# Determination of $pK_a$ and Hydration Constants for a Series of $\alpha$ -Keto-Carboxylic Acids Using Nuclear Magnetic Resonance Spectrometry

## ANTONIO LOPALCO,1 JUSTIN DOUGLAS,2 NUNZIO DENORA,3 VALENTINO J. STELLA1

- <sup>1</sup>Department of Pharmaceutical Chemistry, The University of Kansas, Lawrence, Kansas 66047
- <sup>2</sup>Nuclear Magnetic Resonance Laboratory, Lawrence, Kansas 66045

Received 23 March 2015; revised 12 May 2015; accepted 18 May 2015

Published online in Wiley Online Library (wileyonlinelibrary.com). DOI 10.1002/jps.24539

**ABSTRACT:** The determination of the acid-base dissociation constants, and thus the  $pK_a$  values, of  $\alpha$ -keto acids such as pyruvic acid is complex because of the existence of these acids in their hydrated and nonhydrated or oxo state. Equilibria involved in the hydration and dehydration of the  $\alpha$ -keto group of pyruvic acid and three other  $\alpha$ -keto acids, 3-methyl-2-oxobutanoic acid, 4-methyl-2-oxopentanoic acid, and 2-oxo-2-phenylacetic acid, were investigated by proton and carbon nuclear magnetic resonance spectrometry, at constant ionic strength, 0.15, and 25°C. Dissociation constants for the oxo  $(pK_a^{\text{oxo}})$  and hydrated  $(pK_a^{\text{hyd}})$  acids of each compound were estimated from the change in the degree of hydration with changes in pH and directly from the changes in chemical shifts of various hydrogen and carbons nuclei with pH.  $\alpha$ -Keto acids showed greater hydration in their acidic forms than their carboxylate forms. The degree of hydration was sensitive to steric and electronic/resonance factors. As expected, the oxo forms of the acids were stronger acids compared with their hydrated analogs, and their dissociation constants were also sensitive to steric and electronic factors. © 2015 Wiley Periodicals, Inc. and the American Pharmacists Association J Pharm Sci

**Keywords:** α-keto carboxylic acids; pyruvic acid; dissociation constants; hydration; NMR spectroscopy; UV/Vis spectroscopy; structure property relationship; non-linear regression

## INTRODUCTION

The objective of this study was to determine the acid–base dissociation constants of the oxo, or nonhydrated, and hydrated forms, and thus their  $pK_a^{\text{oxo}}$  and  $pK_a^{\text{hyd}}$  values, respectively, and the hydration equilibrium constants of a series of  $\alpha$ -keto acids **1–4** (Scheme 1) using both proton and carbon, nuclear magnetic resonance (NMR) spectrometry to follow the integration and shifts of various atoms in the molecules affected by changes in pH values.

Pyruvic acid (1) readily reacts with hydrogen peroxide to form acetic acid and carbon dioxide, and  $\alpha$ -keto acids are of major importance in intermediary metabolism and as components of the Krebs cycle. Pyruvic acid plays an important role in vivo metabolic pathways, such as gluconeogenesis, transamination, and fermentation. It can be involved in enzyme-catalyzed intracellular phenomena and converted into fatty acids or energy through acetyl-CoA.  $\alpha$ -Keto acids are used as model substrates of enzymes and in the development of enzyme inhibitors. They have been used to treat some pathological conditions,  $^{4-6}$  and are of interest as biosynthetic precursors and intermediates in the syntheses.

For many drugs and biological molecules,  $^9$  including  $\alpha$ -keto acids, the apparent acid-base dissociation constant,  $K_a$ , and thus their  $pK_a$  value, is an important physicochemical characteristic thought to be associated with biological activity and chemical reactivity and stability. It is known that most  $\alpha$ -keto

acids can exist in an equilibrium between their oxo form and as their hydrated, gem-diol (Scheme 1) form, depending on the electron-withdrawing or -donating properties and steric effects of the groups adjacent to the  $\alpha$ -keto group, the center of the nucleophilic water addition reaction. Several values have been reported for the p $K_a$  of pyruvic acid  $(2.4 \pm 0.2)$  and some other  $\alpha$ -keto acids. The values represent composite values and reflect the acidities and the relative concentrations of the hydrated and oxo forms of the acids.

The p $K_{\rm a}$  of the nonhydrated acid, oxo or keto form, is not readily measured directly but can be determined from knowledge of the equilibrium of hydration of the protonated and deprotonated forms and the apparent macroscopic p $K_{\rm a}$  or p $K_{\rm a}^{\rm obs}$  values.<sup>12,13</sup> A number of techniques have been used for the determination of the degree of hydration and the measurement of p $K_{\rm a}$  values of  $\alpha$ -keto acids. NMR spectrometry represents the most powerful and unique of these techniques. Here, NMR was used to investigate and determine the equilibrium constants for hydration, and the p $K_{\rm a}^{\rm oxo}$  and p $K_{\rm a}^{\rm hyd}$  values of  $\alpha$ -keto acids 1–4 (Scheme 1).<sup>10</sup> By following the shifts of selected protons and carbons a function of pH for both the acids, hydrated and oxo forms, the p $K_{\rm a}^{\rm oxo}$  and p $K_{\rm a}^{\rm hyd}$  values could be determined directly.

