

1 **Caffeine increases exercise intensity and energy expenditure but does not modify**
2 **substrate oxidation during 1 h of self-paced cycling**

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4 Running head: **Caffeine and self-paced cycling**

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24 **ABSTRACT**

25 **Aim:** Oral caffeine intake has been deemed as an effective supplementation strategy to
26 enhance fat oxidation during aerobic exercise with a steady-state intensity. However, in
27 real exercise scenarios, individuals habitually train with autoregulation of exercise
28 intensity. This study aimed to analyze the effect of oral caffeine intake during self-
29 paced cycling on autoregulated exercise intensity and substrate oxidation. **Methods:**
30 Fifteen young and healthy participants (11 men and 4 women) participated in a double-
31 blind, randomized, cross-over investigation. Each participant took part in two
32 experimental days consisting of pedaling for 1 h with a self-selected wattage.
33 Participants were told that they had to exercise at a moderate intensity to maximize fat
34 oxidation. On one occasion participants ingested 3 mg/kg of caffeine and on the other
35 occasion ingested a placebo. Energy expenditure, fat oxidation rate, and carbohydrate
36 oxidation rate were continuously measured during exercise by indirect calorimetry.
37 **Results:** In comparison to the placebo, caffeine intake increased the self-selected
38 wattage (on average, 105 ± 44 vs 117 ± 45 W, respectively, $P < 0.001$) which represented
39 a higher total work during the cycling session (377 ± 157 vs 422 ± 160 kJ, $P < 0.001$).
40 Caffeine increased total energy expenditure (543 ± 161 vs 587 ± 155 kcal, $P = 0.042$) but
41 it did not affect total fat oxidation (24.7 ± 12.2 vs 22.9 ± 11.5 g, $P = 0.509$) or total
42 carbohydrate oxidation (87.4 ± 22.4 vs 97.8 ± 32.3 g, $P = 0.101$). **Conclusion:** Acute
43 caffeine ingestion before an exercise session with an individual's freedom to regulate
44 intensity induces a higher self-selected exercise intensity and total work. The selection
45 of a higher exercise intensity augments total energy expenditure but eliminates the
46 effect of caffeine on substrate oxidation during exercise.

47 **Keywords.** Aerobic exercise, endurance exercise, dietary supplement, fat loss, weight
48 loss.

49 INTRODUCTION

50 Beyond the well-supported effect of oral caffeine intake to enhance sports
51 performance [1–3], this substance can exert other potential benefits during prolonged
52 aerobic exercise of low-to-moderate intensity such as increased fat utilization [4]. The
53 influence of caffeine to increase fat utilization during exercise may be an attractive
54 effect for those individuals enrolled in exercise programs seeking body weight reduction
55 and fat mass loss. The higher fat oxidation rate during exercise found after caffeine
56 intake [4–7] may aid to produce a faster reduction of fat mass, as caffeine induces a
57 higher amount of fat oxidized per exercise session [5]. However, this substance should
58 be ingested chronically before the exercise sessions of a weight loss program to produce
59 measurable changes in body composition as caffeine increases fat utilization in the
60 range of 0.08 to 0.14 g/min -equivalent to 4.8-8.4 g of “extra” fat utilized per exercise
61 session of 60 min of duration- [4–7].

62 The evidence supporting the effect of acute caffeine intake to enhance fat
63 oxidation during prolonged exercise goes back to the ‘70s [8–10] but, still today, there
64 are doubts about caffeine’s efficacy to modify substrate use during exercise and how to
65 use caffeine supplementation to obtain such benefit. These doubts arise because the
66 effect of caffeine on fat oxidation during exercise has been found in some investigations
67 [4, 5, 8–10] but not in others [11, 12]. A potential reason for the lack of agreement
68 among investigations is the characteristics of the exercise protocols used to assess the
69 effect of caffeine on fat oxidation. Exercise intensity is the main factor affecting fat
70 oxidation rate during aerobic exercise [13] and caffeine can increase both, exercise
71 intensity through a reduction of perceived fatigue and pain [14] and fat oxidation rate
72 [5]. In investigations that used steady-state exercise intensity protocols, caffeine was
73 habitually effective to enhance fat oxidation. On the other hand, the investigations that

74 employed exercise protocols with free-chosen exercise intensity the effect of caffeine on
75 substrate oxidation was not evident.

