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# International Journal of Disaster Risk Reduction

journal homepage: www.elsevier.com/locate/ijdrr



# Building Inventory at National scale by evaluation of seismic vulnerability classes distribution based on Census data analysis: BINC procedure



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ARTICLE INFO

Keywords: Building inventory Seismic exposure assessment Risk assessment

#### ABSTRACT

In this paper, the BINC procedure (Building Inventory at National scale based on Census data) is proposed. It is a quick methodology to assess the building inventory needed to seismic exposure assessment at regional and national scale. Vulnerability classes map for the whole Italian region is proposed.

BINC procedure, developed at the PLINIVS Study Centre (University of Naples Federico II), is able to provide a seismic vulnerability assessment on the basis of 'weak' data. The information used to set the method are carried out from census database furnished by Italian National Institute of Statistics, ISTAT (DB\_Census) and the database of information collected in situ by PLINIVS (DB\_PLINIVS). In particular, exploiting vulnerability classes information of the DB\_PLINIVS and common characteristics of the two databases, a generalization of buildings distribution on the vulnerability classes in obtained at regional national scale.

The methodology can be easily extended to all countries having census data on buildings.

#### 1. Introduction

In the framework of planning and management of seismic emergency at national and regional scale, the analysis of scenario and risk constitutes the main tools to define the mitigation strategies at short and long term, to allocate the available resource and to device the operative phases in the emergency.

The risk is the convolution of three factors: hazard, exposure and vulnerability. The hazard is the time-space distribution of the intensity of a given seismic event of assigned occurrence probability in a given time and a given geographical area. The exposure is the distribution of the probability that a given element (people, building, infrastructure, economy, environment, etc.) of assigned characteristics (of qualitative and quantitative type) occupies in a given time and a given geographical area. The vulnerability is the distribution of the probability that a given exposed element of assigned characteristics is damaged by seismic hazard.

In this paper an approach to assess the building inventory (exposure) at national and regional scale is proposed. It is called BINC procedure (Building Inventory at National scale based on Census data)

and it is developed by the authors in the framework of PLINIVS Study Centre research activities. For each Italian municipality, it aims to identify, the distribution of vulnerability classes (which represent buildings sets characterized by similar behavior under effect of seismic hazard) on the base of Italian census data [1].

Seismic exposure assessment finalized to risk assessment requires a specific approach, since it involves large numbers of buildings. In literature, different procedures able to assess the building inventory in the framework of risk assessment exist. The main methods are of two kinds.

The former provides the development of global database of building inventories using taxonomy of global or national building types for use in near-real-time post-earthquake loss estimation and pre-earthquake risk analysis, as: Russian program Extremum [2], HAZUS-MH [3], PAGER [4], GEM [5], CARTIS DB [6]. These data base are generally completed by inside and outside building-by-building analyses by expert teams, so they may provide high quality vulnerability information, but, given the onerousness of the activities in the field, they often do not cover the entire regional or national territory, so these data base must be completed on the base of information at large scale, as census

Abbreviation: C<sub>ij</sub>, Alternative option j of the parameter combination i; I<sub>c</sub>, comparison index; N<sub>b</sub>, Number of buildings in reference to DB\_PLINIVS; M<sub>b</sub>, Number of buildings in reference to DB\_Census; P<sub>ij</sub>, Alternative option j of parameter i; BINC, Building Inventory at National scale based on Census data; DB\_Census, ISTAT 2001 database on buildings, with aggregated data (8101 municipalities); DB\_PLINIVS, PLINIVS, PLINIVS database on buildings (800 municipalities); DB\_PLINIVS, PLINIVS database on buildings (800 municipalities); DB\_PLINIVS, PLINIVS database on buildings (610 municipalities); DPM, Damage Percentage Matrix; VC<sub>k</sub>, Vulnerability Class; SAVE, Strumenti Aggiornati per la Vulnerabilità sismica del patrimonio Edilizio e dei sistemi urbani; SPD, Synthetic Parameter of Damage

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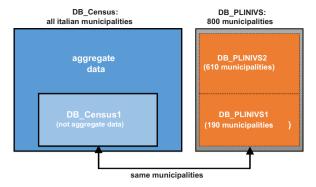


Fig. 1. Database used in the procedure.

data.

The latter proposes the satellite remote sensing to assess the buildings inventory, through the visual assessment by images of features that can influence the seismic performance of structures. These techniques can be founded on: pure satellite remote sensing (see [7–11]), providing information about vulnerability-related features that can be assessed from the top view; or integrated approaches, which combine satellite images and ground-based omnidirectional imaging data (see [12–15]). Differently from the approaches of the first type, they can quickly gather a lot of data at national and regional level, moreover they are able to better control the dynamic change over short time-scales (a few years) of urban settlements. On the other hand, they present the difficulty of evaluating the correlations between the data obtained from the images and the seismic vulnerability.

Probably, the most reliable exposure estimates should be assessed through hybrid approaches that seek to balance the pros and cons of the two families of methodologies.

The procedure here illustrated is included among the first methods. It is founded on the analysis of statistical correlations existing between 'weak' data available at national scale (see [1]) and more reliable data collected in site, on about 800 Italian municipalities (DB\_PLINIVS), through survey activities building-by-building of structural-typological characteristics.

