Integrative genomics reveals pathogenic mediator of valproate-
induced neurodevelopmental disability
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Running title: Valproate-induced neurodevelopment
Keywords: valproate; gene expression; neurodevelopment; disability
Abbreviations: ASM = Anti-seizure medication; ADHD = Attention Deficit Hyperactivity Disorder;
All-E = All valproate exposed pups; All-N= All non-exposed pups; ASD = Autism Spectrum Disorder;
BD = Bipolar Disorder; BP = Biological Process; CDG = Cross Disorders Group; DE = Differentially
Expressed; DEG = Differentially Expressed Genes; DWLS = Dampened Weighted Least Squares;
EPI= Epilepsy; GAERS = Genetic Absence Epilepsy Rats from Strasbourg; GGE = Genetic

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- 1 Generalized Epilepsy; GO = Gene Ontology; GWAS = Genome-Wide Association Studies; HDAC =
- 2 Histone DeACetylase; IQ = Intelligence Quotient; LDSC = Linkage Disequilibrium Score Regression;
- 3 MCMs = Major Congenital Malformations; NEC = Non-Epileptic Control; PC = Principal Component;
- 4 QC = Quality control; rno6 = Rattus norvegicus reference genome; scRNA-seq = Single-cell RNA-
- 5 sequencing; SCZ = Schizophrenia; VPA = Sodium valproate; WebGestaltR =WEB-based Gene SeT
- 6 AnaLysis Toolkit; WHR = Waist/Hip Ratio
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1 ABSTRACT

2 Prenatal exposure to the anti-seizure medication sodium valproate (VPA) is associated with an 3 increased risk of adverse postnatal neurodevelopmental outcomes, including lowered intellectual 4 ability, autism spectrum disorder and attention-deficit hyperactivity disorder. In this study, we aimed to 5 clarify the molecular mechanisms underpinning the neurodevelopmental consequences of gestational VPA exposure using integrative genomics. First, we assessed the effect of gestational VPA on fetal 6 7 brain gene expression using a validated rat model of valproate teratogenicity that mimics the human 8 scenario of chronic oral valproate treatment during pregnancy at doses which are therapeutically 9 relevant to the treatment of epilepsy. Two different rat strains were studied - inbred Genetic Absence Epilepsy Rats from Strasbourg (GAERS), a model of genetic generalized epilepsy, and inbred Non-10 Epileptic Control rats. Female rats were fed standard chow or VPA mixed in standard chow for 2 11 weeks prior to conception and then mated with same-strain males. In the VPA-exposed rats maternal 12 oral treatment was continued throughout pregnancy. Fetuses were extracted via C-section on 13 gestational day 21 (one day prior to birth) and fetal brains were snap frozen and genome-wide gene 14 expression data generated. We found that gestational VPA exposure via chronic maternal oral dosing 15 was associated with substantial drug-induced differential gene expression in the pup brains, including 16 dysregulated splicing, and observed that this occurred in the absence of evidence for significant 17 neuronal gain or loss. The functional consequences of VPA-induced gene expression were explored 18 using pathway analysis and integration with genetic risk data for psychiatric disease and behavioural 19 traits. The set of genes down-regulated by VPA in the pup brains were significantly enriched for 20 21 pathways related to neurodevelopment and synaptic function, and significantly enriched for heritability to human intelligence, schizophrenia and bipolar disorder. Our results provide a mechanistic link 22 between chronic fetal VPA exposure and neurodevelopmental disability mediated by VPA-induced 23 transcriptional dysregulation. 24

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1 **INTRODUCTION**

Sodium valproate (VPA) is an anti-seizure medication (ASM) widely used in the treatment of
epilepsy, in particular genetic generalized epilepsy (GGE) for which it is the most effective ASM,^{1,2} as
well as being used to treat non-epileptic conditions such as migraine and bipolar disorder.³ In addition
to the well-established approximate 10% risk of major congenital malformations (MCMs) associated
with prenatal VPA exposure,^{4,5} children born to mothers taking VPA are at an increased risk of adverse
neurobehavioral outcomes including lowered intellectual ability, neurodevelopmental delay, autism
spectrum disorder and attention-deficit hyperactivity disorder.^{6–8}

9 We have previously reported the development and validation of a translational animal model of VPA-induced teratogenicity that mimics a number of the clinical features of human gestational VPA 10 exposure, including chronic oral treatment of maternal rats before and after conception at 11 therapeutically relevant blood levels (i.e., dosed orally to match VPA blood levels observed in 12 humans).⁹ This model was shown to recapitulate many of the developmental consequences of 13 gestational VPA exposure, including brain maldevelopment, altered intravertebral distances and a 14 significant developmental delay of vertebral arches. Here, we consider that the model also offers an 15 opportunity to evaluate the molecular mechanisms underpinning VPA-associated neurodevelopmental 16 disability. 17

In addition to its known effects on neuronal membrane excitability, VPA is an established 18 histone deacetylase inhibitor and can induce gene expression changes both in vitro and in vivo.^{10,11} 19 Although these studies have established that VPA can induce gene expression changes in the central 20 21 nervous system and neurons, their relevance to human neurodevelopmental outcomes is unclear due either to the use of non-therapeutically-relevant VPA concentrations or non-clinically utilized drug 22 delivery systems (e.g., the use of sub-cutaneous or intraperitoneal delivery), as well as the use of 23 gestational exposures that are not representative of the human condition such as acute exposures 24 25 restricted to specific gestational time points.

