

# Estimation of Health-State Utility Values and Factors Driving Health-Related Quality of Life in People Living with HIV and AIDS and Receiving cART in Germany: Baseline Analysis of a Cohort Study

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#### Abstract

HIV has become a chronic disease since widespread of combined antiretroviral therapy (cART). Understanding the influence of therapeutic and preventive interventions on health-related quality of life (HRQoL) of people living with HIV and AIDS (PLWHA) is important. Information about health state utilities and HRQoL in PLWHA after the introduction of cART is limited, especially in Germany. The study aims to estimate and describe health state utilities and HRQoL in PLWHA in Germany and explore the effects of patient characteristics, clinical and treatment factors. Utilities and HRQoL in PLWHA in Germany were measured with the generic EQ-5D-3L questionnaire. Health state utilities were calculated based on the EQ-5D descriptive system using the German EQ-5D-3L time trade-off (TTO) value set. HRQoL was calculated based on the EQ visual analogue scale (EQ-VAS). Extensive descriptive analyses were performed to represent utility values for different groups of the patients. Generalized linear models (GLMs) with beta-inflated distributions were used to determine patient characteristics and clinical factors that influence TTO utilities and VAS scores. 1056 PLWHA completed the EQ-5D-3L questionnaires at the beginning of the study. The mean TTO utility value is 0.912 (SD  $\pm$  0.154), and the mean VAS HRQoL is 84.32 (SD  $\pm$ 18.55). "Anxiety/depression" and "pain/physical discomfort" are the most affected dimensions. A longer period of living with HIV, a lower CD4-cell count, having symptomatic HIV or AIDS and an increased number of changes in therapy are associated with decreased utilities and a lower probability of having HRQoL of perfect health. No significant effect of duration of regimen was found. Depression significantly decreases TTO utility values. Higher education, full-time employment and female gender are associated with higher utilities. The resulted EQ-VAS values for PLWHA in Germany are comparable with EQ-VAS estimates for the general population. The

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obtained estimates can be used as inputs for health economic evaluations of HIV-interventions. Addressing anxiety and depression may reduce the quality of life impairment in PLWHA. Impact of comorbidities needs further investigation.

**Keywords** HIV  $\cdot$  Quality of life  $\cdot$  Determinants of HRQoL  $\cdot$  EQ VAS  $\cdot$  TTO  $\cdot$  EQ-5D  $\cdot$  Germany  $\cdot$  PLWHA

# Introduction

Combined antiretroviral therapy (cART) sustains HIV-virological suppression and consecutively immunological reconstitution in people living with HIV infection(Pichenot et al., 2012; Teeraananchai et al., 2017). If cART is initiated timely and taken regularly, it can prevent HIV transmission, reduce HIV-related morbidity (Cihlar & Fordyce, 2016) and increase life-expectancy of PLWHA close to that of the general population(Gueler et al., 2017). Nonetheless, HIV infections and HIV-caused morbidity and mortality continue to be a public health issue. In Germany, the Robert Koch-Institute estimated 86,000 (80,000 – 92,400) people living with HIV with 68,800 receiving cART by the end of 2017 (Robert Koch-Institut, 2018).

Given the current public health burden of chronic HIV infections and ongoing research and development of new therapies (Cihlar & Fordyce, 2016), prevention strategies(Heneine & Kashuba, 2012) and screening programs, health economic evaluations are required to provide information for decision-making in HIV-management. Economic evaluations comprise of weighing the costs and benefits of alternative strategies and provide comparative analyses of payoffs of different courses of action (Briggs et al., 2011). Quality-adjusted life-years (QALYs) is a widely applied measure of health outcomes in economic evaluations and recommended by several decision-making bodies in public health (Sanders et al., 2016; NICE, 2014; CADTH, 2017; Pharmaceutical Benefits Advisory Committee, 2016; Zorginstituut Nederland, 2016; STIKO). In order to calculate QALY for a given health condition health utilities (also known as quality of life weights) are necessary. For application in health economic evaluations, indirect elicitation methods, which are based on pre-scored generic preference-based measures, are preferred (Whitehead & Ali, 2010). EuroQol (EQ)-5D(Kind, 1996), the Short Form 6D (SF-6D)(Brazier et al., 2002) and the Health Utilities Index (HUI)(Horsman et al., 2003) constitute commonly used generic questionnaires applied in the measurement of health utility values.

Additionally, due to the chronic nature of HIV infection measurement of health-related quality of life plays a crucial role in our understanding of the impacts of life-long therapeutic interventions on the patients' health. Previous literature shows wide variations of HRQoL across socio-demographic and clinical characteristics of PLWHA. Women with HIV have generally reported lower HRQoL than men (Briongos Figuero et al., 2011; Protopopescu et al., 2007; Rao et al., 2007). Whereas drug use and older age are both generally associated with lower physical health (Fleming et al., 2004; Gibson et al., 2011; Kanwal et al., 2005; Liu, Johnson, et al., 2006a; Ruiz Perez et al., 2005), being in a stable relationship may contribute to a more favourable HRQoL (Préau, Protopopescu, et al., 2007b). In addition, education and employment seem to influence both physical and mental health status (Fleming et al., 2004; Hays et al., 2000; Zinkernagel et al., 2001).