# **MATERIALS AND METHODS**

#### **Materials**

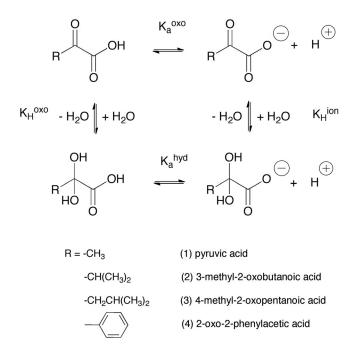
All the keto acids (Scheme 1) were purchased as their sodium salt, except 2-oxo-2-phenyl acetic acid (4), from Sigma–Aldrich (Milwaukee, Wisconsin), as was deuterium oxide (99.96%).

<sup>&</sup>lt;sup>3</sup>Department of Pharmacy – Drug Sciences, University of Bari Aldo Moro, Bari 70100, Italy

 $Correspondence\ to: Valentino\ J.\ Stella\ (Telephone: +785-864-3755;\ Fax: +785-864-5736;\ E-mail:\ stella@ku.edu)$ 

Journal of Pharmaceutical Sciences

<sup>© 2015</sup> Wiley Periodicals, Inc. and the American Pharmacists Association



**Scheme 1.** Ionization and hydration equilibria of various  $\alpha$ -keto acids, **1–4**, in aqueous solution.

Tetramethylsilylpropionate (TMSP-d4) was purchased from Cambridge Isotopes Laboratories (Tewksbury, Massachusetts), and methanol HPLC grade from Sigma–Aldrich were used as an internal and external standard for the NMR studies, respectively. Deionized water was used to prepare all the NMR samples. HCl 37% A.C.S. reagent was purchased from Sigma–Aldrich and NaOH 10 N was purchased from Fischer Scientific (Pittsburgh, Pennsylvania).

### Methods

The initial concentration of the acids used in the NMR samples was 150 mM in a volume of 0.5 mL of solvent (9:1, v/v,  $\rm H_2O-D_2O)$ . All spectra were acquired using 5 mm NMR tubes. The  $\alpha$ -keto acid solutions were titrated to the desired pH using concentrated hydrochloric acid and/or sodium hydroxide such that the final ionic strength was 0.15 with sodium chloride. The pH of each sample was measured directly in the NMR tube using a 5-mm pH electrode purchased from Wilmad Labglass (Vineland, New Jersey). No correction was made for the deuterium isotope effect. The samples were stable during the analysis and no variation in spectra and pH values was observed when the runs were repeated.

One-dimensional <sup>1</sup>H and <sup>13</sup>C NMR spectra for compounds **1–4** were acquired on a 400 or 500 MHz Bruker (Rheinstetten, Germany) spectrometer equipped with a X-channel observe

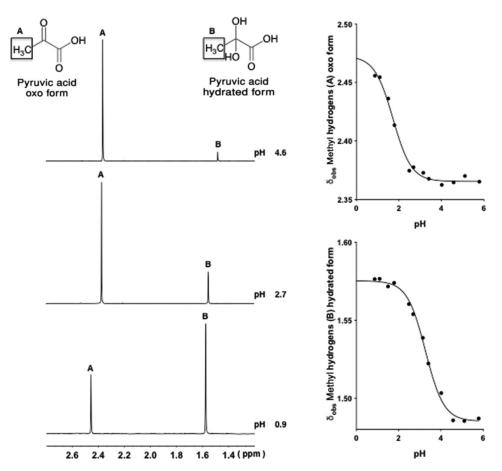


Figure 1.  $^{1}$ H NMR spectra (9:1, v/v,  $_{12}$ O: $_{12}$ O) of the methyl resonances of pyruvic acid (1, oxo form and its hydrate) at various pH values, 4.6, 2.7, and 0.9 (top to bottom on the left). Observed chemical shifts ( $\delta_{obs}$ ) of the signals arising from the methyl hydrogens associated with the oxo and hydrated forms of 1 plotted against pH (right). The two continuous lines with a sigmoidal shape are described by Eq. (14), fitting  $\delta_{obs}$  values at different pH values.

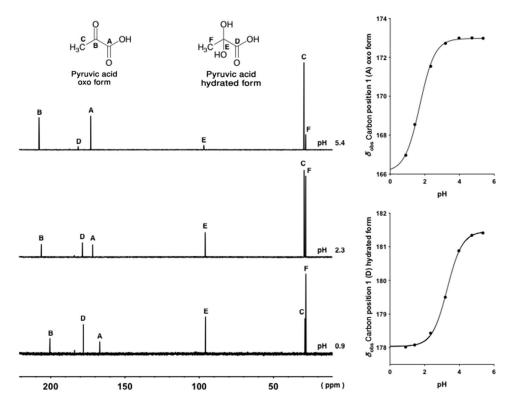


Figure 2.  $^{13}$ C NMR spectra of pyruvic acid (1, oxo form and its hydrate) at different pH values, 5.4, 2.3, and 0.9 (top to bottom on the left). Observed chemical shifts ( $\delta_{obs}$ ) of the signals arising from the carbon in position 1 associated with the oxo and hydrated forms of 1 plotted against pH (right). The two continuous lines with a sigmoidal shape are described by Eq. (14), fitting  $\delta_{obs}$  values at different pH values.

quadruple nuclei probe or carbon-enabled cryoprobe, respectively. Sample temperature was set to  $25\,^{\circ}\mathrm{C}.$ 

Quantitative  $^{13}$ C NMR spectra $^{15}$  for compounds 4 were acquired using the inverse gated  $^{1}$ H decoupling pulse sequence.  $^{16}$  To insure the integrals are quantitative, the interscan delay is set to 75 s, which is greater than  $5*T_1$  as determined using the inversion recovery experiment.  $^{16}$  Data were processed with the software MestreNova (MestreLab Researcher, S. L., Santiago de Compostela, Spain).