We therefore aimed to advance insights into the mechanistic underpinnings of valproateassociated neurodevelopmental disability by evaluating brain gene expression changes of rat pups chronically exposed to VPA *in utero*, and by considering the consequences of these VPA-induced brain gene expression changes using integrative genomics. The influence of epilepsy and genetic background on VPA-induced changes in brain gene expression was examined by investigating both epileptic (Genetic Absence Epilepsy Rats from Strasbourg (GAERS), a model of GGE) and Non-Epileptic Control (NEC) dams.

1 MATERIALS AND METHODS

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3 Experimental Design

4 Female rats from two different strains, inbred Non-Epileptic Controls (NEC) and Genetic 5 Absence Epilepsy Rats from Strasbourg (GAERS), were obtained from the Department of Medicine, Royal Melbourne Hospital, University of Melbourne Biological Research Facility.¹² VPA was 6 administered via food chronically in a clinically relevant manner. Rats were fed standard rodent diet 7 pre-mixed with VPA 20g/kg or standard chow, as previously described.⁹ Day 0 of pregnancy was 8 9 marked by the presence of a plug. C-section was used to extract foetuses from the uterus on the 21st day of gestation. Pup brain tissues were then extracted using the QIAGEN Simultaneous Purification of 10 Genomic DNA and total RNA from Animal Tissues protocol. External assessment including spinal 11 measurements were used to examine the foetuses for any form of birth defects. The brain samples were 12 extracted and immediately frozen and stored a -80 °C without reference to the malformation score. 13

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- 16 Transcriptome sequencing and gene expression data processing

The mRNA Isolation Kit was used to extract total RNA from brain tissue samples following the manufacturer's instructions. Total RNA was examined for quantity and quality using the TruSeq Stranded Total RNA Library Prep Gold. Sequencing libraries were quantified and sequence reads per sample generated. Quality control (QC) of RNA-seq reads was conducted using FastQC⁴⁶. Low quality reads and ribosome RNA reads were removed. Trimmed reads were then mapped to the Rattus norvegicus reference genome (rno6) using STAR (version 2.5). ⁴⁷

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24 Fetal sex determination

Using a list of prenatally expressed Y-chromosome-encoded genes from a recently published study,⁴⁸ we were able to categorise the pup brains into male or female. The study identified these genes by comparing mRNA levels in different brain regions from human prenatal brain samples. In the current study, we assessed the expression of those genes using the rodent orthologs: USP9Y (Ubiquitin Specific Peptidase 9 Y-Linked), DDX3Y (DEAD-Box Helicase 3 Y-Linked), and UTY (Ubiquitously Transcribed Tetratricopeptide Repeat Containing, Y-Linked) and classified 14 samples as female and 16 samples as male (refer to **Table 1**).

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1 Differential gene expression analysis

R-package edgeR⁴⁹was used to perform differential expression analysis and a threshold of FDR 2 < 0.05 was applied to identify significantly differentially expressed genes (DEGs). To explore mRNA 3 expression differences between VPA-exposed and non-exposed pup brains (after regressing out any 4 5 technical differences due to sex), the following comparisons were undertaken: i) E-NEC vs N-NEC; ii) 6 E-GAERS vs N-GAERS; iii) All exposed pups (i.e., E-GAERS + E-NEC) vs all non-exposed pups (N-7 NEC + N-NEC). To inform gene expression changes in the rat pup brain relating to epilepsy we also considered the following two comparisons: iv) E-GAERS vs E-NEC; v) N-GAERS vs N-NEC. We 8 report all changes but the primary aim of the work was to interrogate transcriptional changes following 9 gestational VPA exposure. 10

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12 Functional enrichment and pathway analysis

The R package WEB-based Gene SeT AnaLysis Toolkit (WebGestaltR, v 0.4.4),⁵⁰ which uses 13 databases from the Gene Ontology Consortium, was used to perform functional term enrichment 14 analysis of DEGs from each pairwise comparison. Default values for WebGestalt parameters were 15 used, including overlap of 10 for minimum and maximum 500 genes and FDR-correction for multiple 16 testing was performed using Benjamini and Hochberg (BH) method and significant pathways identified 17 using a threshold of FDR < 0.05. In order to reduce redundancy across significant GO-terms the 18 following steps were taken. First, for each of the analyses GO terms were filtered to exclude terms with 19 \geq 20 genes or \leq 1000 genes. Second, using go reduce() function from the r-utils R package 20 (https://rhreynolds.github.io/rutils/articles/rutils.html) semantic similarity of GO terms were calculated. 21 Third, a threshold of 0.9 was applied to get fewer GO-terms. This threshold was applied to the 22 hierarchical tree generated by reduceSimMatric () function from the rrvgo R package (v 1.1.4), which 23 uses a bottom-up clustering method. 24