Several clinical and disease-related factors appear to be related to HRQoL in PLWHA as well. Specifically, a better virological status has been shown to be associated with better overall (Schroecksnadel et al., 2008), physical (Call et al., 2000), and mental health (Fleming et al., 2004). Both HIV symptoms and comorbidities tend to impair HRQoL, particularly with regard to physical health. Lipodystrophy, or the redistribution of fat, that often occurs in PLWHA, is stigmatizing and hence especially aversive for patients (Holzemer et al., 2009; Murri et al., 1997). The same is valid for depression (Fleming et al., 2004) and substance abuse (Korthuis et al., 2008).

Antiretroviral therapy (ART) has conflicting effects on HRQoL because, while ART tends to reduce HIV-related symptoms and improve life expectancy, it can also lead to unintended adverse side effects and worsening of certain symptoms, such as lipodystrophy (Liu, Ostrow, et al., 2006b) and immunoreconstitutional inflammatory syndromes (Stoll & Schmidt, 2004). However, recently ART has been improved due to the development of new antiretroviral drugs with fewer adverse effects. Furthermore, the development of once-daily, single-tablet combination preparations opened up improved therapeutic opportunities. As a result, studies have shown a positive effect of ART on HRQoL (Airoldi et al., 2010; Esté & Cihlar, 2010).

Assessment of HRQoL in PLWHA in Germany is scarce. Further, to our knowledge, there are no available estimates of utilities which can be used in health economic evaluations of HIV-mitigation strategies. Two recent studies (Drewes et al., 2020; Sabranski et al., 2020) investigate aspects of HRQoL in German HIV-patient using SF-12 instrument. They showed the overall negative impact of HIV infection on mental and physical health and found impairing effects of age, depression and unemployment (Sabranski et al., 2020) and impact of different comorbidities (Drewes et al., 2020) on health-related quality of life.

In contrast to these studies, we evaluate health utilities in a German multicenter cohort of PLWHA who receive cART using the EuroQol instrument. The study is based on the data collected in a nationwide multi-centre, non-interventional, prospective 96-week survey conducted in PLWHA in Germany: "Cost and Resource Utilisation Study in Antiretroviral Therapy (CORSAR)". The survey has been previously described in a paper by Kuhlmann et al. who provide a summarization of the collected data for the 48-week period and report the costs and utility values across three therapy lines(Kuhlmann et al., 2015). Another study thoroughly examines and describes the cost data of CORSAR(Treskova et al., 2016). The present study reports the HRQoL data collected over the whole period of the survey in greater detail and identifies influential clinical and therapy-related factors.

# Methods

#### **Study Population**

Inclusion criteria for CORSAR were broadly defined: (i) HIV positive diagnosis, (ii) age of at least 18 years, and (iii) receiving cART at the study entry. The survey was not intended to include primary treatment, i.e. naïve patients. The observation period was 96 weeks between April 2009 (the first patient started) and April 2012 (the last patient finished) with scheduled quarterly visits at the involved physicians. The participating



physicians from the four hospitals and eight private practices specialized in HIV went through a six-month preparation period with baseline examinations. Overall, the CORSAR study population included 1154 PLWHA.

The self-reported questionnaires and electronic clinical records were used to collect the data. The dataset includes socio-demographical characteristics (age, gender, education, employment), HIV- and general health-related records (CD4 cell count, viral load, disability, comorbidities, conditions, results of laboratory tests), details of diagnosis (time after the initial diagnosis of HIV infection, CDC category) and records of the antiretroviral therapy (line of antiretroviral regimen at the start of the study, medications, genotypic resistance tests).

# Measurement of Quality of Life

In CORSAR, the preference-based EQ-5D-3L self-reported questionnaire was employed for measurement of HRQoL. EQ-5D contains the descriptive system and the visual analogue scale (VAS). The EQ-5D-3L descriptive system comprises five domains (mobility, self-care, usual activities, pain/physical discomfort, anxiety/ depression). Each domain was assessed by the patients using in three levels of perceived problems (none, moderate, severe). Different combinations of the recorded levels for the five areas were weighted based on the preferences identified by the general population for which the tariffs from Germany were used. This weighted utility summary score is further referred to as (TTO) utility value.

The EQ-VAS is a scale reaching from 0 to 100 (worst imaginable health state – best imaginable health state) on which patients mark how good or bad they assess their current health. This scale is another measure of HRQoL. In CORSAR, the participants were asked to fill out the questionnaires at all visits. For the following analyses, only those participants were included who completed the EQ-5D-3L questionnaire.