Chemical shifts were referenced to the internal standard, TMSP-d4, or to the external standard methanol. The relative amount of the hydrated and nonhydrated keto acids was determined using the relative peak area measured by the global spectral deconvolution algorithm implemented in MestreNova software.<sup>17</sup>

## **Data Fitting**

Data fitting to estimate limiting hydration constants and  $K_a$  values was performed using GraphPad/Prism version 6.0 (GraphPad Software, La Jolla, California). Nonlinear least-squares regression analysis, choosing relative weighting (in order to minimize the weighted sum-of squares), was used to obtain best-fit values of the parameters described later in Eqs. (7) and (14).

#### **RESULTS AND DISCUSSION**

The reversible hydration of a series of  $\alpha$ -keto acids **1–4** and their equilibria involved in ionization protonation of the oxo and hydrated forms (Scheme 1) in aqueous solution were

investigated using  $^1\mathrm{H}$  and  $^{13}\mathrm{C\textsc{-}NMR}$  techniques. The hydration and dehydration kinetics, although fast, are considered in "slow exchange" on the NMR time scale, meaning that the lifetime of each species is longer than the amount of time required to distinguish them, which equals the inverse of their chemical shift difference in Hz (on the order of milliseconds on a 400 or 500 MHz spectrometer). Thus, one is able to see peaks in the NMR spectrum corresponding to both the hydrated and nonhydrated species.

The <sup>1</sup>H NMR spectra of pyruvic acid in aqueous solutions at 25°C are shown in Figure 1 at pH values 0.9, 2.7, and 4.6.

Each spectrum shows two peaks at around 1.5 and 2.4 ppm, which are the expected signals for the methyl protons of pyruvic acid corresponding to the hydrated and oxo forms, respectively. The relative positions of the peaks are in agreement with this assignment inasmuch as the shielding of the methyl protons of the oxo would be predicted to be smaller than that for the corresponding methyl protons in the hydrated form. At pH 0.9, a higher fraction of the hydrated form exists than at pH 2.7 and 4.6. That is, the degree or fraction hydrated significantly decreased following the overall ionization of the  $\alpha$ -keto acids to their deprotonated or carboxylate form. The <sup>1</sup>H NMR spectrum at different pH values also shows that the observed chemical shift  $(\delta_{obs})$  values of the peaks associated with both forms decreased following the ionization of the two forms of the acid. That is, the protonated and nonprotonated forms are in fast exchange on the NMR time scale, meaning that one sees a weighted average, rather than individual peaks. The kinetics of this reaction must be much faster than hydration reaction.



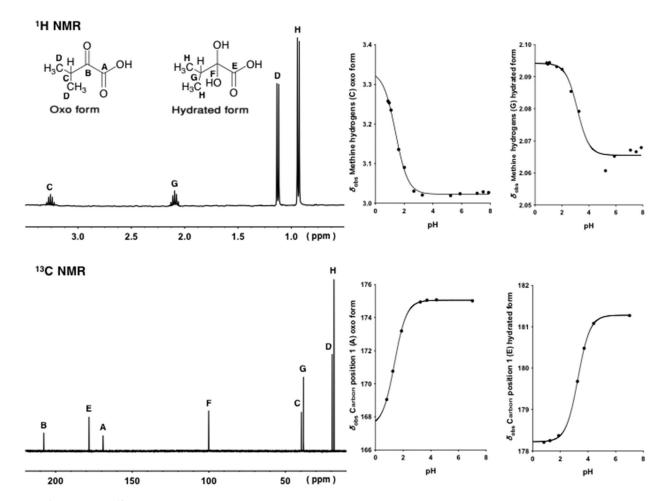


Figure 3.  $^{1}$ H NMR and  $^{13}$ C NMR of compound 2 at pH values at approximately 0.8 and 0.9, respectively (left). Observed chemical shifts ( $\delta_{obs}$ ) of the signals arising from the tertiary hydrogens and the carbon in position 1 associated with the oxo and hydrated forms of 2 plotted against pH (right). The four continuous lines with a sigmoidal shape are described by Eq. (14), fitting  $\delta_{obs}$  values at different pH values.

The <sup>13</sup>C NMR spectra of pyruvic acid in aqueous solutions at 25°C are shown in Figure 2 at pH values 0.9, 2.3, and 5.4.

Each spectrum shows six peaks, which are the signals for the CH $_3$  carbons (28 and 29 ppm) of the hydrated and oxo forms, respectively: the carbon in position 2 (96 ppm) for the hydrated form; the carbon in position 1 (170 ppm) of the oxo form; the carbon in position 1 (184 ppm) for the hydrated form; and the carbon in position 2 (200 ppm) associated with the oxo form. Figure 2 shows that the ionization of the  $\alpha\text{-keto}$  acid (oxo and hydrated forms) occurs simultaneously with a downfield shift of the carbon signals, more evident for the  $\alpha\text{-carbon}$  and carboxylic carbon peaks than for the CH $_3$  carbon peaks.