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26 LD score regression

LDSC was used to test for enrichment of heritability using published GWAS studies. First, annotation files were generated for each set of DEGs with rows corresponding to a SNP and a column for each sub-annotation. SNPs were then mapped to genes using dsSNP file NCBI Build 37 coordinates (build 147 and hg19), and values of 0 were given to SNPs not present in the file. Overall, fifteen annotation files were generated for all the comparisons (separate files for all-dysregulated, upregulated and down-regulated DEGs from each comparison). Second, LDSC was run using data files from phase 3 of the 1000 Genome Project Phase 3 European population. As per LDSC Github Wiki

1 recommendation, LD scores were calculated for the annotations using 1cM window and the analysis 2 was restricted to Hapmap3 SNPs. Third, LDSC python scripts ("munge sumstats.py") were used to 3 format the summary statistic files. Fourth, for the regression weights, LD calculated for HapMap3 4 SNPs were downloaded from the LDSC Github page (https://github.com/bulik/ldsc). Additionally, for 5 the LD score files used for the LD score regression, the full baseline model was used. For all the LDSC analyses, an enrichment score and its associated P-value was calculated based on the proportion of total 6 7 SNPs per annotations (column), after taking into account all other annotation. Annotation categories with a significant positive enrichment of SNP-heritability are then reported as a final result (a one tailed 8 test). GWAS summary statistics were downloaded from The European Bioinformatics Institute 9 (EMBL-EBI) and Psychiatric Genomic Consortium (PGC) Cross-Disorder Group. All subjects were of 10 European ancestry. A full detailed list of all the summary statistic used in these analyses can be found 11 in Supplementary Table 4. 12

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14 Deconvolution

To deconvolute the bulk RNA-seq signature into its component single cell-type expression 15 profiles, we anchored the analysis in a single-cell RNA-seq dataset from a recently published study that 16 characterised the molecular architecture of the developing mouse brain.⁵¹ Using this dataset, we were 17 able to characterise 93 mouse brain tissues at single-cell resolution and generate transcriptomic atlas of 18 the embryonic mouse brain from gastrulation up until birth. Here, using cells extracted on E(18), gene 19 by cell matrix was constructed and cell types were identified, followed by further analysis using Seurat 20 functions.⁵² First, the dataset was normalised using the NormalizeData () function. This global scaling 21 normalisation method normalises the gene expression values by multiplying the total expression of the 22 cell by the number (n) of cells and then log transforms the result. A size factor of 10,000 was used for 23 each cell. Second, cells were clustered by: a) Calculating the distance between two cells with similar 24 expression using Euclidean algorithm and drawing edges. b) Then using the FindClusters() function the 25 cells were clustered (parameters used: 30 principal components (PCs) and a resolution parameter of 2). 26 Third, the clusters were then visualised using non-linear dimensionality reduction algorithm known as 27 28 Uniform Manifold Approximation and Projection (UMAP, v 0.1.10). Finally, Wilcoxon rank sum test (FDR < 0.05) (implemented in the FindAllMarkers() function) was the used to identify genes 29 differentially expressed (DE) in one cluster compared with all other clusters. Cell types were allocated 30 by testing DEGs in a particular cell type for enrichment (Fisher's exact test) for cell-type markers from 31 mouse datasets.⁵³ Next, the relative proportions of cell types in rat pups brain tissue samples were 32 determined using weighted least squares-based deconvolution algorithms, DWLS. First, expression 33

1 matrix from all rat pup samples was normalised using count per million based normalisation methods.

2 Second, using biomaRt R-package (v4.1), the orthologous mouse gene was inferred (Ensembl Genes

3 104). Finally, cells were deconvolved using weighted least squares approach.

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5 Data and code availability

The raw and processed rat sequencing data generated in this study have been deposited in NCBI
Gene Expression Omnibus database under the accession number GSE198756. All scripts used in this
study can be found in GitHub (https://github.com/rahfel/VPA). Supplementary materials including full
tables can also be found in GitHub ((https://github.com/rahfel/VPA).

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11 **<u>RESULTS</u>**

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13 Study workflow and data collection

A summary of the study workflow is shown in **Figure 1**. Female GAERS and NEC rats were both 14 derived from the same original Wistar rat colony but selectively inbred to express, or not to express, 15 absence seizures. ^{12,13} The animal facility was maintained on a light dark cycle of 12 hours light, 12 16 hours dark at a temperature range of 19 to 22 degrees. All females were fed a standard rodent diet as 17 reported by Senn and colleagues ¹⁴ either pre-mixed with VPA 20g/kg of food or not (controls) for 2 18 weeks before mating with males of the same strain and continued throughout pregnancy. We have 19 previously demonstrated that this dose of VPA administered orally results in significant seizure 20 21 suppression in adult GAERS and achieves blood serum levels broadly equivalent to human therapeutic levels of VPA; across VPA-exposed GAERs and NEC dams, VPA levels ranged 120-380 µmol/L and 22 250-460 μ mol/L respectively (t-test P=0.197).⁹ The presence of a copulatory plug indicated day 0 of 23 pregnancy. Once the plug was present, females were separated from the males for the course of their 24 pregnancy. The following day was classified as day 1. The fetuses were extracted from the uterus via 25 C-section on day 21, one day before expected birth. The dams were sacrificed and the fetus brains 26 27 removed, snap frozen and stored at -80C. We have previously reported the results of the teratology studies on rat pups exposed to valproate *in utero.*⁹ Pup brain samples were then chosen without 28 reference to morphological score and were not significantly different in weight between the VPA-29 exposed and unexposed groups (Mean weights +/- SEM: NEC control 0.151g +/- 0.017; NEC VPA 30 0.155g +/- 0.014; GAERS Control 0.180g +/- 0.012; GAERS VPA 0.137g +/- 0.011, 2 WAY ANOVA 31 (drug) p=0.15; (strain) p=0.71). Six litters were generated for each group and one or two pup brains 32