#### **Ethical Review**

The CORSAR survey was approved by the national regulatory authorities and local ethics committees of all participating centres. All patients were given thorough information on the survey. Before the participation in the interviews, the patients provided written consent. No incentive was offered to the patients for their participation in the survey.

# **Data Analyses**

Descriptive and regression analyses were carried out. The descriptive analysis was based on the data collected at the beginning of the study. The regression analysis was performed to explore the factors which were associated with differences in HRQoL in PLWHA. The data collected at the beginning of the study, and during the follow-up visits were used. Generalized linear models (GLMS) were fitted using a three-parameter beta-inflated distribution for the TTO utility values and a four-parameter beta-inflated distribution for the VAS HRQoL values. This distribution type accounts for the nature of the quality of life measures being bound between the values zero and one. The selection of the predictor variables was based on expert opinion and a systematic review of relevant factors on quality of life of HIV patients(Degroote et al., 2014).



The regression model included socio-demographic variables (age, gender, education and job), HIV-related variables (CD4 cell count, viral load, CDC group, years since the first HIV diagnosis), cART-related variables (regimen, duration, number of changes during the survey), and comorbidity. The measurements of quality of life, CD4 cell counts, viral load, cART regimen (with start and end date), comorbidity, education and job were taken for each participant at three months interval. These records were included in the model for each participant who had done at least five visits. In order to account for the fact that repeated measures taken from the same person can be correlated, we included the random effect of the participant ID into the model (see model specification in the supplement, File 1).

For the variables representing education, job, and cART regimen, the categories were grouped to reduce the factor levels. For education, patients with no or other education and secondary school (grade 9) were summarised into one category, and high school and university were clustered together. Middle school (grade 10) and patients with vocational training remained at a single level, respectively. For job, employment statuses "house", "studying", "other" and "unemployed" were grouped into one category as well as "self-employed" and "part-time work" were united. CD4 cell counts were grouped into levels "<200 cells/μL", "200–499 cells/μL", and "≥500 cells/μL". Viral load was categorized in four levels representing "below quantification limit", "<10.000", "10,000-100,000", and "≥100,000".

For cART treatment, if the data were available, the cART regimen were ordered based on the dates of the start and the end of the treatment. Using these dates duration (in years) of respective treatment was calculated. Assignment of the TTO and VAS values to the regimen and the duration was performed based on the comparison of the start and the end dates to the date of the visit.

Classes of the antiretroviral regimens were categorized into three groups, according to the treatment status of a patient and following the existing German treatment guidelines: "standardized" and "individualized". "Standardized" regimens included either NNRTI (non-nucleoside reverse transcriptase inhibitor)-based regimen, consisting of one NNRTI in addition to nucleos(t)ide analogues, or PI (Protease inhibitor)-based regimen, consisting of one ritonavir-boosted PI (PI/r) in addition to nucleos(t)ide (NRTI) analogues(Deutsche AIDS-Gesellschaft, 2012). "PI-individualized" class was assigned to the PI/r-based cART regimens in patients with a history of multiple treatment failures and acquiring multi-resistant HIV. "Other" cART regimens did not meet the criteria of the NNRTI and PI-based regimen and were based on the INSTI (integrase strand transfer inhibitor) raltegravir or the CCR5 (C-C chemokine receptor type 5) inhibitor maraviroc, or nuke-sparing regimens, for example, boosted double PI/r therapy. The cART regimens used in the survey are summarised in Table S3 in the supplement.

All metric variables were transformed to z-scores by subtracting their mean and dividing by their standard deviation. VAS score was divided by 100 to transform it to an outcome comparable to the TTO utility values. A random intercept was included to capture the hierarchical structure of the data. All analyses were carried out using R software (version 3.4) and the *gamlss* package and the *random()* function for the random intercept. In order to detect possible collinearity, variance-inflation factors were calculated using *vif()* function of the *car* package (see supplement, File 1).



#### Results

# **Study Population**

Out of the recruited 1154 patients, 1056 completed the EQ-5D-3L questionnaires at the first visit (further baseline). The number of the completed questionnaires varied from 808 to 667 during the follow-up. This descriptive analysis is based on the records of 1056 patients. The data for all follow-up visits are summarized in the supplemental Tables (S1 and S2). Table 1 presents the socio-demographic and the clinical characteristics of the participants who completed the questionnaires at baseline). The sample encloses mostly male HIV-infected patients (88.8%) and those in the 30–60 age group (82.7%). Majority of the participants have school-level education (70.7%) and have a steady income: employed (39.6%) and retired (22.8%). At baseline, 42% of the participants were listed in category CDC-B (symptomatic HIV infection), 28.6% were in category CDC-A (asymptomatic HIV infection), and 27.1% were in category CDC-C (AIDS-indicator). Majority of the participants had CD4 counts over 200cells/µL (93.5%) and HIV viral load below quantification limit (less than 50 copies/mL, 89.9%) at baseline. 82.6% of the participants had comorbidities. Of them, 58 patients had lipodystrophy, 13 - diabetes mellitus, 35 - Hepatitis C, 52 - Hepatitis B, and 8 participants had depression (see S1 in supplement). These conditions were observed separately from other comorbidities. Other comorbidities were categorized into groups which are presented in Table 1. The table also gives the clinical characteristics of the patients for other visits. At baseline, most of the patients received PI-based regimens (516 patients) and NNRTI-based regimens (292 patients).