76 In this context, the effect of acute caffeine intake (from 2 to 7 mg/kg of body
77 mass) to enhance fat oxidation during aerobic exercise has been recently confirmed with
78 a meta-analytic approach when limiting the analysis to studies that employed an
79 exercise protocol with steady-state intensity [6]. However, in real exercise scenarios,
80 individuals habitually train with autoregulation of exercise intensity. Hence, the effect
81 of caffeine to increase exercise intensity during self-paced exercise (*i.e.*, ergogenic
82 effect) may mitigate or even eliminate the effect of this substance on fat utilization. To
83 date, the effect of oral caffeine intake during exercise with an individual's freedom to
84 regulate intensity on substrate oxidation has not been investigated, at least in a context
85 unlinked to exercise performance. This study aimed to analyze the effect of oral caffeine
86 intake during 1 h of self-paced cycling of moderate intensity on wattage, energy
87 expenditure and substrate oxidation rates. We hypothesized that participants would
88 cycle at a higher wattage during exercise in the caffeine trial which would offset the
89 potential benefits of caffeine on increasing total fat oxidation.

90

91 **METHODS**

92 **Participants:** Fifteen young and healthy participants (11 men and 4 women)
93 volunteered to participate in the study (age = 29 ± 6 years, body mass = 72.2 ± 9.4 kg,
94 height = 1.76 ± 0.09 m, peak oxygen uptake [VO_{2peak}] = 49.3 ± 10.4 mL/kg/min). Women
95 performed all experimental trials during the luteal phase of their menstrual to
96 standardize data collection. An *a priori* sample size calculation indicated that at least 7
97 participants were required to obtain statistically significant differences between caffeine
98 and placebo on total fat oxidation during 1 h of cycling. The required sample size was

99 calculated to obtain an effect size of 0.68 Cohen's *d* units based on a previous study that
100 reported an increase of such magnitude in total fat oxidation with 3 mg/kg of caffeine
101 [15]. The sample size was calculated using the G*Power software (v.3.1.9.7, Germany)
102 with a statistical power of 0.80 and a two-tailed α level of 0.05, one group of
103 participants and for ANOVA of repeated measures, within factors. The inclusion
104 criteria to include participants in the study were: (a) regularly performing aerobic
105 exercise training 1 hour per day, at least 4 days per week (b) age between 18 and 40
106 years (c) low caffeine intake as defined by Filip [16] (d) in women, regular duration of
107 their menstrual cycle for the previous 4 months (My calendar®, Simpleinnovation,
108 United States). Exclusion criteria were: (a) smoking status (b) using medications or any
109 type of dietary supplementation in the month before testing (c) using oral contraceptives
110 (d) allergy to caffeine (f) any cardiopulmonary or musculoskeletal disease. Before
111 enrolment in the study, all potential participants were fully informed of the experimental
112 procedures and potential risks associated to the experiment. Once inclusion/exclusion
113 criteria were applied, all participants of the study provided oral and written informed
114 consent. The study was approved by the Camilo José Cela University Research Ethics
115 Committee and was conducted under the latest version of the Declaration of Helsinki.

116 **Experimental Design:** A double-blind, placebo-controlled, randomized,
117 experimental design was used in this investigation. Each participant performed two
118 identical experimental trials with at least 3 days between trials. In each experimental
119 trial participants ingested either (a) 3 mg of caffeine per kg body mass (Bulk Powders,
120 United Kingdom) or (b) 3 mg/kg body mass of an inert placebo substance (cellulose,
121 Guinama, Spain). The substances were ingested in an opaque capsule with 150 mL of
122 water. After ingestion, participants rested for 60 min and then completed 1 h of cycling
123 with autoregulation of exercise intensity. Participants were informed that they had to
124 exercise at moderate intensity to maximize the amount of fat oxidized during the

125 exercise session. Participants had been previously lectured about the association
126 between exercise intensity, maximal fat oxidation (MFO) to decontextualize the effect
127 of caffeine on exercise performance. Trials in which the respiratory exchange ratio
128 surpassed 1.0 were removed from the analysis. To ensure the double-blind nature of the
129 experiment, an alphanumeric code was designated to each trial by an independent
130 researcher and experimenter and participants were not informed about the substance
131 under investigation in each trial. Additionally, the experimenter and participants did not
132 have access to workload and substrate oxidation data during the trials to avoid the
133 influence of this information in the autoregulation of exercise intensity. All trials were
134 carried out in an exercise physiology laboratory with controlled ambient temperature
135 ($20.3 \pm 0.4^\circ \text{C}$) and relative humidity ($31 \pm 11\%$).