Note, <sup>1</sup>H and <sup>13</sup>C NMR studies for pyruvic acid were conducted at pH values below 6 in order to avoid a degradation reaction seen at higher pH values. Investigations in our laboratories showed that an aldol-like condensation with a second molecule of pyruvic acid to form a dimer and subsequent oligomers occurred rather rapidly at neutral and alkaline pH values. This will be the subject of a later paper.

The  $^1H$  and  $^{13}C$  NMR spectra of  $\alpha$ -keto acids  ${\bf 2}$  and  ${\bf 3}$  at different pH values showed the appearance of the peaks arising from the hydrogens and carbons (Figs. 3 and 4) associated with the hydrated and oxo forms. It can be seen that at different pH

values the observed chemical shifts  $(\delta_{obs})$  values of the peaks associated with both forms changed following the ionization.

From the analysis of the  $^1H$  NMR of compounds 1-3, it was possible to determine the degree of hydration at different pH values, using the relative peak area of methyl hydrogens for 1 and 2 and the peak area of the alkyl chain hydrogens  $[-CH_2CH(CH_3)_2]$  for 3 associated with the oxo and hydrated form.

The observed equilibrium constants of hydration  $(K_H)$  for compounds **1–3** were determined by measurements of their degrees of hydration at different pH values, by the integrated relative area of the protons resonance of the hydrated and oxo forms, Eq. (1):

$$K_{\mathrm{H}} = \frac{\mathrm{Integration~of~peak~of~protons}~P_{\mathrm{hyd}}}{\mathrm{Integration~of~peak~of~protons}~P_{\mathrm{oxo}}}$$
 (1)

where  $P_{\mathrm{hyd}}$  and  $P_{\mathrm{oxo}}$  are the hydrated and oxo forms of each compound.

Figure 5 shows the plots of the changes in  $K_{\rm H}$  with changing pH values of compounds 1–3. The protonated forms of each compound are more hydrated than their respective carboxylated forms. Pyruvic acid (1) appeared more hydrated than

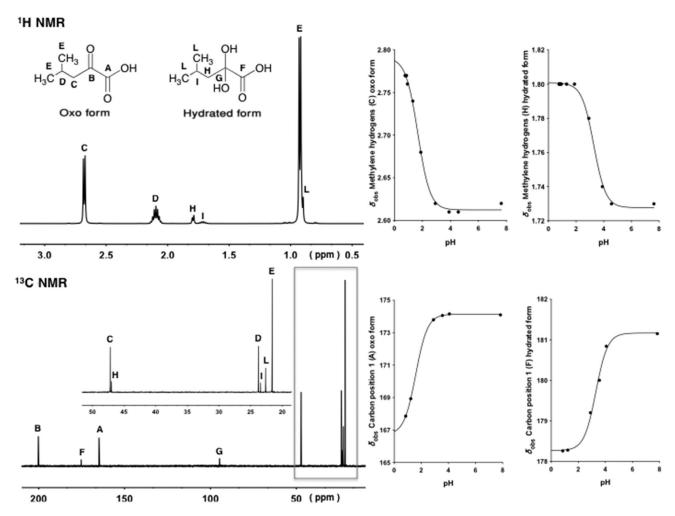


Figure 4. <sup>1</sup>H NMR and <sup>13</sup>C NMR of compound 3 at pH values at approximately 1.8 and 0.8, respectively (left). Observed chemical shifts ( $\delta_{obs}$ ) of the signals arising from the methylene hydrogens and the carbon in position 1 associated with the oxo and hydrated forms of 3 plotted against pH (right). The four continuous lines with a sigmoidal shape are described by Eq. (14), fitting  $\delta_{obs}$  values at different pH values.

compounds **2** and **3** both in their protonated ( $K_{\rm H}^{\rm oxo}$ , defined below) and deprotonated ( $K_{\rm H}^{\rm ion}$ , defined below) forms (Table 1).

Dissociation constants  $(K_a)$  for compounds 1–3 in hydrated and nonhydrated form were then estimated from the determination of their hydration equilibrium constants as a function of solution pH changes (Table 1) as follows.

From the Scheme 1, the two equilibrium constants of hydration for the protonated (HA) and deprotonated ( $A^-$ ) forms of each compound can be defined by Eqs. (2) and (3):

$$K_{\rm H}^{\rm oxo} = \frac{[{\rm HA}_{\rm hyd}]}{[{\rm HA}_{\rm oxo}]} \tag{2}$$

$$K_{\rm H}^{\rm ion} = \frac{[{\rm A}_{\rm hyd}^{-}]}{[{\rm A}_{\rm oxo}^{-}]}$$
 (3)

and the dissociation constants for the oxo and hydrated forms can be defined by Eqs. (4) and (5):

$$K_{\rm a}^{\rm oxo} = \frac{[{\rm H}^+][{\rm A}^-_{\rm oxo}]}{[{\rm HA}_{\rm oxo}]}$$
 (4)

$$K_{\rm a}^{\rm hyd} = \frac{[{\rm H}^+][{\rm A}_{\rm hyd}^-]}{[{\rm HA}_{\rm hyd}]}$$
 (5)

where  $[HA_{\rm hyd}]$  and  $[A_{\rm hyd}^-]$  are the concentrations of protonated and deprotonated hydrated form and  $[HA_{\rm oxo}]$  and  $[A_{\rm oxo}^-]$  are the concentrations of protonated and deprotonated oxo form, respectively.