The study is founded on the numerous research activities developed in Italy in the framework of seismic risk assessment (see [16-40]).

The advantage of the procedure is to furnish a methodology easy to apply, based on 'weak' and 'free' data, striking a balance between the need of reliable data and the impossibility to get detailed surveys for all the buildings of a whole region or a whole country. The goal of the proposed inventory analysis is to assess, for each of the 8101 Municipalities of Italy, the number of buildings and their distribution in vulnerability classes according to the European Macroseismic Scale (EMS'98) vulnerability classification [41].

The methodology can be easily extended to all countries having census data on buildings, assessing statistical correlation with ad hoc information collected on the territory.

#### 2. The methodology

The proposed procedure allows to estimate the vulnerability classes distributions (exposure) at regional and national level, starting from 'weak' data National Census data provided by ISTAT (Italian National Institute of Statistics).

The procedure deduces information about Italian Territory from the following databases (DB), shown in Fig. 1:

- ISTAT 2001 database (DB\_Census), at national scale, which contains
  for each minimum reference unit (identified by sub- municipal
  zones, called *census areas*), 'aggregate data', that is it furnish the
  number of buildings having a given single characteristic (i.e.,
  building position in the aggregate, material of vertical structure, age
  of buildings, etc.) for each census area;
- PLINIVS survey database (DB\_PLINIVS), at local scale, in which
  georeferenced data relating to buildings typologies and vulnerability
  class are collected [42]. It is constituted by 800 municipalities and
  about 180,000 buildings.

Furthermore, thanks to the Italian Civil Protection, PLINIVS Study Centre has other special information collected by ISTAT, generally not free available, constituted by 'not aggregate data' (for information type) for 190 Municipalities (DB\_Census1). These information furnishes, for each census area in the 190 municipalities, the number of buildings having a given combination of different characteristics.

The procedure proposed divides the DB\_PLINIVS in two sets: the former one (DB\_PLINIVS1) is constituted by the 190 municipalities in common with 'not aggregate data' DB\_Census1; the latter one DB\_PLINIVS2 is constituted by the rest of the 610 municipalities.

DB\_Census provides typological buildings characteristics belonging to Census Area in which Italian country is divided, whilst DB\_PLINIVS, through the SAVE Method [42], defines vulnerability classes over typological features of buildings belonging to 800 Municipalities. By exploiting common characteristics of two databases and the stochastic valence of the PLINIVS'one, a projection of buildings distribution on vulnerability classes is obtained at national and regional scale.

The common descriptive characteristics of the two databases are essentially six: building position in the aggregate, material of vertical structure, age of buildings, number of floors above ground, altimetry and demographic class. Each one of these characteristics is assumed as 'parameter' of the procedure and is partitioned by using alternative options (Table 1).

The procedure proposes the definition of expected buildings distribution for each parameter taken individually and for some combination parameters. In both of the cases, statistical analysis of the relations between the parameters and the vulnerability classes of the surveyed buildings (DB\_PLINIVS) is studied. As appropriate, the

**Table 1** Parameters of buildings.

		Parameters					
		P <sub>1</sub> position of the building in the aggregate	P <sub>2</sub> material of vertical structures	P <sub>3</sub> age of building	P <sub>4</sub> number of floors above ground	P <sub>5</sub> altimetry of municipality	P <sub>6</sub> demographic class of the municipality
Alternative	1	Isolated	masonry	before 1919	1–2	plain (0-300 m)	< 500
options	2	on one side	reinforced concrete	1919-1945	3-4	hill (300-600 m)	500-1.999
	3	on two or more side	rc with pilotis at ground level	1946–1961	5 – 6	mountain (> 600 m)	2.000-4.999
	4		other	1962-1971	7 – 8		5.000-9.999
	5			1972-1981			10.000-49.999
	6			1982-1991			50.000-249.999
	7			after 1999			> 250.000

Table 2
Three parameters combinations.

Combinat	ion	First parameter	Second parameter	Third parameter	Number of alternative options
C <sub>1</sub>	$P_3 + P_6 + P_5$	Age	Demographicclass	Altimetry	147
$C_2$	$P_3 + P_6 + P_2$	Age	Demographicclass	Vertical Structure	196
C <sub>3</sub>	$P_3 + P_6 + P_4$	Age	Demographicclass	Number of floors	196
$C_4$	$P_3 + P_6 + P_1$	Age	Demographicclass	Position in the block	147
C <sub>5</sub>	$P_3 + P_5 + P_2$	Age	Altimetry	Vertical Structure	84
C <sub>6</sub>	$P_3 + P_5 + P_4$	Age	Altimetry	Number of floors	84
$C_7$	$P_3 + P_5 + P_1$	Age	Altimetry	Position in the block	63
C <sub>8</sub>	$P_3 + P_2 + P_4$	Age	Vertical Structure	Number of floors	112
C <sub>9</sub>	$P_3 + P_2 + P_1$	Age	Vertical Structure	Position in the block	84
C <sub>10</sub>	$P_3 + P_4 + P_1$	Age	Number of floors	Position in the block	84
C <sub>11</sub>	$P_6 + P_5 + P_2$	Demographicclass	Altimetry	Vertical Structure	84
$C_{12}$	$P_6 + P_5 + P_4$	Demographicclass	Altimetry	Number of floors	84
C <sub>13</sub>	$P_6 + P_5 + P_1$	Demographicclass	Altimetry	Position in the block	63
C <sub>14</sub>	$P_6 + P_2 + P_4$	Demographicclass	Vertical Structure	Number of floors	112
C <sub>15</sub>	$P_6 + P_2 + P_1$	Demographicclass	Vertical Structure	Position in the block	84
C <sub>16</sub>	$P_6 + P_4 + P_1$	Demographicclass	Number of floors	Position in the block	84
C <sub>17</sub>	$P_5 + P_2 + P_4$	Altimetry	Vertical Structure	Number of floors	48
C <sub>18</sub>	$\mathbf{P_5} + \mathbf{P_2} + \mathbf{P_1}$	Altimetry	Vertical Structure	Position in the block	36
C <sub>19</sub>	$P_5 + P_4 + P_1$	Altimetry	Number of floors	Position in the block	36
C <sub>20</sub>	$P_2 + P_4 + P_1$	Vertical Structure	Number of floors	Position in the block	48