136 **Pre-experimental trials:** Participants performed two pre-experimental trials: in
137 the first pre-experimental day, carried out one week before the onset of the experiment,
138 participants performed an incremental test to measure $\text{VO}_{2\text{max}}$, MFO and Fatmax. The
139 test was performed on a cycle ergometer (SNT medical, Cardgirus, Spain) and the initial
140 workload was set to 75W for men and to 50W for women. After 10-min of warm-up
141 with this workload, exercise intensity was increased by 25W for men and by 15W for
142 women every three minutes until their respiratory exchange ratio reached 1.0. After this,
143 the workload was increased by 25/15W for men/women each minute of exercise until
144 exhaustion. Participants were instructed to maintain a cadence of 70-90 rpm throughout
145 the test. During the test, oxygen consumption and carbon dioxide production were
146 monitored breath-by-breath with a gas analyzer (Metalizer 3B, Cortex, Germany). Fat
147 and carbohydrate oxidation rates were measured and calculated at each stage using the
148 stoichiometric equations [17, 18]. The $\text{VO}_{2\text{max}}$ test was considered valid when it met
149 standard end criteria [19]. On this day, participants were lectured about the concepts of
150 MFO, Fatmax and the effect of caffeine on these variables. The second pre-

151 experimental day was performed the following day and consisted of a familiarization
152 trial replicating the procedures to be performed in the subsequent experimental trials, as
153 explained below. In this second pre-experimental testing, participants fixed the saddle
154 and handlebar position of the cycle ergometer and wore the same clothing that would be
155 used in the trials. Participants were told that they had to pedal at moderate intensity to
156 maximize fat and avoid pedaling as fast as they could. On this day, they could visualize
157 workload and they knew the wattage that corresponded to their individual Fatmax.

158 **Experimental trials:** On the day of the experimental trials, participants arrived
159 at the laboratory at (9.00 AM) in a fasting state (at least 8 h since their last meal). They
160 were then given an opaque capsule containing caffeine or placebo and the experimenter
161 verified that the participant ingested the capsule. After ingestion, participants lay supine
162 for 60 minutes on a stretcher to allow substance absorption. Then, resting blood
163 pressure (M6 comfort, Omron, Japan; by triplicate) and resting heart rate (Wearlink +
164 V800, Polar, Finland; for 5 min) were measured. After the resting measurements,
165 participants were weighed without any clothing (± 50 g, Radwag, Poland) and then rode
166 the cycle ergometer to complete a 10-min warm-up of a light intensity (~ 50 W). After
167 the warm-up, the cycle ergometer was set to produce a workload equivalent to their
168 Fatmax, measured in the pre-experimental trial (105 ± 44 W). Participants cycled for 5
169 min at Fatmax and then participants completed the 1-h cycling session with the
170 possibility of self-selecting the workload at 5-min intervals (the cycle ergometer was set
171 to produce changes of ± 5 W in the resistance applied to pedaling with a button placed
172 in the handlebar). Participants were able to change exercise intensity at 5-min intervals
173 because, in the last minute of each 5-min interval, VO_2 and VCO_2 were measured with
174 the same analyzer used for $\text{VO}_{2\text{max}}$ testing and heart rate was recorded. Additionally,
175 during this last minute of each 5-min interval, participants were encouraged to maintain
176 a stable position on the cyclergometer and to maintain a stable cadence. This protocol

177 was set to produce representative values of VO_2 , VCO_2 and heart rate for each 5-min
178 period. During the test, participants only had information about the time left to
179 complete the 1 h of cycling while data on wattage, heart rate and gas exchange data
180 were hidden from the view of the participant and the experimenter. Rates of energy
181 expenditure and substrate oxidation were calculated from VO_2 and VCO_2 using the non-
182 protein respiratory quotient [17, 18]. VO_2 and VCO_2 data from the entire test (i.e., all
183 breaths included in the 1-h cycling test) were used for the calculation of the total
184 amount of energy expended and the total amounts of fat and carbohydrate oxidized. At
185 the end of each 5-min interval, the rating of self-perceived fatigue was obtained with the
186 6-to-20-point Borg scale [20]. Once participants finished the exercise, nude body mass
187 was measured again to calculate sweat rate, as the change in body mass was divided by
188 exercise time. Then, participants continued with their daily activities but were
189 encouraged to avoid any dietary source of caffeine and alcohol. Twenty-four hours
190 after the end of the exercise, participants answered a questionnaire on side effects
191 typically associated with caffeine intake. The questionnaire included a 1-10 point scale
192 for each item and it has been previously used in athletes to assess the magnitude of the
193 side effect associated with caffeine supplementation [21].