- 1 from each litter were randomly processed. Total RNA was extracted from 30 pup brains (**Table 1**) and
- 2 genome-wide gene expression data was generated using RNA-sequencing (RNA-seq) (Methods).
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4 Deconvolution analysis reveals no difference in cell-type composition between VPA-exposed and 5 non-exposed pup brains

Previous pre-clinical studies on the effects of intraperitoneal administration of VPA have 6 7 demonstrated VPA-induced apoptotic neurodegeneration in the developing rat brain and this has been suggested as a mechanism to explain VPA-associated cognitive impairment.^{15,16} We therefore first 8 9 sought to identify whether chronic oral VPA exposure used in our model was associated with significant changes in the proportion of cell-types in the rat pup brain. To this end, we applied a 10 weighted least squares-based deconvolution algorithm *Dampened Weighted Least Squares* (DWLS)¹⁷ 11 which estimates cell-type composition in a bulk tissue RNA-seq dataset using prior information from 12 an unrelated single-cell RNA-sequencing (scRNA-seq) signature from an analogous tissue. Taking this 13 approach, we observed no significant difference in cell-type composition between valproate-exposed 14 and unexposed pup brains, for either GAERS or NEC rats, suggesting chronic orally delivered 15 gestational VPA exposure does not have a major effect on cell loss or gain (FDR-corrected Wilcoxon 16 rank sum test >0.05; Supplementary Figure 1; Supplementary Table 1). 17

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Differential gene expression analysis highlights transcriptional changes in VPA-exposed pup brains

Prior to undertaking differential gene expression analysis, we explored the principal components of variation between epileptic and non-epileptic pups and between VPA-exposed and nonexposed pups (**Supplementary Figure 2**). Using genome-wide gene expression profiles for each brain, we observed that epileptic and non-epileptic pups were broadly separated by the first principal component (PC1) and VPA-exposed and non-exposed pups by PC2. We therefore undertook differential gene expression analyses for the "case control" comparisons listed in **Table 2**.

We observed significantly (FDR < 0.05) differentially expressed genes in all five pairwise
comparisons (summarised in Table 2; see Supplementary Table 2 for a full list of differentially
expressed genes for each comparison). Considering VPA-exposed vs non-exposed pup brains, we
observed the largest number of differentially expressed genes (DEG) when comparing all VPAexposed pups (All-E) consisting of VPA-exposed GAERS pups (E-GAERS) and VPA-exposed NEC
(E-NEC) pups combined vs all non-exposed pups (All-N) consisting of non-exposed GAERS (NGAERS) and non-exposed NEC (N-NEC) pups combined, where 3,470 genes were significantly (FDR

< 0.05) differentially expressed. Of these, 1,632 genes were significantly (FDR < 0.05) up-regulated
 and 1,838 down-regulated.

Figure 2 shows the overlaps in genes differentially expressed for each of the 3 pairwise VPAexposed vs non-exposed comparisons (i.e., ALL-E vs ALL-N, E-NEC vs N-NEC and E-GAERS vs N-GAERS). We observed that the majority of genes differentially expressed by VPA in GAERS pups were also differentially expressed in NEC pups, suggesting that genetic epilepsy status is not a major determinant of the pattern of differential expression induced by VPA, as was previously the case for VPA-induced birth defects in this model.⁹

To investigate the functional consequences of VPA-induced differential gene expression in the 9 pup brain, we first undertook pathway enrichment analysis. We found that genes down-regulated 10 following VPA exposure were highly significantly enriched for functional processes related to 11 modulation of synaptic function and neuronal processes (see Figure 3 for summary of Biological 12 Processes dysregulated by VPA and **Supplementary Tables 3a-3c** for full details of pathway 13 enrichment analyses). For example, when considering all VPA-exposed pups vs unexposed pups (All-E 14 vs All-N), among the set of genes down-regulated by VPA we observed highly significant enrichment 15 for terms relating to glutamate receptor complex (FDR= 9.74×10^{-12}), regulation of membrane potential 16 (FDR= 0), synapse assembly (FDR= 2.17×10^{-11}), regulation of actin cytoskeleton organisation (FDR= 17 1.48×10^{-02}), post-synaptic membrane (FDR= 2.11×10^{-04}), neurotransmitter receptor activity (FDR= 18 2.33×10^{-04}) and axon guidance (FDR= 0). Interestingly, also among the pathways enriched in the down-19 regulated set of genes was regulation of insulin secretion (FDR= 9.5×10^{-03}), which if replicated in the 20 pancreas (not examined in this study) might suggest a possible drug-induced transcriptional mechanism 21 for the increased incidence of impaired glucose control in patients treated with VPA.^{18,19} 22