The hydration equilibrium is pH dependent; thus, the changes in hydration determined by NMR then determine the overall apparent dissociation of the acids. At various pH values, the observed equilibrium constants of hydration of compounds 1–3 require one to consider the contribution of each species in solution, hydrated and oxo forms, protonated and deprotonated, respectively, as defined in Scheme 1 and by Eq. (6):

$$K_{\rm H} = \frac{[{\rm HA_{hyd}}] + [{\rm A_{hyd}^-}]}{[{\rm HA_{oxo}}] + [{\rm A_{oxo}^-}]}$$
(6)

The observed equilibrium constant of hydration can also be expressed as a function of  $[H^+]$  and the acid dissociation

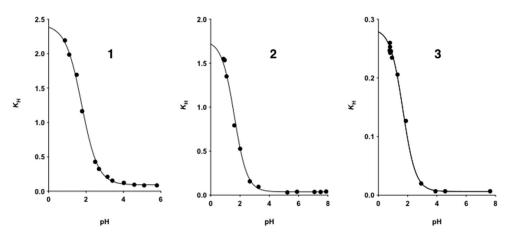


Figure 5. Observed hydration equilibrium constants (KH) for compounds 1-3 plotted against pH. The continuous lines with a sigmoidal shape are described by Eq. (7), fitting  $K_{\rm H}$  values at different pH values.

constant for the oxo form by Eq. (7):

$$K_{\rm H} = \frac{[{\rm H}^+] K_{\rm H}^{\rm oxo} + K_{\rm H}^{\rm ion} K_{\rm a}^{\rm oxo}}{[{\rm H}^+] + K_{\rm a}^{\rm oxo}} \tag{7}$$

The dissociation constant of oxo form can be determined from the determination of the observed equilibrium constants of hydration at different pH values from a fit to Eq. (7).

The dissociation constant for the hydrated form of compounds 1-3 can then be calculated from Eq. (8):

$$K_{\rm a}^{\rm hyd} = \frac{K_{\rm a}^{\rm oxo} K_{\rm H}^{\rm ion}}{K_{\rm H}^{\rm oxo}} \tag{8}$$

The oxo form of each compound is a stronger acid than the hydrated form. The values for all the constants using this method are reported in Table 1.

Dissociation constants of α-keto acids 1-3 were also determined by chemical shift of the signals arising from methyl, methine, and methylene hydrogens, respectively (Figs. 1, 3, and 4) and from measurements of the chemical shift arising from carbon in position 1 (Figs. 2-4 and 6) and position 2 of the oxo and hydrated forms at different pH values.

The p $K_a$  value for each species can be determined from plots of the observed chemical shift  $(\delta_{obs})$  versus the pH using fits to the appropriate equations as follows. The observed chemical shift of a <sup>1</sup>H or <sup>13</sup>C NMR resonance can be expressed as the weighted average of the limiting chemical shift values, where the weighing factors are the pH-dependent mole fractions (f). The chemical shift versus pH data can be fitted using Eq. (9):

$$\delta_{\text{obs}} = f_{\text{HA}} \delta_{\text{HA}} + f_{\text{A}^-} \delta_{\text{A}^-}$$
 (9)

where f and  $\delta$  are the fraction and the chemical shift of protonated form (HA), and deprotonated form (A-), respectively, of both oxo and hydrated forms. The mole fractions  $f_{
m HA}$  and  $f_{
m A}^$ are described by Eqs. (10) and (11):

$$f_{\rm HA} = \frac{[{\rm HA}]}{[{\rm HA}] + [{\rm A}^-]}$$
 (10)

**Table 1.**  $K_{\rm H}$  and p $K_{\rm a}$  Values  $\pm$  Standard Error (SE) for 1–4 at 25°C, Ionic Strength 0.15

Compound	$\mathrm{p}K_\mathrm{a}^{\mathrm{oxo}}\pm\mathrm{SE}^a$	$pK_a^{hyd} \pm SE^a$	$K_{ m H}^{ m oxo} \pm { m SE}^a$	$K_{ m H}^{ m ion} \pm { m SE}^a$	$pK_a^{oxo} \pm SE^b$	$\mathrm{p} K_\mathrm{a}{}^\mathrm{hyd} \pm \mathrm{SE}^b$	$pK_a^{oxo} \pm SE^c$	$pK_a^{$
1	$1.79\pm0.06$	$3.23\pm0.04$	$2.353 \pm 0.000$	$0.087 \pm 0.002$	$1.70\pm0.09$	$3.27\pm0.04$	$egin{array}{l} 1.71 \pm 0.04^d \ 1.72 \pm 0.04^e \ 1.64 \pm 0.02^{d,f} \ 1.65 \pm 0.04^{e,f} \end{array}$	$3.25 \pm 0.03^d$ $3.29 \pm 0.02^e$ $3.24 \pm 0.03^{d,f}$ $3.26 \pm 0.03^{e,f}$
2	$1.60\pm0.09$	$3.29 \pm 0.06$	$1.786\pm0.183$	$0.037\pm0.002$	$1.39\pm0.06$	$3.12\pm0.10$	$1.37 \pm 0.02^d$ $1.38 \pm 0.02^e$	$3.27 \pm 0.01^d$ $3.19 \pm 0.05^e$
3	$1.68 \pm 0.05$	$3.35 \pm 0.04$	$0.285\pm0.011$	$0.006\pm0.002$	$\begin{array}{c} 1.70  \pm  0.07^g \\ 1.68  \pm  0.04^g \end{array}$	$3.28 \pm 0.04^{g} \ 3.36 \pm 0.11^{g}$	$1.59 \pm 0.08^d$ $1.59 \pm 0.02^e$	$3.30 \pm 0.07^d$ $3.26 \pm 0.08^e$
4	ND	ND	ND	ND	ND	ND	$1.82 \pm 0.13^d \ 1.82 \pm 0.15^e$	ND