194 **Standardizations:** All participants included in this study were informed of
195 various obligations they had to fulfill the day before the experimental trials: (a) avoid
196 any type of food containing caffeine (*i.e.*, coffee, tea, chocolate, energy drinks, yerba
197 mate) (b) avoid consumption of alcoholic beverages (c) maintain an 8-hour sleep pattern
198 (d) avoid strenuous exercise (e) replicate meals and dinners (f) maintain euhydration.
199 For this, standard fluid and diet guidelines [22, 23] were given to assure carbohydrate
200 bioavailability and euhydration in all experimental trials. All dietary and exercise
201 patterns were recorded in a diary the first experimental trial for replication on the
202 second day of the experimental trial. Euhydration was measured at the participant's

203 arrival at the laboratory by collecting a urine sample which was used to assure that urine
204 specific gravity, measured by refractometry (MASTER-SUR/N, Atago, Japan), was <
205 1.020 [24].

206 **Statistical analysis:** The study data were blindly introduced into the statistical
207 package SPSS (SPSS, v. 22.0, IBM SPSS Statistics, IBM Corporation) and
208 subsequently analyzed. The Shapiro-Wilk test was used to confirm the normality of the
209 quantitative variables and, consequently, parametric statistics tests were used to
210 determine differences among trials. A two-way analysis of variance (ANOVA)
211 (substance \times time; 2×12) was performed to analyze the effects of caffeine on all the
212 variables under investigation. Sphericity assumption was checked with Mauchly's test.
213 In the case of a main effect of the substance, time or interaction between these two
214 factors, pairwise comparisons between caffeine and placebo trials were performed at
215 each 5-min interval and differences were identified with LSD post-hoc tests. Paired t-
216 tests were used to detect differences in the caffeine-placebo comparison for resting heart
217 rate, resting blood pressure variables, total energy expenditure, total fat and
218 carbohydrate oxidation, and ratings of side effects post-exercise. In all statistical tests, a
219 significance level of $P < 0.050$ was set to consider the difference as significant. The data
220 are presented as mean \pm standard deviation.

221

222 **RESULTS**

223 In comparison to the placebo, the ingestion of caffeine increased systolic blood
224 pressure, diastolic blood pressure and mean arterial pressure at rest (Table 1; $P < 0.050$),
225 while it did not modify resting heart rate ($P = 0.172$).

226 During exercise, there was a main effect of substance on exercise workload (F
227 $_{1,11} = 7.95$; $P = 0.014$) with no effect of time (F $_{1,11} = 0.61$; $P = 0.767$) or substance \times
228 time interaction (F $_{1,11} = 0.80$; $P = 0.656$) in this variable. The post hoc analysis

229 revealed that participants selected a higher workload at all time points with caffeine in
230 comparison to the placebo (Figure 1a; $P < 0.050$). As a result, the total work performed
231 during the exercise session was higher with caffeine than with placebo (Table 1; $P <$
232 0.001). There was a main effect of substance on energy expenditure rate ($F_{1,11} = 4.99$; P
233 $= 0.042$) with no effect of time ($F_{1,11} = 3.80$; $P = 0.103$) or substance \times time interaction
234 ($F_{1,11} = 1.48$; $P = 0.375$). The post hoc analysis revealed that participants had a higher
235 energy expenditure rate at 25, 30, 35, 55 and 60 min of exercise with caffeine in
236 comparison to the placebo (Figure 1b; $P < 0.050$). As a result, total energy expenditure
237 for 1 h of self-paced cycling was higher with caffeine than with the placebo (Table 1; P
238 $= 0.042$).