In contrast to the substantial enrichment of neuronal functions in genes down-regulated by VPA, the genes up-regulated by VPA were generally enriched for functional terms not directly related to neural processes namely mRNA splicing (FDR= 7.29×10^{-14}), translation (FDR= 1.40×10^{-13}), extracellular matrix organisation (FDR= 2.41×10^{-12}) and cell cycle (FDR= 2.40×10^{-09}). Consistent with VPA's known activity as a histone deacetylase inhibitor, we also saw enrichment of biological processes related to chromatin organization (FDR= 7.37×10^{-07}) and chromatin assembly/disassembly (FDR = 1.45×10^{-6}) among the genes upregulated by VPA.

In summary, genes up- and down-regulated by chronic *in utero* VPA exposure in the
 developing rat brain were represented by divergent functional categories, with genes down-regulated
 by VPA predominantly characterized by pathways relating to nervous system function.

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1 Genes down-regulated by gestational VPA exposure are highly enriched for heritability for

2 neurodevelopmental traits and disease

3 We next sought to investigate the relationship between genes differentially expressed in pup 4 brains following gestational VPA and genetic risk for neurodevelopmental disease and behavioural 5 traits. Since, in the context of rare variant genetic analyses, neurodevelopmental disability primarily arises from loss-of-function and deleterious mutations,²⁰ we hypothesised that genetic risk for 6 neurodevelopmental disease may be enriched in the set of genes significantly down-regulated by VPA 7 8 in the developing brain.

To test for enrichment of genetic association in sets of genes, we used stratified LD score 9 regression (LDSC).²¹ Given the broad range of adverse neurodevelopmental outcomes in children 10 gestationally exposed to VPA, we considered genome-wide association studies (GWAS) for a broad 11 range of psychiatric diseases and behavioural traits: attention deficit hyperactivity disorder (ADHD),²² 12 bipolar disorder (BD),²³ autism spectrum disorder (ASD),²⁴ schizophrenia (SCZ),²⁵ full-scale 13 intelligence quotient (IQ),²⁶ epilepsy (EPI)²⁷ and "cross disorders group" (CDG), the latter representing 14 a meta-analysis of eight individual psychiatric disorder GWAS namely anorexia nervosa, attention-15 deficit/hyperactivity disorder, autism spectrum disorder, bipolar disorder, major depression, obsessive-16 compulsive disorder, schizophrenia and Tourette syndrome²⁸. As a negative control GWAS dataset not 17 expected to be enriched in the set of genes differentially expressed in pup brains we used a GWAS for 18 waist/hip ratio (WHR).²⁹ 19

Enrichment of genetic association analyses were run for all sets of genes significantly (FDR < 20 0.05) differentially expressed in the VPA-exposed pup brain (results summarised in Figure 4; full 21 details in **Supplementary Table 4**). For genes down-regulated by VPA, we observed highly significant 22 enrichment of heritability with bipolar disorder (All-E vs All-N, $FDR_{LDSC} = 1.16 \times 10^{-08}$; E-NEC vs N-23 NEC, $FDR_{IDSC} = 2.93 \times 10^{-09}$; E-GAERS vs N-GAERS, $FDR_{IDSC} = 1.55 \times 10^{-03}$), schizophrenia (All-E vs 24 All-N, $FDR_{LDSC} = 6.04 \times 10^{-08}$; E-NEC vs N-NEC, $FDR_{LDSC} = 6.40 \times 10^{-08}$; E-GAERS vs N-GAERS, 25 $FDR_{LDSC} = 2.91 \times 10^{-03}$), IQ (All-E vs All-N, $FDR_{LDSC} = 1.17 \times 10^{-03}$; E-NEC vs N-NEC, $FDR_{LDSC} = 1.17 \times 10^{-03}$) 26 7.03x10⁻⁰⁴; E-GAERS vs N-GAERS, FDR_{LDSC} = $1.62x10^{-02}$) and cross disorders group (All-E vs All-27 N, $FDR_{LDSC} = 3.89 \times 10^{-04}$; E-NEC vs N-NEC, $FDR_{LDSC} = 1.82 \times 10^{-04}$; E-GAERS vs N-GAERS, 28 $FDR_{LDSC} = 5.44 \times 10^{-02}$). No significant enrichments of heritability were observed for these traits in 29 unexposed GAERS pups compared to unexposed non-epileptic controls, and enrichments of association 30 for WHR were non-significant across all comparisons (Supplementary Table 4). 31 32 There was no significant enrichment of heritability in the set of genes down-regulated in VPAexposed pup brains to ADHD (All-E vs All-N, $FDR_{LDSC} = 0.69$; E-NEC vs N-NEC, $FDR_{LDSC} = 0.24$; 33

E-GAERS vs N-GAERS, FDR_{LDSC} = 0.88) autism spectrum disorder (All-E vs All-N, FDR_{LDSC} = 0.66;
E-NEC vs N-NEC, FDR_{LDSC} = 0.68; E-GAERS vs N-GAERS, FDR_{LDSC} = 0.68) or epilepsy (All-E vs
All-N, FDR_{LDSC} = 0.77; E-NEC vs N-NEC, FDR_{LDSC} = 0.57; E-GAERS vs N-GAERS, FDR_{LDSC} = 0.77).