# **Estimation of Utility Values and HRQoL Values**

Table 2 summarises the most reported health states at baseline, the recorded levels for the five EQ-5D areas and mean and standard deviations for TTO utility scores and HRQoL VAS scores (see Table S4 for all visits in the supplement). For the total sample, the mean TTO score is 0.912 (±0.154) with 59.3% ranging from 0.9 to 1.0, and the mean VAS score is 84.32 (±18.55) with 54.5% ranging from 90 to 100. For the five EQ-5D domains, most patients report "no problems" in the "mobility" (83.8%) and "self-care" (97.7%). In contrast, in the areas "emotional health" and "physical discomfort" a large part of the patients reports "some problems" (24% and 32% respectively). Additionally, Table 3 presents the mean estimates of TTO utility values by CDC category, age group, group of years since HIV diagnosis and cART regimen (at baseline records).

Mean estimate of the TTO utility values at baseline for the patients who have AIDS (listed in CDC class C) lower than for people who have no AIDS. The descriptive analysis of utility values across age groups does not allow the conclusion that health state utilities decrease in older people. The variation for CDC category A is between 0.927 (40–49 year olds (yo)) and 1.00(<20 yo) and for CDC B is 0.963 (20-29yo) – 0.889 (50-59yo). For CDC category C, the older people seem to have worse utility vales with a range of 0.934 (20–29 yo) – 0.855 (>60yo). The consideration of cART regimen suggests higher utility values for PI-based regimen than for NNRTI-based regimen in the patients listed in CDC categories B and C. Analysis of mean estimates of



**Table 1** Socio-demographic and clinical characteristics of the patients in CORSAR. (n = participants who completed EQ-5D-3L questionnaires at baseline)

Socio-demographic Characteristics		sit 0 eline) 056)	Clinical Characteristics		at Visit 0 (Baseline) (n=1056)	
Age (mean. SD)	47.6	11.0	Years since diagnosis of HIV (mean. SD)	10.7	7.0	
Age (n.%)			HIV infection stage (CDC classification) (n. %)			
<20 years old	1	0.1	Asymptomatic (Category A)	302	28.6	
20-29 years old	43	4.1	Symptomatic (Category B)	443	42	
30-39 years old	207	19.6	AIDS-Indicator (Category C)	286	27.1	
40-49 years old	444	42	Not recorded	25	2.4	
50-59 years old	223	21.1	CD4+ cell count (n. %)			
>60 years old	138	13.1	<200 cells/μL	65	6.2	
Gender (n.%)			200–499 cells/μL	402	38.1	
Male	938	88.8	≥500 cells/µL	585	55.4	
Female	112	10.6	Not recorded	4	0.4	
Transsexual	6	0.6	HIV viral load (different grouping) (n. %)			
Education level (n.%)			Below quantification limit	949	89.9	
No qualifications	88	8.3	<10.000	90	8.5	
Secondary school leaving certificate (leaving after 9th grade)	243	23	10.000-100.000	7	0.7	
Intermediate school-leaving certificate (leaving after 9th grade)	308	29.2	≥100.000	6	0.6	
School diploma corresponding to university entrance level	195	18.5	Not recorded	4	0.4	
Professional training	86	8.1	Comorbidity (n. %)			
University	115	10.9	Presence of comorbidity	872	82.6	
Other	3	0.3	1–4 conditions	655	62	
No response	88	8.3	>5conditions	216	20.5	
Employment status (n. %)			Allergy	188	17.8	
Full-time employed	418	39.6	Dermatological	286	27.1	
Part-time employed	77	7.3	Respirational	183	17.3	
Self-employed	73	6.9	Cardiovascular	248	23.5	
Unemployed	95	9	Endocrine	134	12.7	
Retired	241	22.8	Gastro-intestinal	249	23.6	
House	17	1.6	Haematological	89	8.4	
In study	10	0.9	Neurological	145	13.7	
Other	17	1.6	Psychiatric	209	19.8	
No response	108	10.2	Other	601	56.9	

TTO utility values by the "years since HIV" groups suggests worsening of quality of life with time. Figure 1 illustrates TTO utility values plotted against the variable "years since HIV-diagnosis" for the whole sample.