239 There was no main effect of substance on fat oxidation rate ($F_{1,11} = 0.81$; $P =$
240 0.382) but it was a main effect of time ($F_{1,11} = 23.20$; $P = 0.004$). The interaction
241 between these two variables did not reach statistical significance for fat oxidation rate
242 ($F_{1,11} = 0.31$; $P = 0.942$). The post hoc analysis revealed no caffeine-placebo
243 differences in fat oxidation rate at any time point (Figure 1c) and total fat oxidation
244 during the exercise session was similar with both treatments (Table 1; $P = 0.509$). The
245 was no main effect of substance ($F_{1,11} = 2.94$; $P = 0.107$), time ($F_{1,11} = 3.01$; $P = 0.114$)
246 nor interaction ($F_{1,11} = 2.14$; $P = 0.207$) on carbohydrate oxidation rate (Figure 1d). The
247 total amount of carbohydrate oxidized during exercise was similar with caffeine and
248 with placebo (Table 1; $P = 0.101$).

249 There was no main effect of substance on heart rate ($F_{1,11} = 1.17$; $P = 0.303$) and rating
250 of perceived exertion ($F_{1,11} = 0.90$; $P = 0.768$) while the main effect of time and
251 substance \times time interaction on these variables was not present (Figure 1e and f). The
252 differences in sweat rate between caffeine and placebo trials did not reach statistical
253 significance (Table 1; $P = 0.066$).

254 For the twenty-four hours after exercise, participants reported higher ratings of
255 nervousness (Table 2; $P = 0.033$), vigor ($P = 0.055$), diuresis ($P = 0.009$) and insomnia
256 ($P = 0.035$) with caffeine than with the placebo.

257

258 **DISCUSSION**

259 This research is the first to analyze the effects of a moderate dose of caffeine
260 (*i.e.*, 3 mg/kg of body mass) on energy expenditure and substrate oxidation during self-
261 paced exercise in a context unrelated to exercise performance. The main findings of this
262 research indicate that, in comparison to the placebo trial, oral ingestion of caffeine
263 before exercise (a) increased the exercise intensity selected during 1 h of self-paced
264 cycling, which in turn increased by $11.9 \pm 20.3\%$ the amount of work performed during
265 the exercise session; (b) increased the total energy expended during exercise by $8.0 \pm$
266 16.3% , likely as a result of the increased exercise intensity; (c) did not affect fat and
267 carbohydrate oxidation rates during exercise; (d) did not affect participants' rating of
268 perceived exertion and exercise heart rate; (e) increased the magnitude of several side
269 effects in the 24 hours after ingestion such as nervousness, diuresis and insomnia.
270 Collectively, this information suggests that acute ingestion of 3 mg/kg of caffeine
271 before an exercise session with an individual's freedom to regulate intensity induced
272 participants to select a higher exercise intensity despite a similar rating of fatigue. The
273 increase in exercise intensity with caffeine came with a higher amount of work
274 completed during the exercise session, and a higher amount of energy expended.
275 However, the selection of a higher exercise intensity offset the effect of caffeine on
276 shifting substrate oxidation during aerobic exercise found in investigations with steady-
277 state exercise intensity protocols [6].

278 The effect of caffeine on fat oxidation has been widely debated because it has
279 been found in some investigations [4, 5, 8–10] but not in others [11, 12]. The
280 differences in the outcomes between these investigations are likely associated with the
281 exercise protocol used to assess caffeine’s effect on substrate oxidation. In
282 investigations that used steady-state exercise intensity protocols, caffeine was habitually
283 effective to enhance fat oxidation [6], at expense of lower utilization of carbohydrates
284 [8, 9, 25]. On the other hand, the investigations that employed exercise protocols with
285 free-chosen exercise intensity to assess caffeine’s ergogenicity failed to find any effect
286 of caffeine on substrate oxidation [11]. This is because in investigations aimed to assess
287 the ergogenic effect of caffeine, exercise intensity is high (participants complete the trial
288 as fast as they could) and the contribution of fat to energy is low or negligible. This
289 speculation drove the design employed in the current investigation and for this reason,
290 we designed an experiment where participants were told to exercise at moderate
291 intensity to maximize fat oxidation. Even in this scenario of moderate exercise
292 intensity, the data confirms that the benefits of acute caffeine intake on exercise
293 performance and fat oxidation are somewhat incompatible. This is because exercise
294 intensity is the main modulator for the fuels used during aerobic exercise [13] and, in
295 the case of autoregulation of the workload with caffeine, individuals can exercise at a
296 higher intensity for the same level of fatigue, which in turn eliminates the potential
297 effect of caffeine on substrate oxidation (Figure 1).