**Table 3**Example of alternative options for the parameters combination C14 (position in the aggregate, vertical structure, altimetry).

		Position of the building in the aggregate $P_1$	Material of vertical structure P2	Altimetry of municipality P
Alternative options	1	isolated	masonry	plain
	2	isolated	masonry	hill
	3	isolated	masonry	mountain
	4	isolated	Reinforced Concrete	plain
	5	isolated	Reinforced Concrete	hill
	6	isolated	Reinforced Concrete	mountain
	7	isolated	RC with pilotis at ground level	plain
	8	isolated	RC with pilotis at ground level	hill
	9	isolated	RC with pilotis at ground level	mountain
	10	isolated	other	plain
	11	isolated	other	hill
	12	isolated	other	mountain
	13	on one side	masonry	plain
	14	on one side	masonry	hill
	15	on one side	masonry	mountain
	16	on one side	Reinforced Concrete	plain
	17	on one side	Reinforced Concrete	hill
	18	on one side	Reinforced Concrete	mountain
	19	on one side	RC with pilotis at ground level	plain
	20	on one side	RC with pilotis at ground level	hill
	21	on one side	RC with pilotis at ground level	mountain
	22	on one side	other	plain
	23	on one side	other	hill
	24	on one side	other	mountain
	25	on two or more sides	masonry	plain
	26	on two or more sides	masonry	hill
	27	on two or more sides	masonry	mountain
	28	on two or more sides	Reinforced Concrete	plain
	29	on two or more sides	Reinforced Concrete	hill
	30	on two or more sides	Reinforced Concrete	mountain
	31	on two or more sides	RC with pilotis at ground level	plain
	32	on two or more sides	RC with pilotis at ground level	hill
	33		RC with pilotis at ground level	mountain
	33 34	on two or more sides		mountain plain
		on two or more sides	other other	piain hill
	35 36	on two or more sides on two or more sides	other	mountain

frequencies of occurrence of the vulnerability classes are estimated for a single alternative option or for each combination of alternative options of the considered parameters.

In all the census areas, total buildings are divided on the alternative options of each parameter. Through the frequencies of occurrence, a buildings distribution on the vulnerability classes can be evaluated for

each parameter or for each combination of parameters. In the latter case is necessary having not aggregate information about buildings.

A validation of the method is also presented. For this purpose a comparison between the obtained results and the actual known distribution is carried out. At the end, an application of the calibration procedures and assessment of the vulnerability distributions at national

**Table 4** Values of Synthetic Parameter of Damage (SPD) for the assignment of vulnerability classes  $VC_k$  according to SAVE procedure [42].

$VC_k$	Average	Standard deviation
A	2.54	0.22
В	2.04	0.13
С	1.19	0.15
D	0.69	0.21

scale is presented.

In the following section, the steps which characterize the procedure are defined.

## 3. Steps of the procedure

#### 3.1. Input parameters

A comparison between the two databases defines the following six parameters (Table 1):

- 1. *Position* of the building in the aggregate (isolated, on one side, on two or more side):
- 2. *Material* of vertical structure (masonry, reinforced concrete, RC with pilotis at ground level, other);
- 3. *Age* of building (before 1919, 1919–1945, 1946–1961, 1962–1971, 1972–1981, 1982–1991, after 1991):
- 4. Number of floors above ground (1-2, 3-4, 5-6, 7-8);
- 5. Altimetry of the municipality (plain, hill, mountain);
- 6. Demographic class of the municipality, in terms of population

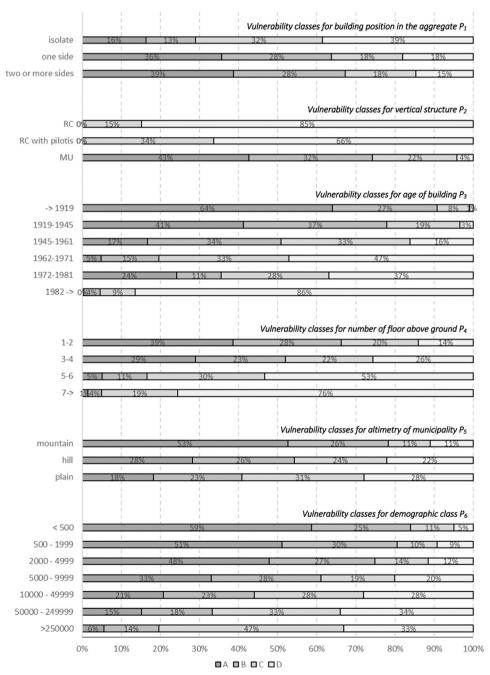


Fig. 2. Frequencies of occurrence of vulnerability classes (A, B, C, D) for each parameter using DB\_PLINIVS.