**Table 2** Records of measurement of quality of life of the patients in CORSAR at baseline (n = 1056)

Most reported health state (n.% of total)	es at baseline	e	EQ-5D-3L domains ar	nd levels (n.%	6)	
11,111	479	45.4	Mobility	None	885	83.8
11,112	111	10.5		Some	171	16.2
11,121	96	9.1		Severe	0	0
11,122	96	9.1	Self-care	None	1032	97.7
11,212	13	1.2		Some	23	2.2
11,221	16	1.5		Severe	1	0.1
11,222	46	4.4	Usual activities	None	857	81.2
21,121	26	2.5		Some	187	17.7
21,122	21	2		Severe	12	1.1
21,221	20	1.9	Pain/discomfort	None	632	59.8
21,222	36	3.4		Some	395	37.4
TTO value mean, SD	0.912	0.154		Severe	29	2.7
			Anxiety/depression	None	664	62.9
VAS value mean. SD	84.32	18.55		Some	366	34.7
				Severe	26	2.5

# **Driving Factors**

The results of the explanatory models of health state utilities and HRQoL are given in Table 4. For the regression analysis, the dataset was restricted to the patients with available observations for all variables included in the regression model and contained 3436 observations, that is for 791 participants.

The probability function of the inflated beta distribution is defined by three parameters,  $f_Y = (y | \mu, \sigma, \nu)$ , in case the outcome variable takes values in the interval (0,1] and four parameters,  $f_Y = (y | \mu, \sigma, \nu, \tau)$ , if the interval is [0,1] (Stasinopoulos, 2017). The former is the case for the TTO values, and the latter is for VAS values in the analyzed dataset. The distributions of the VAS and TTO values are presented in the supplement (File 1, Fig. 1). The intercepts of 2.28 for TTO and 1.43 for VAS correspond to a utility value of 0.907 and VAS score of 81.4, given all metric variables being at their mean and categorical variables taking the reference values: male patient with a high-school or university education and full-time employment, in CDC category A, a viral load below the quantification limit and PI-based treatment. Further, we focus more on the TTO outcomes as the results of the GLM for VAS scores can be similarly analyzed.

All coefficients should be interpreted in relation to this reference case. Starting with the socio-demographic variables, each increase of the age by one standard deviation decreases the estimate of utility value. No significant difference in the estimates was detected for transgender patients, but women show a significantly higher utility value of 0.926. Compared to persons with university-level or high school education, patients with lower educational levels have lower utility values, particularly, the people with vocational training. In the context of occupational levels, part-time- and self-employed persons show a slightly decreased utility value of 0.894 compared to full-time



Table 3  $\,$  TTO utility values (mean, SD) across CDC categories and by age group, years living with HIV and cART regimen at baseline (n = 1056)

	TTO utility values (mean, SD)							
category	Age groups							
	<20	20–29	30–39	40–49	50–59	>60		
CDC-A	1.000, na	0.980, 0.044	0.951, 0.101	0.927, 0.129	0.941, 0.108	0.946, 0.136		
CDC-B	na	0.963, 0.070	0.935, 0.140	0.901, 0.167	0.889, 0,173	0.919, 091		
CDC-C	na	0.934, 0.076	0.928, 0.157	0.892, 0.178	0.857, 0.181	0.855, 0.244		
CDC	Years living with HIV							
category	<10		10-20		>20			
CDC-A	0.960, 0.081		0.928, 0.15	1	0.846, 0.146			
CDC-B	0.911, 0.167		0.922, 0.112	2	0.882, 0.145	i		
CDC-C	0.914, 0.179		0.882, 0.154	0.882, 0.154		0.818, 0.241		
CDC	cART regimen	!						
category	NNRTI individ.	NNRTI standard.	PI individ.	PI standard.	Other	Therapy <14 days		
CDC-A	0.943, 0.065	0.946, 0.133	0.931, 0.073	0.922, 0.131	0.962, 0.081	0.962, 0.065		
CDC-B	0.866, 0.101	0.902, 0.162	0.871, 0.210	0.913, 0.150	0.928, 0.121	na		
CDC-C	0.662, 0.287	0.874, 0.204	0.829, 0.230	0.896, 0.148	0.942, 0.141	0.944, 0.080		

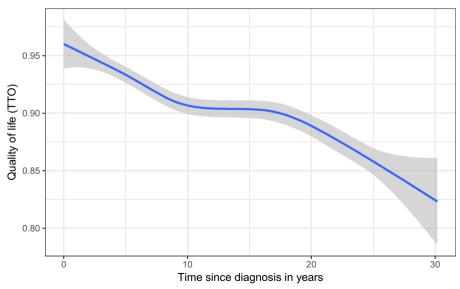


Fig. 1 TTO utility values in dependence on time living with HIV (years)



Table 4 Results of the GLM model of the analysis of TTO values and VAS scores via a beta-inflated distribution

