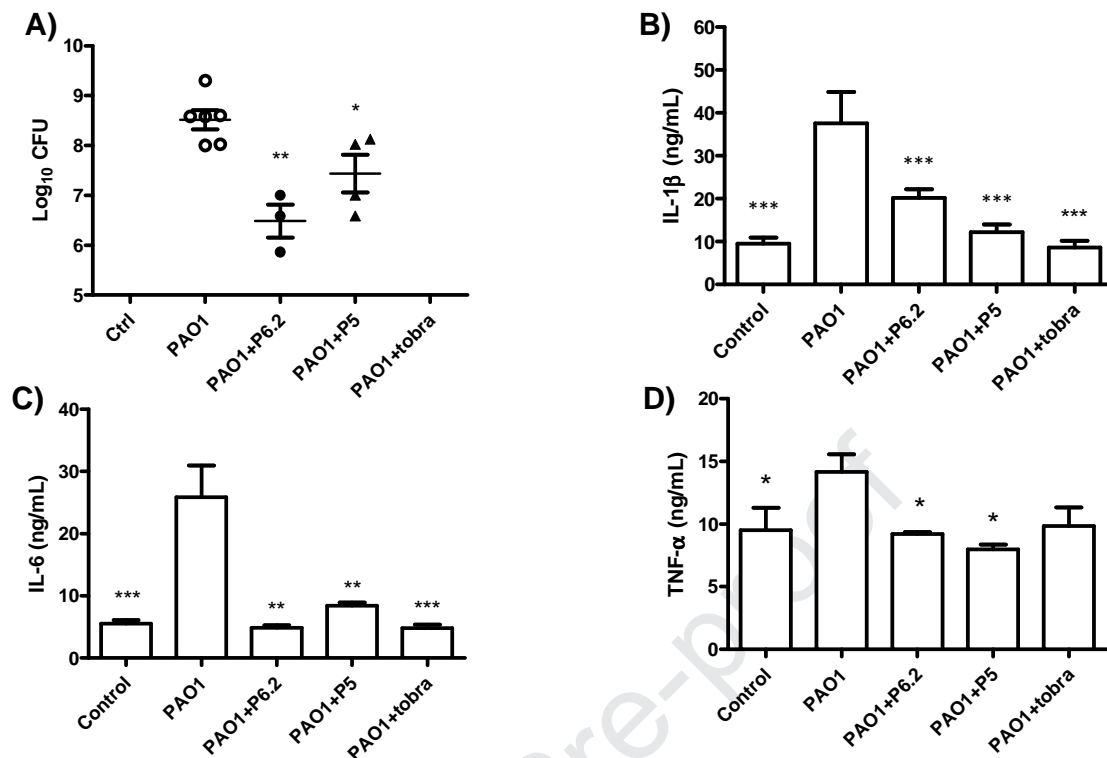
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Figure 3. Scanning electron microscopy (SEM) of *P. aeruginosa* and *S. aureus*. Bacterial cells were incubated with P5 or P6.2 for 1 hour at 37°C at their MIC. A) *P. aeruginosa* with no treatment. B) *S. aureus* with no treatment. C) *P. aeruginosa* treated with P5 D) *S. aureus* treated with P5 E) *P. aeruginosa* treated with P6.2 F) *S. aureus* treated with P6.2



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Figure 4. Bacterial growth and biofilm quantification. A, B) Bacterial growth of *P. aeruginosa* and *S. aureus* incubated with P5 or P6.2 at sub MIC concentrations. GC growth control with no antibiotic. C, D) Biofilm quantification. The total amount of biomass of *P. aeruginosa* and *S. aureus* incubated with P5 or P6.2 at sub MIC concentrations was quantified with crystal violet. One Way ANOVA - Dunnett's Multiple Comparison Test *p<0.05, **p<0.01, ***p<0.001 against GC (growth control) or C+ (positive control with no antibiotic)



510
 511 **Figure 5.** A) Total colony forming units (CFU) in the lung of infected neutropenic mice. Each
 512 mouse was intranasally infected with an inoculum of 10^6 CFU PAO1 and subsequently instilled
 513 with saline (PAO1), P6.2 (PAO1+P6.2), P5 (PAO1+P5) or tobramycin (PAO1+tobra). A group of
 514 mice were not infected and received saline (Control). After 20 h animals were sacrificed and their
 515 lungs surgically extracted and processed for viable bacteria counting. B, C, D) Cytokine
 516 quantification. ELISA was performed on the processed lungs samples to quantified pro
 517 inflammatory cytokines. Dunnett's Multiple Comparison Test, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$,
 518 compared to PAO1

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HIGHLIGHTS

- Two novel AMPs P5 and P6.2 were analyzed in *P.aeruginosa* and *S. aureus*
- Scanning electron microscopy revealed distinct membranolytic activity
- Both peptides display *in vitro* antibiofilm activity
- Peptides diminished *P.aeruginosa* burden in the lungs of neutropenic mice
- P5 and P6.2 diminished lung pro-inflammatory cytokines