<sup>&</sup>lt;sup>a</sup>The equilibrium constants of hydration  $K_{\rm H}^{\rm oxo}$ ,  $K_{\rm H}^{\rm ion}$ , and dissociation  $K_{\rm a}^{\rm oxo}$  and  $K_{\rm a}^{\rm hyd}$  were determined from measurements of the degrees of oxo and hydrated forms at different pH values by <sup>1</sup>H NMR. Equilibrium constants were calculated using Eqs. (1), (7), and (8), as described above.

Determined by the observed chemical shift  $(\delta_{obs})$  of the signals arising from methyl (1), methine (2), and methylene (3) associated with the hydrated and oxo forms, using  ${}^1H$  NMR. The p $K_a$  values were calculated using Eq. (14), as described above.  ${}^cD$  etermined by  ${}^{13}C$  NMR, by  $\delta_{obs}$  of the signals arising from carbon in position 1 (**d**) and position 2 (**e**) associated with the hydrated and oxo forms. The p $K_a$ 

values were calculated using Eq. (14), as described above.

fDetermined in pure water using methanol as external reference.

gSignal for methylene hydrogens is split into a doublet.

ND. not determined.

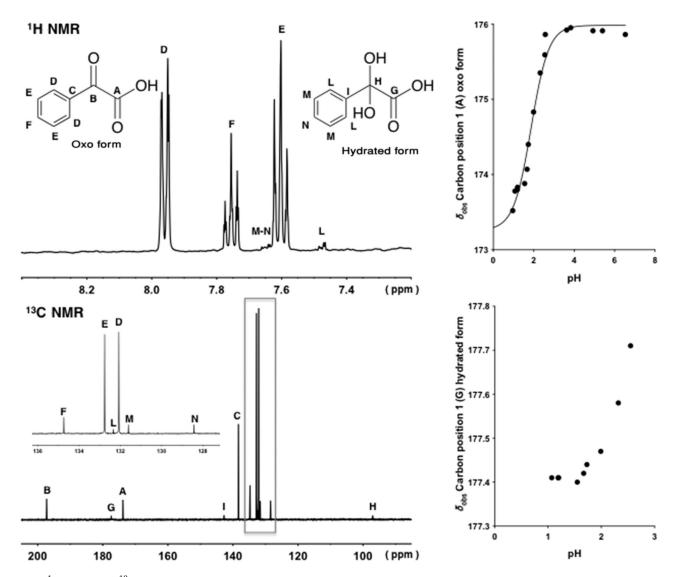


Figure 6.  $^{1}$ H NMR and  $^{13}$ C NMR of compound 4 at pH values at approximately 1.5 and 1.2, respectively (left). Variation of the observed chemical shifts ( $\delta_{obs}$ ) of the signals arising from the carbon in position 1 associated with the oxo and hydrated forms of 4 at different pH values (right). The continuous line with a sigmoidal shape is described by Eq. (14), fitting  $\delta_{obs}$  values at different pH values.

$$f_{A^{-}} = \frac{[A^{-}]}{[HA] + [A^{-}]}$$
 (11)

The mole fractions can then be expressed as a function of  $[H^+]$  and the individual acid dissociation constants  $(K_a)$ , assuming that activity coefficients are unity:

$$f_{\rm HA} = \frac{[{\rm H}^+]}{[{\rm H}^+] + K_{\rm a}}$$
 (12)

$$f_{\rm A^-} = \frac{K_{\rm a}}{[{\rm H^+}] + K_{\rm a}} \tag{13}$$

and, thus, Eq. (9) can be redefined by Eq. (14):

$$\delta_{\rm obs} = \frac{[{\rm H}^+] \delta_{\rm HA} + K_{\rm a} \delta_{\rm A^-}}{[{\rm H}^+] + K_{\rm a}} \tag{14}$$

where the  $K_a$  value defined in Eq. (14) represents the value for the specific specie being followed, that is, the hydrated or oxo form. The p $K_a$  values for **1–3** using the fits to Eq. (14) are also reported in Table 1. One can see an excellent correlation between the values using both methods.

Concerns about a possible deuterium isotope effect, because of the presence of  $10\%~D_2O$  in the samples and the use of an internal standard on the acid–base equilibrium was evaluated.  $^{13}C$  NMR analyses of pyruvic acid (1) at different pH values were performed in water, no  $D_2O$ , and using methanol contained in a coaxial cylindrical tube, as an external reference. The observed chemical shifts  $(\delta_{obs})$  of the signals arising from the carbon in position 1 and 2 associated with the oxo and hydrated forms of 1 were plotted against pH and fitted according to Eq. (14). No significant difference was found between these values and those determined using  $10\%~D_2O$  and an internal standard (Table 1).