Variables	TTO Model	VAS Model
Mu (logit-link)		
Intercept	2.28 (0.06)***	1.48 (0.02)***
Age in years (z-score)	-0.02 (0.02)	-0.06 (0.01)***
Gender: male	Reference	Reference
Gender: female	0.26 (0.06)***	0.00 (0.05)
Gender: transsexual	0.38 (0.36)	-0.14 (0.15)
Education: high school/university	Reference	Reference
Education: none/other/secondary school	-0.18 (0.05)***	-0.01 (0.02)
Education: junior high school	-0.33 (0.05)***	-0.14 (0.02)***
Education: vocational training	-0.47 (0.06)***	-0.20 (0.03)***
Job: Full-time employment	Reference	Reference
Job: House/Student/Other/Unempl	-0.27 (0.06)***	-0.37 (0.03)***
Job: Self-empl/Part-time	-0.14 (0.06)*	-0.02 (0.02)
Job: Retirement	-0.28 (0.05)***	-0.17 (0.02)***
Years with HIV (z-score)	-0.14 (0.02)***	-0.13 (0.01)***
CDC Group A	Reference	Reference
CDC Group B	-0.01 (0.05)	-0.07 (0.02)***
CDC Group C	-0.06 (0.05)	0.11 (0.02)***
CD4 cell count ≥500/μL	Reference	Reference
CD4 cell count 200–499/μL	-0.08 (0.04)	-0.06 (0.02)***
CD4 cell count <200	-0.26 (0.08)**	-0.45 (0.04)***
Viral load below the quantification limit	Reference	Reference
Viral load <10,000	0.07 (0.07)	-0.01 (0.04)
Viral load 10,000 - 100,000	0.25 (0.26)	-0.24 (0.13)
Viral load >100,000	0.67 (0.48)	0.27 (0.27)
cART: PI-based	Reference	Reference
cART: NNRTI-based	-0.04 (0.05)	0.04 (0.02)
cART: in change	-11.02 (25.89)	1.44
cART: Other	0.11 (0.05)*	0.27 (0.02)***
cART: Duration in years (z-score)	0.01 (0.03)	-0.00 (0.02)
Number of treatment changes (z-score)	-0.06 (0.02)***	-0.08 (0.01)***
Number of co-morbidities (z-score)	-0.13 (0.02)***	-0.10 (0.01)***
Lipodystrophy	0.01 (0.07)	0.03 (0.04)
Diabetes	0.69 (0.17)***	0.13 (0.07)*
Hepatitis C	-0.01 (0.11)	-0.24 (0.05)***
Hepatitis B	0.04 (0.09)	0.11 (0.05)*
Depression	-0.97 (0.40)*	-0.61 (0.23)**
Interaction terms:		
cART NNRTI-based:Duration	-0.02 (0.04)	-0.05 (0.02)*
cART in change:Duration	-9.08 (19.87)	1.20
cART in change:Other	-0.05 (0.04)	0.01 (0.03)



Table 4 (continued)

Variables	TTO Model	VAS Model
Sigma (logit-link)		
Intercept	-0.83 (0.04)***	-1.38 (0.03)***
CD4 cell count 200-499/µL	-0.25 (0.05)***	-0.10 (0.03)**
CD4 cell count <200	-0.23 (0.10)*	-0.11 (0.05)*
CDC Group B	0.18 (0.05)***	0.02 (0.03)
CDC Group C	$0.20 \; (0.06)^{***}$	-0.01 (0.04)
cART: Duration in years (z-score)	-0.14 (0.02)***	-0.01 (0.00)***
Nu (logit-link)		
Intercept	0.03 (0.06)	-5.39 (0.64)***
CD4 cell count 200-499/µL	0.15 (0.07)*	-16.08
CD4 cell count <200	$-0.39 (0.18)^*$	-15.73 (215.12)
CDC Group B	-0.33 (0.08)***	-17.46
CDC Group C	-0.38 (0.09)***	-16.77 (16.11)
cART: Duration in years (z-score)	-0.04 (0.03)	-0.82 (0.78)
Tau (logit-link)		
Intercept		-3.39 (0.18)***
CD4 cell count 200-499/µL		-0.06 (0.20)
CD4 cell count <200		-0.05
CDC Group B		-0.17 (0.25)
CDC Group C		0.45 (0.21)*
cART: Duration in years (z-score)		-0.01
Number of observations	3436	3436
Nagelkerke R <sup>2</sup>	0.45	0.75
Generalized AIC	402.56	-5730.14

The mu parameter of the beta distribution corresponds to the mean TTO utility and VAS value  $^{***}p < 0.001$ ,  $^*p < 0.01$ ,  $^*p < 0.05$ 

The estimation of the coefficients of the sigma parameter indicates which variables are associated with the variance of TTO utilities and VAS score. The nu-parameter has to be seen differently for the models. For the TTO model, the nu-parameter reflects the probability of TTO utility taking value 1. For the VAS model, the nu-parameter models the probability when the VAS score takes value 0. The tau-parameter reflects the probability of a VAS score of 1. It is only estimated in the VAS model because VAS scores are distributed in the interval [0,1] which is approximated using the four-parameter beta inflated distribution

employed. Persons in the categories "house/studying/other/unemployed" and "retired" show a similar decrease in utility reaching 0.881.