For genes up-regulated by gestational VPA exposure, we did not observe a significant enrichment of genetic association to any neurodevelopmental disease or trait, other than a marginal enrichment to bipolar disorder (All-E vs All-N, $FDR_{LDSC} = 2.7 \times 10^{-02}$; E-NEC vs N-NEC, $FDR_{LDSC} =$ 3.6×10^{-03} ; E-GAERS vs N-GAERS, $FDR_{LDSC} = 0.84$) and schizophrenia (All-E vs All-N, $FDR_{LDSC} =$ 4.3×10^{-02} ; E-NEC vs N-NEC, $FDR_{LDSC} = 0.32$; E-GAERS vs N-GAERS, $FDR_{LDSC} = 0.56$).

Taken together, these results suggest VPA exerts its adverse effects on fetal neurodevelopment 10 predominantly via drug-induced down-regulation of genes highly relevant to neurodevelopment and 11 nervous system function. The directionality of the effect is consistent with that observed from rare-12 variant analyses of genetic risk to neurodevelopmental disability where the predominant mechanism is 13 a dominant negative effect from deleterious gene mutations.³⁰ Given the functional enrichment of 14 chromatin assembly/disassembly terms among the genes upregulated by VPA, along with VPA's 15 known activity as a histone deacetylase inhibitor, it seems likely that this transcriptional dysregulation 16 is epigenetically encoded with potentially long-lasting consequences for human brain function and 17 health even in the absence of persisting valproate exposure postnatally. 18

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20 Alternatively spliced genes in VPA exposed pups compared to non-exposed pups

21 Among the pathways enriched in genes up-regulated by VPA we observed a highly significant (FDR = 0) enrichment for genes involved in splicing function. We therefore evaluated the role of VPA 22 on differential splicing by first quantifying the read counts to individual gene exons and then 23 comparing differential exon usage using EdgeR (Methods). Overall, there were 57 significantly (FDR 24 < 0.05) alternatively spliced genes, among which 21 were also differentially expressed following VPA 25 exposure (14 were down-regulated, 7 up-regulated) (Supplementary Table 5). Whilst there were no 26 significant enrichments for known functional pathways or enrichment of genetic association to 27 neurodevelopmental disease among the set of differentially spliced genes (data not shown), individual 28 differentially spliced genes included genes for neuronal proteins such as Calmodulin Binding 29 Transcription Activator 1 (CAMATA1; FDR = 3.69×10^{-26}) which is known to play a role in the 30 regulation of glutamate levels and neuronal excitability, glutamate decarboxylase 2 (GAD2; FDR = 31 9.03×10^{-25}) which plays a role GABA-synthesis in neurons³¹ and Forkhead box P4 (FOXP4; FDR= 32 4.62×10^{-3}) which is known to regulate neurogenesis and in which mutations are associated with speech 33

delay and congenital abnormalities.³² These results suggest differential splicing as a potential further
 mechanism influencing behavioural outcomes following fetal VPA exposure.

3

4 **DISCUSSION**

5 The adverse neurodevelopmental consequences of chronic fetal valproate exposure have been 6 well-documented and remain a significant limitation on the use of VPA in women who could become pregnant, with particular implications for the treatment of generalized epilepsy, where it remains the 7 most effective treatment,^{1,2} as well as other diseases in women of child-bearing potential such as 8 bipolar disorder where it is an important therapy. The mechanisms by which VPA contributes to 9 behavioural and cognitive disability in children following gestational exposure remain poorly defined, 10 with existing studies limited by non-physiological drug administration and dosing schedules and 11 relatively few studies having examined transcriptome-wide alterations in brain gene expression.¹¹ In 12 this study, we utilized an established rat model of VPA-induced teratogenicity⁹ that recapitulates 13 human pre-natal valproate exposure and chronicity of oral dosing during pregnancy. 14

Among the proposed mechanisms for VPA-associated neurodevelopmental disability is VPA-15 induced apoptosis.³³ In the present study, using deconvolution analysis anchored in single-cell RNA-16 seq, we found no evidence for substantial shifts in the composition of the major cell-types of the brain 17 (i.e., excitatory neurons, inhibitory neurons, astrocytes, oligodendrocytes, oligodendrocyte precursor 18 cells, microglia) following VPA-exposure. Whilst our results suggest the adverse functional 19 consequences of VPA-exposure reside in altered synaptic function, they do not exclude the possibility 20 of VPA-induced variation in the proportion of cellular sub-types, which will require single-cell RNA-21 sequencing of large numbers of cells from VPA-exposed and non-exposed fetal brains to identify. 22

In contrast to our finding of no measurable difference in the proportion of the major cell-types 23 of the mammalian brain, we observed significant VPA-induced differential gene expression in 24 25 gestationally exposed pup brains. Pathway enrichment analysis showed a striking separation of 26 enriched terms between the up-and down-regulated genes, with up-regulated genes relating predominantly to cell division, mRNA splicing, translation and extracellular matrix organisation, and 27 28 down-regulated genes highly enriched for functional processes directly relevant to neurodevelopment including synapse assembly, post-synaptic membrane, regulation of neuronal membrane activity and 29 synaptic transmission. 30