**Table 5**Vulnerability classes distribution of a given Census Area for each parameter and the average of them.

						Rocca P	ia				Pescocostanzo				Gagliano Aterno					Solofra					
$\mathbf{P}_{i}$	ij	f(P <sub>ij</sub> ,V	/C <sub>k</sub> )			Mb(P <sub>ij</sub> )	M(P <sub>ij</sub>	,VC <sub>k</sub> )	)		Mb(P <sub>ij</sub> )	M(P <sub>ij</sub>	j,VC <sub>k</sub> )			Mb(P <sub>ij</sub> )	M(P <sub>i</sub>	,VC <sub>k</sub> )	)		Mb(P <sub>ij</sub> )	M(P <sub>ij</sub>	,VC <sub>k</sub> )		
i	j	A	В	С	D	-	A	В	С	D	-	A	В	С	D	-	A	В	С	D	-	A	В	С	D
1	1	16%	13%	32%	39%	21	3	3	7	8	276	45	35	90	106	56	9	7	18	22	720	117	91	234	278
	2	36%	28%	18%	18%	80	29	22	15	14	117	42	33	21	21	51	18	14	9	9	415	148	116	76	75
	3	39%	28%	18%	15%	72	28	21	13	11	262	102	75	47	38	221	86	63	40	32	265	103	75	48	39
						$\mathbf{P_1}$	60	46	34	33	$\mathbf{P_1}$	188	142	158	166	$\mathbf{P_1}$	113	84	67	63	$\mathbf{P_1}$	368	283	358	391
2	1	43%	32%	22%	4%	170	72	54	37	7	431	184	136	93	18	298	127	94	64	12	275	117	87	59	11
	2	0%	0%	34%	66%	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	55	0	0	19	36
	3	0%	0%	15%	85%	3	0	0	0	3	205	0	0	31	174	1	0	0	0	1	951	0	0	145	806
						$\mathbf{P_2}$	72	54	37	10	$\mathbf{P_2}$	127	94	64	13	$\mathbf{P_2}$	127	94	64	13	$\mathbf{P_2}$	117	87	222	854
3	1	64%	27%	8%	1%	161	103	43	13	1	324	207	87	27	3	140	90	38	12	1	83	53	22	7	1
	2	41%	37%	19%	3%	5	2	2	1	0	14	6	5	3	0	123	51	45	23	4	24	10	9	4	1
	3	17%	34%	33%	16%	4	1	1	1	1	37	6	13	12	6	11	2	4	4	2	50	8	17	16	8
	4	5%	15%	33%	47%	0	0	0	0	0	99	5	15	33	47	8	0	1	3	4	116	6	17	39	55
	5	24%	11%	28%	37%	0	0	0	0	0	93	23	11	26	34	14	3	2	4	5	218	53	25	60	80
	6	0%	4%	9%	86%	3	0	0	0	3	88	0	4	8	76	32	0	1	3	28	909	3	38	82	785
						$P_3$	106	47	16	5	$P_3$	247	134	108	166	$P_3$	146	90	48	44	$P_3$	133	128	209	930
4	1	39%	28%	20%	14%	111	43	31	22	16	309	119	85	61	43	173	67	48	34	24	896	346	247	177	126
	2	29%	23%	22%	26%	62	18	14	14	16	331	96	76	74	85	155	45	36	35	40	432	125	99	97	111
	3	5%	11%	30%	53%	0	0	0	0	0	15	1	2	5	8	0	0	0	0	0	72	4	8	22	38
	4	1%	4%	19%	76%	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
						$P_4$	61	45	36	31	$P_4$	216	163	140	136	$P_4$	112	83	69	64	P <sub>4</sub>	475	355	296	275
5	1	53%	26%	11%	11%	170	89	44	18	19	542	285	139	58	59	328	172	84	35	36	1332	700	343	143	146
	4	28%	26%	24%	22%	3	1	1	1	1	111	31	29	26	25	0	0	0	0	0	68	19	18	16	15
	5	18%	23%	31%	28%	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
						$P_5$	90	45	19	19	$P_5$	316	168	84	84	$P_5$	172	84	35	36	$P_5$	719	360	159	161
6	1	59%	25%	11%	5%	173	102	44	19	9	0	0	0	0	0	328	193	83	36	16	0	0	0	0	0
	2	51%	30%	10%	9%	0	0	0	0	0	655	334	194	67	60	0	0	0	0	0	0	0	0	0	0
	3	48%	27%	14%	12%	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	4	33%	28%	19%	20%	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	5	21%	23%	28%	28%	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1400	291	327	391	392
	6	15%	18%	33%	34%	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	7	6%	14%	47%	33%	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
						$P_6$	102	44	19	9	$P_6$	334	194	67	60	$P_6$	193	83	36	16	$P_6$	291	327	391	392
						$\mathbf{P}_{\mathbf{A}}$	82	46	27	18	$P_A$	248	156	114	134	$\mathbf{P}_{\mathbf{A}}$	144	87	53	39	$\mathbf{P}_{\mathbf{A}}$	351	257	272	501