Regarding the HIV-related variables, the results show significant differences only in two variables: years living with HIV and CD4 cell count. An increase of 6.8 years above the mean of 10.3 years corresponds to a lower utility value of 0.894. The CD4 cell count below 200cells/ $\mu$ L (indicating immunological AIDS) also decreases utility compared to the CD4 cell count over 500cells/ $\mu$ L to 0.883. The utility model suggests no significant differences between the viral load levels comparing to "below quantification limit".

The group of variables which captures the cART-related factors shows that treatment with "other" regimen (i.e. which is not NNRTI- or PI-based) increases utility



(0.916) compared to treatment with the PI-based regimen. The number of changes in the treatment regime shows a significant association with utility. The coefficient suggests that utility value is lower for an above-average number of treatment changes. Duration of treatment, i.e. time on cART, shows no signification association with TTO utility.

The comorbidities of the patients further influence the quality of life. The above-average number (2.6) of comorbidities decreases utility. Lipodystrophy, hepatitis B and hepatitis C show no significant association with utility values. Patients with diabetes seem even to have a higher utility value. On the other hand, patients with depression have a lower utility value of 0.788.

The results of GLM for the scores of the visual analogue scale demonstrate more significant differences for the tested categories compared to the reference case, particularly for the HIV-related variables. Comparing to CDC group A, CDC group B shows a decreasing effect and CDC group C an increasing effect on the VAS response. CD4 cell count 200-499cells/ $\mu$ L shows a significant decrease in the VAS values and count below 200cells/ $\mu$ L demonstrates even a more substantial reduction in the VAS score comparing to the level > 500cells/ $\mu$ L. Other variables, which have significant differences to the reference case, show the same trends in VAS scores as in the TTO values with differences in the magnitude.

The results suggest that variance is smaller for the CD4 cell count groups 200–499 cells/ $\mu$ L and < 200 cells/ $\mu$ L comparing to >500 cells/ $\mu$ L, i.e. the patients with >500 CD4 cells/ $\mu$ L show greater variance in their TTO and VAS values than those two groups. The variance of TTO values is greater for the CDC groups C and B compared to CDC group A. Also longer duration of regimen seems to be associated with a lower variance of TTO and VAS outcomes.

CDC group and CD4 cell count group are significantly associated with the probability of TTO utility having value 1. Decreased CD4 cell count and CDC groups B and C lower this probability. For the VAS model, these variables did not show significant effects, but CDC group C, which is associated with an increased probability of VAS score taking value 0.

### Discussion

This study provides an analysis of quality of life data collected in a major observational study undertaken between 2009 and 2012 in people living with HIV and AIDS in Germany. This multi-centric, nationwide study includes a large cohort by working with the main healthcare providers for PLWHA and provides a representative population to study HRQoL.

The results suggest that the mean EQ-VAS value obtained in this study (84.3) is comparable with EQ-VAS estimated for the general population in 2009 (79.2)(Mielck et al., 2010). However, based on the reported characteristics of the sample representing the general population, it is difficult to explain why the self-reported quality of life in the patients of CORSAR is higher than that of the general population.

This study shows that the most affected dimensions of quality of life are "anxiety/depression" and "pain/physical discomfort", while "mobility" and "self-care" are minor problems. This supports the preliminary results from Investigation on Antiretroviral



Therapy (IANUA) and highlights the need to improve specific psychological and social support for PLWHA(Venturini et al., 2014).

In order to distinguish the effects of different patient characteristics, we conducted a regression analysis using a GLM with beta-inflated distributions. Among the socio-demographic factors, when the clinical and treatment variables were controlled for, higher education, being full-time employed, and female gender were significantly associated with better HRQoL. The employment and higher education possibly facilitate a better mental state contributing to the HRQoL difference. Women also were suggested to have better coping strategies (Ruiz Perez et al., 2005; Zinkernagel et al., 2001). Depression was demonstrated to have a significant decreasing impact on TTO utility values. The findings support the importance of mental health assessment in the management of HIV-disease.

Our findings indicate that time since diagnosis is associated with lower HRQoL. This may support the previously stated assumption that people who live long with HIV experience accumulated adverse effects in HRQoL(Bing et al., 2000; Zinkernagel et al., 1999).