298 The incompatibility of both caffeine-induced effects (*i.e.*, ergogenic and
299 substrate oxidation) has a mechanistic support. After oral ingestion of caffeine, the
300 substance is rapidly absorbed and distributed across all body tissues, including the brain
301 [26]. In the brain, caffeine possesses the ability to block adenosine-specific receptors
302 which in turn increases the release of neurotransmitters such as norepinephrine,

303 dopamine, acetylcholine and serotonin [27]. The blockade of adenosine A1 and A2A
304 receptors is the main mechanism behind caffeine's ergogenicity during exercise [27]
305 and may affect the autoregulation of exercise intensity by enabling a hypoalgesic effect
306 and reduced fatigue, as this is the role of several neurotransmitters stimulated by acute
307 intake [14, 28]. In this context, 3 mg/kg of caffeine are effective to increase VO_{2max} and
308 the wattage obtained during a ramp exercise test to fatigue [29], and to enhance the
309 wattage at the second ventilatory threshold [30], supporting the capacity of individuals
310 to pedal at a higher intensity with caffeine. However, the capacity to exercise at a
311 higher intensity with caffeine may be unfavorable to maximize fat oxidation during
312 exercise. This same scenario was present in the current investigation as participants
313 selected a higher exercise intensity to complete the 1 h of cycling in the caffeine trial
314 (on average, they changed from 105 ± 44 W with placebo to 117 ± 45 W with caffeine)
315 despite they were told that they had to exercise at a moderate intensity to maximize fat
316 oxidation. This produced a VO_2 in the caffeine trial that represented $\sim 57\%$ of VO_{2max}
317 while it was $\sim 51\%$ of VO_{2max} in the placebo trial. The selection of higher intensity with
318 caffeine was present despite participants' self-perceived fatigue being similar to the
319 placebo trial (Figure 1). Interestingly, the results are completely different when using a
320 similar protocol of 1 h of cycling but a with fixed exercise workload at Fatmax through
321 all the trial [5]. In this alternative context, the same dose of caffeine was effective to
322 enhance fat oxidation, reduce carbohydrate oxidation and dampen the sensation of
323 fatigue.

324 The outcomes of the current investigation and the previous one with fixed
325 exercise workload at Fatmax [5] reveal interesting practical applications for exercise
326 practitioners interested in the use of caffeine supplementation to modify energy
327 expenditure and shift substrate oxidation during exercise. In the case of seeking

328 increased fat oxidation during exercise, oral caffeine intake should be planned before an
329 exercise session designed with a fixed intensity of moderate level, close to Fatmax [5]
330 or below 60% of VO_{2max} [4], as the effect of caffeine to shift substrate oxidation
331 towards a higher reliance on fat disappears at higher exercise intensities. In the case of
332 pursuing increased energy expenditure during exercise with caffeine, the exercise
333 session should be designed with an auto-selection of exercise intensity, using the rating
334 of perceived exertion as the variable to regulate intensity. In any case, the current
335 investigation and the previous study on this topic [5] reveal that oral caffeine intake
336 increases resting blood pressure and the prevalence and magnitude of several side
337 effects such as nervousness, self-perceived vigor, diuresis and insomnia, independently
338 of the type of exercise used (fixed vs autoselected). Although the cardiovascular effects
339 of caffeine to increase resting blood pressure are eliminated after ~1 week of a chronic
340 intake, the prevalence of the remaining side effects increases over time if caffeine is
341 ingested daily [31]. Therefore, the use of oral caffeine intake to enhance fat oxidation
342 or energy expenditure during exercise should be evaluated in terms of risk/benefits and
343 habituation to this stimulant term should be avoided.