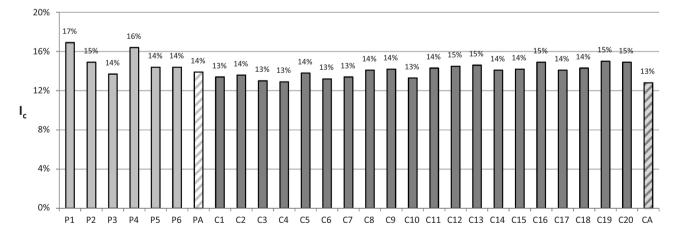


Fig. 3. Comparison indexes  $(I_c)$  between the vulnerability classes of the buildings surveyed (DB\_PLINIVS) and the vulnerability classes evaluated by the proposed procedure, for each parameter  $P_i$  and each combination  $C_i$ . PA and CA represent, respectively, the arithmetical average among all parameters and all combinations.

(<500, 500-1.999, 2.000-4.999, 5.000-9.999, 10.000-49.999, 50.000-249.999, > 250.000).

The number of parameters combinations depends on the number of parameters taken in account and on their alternative options.

Considering all of them there are 7056 possible combination but many of these describe categories of buildings not-existent or negligible. A three parameters combination carries out the 20 possibilities summarized into the Table 2. An example of alternative options for the parameters combination  $C_{18}$ , is reported in Table 3. In this paper the listed

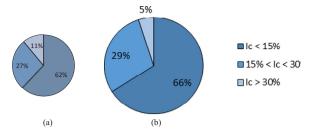


Fig. 4. Municipalities performances in function of  $I_{\rm c}$  for the average of single parameters (a) and combinations (b).

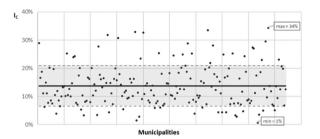


Fig. 5. Distribution of I<sub>c</sub> for 190 municipalities contained in DB\_PLINIVS1.

fourteen distributions for Census Area with aggregate data are taken in

#### 3.2. Vulnerability classes assignment

For each building of DB\_PLINIVS, the seismic vulnerability class VC (for decreasing vulnerability, A, B, C, ...) is evaluated on the basis of its typological characteristics, by a first level procedure developed by the authors [42] and founded on the same database (DB\_PLINIVS), called 'SAVE' method (Strumenti Aggiornati per la Vulnerabilità sismica del patrimonio Edilizio e dei sistemi urbani – Updated Tools for the Seismic Vulnerability Evaluation of the Italian Real Estate and of Urban

Systems). Starting from the typological classification given in the definition of the European Macroseismic Scale [43], the procedure is aimed at reducing the implicit uncertainty of the EMS'98 vulnerability classification. This is pursued by identifying a set of typological features (building age, geometric regularity, horizontal structure, tie roads, building position in the block, roof type, roof structure) which can be considered as vulnerability modifier, and giving to each of these a numerical weight, calibrated using an extensive database of seismic damage observed (using survey forms) after the most important earthquakes occurred in Italy since the 1980 Irpinia earthquake to today. The database used for all the elaborations is made by forms compiled during post-earthquake survey campaigns, indicating the typological features of the observed damage.

For each building, the statistical SAVE procedure assigns a vulnerability class on the base of a numerical parameter, called Synthetic Parameter of Damage (SPD), which takes into account the influence of each typological feature of buildings collected in DB\_PLINIVS, by a weighted average on the damage observed [42].

With reference the sample of buildings in DB\_PLINIVS (about 180,000), for each vulnerability class, the values of SPD are indicated in Table 4.

#### 3.3. Frequency evaluation

In the following, the procedure to evaluate the frequencies of occurrence for analyses with single parameter [combined parameters] is exposed. Let i represent the generic parameter [parameter combination] according to Table 1 [Table 2], j the alternative option and k the vulnerability class (k = 1, VC = A; k = 2, VC = B; k = 3, VC = C; k = 4, VC = D). Let also  $P_{ij}$  [ $C_{ij}$ ] represent the alternative option j of the parameter i [parameter combination i].

For each i and j values, frequency of occurrence on the four vulnerability classes is estimated using DB\_PLINIVS. In particular, a query extracts from the database the number of buildings  $N_b(P_{ij})$  [ $N_b(C_{ij})$ ] having  $P_{ij}[C_{ij}]$  value and divides them in four groups depending on their vulnerability class. Using quantity  $N_b(P_{ij}, VC_k)$  [ $N_b(C_{ij}, VC_k)$ ] to represent the number of building having  $P_{ij}$  [ $C_{ij}$ ] value and vulnerability

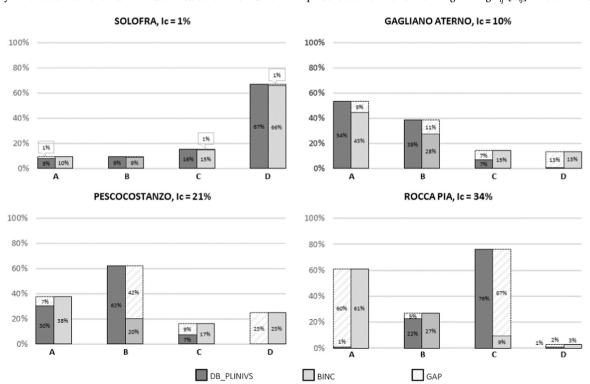


Fig. 6. Distribution of vulnerability classes for some municipalities contained in DB\_PLINIVS1.