<sup>1</sup>H and <sup>13</sup>C NMR spectrum of 4 (Fig. 6) were also analyzed at different pH values. Because of the complexity of the aromatic

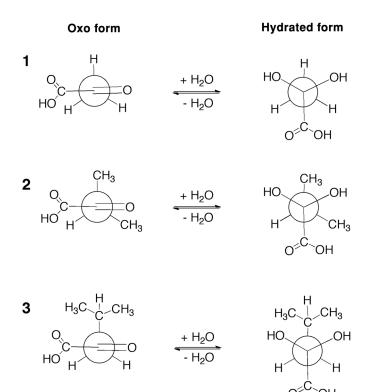


Figure 7. Newman projection formulas for  $\alpha$ -keto acids 1–3. Each of the hydrates is drawn in the staggered orientation.

proton signals of the oxo and hydrated forms and the difficulty to detect the signals arising from the carbon of the hydrated form at higher pH values (Fig. 6), the determination of  $K_{\rm H}$  values and the p $K_{\rm a}$  of the hydrated form were not possible. Only the p $K_{\rm a}$  value of the oxo form, and its standard error, were determined plotting the observed chemical shifts of the signals arising from the carbon in position 1 or 2 against pH and fitting to Eq. (14) (Table 1).

Quantitative  $^{13}C$  NMR spectra for 4 did show greater hydration in its acidic form compared with its carboxylate form (more than 10% of hydrated form was found at pH below 1 and only the oxo form was detected at pH values above 3), consistent with what was seen for the alkyl substituted  $\alpha\text{-keto}$  acids. The loss of the coupling of the  $\pi\text{-orbital}$  overlap of the keto-carbonyl of 4 with the  $\pi\text{-orbitals}$  of the phenyl ring on hydration clearly reduces the degree of hydration for both the acid and carboxylate forms as compared with 1–3. The steric effect of the aromatic ring of 4 to the addition of water on the carbonyl center may also contribute to the shift the equilibrium of hydration–dehydration to the energetically more favorable oxo form.

Data showing the shift of the carboxylic/carboxylate carbon signal for 4 with pH for both the oxo and hydrated species are shown in Figure 6.

The variation in the degrees of hydration of the carbonyl compounds **1–3** may be, in part, explained on the basis of the inductive effect of the groups adjacent to the carbonyl atom (except in the case of **3**). Methyl and carboxylate groups have a +I effect, hydrogen has zero I effect, and the carboxylic acid has –I effect. <sup>18</sup> Electron-withdrawing groups adjacent to the carbonyl group will help promote addition of a water molecule, whereas

Figure 8. Newman's rule of six applied  $\alpha$ -keto acids 2 and 3. In the reaction involving the addition of water to the unsaturated keto function (carbon 2), compound 3 presents a greater steric effect than 2, because of the presence of multiple atoms, hydrogens, in the six-position.

electron-donating groups will have the opposite effect. At pH below 1, as 1 and 2 are approximately 70% and 60% hydrated,  $K_{\rm H}{}^{\rm oxo}$  values are greater than 2.3 and 1.7, respectively. The low value for hydration of compound 3 ( $K_{\rm H}{}^{\rm oxo}\sim 0.28$ ) is likely because of steric hindrance to hydration and not purely because of indicative effects. Inspection of Newman projection (Fig. 7) provides some insight. In the staggered conformation, the hydroxyl groups of hydrated 3 will interfere sterically with rotation of the  $-{\rm CH}({\rm CH}_3)_2$  grouping. This limits the number of conformations that hydrated 3 can take up as compared with hydrated 1 and 2. From entropic considerations, this would result in a more positive  $\Delta G^{\circ}$  value for the hydration of 3 compared with that for hydration of 1 and 2.  $^{10}$ 

Moreover, the empirical rule of six proposed by Newman to explain the steric effect of atoms in the six position to the addition reaction of a reagent across a double bond, may be applied to the  $\alpha$ -keto acids (Fig. 8). If the atoms in **3** are numbered consecutively starting with the  $\alpha$ -carbonyl oxygen as one (the carbon in  $\alpha$  position is the center of hydration reaction), the presence of hydrogens in position six must be considered an important contributory factor to the steric effect. <sup>19</sup> This may help explain the greater degree of hydration of **2** than **3**. Although some might consider **2** to be more sterically hindered, Newman's rule of six, says the opposite. That is, in the case of **2**, it has no "interfering" atoms in position six.

Pyruvic acid (both oxo and hydrated forms) is a stronger acid than the analogous aliphatic carboxylic acid, propanoic acid (p $K_a \sim 4.87$ ), and 2-hydroxypropanoic acid [( $\pm$ ) lactic acid)  $(pK_a \sim 3.86)^{20}$  The presence of the electron-withdrawing substituents, α-keto group, and the hydroxyl groups (one for the lactic acid or two for hydrated pyruvic acid) stabilizes the deprotonated forms (anion) relative to the protonated forms (neutral), as compared with the analogous unsubstituted carboxylic acid. As expected, compounds 1-3 are stronger acids in the oxo form than their hydrated analogs (Table 1). The  $pK_a$  values at 25°C and ionic strength 0.15 for 1-3 in their oxo and hydrated forms also showed some variation with chain length but the effects were relatively mild compared with the effects on the degree of hydration. Even though, one was not able to determine the dissociation constants for compound 4 with accuracy, by analogy with compounds 1-3, the  $pK_a$  value of the oxo form (p $K_a^{\text{oxo}} = 1.82$ ) is considerably lower than the value for the hydrated form because of the presence of the electronwithdrawing α-keto group. Kerber and Fernando<sup>11</sup> reported a  $pK_a$  value for the oxo form of 4 of 1.3. By analogy with carboxylic acids, benzoic acid and acetic acid, the presence of the aromatic ring enhances the deprotonation of the carboxylic group and results in a stronger acid, compared with acetic acid.