344 This study presents different limitations that should be discussed: (i) the study
345 participants were healthy and active, and the effect of caffeine intake on fat oxidation
346 should be investigated in other populations such as endurance-trained athletes and
347 obese/overweight individuals; this may be particularly important for endurance-trained
348 athletes as the effect of caffeine to enhance fat oxidation may be lower than in
349 recreationally active individuals [6]; (ii) we obtained only samples of expired air during
350 exercise while blood samples would have been helpful for the analysis of circulating
351 free fatty acids and glycerol as the concentration of these substances are normally
352 increased after caffeine intake [32, 33]; (iii) we investigated the effect of only one dose

353 of caffeine while the effect of higher doses may have produced different outcomes of
354 the shifting of substrate oxidation [33] (iv) the study included a mixed sample with male
355 and female participants. A sub-analysis of the current results per sex indicated that
356 caffeine increased exercise in a similar magnitude in both men and women, but caffeine
357 did not affect substrate oxidation in men or women. This agrees with recent data that
358 indicate that responses to caffeine in the exercise context are of similar magnitude in
359 men and women [34, 35]. However, further investigations are needed to determine the
360 effect of caffeine on auto-regulated exercise and fat oxidation as the sub-analysis per
361 sex in the current investigation is likely underpowered.

362 In summary, acute ingestion of 3 mg/kg of caffeine before a 1-h cycling session
363 with an individual's freedom to regulate intensity enabled participants to exercise at a
364 higher exercise intensity despite a similar rating of fatigue. The increase in exercise
365 intensity with caffeine was accompanied by a higher amount of work completed, and a
366 higher amount of energy expended during exercise. However, the potential effect of
367 caffeine on shifting substrate oxidation towards a higher reliance on fat was not present.
368 In the case of seeking increased fat oxidation during exercise, oral caffeine intake
369 should be planned before an exercise session designed with a constant workload of
370 moderate intensity close to Fatmax instead of the use of self-pacing, as caffeine intake
371 before steady-state exercise at Fatmax is effective to enhance fat oxidation [5].

372

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375

376 **CONFLICT OF INTEREST**

377 The authors declare no support from any organization for the submitted work; no

378 financial relationships with any organizations that might have an interest in the

379 submitted work in the previous 3 years; and no other relationships or activities that

380 could appear to have influenced the submitted work.

381

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384

385

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2 **Figure 1.** Exercise workload (a), energy expenditure rate (b), fat oxidation rate (c),
3 carbohydrate oxidation rate (d), heart rate (e) and rating of perceived exertion (f) during 1h
4 of cycling at self-paced intensity after the ingestion of 3 mg/kg body mass of caffeine or a
5 placebo.

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7 (*) Significant differences between caffeine and placebo at $P < 0.050$. a.u. = arbitrary units.

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TABLES

Table 1. Cardiovascular variables at rest, and work, energy expenditure and substrates oxidized during 1h of cycling at self-paced intensity after the ingestion of 3 mg/kg of caffeine or a placebo.

	Variables (units)	Placebo	Caffeine	P value
At rest	Heart rate (beats/min)	55±10	53±7	0.172
	Systolic blood pressure (mmHg)	116±13	123±15*	0.004
	Diastolic blood pressure (mmHg)	69±8	74±11*	0.020
	Mean arterial pressure (mmHg)	85±9	90±12*	0.006
During exercise	Total work (kJ)	377±157	422±160*	< 0.001
	Total energy expenditure (kcal)	543±161	587±155*	0.042
	Total fat oxidation (g)	24.7±12.2	23.9±11.6	0.509
	Total carbohydrate oxidation (g)	87.4±22.4	97.8±32.3	0.101
	Sweat rate (L/h)	0.38±0.25	0.48±0.29	0.066

Data is shown as mean±SD for 15 healthy participants. (*) Statistically significant difference from placebo at $P < 0.050$.

Table 2. Ratings of main adverse effects in the 24 hours following the ingestion of 3 mg/kg of caffeine or a placebo.

Variable (units)	Placebo	Caffeine	P value
Nervousness (a.u.)	1.3±1.0	3.7±2.3*	0.003
Vigor (a.u.)	1.5±1.6	4.4±2.7*	0.005
Irritability (a.u.)	1.5±1.4	1.6±1.4	0.903
Muscle pain (a.u.)	1.5±1.4	1.3±1.3	0.796
Headache (a.u.)	1.9±2.0	1.5±1.8	0.639
Gastrointestinal distress (a.u.)	1.1±0.5	2.0±1.9	0.126
Diuresis (a.u.)	1.3±0.6	2.9±2.2*	0.009
Insomnia (a.u.)	1.1±0.4	2.8±2.7*	0.035

Data is shown as mean±SD for 15 healthy participants. Each side effect was self-reported by using a 1-10 arbitrary units (a.u.) scale. (*)

Statistically significant difference from placebo at $P < 0.050$.