To further investigate the functional consequences of VPA-induced differential gene expression we integrated differentially expressed genes with GWAS summary statistics from a range of clinically relevant neurodevelopmental diseases and behavioural traits. We observed significant enrichments of

1 heritability in the set of genes down-regulated by VPA for bipolar disease, schizophrenia, IQ and cross 2 disorder group. We did not see an enrichment for autism spectrum disorder (ASD) which was surprising given that some of the features of VPA-associated neurodevelopmental disability have been 3 4 likened to autism, and maternal valproate exposure has been associated with autism-like behaviours in non-human primates.³⁴ This absence of a genetic association with ASD was in stark contrast to the 5 enrichment for bipolar disorder, schizophrenia and IQ and suggests that VPA-associated 6 7 neurodevelopmental disability may have specific clinical characteristics unique to VPA exposure and points to a requirement for more research to continue to define the clinical phenotype. Notably, the 8 9 directionality of the effect of VPA-induced gene expression on brain function is consistent with that observed from rare-variant analyses of genetic risk to neurodevelopmental disease where the 10 predominant mechanism is a dominant negative effect from deleterious mutation.³⁰ The functional 11 enrichment of chromatin assembly/disassembly terms among the genes upregulated by VPA suggests 12 the VPA-induced transcriptional dysregulation is epigenetically encoded and therefore with potential 13 long-lasting consequences for human brain function and behaviour even following birth, prompting the 14 need for further clinical research on the long-term outcomes of children born following fetal VPA 15 16 exposure.

In addition to substantial differential gene expression, we found that chronic prenatal VPA 17 exposure is associated with differential mRNA splicing in the brain. Interestingly, recent studies have 18 shown evidence that implicates the regulatory role of neuron-specific alternative splicing in 19 neurodevelopmental disorders^{35,36} and alternative splicing in the brain is important for several 20 neurological processes including cell differentiation, neurogenesis, synaptogenesis and in the 21 generation of functional neuronal networks.³⁷ Among the genes observed to be significantly 22 differentially spliced in the prenatal brain following VPA exposure were GAD2, which plays a role 23 GABA-synthesis in neurons³¹ and Foxp4, which is known to regulate neurogenesis and is associated 24 with speech delay and congenital abnormalities.³² These results suggest that alternative splicing may be 25 an additional mechanism for adverse neurodevelopment in VPA-exposed fetal brains. 26

Apart from valproate, some studies have suggested a risk of neurodevelopmental complications from fetal exposure to other anti-seizure medications, although such risks remain to be fully characterised.^{38,39} Additionally, studies have suggested that anti-depressant use during pregnancy may be associated with an increased risk of neurodevelopmental disorders including ASD and ADHD,⁴¹ although not following exposure to antipsychotics.⁴² These studies highlight the concern that exists for effects on neurodevelopment for several drugs and classes of drugs commonly prescribed during pregnancy. The research presented here, which demonstrates a robust brain transcriptional response to 1 VPA that is both functionally and genetically associated with relevant cognitive (IQ) and psychiatric 2 (BP, SCZ, CDG) outcomes suggests that the rat model of chronic dosing followed by transcriptional 3 assay in pup brains might provide a general approach to screening for drug-induced adverse 4 neurodevelopmental effects. Moreover, the inference that the adverse behavioural and cognitive 5 outcomes from gestational VPA exposure arise from transcriptional dysregulation, provides a potential 6 system for testing drugs capable of reversing or ameliorating these changes. For example, as previously 7 highlighted, VPA is a well-recognised histone deacetylase (HDAC) inhibitor, and pre-treatment with methionine has been shown to significantly reduce the incidence of spina bifida and other VPA-8 associated defects in mice, albeit at the expense of significantly increased embryo lethality.⁴³ 9

There are several limitations to our study. First, we did not measure serum VPA levels in the 10 rats although we did follow the same oral dosing schedule previously described⁹ which has been shown 11 to achieve a blood level of 180-280 µmol/L and to have antiseizure effects.⁴⁴ Second, we did not 12 undertake a dose-response curve across VPA dosages, which has the theoretical potential to establish if 13 there is a "safe" dosage below which the transcriptional effects of VPA do not occur. This is an 14 important clinical unknown highly relevant to women whose seizures are not controlled by any 15 16 medication other than VPA. We note however, that the blood level achieved by oral dosing used in our study are below the standard therapeutic range for human epilepsy of 346 to 693 µmol/L, suggesting 17 that our results are not an artefact of artificially high VPA levels and also pointing to effects on fetal 18 19 brain transcription even at relatively low serum levels of valproate. This observation is in keeping with 20 reports of impaired cognition and a 6-fold increase in educational intervention in children born to mothers taking low-dose valproate (<800mg per day) during pregnancy.⁴⁵ Third, we did not perform 21 behavioural testing in exposed and un-exposed offspring in this model so we cannot confirm if the 22 23 transcriptional effects of VPA on the brain directly correlate with changes in behaviour. However, previous research on this animal model has established that chronic oral dosing of valproate at the 24 dosages employed in the present study do induce the expected developmental and morphological 25 abnormalities in pups.9 26