#### CONCLUSIONS

A unique application of  $^1\mathrm{H}$  and  $^{13}\mathrm{C}$  NMR was used to determine acid dissociation and hydration equilibrium constants for the series of  $\alpha$ -keto acids **1–3**. For **4**, the determination of the equilibrium constants of hydration and the microscopic  $pK_a$  value in the hydrated form could not be determined. The  $pK_a$  value in the oxo form could be estimated and its overall behavior was similar to **1–3**.

## **ACKNOWLEDGMENTS**

The authors would like to thank Richard Prankerd from Monash University for his valuable insight. Support for the NMR instrumentation was provided by NIH Shared Instrumentation Grant #S10RR024664, #S10RR014767, and NSF Major Research Instrumentation Grant #0320648.

#### REFERENCES

- 1. Williamson JR, Walajtys-Rode E, Coll KE. 1979. Effects of branched chain  $\alpha$ -ketoacids on metabolism of isolated rat liver cells. J Biol Chem 254:11511–11520.
- ${\bf 2.}$  Gray LR, Tompkins SC, Taylor EB. 2014. Regulation of pyruvate metabolism and human disease. Cell Mol Life Sci 71:2577–2604.
- 3. Cooper AJK, Ginos SZ, Meister A. 1983. Synthesis and properties of the  $\alpha$ -keto acids. Chem Rev 83:321–358.
- **4.** Mela-Riker L, Bardos D, Vlessis AA, Widener L, Muller P, Trunkey DD. 1992. Chronic hyperdynamic sepsis in the rat. II. Characterization of liver and muscle energy metabolism. Circ Shock 36:83–92.
- **5.** Aussel C, Cynober L, Lioret N, Coudray-Lucas C, Vaubourdolle M, Saizy R, Giboudeau J. 1986. Plasma branched-chain keto acids in burn patients. Am J Clin Nutr 44:825–831.

- 6. Blonde'-Cynober F, Plassart F, De Bandt J-P, Rey C, Lim SK, Moukarbel N, Ballet F, Poupon R, Giboudeau J, Cynober J. 1995. Metabolism of  $\alpha$ -ketoisocaproic acid in isolated perfused liver of cirrhotic rats. Am J Physiol 268:E298–E304.
- **7.** Tugarinov V, Kanelis V, Kay LE. 2006. Isotope labeling strategies for the study of high-molecular-weight proteins by solution NMR spectroscopy. Nat Protoc 1:749–754.
- 8. Li LS, Wu YL. 2002. An efficient method for synthesis of  $\alpha$ -keto acid ester from terminal alkynes. Tetrahedron Lett 43:2427–2430.
- **9.** Bashford D, Karplus M. 1990.  $pK_a$ 's of ionizable groups in proteins: Atomic detail from a continuum electrostatic model. Biochemistry 29:10219–10225.
- 10. Cooper AJL, Redfield AG. 1975. Proton magnetic resonance studies of  $\alpha$ -keto acids. J Biol Chem 250:527–532.
- 11. Kerber RC, Fernando MS. 2010.  $\alpha$ -Oxocarboxylic acids. J Chem Educ 87:1079–1084.
- 12. Pocker Y, Meany JE, Nist BJ, Zadorojny C. 1969. The reversible hydration of pyruvic acid. I. Equilibrium studies. J Phys Chem 73:2879–2882
- 13. Hellstrom N, Almqvist SO. 1970. Hydration and dehydration of pyruvic acid. J Chem Soc B 1396–1400.
- 14. Fischer G, Flatau S, Schellenberger A, Zschunke A. 1988. 13C NMR investigations on the structure of  $\alpha$ -keto acids in aqueous solution. J Org Chem 53:214–216.
- 15. Gouk SW, Cheng SF, Malon M, Ong ASH, Chuah CH. 2013. Critical considerations for fast and accurate regiospecific analysis of triacylglycerols using quantitative  $^{13}$ C NMR. Anal Methods 5:2064–2073.
- **16.** Braun S, Kalinowski HO, Berger S. 1998. 150 and more basic NMR experiments: A practical course. Weinheim, Germany: Wiley-VCH.
- 17. Cobas C, Seoane F, Vaz E, Bernstein MA, Dominguez S, Perez M, Sykora S. 2013. Automatic assignment of  $^1\mathrm{H}\text{-}\mathrm{NMR}$  spectra of small molecules. Magn Reson Chem 51:649–654.
- 18. March J. 1968. Advanced organic chemistry: Reactions, mechanism, and structure. New York: McGraw-Hill, pp 21.
- 19. Newman MS. 1950. Some observations concerning steric factors. J Am Chem Soc 72:4783–4786.
- 20. Prankerd RJ. 2007. Data compilations. Appendix A. Main list. In Profiles of drug substances, excipients, and related methodology; Brittain HG. 1st ed. Vol 33. London, UK: Academic Press, pp 242, 341