The results show decreased TTO utilities and self-assessed values for the patients in CD4-T cell group <200cells/µL. This finding can be intuitive, for an untreated HIV infection, which progresses to a further stage, diminishes physical health and impairs quality of life. Indeed, the poorest physical health is observed in people whose HIV has progressed to AIDS(Préau, Marcellin, et al., 2007a). The study supports the recent development of treatment guidelines which recommend the initiation of cART even in asymptomatic patients with normal CD4-T cell counts (Lundgren et al., 2015). In contrast, Jia et al. showed that a higher CD4-T cell count was associated with worse HRQoL at baseline, but the same CD4-T cell counts were predictive of higher HRQoL scores 12 months later(Jia et al., 2007). Though this finding may be counter-intuitive, it is possible that the initial psychological impact of a high CD4-T cell count is significant, but over time PLWHA may more readily accept a high CD4-T cell count and are less likely to experience changes in HRQoL based on this specific factor.

The analysis did not found a significant effect of duration of therapy on the HRQoL, but an increased number of changes of therapy was significantly associated with decreased TTO and VAS values. This study did not reveal a differential impact between NNRTI- and PI-based regimens, supporting the national guidelines of the German AIDS Association(Deutsche AIDS Gesellschaft, DAIG)(Deutsche AIDS-Gesellschaft, 2012), which allows physicians to choose between a broad spectrum of available options of cART. The German policy is distinct from most international guidelines, giving physicians the opportunity to personalize the treatment, adapting cART regimens based on individual patients' reactions. An administrative database analysis of approximately four million beneficiaries showed that German physicians use this flexibility and are more likely to prescribe patients who are in a more advanced disease state (CDC class C) with a PI/r-based regimen than with an NNRTI-based regimen(Mahlich et al., 2015). The same result was obtained in another observational study in Germany(Mahlich et al., 2016).

#### Limitations

This observational study did not predefine endpoints and is not statistically powered for more extensive subgroup-analyses. The comparison between the cART regimens may be confounded by indication because the studied population included PLWHA at



different treatment lines (naïve and experienced). The regression analysis shows the impact of comorbidity on HRQoL in PLWHIV, which requires further investigation. The number of observations for diabetes and hepatitis might be not sufficient to detect differences in the utilities. Further, the study utilized the EQ-5D questionnaire, which is a "non-disease-specific instrument". Although it allowed estimating quality of life weights applicable for health economic evaluations, it may be a relatively insensitive tool for measuring the specific HRQoL in PLWHA. Future studies should test the robustness of our results with alternative instruments such as HIV-specific questionnaires like the WHOQOL-HIV Instrument(World Health Organization, 2002). Finally, the study period occurred before the integrase strand transfer inhibitors (INSTI) elvitegravir and dolutegravir became available, which are meanwhile widely used as components of cART in Germany.

#### **Conclusions**

As long as HRQoL in PLWHA shows variations across the socio-demographic and the clinical characteristic of individuals, measuring HRQoL and determining factors affecting HRQoL can help to understand to what extent HRQoL is affected by the infection and cART treatment. This analysis used the EQ-5D-3L measurement of utility values (quality of life weights) and determined the factors which influence on HRQoL. The results of this study can inform the development and evaluation of HIV-related intervention strategies to improve health-related quality of life in people living with HIV and AIDS in Germany.

**Abbreviations** *AIDS*, acquired immune deficiency syndrome; *ARV*, Antiretroviral; *cART*, combination antiretroviral therapy; *CDC*, Centers for Disease Control and Prevention classification system; *CORSAR*, Cost and Resource Utilization Study in Antiretroviral Therapy; *EQ-5D*, EuroQol five dimensions questionnaire; *EQ-5D-3L*, EuroQol five dimensions questionnaire three-level scale; *GLM*, generalized linear model; *HIV*, the human immunodeficiency virus; *HRQoL*, health-related quality of life; *NNRTI*, non-nucleoside reverse transcriptase inhibitor; *PI*, protease inhibitor; *PLWHA*, people living with HIV and AIDS; *QALY*, quality-adjusted life year

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Availability of Data and Material Please contact author for data requests.

**Authors' Contributions** MT carried out analysis and interpretation of data, the descriptive analysis, drafting and revision of the manuscript.

STS performed the statistical analyses, interpretation of data and revision of the manuscript.

AK carried out analysis and interpretation of data and revision of the manuscript.

JM participated in the study conception and design, drafting and revision of the manuscript.

MS carried out the study conception and design, participated in recruiting of the patients, conducted the study protocol and data collection, and participated in analysis and interpretation of data, drafting and revision of the manuscript.

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#### **Declarations**

**Ethics Approval and Consent to Participate** The CORSAR survey was approved by the national regulatory authorities and local ethics committees of all participating centres. All patients were given thorough information on the survey. Before the participation in the interviews, the patients provided written consent. No incentive was offered to the probands for their participation in the survey.

Consent for Publication Not applicable.

**Competing Interests** By the fact that the patients had been receiving cART before the enrollment into the study, the selection of cART regimen in the sample was not influenced by the authors.

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