Our study did not address the question of whether a genetically defined subpopulation of women can be identified who are at risk of having children with VPA-associated neurodevelopmental disability. Previous research has observed that women who had given birth to a malformed baby in their first VPA pregnancy are more likely to have a malformed child in their next compared to those who had taken VPA without fetal abnormalities.⁴ This suggests that maternal factors, perhaps genetic factors, contribute to VPA-associated congenital malformations. However, we are not aware of similar 1 studies that have examined the offspring recurrence risk with respect to VPA-associated

- 2 neurodevelopmental disability. Additionally, the extent to which variation in risk of VPA-associated
- 3 neurodevelopmental disability (independent of VPA dosage) is explained by maternal genetic variation
- 4 (e.g., using genome-wide association study methodology or exome-sequencing) is, to date,
- 5 unexamined. As well as potential maternal genetic effects on risk (for example perhaps mediated by
- 6 genetic variation in valproate clearance), one can hypothesise a potential effect from fetal genotype on
- 7 risk as well, for example via genetic effects on VPA-induced neuronal gene expression (so-called
- 6 "response eQTLs"). Determining if maternal or fetal genetic factors play a role in VPA-associated
 9 neurodevelopmental disability is therefore likely to require substantial further research.
- In conclusion, the data presented here provide a mechanistic explanation for VPA-induced 10 adverse neurodevelopment anchored in drug-induced transcriptional dysregulation. The extent to which 11 these transcriptional effects are related to irreversible brain development and/or fixed epigenetically 12 encoded changes, or which might be associated with a gradual restoration of normal brain transcription 13 and function over time postnatally is unknown, but could potentially be explored using the 14 experimental paradigm described in this study. Our research prompts the need for longer-term follow 15 up of children born following gestational VPA exposure and the evaluation of other anti-seizure 16 medications as well as dose-response curves in this model using transcriptional readouts. 17
- 18

19 ACKNOWLEDGEMENTS

This work was supported by the UKRI MRC (Award No: MR/S02638X/1) and by the NIHR Imperial Biomedical Research Centre (BRC) to MR Johnson, and a NHMRC Program (#APP1091593) Grant and NHMRC Investigator Grant (APP1176426) to TJ O'Brien. We acknowledge Ms. Emma Brain for technical assistances with the rat surgeries.

24

25 Supplementary material

- 26 Supplementary material is available at *Brain* online.
- 27 28

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- 7

1 **FIGURE LEGENDS**

2

3 Figure 1 Overview of experimental design and data processing. Two different genetic strains of rat were studied, Genetic Absence Epilepsy Rats from Strasbourg (GAERS) rats and Non-Epileptic (NEC) 4 5 control rats. Whole brain samples were extracted from valproate-exposed (E-GAERS and E-NEC) and non-exposed (N-GAERS and N-NEC) pups (total n=30). Pup brains were snap frozen in liquid nitrogen 6 7 and genome-wide gene expression assayed using RNA-sequencing (RNA-seq). Data pre-processing steps included quality control for sequence reads alignment to the rat genome and library size 8 normalization. Differential gene expression and differential splicing analyses were carried out with 9 downstream pathway and heritability enrichment analyses. 10

11

Figure 2 Summary of differential gene expression results for the three valproate-exposed vs nonexposed comparisons. Total number of significantly (FDR <0.05) differentially expressed genes (DEGs) for each comparison are shown (single brown dot corresponding to the first three histograms). The number of genes overlapping between each of the case vs control comparisons are shown in black (2-way) or red (3-way). The bars are arranged left to right based on the highest to least number of overlaps respectively.

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Figure 3 Pathway enrichment for valproate-exposed vs non-exposed pups. Biological process (BP) gene ontology (GO) parental terms enriched in genes significantly (FDR <0.05) differentially expressed between All-exposed (All-E) vs All non-exposed (All-N) pups for down-regulated (blue bars) and up-regulated (red-bars) genes. For full details including enrichment FDR-values for parental and child terms including Cellular Compartment and Molecular Function GO pathway enrichments see Supplementary Tables 3a-c.</p>

*-log10FDR values have been signed to indicate enrichment in the down regulated or up-regulated
genes.

27

Figure 4 Heritability enrichment in differentially expressed genes. Linkage Disequilibrium Score Regression (LDSC) was used to test for enrichment of heritability in genes significantly differentially expressed for each of the 5 case control comparisons shown. Enrichment -log10(FDR) for the enrichment of genetic association for each trait is indicated by the horizontal bars coloured by the comparison group from which the differentially expressed genes were identified. Vertical line indicates FDR values at 0.05. Genome-wide association studies used for the enrichment analysis are indicated on

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- 1 the vertical axis. Abbreviations: ASD: Autism Spectrum Disorder, ADHD: Attention Deficit
- 2 Hyperactivity Disorder, BD: Bipolar Disorder, SCZ: Schizophrenia, IQ: Intelligence Quotient, EPI:
- 3 Epilepsy, WHR: Waist-to-Hip-Ratio, CDG: Cross-Disorder Group.
- 4
- 5





GO: Biological processes