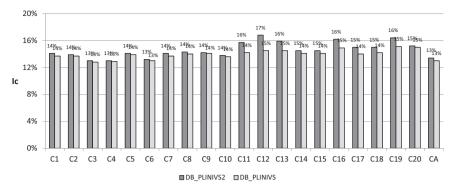
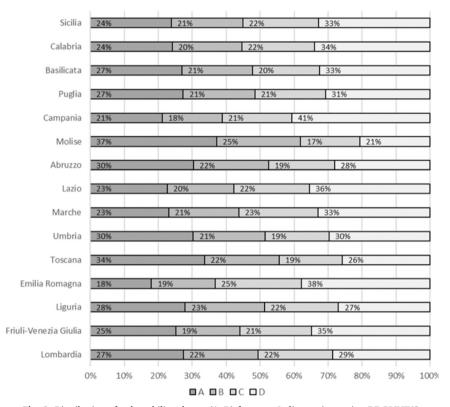


Fig. 7. Comparison between the combined parameter analysis adopted for the complete data base survey (DB\_PLINIVS) and the data base reduced (DB\_PLINIVS2) in term of I<sub>c</sub>.



 $\textbf{Fig. 8.} \ \ \textbf{Distribution of vulnerability classes (A-D) for some Italian regions using \ DB\_PLINIVS.$ 

class k, the frequency of occurrence of each class for the  $P_{ij}$  [ $C_{ij}$ ] value is calculated by the relation (1) [(2)].

$$f(P_{ij}, VC_k) = \frac{N_b(P_{ij}, VC_k)}{N_b(P_{ij})} \tag{1} \label{eq:force}$$

$$f(C_{ij}, VC_k) = \frac{N_b(C_{ij}, VC_k)}{N_b(C_{ij})}$$
 (2)

With reference to data concerning the 800 municipalities of DB\_PLINIVS, the frequencies of occurrence of vulnerability classes (A–D) are calculated, grouping the results for each parameter (Fig. 2).

# 3.4. Distribution evaluation in census areas

For a Census Area of the DB\_Census, the number of buildings  $M_b(P_{ij})$  [ $M_b(C_{ij})$ ] with a given  $P_{ij}$  [ $C_{ij}$ ] is known. The number of buildings for each vulnerability class can be estimated by the relation (3) [(4)].

$$M_b(P_{ij}, VC_k) = M_b(P_{ij}) \cdot f(P_{ij}, VC_k),$$
 (3)

$$M_b(C_{ij}, VC_k) = M_b(C_{ij}) \cdot f(C_{ij}, VC_k).$$
 (4)

By repeating the evaluation of  $M_b(P_{ij}, VC_k)$  [ $M_b(C_{ij}, VC_k)$ ] for each j value of the parameter i, [parameters combination i], the total number of buildings of the census area with vulnerability class k is calculated by the relation (5) [(6)].

$$M_b(P_i, VC_k) = \sum_j M(P_{ij}, VC_k),$$
 (5)

$$M_b(C_i, VC_k) = \sum_j M(C_{ij}, VC_k).$$
 (6)

Another distribution can be also evaluated as arithmetical average of the six [fourteen] before. By using index A in order to denote the average value, the buildings distribution for each vulnerability class depending on the average of the six [twenty] parameters can be expressed as the relation (7) [(8)].

$$M_b(P_A, VC_k) = \frac{1}{6} \sum_{i=1}^{6} M(P_i, VC_k), \tag{7}$$

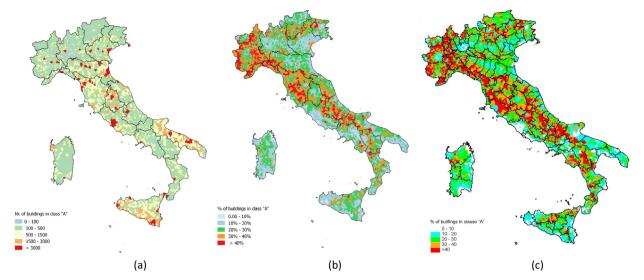


Fig. 9. Distribution of building in class "A" for each municipality assessed by: the proposed procedure, in terms of number (a) and percentage of buildings (b); Lucantoni et al. [20] in terms of percentage of buildings (c).

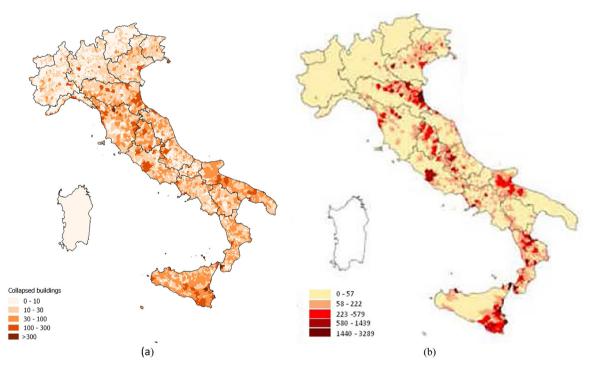


Fig. 10. Distribution of number of collapsed buildings for each municipality due to ground motions with a 10% probability of exceedance in 50 years, assessed by: the proposed procedure (a); and Crowley et al. in [16].

$$M_b(C_A, VC_k) = \frac{1}{20} \sum_{i=1}^{20} M(C_i, VC_k). \tag{8}$$

For each Italian municipality, adding the buildings numbers for each census section, the distribution of vulnerability classes can be determined by relations (5), (6) or (7), (8), considering, respectively, the influence of each parameter [combination] or the arithmetical average of six [fourteen] parameters [combinations].

For four Italian municipalities (Solofra, Gagliano Aterno, Pescocostanzo and Roccapia), the numerical evaluation of the vulnerability classes distributions is shown in Table 5, with reference to each single parameter and the average of them. For the sake of brevity, the values for the combinations are not shown.

#### 4. Validation

In this section, a validation of the procedure is developed, using as comparative term the known vulnerability classes of the surveyed buildings contained in DB\_PLINIVS1. This database is analyzed, instead the whole DB\_PLINIVS, because it allows a comparison between single parameters and combinations of them.

The gaps between the known vulnerability classes assessed in DB\_PLINIVS1 by SAVE method and the ones evaluated by BINC procedure are estimated by a *comparison index*  $I_c$ , as average of differences by the relation (9).

$$I_{C} = \frac{1}{4} \sum_{k=1}^{4} |C_{VC_{k},P} - C_{VC_{k}}|,$$
(9)

in which  $C_{VC_k,P}$  represents the percentage of buildings of vulnerability

Table 6
DPM obtained through a statistical analysis of the data collected about the observed damages due to earthquakes occurred in Italy since 1980 (see [44,45]).

Building class	Intensity	D0	D1	D2	D3	D4	D5	
A	v	0,3487	0,4089	0,1919	0,0450	0,0053	0,0002	
В		0,5277	0,3598	0,0981	0,0134	0,0009	0,0000	
С		0,6591	0,2866	0,0498	0,0043	0,0002	0,0000	
D		0,8587	0,1328	0,0082	0,0003	0,0000	0,0000	
Α	VI	0,2887	0,4072	0,2297	0,0648	0,0091	0,0005	
В		0,4437	0,3915	0,1382	0,0244	0,0022	0,0001	
С		0,5905	0,3281	0,0729	0,0081	0,0005	0,0000	
D		0,7738	0,2036	0,0214	0,0011	0,0000	0,0000	
A	VII	0,1935	0,3762	0,2926	0,1138	0,0221	0,0017	
В		0,3487	0,4089	0,1919	0,0450	0,0053	0,0002	
С		0,5277	0,3598	0,0981	0,0134	0,0009	0,0000	
D		0,6591	0,2866	0,0498	0,0043	0,0002	0,0000	
Α	VIII	0,0656	0,2376	0,3442	0,2492	0,0902	0,0131	
В		0,2219	0,3898	0,2739	0,0962	0,0169	0,0012	
С		0,4182	0,3983	0,1517	0,0289	0,0028	0,0001	
D		0,5584	0,3451	0,0853	0,0105	0,0007	0,0000	
Α	IX	0,0102	0,0768	0,2304	0,3456	0,2592	0,0778	
В		0,1074	0,3020	0,3397	0,1911	0,0537	0,0060	
С		0,3077	0,4090	0,2174	0,0578	0,0077	0,0004	
D		0,4437	0,3915	0,1382	0,0244	0,0022	0,0001	
Α	X	0,0017	0,0221	0,1138	0,2926	0,3762	0,1935	
В		0,0313	0,1563	0,3125	0,3125	0,1563	0,0313	
С		0,2219	0,3898	0,2739	0,0962	0,0169	0,0012	
D		0,2887	0,4072	0,2297	0,0648	0,0091	0,0005	
A	XI	0,0002	0,0043	0,0392	0,1786	0,4069	0,3707	
В		0,0024	0,0284	0,1323	0,3087	0,3602	0,1681	
C		0,0380	0,1755	0,3240	0,2990	0,1380	0,0255	
D		0,0459	0,1956	0,3332	0,2838	0,1209	0,0206	
A	XII	0,0000	0,0000	0,0000	0,0010	0,0480	0,9510	
В		0,0000	0,0000	0,0006	0,0142	0,1699	0,8154	
C		0,0000	0,0001	0,0019	0,0299	0,2342	0,7339	
D		0,0000	0,0002	0,0043	0,0498	0,2866	0,6591	

class VC<sub>k</sub> (A, B, C, D) in the DB\_PLINIVS1, and  $C_{VC_k}$  is the percentage of buildings of vulnerability class VC<sub>k</sub> assigned by BINC procedure. The results obtained by the procedure are reported in Fig. 3, where P<sub>A</sub> and C<sub>A</sub> represent, respectively, the arithmetical average among all parameters P<sub>i</sub> and all combinations C<sub>i</sub>.

The single parameter that shows the best result is the age of the building (P3), with  $I_{\rm C}$  is equal to 13,7%, and the best parameters combination is C1, obtained with age (P3), number of floors (P4) and demographic class (P6), with  $I_{\rm C}$  equal to 12,9%. It is shown that using the largest number of categories, the results are more dependable being generally associated with the lowest values of  $I_{\rm C}$ .

A further comparison between the single parameters and their combinations is conducted through the average performances of the municipalities in term of  $I_C$ , like sum of the results relating to the census area (Fig. 4). The single parameter analysis shows an higher percentage of municipalities (38%) with  $I_C > 15\%$  respect to the combinations (< 34%).

With the aim to evaluate the influence in the vulnerability class assignment of the better correlated single parameter P3, age of building, the other following results are shown:

- for each municipality in DB\_PLINIVS1,  $I_C$  parameters are calculated (Fig. 5). The minimum and maximum  $I_C$  values are, respectively, 1% and 34%. The average value is 14  $\pm$  7%;
- $\bullet$  for four municipalities in DB\_PLINIVS1 (Solofra, Gagliano Aterno, Pescocostanzo and Roccapia), characterized by different values of  $I_C$  in the range [1;34%], the differences between the distributions of vulnerability classes calculated by BINC procedure and the ones known in DB\_PLINIVS1are shown (Fig. 6). The results show a growing gap with  $I_C$  increasing.

To avoid problems about auto-reference of the values, a further analysis for combined parameters is developed. In particular, frequencies of occurrence are also evaluated by using only DB\_PLINIVS2 and DB\_PLINIVS. A comparison between these two analysis by using  $I_c$  index is reported in Fig. 7. It shown negligible gaps between two databases (< 3%).

An analysis of the results illustrated this section shows that the BINC frequencies assessed by combinations of more parameters are generally more reliable compared to single parameter (Figs. 3 and 4), even if the difference between the  $I_C$  for the better correlated parameter ( $P_3$ ) and combination ( $P_4$ ) and the difference between the average values ( $P_4$ ) and  $P_4$ 0 are not significant, so the frequencies of the single parameter  $P_3$ 0 (Fig. 2) can be quietly adopted, also because the ISTAT data related to the single parameters are more easily available at large scale.

In addition, the analyses of influence of  $P_3$  parameter for each municipality in DB\_PLINIVS1 (Figs. 5 and 6), shown maximum values that make the procedure unreliable at municipal-scale risk analysis (unless further survey activities on field are developed, with the aim to improve the estimated frequencies in a specific municipality), while the average values make the procedure reliable at regional and national level.

#### 5. Vulnerability and risk map

The procedure founded on the single parameter P3, age of constructions, is applied at regional and national scale (Figs. 8 and 9). The vulnerability classes distributions for each Italian municipality are calculated. In Fig. 9, the maps reporting the number (a) and the percentage (b) of buildings in class 'A' (a) are shown.

Similar results are obtained by [20], which are evaluated the vulnerability classes distributions for each municipality, on the base of a typological-statistical approach founded on correlation between the data collected during past Italian earthquake (1980 Irpinia and 1984 Lazio-Abruzzo) and the 1991 ISTAT Census. The distribution of 'A' class is shown in Fig. 9c. They are comparable with results obtained by the method here proposed (Fig. 9b), which uses, compared to Lucantoni et al. [20], more up-to-date Census data (ISTAT 2001 and 2011) and more numerous collected information. Both approaches identifies municipalities with the highest percentages od buildings in class 'A' (over 30%) in the central-southern Apennine arc and in the hilly and mountainous areas of Piedmont and Liguria. Metropolitan areas and coastal municipalities are mainly characterized by percentages lower than 20%.

With the aim to assess further validations, the map of buildings damaged to seismic hazard map exceeding probability < 10% in 50 years has been performed (Fig. 10a). It shown different results compared to the evaluation developed by Crowley et al. [16] through the SP-BELA procedure (Fig. 10b). This could depend on the different assumptions about collapse limit state. In this work "collapse" limit state is reached when the structural failure causes the complete (or at least wide partial) fall down of the building.

The damage distribution of Fig. 10a is assessed on the base of Damage Probability Matrix, DPM (Table 6) obtained by statistical fitting of observed damages recorded of all past seismic events in Italy from Irpinia 1980 earthquake up to L'Aquila event in 2009, collected in the DB\_PLINIVS (see [44,45]).

### 6. Conclusions

A method able to assess the distributions of the seismic vulnerability classes of buildings for each Italian region is proposed. It is founded on the statistical correlations between few typological features provided by DB\_Census and vulnerability classes. The reliability of the procedure is tested on large sample of buildings whose vulnerability is known from previous surveys activities in situ (DB\_PLINIVS).

The result provides an easy-to-use assessment method, applicable at

regional and national level in the framework of territorial risk and scenario analysis.

After a calibration of the methods on a set of disaggregated data of the DB\_Census, vulnerability and scenario maps of the country have been defined.

The identified methodology is unavoidably affected by uncertainties; however, it still represents today a reasonable compromise between the necessity to cut down on-site surveys to a limited number of buildings and obtaining a final result sufficiently reliable for planning purposes and prevention. The obtained results can be used, in combination with hazard maps, to easily develop risk or Scenario maps at Regional or National scale.

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